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# A Novel Multivariate Curve Resolution-Alternating Least Squares (MCR-ALS) Methodology for Application in Hyperspectral Raman Imaging Analysis

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## ABSTRACT

**Multivariate curve resolution-alternating least squares (MCR-ALS) applied to hyperspectral Raman imaging is extensively used to spatially and spectrally resolve the individual, pure chemical species within complex, heterogeneous samples. A critical aspect of performing MCR-ALS with hyperspectral Raman imaging is the selection of the number of chemical components within the experimental data. Several methods have previously been proposed to determine the number of chemical components, but it remains a challenging task that if done incorrectly, can lead to the loss of chemical information. In this work, we show that the choice of ‘optimal’ number of factors in the MCR-ALS model may vary depending on the relative contribution of the targeted species to the overall spectral intensity. In a data set consisting of 27 hyperspectral Raman images of TiO<sub>2</sub> polymorphs, it was observed that the more dominant species were best resolved with a parsimonious model. However, species with intensities near the noise level often needed more factors to be resolved than was predicted by standard methods. Based on the observations in this data set, we propose a new method that employs approximate reference spectra for determining optimal model complexity for identifying minor constituents with MCR-ALS.**

## KEYWORDS

Chemometric; Multivariate curve resolution; MCR; Raman; Spectroscopy; Chemical imaging; Titanium dioxide; TiO<sub>2</sub>-II; Polymorph

## 1. INTRODUCTION

Hyperspectral Raman imaging and multivariate analysis have been extensively utilized in pharmaceuticals<sup>1-3</sup>, food safety and quality<sup>4</sup>, biological analysis<sup>5</sup>, environmental and national security<sup>6</sup>, geological and planetary science<sup>7, 8</sup>, and other applications. Hyperspectral Raman imaging is often employed to discern spatially-resolved chemical information about heterogeneous samples. By collecting hundreds to thousands of independent spectra at defined spatial locations (or pixels), hyperspectral images can produce the distribution of the chemical species within a sample. Furthermore, hyperspectral Raman imaging can characterize complex materials, down to diffraction limited spatial resolution, with no sample preparation, high sensitivity, high specificity, extensive sampling versatility, and low sensitivity to water.<sup>9-11</sup> Overall, hyperspectral Raman imaging ultimately can enable the visualization of the chemical, molecular, and structural properties of heterogeneous samples.

The analysis of experimental hyperspectral Raman images remains a significant challenge, especially with respect to the effective extraction of all useful chemical information concerning a sample.<sup>7, 8, 12, 13</sup> Hyperspectral Raman imaging typically generates three-dimensional experimental data containing spatial ( $x$  and  $y$ ) and spectral ( $\lambda$  or  $\nu$ ) information.<sup>9</sup> Univariate analysis is the most commonly employed strategy for visualizing this experimental data.<sup>7, 8, 12-14</sup> In univariate analysis, chemical maps from hyperspectral images can be generated based on the integration or intensity of a Raman band or a series of Raman bands characteristic of a known analyte of interest.<sup>7, 8, 12-14</sup> However, univariate analysis may provide a limited representation of the hyperspectral Raman imaging data, especially when interference effects from spectral overlap, background interference, fluorescence, laser power fluctuation, loss of focus, sample roughness, or sample opacity are encountered.<sup>7, 8, 12-14</sup> In these cases, potentially valuable chemical information within the hyperspectral Raman imaging data can go unused or be misrepresented when univariate analysis is utilized.

Multivariate analysis methods, such as multivariate curve resolution-alternating least squares (MCR-ALS), however, can better overcome these interference effects and provide significant advantages relative to univariate analyses. These advantages include increased selectivity, by better parsing chemical information from interference effects, and increased signal-to-noise ratios, which lead to better visualization of chemical distribution.<sup>7, 8, 12-14</sup> MCR-ALS provides a bilinear decomposition of mixed experimental data into estimates of the chemically meaningful profiles of the respective chemical species.<sup>4, 15-17</sup> MCR-ALS applied to hyperspectral Raman imaging data can generate spatially-resolved chemical images and corresponding resolved Raman spectra of the pure, individual chemical species within a complex mixture.

Successful application of MCR-ALS hinges, in part, on the determination of the number of factors to include in the MCR-ALS model.<sup>4, 15-18</sup> Selection of the number of chemical components is a challenging task, especially when a number of the chemical species to be detected are near the detection limit or there is a large, non-bilinear baseline present. Strategies to determine the most appropriate number of factors in the MCR-ALS model frequently require the building of many MCR-ALS models while exploring proper quality-of-fit and interpretability of resolved chemical information.<sup>16</sup> The number of chemical components can be estimated on the basis of prior knowledge of the system or by using a variety of multivariate analysis methods<sup>7, 8, 12, 15, 16, 19, 20</sup>, including singular-value decomposition<sup>16</sup>, principal component analysis<sup>13</sup>, and cluster-aided methods<sup>18</sup>. If the number of components is incorrectly or inconsistently determined, chemical

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3 information can be misrepresented or go unnoticed and unused, due to under- or over-estimations  
4 of the resulting MCR-ALS models.<sup>4, 15-18</sup>  
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6 Improvement of MCR-ALS models has been demonstrating using spectral information  
7 of reference materials. Multiset analysis<sup>5, 15, 21, 22</sup>, which employs augmented data matrices that can  
8 contain spectra of reference materials, have been utilized with MCR-ALS for the spatial and  
9 spectral resolution of complex experimental data sets. Other iterative methods, such as iterative  
10 target transform factor analysis (ITTFA)<sup>23-25</sup>, have been utilized with reference materials to unmix  
11 concentration and spectral profiles under targeted constraints. Using these approaches, however,  
12 requires a true reference spectrum for each known analyte of interest. Moreover, this can cause  
13 significant challenges as a true reference spectrum should be collected using the same  
14 instrumental, experimental parameters, and sample configurations, and typically, multiple  
15 reference materials are available for one chemical species of interest. In this work, a novel  
16 approach is offered for MCR-ALS by utilizing library-based target Raman spectra of reference  
17 materials. This proposed methodology does not require true reference spectra, allows for vast  
18 analysis of targeted reference materials that do not have to be known *a priori*, and promotes the  
19 use of spectral libraries and databases for evaluation of potential chemical species present in  
20 unknown, heterogenous samples and materials.  
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24 In this work, we propose a novel methodology for determining the appropriate number  
25 of latent factors with MCR-ALS. This methodology involves initially building MCR-ALS models  
26 with low numbers of chemical components and systematically increasing the number of  
27 components until both the quantitative ‘goodness of fit’ and the correlation of the MCR-ALS-  
28 generated resolved Raman spectra with the potential target estimates of the Raman spectra  
29 collected from reference materials is satisfactory. Using this approach, the chemically-relevant  
30 components can be determined for MCR-ALS in a facile, convenient process that is driven by the  
31 experimental data and is verified by correlation with reference materials. It is significant that these  
32 target estimates need not be identical to the ‘true’ intrinsic Raman profiles in the hyperspectral  
33 image. Target spectra libraries collected on different instrumentation or under different  
34 experimental conditions can be employed.  
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37 With application of this method we are observing two surprising and powerful results.  
38 First, we observe that the most ‘appropriate’ number of factors in a MCR-ALS model depends on  
39 the overall signal strength of the target analyte. More predominant species tend to be best resolved  
40 with fewer factors; species with overall signal strengths near that of non-bilinear baseline  
41 fluctuations tend to require more components in the model to be well resolved. Second, we are  
42 requiring more factors than is justified by goodness of fit statistics to be able to identify the  
43 spectroscopic signature of species of these minor components present at levels of the non-bilinear  
44 baseline fluctuations.  
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47 In order to evaluate our methodology, we tested 27 hyperspectral Raman imaging data  
48 sets that are directly available in Smith et al.<sup>8</sup>. These data sets were generated by performing  
49 hyperspectral Raman imaging on 11 natural rutile (TiO<sub>2</sub>) grains (~100 μm in size) that were  
50 recovered from four Neoproterozoic spherule layers deposited between ~2.65 and ~2.54 billion years  
51 ago.<sup>7, 8, 26</sup> Previous work<sup>7, 8, 26</sup> documented rutile, ± TiO<sub>2</sub>-II, ± anatase (TiO<sub>2</sub>), ± quartz (SiO<sub>2</sub>), ±  
52 substrate-adhesive epoxy in these grains. The presence of TiO<sub>2</sub>-II, a high-pressure, α-PbO<sub>2</sub>-  
53 structured polymorph of titanium dioxide (TiO<sub>2</sub>)<sup>27</sup>, is geologically important, as it provides  
54 physical evidence to further support an impact origin of these four spherule layers.<sup>26</sup> Therefore,  
55 these grains provide a textbook example to investigate the spatial and spectral resolution of  
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3 complex, heterogeneous mixtures using hyperspectral Raman imaging with MCR-ALS. To our  
4 knowledge, this is the *first* report of MCR-ALS with hyperspectral Raman imaging being  
5 accomplished using the quantitative correlation of the resolved Raman spectra generated from  
6 MCR-ALS with the target Raman spectra of reference materials during the systematic increase in  
7 the number of components for MCR-ALS. Using this methodology, MCR-ALS can be more  
8 widely utilized by the scientific community for the analysis of hyperspectral Raman imaging data  
9 in a data-driven and quantitative fashion.  
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## 13 2. SAMPLES AND METHODS

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15 **2.1 SAMPLES AND SAMPLE PREPARATION.** For this study, grains recovered from  
16 carbonate-rich hand samples that were collected from outcrops of the Carawine spherule layer  
17 (CSL), Jeerinah spherule layer (JSL), Monteville spherule layer (MSL), and Bee Gorge spherule  
18 layer (BGSL) were used. Detailed geologic descriptions of the spherule layers are given in Glass  
19 and Simonson<sup>28</sup>. Hand samples X38-2 (CSL), X21-1 (JSL), V111 (MSL), 96714A (BGSL), and  
20 96714B (BGSL) were used<sup>26</sup>, the original weights of which range from ~177 g (96714B) to ~448  
21 g (X21-1). The locations, lithologies, and detailed preparation steps for the hand samples are given  
22 in Smith et al.<sup>26</sup>. In brief, the original hand samples were trimmed and sawn into smaller pieces  
23 that were rinsed with water and subsequently dried at ~60 °C for 12 hours. For each sample,  
24 subsamples, composed of one or more pieces, were weighed prior to acid digestion. The weights  
25 of the subsamples used for this study are 144.3 g (X38-2), 34.2206 g (X21-1), 219.9 g (V111),  
26 8.7879 g (96714A), and 14.2225 g (96714B). The subsamples underwent acid digestion at ~60 °C  
27 using hydrochloric acid (Fisher Scientific, 12.1 N). The acid-insoluble residues were wet sieved,  
28 in conjunction with ultrasonic agitation, typically into five size fractions: <38 µm, 38-63 µm, 63-  
29 125 µm, 125-250 µm, and >250 µm. The 63-125 µm size fractions went through heavy liquid ( $\rho =$   
30  $2.96 \text{ g/cm}^3$ ) separation using 1,1,2,2-tetrabromoethane (Fisher Scientific, 99%), and the heavy  
31 mineral ( $\rho > 2.96 \text{ g/cm}^3$ ) separates were collected on filter paper (Whatman, 11 µm pore size) then  
32 rinsed with acetone (Fisher Scientific, 99%). The heavy mineral separates were transferred to glass  
33 cavity slides.  
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37 The identification of the grains in the separates was done using Raman  
38 microspectroscopy.<sup>26</sup> Grain mounts were prepared for selected rutile and TiO<sub>2</sub>-II-bearing grains,  
39 and the details of the grain mount preparation are given in Smith et al.<sup>7</sup>. In brief, grains were fixed  
40 to a circular glass substrate (Buehler; 25.4 mm diameter x 1.0 mm thick) using epoxy (Buehler),  
41 and the grain mounts were polished using paper strips (600 µm to 3 µm coarseness) with a  
42 water/detergent/glycerol solution (~70/15/15 v/v%) to expose cross-sections of the grains. Nine  
43 grains are exposed, but grains z1-5 and z4-2 (**Table 1**) are not exposed, in which most portions of  
44 these two grains are covered by substrate-adhesive epoxy. A final polish of a grain mount was  
45 performed using Al<sub>2</sub>O<sub>3</sub> powder (Excel Metallurgical, 0.05 µm-sized, 99%) wetted with water.  
46 Most of the final samples analyzed are therefore cross-sectional representations of grains that are  
47 63-125 µm in size. Grains from samples X38-2 (CSL), X21-1 (JSL), and V111 (MSL) are mounted  
48 on grain mounts Z1, Z2, and Z3, respectively, and grains from samples 96714A (BGSL) and  
49 96714B (BGSL) are mounted on grain mount Z4. In grain mount Z4, grain z4-1 is from sample  
50 96714A and grain z4-2 is from sample 96714B.  
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54 **2.2 HYPERSPECTRAL RAMAN IMAGING.** All hyperspectral Raman imaging data  
55 sets were collected using a Senterra Raman spectrometer (Bruker Optics) coupled to a BX-51  
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3 optical microscope (Olympus). Details of the methodology, instrumentation, and procedures for  
4 performing hyperspectral Raman imaging on these grains are outlined in Smith et al.<sup>7, 8</sup> In brief, a  
5 Nd:YAG laser frequency-doubled to 532 nm was used as the excitation source. A 20× (0.40  
6 numerical aperture, NA), 50× (0.75 NA), or 100× (0.80 NA) objective lens (Olympus) was used  
7 to focus the laser onto the sample's surface, yielding a spot size of ~5 μm, ~2 μm, and ~1 μm in  
8 diameter, respectively. Laser exposure times of 1 to 15 seconds with 0 to 3 co-additions were  
9 utilized. The laser power was held constant and ranged from 2 to 10 mW. Scattered light was  
10 collected by the objective lens, filtered by a 50×1000 μm slit aperture, and dispersed by a 1200  
11 grooves/mm grating onto a charge-coupled device (CCD) detector (Bruker Optics)  
12 thermoelectrically-cooled to a temperature of -65 °C. The spectral resolution was 3 to 5 cm<sup>-1</sup>. The  
13 probed spectral ranges were either 70 to 1550 cm<sup>-1</sup> or 70 to 2650 cm<sup>-1</sup> and were covered under one  
14 or two grating positions, respectively. Background measurements, Raman shift calibration, and  
15 source wavelength calibration were performed prior to each measurement. Spectral grids using  
16 dimensions of 10×10 (100 total Raman spectra), 15×15 (225 total Raman spectra), 20×20 (400  
17 total Raman spectra), or 30×30 (900 total Raman spectra) were employed. The spectral grids were  
18 rectangular in shape based on the dimensionality of the grain. Raman spectra were collected at  
19 defined *x* and *y* locations about the grain at a constant height. The OPUS 7.2 program (Bruker  
20 Optics) was used for all hyperspectral Raman imaging measurements.

24 **2.3 MULTIVARIATE CURVE RESOLUTION-ALTERNATING LEAST**  
25 **SQUARES (MCR-ALS).** MCR-ALS is a self-modeling mixture analysis method. By applying  
26 MCR-ALS to hyperspectral Raman imaging data sets, spatially-resolved chemical images and  
27 corresponding resolved Raman spectra of the individual, pure chemical components within  
28 complex, heterogeneous samples of unknown composition can be produced. Specifically, MCR-  
29 ALS decomposes an experimental data matrix, **D**, as follows:

$$31 \quad \mathbf{D} = \mathbf{C}\mathbf{S}^T + \mathbf{E} \quad (1)$$

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33 where **C** is the concentration profile matrix, **S<sup>T</sup>** is the resolved spectral matrix, and **E** is the residual  
34 error matrix. The experimental data generated from hyperspectral Raman imaging is three-  
35 dimensional and includes spatial (*x* and *y*) and spectral (*λ* or *ν*) information. Prior to MCR-ALS,  
36 this three-dimensional experimental data is unfolded into the two-dimensional experimental data  
37 matrix, **D**, containing combined spatial (both *x* and *y* together) and spectral (*λ* or *ν*) information.

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39 A variety of multivariate methods<sup>7, 8, 12, 13, 15, 16, 18-20</sup> have been employed to estimate the  
40 appropriate number of latent factors for MCR-ALS and to provide an initial estimation of **C** and  
41 **S<sup>T</sup>**. The subsequent optimization of **C** and **S<sup>T</sup>** is performed using ALS until convergence is  
42 achieved. Using chemically and physically meaningful constraints, **C** and **S<sup>T</sup>** can be readily guided  
43 to the respective solution during ALS. Possible constraints include non-negative concentration and  
44 non-negative spectral intensities which are especially valid for hyperspectral Raman imaging  
45 because neither the concentration nor the spectral intensity of a chemical component should be  
46 negative at any pixel. Correlation of resolved spectral estimates in **S<sup>T</sup>** to target reference spectra  
47 are employed to help ascertain which components are related to chemical species and which  
48 components model the non-bilinear baseline fluctuations.

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50 In this work, 27 hyperspectral Raman imaging data sets were analyzed using MCR-ALS.  
51 Each hyperspectral Raman imaging data set was first unfolded into the two-dimensional  
52 experimental data matrix, **D**, to allow for the application of the bilinear MCR-ALS model. In **D**,  
53 each row is the intensity at various wavenumbers. The most appropriate number of chemical  
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3 components for MCR-ALS was selected by quantitatively comparing and correlating the resolved  
4 Raman spectra, in  $S^T$ , with target Raman spectra of reference materials. An initial estimation of  $S^T$   
5 was determined using distance criteria. As such, the first Raman spectrum estimate for  
6 initialization was selected to be furthest from the mean, and subsequent Raman spectra were  
7 selected to be furthest from the mean and all prior selected Raman spectra. During ALS, constraints  
8 of non-negative concentration and non-negative spectral intensity were both employed. A  
9 convergence of 0.01% was achieved for all MCR-ALS models. MCR-ALS methods were utilized  
10 in Matlab 7.12 (MathWorks) with the PLS Toolbox (Eigenvector Research) to generate the  
11 concentration profile matrix,  $C$ , and the resolved spectral matrix,  $S^T$ . MCR-ALS was performed  
12 using the full spectral range of the given hyperspectral Raman imaging data set. No preprocessing  
13 was performed prior to application of MCR-ALS.  
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16 **2.4 DETERMINATION AND SELECTION OF THE NUMBER OF CHEMICAL**  
17 **COMPONENTS FOR MCR-ALS.** A total of 16 target Raman spectra of reference materials  
18 were considered. Ten Raman spectra were obtained directly from the RRUFF database<sup>29</sup>—two for  
19 rutile, two for anatase, one for quartz, one for aragonite ( $\text{CaCO}_3$ ), one for calcite ( $\text{CaCO}_3$ ), one for  
20 dolomite ( $\text{CaMg}(\text{CO}_3)_2$ ), one for coesite ( $\text{SiO}_2$ ), and one for stishovite ( $\text{SiO}_2$ ). Six Raman spectra  
21 were collected in-house—five for  $\text{TiO}_2$ -II at different laser powers and one for substrate-adhesive  
22 epoxy. Raman spectra of reference materials were collected using the same methodology,  
23 instrumentation, and procedures as were used for the generation of hyperspectral Raman imaging  
24 data (**Section 2.2**). For the chemical species previously identified<sup>7, 8, 26</sup> in these grains, a total of  
25 11 target Raman spectra of reference materials were used—two for rutile, five for  $\text{TiO}_2$ -II, two for  
26 anatase, one for quartz, and one for substrate-adhesive epoxy. For each of the five chemical  
27 species—aragonite, calcite, dolomite, coesite, and stishovite—known not to be present within the  
28 grains, a target Raman spectrum of a reference material was used to act as a negative control. For  
29 all MCR-ALS models, correlation coefficients were generated from the comparison of the MCR-  
30 ALS-based resolved Raman spectra with these 16 total target Raman spectra of reference  
31 materials.  
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35 For each of the 27 hyperspectral Raman imaging data sets, MCR-ALS models were built  
36 using 1–20 latent factors. Therefore, 20 MCR-ALS models were generated for each hyperspectral  
37 Raman imaging data set, and in total, 540 MCR-ALS models were built and analyzed. For a given  
38 hyperspectral Raman imaging data set, the final selection of the best MCR-ALS model was chosen  
39 by first identifying the set of models that resolved the most target chemical species and then  
40 selecting, from that set, the single model that is both stable and has high correlations between the  
41 resolved Raman spectra generated from MCR-ALS and the target Raman spectra of reference  
42 materials for these identified chemical species. Stability of an MCR-ALS model is achieved when  
43 the correlation coefficients between the resolved Raman spectra generated from MCR-ALS and  
44 the target Raman spectra of reference materials remain statistically constant during the increase in  
45 the number of components. If, for example, we compare an MCR-ALS model built using three  
46 latent components that resolves only rutile with a correlation coefficient of 0.99 with an MCR-  
47 ALS model built using six latent components that resolves rutile and  $\text{TiO}_2$ -II with correlation  
48 coefficients of 0.85 and 0.86, respectively, the latter model is selected as the better model because  
49 it has identified all the chemical species present (rutile and  $\text{TiO}_2$ -II), even though the three  
50 component model has a higher correlation coefficient for rutile. However, it is noteworthy that the  
51 best correlation between the resolved and reference rutile spectra occurred at 2, not 6, intrinsic  
52 factors.  
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## 2.5 GENERATION OF CORRELATION COEFFICIENTS FROM THE COMPARISON OF MCR-ALS-BASED RESOLVED RAMAN SPECTRA WITH THE TARGET RAMAN SPECTRA OF REFERENCE MATERIALS.

Correlation of the resolved Raman spectra generated from MCR-ALS with the target Raman spectra of reference materials was conducted in RStudio AGPL v3. The correlation results were generated as correlation coefficients ( $R^2$ ). The maximum correlation coefficient is determined and plotted for each chemical species within the given MCR-ALS model. The spectral range of the target Raman spectra of reference materials, directly obtained from the RRUFF database<sup>29</sup>, was adjusted to reflect the 70 to 1550  $\text{cm}^{-1}$  spectral range, with increments of 0.5  $\text{cm}^{-1}$ , that was utilized for the hyperspectral Raman imaging data sets. Offsets in the RUFF database and collected Raman spectra wavenumbers were rectified by interpolation of a moving 6-point, 2<sup>nd</sup>-order polynomial fit to the RUFF spectra prior to generating the correlation coefficients.

## 3. RESULTS AND DISCUSSION

### 3.1 RAMAN SPECTRA, RAMAN OPTICAL MODES, AND CRYSTALLOGRAPHY OF THE CHEMICAL SPECIES WITHIN THE GRAINS.

Previous studies<sup>7, 8, 26</sup> documented the presence of three  $\text{TiO}_2$  polymorphs (rutile, anatase, and  $\text{TiO}_2$ -II), quartz ( $\text{SiO}_2$ ), and substrate-adhesive epoxy within the grains investigated in this work. Rutile, anatase, and brookite are the three main polymorphs of natural  $\text{TiO}_2$ , with rutile being the most abundant polymorph.<sup>30</sup> Rutile has a tetragonal structure with the  $P4_2/mnm$  space group.<sup>31</sup> The primitive unit cell of rutile has 15 optical modes, four of which are Raman active.<sup>31</sup> The Raman spectral bands ( $\text{cm}^{-1}$ ) and corresponding optical mode assignments of rutile are 143  $\text{cm}^{-1}$  ( $B_{1g}$ ), 237  $\text{cm}^{-1}$  (high-order Raman band), 443  $\text{cm}^{-1}$  ( $E_g$ ), 611  $\text{cm}^{-1}$  ( $A_{1g}$ ), and 815  $\text{cm}^{-1}$  ( $B_{2g}$ ).<sup>31, 32</sup> Anatase has a tetragonal structure with the  $I4_1/amd$  space group.<sup>33, 34</sup> The primitive unit cell of anatase has 15 optical modes, six of which are Raman active.<sup>33, 34</sup> The Raman spectral bands and corresponding optical mode assignments of anatase are 145  $\text{cm}^{-1}$  ( $E_g$ ), 198  $\text{cm}^{-1}$  ( $E_g$ ), 396  $\text{cm}^{-1}$  ( $B_{1g}$ ), 513  $\text{cm}^{-1}$  ( $B_{1g}$ ), and 637  $\text{cm}^{-1}$  ( $E_g$ ).<sup>33-35</sup>

High-pressure polymorphs of  $\text{TiO}_2$  can be produced under pressures and temperatures of 4-12 GPa and 400-1500  $^\circ\text{C}$ .<sup>27, 36, 37</sup> Five high-pressure polymorphs of  $\text{TiO}_2$  are presently known, and one of these polymorphs is termed  $\text{TiO}_2$ -II.<sup>38, 39</sup>  $\text{TiO}_2$ -II is an important high-pressure polymorph due to its stability at ambient conditions.<sup>40</sup>  $\text{TiO}_2$ -II is isostructural with the orthorhombic  $\alpha$ - $\text{PbO}_2$  phase and has space group  $Pbcn$ .<sup>41</sup> The primitive unit cell of  $\text{TiO}_2$ -II has 36 optical modes, four of which are Raman active.<sup>41</sup> The transformation of ambient  $\text{TiO}_2$  to  $\text{TiO}_2$ -II is kinetically and thermodynamically limited<sup>40, 42</sup>, and evidence of precursory  $\text{TiO}_2$  is consistently reported in both natural and synthetic  $\text{TiO}_2$ -II.<sup>43-47</sup> Therefore, it has been difficult to obtain a Raman spectrum of *pure*  $\text{TiO}_2$ -II. Smith et al.<sup>7</sup> recently provided an estimated Raman spectrum of *pure*  $\text{TiO}_2$ -II that is in agreement with the Raman spectrum of synthetic  $\text{TiO}_2$ -II.<sup>40</sup> The Raman spectral bands and corresponding optical mode assignments of  $\text{TiO}_2$ -II are 152  $\text{cm}^{-1}$  ( $B_{3g}$ ), 174  $\text{cm}^{-1}$  ( $A_g$ ), 286  $\text{cm}^{-1}$  ( $B_{1g}$ ), 315  $\text{cm}^{-1}$  ( $B_{1g}$ ), 341  $\text{cm}^{-1}$  ( $B_{2g}$ ), 357  $\text{cm}^{-1}$  ( $B_{3g}$ ), 412  $\text{cm}^{-1}$  ( $B_{2g}$ ), 426  $\text{cm}^{-1}$  ( $A_g$ ), 531  $\text{cm}^{-1}$  ( $A_g$ ), and 572  $\text{cm}^{-1}$  ( $B_{1g}$ ).<sup>7, 41</sup>

Crystalline quartz undergoes a reversible solid-state phase transition at approximately 574  $^\circ\text{C}$ , in which the low-temperature phase is referred to as  $\alpha$ -quartz and the high-temperature phase is referred to as  $\beta$ -quartz.<sup>48</sup> In this work, the Raman active modes and Raman spectra of

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3 ambient quartz, i.e.,  $\alpha$ -quartz, will be discussed, since this was identified in previous studies<sup>7, 8, 26</sup>  
4 of these grains. Quartz is trigonal-structured and has space group  $P3_221$ .<sup>48</sup> Quartz has 27 optical  
5 modes, including  $4A_1$  Raman active modes and 8  $E_T/E_L$  Raman active modes.<sup>49</sup> The Raman  
6 spectral bands and corresponding optical mode assignments of quartz are  $201\text{ cm}^{-1}$  ( $A_1$ ),  $264\text{ cm}^{-1}$   
7 ( $E_T$ ),  $356\text{ cm}^{-1}$  ( $A_1$ ),  $395\text{ cm}^{-1}$  ( $E_T$ ),  $403\text{ cm}^{-1}$  ( $E_L$ ), and  $464\text{ cm}^{-1}$  ( $A_1$ ).<sup>48</sup> Substrate-adhesive epoxy,  
8 the fifth chemical species identified in these grains during previous studies<sup>7, 8</sup>, is a commonly used  
9 substrate adhesive containing polymeric epoxide and has been extensively studied using Raman  
10 spectroscopy.<sup>50, 51</sup> The Raman spectrum of substrate-adhesive epoxy is characterized by five major  
11 Raman bands at the following spectral locations:  $640\text{ cm}^{-1}$ ,  $822\text{ cm}^{-1}$ ,  $1113\text{ cm}^{-1}$ ,  $1185\text{ cm}^{-1}$ , and  
12  $1462\text{ cm}^{-1}$ .<sup>50, 51</sup>  
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15 **3.2 MULTIVARIATE CURVE RESOLUTION-ALTERNATING LEAST**  
16 **SQUARES WITH HYPERSPECTRAL RAMAN IMAGING.** In total, up to five distinct  
17 chemical species were resolved within the hyperspectral Raman imaging data sets employing  
18 MCR-ALS models with, generally, 8 to 12 factors. These five chemical species include three  
19 polymorphs of  $\text{TiO}_2$  (rutile, anatase, and  $\text{TiO}_2$ -II),  $\text{SiO}_2$  (quartz), and substrate-adhesive epoxy  
20 (**Table 1**). Of the 27 hyperspectral Raman imaging data sets analyzed (**Figures S5-S29**), six data  
21 sets were shown to contain these five chemical species. Furthermore, MCR-ALS applied to the  
22 hyperspectral Raman imaging data sets revealed that two data sets contain only one chemical  
23 species (rutile), one data set contains two chemical species (rutile/substrate-adhesive epoxy), eight  
24 data sets contain three chemical species (rutile/ $\text{TiO}_2$ -II/substrate-adhesive epoxy or  
25 rutile/anatase/substrate-adhesive epoxy), and ten data sets contain four chemical species  
26 (rutile/anatase/quartz/substrate-adhesive epoxy or rutile/ $\text{TiO}_2$ -II/anatase/substrate-adhesive  
27 epoxy). In this work, we therefore evaluate our MCR-ALS methodology applied to hyperspectral  
28 Raman imaging, in which two selected hyperspectral Raman imaging data sets are presented and  
29 discussed.  
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33 *(Insert Table 1 here)*

34 **3.3 MCR-ALS APPLIED TO HYPERSPECTRAL RAMAN IMAGING DATA SET RA15:**  
35 **CORRELATION OF MCR-ALS RESOLVED RAMAN SPECTRA WITH TARGET**  
36 **RAMAN SPECTRA OF REFERENCE MATERIALS FOR MODEL SELECTION AND**  
37 **EVALUATION.** Analyses of the hyperspectral Raman image collected on Monteville spherule  
38 layer grain z3-13 highlight the necessity to better extract trace chemical signatures from highly  
39 variable, noisy baselines. Preliminary analysis by principal component analysis (PCA) predicts six  
40 components, at most, are needed to model the non-systematic variance of this image. A four  
41 principal component (PC) model captures greater than 99% of the cumulative variance and a six  
42 PC model captures greater than 99.9% of the variance. Plots of the eigenvalues vs. PC number and  
43 root mean squared error of cross validation (RMSECV) vs. PC number similarly pick between  
44 four and six components, with more than six components not presenting a statistical improvement  
45 in model fit. Were the MCR-ALS analyses limited to six components, the spectra of substrate-  
46 adhesive epoxy and quartz would not be extracted and the best estimates of the  $\text{TiO}_2$  polymorph  
47 spectra would not be resolved.  
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51 *(Insert Figure 1 here)*

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53 Correlation of the resolved Raman spectra to standard spectra from the RRUFF database<sup>29</sup>  
54 shows that each of the five chemical components identified in the image is best resolved with a  
55 different complexity of MCR-ALS model (**Figure 1**). The chemical species resolved from MCR-  
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ALS are tracked by correlation (**Figure 1**), not by absolute component number within the MCR-ALS model. Additionally, all models that show highest correlation with the standard spectra have more than the four to six components predicted by PCA analytics. Four of the five components correlate to standard spectra with coefficients,  $R^2$ , greater than 0.80. Only the residual substrate-adhesive epoxy spectrum has a poor fit to the standard spectrum employed with a 0.45 correlation coefficient. By way of comparison, no resolved Raman spectrum from any of the 20 different models correlated well to the RRUFF standard Raman spectra of five other target minerals – aragonite, calcite, coesite, dolomite, and stishovite (**Figure 2**). Of the 199 factors produced by the 20 different models, the highest correlation coefficient observed was 0.19 for one factor in the 20 component model with coesite. Consequently, it was determined that these five minerals are not present in the analyzed grain.

*(Insert Figure 2 here)*

For the five identified chemical components,  $\text{TiO}_2\text{-II}$  is first resolved first with a seven component model and its correlation coefficients are stable with models having between seven and 15 components. Based on correlation alone, it is impossible to state which of the five  $\text{TiO}_2\text{-II}$  standard spectra are most appropriate to characterize the  $\text{TiO}_2\text{-II}$  in the sample.  $\text{TiO}_2\text{-II}$  is known to have a temperature dependent Raman spectrum that broadens with increasing laser power during interrogation.<sup>7</sup> The resolved  $\text{TiO}_2\text{-II}$  Raman spectrum has a slightly higher correlation coefficient with the standard spectra collected at 5 mW and at 10 mW than with the standard spectra collected with the highest (20 mW) or lowest (0.2 mW) laser power. The resolved anatase Raman spectrum is recognizable with a seven factor model (0.78  $R^2$ ) but is best resolved with a nine to 15 component model. Quartz is not resolved until 10 or more factors are employed in the MCR-ALS model. Rutile is identifiable in the resolved Raman spectra starting with a six component model (0.8  $R^2$ ) and its resolved spectrum rises to a 0.9 correlation coefficient with the chosen standard when an 11 factor model is employed. Analysis of **Figure 1** shows that a 10 component model is the most parsimonious model that resolves all five identified components. The evolution of resolved spectra of the identified components shows that including more factors tends to better remove a spectral baseline from each component (**Figure 3**). This trend is most evident in the removal of the baseline of the rutile spectrum by changing from a four to a 10 factor model (**Figure 3**). The slight baseline in the eight factor anatase spectrum, relative to the 10 factor anatase spectrum, accounts for the slight difference in the respective correlation coefficients.

*(Insert Figure 3 here)*

Full results for the 10 component MCR-ALS model applied to hyperspectral Raman imaging data set Ra15 are shown in **Figure S3**. The spatial distribution of the resolved chemical species (**Figure 4**) was generated from the application of a 10 component MCR-ALS model to hyperspectral Raman imaging data set Ra15. The optical and backscattered electron (BSE) images of this grain (**Figure S1**) highlight the heterogeneity of this grain. The BSE image (**Figure S1B**) displays polydispersed-sized particles of varying shapes within grain z3-13. Moreover, the optical and BSE images of grain z3-13 (**Figure S1**) can be used to directly compare the physical properties of the grain to the spatially-resolved chemical images generated from MCR-ALS. The spatial distribution of rutile (**Figure 4A**) demonstrates that rutile is a major chemical species within this grain and is primarily located towards the top-middle and bottom-middle portions of the grain. The spatial distribution of  $\text{TiO}_2\text{-II}$  (**Figure 4B**) demonstrates that  $\text{TiO}_2\text{-II}$  is also a predominant chemical species and is primarily located in the top-middle and bottom-middle portions of the grain in a complementary fashion to that of rutile. The spatial distribution of anatase (**Figure 4C**)

demonstrates that anatase is highly localized and is predominantly located towards the top-middle and bottom-right portions of the grain. The spatial distribution of quartz (**Figure 4D**) demonstrates that quartz is located primarily towards the bottom-left portion of the grain in a highly localized manner. The spatial distribution of substrate-adhesive epoxy (**Figure 4E**) demonstrates that substrate-adhesive epoxy is located primarily exterior to the grain.

*(Insert Figure 4 here)*

The resolved Raman spectra generated from a 10 component MCR-ALS model applied to hyperspectral imaging data set Ra15 (**Figure 4**) are in agreement with the target Raman spectra of reference materials. Specifically, the resolved Raman spectrum of rutile (**Figure 4A**) demonstrates a 0.87 correlation coefficient with that of the RRUFF database reference material, in which the Raman bands characteristic of rutile at 446  $\text{cm}^{-1}$  and 610  $\text{cm}^{-1}$  are observed. The resolved Raman spectrum of  $\text{TiO}_2$ -II (**Figure 4B**) demonstrates a 0.96 (5 mW) correlation coefficient with that of the reference material, in which the Raman bands characteristic of  $\text{TiO}_2$ -II at 151  $\text{cm}^{-1}$ , 175  $\text{cm}^{-1}$ , 287  $\text{cm}^{-1}$ , 315  $\text{cm}^{-1}$ , 339  $\text{cm}^{-1}$ , 357  $\text{cm}^{-1}$ , 428  $\text{cm}^{-1}$ , 533  $\text{cm}^{-1}$ , and 574  $\text{cm}^{-1}$  are observed. The resolved Raman spectrum of anatase (**Figure 4C**) demonstrates a 0.93 correlation coefficient with that of the reference material from the RRUFF database, in which the Raman bands characteristic of anatase at 143  $\text{cm}^{-1}$ , 397  $\text{cm}^{-1}$ , 514  $\text{cm}^{-1}$ , and 637  $\text{cm}^{-1}$  are observed. The resolved Raman spectrum of quartz (**Figure 4D**) demonstrates a 0.83 correlation coefficient with that of the reference material from the RRUFF database, in which the Raman bands characteristic of quartz at 127  $\text{cm}^{-1}$ , 206  $\text{cm}^{-1}$ , and 464  $\text{cm}^{-1}$  are observed. The resolved Raman spectrum of substrate-adhesive epoxy (**Figure 4E**) demonstrates a 0.38 correlation coefficient with that of the reference material, in which the Raman bands characteristic of substrate-adhesive epoxy at 640  $\text{cm}^{-1}$ , 822  $\text{cm}^{-1}$ , 1113  $\text{cm}^{-1}$ , 1187  $\text{cm}^{-1}$ , and 1462  $\text{cm}^{-1}$  are observed. Thus, for the identified chemical species, the resolved Raman spectra generated from MCR-ALS are both in agreement and have high correlations with the target Raman spectra of the respective reference materials.

**3.4 MCR-ALS APPLIED TO HYPERSPECTRAL RAMAN IMAGING DATA SET RA23: CORRELATION OF MCR-ALS RESOLVED RAMAN SPECTRA WITH TARGET RAMAN SPECTRA OF REFERENCE MATERIALS FOR MODEL SELECTION AND EVALUATION.** MCR-ALS was applied to the hyperspectral Raman imaging data set Ra23, collected on grain z4-1, that was recovered from the Bee Gorge spherule layer<sup>26</sup>. Previous MCR-ALS analyses discerned four identifiable Raman spectra on this grain (**Table 1**)—rutile,  $\text{TiO}_2$ -II, anatase, and substrate-adhesive epoxy.<sup>7, 8, 26</sup> Preliminary analyses by PCA predicts a three to five component model is appropriate for describing the non-systematic spectral variance in the hyperspectral image. A three PC model describes 99.5% of the variance, while a four PC model describes 99.9% of the spectral variance. Eigenvalue, root mean squared error of calibration (RMSEC), and RMSECV plots estimate either four or five PCs as appropriate.

*(Insert Figure 5 here)*

However, if a four or five factor MCR-ALS model were employed, then anatase, a minor chemical species in this grain, would not be detected. Quantitative comparison between the resolved Raman spectra and Raman spectra of target standards show that three of the chemical components are well resolved with a four factor MCR-ALS model (**Figure 5**), which exhibits correlation coefficients of 0.70, 0.96 (5 mW), and 0.89 for rutile,  $\text{TiO}_2$ -II, and substrate-adhesive epoxy, respectively. The substrate-adhesive epoxy is not resolved with a three factor MCR-ALS model. The resolved rutile Raman spectra are not as well correlated to those of the RRUFF rutile

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3 target standards compared to the correlations of the other resolved species. As discussed below,  
4 this is likely to be a consequence of the sub-micrometer-scale crystal size of minerals within the  
5 grain and the orientation dependence of the Raman spectrum for rutile. The rutile Raman spectrum  
6 depends on both the crystal face presented to the laser and the rotation of the crystal relative to the  
7 laser polarization. Consequently, there is a bounded continuum of rutile Raman spectra from the  
8 grains analyzed in this work that prohibits comparison to a true standard. The seven target rutile  
9 standards employed represent seven possibilities (and ideally end members) within this continuum.  
10 The fourth identified chemical species, anatase, is first observed using an 11 factor MCR-ALS  
11 model. Here the resolved Raman spectrum of anatase has a 0.91 correlation coefficient with that  
12 of the RRUFF anatase standards.  
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15 *(Insert Figure 6 here)*  
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17 The resolved Raman spectra generated from MCR-ALS applied to hyperspectral Raman  
18 imaging data set Ra23 are in good agreement with the target Raman spectra of reference materials  
19 expected to be found in this sample (**Figure 5**). Furthermore, these resolved Raman spectra exhibit  
20 poor correlation with target Raman spectra of reference materials from the RRUFF database that  
21 are not observed in this sample (**Figure 6**). Five minerals (aragonite, calcite, coesite, dolomite, and  
22 stishovite) not observed in the grain all exhibit correlation coefficients less than 0.2 for all the  
23 MCR-ALS models having one to 20 factors. For quartz, however, the correlation coefficient was  
24 less than 0.15 for MCR-ALS models having one through 15 factors, but jumped to approximately  
25 0.25 for 16 through MCR-ALS models having 20 factors. Given that quartz was observed in other  
26 samples, these results suggest a trace quartz contribution within the sample. If the presence of  
27 quartz was particularly interesting or worthy of study, these results would be an indication to  
28 collect spectra at greater signal to noise were the putative quartz contribution dispersed and/or at  
29 greater pixel density in a small area were the putative quartz contribution highly localized.  
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32 *(Insert Figure 7 here)*  
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34 The optimal MCR-ALS model for hyperspectral Raman imaging data set Ra23 was  
35 determined to have 11 factors. This conclusion is based on the high correlation of the resolved  
36 Raman spectra generated from MCR-ALS with the target Raman spectra of reference materials  
37 (**Figures 5 and 7**) and the stability of the correlation coefficients from factor to factor for this  
38 model (**Figure 5**). Full results for the 11 component MCR-ALS model applied to hyperspectral  
39 Raman imaging data set Ra23 are shown in **Figure S4**. Chemical images constructed from the 11  
40 component MCR-ALS model (**Figure 7**) show the spatial distribution of the four resolved  
41 chemical components. The optical and scanning electron microscopy images (**Figure S2**) of this  
42 grain (z4-1; **Table 1**) indicate that it is heterogeneous and comprised of polydispersed particles of  
43 varying shapes and orientations. The imaged section of the grain is dominated by rutile and TiO<sub>2</sub>-  
44 II. Rutile is concentrated in the upper-left portion of the imaged area and TiO<sub>2</sub>-II is the primary  
45 constituent in the rest of the imaged grain. Anatase is localized in a few areas along the grain  
46 perimeter, with the most intense Raman spectra extracted from the lower-left edge. As expected,  
47 the substrate-adhesive epoxy is found exterior to, and not within the polished grain, and mineral  
48 Raman spectra were not extracted from the region of the substrate-adhesive epoxy.  
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51 Selected Raman spectra within hyperspectral Raman imaging data set Ra23 are shown in  
52 **Figure S30**. These Raman spectra demonstrate the varying baselines observed throughout Ra23.  
53 Moreover, non-bilinear spectral baselines that are much larger in their variance than traditional  
54 white noise baselines are observed. To resolve these non-bilinear baselines, additional components  
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3 in the MCR-ALS model may be needed, especially when investigating trace or minor chemical  
4 species. Performing minimal baseline corrections to ensure no chemical information concerning  
5 the minor or trace species is removed can further this effect, in which the model can now account  
6 for the nonrandom variance in the baseline by using additional components for MCR-ALS.  
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8 **3.5 INVESTIGATION OF THE TARGET RAMAN SPECTRA OF REFERENCE**  
9 **MATERIALS FOR MCR-ALS MODEL DETERMINATION.** The method described here is  
10 applicable when there is no exact reference target in the library, as is the case for TiO<sub>2</sub>-II. As  
11 discussed above, the TiO<sub>2</sub>-II Raman spectrum broadens with increasing laser power. Similarly, the  
12 RRUFF database offers four distinct anatase Raman spectra (**Figure 8A**) and seven distinct rutile  
13 spectra (**Figure 9A**) collected with a 532nm depolarized laser.<sup>29</sup> Both anatase and rutile occur in  
14 the ditetragonal-dipyramidal (*4/mmm*) crystal class. However, anatase belongs to the *I4<sub>1</sub>/amd*  
15 space group and rutile belongs to the *P4<sub>2</sub>/mnm* space group. Consequently, these polymorphs  
16 exhibit different Raman spectra. Anatase presents an intense peak at 143 cm<sup>-1</sup> and other peaks at  
17 397 cm<sup>-1</sup>, 514 cm<sup>-1</sup>, and 637 cm<sup>-1</sup>.<sup>29</sup> Of the four RRUFF anatase spectra, three have the 143 cm<sup>-1</sup>  
18 peak truncated. Rutile has three main Raman bands—235 cm<sup>-1</sup>, 446 cm<sup>-1</sup>, and 610 cm<sup>-1</sup>. The  
19 location of these bands does not shift among the RRUFF Raman spectra; however, their relative  
20 intensities vary greatly with the orientation of the crystal relative to the polarization of the laser.  
21 The effect of laser scattering relative to crystal orientation is evident in the Raman spectra of the  
22 RRUFF rutile standards. With the laser parallel to the (1 0 0) face of rutile, all three bands are  
23 visible with the 444 cm<sup>-1</sup> and 608 cm<sup>-1</sup> peaks having intense, comparable heights. When Raman  
24 spectra are collected with the excitation laser parallel to the (0 0 1) face, the 608 cm<sup>-1</sup> peak is more  
25 intense while the 444 cm<sup>-1</sup> peak is decreased. Additional effects are seen in the polarization of the  
26 laser relative to the rutile crystallographic axes. In this work, the depolarized and unoriented  
27 RRUFF standards were employed for both anatase and rutile comparisons. However, the set of  
28 seven depolarized, unoriented rutile Raman spectra show characteristics of being variously aligned  
29 with the (1 0 0) or (0 0 1) crystal faces.  
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34 *(Insert Figure 8 here)*  
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36 While the most appropriate anatase standard to employ for spectral validation is not known  
37 *a priori*, plots of correlation coefficients vs. number of factors clearly indicate that the R070582  
38 RRUFF standard Raman spectrum has the best correlation to the resolved anatase spectra in both  
39 hyperspectral Raman imaging data sets (**Figure 8 B/C**). Both the intense 143 cm<sup>-1</sup> band and the  
40 less intense 637 cm<sup>-1</sup> band match for the standard and resolved Raman spectra (**Figures 4C and**  
41 **7C**). The other three anatase standards have significantly lower correlations due to differences in  
42 the relative intensities of the four bands between the RRUFF standards and the resolved anatase  
43 Raman spectra. Large correlation coefficients, around 0.9, are realized despite the fact that anatase  
44 is a minor component in these grains. Anatase is stable at ambient temperature, but is not the  
45 equilibrium polymorph of TiO<sub>2</sub>. Between 500 °C and 1000 °C anatase converts to rutile. Given that  
46 TiO<sub>2</sub>-II forms around 5-12 GPa and 500 °C-1200 °C, the presence of TiO<sub>2</sub>-II indicates a  
47 formational environment in which anatase would convert to rutile.  
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50 *(Insert Figure 9 here)*  
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52 The seven unoriented rutile Raman spectra from the RRUFF database fall into three classes  
53 (**Figure 9A**). Four of the spectra (R040049, R050031, R050417, and R060493) exhibit strong  
54 spectral features at both 444 cm<sup>-1</sup> and 608 cm<sup>-1</sup>, consistent with spectra collected with the laser  
55 parallel to the (1 0 0) face. Two RRUFF standards (R060745 and R110109) have a very strong  
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3 feature at  $608\text{ cm}^{-1}$  and lesser intensity at  $444\text{ cm}^{-1}$ , consistent with Raman spectra collected with  
4 the laser parallel to the (0 0 1) face. The seventh spectra (R120008) is difficult to classify.  
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6 Plots of correlation coefficients for the Raman spectra of seven rutile standards with the  
7 resolved Raman spectra versus the number of factors in the MCR-ALS model show the best match  
8 with the RRUFF spectra having intense features at both  $444\text{ cm}^{-1}$  and  $608\text{ cm}^{-1}$  for models  
9 generated with less than 15 factors (**Figure 9**). RRUFF standards R040049, R050031, and  
10 R060493 fit equivalently to the resolved spectra, while standard R050417 matches slightly better  
11 for data set Ra23 and slightly worse for data set Ra15. For data set Ra15, the RRUFF Raman  
12 spectra (R060745 and R110109) having a single intense feature at  $608\text{ cm}^{-1}$  do not correlate well  
13 with the resolved Raman spectra until a 17 factor MCR-ALS model is employed. For data set  
14 Ra23, there is a steady increase in the correlation for RRUFF standards R060745 and R110109,  
15 with these ‘one Raman band’ standards having a greater correlation with resolved components  
16 than the ‘two Raman band’ standards when the models have 15 or more factors. One possible  
17 explanation for these results is the forced splitting into degenerate factors when many factors are  
18 employed. However, were this the case, the resolved spectral profiles would be unlikely to have a  
19 high correlation with the rutile standards, a situation in disagreement with the result that the two  
20 standards have consistently high correlations for models employing 16 through 20 factors.  
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24 An alternative explanation is that MCR-ALS is able to resolve spectral profiles of two  
25 different rutile orientations – one with the (1 0 0) plane parallel to the laser and the other with the  
26 (0 0 1) plane parallel to the laser. Scanning electron microscope (SEM) analyses show that the  
27  $\text{TiO}_2$  particles and crystals are very small relative to the 1-5  $\mu\text{m}$ -diameter probing area of the laser  
28 employed for acquisition of the hyperspectral images. Consequently, a random sampling of rutile  
29 orientations are presented at each pixel in the hyperspectral image. The slight variation in (1 0 0)  
30 to (0 0 1) ratio necessitated MCR-ALS models with 15 or more factors to resolve this difference  
31 in the presence of other baseline variations. Converting the relative resolved intensities of these  
32 two spectral profiles to a chemical image shows that the (1 0 0) face of rutile is predominantly  
33 parallel to the laser in the upper right of the chemical image for data set Ra15 (**Figure 10A**), while  
34 the contribution by rutile oriented with the (0 0 1) face parallel to the laser is consistent across the  
35 chemical image (**Figure 10B**). Similarly, for data set Ra23, rutile oriented with the (1 0 0) face  
36 parallel to the laser is slightly more prevalent in the lower half of the grain (**Figure 10C**), while  
37 rutile oriented with the (0 0 1) face parallel to the laser is most predominant in the upper third of  
38 the grain (**Figure 10D**). This explanation is also supported by multiple hyperspectral Raman  
39 imaging data sets producing MCR-ALS models with resolved Raman spectra that correlate well  
40 with both RRUFF rutile standard spectra.  
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#### 46 4. CONCLUSIONS

47 Demonstrated here are recent advances and observations on the extraction and  
48 identification of chemically significant spectral profiles by MCR-ALS. Correlations to library  
49 spectra are employed to identify the most appropriate models for feature identification. We have  
50 shown that this method works even when there is no ‘true’ spectral profile in the library. It is  
51 observed that MCR-ALS models which are more complex than is optimally predicted by  
52 traditional PCA screening methods may contain chemically descriptive factors that are not well  
53 resolved in more parsimonious models. This is especially true when samples have significant  
54 baseline variance that is not well modeled by MCR-ALS. It is additionally observed that there is  
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no single ‘best’ model for the extraction of particular chemical profiles within a data set among the given components of interest; more prominent chemical profiles tend to be more accurately resolved with fewer components in the model, while more minor components need more complex models to realize the most accurate spectral estimation. Future work includes testing to see if these observations are generalizable to other linear ALS modelling methods such, as PARAFAC or Tucker models.

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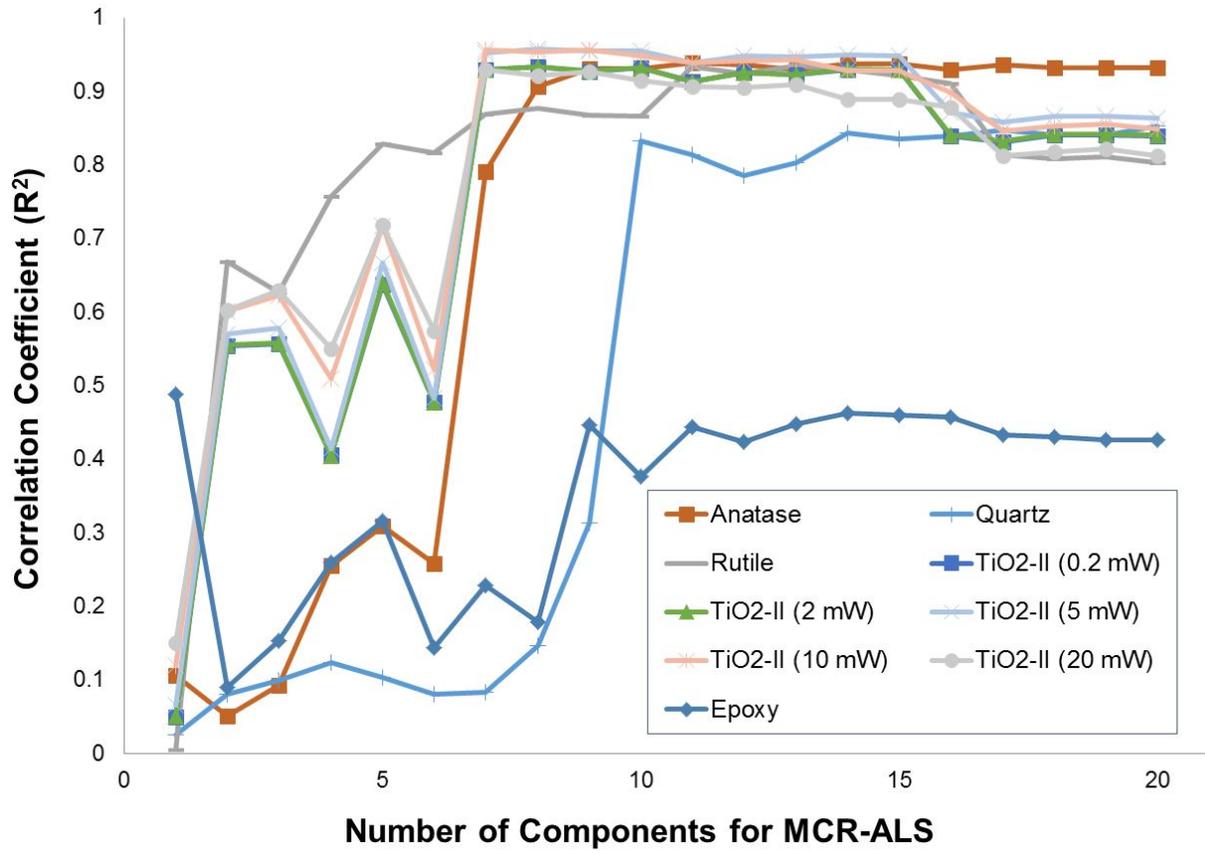
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## TABLES AND FIGURES

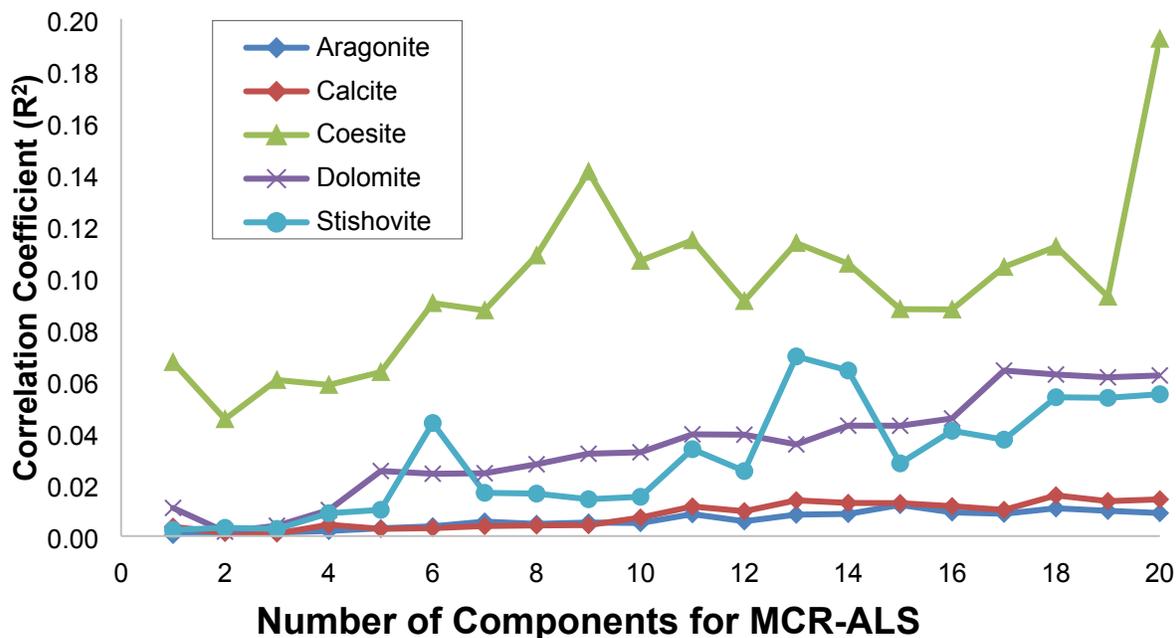
*Table 1: Results of MCR-ALS applied to 27 hyperspectral Raman imaging data sets. These results were determined by comparison of the resolved Raman spectra generated from MCR-ALS with the target Raman spectra of reference materials. This comparison includes Raman band positions, intensities, and Raman spectral shape. The hyperspectral Raman imaging data sets are directly available in Smith et al.<sup>8</sup>, in which the “Data Set ID” is identical to that in Smith et al.<sup>8</sup>.*

<i>Data Set ID*</i>	<i>Grain ID*</i>	<i>Total Number of Chemical Species</i>	<i>Chemical Species Resolved</i>
Ra1	z1-2	1	rutile
Ra2	z1-5	5	rutile, TiO <sub>2</sub> -II, anatase, quartz, and epoxy
Ra3	z1-19	4	rutile, anatase, quartz, and epoxy
Ra4	z2-4	3	rutile, TiO <sub>2</sub> -II, epoxy
Ra5	z2-4	3	rutile, TiO <sub>2</sub> -II, epoxy
Ra6	z2-4	3	rutile, TiO <sub>2</sub> -II, epoxy
Ra7	z3-2	2	rutile, epoxy
Ra8	z3-2	1	rutile
Ra9	z3-3	3	rutile, anatase, epoxy
Ra10	z3-4	4	rutile, anatase, quartz, and epoxy
Ra11	z3-13	5	rutile, TiO <sub>2</sub> -II, anatase, quartz, and epoxy
Ra12	z3-13	5	rutile, TiO <sub>2</sub> -II, anatase, quartz, and epoxy
Ra13	z3-13	5	rutile, TiO <sub>2</sub> -II, anatase, quartz, and epoxy
Ra14	z3-13	5	rutile, TiO <sub>2</sub> -II, anatase, quartz, and epoxy
Ra15	z3-13	5	rutile, TiO <sub>2</sub> -II, anatase, quartz, and epoxy
Ra16	z3-14	4	rutile, TiO <sub>2</sub> -II, anatase, epoxy
Ra17	z3-14	3	rutile, TiO <sub>2</sub> -II, epoxy
Ra18	z3-14	3	rutile, TiO <sub>2</sub> -II, epoxy
Ra19	z3-14	3	rutile, TiO <sub>2</sub> -II, epoxy
Ra20	z4-1	4	rutile, TiO <sub>2</sub> -II, anatase, epoxy
Ra21	z4-1	4	rutile, TiO <sub>2</sub> -II, anatase, epoxy
Ra22	z4-1	3	rutile, TiO <sub>2</sub> -II, epoxy
Ra23	z4-1	4	rutile, TiO <sub>2</sub> -II, anatase, epoxy
Ra24	z4-1	4	rutile, TiO <sub>2</sub> -II, anatase, epoxy
Ra25	z4-1	4	rutile, TiO <sub>2</sub> -II, anatase, epoxy
Ra26	z4-1	4	rutile, TiO <sub>2</sub> -II, anatase, epoxy
Ra27	z4-2	4	rutile, TiO <sub>2</sub> -II, anatase, epoxy

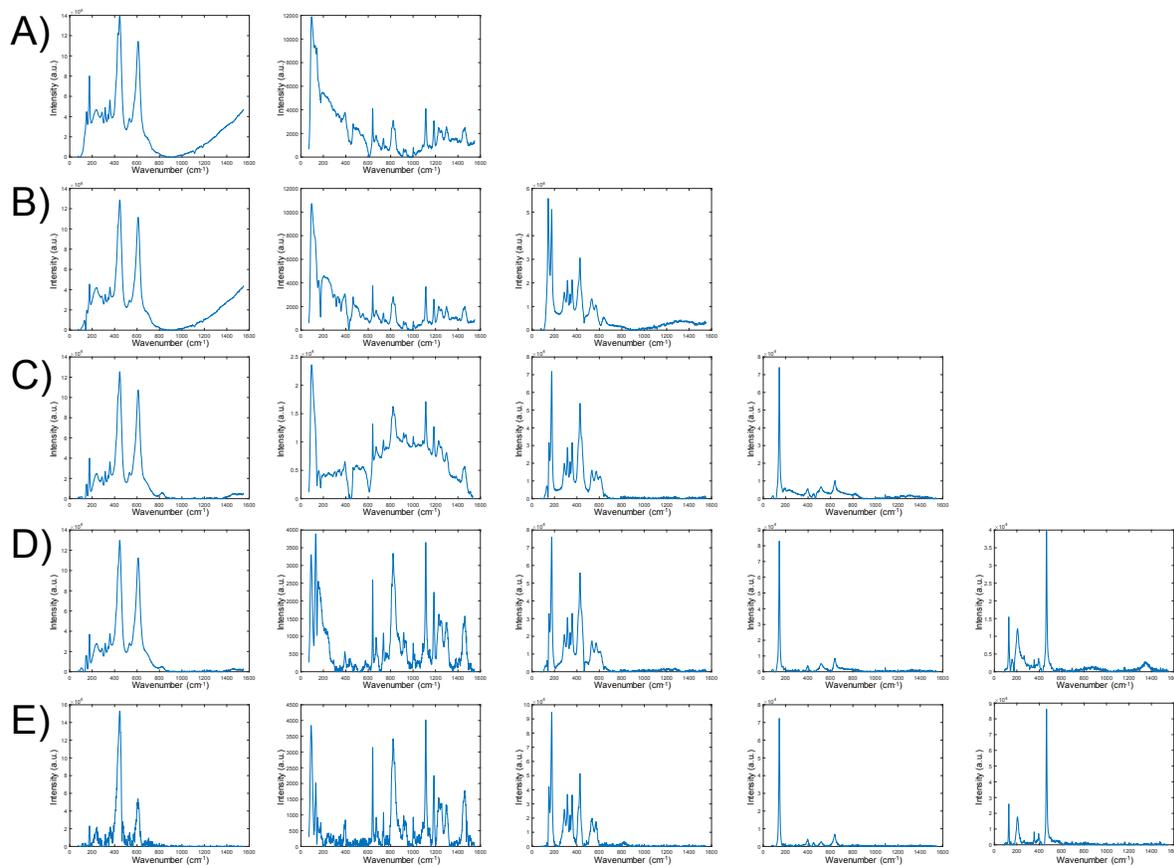
\*Note: ID = Identification



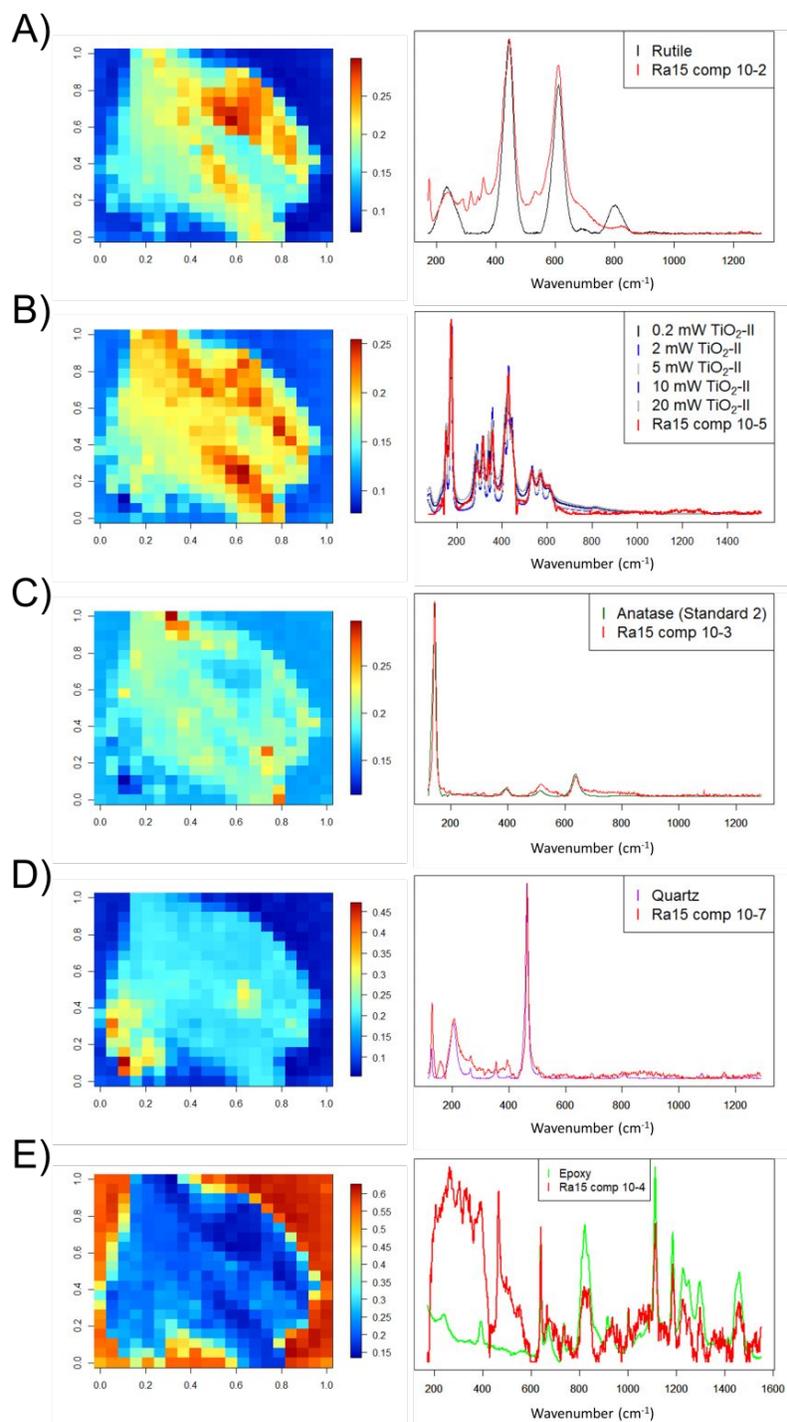
**Figure 1: Correlation results for MCR-ALS models applied to hyperspectral Raman imaging data set Ra15. The resolved Raman spectra generated from MCR-ALS were quantitatively compared to target Raman spectra of reference materials, in which correlation coefficients were generated for each individual comparison. The maximum correlation coefficient is plotted for each chemical species within the given MCR-ALS model. The number of chemical components within each MCR-ALS model was varied from one to twenty.**



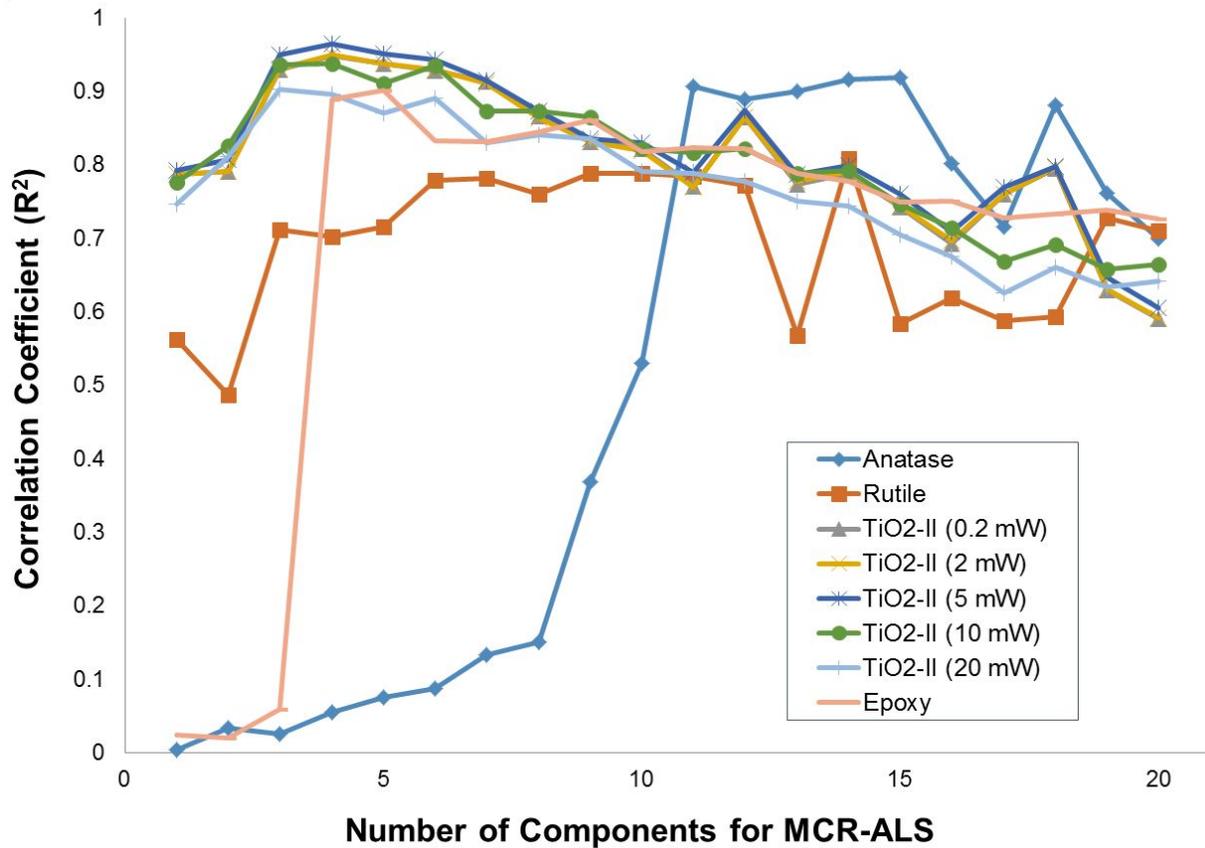
**Figure 2:** Correlation results for MCR-ALS models applied to hyperspectral Raman imaging data set Ra15. The resolved Raman spectra generated from MCR-ALS were quantitatively compared to target Raman spectra of reference materials not present within data set Ra15, in which correlation coefficients were generated for each individual comparison. The maximum correlation coefficient is plotted for each chemical species within the given MCR-ALS model. The number of chemical components within each MCR-ALS model was varied from one to twenty.



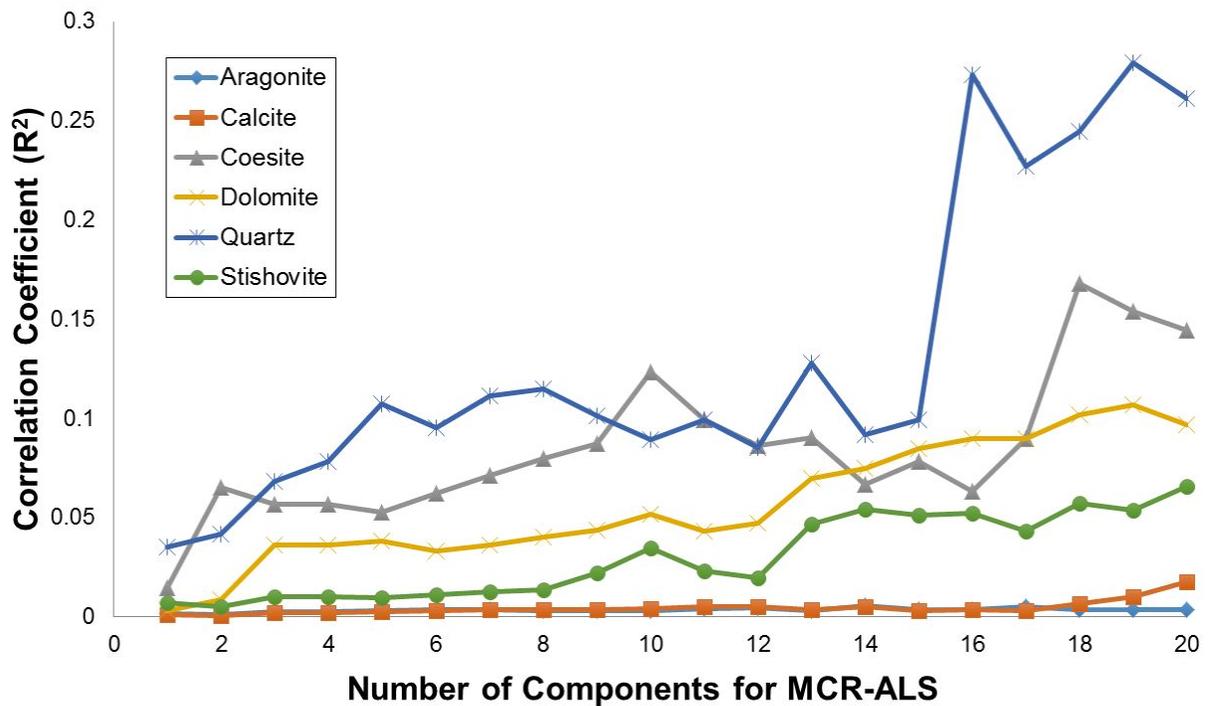
**Figure 3: Resolved Raman spectra generated from MCR-ALS applied to hyperspectral Raman imaging data set Ra15. The number of components for MCR-ALS was systematically increased, in which MCR-ALS models with (A) four components, (B) five components, (C) eight components, (D) 10 components, and (E) 20 components were generated. The final MCR-ALS model selected (D) was able to resolve all five chemical species—rutile, substrate-adhesive epoxy,  $\text{TiO}_2\text{-II}$ , anatase, and quartz—and was statistically stable with high correlations.**



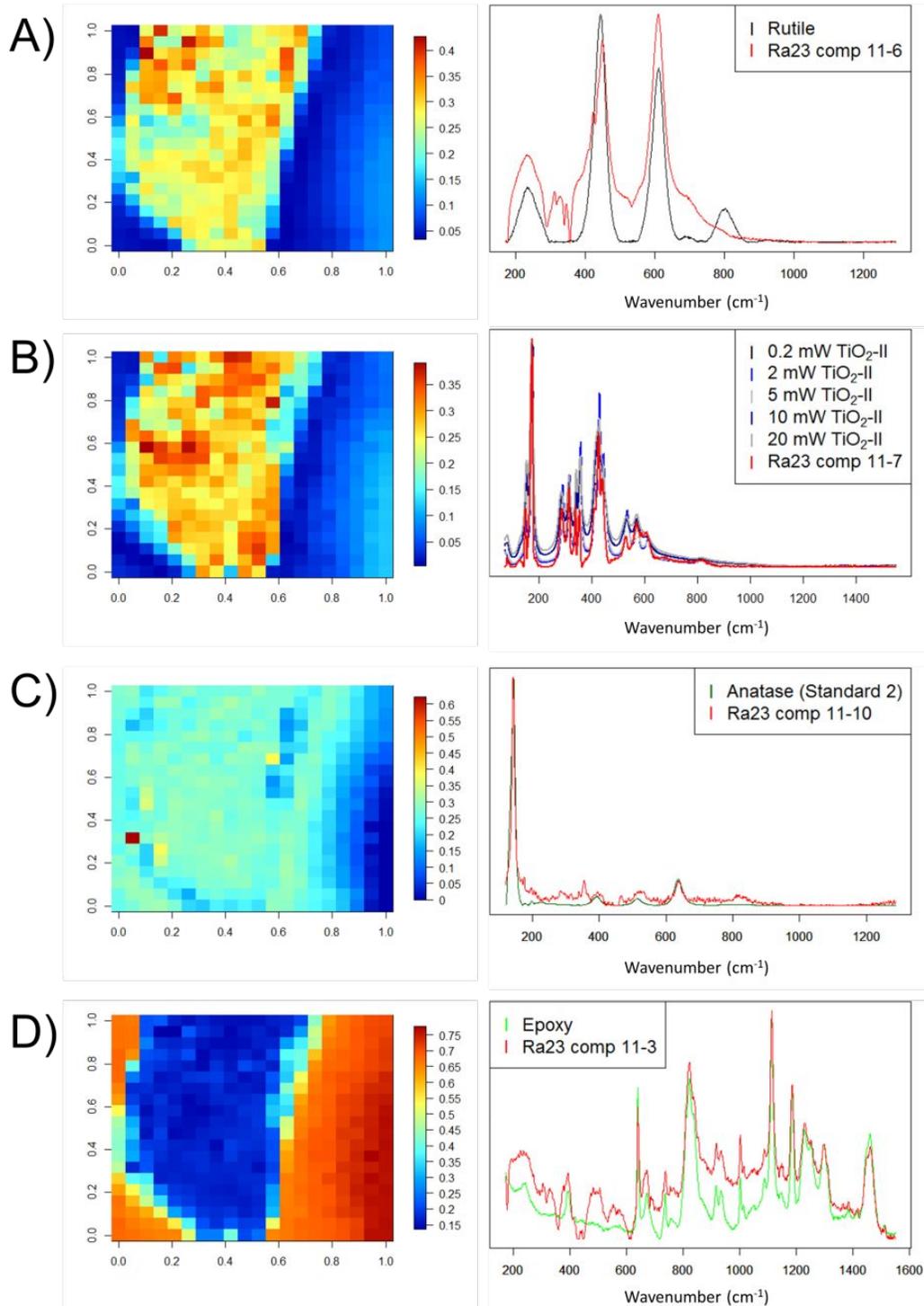
**Figure 4: Spatially-resolved chemical images and corresponding resolved Raman spectra generated from a 10 component MCR-ALS model applied to hyperspectral Raman imaging data set Ra15. Chemical images and corresponding resolved Raman spectra are shown for rutile (A),  $\text{TiO}_2\text{-II}$  (B), anatase (C), quartz (D), and substrate-adhesive epoxy (E), the five chemical species that were resolved by this 10 component MCR-ALS model. Target Raman spectra of reference materials are displayed in addition to the resolved Raman spectra generated from MCR-ALS.**



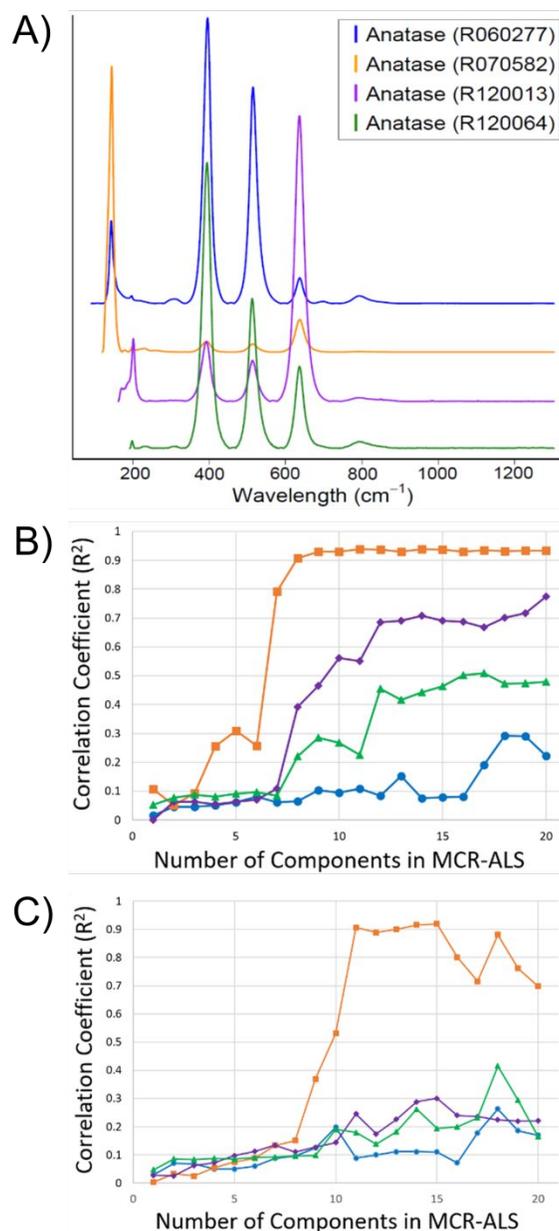
**Figure 5: Correlation results for MCR-ALS models applied to hyperspectral Raman imaging data set Ra23. The resolved Raman spectra generated from MCR-ALS were quantitatively compared to target Raman spectra of reference materials, in which correlation coefficients were generated for each individual comparison. The maximum correlation coefficient is plotted for each chemical species within the given MCR-ALS model. The number of chemical components within each MCR-ALS model was varied from one to twenty.**



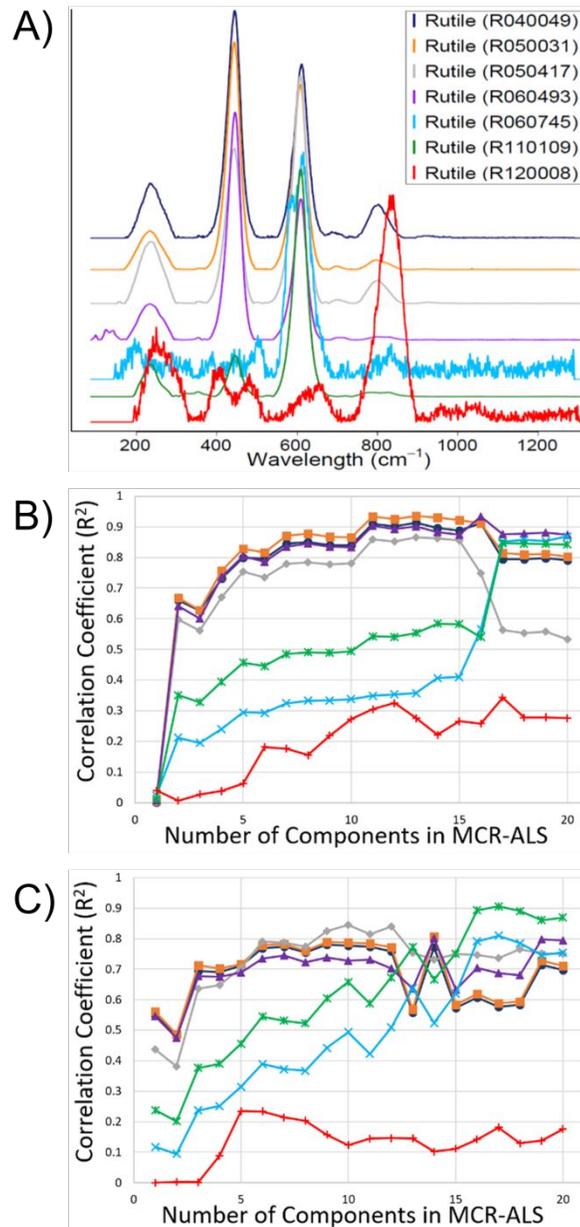
**Figure 6:** Correlation results for MCR-ALS models applied to hyperspectral Raman imaging data set Ra23. The resolved Raman spectra generated from MCR-ALS were quantitatively compared to target Raman spectra of reference materials not present within data set Ra23, in which correlation coefficients were generated for each individual comparison. The maximum correlation coefficient is plotted for each chemical species within the given MCR-ALS model. The number of chemical components within each MCR-ALS model was varied from one to twenty.



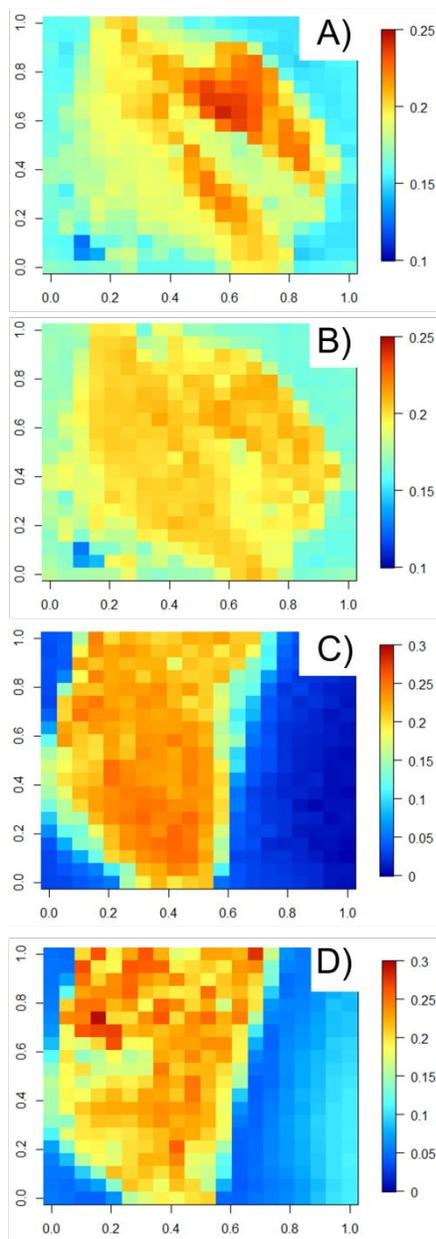
**Figure 7: Spatially-resolved chemical images and corresponding resolved Raman spectra generated from an 11 component MCR-ALS model applied to hyperspectral Raman imaging data set Ra23. Chemical images and corresponding resolved Raman spectra are shown for rutile (A), TiO<sub>2</sub>-II (B), anatase (C), and substrate-adhesive epoxy (D), the four chemical species resolved by this 11 component MCR-ALS model. Target Raman spectra of reference materials are displayed in addition to the resolved Raman spectra generated from MCR-ALS.**



**Figure 8:** The effects of using different anatase standards from the RRUFF database as reference materials on the MCR-ALS-based results for data sets Ra15 and Ra23. Four Raman spectra for anatase standards from the RUFF database are shown (A). Correlation results for these four reference Raman spectra with the resolved Raman spectra from data sets Ra15 (B) and Ra23 (C) are shown. In (A), (B), and (C), the blue, orange, purple, and green colors correspond to anatase standards R060277, R070582, R120013, and R120064, respectively.

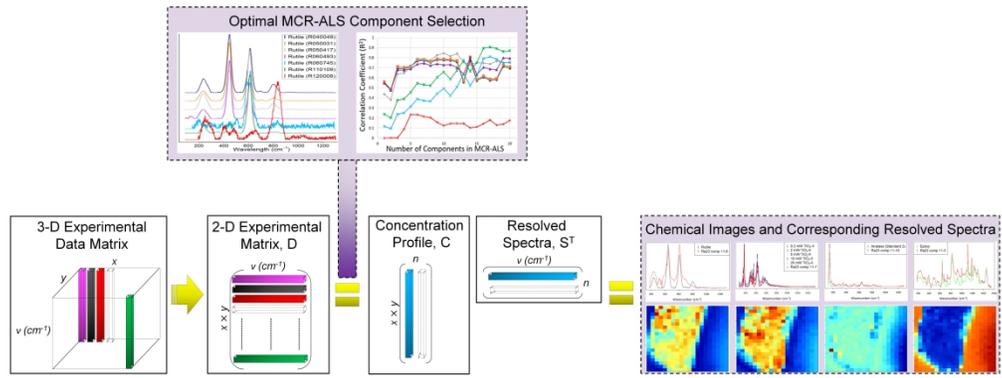


**Figure 9:** The effects of using different rutile standards from the RUFF database as reference materials on the MCR-ALS-based results for data sets Ra15 and Ra23. Seven Raman spectra for rutile standards from the RRUFF database are shown (A). Correlation results for these seven reference Raman with the resolved Raman spectra from data sets Ra15 (B) and Ra23 (C) are shown. In (A), (B), and (C), the dark blue, orange, gray, purple, light blue, green, and red colors correspond to rutile standards R040049, R050031, R050417, R060493, R060745, R110109, and R120008, respectively.



**Figure 10: Spatially-resolved chemical images for different rutile reference Raman spectra for data sets Ra15 (A and B) and Ra23 (C and D) are shown. The rutile reference Raman spectra, obtained from the RRUFF database, had either one or two major Raman bands. For those Raman spectra that had two major Raman bands, RRUFF rutile standard R050031 had the highest correlation with data sets Ra15 and Ra23 (A and C, respectively). For those Raman spectra that had one major Raman band, RRUFF rutile standard R110109 had the highest correlation with data sets Ra15 and Ra23 (B and D, respectively).**

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Graphical abstract

2372x880mm (96 x 96 DPI)