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Temperature and external fields in conceptual density functional theory

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Until quite recently, Conceptual DFT (CDFT) was mainly based on the energy functional, $E[N,v]$, where the number of electrons N and the external potential v are state variables. One of the strengths of CDFT, however, is the ease with which additional and/or different state variables can be incorporated. Here, the incorporation of new variables—namely temperature and external fields—is discussed, outlining the motivation for these extensions, sketching their theoretical/computational context, and presenting some elucidative examples. Using the Grand Canonical Ensemble, finite temperature can be introduced, ameliorating the N-differentiability problem and leading to new well-behaved chemical reactivity concepts. Incorporating temperature as an additional fundamental variable enables us to formulate a novel suite of "thermodynamic" reactivity descriptors, including important concepts like the heat capacity of electronic systems. The mathematical structure underpinning the set of (well-behaved) finite-temperature reactivity indices can guide the formulation of plausible definitions for local analogs of global descriptors. This endeavor is especially significant in the case of local hardness, a concept that has remained elusive since the inception of CDFT. The ever-increasing portfolio of experimental reaction conditions to creatively synthesize new molecules, needs the introduction of various external "fields" like electric and magnetic fields (ε and B), mechanical forces (F) and pressure (P) to describe the state of the chemical system. The conventional energy functional can be expressed in a general form, $E[N,v,X]$, where X denotes the "field". Response functions to changes in the field can then be defined in analogy to classical thermodynamics. The electric field results display a case of a field-induced selectivity in a reaction channel of the Fukui function. Remarkably, atomic electronegativity and hardness in magnetic fields display a piecewise behavior in magnetic fields, associated to configurational jumps upon increasing field strength. The overall compression of their ranges for stronger fields may be insightful when investigating chemistry in extremely high fields. The electronegativity and hardness of diatomics under mechanical force can be traced back to changes in equilibrium distances in the neutral, cationic and anionic state, parallel with the evolution of an intrinsic atomic volume under pressure.

1. Introduction

Quantum Chemistry witnessed a breathtaking evolution in the last decades, both in wave function theory and density functional theory, enabling computational chemists and experimentalists to calculate the intrinsic properties of chemical systems at ever-increasing levels of theory. For practicing chemists, the interpretation of the results, preferably in terms of simple, often longstanding concepts, such as electronegativity, delocalization, and aromaticity,[1] never lost its significance; on the contrary, Parr's dictum "To calculate a molecule is not to understand it", formulated in 1985,[2] did not lose its relevance.

In wave function theory, the most traditional computational approaches, molecular-orbital (MO) and valence-bond (VB) theory, however, have a common shortcoming: wavefunctions which achieve quantitative agreement with experiment (*e.g.*, configuration interaction calculations with millions of Slater determinants or Valence Bond calculations with thousands of resonance structures) obscure the elegant interpretative framework of simple qualitative MO and VB calculations. As Mulliken noted back in 1965, referring to discussions from a conference in 1958, "with old-fashioned chemical

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concepts…the more accurate the calculations became the more the concepts tended to vanish into thin air".[3]

Starting around 1980, density functional theory (DFT),[4] gained increasing acceptance by chemists as a valuable alternative to MO/VB-based computational methods. Concomitant with DFT's emergence as a computational workhorse in quantum chemistry, Parr and his coworkers developed a density-based framework for the interpretation (and ultimately prediction) of chemical phenomena, especially chemical reactivity, later termed Conceptual DFT (CDFT).[5,6] In a natural way, "old-fashioned" concepts such as electronegativity,[7] chemical hardness and softness,[8] and Fukui functions[9] (generalizing Fukui's frontier MO approach)[10] reemerged from a rigorous mathematical framework based on a perturbation expansion of the energy functional (*vide infra*). The mathematical framework of CDFT concepts could then be used to elucidate known chemical rules (Sanderson's Electronegativity Equalization Principle,[11] Pearson's fard and soft acids and bases principle,[12] the Woodward–Hoffmann rules[13,14]) and to discover new rules (first, and most notably, Pearson's maximum hardness principle[15]). Applications in a variety of chemistry-subfields—from organic *via* organometallic to inorganic and materials chemistry, from catalysis to pharmaceutical and biochemistry—followed. Exhaustive reviews on both fundamental and applied aspects of CDFT are now available,[16–24] as can be seen in the recently published comprehensive multi-author volume.[25]

One of the advantages of CDFT is its *universality*, stressed as one of the three pillars of its philosophy:[19,26–28] CDFT tools do not depend on the type (and thus also the level) of the performed calculation; a Fukui function remains as simple to interpret whether it is calculated with using Hartree–Fock, DFT, or an enormous configuration interaction expansion.[29,30] Another pillar of CDFT is its *mathematical rigor*, which means that all concepts arise from a well-defined, physical, theoretical framework. Specifically, CDFT can be developed from a perturbational approach in the influence of changes in the number of electrons, N, and/or the external potential $v(r)$, (the potential felt by the electrons due to the nuclei when no external fields are present) are used to describe chemical changes, and reactivity indicators arise naturally as terms in the Taylor expansion of the energy functional $E[N,v]$.[5,16,21] (see Sections 2 and 5). The *intrinsic* part of these atomic or molecular responses—*i.e.*, the infinitesimal response, where the magnitude and direction of the perturbation are usually immaterial—are *response functions*[5,17,21] which can be written as $\frac{\partial^m \delta^{m'} E}{\partial N^m \delta v(r_1)…\delta v(r_{m'})}$. Different types of response functions can now be discerned: global descriptors, the pure N-derivatives, independent of position such as the electronegativity $\left(\chi = -\left(\frac{\partial E}{\partial N}\right)_v\right)$[7] and the hardness $\left(\eta = \left(\frac{\partial^2 E}{\partial N^2}\right)_v\right)$;[8] local descriptors, varying from place to place, such as the density itself $\left(\rho(r) = \left(\frac{\delta E}{\delta v(r)}\right)_N\right)$[5,17,21] and the Fukui

function $\left(f(r) = \left(\frac{\partial \rho(r)}{\partial N}\right)_v\right)$;[21] and finally non-local descriptors, which are functions of two or more position variables such as the linear response function $\chi(r,r')$ defined as $\left(\frac{\delta^2 E}{\delta v(r)\delta v(r')}\right)_N$ and also equal to $\left(\frac{\delta \rho(r)}{\delta v(r')}\right)_N$.[5,31,32] These derivatives are commonly represented in a "response function tree"[17,21] having at the left the global descriptors and then, moving to the right, the local and non-local descriptors, the order of the derivatives ascending when moving from the top to the bottom (see Section 3.1). Nearly all response functions up to $n = 3$ are now extensively documented and have been applied in a wide range of situations.[16–23,25,31–37]

Until recently, the energy functional mentioned above and the corresponding response functions were considered with only N and v as independent variables, with one major exception. Spin was included quite early in the history of CDFT with two variants: 1° invoking spin polarization leading to a functional $E[N,N_S,v,B]$ where N_S is the spin number (the difference between the number of α and β electrons) and B an external magnetic field;[38,39] 2° resolving the number of electrons and the external potential into its spin components $E[N_\alpha,N_\beta,v,v_\beta]$.[40] The evolution of a system when its spin state is perturbed, *e.g.*, by a magnetic field either by spin transfer from its environment or by another reagent, can be scrutinized using this extension. A disadvantage of spin-resolved CDFT is the doubling of the reactivity descriptors and the concordant difficulties associated with their numerical evaluation.[41–45] Nevertheless, its further development and use is essential, for example, when using CDFT to study transition metals and their role in catalysis and for studying radical systems and their reactions.

A more recent and natural extension is the inclusion of finite temperature by Franco-Perez, Ayers, Vela, and Gázquez[46] to cope with the N-differentiability issues of the $E[N,v]$ function(al).[47] The exploration of the role temperature plays in CDFT was pioneered by Chattaraj, Cedillo, and Parr.[48] It is indeed well-known that in the limit of 0 K, the E *vs.* N curve at constant v is a sequence of intersecting straight lines, leading to a discontinuity in the chemical potential and ill-defined behavior of all descriptors involving a second N derivative.[47,49] In the first part of this Perspective, it will be shown that when passing to the grand canonical ensemble, a unified approach of CDFT chemical concepts can be formulated. Within this framework, both electronic energy and electron density can be expressed as analytical, continuous, and differentiable functions with respect to (w.r.t.) the number of electrons, alleviating several of the main limitations associated with the standard zero-temperature counterpart and offering temperature-dependent descriptors that resolve issues where they were previously ill-defined at absolute zero temperature. Relationships between descriptors that were previously only valid at 0 K are thus refined. These newly defined chemical concepts not only reconcile the mathematical rigor and chemical insight of CDFT, which are fundamental to the theory but also establish a general mathematical structure that forms the basis for the formulation

of a plausible definition of local hardness, which inherently possesses a mathematical ambiguity, conjointly with other local counterparts of some global descriptors. Moreover, it is conceivable to validate and reformulate certain established reactivity principles, including the hard/soft acid/base (HSAB) principle, the maximum hardness principles, and the $|\Delta\mu|$ big is good rule,[5] within a framework that considers temperature dependence.

The second part of this Perspective presents an extension of CDFT to variables other than spin and temperature. The motivation is no longer the need to formally supplement the traditional CDFT formalism, but the desire to treat, directly, external stimuli that are applied to molecules in state-of-the-art experimental studies. Present-day chemistry indeed shows an ever-increasing variability in new reaction conditions, including for example the presence of an external electric field,[50,51] the application of an external mechanical force (mechanochemistry),[52] and the creation of (very) high pressures.[53] Inclusion of the corresponding variables (fields) in a CDFT description is useful, as it was shown by Chattaraj and Maiti for the interaction of a helium atom in its ground and excited electronic states with monochromatic and bichromatic laser pulses,[54] the collision of a proton with two-electron atomic species,[55] up to recent work by Liu and coworkers on the effect of an external electric field on the acidity and aromaticity of benzoic acids.[56] The case of an (ε) is a counterpart of recent experimental and theoretical work on Oriented External Electric Fields (OEFFs), characterized by Shaik as "novel effectors of chemical change".[51] Its counterpart, the inclusion of external magnetic fields (B), though less prominent in the literature, has been receiving increased attention. For example, a groundbreaking study by Lange in 2005 revealed new bonding mechanisms (perpendicular paramagnetic bonding of H_2 in magnetic fields on the order of 10^5 T).[57] Its intrinsic importance in unveiling atomic behavior in white dwarfs and neutron stars with fields of the order of 10^2–10^5 T and 10^7–10^9 T,[58] which hitherto has been a domain almost exclusively covered by atomic physicists, should not escape the attention of quantum chemists reflecting upon chemistry in (high) magnetic fields. The effect of an external magnetic field on several CDFT descriptors of confined atoms was analyzed by Chattaraj and his group.[59]

Although similar to electric and magnetic fields because it extends chemical reactivity in exciting ways, mechanochemistry—where molecules are subjected to an external mechanical force (F), is fundamentally different. Although existing in its macroscopic form for centuries (grinding, *etc.*), downscaling to the molecular level is recent.[52] Thanks to ingenious experimental setups, unexpected chemistry has been revealed, for example, the overruling of the celebrated Woodward–Hoffmann rules for pericyclic reactions under external force.[60,61] As the external force does not *directly* appear in the conventional quantum-mechanical Hamiltonian, an alternative computational framework is needed in which the directional character of the force is a *leitmotiv* in contrast to the isotropic nature of the pressure in the domain of "Chemistry at (Very) High Pressure".[53] This field, although finding its origins more than a century ago,[62] also received particular interest in recent years

thanks to a new generation of high-pressure devices (*e.g.* Diamond Anvil Cells) which bring pressures higher than 100 GPa into reach.[63] From the computational side, XP-PCM[64,65] and GOSTSHYP[66] methods have been extremely instrumental in simulating/creating pressure in quantum-chemical studies of systems/reactions under pressure. Its extension to CDFT,[67] is a natural sequel to the extension of classical work[68] on the behavior of atomic and molecular systems under confinement to CDFT.[69–73]

The present Perspective aims at giving the reader an insight into how the above-mentioned extensions of CDFT to temperature, on the one hand, and external "fields", on the other hand, (using the "field" terminology for the sake of brevity also for non-electromagnetic fields) were developed, and which new insights they led to based on few selected examples. Central in this endeavor is the extension from the standard N,v dependence of the energy functional to, at least *formally* (*vide infra*), an energy functional of the type $E[N,v,T,X]$ with $X = \varepsilon,B,F,P$. An initial status report on the inclusion of external fields can be found in ref. 74.

The organization of the paper is as follows. After reviewing the underlying mathematical framework (Section 2), we consider including temperature within CDFT, starting from the observation that certain descriptors are ill-defined at zero temperature in the standard CDFT framework (Section 3). In Section 4, the introduction of the different "fields" will shortly be described. For the sake of brevity, in the latter part, we will only highlight some general and basic theoretical aspects and computational particularities without going into too many details. In each case, a few selected case studies are briefly presented. For more technical aspects, the reader is referred to the original papers and some CDFT reviews indicated in the text. Before summarizing, we make some notes about computational software and implementation.

2. Conceptual DFT with additional variables

Traditionally, the paramount variable in conceptual DFT is the ground-state electronic energy for an N-electrons system bound by an external potential, $v(\mathbf{r})$. Invoking the variational principle, we have

$$E[N, v] = \underbrace{\min}_{\Psi} \left\langle \Psi \middle| \hat{H}[N; v] \middle| \Psi \right\rangle \tag{1}$$

where the N-electron Hamiltonian is (in atomic units)

$$\hat{H}[N; v] = \sum_{i=1}^{N} \left\{ -\frac{1}{2}\nabla_i^2 + v(\mathbf{r}_i) + \sum_{j>i}^{N} \mathbf{r}_{ij}^{-1} \right\} \tag{2}$$

The response of the energy to changes in the external potential can be evaluated using standard perturbation theory, but responses to the number of electrons requires extending the formulation, either by allowing the wavefunction to have non-integer electron number (as in the Fock-space approach)[75] or,

more traditionally, by moving to the grand canonical ensemble.[47,49,76,77] In the grand canonical ensemble, the density matrix replaces the wavefunction in the variational principle,

$$\Omega[v; \mu, T] = \min_{\Gamma} \ \mathrm{Tr}\left[\hat{H}[v]\Gamma\right] - \mu \mathrm{Tr}\left[\hat{N}\Gamma\right] + k_B T \mathrm{Tr}[\Gamma \ln \Gamma] \quad (3)$$

Here $\hat{H}[v]$ is the (second-quantized) Hamiltonian operator and $\hat{N}$ is the number operator; the last term contains the von Neumann entropy,

$$S[\Gamma] = -k_B \mathrm{Tr}[\Gamma \ln \Gamma] \quad (4)$$

and only contributes a nonzero amount if the temperature is greater than zero. The chemical potential of the bath, μ, enters as the conjugate variable to the electron number when the Legendre transform from the canonical (eqn (1)) to the grand canonical (eqn (3)) is performed. The state function in the grand canonical ensemble is the grand potential, Ω. The key role of the electron density arises because it is the conjugate variable to the external potential,[78,79]

$$\rho(\boldsymbol{r}) = \left(\frac{\delta E}{\delta v(\boldsymbol{r})}\right)_N = \left(\frac{\delta \Omega}{\delta v(\boldsymbol{r})}\right)_{\mu, T} \quad (5)$$

Early in the development of density-functional theory, researchers recognized that it would be useful to add additional variables to the fundamental framework. The first such attempts were related to resolving the spin-components of the electron density, imposing symmetry constraints, and targeting excited states, with subsequent work focused on providing a more rigorous foundation for meta- and hybrid-generalized gradient approximations.[80–84] In principle, the strategy is simple: one adds a constraint to the minimization in eqn (1) or (3), thereby defining a new functional that depends on that property. For example, if one wishes to force the system to have specified values for one or more properties, χ, one can rewrite the grand-canonical variational principle as:[85–92]

$$\Omega[v; \mu, T, \chi] = \min_{\Gamma \to \chi} \ \mathrm{Tr}\left[\hat{H}[v]\Gamma\right] - \mu \mathrm{Tr}\left[\hat{N}\Gamma\right] + k_B T \mathrm{Tr}[\Gamma \ln \Gamma] \quad (6)$$

It was realized, relatively early on, that this poses challenges for the mathematical framework of DFT. First, the Hohenberg–Kohn theorem, which states that the ground-state density suffices to determine all the properties of the system, is now overloaded: one wishes to specify the density and χ, and these two quantities need not be compatible. For example, a meta-generalized gradient approximation depends simultaneously on the local kinetic energy, $t(\boldsymbol{r})$, and the density, one discovers that there are choices for $\rho(\boldsymbol{r})$ and $t(\boldsymbol{r})$, that do not correspond to any fermionic system, and that the permissible choices are difficult to characterize.[93] This N-representability problem can be removed when the constraints are nonconcave functionals of

the density matrix because then one can use the Legendre transform to define a rigorous functional for any variable choice (though the functional will be infinite-valued where the variables are incompatible).[94,95] This essentially, is what was done when deriving eqn (3): we chose to specify a specific particle number (described by a linear operator) and value for the entropy times minus one (so that it is described by a convex function); the conjugate variables (chemical potential and temperature, respectively) then entered into the functional.

The mathematics is simplest in the special case where the properties one wishes to specify, χ, are determined by linear operators/fields,

$$\chi = \mathrm{Tr}[\hat{X}\Gamma] = \langle \Psi | \hat{X} | \Psi \rangle \quad (7)$$

We then have rigorous definitions for density functionals like,

$$F[\rho, \chi] = \sup_{v, \lambda} \ E\left[v, \lambda \cdot \hat{X}, N\right] - \lambda \cdot \chi - \int \rho(\boldsymbol{r}) \ v(\boldsymbol{r}) \mathrm{d}\boldsymbol{r} \quad (8)$$

$$R[\rho, \chi, T] = \sup_{v, \lambda} \ \Omega\left[v, \lambda \cdot \hat{X}, \mu, T\right] - \lambda \cdot \chi - \int \rho(\boldsymbol{r}) v(\boldsymbol{r}) \mathrm{d}\boldsymbol{r} \quad (9)$$

where

$$E\left[N, v, \lambda \cdot \hat{X}\right] = \min_{\Psi} \ \left\langle \Psi \left| \hat{H}[v; N] + \lambda \cdot \hat{X} \right| \Psi \right\rangle \quad (10)$$

$$\Omega\left[v, \lambda \cdot \hat{X}, \mu, T\right] = \min_{\Gamma} \ \mathrm{Tr}\left[\left(\hat{H}[v] + \lambda \cdot \hat{X}\right)\Gamma\right]$$
$$- \mu \mathrm{Tr}\left[\hat{N}\Gamma\right] + k_B T \mathrm{Tr}[\Gamma \ln \Gamma] \quad (11)$$

In eqn (10) and (11) one does not directly control the system's properties; one has to choose the field strengths, λ, to find the desired values of the field's conjugate properties, χ. When doing so, one must keep in mind that no such field may exist (this is the generalization of the v-representability problem in conventional DFT).

The functionals defined here are not the same as those which are used in normal conceptual DFT, but all the key mathematical strategies are still available because of their underlying reliance on the Legendre transform: it is only the difficulty of evaluating the state functions that impedes direct application of the theories. These difficulties are mitigated in cases where one wishes to control the fields, $\lambda \cdot X$, imposed on the system, rather than the targeted properties of the system, χ. In such cases, one can directly evaluate the energy and its functional derivatives, for example,

$$\chi = \left(\frac{\partial E}{\partial \lambda}\right)_{v, N} = \left(\frac{\partial \Omega}{\partial \lambda}\right)_{v, \mu, T} \quad (12)$$

and obtain Maxwell relations like,

$$\left(\frac{\partial \rho(\mathbf{r})}{\partial \lambda}\right)_{v;N} = \left(\frac{\partial \chi}{\partial v(\mathbf{r})}\right)_{\lambda;N}$$

$$\left(\frac{\partial \rho(\mathbf{r})}{\partial \lambda}\right)_{v;\mu,T} = \left(\frac{\partial \chi}{\partial v(\mathbf{r})}\right)_{\lambda;\mu,T} \qquad (13)$$

$$-\left(\frac{\partial S}{\partial \lambda}\right)_{v;\mu,T} = \left(\frac{\partial \chi}{\partial T}\right)_{\lambda;v(\mathbf{r}),\mu}$$

However, moving to the density-based picture in CDFT (*e.g.*, examining the hardness kernel when there are additional basic variables) remains problematic because it requires one to deal with the non-standard density functionals, eqn (8) and (9).[33,96] In addition, even within the theoretically straightforward framework founded by taking differentials of the energy or the grand potential, it is nontrivial to derive detailed working expressions and apply them to chemically relevant problems. The next sections review some key results along these lines.

3. Temperature

3.1 The *N* differentiability problem and the benefits of introducing temperature

As alluded to in the previous section, response functions, defined as the partial derivatives of the electronic energy E w.r.t. its independent variables, namely, the number of electrons and the external potential, play a fundamental role in CDFT.[16,18,97] They are essential not only for predicting the chemical responses of electronic systems but also for establishing rules governing reactivity. However, it is important to note that proper definitions of these quantities require the electronic energy E to be a differentiable function of such variables. Unfortunately, the dependence of E on the number of electrons, at zero-temperature, exhibits a piecewise-continuous, straight-line behavior, which inherently results in a first-order derivative discontinuity.[47,77] This phenomenon is known as the "*N*-differentiability problem" of electronic energy, and it is so fundamental that it hinders the development of unique and unequivocal working formulas for global reactivity descriptors (those that describe the molecule as a whole), such as the electronic chemical potential μ and the chemical hardness η, which are the first and second-order derivatives of E, respectively. Particularly, the chemical hardness has a Dirac delta behavior in the number of electrons, leading to a meaningless result for a real chemical property.[98]

From the ensemble theorem,[47] it is known that the electron density exhibits a dependence on the number of electrons similar to the electronic energy. Consequently, the electron density faces an equivalent "*N*-differentiability problem", leading to significant implications in the development of working equations for local descriptors obtained from the derivatives of the electron density, such as the Fukui function and the dual descriptor[99–101] (first and second-order derivatives of ρ, respectively).[9,102] As the chemical hardness, the dual descriptor also exhibits a Dirac delta behavior in the number of electrons. Approximations such as finite differences or interpolation models are routinely used to address the *N*-

differentiability problem on both the energy and the density. These approximations, while useful, can compromise the rigor and universality of CDFT theory.

Examining the E *vs.* N dependence, it becomes evident that the straight-line behavior is a consequence of how the fundamental *N*-states (electronic states with different numbers of electrons) are distributed at absolute zero temperature (0 K). At this condition, only one of them (for instance, the neutral species) will populate the ensemble, depending on the environmental conditions. To overcome the issue of derivative discontinuity, an alternative approach involves selecting a new theoretical framework that allows for the proper modulation of the distribution of electronic states with different particle numbers (electrons). A consistent approach is to adopt the rigorous framework of the grand potential, where the distribution of all possible quantum states can be controlled by manipulating the reservoir's temperature (T) and chemical potential (μ_{Bath}).[49,76] By doing so, for a given set of electronic states, the most probable energy value to be observed (E), the thermodynamic average energy, will exclusively depend on the environment (reservoir parameters). If the specified values for these free variables are established appropriately, a mixture of states will likely be observed. Consider a grand ensemble constituted by a mixture of states encompassing a neutral (N_0), the first anion ($N_0 + 1$), and the first cation ($N_0 - 1$) species corresponding to a particular electronic system (atom or molecule). The average energy value relative to the corresponding neutral species is given by the following equation,[103]

$$\Delta \langle E \rangle = -\frac{1}{2}(I + A)\omega + \frac{1}{2}(I - A)\frac{\alpha + \omega^2}{1 + \alpha} \qquad (14)$$

where,

$$\alpha = (\omega^2 + 4(1 - \omega^2)\exp[\beta(I - A)])^{1/2} \qquad (15)$$

and ω is the fractional charge, also relative to the neutral species (which in turn is a function of the grand potential free variables); I and A are the first ionization potential and first electron affinity, while $\beta = 1/k_B T$ is the thermodynamic beta where k_B as the Boltzmann constant. Interestingly, the energy expression in eqn (14) displays some resemblance to a parabolic function, one of the most popular interpolation models of the E *vs.* N profile in the standard (zero-temperature) formulation of CDFT; however, note that the term containing α is infinitely differentiable w.r.t. the fractional charge (average number of electrons) at any temperature value different than zero (see eqn (15)), implying that for a grand ensemble of electronic states, the electronic energy is N-differentiable, even if infinitesimal (but not zero) values of temperature are considered. Fig. 1 illustrates the behavior of $\Delta \langle E \rangle$ *vs.* N for the lithium atom at different temperatures. At low temperatures, the profile resembles two successive straight lines, which gradually smooth out as the temperature increases. However, it does not show a parabolic shape at any temperature condition and E always lies above (rather than interpolating) the ground-state energy values.

Recalling that each of the electronic states in the mixture owns an electron density, the average electron density relative to
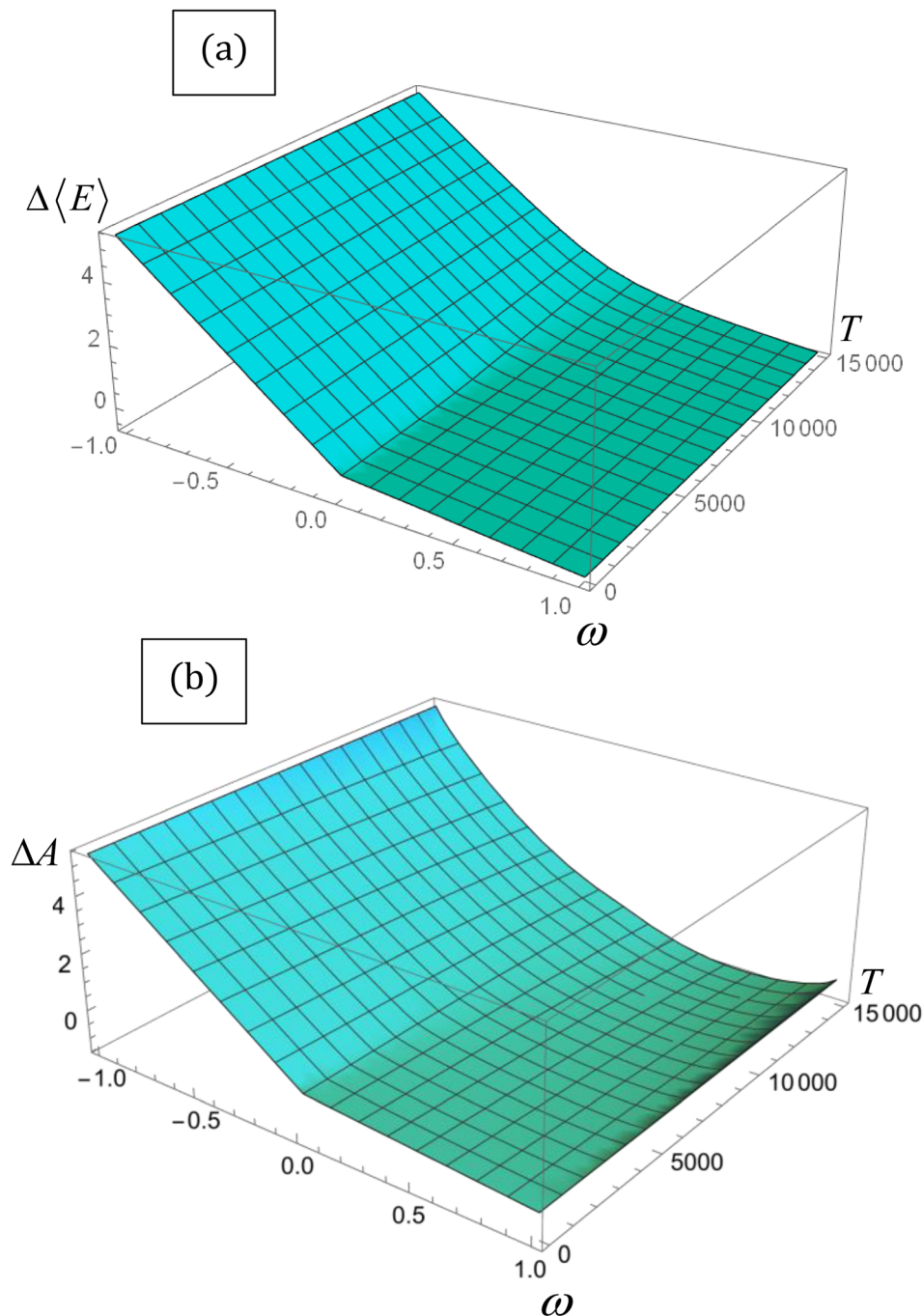
Fig. 1 (a) $\Delta\Delta\langle E\rangle$ vs. T and (b) $\Delta\langle A\rangle$ vs. T for the lithium atom obtained through eqn (14) and (16), respectively. Energy is in eV, and temperature in K.

the density of the neutral species can be determined using the following equation,[46]

$$\Delta\langle\rho(r)\rangle = \frac{1}{2}\left[f^-(r) + f^+(r)\right]\,\omega + \frac{1}{2}\left[f^-(r) + f^+(r)\right]\frac{\alpha + \omega^2}{1 + \alpha} \quad (16)$$

In the previous equation, $f^-(r)$ and $f^+(r)$ are commonly known as the directional Fukui functions, the former for an electrophilic and the second for a nucleophilic attack. Similar to the average energy (eqn (14), the average density in eqn (16) is N-differentiable to arbitrary order. Therefore, by introducing the

temperature as a free variable within a grand potential framework, it is possible to resolve the N-differentiability problems in CDFT.

Despite its *ad hoc* nature, the parabolic approximation of the E *vs.* N profile has served as the foundational platform for computing most reactivity indexes.[8,104–106] Moreover, it constitutes the theoretical underpinning for one of the most successful and widely used descriptors—the electrophilicity index. This index is defined as the negative disparity between the energy value at the minimum point of the approximated E *vs.* N profile and the energy associated with a reference state

containing an integer number of electrons, typically the neutral state. Interpreted as the stabilization of maximum energy resulting from the acceptance of fractional electronic charge when the chemical species is immersed in a bath of electrons, it is essential to note that this interpretation may be misleading. This is particularly true since the exact E *vs.* N profile does not exhibit a minimum, even at temperature values different from zero, as discussed below. Nevertheless, considering that the concept of stabilization is inherently linked to equilibrium, where species evolve towards stable states, it becomes more convenient to utilize a thermodynamic state function instead of the electronic energy to describe the maximum stabilization of an electronic system due to the acceptance of electronic charge. An exhaustive inspection of the dependence of the Helmholtz free energy potential on the fractional charge (eqn (4)) reveals that this thermodynamic potential displays a convenient curvature (see Fig. 1b). This allows for the definition of a finite-temperature electrophilicity index analogous to the one defined at zero temperature. At temperature values consistent with $\beta < (I - A)$, this dependence follows the analytical expression outlined below,

$$\Delta A = -\omega\mu_e + \beta^{-1}\left\{\frac{\omega^2}{\alpha}\ln\left[\frac{(\alpha + |\omega|)}{2(1 - |\omega|)}\right] + \ln\left[\frac{1 - \omega^2}{1 + \alpha}\right]\right\} \quad (17)$$

where μ_e is given in eqn (20) and its meaning will be immediately explained. Remarkably, the minimum condition of the exact ΔA profile fulfills $0 = \frac{\partial \Delta A}{\partial \omega} = \mu_{Bath}$, which naturally implies the electron saturation situation. Moreover, our findings demonstrate the existence of a specific temperature condition under which the ΔA *vs.* ω profile closely resembles the parabolic approximation. Even more remarkably, under these circumstances, the finite temperature definitions of all reactivity indicators yield virtually identical results to their zero-temperature, parabolic counterparts. We have called this temperature condition the parabolic temperature $T_{parabol}$. Consequently, this analysis suggests that temperature has been "artificially" introduced into the conceptual chemical reactivity theory since its inception.

3.2 Towards well-behaved chemical reactivity concepts. Theoretical and computational ansatz and results

With explicit, continuous, and differentiable expressions for the electronic energy and the electron density as functions of the number of electrons, it is feasible to develop analytical working formulas for any of their corresponding response functions within the ensemble model composed of three fundamental electronic states. However, utilizing the chain rule for partial derivatives can establish a more general strategy. For example, the first-order derivative of E w.r.t. N, which represents the electronic chemical potential within a grand ensemble consisting of an arbitrary number of ionized, neutral, and excited states, is expressed as follows,[107]

$$\mu_e = \left(\frac{\partial\langle E\rangle}{\partial\langle N\rangle}\right)_{T,v(r)} = \left(\frac{\partial\langle E\rangle}{\partial\mu_{Bath}}\right)_{T,v(r)}\left(\frac{\partial\mu_{Bath}}{\partial N}\right)_{T,v(r)} = \frac{\sigma_{EN}}{\sigma_{NN}} \quad (18)$$

Here, $\sigma_{XY} = \beta[\overline{XY} - \overline{X}\overline{Y}]$ represents the thermal fluctuation between the average property X and Y. This way, reactivity descriptors are defined as the ratio of two thermal fluctuations. By following a similar procedure, the Fukui function can be computed from,[108]

$$f_e(r) = \left(\frac{\partial\langle\rho(r)\rangle}{\partial\langle N\rangle}\right)_{T,v(r)} = \left(\frac{\partial\langle\rho(r)\rangle}{\partial\mu_{Bath}}\right)_{T,v(r)}\left(\frac{\partial\mu_{Bath}}{\partial\langle N\rangle}\right)_{T,v(r)} = \frac{\sigma_{\rho(r)N}}{\sigma_{NN}} \quad (19)$$

To use eqn (18) and (19), it is necessary to define the set of states constituting the grand ensemble. Nonetheless, in practice, it is still convenient to consider the ensemble model comprised by three fundamental electronic states, since, as shown earlier,[109] the contribution of excited and highly ionized states is negligible at any temperature relevant to traditional chemistry. Alternatively, you can take the first-order derivative of eqn (14) and (16) w.r.t. the number of electrons ω, in any case resulting in,

$$\mu_e = -\frac{1}{2}(I + A) + \frac{1}{2}(I - A)\frac{\omega}{\alpha} \quad (20)$$

and

$$f_e(r) = -\frac{1}{2}(f^-(r) + f^+(r)) + \frac{1}{2}(f^-(r) - f^+(r))\frac{\omega}{\alpha}, \quad (21)$$

respectively. It is worth mentioning that the finite temperature definitions of chemical potential, as given in eqn (19), and the Fukui function, as given in eqn (21), reduce to their well-known zero-temperature results at any temperature condition relevant to chemistry, showing a full equivalence between both theoretical frameworks, at least for these two descriptors. Now, by taking the partial derivative of μ_e and $f_e(r)$ (eqn (19) and (21)), the second-order descriptors, the chemical hardness η_e, and the dual descriptor $\Delta f_e(r)$, can be expressed as follow,

$$\eta_e = (I - A)P(\omega), \quad (22)$$

and

$$\Delta f_e(r) = (f^+(r) - f^-(r))P(\omega), \quad (23)$$

respectively, being

$$P(\omega) = \frac{2e^{-\beta(I-A)}}{\alpha^3} \quad (24)$$

the quantity responsible of the Dirac delta behavior observed for such second-order indexes at zero temperature. For any non-zero temperature condition, even for an infinitesimal value, the quantity $P(\omega)$ provides real positive values for both the chemical hardness and the dual descriptor, regardless of the expected value on the electron number ω. However, computed values for the $P(\omega)$ quantity are significantly high for cold (room temperature) situations, which means that the results obtained for hardness (eqn (22)) and the dual descriptor (eqn (23)) are not comparable to those obtained from the widely used zero-temperature computation schemes $(I - A)$ for η and $(f^+(r) - f^-(r))$ for $\Delta f(r)$.

It was recently demonstrated that the chemical hardness in eqn (22) implies both the hard and soft acids and bases (HSAB) principle and the maximum hardness (MH) principle.[110] As can be observed, all the quantities involved in such an equation depend, in addition to the grand potential free variables, on the quantity $I - A$, providing equivalent conclusions to the (approximated) zero-temperature computational scheme but on a different scale.[110] One possible approach to address this scale discrepancy is to take the logarithm of the temperature-dependent quantities. This methodology aligns with the grand potential charge transfer models recently developed, wherein a chemical potential principle is defined for scenarios involving only two reagents engaged in a chemical reaction at an equi-molar ratio. Notably, this method yielded electronegativity values for the resulting product that closely matched experimental results across nearly 40 reactions.[111] Another, more elegant way to establish working formulas for the second order response functions is by defining their corresponding local or non-local counterparts by using the fluctuations ratio strategy conjointly with the chain rule for partial derivatives. To relate the global hardness to its local counterpart, the local hardness, through this procedure, it is first necessary to define the local counterpart of the chemical potential as follows,[112]

$$\mu_{\mathrm{e}} = \int \mu_{\mathrm{e}}(\boldsymbol{r})\mathrm{d}\boldsymbol{r},$$

where

$$\mu_{\mathrm{e}}(\boldsymbol{r}) = \frac{\sigma_{\rho(r)E}}{\sigma_{NN}} \tag{25}$$

This way, $\mu_{\mathrm{e}}(\boldsymbol{r})$, the local chemical potential, indicates how μ_{e} spreads over the molecular space. The low temperature working formulas for $\mu_{\mathrm{e}}(\boldsymbol{r})$ are $\mu_{\mathrm{e}}^-(\boldsymbol{r}) = If^-(\boldsymbol{r})$ and $\mu_{\mathrm{e}}^+(\boldsymbol{r}) = Af^+(\boldsymbol{r})$ for the accepting and donating processes, respectively, while $\mu_{\mathrm{e}}^0(\boldsymbol{r}) = -\frac{1}{2}(If^-(\boldsymbol{r}) + Af^+(\boldsymbol{r}))$ when the expected value of the fractional charge is zero. Taking the partial derivative of eqn (12) w.r.t. the average electrons ω one gets,

$$I - A = \int \left\{ \eta_{\mathrm{PP}}(\boldsymbol{r}) + \frac{1}{2}\omega(I - A)\Delta f(\boldsymbol{r}) \right\}\mathrm{d}r \tag{26}$$

where the quantity in parentheses inside the integral corresponds to the finite temperature definition of local hardness $\eta_{\mathrm{e}}(\boldsymbol{r})$, i.e.,

$$\eta_{\mathrm{e}}(\boldsymbol{r}) = \eta_{\mathrm{PP}}(\boldsymbol{r}) + \frac{1}{2}\omega(I - A)\Delta f(\boldsymbol{r}) \tag{27}$$

In eqn (26) and (27), $\eta_{\mathrm{PP}}(\boldsymbol{r}) = If^-(\boldsymbol{r}) - Af^+(\boldsymbol{r})$ is called the Parr and Pearson local hardness while $\Delta f(\boldsymbol{r}) = f^+(\boldsymbol{r}) - f^-(\boldsymbol{r})$ is the standard (zero temperature) definition of the dual descriptor. As it can be observed, the quantity $P(\omega)$ is absent in eqn (26). It was canceled out because it appears on both sides of the equation after differentiating eqn (25). The local hardness in eqn (27) describes how the quantity $I - A$ is distributed across the molecular space. The corresponding low-temperature working formulas are $\eta_{\mathrm{e}}^-(\boldsymbol{r}) = \eta_{\mathrm{PP}}(\boldsymbol{r}) - \frac{1}{2}(I - A)f(\boldsymbol{r})$, $\eta_{\mathrm{e}}^+(\boldsymbol{r}) = \eta_{\mathrm{PP}}(\boldsymbol{r}) + \frac{1}{2}(I - A)\Delta f(\boldsymbol{r})$ and $\eta_{\mathrm{e}}^0(\boldsymbol{r}) = \eta_{\mathrm{PP}}(\boldsymbol{r})$. It's important to mention that the local hardness has been a somewhat ambiguous quantity over the years due to its definition. However, employing the fluctuation ratio strategy within the finite temperature regime, it not only helps avoid the $P(\omega)$ quantity responsible for the Dirac delta behavior at absolute zero but also provides a plausible definition of local hardness.

If this strategy is then applied to the Fukui function aiming to obtain a "well-behaved" definition of the dual descriptor, a non-local quantity (dependent on two positional vectors in space), denominated as the Fukui kernel, $f_{\mathrm{e}}(\boldsymbol{r},\boldsymbol{r}')$, is thus obtained, i.e.,[113]

$$f_{\mathrm{e}}(\boldsymbol{r}) = \int f_{\mathrm{e}}(\boldsymbol{r},\boldsymbol{r}')\mathrm{d}\boldsymbol{r}'$$

where

$$f_{\mathrm{e}}(\boldsymbol{r},\boldsymbol{r}') = \frac{\sigma_{\rho(r)\rho(r')}}{\sigma_{\mathrm{NN}}} \tag{28}$$

The low temperature working formulas for $f_{\mathrm{e}}(\boldsymbol{r},\boldsymbol{r}')$ are $f_{\mathrm{e}}^-(\boldsymbol{r},\boldsymbol{r}') = f^-(\boldsymbol{r})f^-(\boldsymbol{r}')$, $f_{\mathrm{e}}^+(\boldsymbol{r},\boldsymbol{r}') = f^+(\boldsymbol{r})f^+(\boldsymbol{r}')$, and $f_{\mathrm{e}}^0(\boldsymbol{r},\boldsymbol{r}') = \frac{1}{2}\{f^-(\boldsymbol{r})f^-(\boldsymbol{r}') + f^+(\boldsymbol{r})f^+(\boldsymbol{r}')\}$. The Fukui kernel is, in essence, a nearsighted descriptor, and it can be computed from the condensed Fukui functions of two adjacent atoms. This interpretation of the Fukui kernel characterizes it as a bond reactivity index, signifying the susceptibility of a specific chemical bond to engage in a charge transfer process. Now, taking the partial derivative w.r.t. the fractional charge at both sides of eqn (28), the following result is obtained,

$$\Delta f(\boldsymbol{r}) = \int \Delta f_{\mathrm{e}}(\boldsymbol{r},\boldsymbol{r}')\mathrm{d}\boldsymbol{r}' \tag{29}$$

where,

$$\Delta f_{\mathrm{e}}(\boldsymbol{r},\boldsymbol{r}') = \Delta f(\boldsymbol{r},\boldsymbol{r}') + \frac{1}{2}\omega \Delta f(\boldsymbol{r})\Delta f(\boldsymbol{r}') \tag{30}$$

is the so-called dual descriptor kernel with $\Delta f(\boldsymbol{r},\boldsymbol{r}') = f^+(\boldsymbol{r})f^+(\boldsymbol{r}') - f^-(\boldsymbol{r})f^-(\boldsymbol{r}')$. Similarly to the Fukui kernel, the $\Delta f_{\mathrm{e}}(\boldsymbol{r},\boldsymbol{r}')$ quantity is a bond descriptor that can be condensed to atoms, the second indicating the amphiphilic nature of a particular chemical bond; a negative sign indicates an electrophilic bond, while a positive sign, conversely, indicates a nucleophilic bond. As anticipated, the quantity $P(\omega)$ appears on both sides of eqn (28) and consequently cancels out. This eliminates the undesired Dirac delta behavior at the zero-temperature limit for both the dual descriptor and the dual descriptor kernel. The low temperature working formulas for the dual descriptor kernel are $\Delta f_{\mathrm{e}}^-(\boldsymbol{r},\boldsymbol{r}') = \Delta f(\boldsymbol{r},\boldsymbol{r}') - \frac{1}{2}\Delta f(\boldsymbol{r})\Delta f(\boldsymbol{r}')$, $\Delta f_{\mathrm{e}}^+(\boldsymbol{r},\boldsymbol{r}') = \Delta f(\boldsymbol{r},\boldsymbol{r}') + \frac{1}{2}\Delta f(\boldsymbol{r})\Delta f(\boldsymbol{r}')$ and $\Delta f_{\mathrm{e}}^0(\boldsymbol{r},\boldsymbol{r}') = \Delta f(\boldsymbol{r},\boldsymbol{r}')$. It is pertinent to mention that there are other temperature-dependent proposals for the (local) dual descriptor quantity; all of them provide a similar interpretation of the amphiphilic nature of chemical species at the local level.[112,114]

The suite of these new finite-temperature reactivity descriptors, encompassing both local and non-local indexes such as the local chemical potential, local hardness, as well as the Fukui and dual descriptor kernels, has demonstrated remarkable utility in characterizing, differentiating, and forecasting reactivity trends within diverse chemical species participating in an array of chemical reactions.[112,113,115,116] It is worth noting that entirely equivalent definitions for these descriptors have been formulated using the zero-temperature (temperature-independent) formalism, strengthening their robustness and ensuring their versatility and applicability.[117–121]

The inclusion of temperature as a new independent variable to describe properties of the electronic reactive systems opens up the possibility of developing a novel family of response functions, particularly those associated with ensemble perturbations arising from temperature variations.[122,123] Among these, the heat capacity of electronic systems stands out as a significant quantity that can offer valuable insights into reactive processes. By applying the chain rule for partial derivatives and the fluctuation ratio strategy, we derive the following definition and working equation for the heat capacity of a grand ensemble consisting of an arbitrary number of fundamental and excited states,[122]

$$C_{v(r)} = T \left(\frac{\partial S_T}{\partial T} \right)_{\omega, v(r)} = \left(\frac{\partial E}{\partial T} \right)_{\omega, v(r)} = \frac{\sigma_{NN}}{T} \left(\frac{\sigma_{EE}}{\sigma_{NN}} - \frac{\sigma_{EN}}{\sigma_{NN}} \right) \quad (31)$$

For the representative three-state ensemble model under low-temperature conditions, the heat capacity can be computed using the following expression,

$$C_{v(r)} = \frac{\beta}{2} \frac{e^{-\frac{\beta}{2}(I - A)}}{T} (I - A)^2 \quad (32)$$

This equation is valid when the fractional charge equals zero (indicating the neutral species is predominant). For any other situation (at low temperatures), the quantity $C_{v(r)}$ approaches zero. After an exhaustive analysis of eqn (31) and (32) it has been inferred that an energy transfer may occur before the exchange of electrons takes place. As an electronic system is "heated up", its electrons become more labile and inclined to participate in charge transfer processes. Recent theoretical evidence suggests a close relationship between temperature, heat capacity, and the hardness properties of electronic systems.[124] Notably, it has been demonstrated that at the temperature condition given by,

$$T_e = \frac{0.34175}{k_B} (I - A) \quad (33)$$

the hardness reaches a minimum in the η_e vs. T profile. This suggests that reactive systems may need to reach values close to this temperature condition to maximize the probability of participating in an exchange of fractional charge with their surroundings, thereby promoting a chemical interaction. It is worth noting that the temperature values obtained for T_e, as computed through eqn (33), closely align with $T_{parabol}$. This alignment underscores the assertion that the parabolic interpolation represents an oversimplified approach for

incorporating temperature into CDFT and that the "electronic" temperature has been somehow introduced in standard CDFT.

3.3 Chemical reactivity principles at finite temperature

In the zero-temperature CDFT formulation, reactivity principles are derived from certain response indices that explore the implications of achieving an equilibrium state. This is often expressed by considering the variation of the total electronic energy of all species involved in the reaction, equating to zero. In this context, the Chemical Potential Equalization Principle (CEP)[7,11] posits that the exchange of electrons between two reacting species halts when their chemical potentials become identical. Similarly, the Maximum Hardness (MH) principle asserts that a reaction tends toward the maximum increase in chemical hardness.[15,125,126] Under analogous considerations, the Hard and Soft Acids and Bases principles (HSAB) suggest that in a double substitution reaction, the most energetically favored process involves a soft acid interacting with a soft base, while a hard acid will react with a hard base.[127–133]

Notably, a chemical potential equalization principle is inherently linked to the grand potential formalism, where a chemical species exchanges electrons until its chemical potential matches that of the reservoir. This scenario becomes apparent when a species is infinitely diluted or immersed in a second reagent, a concept previously leveraged in formulating a charge transference model under finite temperature conditions.[111] The MH and HSAB principles find corroboration within a finite temperature regime by ensuring that the temperature-dependent definition of hardness eqn (22) is a monotonically increasing function of the standard Parr & Pearson hardness $I - A$.[110] This guarantees that, for any pair of acids/bases, this definition of hardness identifies the same reagent as the hardest, as predicted by the zero-temperature chemical hardness. These findings offer robust theoretical support for their counterparts at zero temperature while concealing both theoretical frameworks.

In addition, it is important to mention that the dependence of the chemical potential on the temperature leads to an additional term in its differential given by

$$\left(\frac{\partial \mu_e}{\partial T} \right)_{N, v(r)} = -\frac{e^{-\frac{\beta}{2}(I - A)}}{4 k_B T^2} (I - A)^2 \quad (34)$$

which, as in the case of the heat capacity, for the three states ensemble is only different from zero in the case of a neutral species (fractional charge equal to zero). This indicates that the $|\Delta \mu|$ big is good rule for chemical reactivity is composed of two contributions.[9,134–136] One, at the onset of an interaction, before charge transfer has begun, driven by temperature, and a second one, that occurs once charge transfer has started, driven by changes in the number of electrons and the external potential. The $|\Delta \mu|$ big is good rule was proven, together with its implications in the hard and soft acids and bases principle and in the principle of maximum hardness, considering only the second contribution.[5,135–137] However, given its relevance to explain different aspects of chemical reactivity the first contribution

highlights the importance of taking into accounting for temperature effects.

The development of reactivity principles remains an open and promising avenue within the grand canonical formalism. For instance, there is potential to establish a temperature equalization principle or reactivity rules focused on the (finite temperature) Fukui function or any of the presented response kernels. These propositions promise to provide novel and valuable insights into the reactivity of chemical species at the global, local, and chemical bonding levels.

3.4 Applications

Besides their theoretical rigor, chemical reactivity descriptors have demonstrated exceptional efficacy in accurately replicating equilibrium and reaction rate trends. In the subsequent discussion, we highlight instances where these descriptors successfully mirrored experimental trends and offered plausible explanations for the analyzed chemical processes. It is essential to acknowledge that, up to now, given the novelty of this theoretical framework, the capacity of some of these indices to describe the reactivity characteristics of chemical species has yet to be thoroughly tested.

3.4.1 Computation strategy (finite temperature). Whether local or non-local, finite chemical reactivity descriptors can be effectively managed and interpreted by adopting a condensed-to-atom representation. To streamline our study of reactivity patterns in chemical species, we employ the response-of-molecular-fragment approach. This method allows us to derive these descriptors from atomic charges using the Hirshfeld (or Stockholder) partitioning method.[138–141] In our approach, we utilize the frontier molecular orbital approximation for ionization potential, electron affinity, and Fukui functions. Specifically, we calculate the energy of the Highest Occupied Molecular Orbital (HOMO) and the Lowest Unoccupied Molecular Orbital (LUMO) to determine $I = -\varepsilon_H$ and $A = -\varepsilon_L$, respectively. Their corresponding densities are also employed to compute $f_k^- = f_k^H$ and $f_k^+ = f_k^L$.[142] These calculations are conducted using a developmental version 4.3 of deMon2k.[143] The resulting working formulas for each descriptor are depicted in Table 1.

We also employed the Gaussian program[144] with the PBE0 exchange–correlation energy functional[145] and the 6-311G** basis set[146,147] for geometry optimization of each considered molecule (neutral species). Default thresholds for Self-Consistent Field (SCF), geometry optimizations, and default grids for numerical integrations were utilized. Subsequently, deMon2k calculations were performed using the same optimized geometry, functional, and basis set as those in the Gaussian calculations. An auxiliary basis set GEN-A2* was used for the Hirshfeld population analysis.

3.4.2 Cases of study. The finite temperature descriptors discussed here have been used to analyze the reactivity features of several families of chemical processes; we present some previously reported examples[113,115] encompassing Brønsted acid–base reactions, Markovnikov additions, heterolytic bond-breaking, and electrophilic aromatic substitutions.

In Fig. 2, we present the correlation between the electrophilic local chemical potential $\mu_k^- = \varepsilon_H f_k^H$ and experimental pK_a values for a comprehensive set of 51 acids, comprising 17 phenols, 17 carboxylic acids, and 17 aniline derivatives.[148,149] The
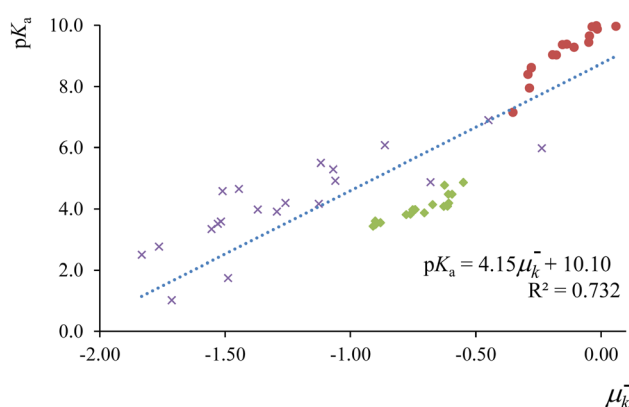
Fig. 2 Correlation profiles between experimental pK_a values of phenol (red circles), carboxylic acid (green diamonds) and aniline (purple crosses) derivatives, with the corresponding condensed to atom values for the local chemical potential at the protonation site. This figure has been reproduced from ref. 115 with permission from Springer Nature, copyright 2020.

In the figure: $pK_a = 4.15\mu_k^- + 10.10$, $R^2 = 0.732$

Table 1 Condensed to atoms formulas for the global, local, and linear temperature-dependent reactivity descriptors at the zero-temperature limit described in this study within the frontier orbitals approximation

Index	Donation process ($\omega < 0$) (electrophilic)	Acceptance process ($\omega > 0$) (nucleophilic)	Reference state ($\omega = 0$)
μ_e	$\mu^- = \varepsilon_H$	$\mu^+ = \varepsilon_L$	$\mu^0 = \frac{1}{2}(\varepsilon_H + \varepsilon_L)$
$f_e(\mathbf{r})$	$f_k^- = f_k^H$	$f_k^+ = f_k^L$	$f_k^0 = \frac{1}{2}(f_k^H + f_k^L)$
$\mu_e(\mathbf{r})$	$\mu_k^- = \varepsilon_H f_k^H$	$\mu_k^+ = \varepsilon_L f_k^L$	$\mu_k^0 = \frac{1}{2}(\varepsilon_H f_k^H + \varepsilon_L f_k^L)$
$\eta(\mathbf{r})$	$\eta_k^- = \varepsilon_L f_k^L - \varepsilon_H f_k^H + \frac{1}{2}(\varepsilon_H - \varepsilon_L)(f_k^L - f_k^H)$	$\eta_k^+ = \varepsilon_L f_k^L - \varepsilon_H f_k^H - \frac{1}{2}(\varepsilon_H - \varepsilon_L)(f_k^L - f_k^H)$	$\eta_k^0 = \varepsilon_L f_k^L - \varepsilon_H f_k^H$
$f_e(\mathbf{r},\mathbf{r}')$	$f_{k,k'}^- = f_k^H f_{k'}^H$	$f_{k,k'}^+ = f_k^L f_{k'}^L$	$f_{k,k'}^0 = \frac{1}{2}(f_k^L f_{k'}^L + f_k^H f_{k'}^H)$
$\Delta f_\tau(\mathbf{r},\mathbf{r}')$	$\Delta f_{k,k'}^- = f_k^L f_{k'}^L - f_k^H f_{k'}^H - \frac{1}{2}(f_k^L - f_k^H)(f_{k'}^L - f_{k'}^H)$	$\Delta f_{k,k'}^+ = f_k^L f_{k'}^L - f_k^H f_{k'}^H + \frac{1}{2}(f_k^L - f_k^H)(f_{k'}^L - f_{k'}^H)$	$\Delta f_{k,k'}^0 = f_k^L f_{k'}^L - f_k^H f_{k'}^H$

condensed-to-atoms values for the "electrophilic" local chemical potential were computed at the appropriate protonation sites for each compound family—on the (deprotonated) acidic oxygen atom for phenols and carboxylic acids, and on the nitrogen atom in the amino moiety for anilines. The obtained regression coefficient demonstrates an acceptable fit and successfully captures the trend observed in experimental measurements. Notably, this local descriptor outperforms the "global" electrophilic chemical potential $\mu_e^- = \varepsilon_H$, where a limited correlation ($r^2 = 0.417$) was observed (see ref. 115). As highlighted earlier, local counterparts of global descriptors prove to be more convenient for analyzing the reactivity features of chemical species at the local level, especially when dealing with compounds that do not share identical functional reactive groups.

As a second illustrative example, we delved into the analysis of Markovnikov addition in nine distinct ethenes. Markovnikov's rule, governing the electrophilic addition of hydrogen halides (H–A) across a carbon–carbon double bond, posits that the hydrogen atom prefers to bind to the carbon atom with the highest number of hydrogen atoms attached, while the halide prefers the carbon bonded with fewer hydrogens.[150,151] To explore this, we compared condensed-to-atom values of local hardness and local chemical potential at the C1 and C2 carbon atoms within the C1=C2 double bond. These values were compared with the calculated reaction E_r and activation energies E_{act} for the electrophilic addition of hydrogen chloride (HCl) to each ethylene derivative.[152] Fig. 3 illustrates the correlation profile between the local hardness ratio η_{C2}^-/η_{C1}^- and the reaction energy ratio E_{r_C2}/E_{r_C1} where E_{r_C1} and E_{r_C2} represent the reaction energies corresponding to hydrogen addition to C1 (Markovnikov addition) and C2 (anti-Markovnikov addition) carbon atoms, respectively. The obtained regression parameters indicate that the local hardness descriptor effectively discriminates between molecular sites that are most energetically

susceptible to hard–hard and soft–soft interactions. This analysis strongly suggests that Markovnikov's rule emerges as a consequence of the Hard and Soft Acids and Bases (HSAB) principle.

As a third case study,[113] we assessed bond stability by comparing our Fukui and Dual kernel descriptors with the experimentally determined bond enthalpies of 20 previously reported compounds.[153] Bond enthalpy quantifies the strength of a chemical bond by measuring the energy needed for the homolytic dissociation of one mole of the bond. It is influenced by the bond's stability within the molecule and the fragments' ability to stabilize free radicals formed during dissociation.

The initial step in homolytic bond cleavage involves electron flow near the bonds, where fragments retain one bonding electron. Before using the Fukui kernel to analyze bond reactivity, studying how the bond order changes with the initial
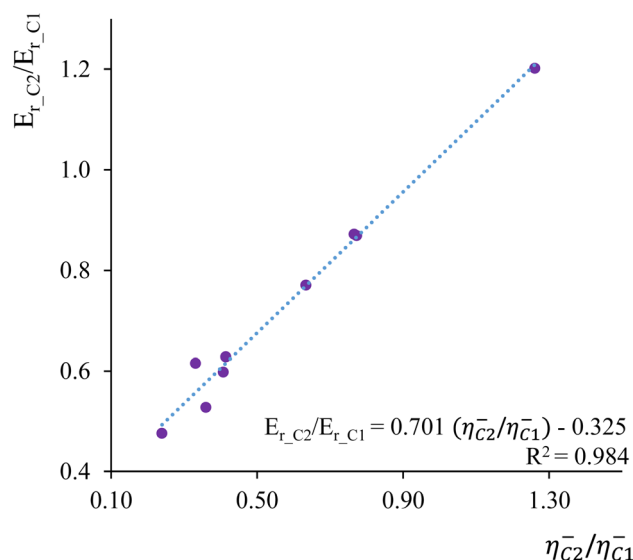

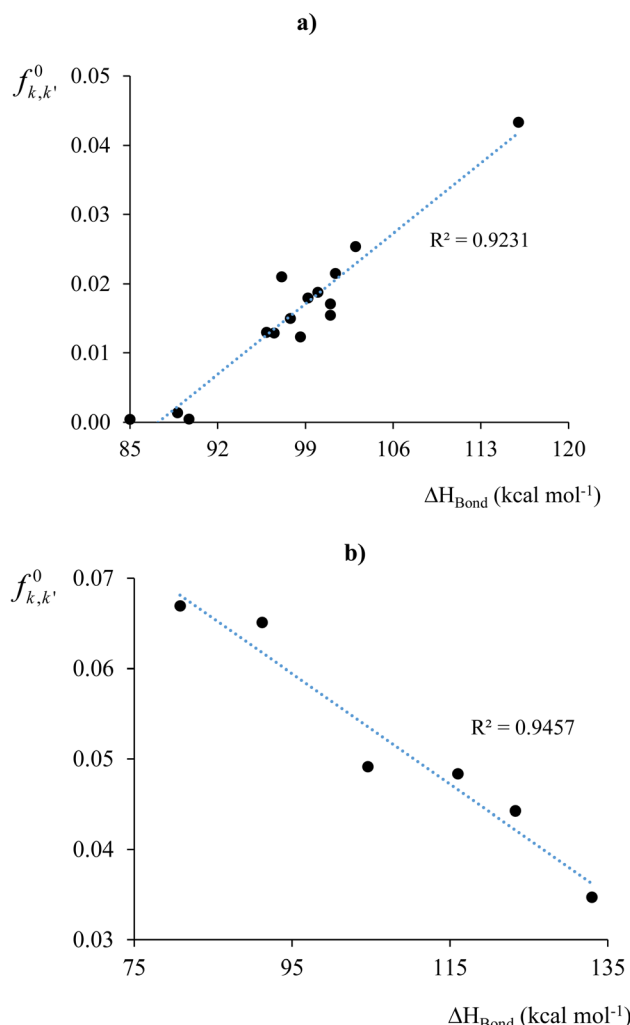Fig. 4 $f_{k,k'}^0$ vs. ΔH_{bond} profiles for systems with molecular bonds for which (a) $\Delta f_{k,k'}^{(2)} < 0$ (electron flux destabilized) and (b) $\Delta f_{k,k'}^{(2)} > 0$ (electron flux stabilized). Figure (a) does not consider the data for the breaking of ethylene to methylene radicals (system 7, ref. 113) due to its large value for $f_{k,k'}^0$. Including this reaction would increase the correlation coefficient to 0.967. This figure has been reproduced from ref. 113 with permission from The Royal Society of Chemistry, copyright 2017.

Fig. 3 Correlation profile between the local hardness ratio η_{C2}^-/η_{C1}^- and the reaction energy ratio E_{r_C2}/E_{r_C1}.

electron flux is essential. For this purpose, we used the τ-hyperdual kernel. Positive values of the τ-hyperdual kernel indicate increased bond order and resistance to homolytic cleavage, while negative values suggest weakened chemical bonding due to both the initial electron flux and bond breaking. Results in Table 1 of ref. 113 show that if one first uses $\Delta f_{k,k'}^{(2)}$ to classify the susceptibility/reluctance of a chemical bond toward its hemolytic cleavage, the calculated values for $f_{k,k'}^{0}$ then follows a similar trend to the experimental values of the bond enthalpies. Specifically, for bonds with $\Delta f_{k,k'}^{(2)} \leq 0$, ΔH_{bond}, decreases if $f_{k,k'}^{0}$ increases. Conversely, for bonds with $\Delta f_{k,k'}^{(2)} \geq 0$, ΔH_{bond} increases if $f_{k,k'}^{0}$ increases. Based on these classifications, we subsequently constructed the two correlation profiles presented in Fig. 4a and b, obtaining fairly high regression coefficients r^2. As it is evident, delving into the analysis of bond stability and reactivity demands a more intricate examination. Moreover, bond cleavage constitutes a complex process involving various factors, for instance spin-recoupling, which falls beyond the scope of the bond descriptors under consideration.

As a final case of study, we analyzed the electrophilic aromatic substitution, S_EAr, occurring in 20 different chemical processes, encompassing chlorination,[154,155] bromination,[155] nitration,[156,157] mercuration[158] and protonation[159,160] of a set of benzene derivatives (bromine, chlorine, fluorine, iodine, methoxy, methyl, nitro, and hydroxy). S_EAr proceeds by the formation of a sigma-complex intermediate, which is characterized by a non-aromatic six-membered ring with five sp^2-hybridized carbon atoms and one sp^3-hybridized carbon atom, forming sigma bonds with both the attacking electrophile and the departing substituent. The formation of the sigma-complex is generally the rate-limiting step for electrophilic aromatic substitution reactions.[151,161] Our analysis revealed two patterns: one linking experimental ortho/para selectivity ratios to corresponding electrophilic local chemical potential ratios, $\mu_{ortho}^{-}/\mu_{para}^{-}$, Fig. 5a, and another associating ratios with local electrophilic hardness values at the para position, η_{para}^{-}, Fig. 5b. Fig. 5a shows that increasing the basicity of the ortho carbon favors ortho substitution, while Fig. 5b indicates a preference for para substitution when the para carbon's hardness exceeds that of the ortho carbon, aligning with the HSAB principle. These findings suggest that S_EAr regioselectivity may be influenced by the (local) acid–base and hard–soft properties of ortho/para carbons. Specifically, if the ortho carbon is harder, the ortho/para ratio increases with its basicity. Conversely, the para adduct predominates with increasing para carbon hardness when the para carbon is harder.

4. External fields

4.1 Computational strategy (finite fields)

The overall strategy behind all calculations is a "finite field" approach,[162] i.e., atomic or molecular properties were calculated at different values of the field strength and constant geometry (constant external potential $v(\mathbf{r})$), and results are reported as such or in the form of derivatives at field strength zero, for the response functions. All calculations were done at 0 K, thereby
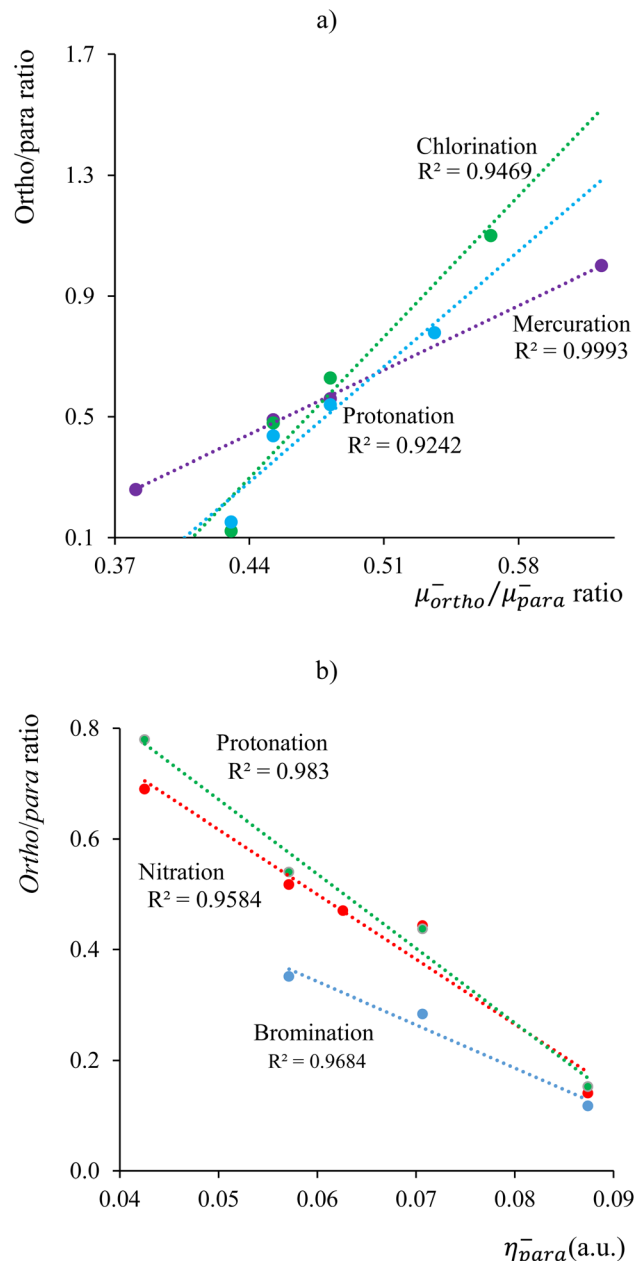

Fig. 5 Correlation profiles between experimental S_EAr ortho/para selectivity ratios and (a) condensed $\mu_{ortho}^{-}/\mu_{para}^{-}$ local chemical potentials ratios, (b) condensed η_{para}^{-} values.

avoiding the simultaneous presence of temperature and an external field in the energy functional. External fields are considered one at a time, so no mixed perturbations are treated. Details about the "single point" calculations, i.e., for a given field strength and at the geometry of the zero field calculation (the demand for constant $v(\mathbf{r})$) can be found in the original references.

For an electric field with a linear term in the Hamiltonian, the response functions are mostly stopped at first order in the field justified by the relatively low field strengths used (usually from 0 to 0.02 a. u.).[163] Note that 1 a. u. corresponds to 5.142×10^{11} V m^{-1}, so e.g. a field of 0.01 a. u. (0.5×10^{11} V Å^{-1}) is within

the range corresponding to electric fields applied in STM experiments and in line with the typical field strengths experienced at the active sites of enzymes and zeolites.[51,164,165] For magnetic fields, both the linear Zeeman term (involving the interaction with the total spin S and the total angular momentum L) and the quadratic diamagnetic term are taken into account, but also here, only first-order derivatives w.r.t. B are considered.[166] The fields considered vary from 0 to 1 a. u. where 1 a. u. is 2.3505×10^5 T (also denoted as B_0), comparable to the highest fields on white dwarfs. Note that this is still far below the very strong fields at which the so-called Landau regime sets in, where the magnetic interactions overrule the coulombic interactions.[57] A non-perturbative implementation of current–density functional theory is used in these calculations.[166]

As already mentioned, in the two cases described above, the computational strategy is, at least in principle, straightforward provided that the inclusion of external electric and magnetic in the Hamiltonian is well defined; however, technically, it is much more complicated in the magnetic field case. The situation for mechanical forces and pressure is different as no corresponding terms in the Hamiltonian are available, so in both cases, an *ad hoc* computational strategy is used to define the change in external potential associated with the imposed constraint (more rigorously, one could determine the change in external potential using a variational principle like eqn (8), but this is generally impractical). Ergo, the mechanochemical calculations were performed with Beyer's COGEF (Constrained Geometries Simulate External Force) method[167] in which the external force is simulated by imposing equilibrium distances r' different from the field free equilibrium distance r of the diatomics discussed here. Through a number of single point calculations at different r' values curves of the property considered as a function of F are obtained.[168] The forces considered varied between $-F_{max}/2$ and $+F_{max}/2$ where F_{max} is the maximum external pulling force that a molecule can resist.

The introduction of pressure was done with the XP-PCM method[64,65] allowing simulations of pressures in the GPa range. Increasing pressure is achieved by compressing a molecular cavity with volume V_C by reducing the radii of the spheres comprising this cavity. A scale factor f that is multiplied with a set of van der Waals radii starting from reference value f_0 at standard conditions is gradually reduced. An energy *vs.* cavity volume curve is fitted to a Murnaghan equation of state,[169] and the pressure is obtained as $P = -\partial E/\partial V_C$. Repeated molecular single-point calculations at different pressures then yield the desired property *vs.* pressure behavior. A pressure ranges up to the order of 100 GPa was considered, corresponding to the lower limit of the DAC based experiments mentioned above.

4.2   Results and discussion

4.2.1   Electric fields. In this section, we concentrate on *local* descriptors (for a discussion on the $\partial\mu/\partial\varepsilon$ and $\partial\eta/\partial\varepsilon$ global descriptors, see ref. 163, with the electron density response $\partial\rho(r)/\partial\varepsilon$ for di-halogens and the Fukui function response $\partial f(r)/\partial\varepsilon$ for H_2CO as examples. In Fig. 6, the finite difference result is
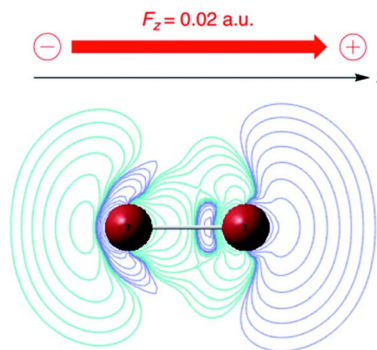

Fig. 6 $\partial\rho(r)/\partial\varepsilon$ plot (in a. u.) for Br_2 for a parallel field F_z of +0; 02 a. u. (blue: positive contours, green: negative contours). This figure has been reproduced from ref. 163 with permission from the PCCP Owner Societies, copyright 2021.

plotted for the change in the density of the Br_2 molecule in the presence of a field of 0.02 a. u. along the molecular axis in a very good approximation equal to 0.02 $\partial\rho(r)/\partial\varepsilon$. The Gaussian[144] convention is adopted with the field pointing from − to + (Fig. 6).

As expected, the field polarizes the density with an accumulation of (negative) charge on the right-hand side of atom 1. The extent of polarization increases within the series of the four di-halogens F_2, Cl_2, Br_2 and I_2 as can be quantified to a certain extent by the distance of the outermost positive contour at the r.h.s. from nucleus 1 increasing from 1 (taken as unit) in F_2, to 1.7 for Cl_2, 1.8 for Br_2 and 2.4 for I_2, in line with the induced dipole moment, pointing in the $-z$ direction with absolute values increasing from 0.30, to 2.20, 3.27 and 5.26 D. The increase in the corresponding parallel component of the polarizability (5.9, 43.4, 64.3, 103.5 a. u.) was shown to be in fair agreement with earlier studies. Condensing the density *via* a NPA analysis,[170] an atom-condensed response function of the type $\partial q_A/\partial\varepsilon$ is obtained, reflecting again the higher sensitivity of the atom when going down in the periodic table: 2.42, 5.25, 7.31 and 10.68 a. u. for F_2, Cl_2, Br_2, I_2, respectively. This sequence, which in its turn parallels the polarizability sequence of the halogen atoms, can be traced back to the early work by Coulson in Hückel theory on the atom–atom polarizability $\pi_{r,s}$ ($\partial q_r/\partial\alpha_s$) where α_s denotes the Coulomb integral of atom s.[171] The role of the α_s as modulator of the potential is taken over by the electric field ε. A similar analogy evolves between $\pi_{r,s}$ and the above-mentioned linear response function $\chi(r,r')$ or $\partial\rho(r)/\partial v(r')$, which in condensed form takes the form of a matrix with elements $\partial q_A/\partial v_B$.[172]

In a second example, the Fukui function $f^+(r)$ for nucleophilic attack of formaldehyde is investigated, where the Fukui function f^+ is evaluated as the difference in the electron density of the anion and the neutral system which already received ample interest in the literature due to its potential of describing the reactivity of aldehydes and ketones in their most typical reaction.[173] In Fig. 7, the influence of a perpendicular electric field on f^+ in the plane perpendicular to the molecular plane and containing the C_2 axis(the higher field 0.2 is used for better visualization). The symmetry of the Fukui function w.r.t. the
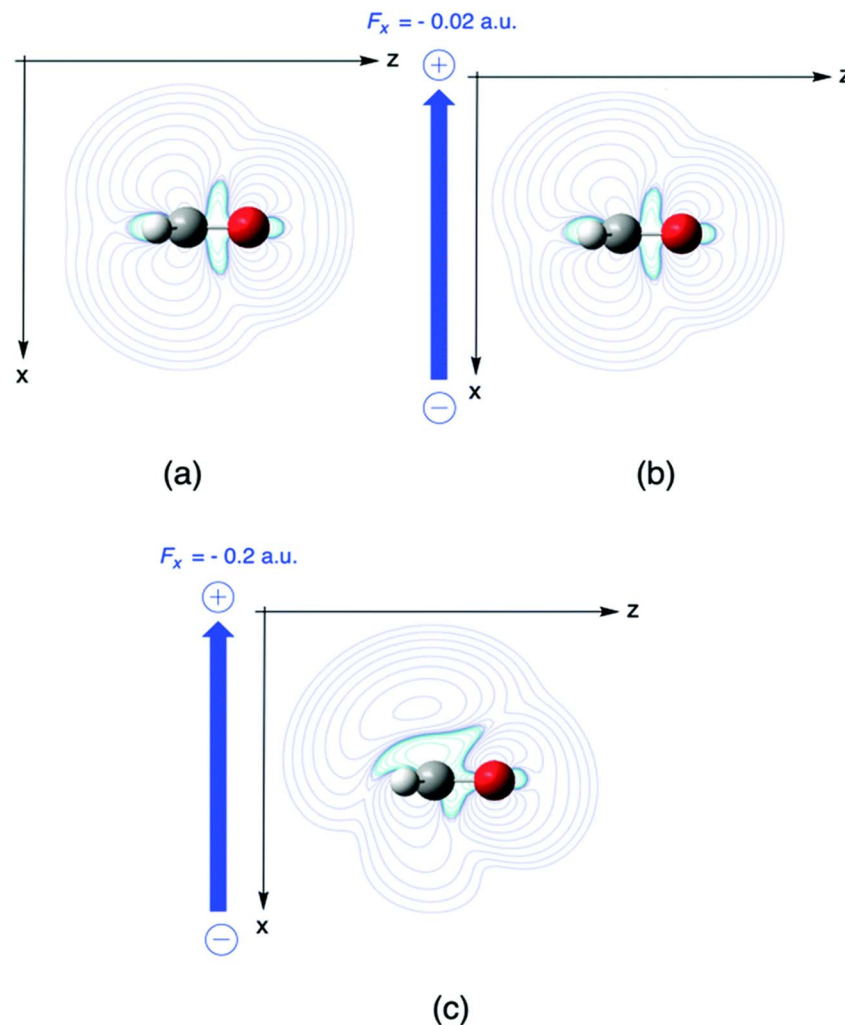
Fig. 7 Fukui function for nucleophilic attack f^+ for H_2CO without electric field (a) and with perpendicular fields F_x of (b) −0.02 and (c) −0.2 a. u., respectively. This figure has been reproduced from ref. 163 with permission from the PCCP Owner Societies, copyright 2021.

molecular plane is broken with contour lines stretching out more out on top of the molecule indicating a preference for a nucleophilic attack "from above". Upon increasing the field, the Bürgi–Dunitz angle[174] comes closer to 90° as compared to 107° for the field-free case. To rationalize the preference for an attack "from above" we decompose the Fukui function in two terms (eqn (35)). The difference between the Fukui function with and without field $(f^+(\boldsymbol{r};\varepsilon) - f^+(\boldsymbol{r};0))$, and the concomitant creation of asymmetry, can then be traced back to a difference in the deformation densities $\Delta\rho(\boldsymbol{r};\varepsilon) - \Delta\rho(\boldsymbol{r};0)$ between the anion and the neutral system

$$f^+(\boldsymbol{r};\varepsilon) = f^+(\boldsymbol{r};0) + [\Delta\rho^{(-)}(\boldsymbol{r};\varepsilon) - \Delta\rho^{(0)}(\boldsymbol{r};0)] \quad (35)$$

with

$$\Delta\rho(\boldsymbol{r};\varepsilon) = \rho(\boldsymbol{r};\varepsilon) - \rho(\boldsymbol{r};0) \quad (36)$$

It should be remarked that this effect shows up locally but, remarkably, disappears when passing to an atom-condensed version of the Fukui function. The difference in deformation densities is a finite difference approach to the derivative of the Fukui function w.r.t. the electric field $\partial f(\boldsymbol{r})/\partial\varepsilon$, a third order energy response function $(\partial^3 E/\delta v(\boldsymbol{r})\partial N\partial\varepsilon)$ further completing the response function tree (Scheme 1) together with the second order response function $\partial\rho(\boldsymbol{r})/\partial\varepsilon$ discussed above. In Fig. 8 the former function is shown in the finite difference approximation for $F_x = -0.02$ and −0.2 a. u., the latter case being used for magnifying the effect and improving the visualization of the asymmetry it induces.

This preference for a preferential side of attack may be taken further in the case of ketones or aldehydes with different substituents where a chiral system is then evolving upon attack of a suitable nucleophile. The preference for one side of attack may yield to the preference for forming one enantiomer, though the intricacies of an experimental setup with control of the orientation of the molecules and the field direction can hardly be overestimated.

As another recent example of the influence of an electric field on global DFT response functions, we refer to recent work where

$$E = E[N, v, \underline{\varepsilon}]$$

Scheme 1 Extended response function tree for the $E[N,v,\varepsilon]$ functional: first and second order ($n = 1,2$) and the most relevant third order ($n = 3$) cases. New electric field pertaining response functions[163] are in green boxes (reproduced from ref. 163 with permission from the PCCP Owner Societies, copyright 2021).
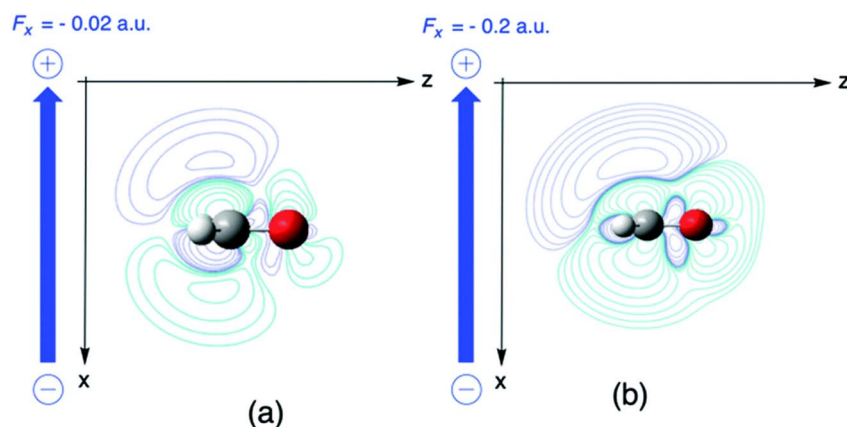

Fig. 8 Difference between deformation densities for the H_2CO anion and the neutral molecule field values (a) $F_x = -0.02$, and (b) -0.2 a. u. This figure has been reproduced from ref. 163 with permission from the PCCP Owner Societies, copyright 2021.

its influence on the philicity of radicals was studied varying from simple halogen atoms to diatomics and small polyatomics to aryl radical cations as a sequel to a previous study on electrophilicity/nucleophilicity trends in radicals in the absence of an electric field.[175,176] The nucleophilic or electrophilic character was quantified by the global electrophilicity index ω. This global descriptor, not addressed in the Introduction yet, is a so-called derived CDFT descriptor,[19] a combination of μ and η (see Section 4.2.3 for its evaluation), expressing the energy gain upon optimal uptake of electrons from an electron reservoir at zero chemical potential.[177,178] In a parabolic approximation for the $E(N)$ function it can be written as $\omega = \mu^2/\eta\omega$.

It turned out[176] that the amplitude in the change of ω is dependent on the direction and strength of the applied field, where in most cases, the largest increase in ω is observed when a strong electric field is oriented parallel to the intrinsic dipole

moment (in the positive direction) of the radical (*e.g.*, OH, CF_3) except when a low intrinsic dipole moment is accompanied by high polarizability as it is in the case of the aryl radical cations.

Finally, note that in Scheme 1, the new reactivity descriptors can be intertwined with the classical response functions used to describe the interaction of an atom or molecule with an electric field: the dipole moment μ^{dip}, the dipole polarizability tensor α and the first hyperpolarizability tensor β, containing only derivatives w.r.t. ε where ε has been separated from v as originally defined.

4.2.2 Magnetic fields. We first concentrate on the influence of an external magnetic field B on atoms, more precisely on their global CDFT descriptors, electronic chemical potential, electronegativity, and hardness. They were calculated using the finite difference approach[5,21] where their B dependence is evaluated starting from the B dependence of their constituents, the

ionization energy I, and the electron affinity A. This expression is an adaptation of the Mulliken[179] and Parr–Pearson[5,8] equations, which can be retrieved based on a parabolic behavior of the $E(N)$ curve at 0 K in this way avoiding the N-differentiability problem at stake in Section 3.1

$$\mu(B) = -\frac{1}{2}(I(B) + A(B)) = -\chi(B) \qquad (37)$$

$$\eta(B) = \frac{1}{2}(I(B) - A(B)) \qquad (38)$$

A case study, at Hartree Fock level and using an q-aug-cc-pVQZ basis,[180] on the C-atom for a uniform magnetic field oriented along the z-axis varying between 0 and 1 a. u. (to enable a comparison with Schmelcher's earlier work[181]) reveals a particularity that was not discerned in the electric field studies making the case of the magnetic field much more intricate. Indeed, upon increasing field strength the valence-ground state electronic configuration $2s^{\alpha\beta}2p_{-1}^{\beta}2p_0^{\beta}$ changes into $2s^{\beta}2p_{-1}^{\beta}2p_0^{\beta}2p_{+1}^{\beta}$ (at $B = 0.2B_0$) and into $2s^{\beta}2p_{-1}^{\beta}2p_0^{\beta}3d_{-2}^{\beta}$ at $B = 0.6B_0$ (due to the Zeeman term in the Hamiltonian the number of β electrons is maximized and the M_L value is minimized). This type of configuration switching is obviously also at stake for the cationic and anionic species, with, in general, different switching points. Combining the behavior of the different species as in eqn (37) and (38) leads to an at first unexpected result of a piecewise behavior of both the electronegativity and hardness, leading to four compartments as shown in Fig. 9 within each compartment a different behavior of χ or η as a function of B: an almost nearly linear behavior shows up in each compartment, but with a different slope and sometimes with a different sign.

Comparing electronegativity and hardness as a function of B for different atoms thereby becomes extremely subtle as it turned out that the number and positions of the switching points differ from atom to atom. Two options can then be taken to gain nevertheless insight in how these CDFT descriptors vary along the periodic table: the evaluation of all quantities at a given B value for which the middle value of the considered range can be taken ($B = 0.5B_0$), and the calculation of the χ or η derivatives at zero field in the spirit of the response functions discussed in the case of an electric field. With current DFT using
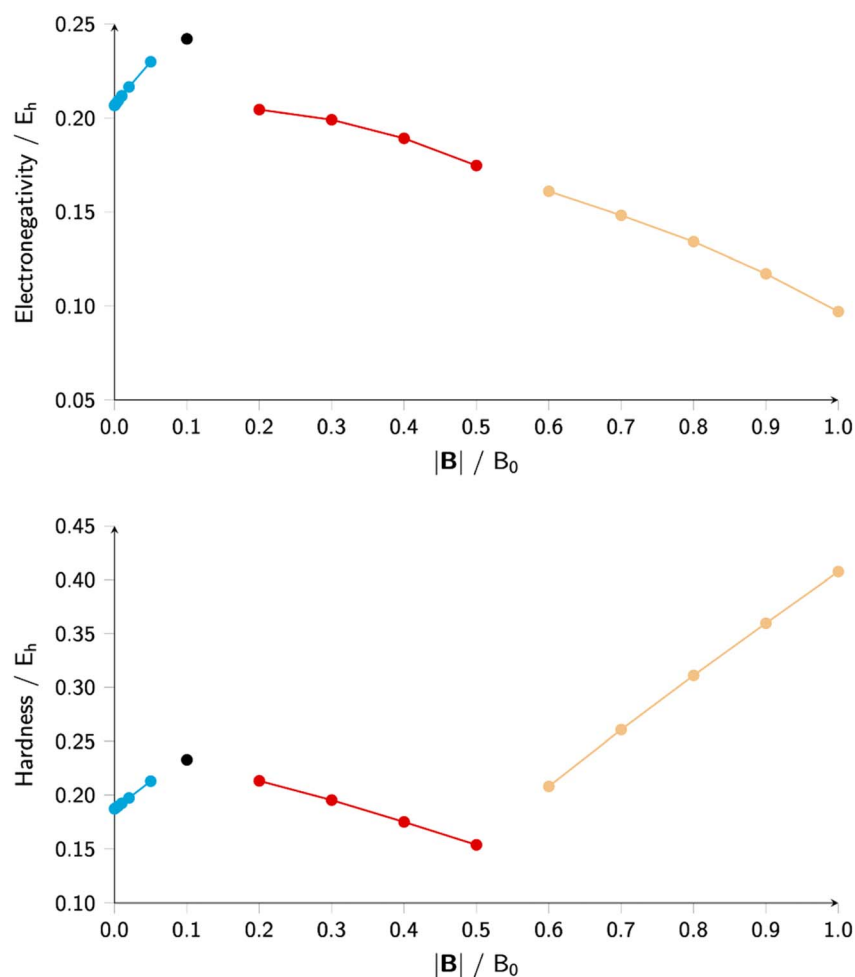

Fig. 9 Electronegativity and hardness vs. field strength for carbon (all values in a. u.). Different colors correspond to different combinations of ground state configurations of neutral, anionic, and cationic systems. This figure has been reproduced from ref. 182 with permission from the Royal Society of Chemistry, copyright 2022.
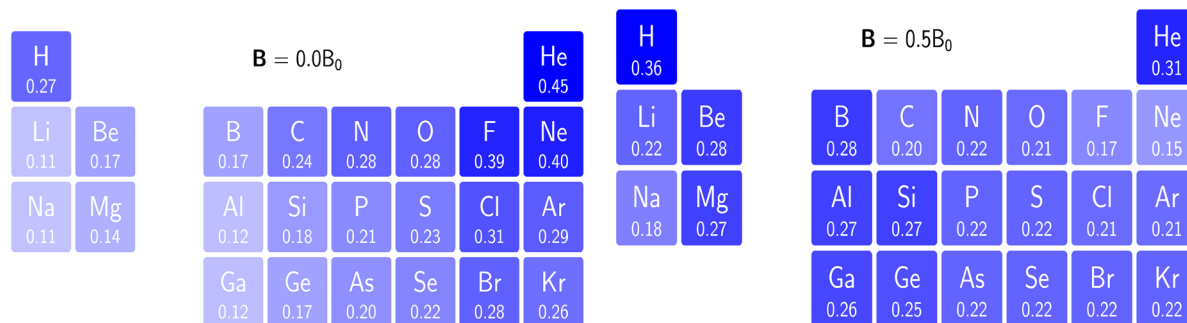
Fig. 10 Schematic representation of the electronegativity values at $B = 0.0B_0$ (left) and $B = 0.5B_0$ (right)). Darker colors indicate higher electronegativity. This figure has been reproduced from ref. 182 with permission from the Royal Society of Chemistry, copyright 2022.

the cTPSS exchange–correlation functional[183,184] (benchmarked against high level *ab initio* calculations in strong magnetic fields[185]) both options were explored. Fig. 10 shows the periodic table for the electronegativity of the main group elements up to Kr at $0.5B_0$ compared with the field-free case.

The $B = 0B_0$ case reflects well-known trends of increasing electronegativity from left to right and from bottom to top are retrieved at the $B = 0$ level. The high electronegativity for the noble gases is due to their extremely high ionization energies and negative electron affinities,[186] which were set equal to zero as often done in Conceptual DFT studies.[187] In the case of $B = 0.5B_0$, now all anions turn out to be stable since the addition of β electrons further stabilizes the system due to the spin-Zeeman effect. The overall pattern now differs significantly from the field-free case. The first four columns, except carbon, show a strong increase in electronegativity, the elements of the fifth and sixth columns slightly decrease, and the halogens and the noble gases strongly decrease. The overall pattern that shows up is a compression of the electronegativity values into a smaller range in which the left-hand side of the periodic table generally shows higher electronegativities than the right hand side, which may yield to changes in the polarity of bonds and so unexpected, new chemistry.

The results for the derivatives $\partial\chi/\partial B$ at zero field are given in Fig. 11. As no configuration jumps are expected in a finite field approach close to $B = 0$ yet (as checked for all atoms) this

quantity enables a more straightforward comparison across the periodic table.

The electronegativity derivatives for the s-block are negative (more outspoken for the earth-alkali atoms than for the alkali-atoms). When passing to the p-block strongly positive values occur for group 13 (B family), diminishing when passing to group 14 (C family), and becoming nearly zero for group 15 (N family). The remaining p-block groups show negative values, most notably for the halogens. *In globo*, one could say that the electronegativity of the elements at either end of the p-block is most sensitive to an external magnetic field leading to a large increase in electronegativity for elements at the left-hand side of this block and a large decrease in electronegativity at the right-hand side. Although the results in Fig. 11 are not directly comparable with the data depicted in Fig. 10, similar trends are retrieved for the p-block elements. Specifically, the increase in χ in Fig. 10 for the third and fourth groups aligns with the strongly positive derivatives in Fig. 11, the small change in the fifth group in Fig. 10 corresponds to the near zero derivative in Fig. 11, and the sometimes strong decrease in χ for the last two groups finds its equivalent in the negative derivatives shown Fig. 11. To put it otherwise: the overall tendency at $B = 0$ for increasing χ from bottom left to top right is attenuated by the decreasing χ response from left to right, the situation for the s-block elements being less clear-cut.
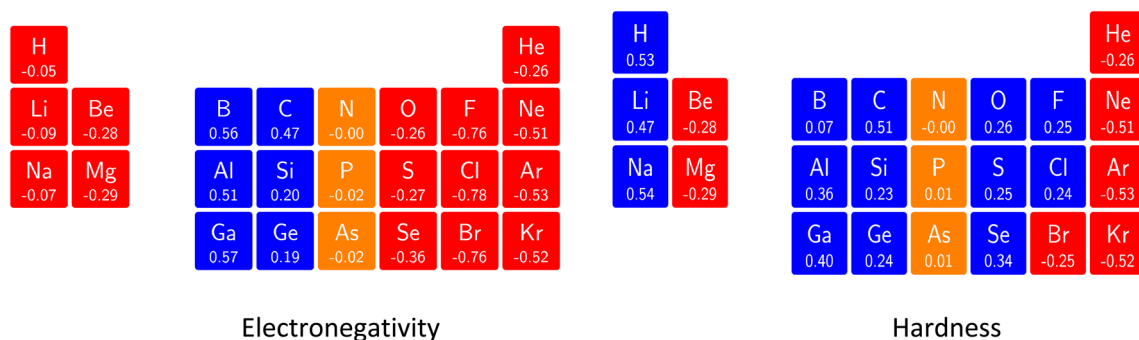

Electronegativity   Hardness

Fig. 11 Initial responses of the electronegativity and hardness in a magnetic field in a periodic table presentation showing both the numerical values (in a. u.) and categorizing them with a color code: blue (positive derivative), red (negative derivative) and yellow (derivative close to zero). This figure has been reproduced from ref. 182 with permission from the Royal Society of Chemistry, copyright 2022.
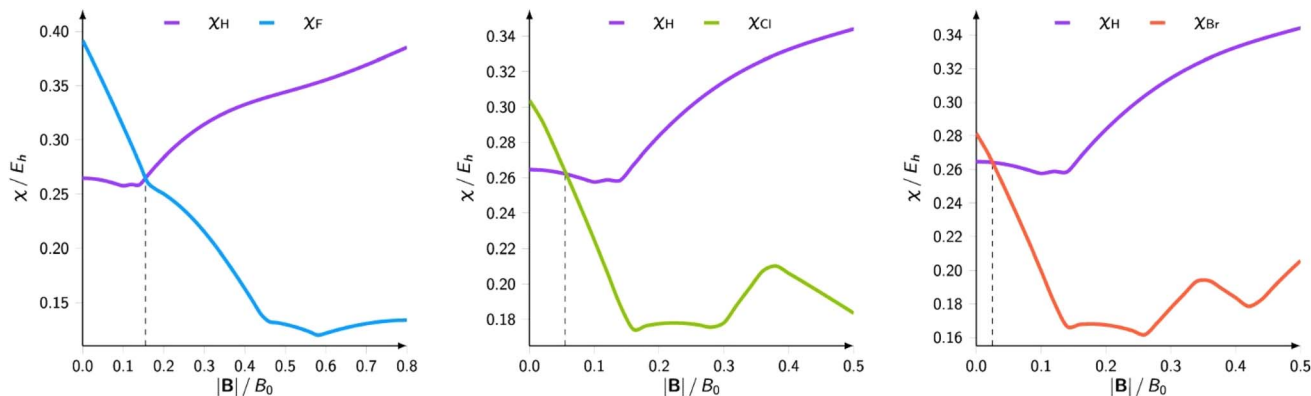
Fig. 12 Electronegativities of H and F(left), Cl (center), and Br(right) as a function of the magnetic field strength. This figure has been reproduced from ref. 188 with permission from Informa UK Limited, trading as Taylor and Francis Group, copyright 2022.

A similar analysis for the hardness[182] reveals two striking tendencies: periodicity shows up again and overall the atoms with highest hardness at zero field have a tendency for decreased or unchanged hardness where most other atoms have a tendency to increase. Again, an overall compression along each period shows up. Finally note that, as in Section 4.2.1, the new response functions involving energy derivatives w.r.t. the magnetic field B can be placed in an extended response function tree alongside with the well-known "classical" pure energy derivatives w.r.t. B: the magnetic dipole moment $\partial E/\partial B$ and the magnetic susceptibility $\partial^2 E/\partial B^2$.

The results on atomic electronegativity and hardness can be used as a starting point to investigate the evolution of the polarity of bonds under the influence of a magnetic field. Fig. 12 shows a more detailed view of the evolution of the electronegativity of hydrogen and the halogens (F, Cl, Br) upon increasing magnetic field strength.[188]

Not unexpected on the basis of Fig. 10 and 11, one observes a crossing between the H and halogen curves, which based on Huheey's equation,[21,189] foreshadows an inversion in the polarity of the hydrogen halides, the field strength needed being of the order of 0.16, 0.05 and $0.03B_0$ for HF, HCl, HBr, respectively. The larger the initial dipole moment HX, the larger the

magnetic field needed to invert it. It is tempting to see if this prediction, purely based on CDFT, would be confirmed by explicit dipole moment calculations. Fig. 13 indeed shows decreasing (absolute values) dipole moments (component along the bond axis) with increasing field. In all three cases a sign inversion occurs, again at a higher field for HF than for HBr, the HCl case at an intermediate field. Note that as opposed to the case of an electric field where the most important change in the dipole moment component along the bond is, as intuitively expected, provoked by a field along the bond axis, for a magnetic field the component perpendicular to the bond axis has by far the largest influence.

This fundamental difference of the action of an electric and a magnetic field is remarkable and can be traced back to the evolution of the charge density. Fig. 14 reveals that for a parallel magnetic field, there is no charge transfer along the bond axis, mainly an accumulation of charge around the nuclei, whereas in the case of a perpendicular field, a clear and significant depletion of charge density around the F atom occurs with a concomitant accumulation of charge density around the hydrogen atom, providing further insight in the field-induced reversal of bond polarity. This study reveals a new local CDFT descriptor $\partial\rho(\boldsymbol{r})/\partial B$ in its finite difference form. For a detailed
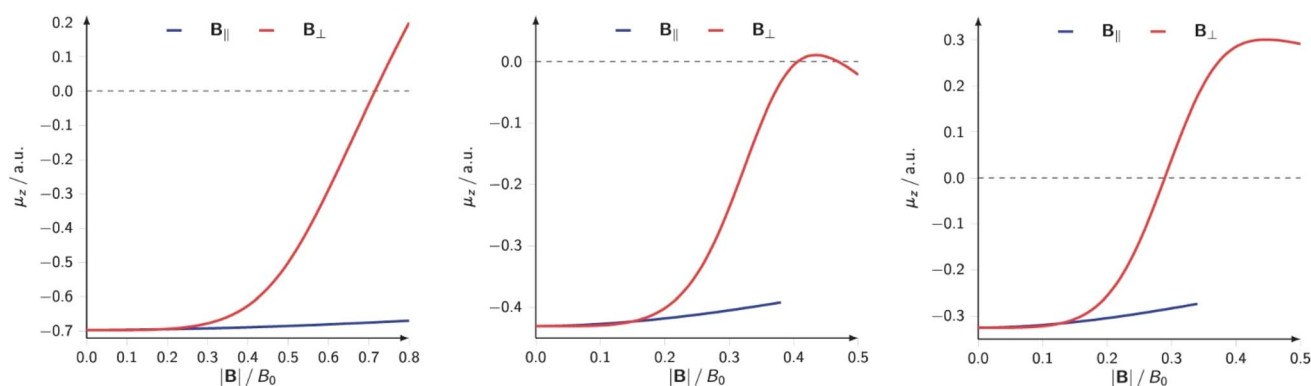

Fig. 13 Electric dipole moment along the internuclear axis of HF (left), HCl(center) and HBr (right) as function of the external field strength applied parallel and perpendicular to the bond axis (all values in a. u.). This figure has been reproduced from ref. 188 with permission from Informa UK Limited, trading as Taylor and Francis Group, copyright 2022.
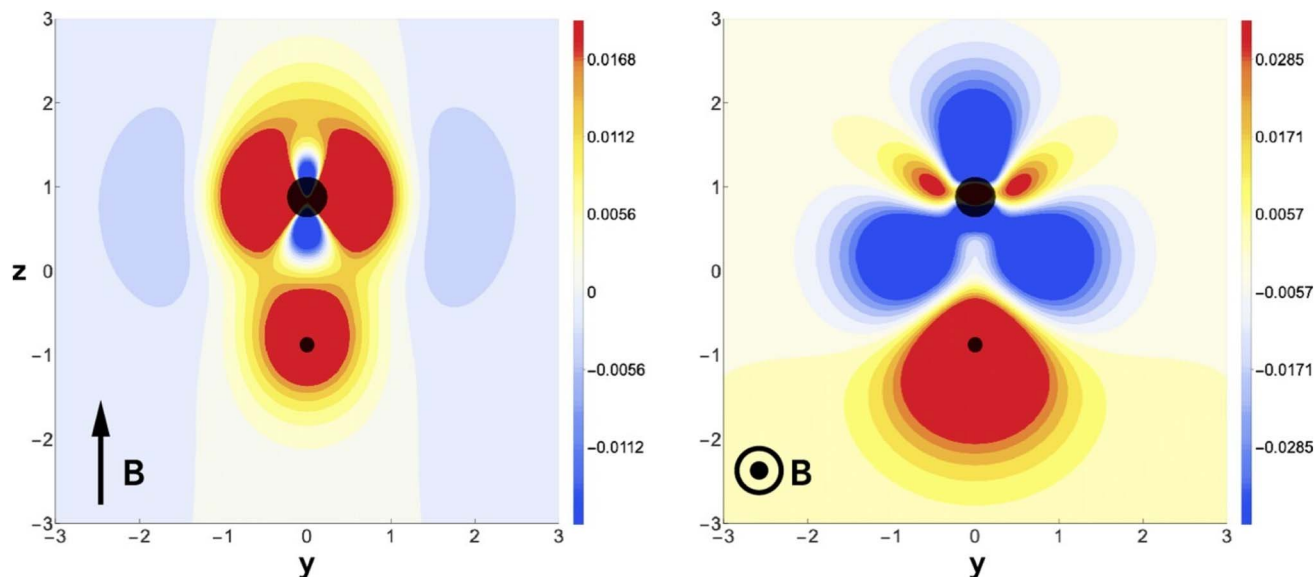
Fig. 14 Difference in charge density for HF in a magnetic field of $B = 0.8B_0$ applied field (left) and perpendicular (right) to the internuclear axis, relative to zero field. This figure has been reproduced from ref. 188 with permission from Informa UK Limited, trading as Taylor and Francis Group, copyright 2022.

symmetry analysis, also more involved in the case of a magnetic field due to its time-reversal symmetry and the extension to small, σ-bonded polyatomics (H_2O, NH_3) the reader is referred to the original publication.[188] The extension to π-bonded systems has been investigated recently.[190]

4.2.3 Mechanical forces: mechanochemistry. In this section we again concentrate on diatomics (cfr. 4.2.1) for which a series of 21 molecules (covalently bonded systems such as H_2, the hydrogen halides, the di-halogens, N_2, P_2, BN, O_2, S_2, SO, CO and ionic systems as LiF, NaCl, MgO) were investigated on the influence of a mechanical force, along the internuclear axis. Their global descriptors (electronegativity and hardness) were evaluated using the COGEF approach at CCSD/aug-cc-PVTZ level of theory.[168] First, the response of the vertical ionization energies and electron affinities $(\partial I/\partial F)_0$ and $(\partial A/\partial F)_0$ at zero field strength was evaluated *via* polynomial fits of the $X(F)$ data points, where X is the property considered, resulting from several single point calculations. The field strength was varied between $-F_{max}/2$ and $+F_{max}/2$. $(\partial I/\partial F)_0$ and $(\partial A/\partial F)_0$ were then combined into $(\partial\chi/\partial F)_0$ and $(\partial\eta/\partial F)_0$ values like the magnetic field case (eqn (37) and (38)). The $(\partial I/\partial F)_0$ and $(\partial A/\partial F)_0$ values showed a fair correlation with the difference of the equilibrium distance of the neutral system and the cation $r_{e,neutral} - r_{e,cation}$ ($R^2 = 0.91$), and analogously for $(\partial A/\partial F)_0$ with $r_{e,anion} - r_{e,neutral}$ ($R^2 = 0.94$). Gathering all results, the $(\partial\chi/\partial F)_0$ *vs.* $r_{e,anion} - r_{e,cation}$ curve in Fig. 15 can be foreseen showing an overall pleasing correlation ($R^2 = 0.95$) with a curve nearly passing through the origin (broken line. The full line corresponds with a curve of the type $y = 0.5x$ which could be foreseen for small differences in r_e).

This type of result, showing that the evolution of the electronegativity under force is governed by the (difference in) equilibrium distances between cationic and anionic species,

can be carried over to the hardness, but with a slightly more complicated result, namely a proportionality with $2r_{e,neutral} - r_{e,anion} - r_{e,cation}$, reminiscent of Pearson's hardness expression in terms of the energy of the neutral system, its anion and cation. Looking back, all this is not so unexpected. The derivative is a response function, so it should, as all other response functions in CDFT, be an *intrinsic* property of the system, independent of the magnitude of the external force. On a dimensional basis, it is easily seen that it should be a length or distance. Considering now, for example, the electronegativity in the Mulliken expression, which can be reduced to an energy difference between cation and anion, the proportionality between a difference in equilibrium distance between cation and anion appears in a natural way. Considering the
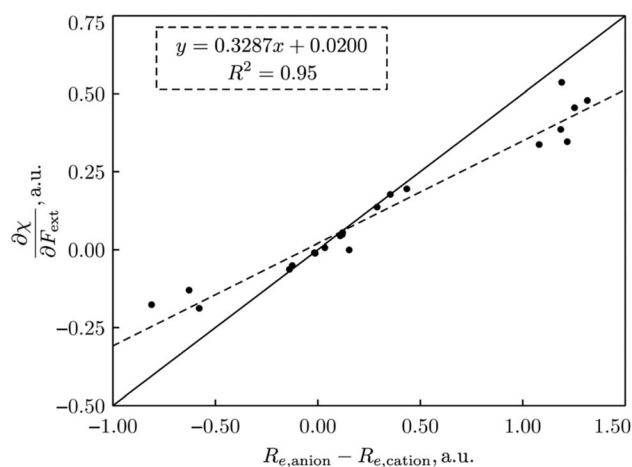

Fig. 15 Plot of $\partial\chi/\partial F$ vs. $(r_{e,anion} - r_{e,cation})$ for all 21 diatomics. All values in a. u. This figure has been reproduced from ref. 74 with permission from John Wiley and Sons, copyright 2023.

quantitative side and concentrating first on the simplest case, the electronegativity, it was found that out of the 21 molecules mentioned, only seven molecules show a larger bond distance for the cation than for the anion (H_2, HF, HCl, BN, LiF, NaCl, MgO; remark the appearance of the ionic systems) and all do show indeed a decrease in electronegativity upon applying a stretching force. The negative derivative can be traced back to the derivative of the ionization energy-component in χ which was seen to be strongly negative for the cases of an ionic bond and slightly negative for the hydrogen halides; in the case of these covalent bonds this negative sign can be interpreted in terms of the removal of an electron from a bonding orbital upon ionization (as opposed to the removal from an antibonding orbital of most other diatomics considered); in the case of an ionic bond, an electron is taken from an orbital that is localized on the (strongly) electronegative partner. All other diatomics have a larger bond distance in the anion relative to the cation and do show a positive first-order derivative of χ w.r.t. F, except for P_2). As far as the hardness response is concerned, all molecules do show a negative value indicating that a rule of thumb can be formulated: hardness decreases when an external stretching force is exerted on a diatomic in agreement with the Maximum Hardness Principle *in casu* for pulling forces. Finally, note that similar reasonings have been put forward in the case of bending forces,[191] and that applications have been presented on topology changes, the design of force probes, and achieving nucleophilic additions to ketones with "forbidden" reaction outcomes (anti-Felkin diastereoselectivity)[192–194] for which we refer the reader to the original papers and a recent review.[195]

We would like to end this section with an interesting perspective by considering the response of the electrophilicity ω to a mechanical force (*cf.* the electric field case in Section 4.2.1). This response ($\partial \omega / \partial F$) was evaluated based on the response functions for I and A as

$$\left(\frac{\partial \omega}{\partial F}\right) = \frac{1}{2}\left(\frac{\partial I}{\partial F}\right)(D - D^2) + \frac{1}{2}\left(\frac{\partial A}{\partial F}\right)(D + D^2), \text{ with } = \frac{\chi}{\eta} \tag{39}$$

Returning to the expression for χ and η (eqn (37) and (38), dropping now the B dependence), the electron affinity A is mostly much smaller than the ionization energy (a ratio of 1/8 has been proposed in the literature[196]) D is close to 0.5 indicating that the ($\partial A / \partial F$) term is dominant in eqn (39), resulting in mostly positive values of ($\partial \omega / \partial F$). Most diatomics are more prone to react with an electron-rich substrate when their bond is mechanically stretched. This tendency can be brought in line with studies by Baldus and Gräter[197] on the redox behavior of the disulfide bond in the cysteine–cysteine dimer, where it was found that the redox potential increases with external pulling force, the order of magnitude being comparable with that measured upon mutation of thioredoxin. As the present authors pointed out,[198,199] there is a positive correlation between reduction potentials and electrophilicity; the positive sign of ($\partial \omega / \partial F$) is completely in line with the evolution of the reduction potential under external force and (insight in) the possibility of tuning redox potentials by mechanical forces is a natural sequel.

4.2.4 Pressure. We start this section by considering two new derivatives of the energy w.r.t. pressure itself. Well known in macroscopic thermodynamics as Gibbs free energy derivatives, their atomic counterparts, $\partial E / \partial P$ and $\partial^2 E / \partial P^2$ (at constant N and v), are not commonplace in the literature on atomic and molecular levels. The derivatives are in fact the pressure analogues of the first and second energy derivatives w.r.t. an external electric and magnetic field and can be interpreted as phenomenological coefficients expressing the pressure dependence of the electronic properties of conceptual DFT (E, μ, η, ...). From a pure dimensional argument, this intrinsic property of the atomic or molecular system should be a measure of the
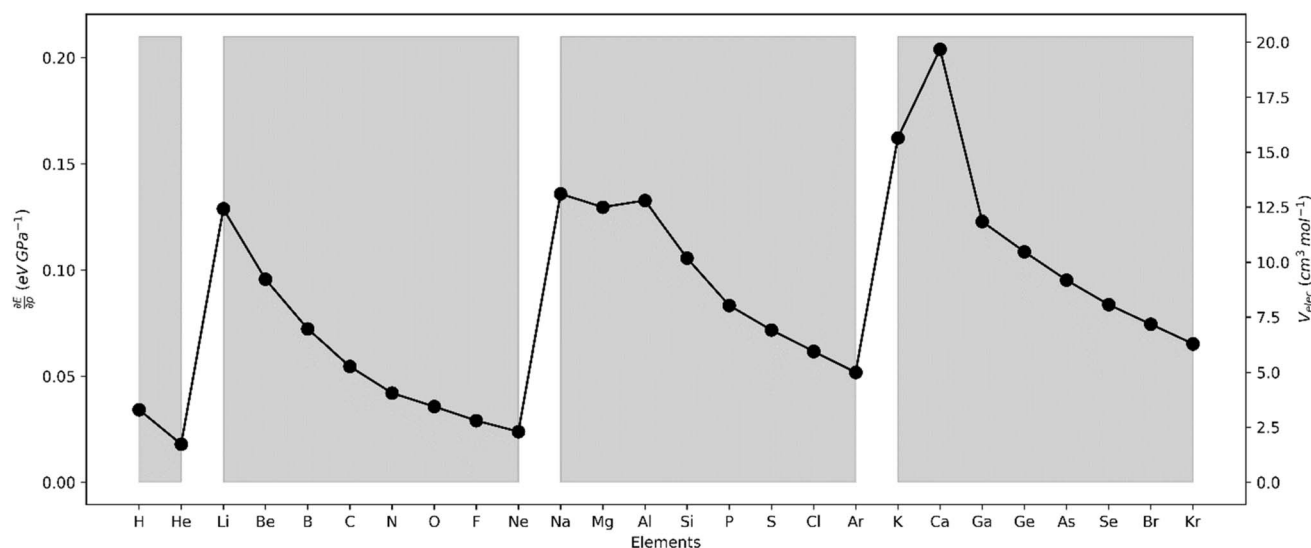

Fig. 16 First derivative of the energy w.r.t. pressure (in eV GPa^{-1}).

volume of the system, which will be termed electronic volume (V_{elec}) in analogy with macroscopic thermodynamics where the system's volume equals $(\partial G/\partial P)_T$.[200] Also, the analogy with the (change in) distance result in the force derivative in Section 3.2.3 is clear. Note, however, that the concerned pressure is isotropic and, as such, lacks the directionality of the mechanical force considered in Section 4.2.3. Concentrating in this section on atoms, we depict in Fig. 16 the $\partial E/\partial P$ value, which we call the electronic volume V_{elec} for all main group elements up to Kr at reference conditions (in XPPCM corresponding $f = 1.3$). The values refer to a DFA level using the PBE0 hybrid functional and the aug-cc-pVTZ basis.[67]

The results for the electronic volume show an overall periodic trend with a decrease throughout a period and increase in a column. To further establish the electronic atomic volume as a measure for the atom's size the corresponding pressure-sensitive radii, easily extracted from the electronic volume in

the hypothesis of spherical symmetry, yield radii with an order of magnitude similar to well-known other sets of atomic radii such as those presented by Rahm,[201] Bondi,[202] Ghosh,[203] with the best correlation for the Rahm set ($R^2 = 0.907$), not surprisingly, as also these values are associated with isolated atoms, whereas other scales often refer to atoms in bonded situations. Periodicity, as expected, is retrieved.

Along these lines, the second derivative $\partial^2 E/\partial P^2$ can be identified, again in analogy with macroscopic thermodynamics,[200] as directly related to an atomic compressibility

$$\kappa = -\frac{1}{V}\left(\frac{\partial V}{\partial P}\right)_{N,v} = -\frac{1}{V}\left(\frac{\partial^2 E}{\partial P^2}\right)_{N,v}, \text{ with } V = V_{elec} \quad (40)$$

This expression is written in analogy to the inverse of the bulk modulus for macroscopic systems and modulates the second-order derivative by the volume to account for the
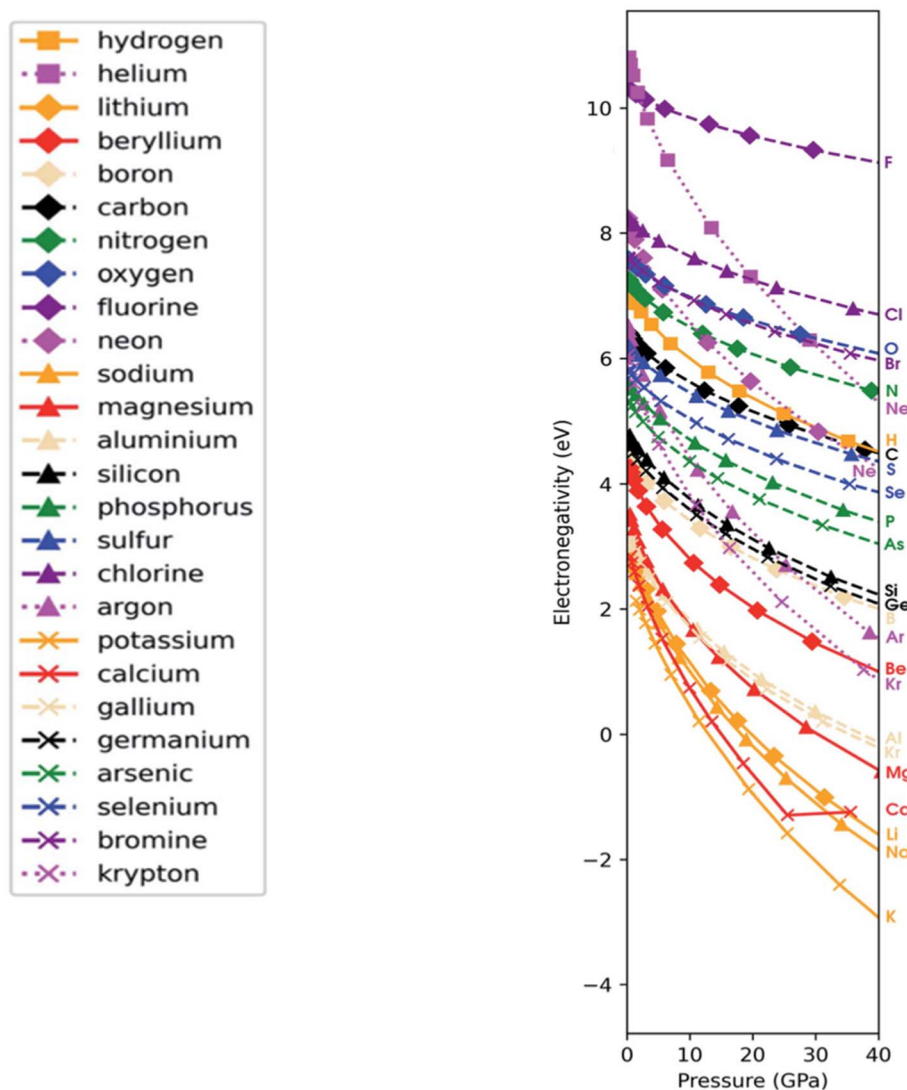

Fig. 17 Electronegativity as a function of pressure for all main group elements from H to Kr. Colors of the curve indicate the group: Ia orange, IIa red, IIIa yellow, IVa black, Va green, via blue, VIIa purple, VIIIa magenta; the marker denotes the period (1 square, 2 diamond, 3 triangle, 4 cross) and the line style denotes the block (solid: s block, dashed: p-block, dotted: noble gases) this figure has been reproduced from ref. 67 with permission from the Royal Society of Chemistry, copyright 2022.

difference in starting volume between atoms of different elements.

Passing now to electronegativity and hardness, a similar approach has been followed as in the cases of an electric and magnetic field, starting from evaluating I and A as a function of pressure.

Fig. 17 clearly shows that the electronegativity for all considered elements decreases with increasing pressure with a concave curve upward, leading to the at first sight unexpected result that the electronegativity becomes negative for some elements at high pressure. This behavior can be traced back to (1) the strong decrease in IP upon higher pressures leading, in the case of pressures outside the range considered here (50 to 90 GPa), to autoionization for K, Na and Li in agreement with the fact that alkali-atoms are most prone to electride formation;[204] (2) to the strong decrease in electron affinity for all elements, becoming negative above 40 GPa (except for the halogens). The decreasing trend in χ agrees with the result obtained by Garza *et al.* in a confinement approach using a Dirichlet type confining potential,[205] confirming that confinement studies can be seen as forerunners of pressure studies. Interesting to note is that more electronegative elements (at the reference conditions) display a less steep decrease than more electropositive elements. The increase in the range of electronegativities at higher pressure might yield an increase in the transfer of electrons upon bond formation, according to Huheey's expression[189] based on the electronegativity equalization principle (*cf.* Section 4.2.2). For example, in view of the dramatic decrease of the electronegativity of the noble gases, as seen in Fig. 17, noble gases become more reactive w.r.t. electron-accepting bonding partners, as seen, for example, in the literature on the krypton–oxygen bond expected to yield stable compounds.[206] Note that

the general trend and shape of the curves in Fig. 17 agree with previous work by Rahm *et al.*[201] based on the Allen scale. For an analogous discussion on the hardness, we refer to ref. 67.

Concentrating now on the derivative $\partial\chi/\partial P$ in Fig. 18, periodicity shows up in the behavior along a period with, at first sight, some breaks when passing from the s-block to the p-block and in the nitrogen group. These particularities and the overall behavior of the black curve in Fig. 16 can be interpreted in a way that bears a strong analogy with the analysis of the χ and η derivatives w.r.t. an external force in Section 4.2.3. In the case of the electronegativity, the mixed second-order response function $-\partial^2 E/\partial N\partial P$ or $\partial\chi/\partial P$ can be written as

$$\frac{\partial\chi}{\partial P} = \frac{1}{2}(\Delta V_{IE} - \Delta V_{EA}) = \frac{1}{2}(V_{cation} - V_{anion}) \quad (41)$$

In analogy with the mechanical force case where $\partial\chi/\partial P$ was found to be proportional to $(r_{anion} - r_{cation})$. ΔV_{IE} is defined as the volume change associated with the loss of an electron $(V_{cation} - V_{anion})$ and ΔV_{EA} is the opposite of the volume change associated with the addition of an electron $(V_{neutral} - V_{anion})$. It is easily seen that, as the volume in this context decreases when passing from an anion, to the neutral system and the cation, both ΔV_{IE} and ΔV_{EA} are negative, but that the absolute value for ΔV_{EA} is by far larger than that of ΔV_{IE}, resulting in a negative $\partial\chi/\partial P$ derivative. Jumps or discontinuities in the curves can be traced back to the large volume expansion upon adding an electron to a noble gas, the removal of an electron from an alkali metal leading to the depletion of a subshell, the creation of a new subshell upon addition of an electron to the IIa metals, and the filling of the third p orbital in the anion of the IVa elements.
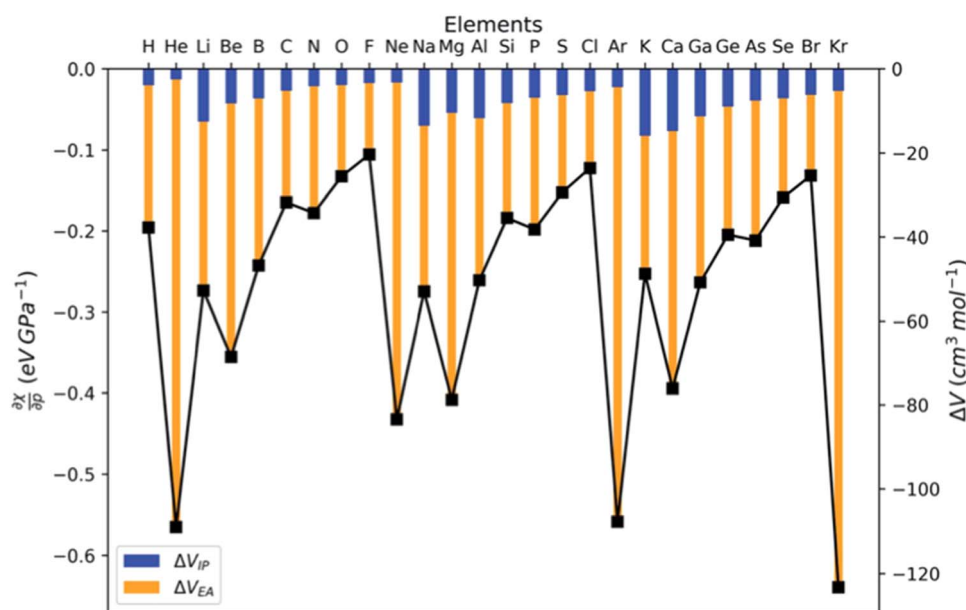

Fig. 18 Derivative of the electronegativity w.r.t. the pressure at reference condition for all main group elements from H to Kr. The derivative is split into two volume terms: one involving the ionization energy (ΔV_{IE}) and one involving the electron affinity (ΔV_{EA}) (right axis). This figure has been reproduced from ref. 67 with permission from the Royal Society of Chemistry, copyright 2022.
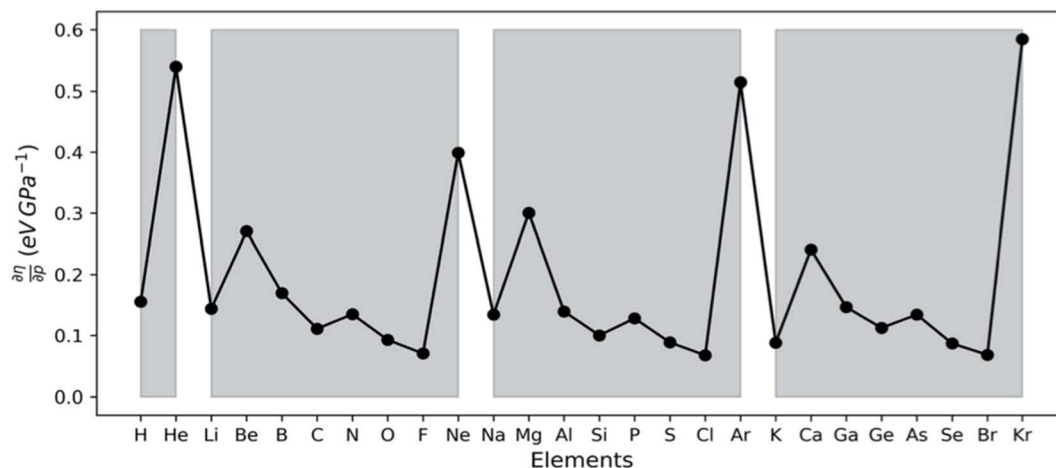
Fig. 19 Derivative of the hardness w.r.t. the pressure at reference condition for all main group elements from H to Kr. This figure has been reproduced from ref. 67 with permission from the Royal Society of Chemistry, copyright 2022.

In analogy with the force derivatives, the dependence of the chemical hardness on the pressure, at least formally the third order mixed derivative $(\partial^3 E/\partial N^2 \partial P)$, can easily seen to be written as

$$\frac{\partial \eta}{\partial P} = \frac{1}{2}\left(V_{\text{cation}} + V_{\text{anion}} - 2\ V_{\text{neutral}}\right) \qquad (42)$$

This quantity is always positive (Fig. 19), as can be foreseen by the relative order of magnitudes of the electronic volumes, further strengthening the electronic volume concept. As the hardness increases with increasing pressure, the softness (and also the polarizability) decreases with increasing pressure, retrieving the trend found in confinement studies thus further confirming the forerunner character of confinement to pressure studies.

For an extension of these studies to a local descriptor, the electron density, we refer the reader to the original literature.[67] An information theory[207] based approach on the radial distribution function thereby again revealed periodicity in an absolutely unbiased way in contrast with the authors' previous studies on atomic densities[208] where a reference distribution had to be put in.

The behavior of atomic electronegativity and hardness under pressure has recently been used to interpret/predict the behavior the dipole moment of a series of diatomics under pressure, based on the Huheey equation,[21,189] in complete analogy with the magnetic field case in Section 4.2.2.[209]

5. Generalized computational framework

Whether one models the energy of a chemical system (possibly with auxiliary fields, $X = \varepsilon, B, F, P, etc.$) using a standard interpolatory model[106,196,210] or the grand canonical ensemble, the energy for a given (expected) number of electrons is always a linear combination of the energies of various eigenstates,[106]

$$E[v, X, N] = \sum_{n=0}^{\infty} \sum_{i=0}^{\infty} w_{n;i}(N) E_{n;i}[v, X] \qquad (43)$$

where the sum is over the stationary states (indexed by i) for the N-electron system defined by the external potential $v(\boldsymbol{r})$ and the (optional) auxiliary field X (when one is using the grand canonical ensemble, the coefficients, $w_{n;i}(N)$, are temperature-dependent ensemble weights and their dependence on the expectation number for the number of electrons is controlled by the chemical potential). Ensuring that the electron density,

$$\begin{aligned} \rho[v, X, N; \boldsymbol{r}] &= \sum_{n=0}^{\infty} \sum_{i=0}^{\infty} w_{n;i}(N) \frac{\delta E_{n;i}[v, X]}{\delta v(\boldsymbol{r})} \\ &= \sum_{n=0}^{\infty} \sum_{i=0}^{\infty} w_{n;i}(N) \rho_{n;i}[v, X; \boldsymbol{r}] \end{aligned} \qquad (44)$$

is nonnegative and appropriately normalized requires that

$$N = \sum_{n=0}^{\infty} \sum_{i=0}^{\infty} n w_{n;i}(N) \qquad (45)$$

and the requirement that the energy is correct when all the contributing states are degenerate requires

$$1 = \sum_{n=0}^{\infty} \sum_{i=0}^{\infty} w_{n;i}(N) \qquad (46)$$

for all N. This in practice implies severe constraints on the form of the ensemble/interpolatory weights. Specifically, differentiating eqn (45) with respect to N gives the constraints,

$$\sum_{n=0}^{\infty} \sum_{i=0}^{\infty} n \frac{\partial^k w_{n;i}(N)}{\partial N^k} = \begin{cases} 1, & k = 1 \\ 0, & k = 2, 3, K \end{cases} \qquad (47)$$

It is only in the ensemble case that the coefficients are nonnegative, $w_{n;i} \geq 0$, and the only interpolatory ensemble model is the zero-temperature grand canonical ensemble.[211] Only three sensible choices for $w_{n;i}$ are known: piecewise linear interpolation (zero-temperature grand-canonical ensemble),

(generalized) Lagrange polynomial interpolation, and the finite-temperature grand canonical ensemble.

Because eqn (43) is the only form for the energy that gives reasonable results, the response functions/reactivity indicators of the system are easily computed from the corresponding response functions of the system and the derivatives of the coefficients with respect to electron number[106]

$$\frac{\partial^k \delta^l \delta^m E[v, X, N]}{\partial N^k \delta v(\boldsymbol{r}_1) \cdots \delta v(\boldsymbol{r}_l) \delta X^m} = \sum_{n=0}^{\infty} \sum_{i=0}^{\infty} \frac{\partial^k w_{n;i}(N)}{\partial N^k} \frac{\delta^l \delta^m E_{n;i}[v, X]}{\delta v(\boldsymbol{r}_1) \cdots \delta v(\boldsymbol{r}_l) \delta X^m}$$

(48)

For example, eqn (18) and (19) can be derived as special cases of this general equation.

The corresponding reactivity indicators for the grand canonical ensemble (derivatives w.r.t μ and $v(\boldsymbol{r})$) are readily obtained by the Faà di Bruno formula[37] (the same formula allows one to access derivatives with respect to the system's properties that are conjugate to the auxiliary fields). This sort of unified computational framework, which undergirds the implementation in ChemTools,[37,212] has advantages over piece-meal implementations of individual reactivity indicators since it is readily extended to arbitrary-order descriptors.

6. Conclusions and outlook

Extending CDFT with temperature is the most elegant way to cope with the N-differentiability issues of the $E[N,v]$ functional and concomitant ill-defined quantities. Passing to the grand canonical ensemble and using an ensemble model composed of the system's ground states with N_0, $N_0 - 1$, and $N_0 + 1$ electrons, well-behaved and computable CDFT descriptors at finite temperature are put forward. These descriptors offer insights into the chemical reactivity of species across a range of temperature conditions and notably coincide with their approximate zero-temperature results at the limit of absolute zero. Within the finite temperature framework, it is possible to derive reasonable definitions for ambiguous quantities like local hardness. Additionally, a new series of indices has been formulated as partial derivatives of ensemble properties w.r.t. temperature. These indices yield fresh and valuable insights into the physicochemical processes taking place during the initial stages of a chemical interaction.

The introduction of external "fields" (electric and magnetic fields, mechanical force, and external pressure, etc.) allows CDFT to adapt to the ever-increasing variability in the experimental reaction by augmenting the $E[N,v]$ functional with additional field variables (in casu one at the time, although combinations are in principle possible) yielding formally functionals of the type $E[N,v,X]$ with $X = \varepsilon, B, F, P$, etc. A finite field ansatz turns out, at this moment, the most practical way to compute the corresponding response functions, which formally can be seen as phenomenological parameters describing the field dependence of the energy at various orders. Overall, periodic trends showed up as a *leitmotiv* in the results. Symmetry breaking in reaction channels (electric field), segmented

property curves and polarity inversion (magnetic fields), reactivity/potential energy curves intricacies (mechanical force), auto-ionization, and changing bonding characteristics (pressure) show up in a natural way in a conceptual DFT context. A variety of analogies emerge: first, between intrinsic, microscopic volume, and compressibility related descriptors and the volume and compressibility in macroscopic thermodynamics; second, between the electronegativity and hardness response to an external force and the pressure, related to shifts in equilibrium distances or changes in intrinsic volumes between a neutral system, its cation, and its anion.

Incorporating temperature as a new variable for the description of the chemical reactivity of the electronic systems may be attributed to the fact that, during chemical interactions, the molecular quantum states are perturbed and redistributed due to the presence of a second reagent. This redistribution can be partially modeled by modulating the temperature variable. Consequently, one can identify/search for temperature values that favor chemical interactions, as demonstrated in eqn (33). Furthermore, there is potential for developing a new theoretical framework rooted in non-equilibrium thermodynamics. This framework could be employed to study systems in the presence of oscillating fields, potentially bridging the two theoretical approaches presented here.

All in all, we hope that the present overview might be inspiring. For the temperature dependence, note that the introduction of this new free variable places CDFT derivatives and response functions on a solid mathematical footing. For the external field dependence, evaluating and analyzing an increasing diversity of response functions may lead to a better insight in the way atoms and molecules respond to a large variety of external conditions, thereby changing their intrinsic reactivity. These investigations may support experimentalists "in their endeavor of continuously extending their reaction conditions portfolio in the search for the synthesis of new molecules with unprecedented properties".[74]

Data availability

All data reported in this Perspective is available in the original references cited.

Author contributions

All authors contributed equally to the manuscript's design, writing, editing, and revision.

Conflicts of interest

There are no conflicts to declare.

Acknowledgements

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