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# Role of terminal groups in aromatic molecules on the growth of $Al_2O_3$ -based hybrid materials

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Hybrid materials composed of organic and inorganic components offer the opportunity to develop interesting materials with well-controlled properties. Molecular Layer Deposition (MLD) is a suitable thin film deposition technique for the controlled growth of thin, conformal hybrid films. Despite the great interest in these materials, a detailed understanding of the atomistic mechanism of MLD film growth is still lacking. This paper presents a first principles investigation of the detailed mechanism of the growth of hybrid organic-inorganic thin films of aluminium oxide and aromatic molecules with different terminal groups deposited by MLD. We investigate the chemistry of the MLD process between the post-TMA pulse methyl-terminated Al<sub>2</sub>O<sub>3</sub> surface and the homo- or hetero-bifunctional aromatic compounds with hydroxy (OH) and/or amino (NH2) terminal groups: hydroquinone (HQ), p-phenylenediamine (PD) and 4-aminophenol (AP). Double reactions of aromatic molecules with the alumina surface are also explored. We show that all aromatic precursor molecules bind favourably to the methyl terminated Al<sub>2</sub>O<sub>3</sub>, via formation of Al-O and Al-N bonds and CH<sub>4</sub> elimination. While reaction energetics suggest a higher reactivity of the OH group with TMA in comparison to the NH<sub>2</sub> group, which could enable the double reaction phenomenon for HQ, we propose that the upright configuration will be present so that the organic molecules are self-assembled in an upright configuration, which leads to thicker hybrid films. Interactions between the methyl-terminated Al<sub>2</sub>O<sub>3</sub> with substituted phenyls are investigated to examine the influence of phenyl functionalisation on the chemistry of the terminal groups. Reaction energetics show that phenyl functionalization actually promotes an upright configuration of the molecule, which leads to thicker and more flexible films, as well as tuning the properties of the aromatic components of the hybrid films. We also investigate the interactions between methyl-terminated Al<sub>2</sub>O<sub>3</sub> with new possible MLD organic precursors, hydroquinone bis(2-hydroxyethyl)ether and 1,1'-biphenyl-4,4'-diamine. DFT shows that both aromatic molecules react favourably with TMA and are worthy of further experimental investigation.

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#### Introduction

The development of hybrid inorganic-organic materials with well-controlled properties is important for the future of nanoscale films and coatings with tunable properties for use in many technologies. Hybrid materials display features that combine the advantages of the individual materials and can add functionalities that are not present in the individual inorganic or organic components. The inorganic components incorporated in hybrid materials contribute to their excellent dielectric and magnetic features, including electrical resistivity, good thermal stability, and enhanced chemical stability. The organic compounds provide structural flexibility, efficient luminescence, photoconductivity, tunable electronic pro-

perties, and low density.<sup>6</sup> The combination of the advantages of both components makes hybrid materials suitable candidates in many technologies, such as protective coatings,<sup>7</sup> sensors,<sup>8</sup> catalysis,<sup>9</sup> optical devices,<sup>10</sup> *etc*.

Atomic layer deposition (ALD) is a thin film deposition technique based on sequential and self-limiting surface reactions, and has proven to be a leading technique to deposit conformal, smooth and pinhole free inorganic films. <sup>11–14</sup> A very similar technique, molecular layer deposition (MLD) is used to produce a wide spectrum of a new class of hybrid inorganic-organic films by controlling the thickness of each individual organic and inorganic layer. Such hybrid materials fabricated using MLD can display tunable thermal stability and excellent mechanical properties at the atomic and molecular level. <sup>3,5,15–18</sup> Metalcones are metal alkoxide films that are formed from organometallic precursors and organic alcohols. <sup>3–5,19,20</sup> The first reported MLD-grown metalcones were aluminum alkoxide films known as "alucone" and thereafter many more alucones were developed. <sup>22,23</sup> Later,

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many other metalcone groups were reported such as zincone, <sup>24–26</sup> titanicone, <sup>27–29</sup> hafnicone, <sup>30</sup> vanadicone, <sup>31</sup> magnesicone, <sup>32</sup> and metal-based polymers. <sup>33,34</sup>

Much has been done in developing different MLD  $\mathsf{processes}^{22,24,27,30-33}$  but much less is known about the important steps in MLD film growth. To better understand the deposition process and growth mechanism of hybrid films at molecular level, a combination of experimental data and theoretical data is needed. Density functional theory (DFT) has proven to be a powerful tool that allows us to explore and understand the reactions between the organometallic precursors and organic molecules that lead to the formation of metalcones and to address aspects of MLD experiments. 32,35-37 In ref. 32 DFT calculations were used to explore the growth mechanism of magnesicone films through the reaction of ethylene glycol (EG) and glycerol (GL) at MgCp-terminated MgO surface as a model system. This study shows that while the ligand elimination process is favourable for both organic molecules, EG prefers to orient in a flat configuration and interacts at the MgO surface and GL species prefer to lie in an upright configuration. This yields thicker GL-based films, consistent with experiment. Furthermore, DFT was used to investigate the molecular mechanism of the growth of aluminium alkoxides grown using trimethylaluminum (TMA) and EG or GL. This work shows that EG and GL can lie flat and create the so-called double reactions through the reaction of the two terminal hydroxyl groups with the surface fragments. This phenomenon removes active hydroxyl sites for EG. For GL the third hydroxyl group is available and growth can proceed. DFT studies in ref. 32 and 37 support experimental findings regarding the differences in magnesicone and alucone films grown with EG and GL, 32,38

The double reaction phenomenon of organic molecules in hybrid film growth is a common issue that will reduce the number of reactive groups in the surface, depress the film growth rate and give less flexible films.<sup>29,31,32,38,39</sup> Many metal–organic films have been deposited by using phenylbased organic precursors.<sup>40–47</sup> Because of their stiff backbone, such molecules have been considered as a possible solution to reduce the number of double reactions that hinder the film growth. In addition, aromatic molecules are of high interest as they are easy to work with, stable in air, volatile when heated and thermally stable.

The most concerning practical issue for alkyl based hybrid films after their deposition is their stability. In contrast to alkyl based hybrid films, aromatic based hybrid films exhibit an enhanced stability. For example, with hydroquinone (HQ; OH–C<sub>6</sub>H<sub>4</sub>–OH) the Ti- and Zn-based films were found airstable. Although the corresponding Al–HQ films were not fully air-stable, their degradation in ambient conditions occurred slowly enough that it was possible to characterize the films *e.g.* for the film thickness. Furthermore, conjugated organics can serve as electrical conductors, *e.g.* hydroquinone has been shown to improve the conductivity of ZnO for particular ZnO: HQ ratios. In another study, ALD and MLD were combined to create hybrid superlattice structures consisting of

single layers of HQ within the (Zn,Al)O framework to enhance the materials thermoelectric properties. It was shown that the addition of HQ can hinder the propagation of phonons through the structure and thus lower thermal conductivity.<sup>43</sup>

In ref. 41 aromatic carboxylic acids such as 1,2-benzene dicarboxylic acid, 1,3-benzene dicarboxylic acid, 1,4-benzene dicarboxylic acid, 1,3,5-benzene tricarboxylic acid and 1,2,4,5benzene tetracarboxylic acid were used as organic precursors combined with TMA as inorganic precursor to grow amorphous hybrid films with low surface roughness.41 When the 1,4-benzene dicarboxylic acid or so-called terephthalic acid (TPA) was combined with copper 2,2,6,6-tetramethyl-3,5-heptanedione as metal source, highly crystalline copper(II) terephthalate MOF-like thin films were obtained. 45 Additionally, crystalline Li-organic thin films were deposited with lithium hexamethyldisilazide (LiHMDS) and HQ as precursors. It was found that the deposited films undergo a reversible structural transformation upon exposure to ambient humid air. DFT calculations suggest that this may be related to an unsaturated Li site in the crystal structure.46 Amorphous hybrid films were also deposited using the promising transition metal precursor for ALD/MLD, copper bisdimethylaminopropoxide (Cu (dmap)<sub>2</sub>) combined with a variety of organic precursors i.e. HQ, TPA, 4,4'-oxydianiline (ODA), p-phenylenediamine (PD) and 1,4-benzenedithiol (BDT).47 In a previous study, DFT methods were employed to investigate the reactivity between the most common ALD precursor TMA and the functional groups OH, NH<sub>2</sub> and NO<sub>2</sub> in the respective substituted phenyls using gas phase models (that neglect the surface and substrate). From theoretical data it was evident that reactions energetics of TMA with NH2 or NO2 functional groups are lower than with OH.36 However, the detailed chemistry of hybrid films grown using aromatic molecules has not been studied with explicit surface models.

Fourier transform infrared spectroscopy (FTIR) was employed in many studies to verify the intended aromatic components in the grown films and to investigate the chemical bonding structure. 41,45,47,48,50 For all deposited films, features due to the aromatic rings and the different functional groups (OH, NH<sub>2</sub>, COOH, etc.) were seen, which indicates the presence of the organic part in the deposited hybrid film and that it is not displaced by the inorganic component. Furthermore, for most of the hybrid processes good growth characteristics have been achieved with aromatic precursors, indicating that the displacement of the organic part by the inorganic precursor is unlikely to occur during the following cycles. 48,50 Instead of replacing the pre-adsorbed molecules, it was found that TMA is more likely to infiltrate into porous MLD films during deposition. This phenomenon makes the deposition rate decrease after few cycles. However, the deposition rates can be slightly improved by optimizing the process parameters.<sup>51</sup>

For most of the hybrid ALD/MLD processes, the film growth rate has been seen to decrease with increasing deposition temperature. Ala-44 Reasons for this are still not fully understood, but most probably this is not due to lowered reactivity, but rather can be explained by: (i) the tendency of the metal

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precursor to remain in excess in the porous organic layer more readily at low temperatures, and/or (ii) the tendency of the sticky low-vapor-pressure organic molecule to get incorporated as a kind of reservoir within the growing film at low temperatures; in both cases the excess precursors would act as extra reaction sites for the film growth.

The proper design of organic precursors is very important in MLD when it comes to film properties. The organic precursor consists of a backbone that can be allyl or aryl, which remains in the hybrid film and the terminal/functional groups which are mostly responsible for the reactivity towards the metal precursor. In alucone films the terminal groups are hydroxyl (OH) groups,  $^{38}$  but there are many other functional groups already successfully employed: thiol (SH),  $^{47}$  amino (NH<sub>2</sub>),  $^{52}$  carboxylic acid (COOH),  $^{53}$  and sulfonic acid (SO<sub>3</sub>H).  $^{54}$  The choice of the terminal group naturally defines which atom of the organic precursor (O, N or S) is bonded to the metal atom of the inorganic precursor in the resultant metal–organic film and this may be important for the film properties.

In this contribution, we aim to understand the atomic level details of the deposition of OH and NH2-terminated aromatic molecules in hybrid structures with Al<sub>2</sub>O<sub>3</sub> and present a first principles investigation of the molecular mechanism of the growth of these films grown in an MLD process. We investigate in detail the chemistry of the MLD process between the post-TMA pulse methyl-terminated Al<sub>2</sub>O<sub>3</sub> surface and the homo- or hetero-bifunctional aromatic compounds with OH and/or NH2 groups as reactive groups: hydroquinone (HQ), p-phenylenediamine (PD) and 4-aminophenol (AP). We analyse the reactions between the methyl-terminated Al<sub>2</sub>O<sub>3</sub> with substituted phenyls to examine the influence of phenyl functionalization on the interaction between the O and N site of the aromatic molecules and the Al site of TMA. We also examine the reactivity of TMA with new possible MLD organic precursors as hydroquinone bis(2-hydroxyethyl)ether and 1,1'-biphenyl-4,4'diamine. DFT calculations suggest a higher reactivity of the OH group with TMA in comparison to the NH<sub>2</sub> group and this enables the double reactions for molecules with OH terminated groups. Therefore, aromatic molecules with NH2 terminal active groups could be a good option to promote film growth. We also found that the functionalization of the aromatic molecules can have an impact on the deposition chemistry of Al-organic films and change the preference of the aromatic from flat-lying to upright.

### 2. Computational methods

All reported surface calculations are carried out with DFT using the Vienna *Ab initio* Simulation Package (VASP) version 5.4.<sup>55</sup> The core electrons are treated by projector augmented wave (PAW) potentials<sup>56</sup> and the valence electronic configurations of the atoms used in these calculations are Al: 3s<sup>2</sup> 3p<sup>1</sup>, O: 2s<sup>2</sup> 2p<sup>4</sup>, N: 2s<sup>2</sup> 2p<sup>3</sup>, C: 2s<sup>2</sup> 2p<sup>2</sup>, Cl: 3s<sup>2</sup> 3p<sup>5</sup> and H: 1s<sup>1</sup>. The exchange–correlation functional is approximated by the Perdew–Burke–Ernzerhof (PBE) approximation.<sup>57</sup> The reaction

between aromatic molecules at Al(CH<sub>3</sub>)-terminated Al<sub>2</sub>O<sub>3</sub> was used as a model system and the  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> slab used for these calculations was taken from previous work. The adsorption energy of TMA on the hydroxylated Al<sub>2</sub>O<sub>3</sub> surface was calculated in a previous study, with the same computational set-up and models, and it was found that TMA adsorbs favourably with an energy gain -0.7 eV. This energy is close to the value computed for the same reaction in a gas-phase cluster, -0.6 eV. The geometry was optimized by relaxing the ionic positions, using an energy cut-off of 400 eV as well as a Monkhorst-Pack k-point sampling grid of (3 × 3 × 1). The convergence criterion for the forces is  $E_{\rm diffG} = 2 \times 10^{-2}$  eV. The computed equilibrium lattice parameters are a = b = 9.614 Å, c = 25.25 Å and  $\alpha = \beta = 90^{\circ}$ ,  $\gamma = 120^{\circ}$ .

Interaction energies between aromatic molecules and the Al  $(CH_3)$ -terminated  $Al_2O_3$  are calculated using:

$$E_{\mathrm{int}} = \sum E_{\mathrm{p}} - \sum E_{\mathrm{r}}$$

where  $E_{\rm p}$  and  $E_{\rm r}$  are the energies of products and of reactants, respectively.

For the example of hydroquinone (HQ) interacting with CH<sub>3</sub>-Al-Al<sub>2</sub>O<sub>3</sub>:

$$E_{\text{int}} = E[(HQ-Al-Al_2O_3) + (CH_4)] - E[(CH_3-Al-Al_2O_3) + (HQ)].$$

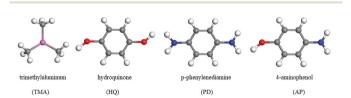
A negative  $E_{\text{int}}$  signifies an exothermic interaction and therefore the interaction is favourable.

#### 3. Results

# 3.1. Reactions between aromatic molecules and TMA on $Al_2O_3$ surface

The hydroxylated Al<sub>2</sub>O<sub>3</sub> surface terminated with one methyl group (MMA-Al<sub>2</sub>O<sub>3</sub>) that results from the adsorption of trimethylaluminium (TMA) on the Al<sub>2</sub>O<sub>3</sub> surface was taken from our previous work with alucones.<sup>37</sup> We performed fundamental investigations on the interactions between the post-TMA pulse methyl-terminated Al<sub>2</sub>O<sub>3</sub> surface and a selection of aromatic molecules with very different chemical character and these are hydroquinone (HQ), *p*-phenylenediamine (PD) and 4-aminophenol (AP), Fig. 1.

This set of aromatic molecules allows us to examine the reactivity of hydroxyl (OH) and amino (NH<sub>2</sub>) groups towards



**Fig. 1** Structures of trimethylaluminum (TMA), hydroquinone (HQ), *p*-phenylenediamine (PD), and 4-aminophenol (AP) after optimization by DFT. Purple-aluminium, red-oxygen, blue-nitrogen, grey-carbon, white-hydrogen. Figure coding is the same for all figures.

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TMA as well as the preferred orientation of the organic species. The selected aromatic molecules contain OH and NH<sub>2</sub> groups separated by an aromatic ring where the OH and NH2 groups serve as reactive linkers for condensation reactions with metal sites, leading to hybrid films. The modeling was done considering the potential proton transfer, elimination of methane molecules (CH<sub>4</sub>) and the formation of new Al-O and Al-N bonds. The OH and NH<sub>2</sub> groups have lone electron pairs with Lewis basie character and can bind with the methyl ligands (CH<sub>3</sub>) of TMA which have a strong Lewis acid character and eliminate as CH4. The initial reactions between TMA with HO and PD are likely to be as follows (Scheme 1):

The AP molecule is a heterobifuntional aromatic molecule that contains both active groups, OH and NH2. Therefore, AP can react with TMA according to R1 or R2.36 The optimized atomic structures of the MLD products with HQ, PD and AP are shown in Fig. 2. In the first calculations the aromatic precursors were modelled in an upright configuration. When these aromatic molecules react with TMA adsorbed on the Al<sub>2</sub>O<sub>3</sub> surface, a proton from a terminal active group (OH or NH<sub>2</sub>) transfers to the CH<sub>3</sub> ligand of TMA to form a new CH<sub>4</sub> molecule that is released as a by-product. For HQ the remaining O binds to Al of TMA with a Al-O distance 1.73 Å, and the calculated energy change for this reaction is -1.38 eV. For PD the remaining N binds to Al of TMA with a Al-N distance of 1.83 Å, and the calculated energy change for this reaction is -1.12 eV. Calculated energetics show that HQ and PD mole-

Scheme 1 Schematic representation of the proposed reaction mechanism of TMA with HQ (R1) and PD (R2) in the gas phase. Reproduced with permission from ref. 36.

cules bind favourably with TMA on Al2O3 via formation of new Al-O and Al-N bonds and CH<sub>4</sub> elimination. However, reaction energetics suggest a higher reactivity of the OH group with TMA in comparison to the NH<sub>2</sub> group. Containing both active groups, OH and NH2, AP can bind to Al of TMA through O with a calculated Al-O distance 1.71 Å and through N with a calculated Al-N distance 1.83 Å. A higher reactivity was calculated again for the reaction with the OH group with an energy change -1.25 eV for the Al-O bonding in comparison to the NH<sub>2</sub> group with an energy change of -0.86 eV for the Al-N bonding (Table 1).

In addition to the Al-O and Al-N bonds formed with the aromatic molecules, the Al atom is bonded to three surface oxygen sites with distances 2.0 Å, 1.78 Å and 1.75 Å in the reaction with HQ, 1.94 Å, 1.92 Å and 1.73 Å in the reaction with PD and 2.0 Å, 1.78 Å and 1.75 Å in the reaction with AP. After the interactions with the aromatic molecules the coordination number of the Al atom of TMA and the Al-O distances have changed since in the methyl terminated structure (MMA-Al<sub>2</sub>O<sub>3</sub>) the Al atom is bonded with two surface oxygens with Al-O distances 1.78 Å and 1.76 Å.

The results of this set of calculations are consistent with the findings of the gas phase model that was used to examine the interactions between TMA and the functional groups OH, NH<sub>2</sub>, and NO2 in the respective substituted phenyl molecules with DFT.<sup>36</sup> While that model accounts for the Al-O/Al-N bond formation, it lacks the alumina surface which is an important part of the growth chemistry. However, that study does show that the reactions between TMA and the functional groups are exothermic. It was also found that the reaction energy for TMA with NH<sub>2</sub> or NO<sub>2</sub> functional groups is considerably less favourable than with OH.

#### 3.2. Comparison of upright and flat-lying reactions of HQ, PD and AP

To investigate the double reaction phenomenon for the aromatic molecules in more detail, we examine interactions of the Al<sub>2</sub>O<sub>3</sub> surface terminated with two surface bound Al(CH<sub>3</sub>)

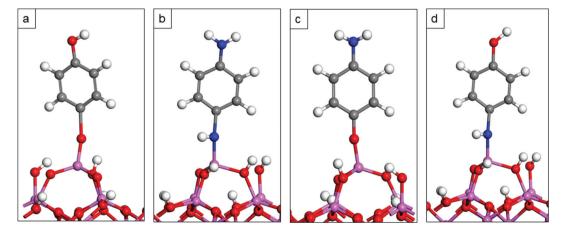


Fig. 2 Atomic structures of MLD reaction products of the interaction of the MMA-Al<sub>2</sub>O<sub>3</sub> surface with (a) HQ, (b) PD, (c) AP, Al-O bonding and (d) AP, Al-N bonding.

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Structure	Interaction energy (eV)
MMA-Al <sub>2</sub> O <sub>3</sub> -HQ	-1.38
MMA-Al <sub>2</sub> O <sub>3</sub> -PD	-1.12
MMA-Al <sub>2</sub> O <sub>3</sub> -AP(Al-O)	-1.25
MMA-Al <sub>2</sub> O <sub>3</sub> -AP(Al-N)	-0.86

species (2MMA-Al<sub>2</sub>O<sub>3</sub>), used in our previous work,<sup>37</sup> with HQ, PD and AP molecules in the upright and flat lying configuration. Minimising double reactions of the organic precursor is considered an important advantage of using stiff aromatic molecules as organic precursors. While in the upright configuration the aromatic molecules bind to Al sites through one active group and one CH4 molecule is released, in the flat configuration, the aromatic molecules bind through both active groups with two neighbouring Al sites and two CH<sub>4</sub> molecules are released. MLD reaction products of TMA adsorbed at Al<sub>2</sub>O<sub>3</sub> surface with the upright and flat lying HQ, PD and AP molecules are shown in Fig. 3. The computed change in energy when the aromatic molecules bind with one Al site in the upright configuration and with two Al sites in the flat lying configuration are shown in Table 2. The energy change given for the double reaction is with reference to the upright structure and allows us to assess if the double reaction is thermodynamically favourable.

Aromatic molecules interact favourably with TMA in an upright configuration with an energy change ranging from -0.49 eV for PD to -1.69 eV for HQ. Once again the calculated energies show that the reaction is more exothermic for the formation of the Al–O bond in comparison to the formation of

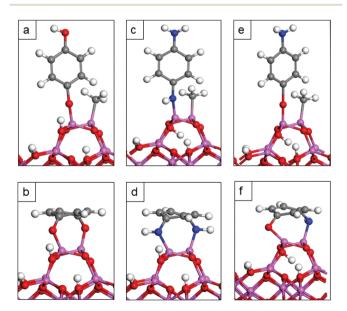


Fig. 3 Atomic structures of MLD reaction products of the interaction of the 2MMA $-Al_2O_3$  surface with (a) upright HQ, (b) flat HQ, (c) upright PD, (d) flat PD, (e) upright AP and (f) flat AP.

**Table 2** Computed interaction energy upon formation of Al–O and Al–N bonds between  $2MMA-Al_2O_3$  in the upright configuration of HQ, PD and AP. The energy change between the flat (double reaction) and upright configurations is also presented

Structure	Interaction energy (eV)
2MMA-Al <sub>2</sub> O <sub>3</sub> -HQ - upright	-1.69
2MMA-Al <sub>2</sub> O <sub>3</sub> -PD - upright	-0.49
2MMA-Al <sub>2</sub> O <sub>3</sub> -AP(Al-O) - upright	-1.19
2MMA-Al <sub>2</sub> O <sub>3</sub> -HQ - flat	-0.11
2MMA-Al <sub>2</sub> O <sub>3</sub> -PD - flat	1.01
$2MMA-Al_2O_3-AP$ – flat	0.31

the Al–N bond. Calculated energies for the flat configuration of organic molecules shown in Table 2 represent the overall energy when the upright molecule becomes flat and a second  $\mathrm{CH_4}$  molecule is released. This energy change is -0.11 eV for the double reaction of HQ on the 2MMA–Al<sub>2</sub>O<sub>3</sub> surface and shows that the molecule can also lie flat and react twice with the surface. In contrast to HQ, calculated energies for the double reactions of PD and AP with TMA are endothermic thereby not favourable. PD and AP molecules do not prefer to lie flat and react twice with Al sites and this might be due to the presence of  $\mathrm{NH_2}$  active groups for which a lower reactivity with TMA was calculated.

When we compare the Al–O and Al–N distances between the upright models and flat lying models we see that the Al–O and Al–N distances to the aromatic molecule undergo small changes. For the HQ molecule bonded on the 2MMA–Al $_2$ O $_3$  surface the Al–O bond is lengthen from 1.71 Å to 1.82 Å while for AP the Al–O distance is lengthen from 1.69 Å to 1.83 Å. For the PD molecule the Al–N distance is lengthen from 1.80 Å to 1.91 Å.

Based on the calculated energetics for the double reactions of aromatic molecules, HQ can react with both OH groups with TMA on the Al<sub>2</sub>O<sub>3</sub> surface and form the double reactions. This phenomenon leaves the surface covered with no OH sites to react with TMA in the next pulse. However, the small exothermic energy change suggests that the two binding modes of HQ will be competitive. For PD and AP, because of the presence of the NH<sub>2</sub> group, the unwanted double reactions on the surface are efficiently reduced and the molecules can self-assemble in a vertical orientation with a free -OH or -NH2 group for the next cycle. Hence, the surface remains covered with active groups that react with TMA in the next pulse. This will lead to thicker PD and AP based Al-organic films compared to HQ based Al-organic films. This is consistent with work on TiO2 based MLD films that are grown using HQ, PD, AP and ODA molecules as organic precursors. 48 We expect PD and AP based Al-organic films to have the same thickness as PD and AP molecules have similar backbone lengths as well (5.5 Å and 5.6 Å).

We also computed interaction energies with van der Waals forces (vdW), Table 3, and we find that there is generally a small impact due to including vdW interaction. However, for HQ the inclusion of the vdW interactions makes the double reactions for the HQ molecule less favourable.

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Table 3 Computed interaction energy with van der Waals forces (vdW) on, upon formation of Al-O and Al-N bonds between 2MMA-Al<sub>2</sub>O<sub>3</sub> in the upright configuration of HQ, PD and AP. The energy change between the flat (double reaction) and upright configurations is also

Structure	Interaction energy (eV)
2MMA-Al <sub>2</sub> O <sub>3</sub> -HQ - upright	-1.42
2MMA-Al <sub>2</sub> O <sub>3</sub> -PD - upright	-0.38
2MMA-Al <sub>2</sub> O <sub>3</sub> -AP(Al-O) - upright	-1.13
2MMA-Al <sub>2</sub> O <sub>3</sub> -HQ - flat	0.25
2MMA-Al <sub>2</sub> O <sub>3</sub> -PD - flat	1.17
2MMA–Al <sub>2</sub> O <sub>3</sub> –AP – flat	0.35

#### 3.3. Reaction of HO, PD and AP with TMA

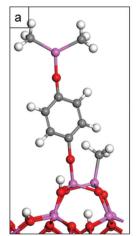
Next we examine the reactivity of HQ, PD and AP molecules with a TMA precursor molecule, which takes place in the second cycle of the MLD process. After loss of CH<sub>4</sub>, these structures can be described as Al<sub>2</sub>O<sub>3</sub>-MMA-HQ-DMA, Al<sub>2</sub>O<sub>3</sub>-MMA-PD-DMA and Al<sub>2</sub>O<sub>3</sub>-MMA-AP-DMA, where DMA stands for Al (CH<sub>3</sub>)<sub>2</sub>. During the reaction with TMA, the remaining OH and NH<sub>2</sub> groups of aromatic molecules react with TMA and form new Al-O or Al-N bonds and one CH4 molecule is released. Optimised structures for the products of the MLD reactions in the second cycle are shown in Fig. 4. For HQ the energy change upon forming a new Al-O bond with distance 1.70 Å, together with the release of CH<sub>4</sub>, is -1.48 eV. Since we found that HQ can also lie flat and react with TMA via both OH groups, we also examine the reactivity of the flat lying HQ towards TMA. We consider the reaction between a terminal O of HQ with TMA as shown in Fig. 4b.

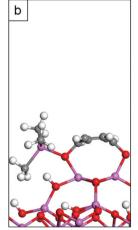
The energy change in forming an Al-O bond between the flat HQ and TMA is -0.68 eV. Calculated energetics indicate that HQ in both configurations is reactive towards TMA.

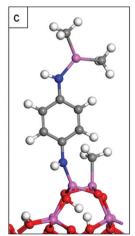
For PD and AP, the energy change upon forming new Al-N bonds with distance 1.80 Å, together with release of CH<sub>4</sub> are -0.71 eV and -0.92 eV respectively. When we compare the reaction energetics between the three aromatic molecules in the upright configuration with TMA, a lower reactivity of the NH<sub>2</sub> group with TMA in comparison to the OH group was again noted. However, the reactions between the three aromatic molecules and TMA in the second cycle of the MLD process are exothermic and this indicates that the exposed OH and NH2 groups are reactive to TMA and further growth will proceed for HQ, PD and AP based Al-organic films.

#### 3.4. The influence of phenyl functionalization

The molecules 2-methoxyhydroquinone (HQ-OCH<sub>3</sub>), 2-methylhydroquinone (HQ-CH<sub>3</sub>), 2-chlorhydroquinone (HQ-Cl) and 2-nitrohyrdoquinone (HQ-NO<sub>2</sub>) were chosen to examine the role of phenyl functionalization on the interaction between the O site of the HQ molecule and the Al site of TMA. This set of calculations allows us to analyse the influence of activating groups -OCH<sub>3</sub>, -CH<sub>3</sub> and deactivating groups -Cl and -NO<sub>2</sub> on the Al-O bond. The substitution of the H atom on the HQ molecule was done in the meta position and the reaction mechanism between the functionalized molecules and TMA is according to R1. Optimised structures of the functionalized HQ molecule reacting with TMA on the Al2O3 surface are shown on Fig. 5. Although activating and deactivating groups impact the stability and the reactivity of the aromatic ring in different ways, there is no big difference on the calculated energies for four reactions of TMA with the aromatic molecules. Calculated energies are -1.21 eV for the reaction with HQ-OCH<sub>3</sub>, -1.25 eV for the reaction with HQ-CH<sub>3</sub>, -1.30 eV for the reaction with HQ-Cl and -1.25 eV for the reaction with HQ-NO2. Calculated energies for the reaction between the functionalized HQ molecule with TMA on the Al<sub>2</sub>O<sub>3</sub> surface do not differ much also from the calculated energy for the reaction between the unmodified HQ with TMA on Al2O3 surface (-1.38 eV). However, small changes were observed on the Al-O







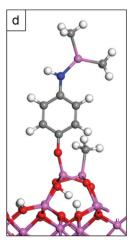


Fig. 4 Atomic structure of (a) up-right HQ with adsorption of TMA at the exposed O site on HQ, (b) flat lying HQ with adsorption of TMA at the exposed O site on HQ, (c) up-right PD with adsorption of TMA at the exposed N site on PD and (d) up-right AP with adsorption of TMA at the exposed N site on AP.

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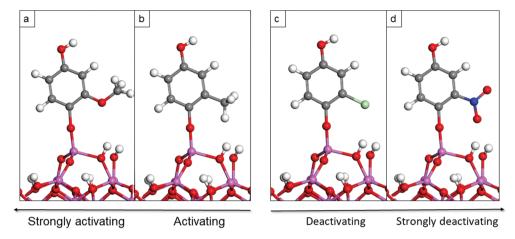
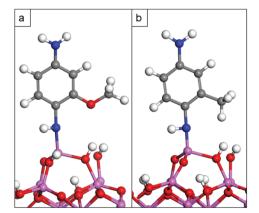


Fig. 5 Atomic structures of MLD reaction products of the interaction of the MMA-Al<sub>2</sub>O<sub>3</sub> surface with (a) HQ-OCH<sub>3</sub> (b) HQ-CH<sub>3</sub>, (c) HQ-Cl and (d) HQ-NO<sub>2</sub>.

bond when the aromatic molecule is modified with activating and deactivating groups. The Al-O distance for the unmodified HQ model is 1.73 Å and it decreases to 1.71 Å for HQ-OCH<sub>3</sub>, HQ-CH<sub>3</sub>, and HQ-Cl, while there is an increase to 1.74 Å for HQ-NO2. The calculations show that the functionalisation of the aromatic ring can be done with the purpose to improve film properties and importantly this will not weaken the interaction between aromatic molecules and the TMA precursor nor the stability of the system. Given the possibility of the double reaction for the HQ molecule, a flat configuration of the HQ-OCH<sub>3</sub>, HQ-CH<sub>3</sub>, HQ-Cl and HQ-NO<sub>2</sub> on the 2MMA-Al<sub>2</sub>O<sub>3</sub> was examined. The reaction energies are now endothermic with a calculated energy of 0.20 eV for HQ-OCH<sub>3</sub>, 0.01 eV for HQ-CH<sub>3</sub>, 0.11 eV for HQ-Cl and 0.29 eV for HQ-NO<sub>2</sub> on the 2MMA-Al<sub>2</sub>O<sub>3</sub>. Calculated energies indicate that phenyl functionalisation can have an impact on the configuration of the aromatic molecule by hindering the molecule to lie flat and react twice with the surface. This promotes an upright binding mode and thicker film growth compared to an unfunctionalised phenyl ring. We also evaluate the role of

phenyl functionalization on the interaction between the N site of the PD molecule and the Al site of TMA. Again the substitution of the H atom on the PD molecule was done in the meta position while the reaction mechanism between the functionalized PD molecule and TMA is according to R2. Optimised structures of the functionalized PD molecule reacting with TMA on the Al<sub>2</sub>O<sub>3</sub> surface are shown on Fig. 6. Calculated energies are -1.25 eV for the reaction with PD-OCH<sub>3</sub>, -1.20 eV for the reaction with PD-CH<sub>3</sub>, -1.42 eV for the reaction with PD-Cl and -1.49 eV for the reaction with PD-NO2. The calculated energies between the functionalized PD molecules and TMA on Al<sub>2</sub>O<sub>3</sub> surface are more negative compared to the energy for the reaction between the unmodified PD with TMA on Al<sub>2</sub>O<sub>3</sub> surface (-1.12 eV). This shows that for PD, the functionalization of the molecule can also impact the chemistry of the NH<sub>2</sub> group with TMA by increasing the interactions between the precursors. Small changes are also observed on the Al-N distance which increased from 1.83 Å for the unmodified PD molecule to 1.84 Å for PD-OCH3, PD-CH3, and PD-Cl, and 1.88 Å for PD-NO<sub>2</sub>.



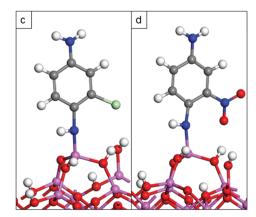


Fig. 6 Atomic structures of MLD reaction products of the interaction of the MMA-Al<sub>2</sub>O<sub>3</sub> surface with (a) PD-OCH<sub>3</sub>, (b) PD-CH<sub>3</sub>, (c) PD-Cl and (d) PD-NO<sub>2</sub>.

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#### 3.5. Reactions between hydroguinone bis(2-hydroxyethyl) ether and 1,1'-biphenyl-4,4'-diamine with MMA terminated Al<sub>2</sub>O<sub>3</sub> surface

In our final study we examine the interactions between TMA on the Al<sub>2</sub>O<sub>3</sub> and the aromatic molecules hydroquinone bis(2hydroxyethyl)ether (C<sub>6</sub>H<sub>4</sub>(OCH<sub>2</sub>CH<sub>2</sub>OH)<sub>2</sub>) and 1,1'-biphenyl-4,4'-diamine ((C<sub>6</sub>H<sub>4</sub>NH<sub>2</sub>)<sub>2</sub>). None of the selected molecules has been used so far in MLD although similar molecules to 1,1'biphenyl-4,4'-diamine such as 4,4'-oxydianiline and 4,4'-biphenyldicarboxylic have already been used in practice. 52,61 The hydroquinone bis(2-hydroxyethyl)ether and 1,1'-biphenyl-4,4'diamine have been specifically selected in this study to examine the influence of the length of the organic precursor on the Al-O and Al-N interactions. Calculations with hydroquinone bis(2-hydroxyethyl)ether and 1,1'-biphenyl-4,4'diamine also allow us to assess the impact of the length of the organic precursor on the preferred configuration of the aromatic molecules. Optimised structures of the MLD reaction products with hydroquinone bis(2-hydroxyethyl)ether and 1,1'biphenyl-4,4'-diamine are shown in Fig. 7. Organic molecules were modelled in the upright configuration. Hydroquinone bis (2-hydroxyethyl)ether molecule contains two hydroxyethyl chains separated by one aromatic ring where the hydroxyethyl chains participate in the reactions with the TMA. During this reaction a proton from the terminal OH group of the hydroxyethyl chain transfers to the CH<sub>3</sub> ligand to form a new CH<sub>4</sub> molecule while the remaining O binds to Al of TMA with a Al-O distance 1.74 Å. The calculated interaction energy, -1.15 eV, confirms that this reaction is favourable. When we compare interaction energies for the reaction of TMA with HQ and with hydroquinone bis(2-hydroxyethyl)ether, we see a drop

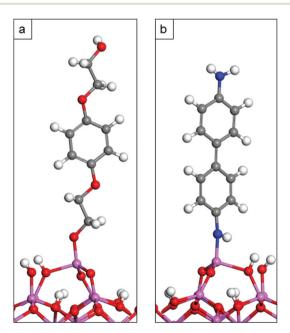


Fig. 7 Atomic structures of MLD reaction products of the interaction of the MMA-Al<sub>2</sub>O<sub>3</sub> surface with (a) hydroquinone bis(2-hydroxyethyl)ether and (b) 1,1'-biphenyl-4,4'-diamine.

in energy from -1.38 eV to -1.15 eV. The drop in energy when the size of the aromatic molecule has increased shows that for longer aromatic molecules it will likely be more difficult to maintain an upright configuration compared to lying flat and participate in the double reaction.

The 1,1'-biphenyl-4,4'-diamine molecule contains two NH<sub>2</sub> groups separated by two aromatic rings. In this reaction a proton from the terminal NH<sub>2</sub> group transfers to the CH<sub>3</sub> ligand to form a new CH4 molecule while the remaining N binds to Al of TMA with a Al-N distance 1.84 Å. This reaction is favourable with an interaction energy of -0.32 eV. When compared to the interaction energy for the PD bonded to TMA, this energy has decreased from -1.12 eV to -0.32 eV and again we propose that this drop in energy when the size of the aromatic molecule has increased is due to the difficulty of longer aromatic molecules to maintain in an upright configuration. In the reactions with hydroquinone bis(2-hydroxyethyl)ether and 1,1'-biphenyl-4,4'-diamine the Al atom of TMA remains four coordinated. The Al atom is bonded to O or N site of the aromatic molecule and also to three oxygen atoms on the surface with distances 2.06 Å, 1.79 Å and 1.76 Å for hydroquinone bis(2-hydroxyethyl)ether and 2.1 Å, 1.77 Å and 1.75 Å for 1,1'-biphenyl-4,4'-diamine.

In conclusion, DFT calculations show that the hydroquinone bis(2-hydroxyethyl)ether molecule has potential to be used as an organic precursor for the deposition of hybrid films with MLD. The thermal properties of hydroquinone bis(2hydroxyethyl)ether also indicate that most probably the compound would be suitable for MLD and DFT studies provide further motivation to develop an MLD process for the deposition of hybrid films using hydroquinone bis(2-hydroxyethyl) ether as organic precursor.

#### Conclusions 4.

In this study, we investigate the molecular mechanism of the growth of Al-organic films deposited by MLD. We investigate in detail the chemistry of the MLD process between the methyl terminated Al<sub>2</sub>O<sub>3</sub> surface and a selection of aromatic molecules with hydroxyl (OH) and/or amino (NH2) functional groups. The selected molecules are: hydroquinone (HQ), p-phenylenediamine (PD) and 4-aminophenol (AP). Reaction energetics show that HQ, PD and AP molecules bind favourably with TMA on Al<sub>2</sub>O<sub>3</sub> surface via formation of new Al-O and Al-N bonds and CH<sub>4</sub> elimination. However, a higher reactivity of the OH group in comparison to the NH2 group was calculated. Aromatic molecules were also investigated in detail for their double reactions. We found that while the preferred configuration of the PD and AP molecules is the up right configuration, the energetics would suggest that HQ could also lie flat and react twice with the surface through the two terminal OH groups, where the terminal oxygen sites bind to Al atoms. However given the energetics with inclusion of vdW interactions and the very small change in energy without vdW interactions, we propose that the HQ molecules will lie upright.

Preventing the double reaction phenomenon maximizes the number of active groups available on the surface to react with TMA in the next pulse. This is consistent with experimental work showing that aromatic molecules are a good option to promote film growth and the deposition of thicker films.

Furthermore, we investigated the reactions between the methyl-terminated Al<sub>2</sub>O<sub>3</sub> surface with HQ and PD molecules functionalised with activating groups (OCH<sub>3</sub>, CH<sub>3</sub>) and deactivating groups (Cl, NO<sub>2</sub>) to examine the influence of phenyl functionalization on the interaction between the O and N site of the aromatic molecule and the Al site of TMA. We found that functionalization can promote the HQ molecule in a more upright configuration and does not weaken the existing Al–O bond. For the PD molecule, calculations showed that the functionalization of the molecule can impact the chemistry of the NH<sub>2</sub> group with TMA by increasing the interactions between the precursors. So, we can modify the core of the molecule to target particular properties while promoting the deposition of thicker and thereby more flexible Al-organic films.

We also analyzed the interactions between the methyl-terminated  ${\rm Al_2O_3}$  surface and the new possible MLD organic precursors hydroquinone bis(2-hydroxyethyl)ether and 1,1'-biphenyl-4,4'-diamine. DFT calculations show that longer molecules have weaker upright interaction energy. This may be because longer organic molecules are prone to lying flat, resulting in less thick films. So also the length of the organic molecule plays a role in the film thickness. However, DFT shows that hydroquinone bis(2-hydroxyethyl)ether would be a suitable component for the MLD process.

Taking a wider perspective, the impact of ambient on stability is likely to be dynamic and very complex and is an interesting aspect for further study, although as described in the Introduction, films with aromatic components are stable. 48-50 The other interesting aspect to consider is activation barriers for the key steps. However, given the scale and resources of the simulations required, the kinetics of reactions are best considered as a new paper focussed on this aspect of the Alorganic growth mechanism, which has yet to be undertaken with periodic surface models. Notwithstanding, this study generates important new knowledge in the mechanism of Alorganic film growth and allows us to propose and understand the MLD processes for other hybrid materials.

#### Conflicts of interest

There are no conflicts of interest to declare.

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