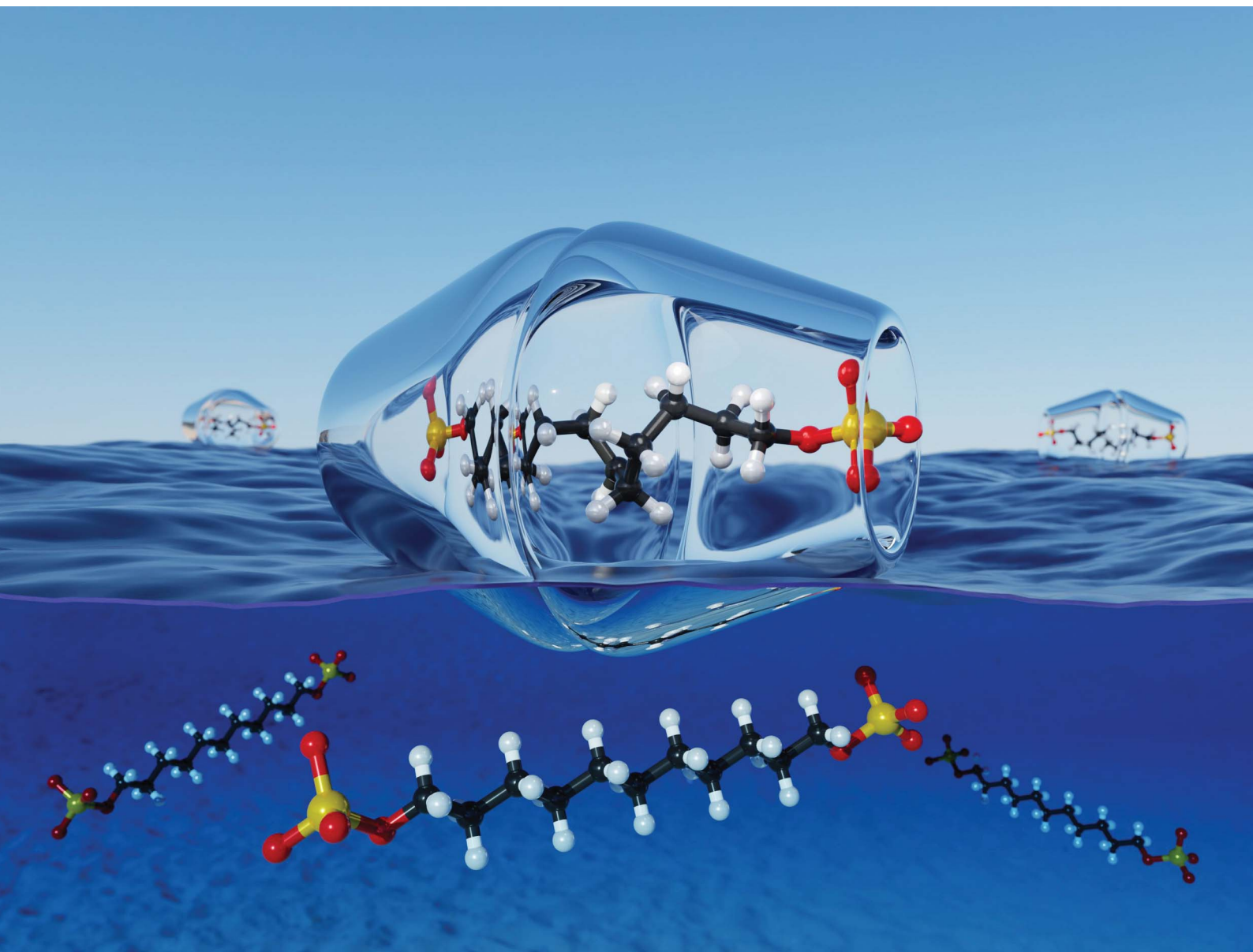


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Size-dependent compression of threaded alkyl diphosphate in head to head cyclodextrin [3]pseudorotaxanes†

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The encapsulation of guests in a confined space enables unusual conformations and reactivities. In particular, the compression of alkyl chains has been obtained by self-assembled molecular capsules but such an effect has not been reported in solution for pseudorotaxane architectures. By exploiting the tendency of cyclodextrin (CD) to form head to head [3]pseudorotaxanes and the hydrogen bonding abilities of phosphate groups, we have studied the effect of the CD dimer cavity on the conformation of threaded α,ω -alkyl-diphosphate axes. The formation of [2]pseudorotaxanes and [3]pseudorotaxanes was investigated by a combination of NMR, ITC and X-ray diffraction techniques. In the solid state, the [3]pseudorotaxane with a C_8 axle presents a fully extended conformation with both terminal phosphate groups interacting with hydroxyl groups of the primary rim of CDs. Such hydrogen bonding interactions are also present with the C_9 and C_{10} axles resulting in a compression of the alkyl chain with gauche conformations in the solid state. NMR studies have shown that this effect is maintained in solution resulting in a size-dependent progressive compression of the alkyl chain by the CD [3]pseudorotaxane architecture for C_9 , C_{10} and C_{11} axles.

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Introduction

The study of small molecules in a confined environment is of prime interest to understand biological systems where substrates are isolated from solution in enzyme or receptor cavities.¹ This confinement in pockets optimized by evolution enables multiple phenomena essential to life such as catalysis, signaling or replication. Recently, supramolecular chemistry has opened a way to understand the fundamentals of how molecules can pack within a confined nanospace by fostering the development of synthetic hosts such as self-assembled capsules or cages.² Flexible guests can dynamically fold in the small space of a capsule and adopt high energy conformations that are not observed in solution. Such conformations are reminiscent of fatty acids bound to fatty acid-binding proteins that present compact conformations for their alkyl chain.³ In a seminal study, Rebek has shown that the cavity of a hydrophobic cavitaand can stabilize the helical folding of alkanes by a combination of hydrophobic effects and C-H/ π interactions.⁴ The encapsulation of *n*-alkane chains in self-

assembled capsules has been further studied by Rebek⁵ and Gibb (Fig. 1).⁶ They have shown that a linear alkyl chain inside a water-soluble cavitaand adopts a coiled conformation and can be compressed inside resorcinarene or pyrogallolarene capsules with dimensions smaller than their total linear length. Such

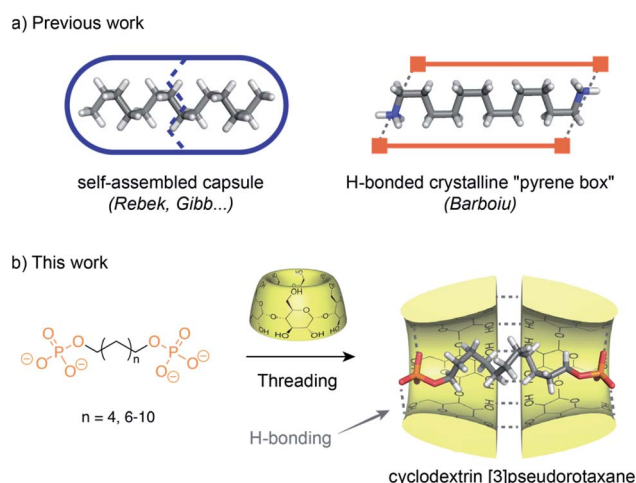


Fig. 1 (a) Previous work on compression of alkyl chains by self-assembled capsules or boxes. (b) Alkyl diphosphate axles used in this study and corresponding CD [3]pseudorotaxane with potential compression resulting from hydrogen bonding and hydrophobic interactions between the threaded axle and head to head CDs.

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Table 1 Binding constants and thermodynamic parameters for the formation of [2] and [3]pseudorotaxanes between α -CD and the alkyl-di-phosphate axle determined from NMR and ITC titrations at pH = 5

Axle	C ₆	C ₈	C ₉	C ₁₀	C ₁₁	C ₁₂
log(<i>K</i> ₁) NMR	2.62 ± 0.08	3.21 ± 0.08	3.41 ± 0.03	3.72 ± 0.03	3.68 ± 0.03	3.72 ± 0.07
log(<i>K</i> ₂) NMR	—	3.18 ± 0.03	2.97 ± 0.01	2.77 ± 0.01	3.09 ± 0.01	3.54 ± 0.04
α (NMR)	—	3.7	1.4	0.45	1.0	2.6
log(<i>K</i> ₁) ITC	2.40 ± 0.02	3.45 ± 0.03	3.51 ± 0.02	3.50 ± 0.02	3.56 ± 0.04	3.72 ± 0.02
log(<i>K</i> ₂) ITC	—	3.04 ± 0.02	3.00 ± 0.01	2.79 ± 0.02	3.05 ± 0.03	3.50 ± 0.02
α (ITC)	—	1.5	1.2	0.8	1.2	2.4
ΔH_1 ; ΔH_2 (kcal mol ^{−1})	−7.30; —	−4.20; −13.7	−4.60; −11.7	−5.50; −9.70	−5.00; −14.1	−4.70; −11.0
ΔS_1 ; ΔS_2 (cal mol ^{−1} K ^{−1})	−15; —	1.8; −32	0.6; −26	−2.6; −20	−0.43; −33	1.3; −21
$T\Delta S_1$; $T\Delta S_2$ (kcal mol ^{−1})	−4.3; —	0.54; −9.6	0.18; −7.8	−0.78; −6.0	−0.13; −9.9	0.39; −6.3
ΔG_1 ; ΔG_2 (kcal mol ^{−1})	−3; —	−4.74; −4.10	−4.78; −3.90	−4.72; −3.70	−4.87; −4.20	−5.09; −4.70

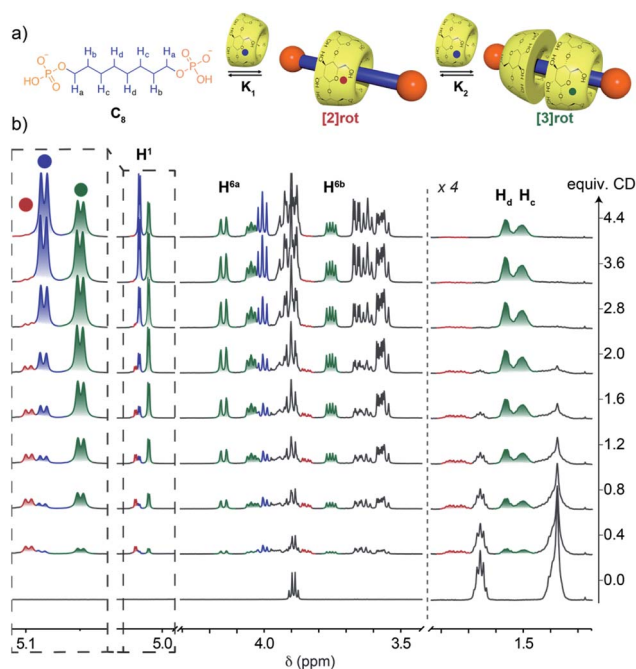


Fig. 3 (a) Equilibrium of formation of [2] and [3]pseudorotaxanes with the C₈ axle. (b) ¹H NMR (600 MHz, D₂O, 300 K) titration of the C₈ axle (2.8 mM) with CD in acetate buffer at pH = 5 showing the progressive appearance of [2]rot (red), [3]rot (green) and free CD (blue).

As expected, [2]rot appears first upon addition of CD, reaches a maximum concentration at around 1 equivalent and is then converted into [3]rot (Fig. S6†). The two binding equilibria are not successive but concomitant, with similar association constants *K*₁ and *K*₂ of log *K* = 3.21 and 3.18, respectively, determined by fitting with a 2 : 1 model (see Fig. S6† and Table 1). [3]rot was isolated by selective precipitation in DMF after switching to basic pH and was fully characterized by ¹H and ³¹P NMR (see Fig. S16 and S17†). It presents a symmetrical spectrum for ¹H and ³¹P NMR with only one singlet indicating that the two CDs are either in a head to head or tail to tail configuration. The inclusion of the axle inside the CDs was validated by 2D T-ROESY NMR and the observed correlations between the H⁵ and H³ protons pointing inside the cavity and the carbon chain protons (H_b, H_{c/d}). 2D HOESY ³¹P/¹H

experiments presented a correlation between the terminal P and H⁵ and H⁶ protons of the CD indicating that the primary rims are oriented towards the phosphate groups and the secondary rims are able to interact with each other through hydrogen bonds as usually observed in CD [3]rotaxane. Thus, the two additional carbons of the alkyl chain enabled the mechanostereoselective threading of two CDs^{12b} forming a head to head dimeric capsule on a single axle.

The effect of the axle length was evaluated by further increasing the number of carbons between the two phosphate moieties from 8 to 12. In all cases the formation of [2] and [3]pseudorotaxanes was observed upon addition of CD to the axle at pH 5 (see Fig. S2–S5†). The binding constants were determined from ¹H NMR and ITC titration experiments and are both in agreement (Table 1). The *K*₁ association constant for the formation of the [2]pseudorotaxane presents an overall increase upon the chain length as expected for an increase of the hydrophobicity of the axle that favors the threading of the CD. *K*₂ values do not follow the same trend with a decrease from C₈ to C₁₀ followed by an increase to the maximum value for C₁₂. The thermodynamics of the binding were extracted from the ITC titrations. For all the axles, the binding of the first CD to form a [2]pseudorotaxane presents an enthalpic (ΔH_1) contribution that predominates over the entropic one with $T\Delta S_1$ values close to zero. However, for the formation of [3]pseudorotaxane, the entropic contribution is no longer negligible and its negative value disfavors the binding. This decrease in entropy reflects an overall decrease in the degrees of freedom of the system, associated with conformational restrictions, which is not compensated by the release of water molecules inside the CD cavity. However, the enthalpy ΔH_2 values are also significantly more negative than ΔH_1 , showing a common phenomenon of enthalpy/entropy compensation that results in values in the same order of magnitude between ΔG_1 and ΔG_2 . The formation of a network of hydrogen bonds between the secondary rim of the CD, as well as the possible interaction of the primary rim with the terminal phosphates may justify this strong enthalpic stabilization of the [3]pseudorotaxane.

Hydrophobicity-controlled inclusion is characterized by an exothermic binding and a positive entropy ΔS variation due to the release of solvating water molecules. Previous studies on the binding of amphiphiles with CD have shown that for α -CD the



binding constants are higher than those observed for the β -CD complex, and the entropy change is negative.¹³ The algebraic value of the entropy change is determined by two competing factors: (i) the positive release of water molecules from the alkyl chain and the cavity of the CD and (ii) the negative formation of the inclusion complex that causes a decrease in the accessible chain conformations. The values we have determined thus tend to indicate that the formation of the pseudorotaxanes is a favorable process of mostly enthalpic origin, and unfavorable from the entropic point of view, especially for the [3]pseudorotaxane. CD threading has been described on various aliphatic axles in the literature,¹⁴ and shows similar behaviors with a main enthalpic contribution to the formation of inclusion complexes.

The effect of the first binding event on the second one was further investigated by calculating the cooperativity factor $\alpha = 4K_2/K_1$ (Table 1). A positive cooperativity ($\alpha > 1$) indicating a favorable binding of the second CD to form the [3]pseudorotaxane was observed for C₈ and C₁₂ axles while an inhibition ($\alpha < 1$) was clearly obtained for the C₁₀ axle. These values indicate a specific behavior for C₁₀ where the formation of the [3]pseudorotaxane is disfavored.

Kinetics of threading at basic pH

The effect of the protonation state of the phosphate groups on the threading process was also investigated. At pH = 10 when the two phosphate groups are doubly deprotonated, the threading becomes very slow and its kinetics can be easily monitored by ¹H and ³¹P NMR. Starting from a 1 : 1 mixture between CD and the C₆ axle a progressive disappearance of the signal of the free CD and axle and the appearance of new set of signals corresponding to the [2]pseudorotaxane were observed over time (see Fig. S8†). The formation of a [2]pseudorotaxane was also confirmed by ³¹P{¹H} NMR with the appearance of two singlets (at 3.51 and 3.59 ppm) and the decrease of the initial singlet (at 3.92 ppm) indicating the desymmetrization of the axle due to the threading of the CD. The equilibrium was reached after *ca.* 4 hours in sharp contrast with the experiment at pH = 5 where the threading was almost instantaneous. The energy barrier for the CD threading is increased at basic pH probably due to the increased solvation sphere of the doubly anionic phosphate end groups.

The threading studies at pH = 10 on axles with more than 6 carbons were performed with two equivalents of CDs to favor the formation of a [3]pseudorotaxane. NMR monitoring showed the progressive formation of [2]rot and [3]rot with characteristic

signals in the region of anomeric protons of the CD, in the same line as for the titrations at pH = 5 (see Fig. S9–S13†). From the integration of the NMR signals the proportion of the different species can be determined and fitted to access kinetic constants. For C₈ to C₁₂ axles, [2]rot was first formed and reached a maximum after *ca.* one day and was then slowly converted into [3]pseudorotaxane over several weeks (see Fig. S14†). The model rate constant k_1 (Table 2) shows a 10-fold increase for the formation of [2]pseudorotaxane with axle C₆ rather than with C₈–C₁₂ axles. The latter are all of the same order of magnitude, however small variations occur. From C₈ to C₁₂ axles, the formation rate constants k_1 and k_2 present a regular increase with the length of the axle that can be related to the increase in hydrophobicity of the axle with its length. Notably, the rate constant for the threading of the first CD (k_1) is one order of magnitude higher than the one for the second (k_2). While the threading of the first CD can occur from both sides and in both orientations, the second CD has to thread with the secondary rim facing the one of the CD on the axle to form a head to head dimer leading to reduction in possibilities and a significant decrease in the rate constant. The binding constants associated with both equilibria and the cooperativity factors were determined from the kinetic constants (Table 2). The set of constants are similar to the one obtained at pH = 5 indicating that the change in pH drastically slows the kinetics of threading without significantly affecting the thermodynamic stability of the [2] and [3]pseudorotaxanes. The sequential formation of [2] and [3]pseudorotaxanes associated with slow threading and even slower dethreading at basic pH is particularly interesting to isolate and study the conformation of the pseudo-rotaxanes in solution and in the solid state. Such kinetic stability under basic conditions thus enables rotaxane-like features for the alkylidiphosphate [3]pseudorotaxanes in terms of stability and interlocking effect. These findings highlight the elusive nature of pseudorotaxanes,¹⁵ where depending on the external conditions the phosphate groups enable fast equilibrium or become almost like real stoppers.

Conformational studies in the solid state

Single crystals suitable for X-ray diffraction of C₈, C₉, C₁₀ and C₁₂ [3]pseudorotaxanes were obtained by slow diffusion of DMF into a solution of [3]pseudorotaxane in water with K₂CO₃ (Fig. 4). The [3]pseudorotaxane with the C₈ axle crystallized in the tetragonal *P*4₂2₁2 space group with a unit cell ($a = b = 23.43$ Å; $c = 24.28$ Å; $\alpha = \beta = \gamma = 90^\circ$) and presented the

Table 2 Kinetic and equilibrium constants associated with the formation of [2] and [3]pseudorotaxanes between α -CD and the alkylidiphosphate axle at pH = 10 and 298 K

Axle	$10^4 k_1$ (M ⁻¹ s ⁻¹)	$10^7 k_{-1}$ (s ⁻¹)	$10^4 k_2$ (M ⁻¹ s ⁻¹)	$10^7 k_{-2}$ (s ⁻¹)	log(K_1)	log(K_2)	α
C ₆	760 ± 5	770 ± 10	—	—	3.0	—	—
C ₈	78 ± 4	11 ± 2	2.1 ± 0.1	0.25 ± 0.1	3.9	3.9	4.5
C ₉	105 ± 22	37 ± 2	2.0 ± 0.1	2.5 ± 0.2	3.4	2.9	0.79
C ₁₀	89 ± 4	19 ± 3	3.2 ± 0.5	14 ± 3	3.7	2.4	0.19
C ₁₁	76 ± 4	3.2 ± 0.5	8.4 ± 0.8	18 ± 4	4.4	2.7	0.15
C ₁₂	185 ± 12	6.4 ± 2.9	10.7 ± 0.8	3.8 ± 0.9	4.5	3.4	0.39



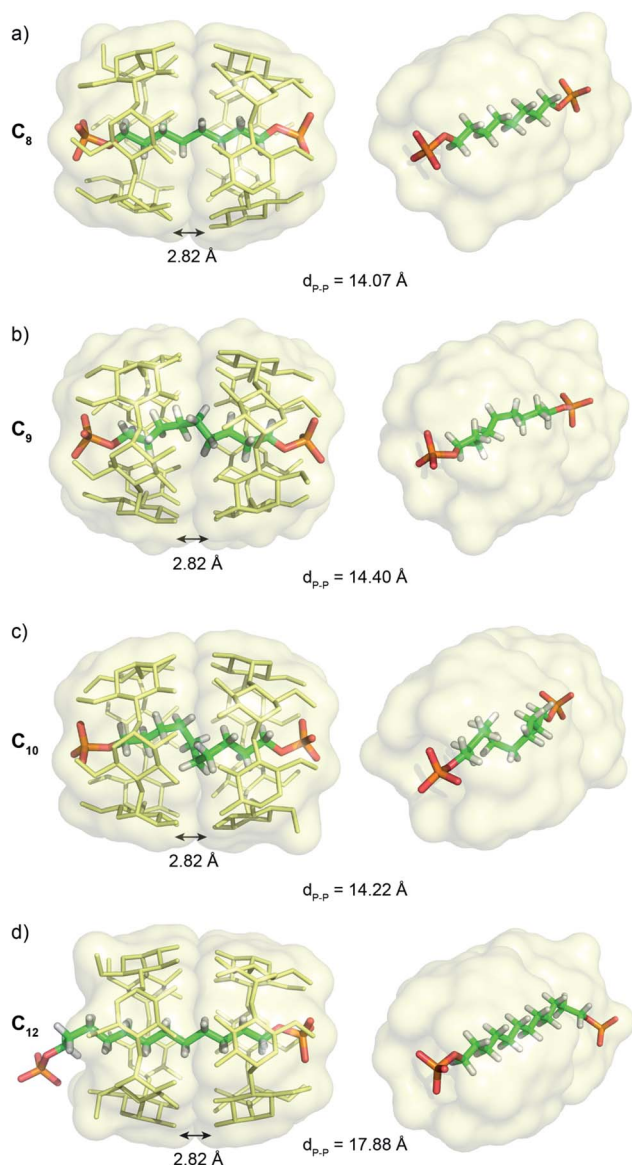


Fig. 4 Crystallographic structures of [3]pseudorotaxanes with (a) C_8 , (b) C_9 , (c) C_{10} and (d) C_{12} axes showing 5 gauche conformations for the C_{10} axle resulting in a compression of the alkyl chain. Hydrogen atoms, except on the axle, and counter anions have been omitted for clarity.

expected head to head conformation for the CD dimers. The average distance between the oxygen atoms of the secondary rim of the two CDs of 2.82 Å is consistent with that of other structures of CD [3]rotaxanes¹⁶ and enables hydrogen bonding between the two CDs. The alkyl chain adopts a fully *trans* linear conformation with an average C–C–C angle of 121° and an average dihedral angle of 179.01°. The distance between the two phosphorous atoms of 14.07 Å enables close contacts between the terminal oxygen atoms of the phosphate groups and the hydroxyl groups of the primary rim of the CD. Indeed the O–O distances of 2.59 and 2.70 Å are consistent with the formation of stabilizing hydrogen bonds between the primary alcohol rim and the phosphate groups. Five of the six primary hydroxyl groups are involved in this hydrogen bonding that results in

a closed rim conformation with the hydroxyl pointing toward the cavity (see Fig. S1†). Such an optimal fit between the head to head CD cavity and the C_8 axle probably explains the strong cooperativity and stability of the [3]pseudorotaxane observed in solution. The [3]pseudorotaxane with the C_9 axle was crystallized and also presented an interaction between both phosphate groups and the primary rim of the head to head CD dimer. This induces a P–P distance of 14.40 Å and a 5% compression of the chain compared to its fully extended conformation (15.22 Å). However, due to the important disorder on the inner part of the axle observed in the crystallographic structure, we will not further comment on its conformation.

For the [3]pseudorotaxane with the C_{10} axle, the CDs adopt a similar dimeric structure with an average distance of 2.82 Å between the oxygen atoms of their secondary rims and close contact between the terminal oxygens of the phosphate groups with the primary rim (average O–O distance of 2.65 Å). This results in a similar P–P distance of 14.20 Å that forces the compression of the central alkyl chain, as the fully elongated conformation of the axle would impose a P–P distance of 16.53 Å. The resulting 15% compression is obtained by five gauche conformations from C_4 to C_7 with successive dihedral angles along the chain of 175.64, 65.13, 52.15, 51.58, 50.89, 64.37 and 171.27°. The stabilizing supramolecular interactions between the CD dimer and the terminal phosphate groups compensate for the energy cost necessary to twist the alkyl chain. Such chain compression, if also present in solution, might explain the strong negative cooperativity for the formation of this [3]pseudorotaxane observed in the titration experiments. Remarkably, only one helicity of the chain is present in the crystal structure as the pseudorotaxane crystallizes in the non-centrosymmetric $P4_32_12$ space group. The intrinsic chirality of the cyclodextrin head to head dimer favors the *M* helicity of the compressed chain. Such stereoselective folding was observed in self-assembled achiral capsules only by using a chiral and optically enriched guest.¹⁷ This underlines the potential interest of the head to head CD [3]rotaxane architecture. Finally, with the C_{12} axle, only one phosphate group interacts with the primary rim of the CD dimers and the alkyl chain adopts an extended conformation with the second phosphate group interacting intermolecularly with hydroxyl groups of the secondary rim on another CD dimer in the crystal packing (see Fig. S1†). Thus, the C_{12} axle is too long to be compressed inside the α -CD dimeric structure and protrudes from the CD dimer. This threading disrupts the hydrogen bond network on the primary rim with all the C–H⁶ pointing toward the alkyl chain and the hydroxyl outward of the cavity.

Thus, the crystallographic structures clarify the binding constants obtained in solution, with the best fit for the C_8 axle to maximize the interactions of the primary rim with the two terminal phosphate groups and to enable a linear all-*trans* conformation for the alkyl chain. This can explain the strong positive cooperativity to form a [3]pseudorotaxane with this axle. Such interactions between the phosphate groups and CD primary rim enable a compression of the alkyl chain with the C_{10} axle that should be responsible for the negative cooperativity for the [3]pseudorotaxane in this case.



Conformational studies in solution

2D NMR experiments were performed in order to evaluate the chain conformation of the rotaxanes in solution. For the C_8 axle, NOE correlations are observed only between hydrogen atoms on carbon C_i and C_{i+2} (i.e. H_a/H_c and H_b/H_d) as expected for an elongated zig-zag conformation of the chain (see Fig. 5 and S15[†]). HOESY $^1H/^31P$ experiments showed correlations between the phosphorous atoms on the axle and the H^6 and H^5 protons of the CD, indicating a close proximity between the primary rim of both CDs and the terminal phosphate groups as in the crystallographic structure. Upon increasing the axle length, additional C_i/C_{i+3} and C_i/C_{i+4} such as $H_a/H_{d/e}$ and $H_b/H_{e/f}$ correlations are observed for C_9 , C_{10} and C_{11} axles. Such NOE correlations are indicative of a compressed chain with gauche conformations occurring in solution as already observed in the crystallographic structure of C_{10} [3]rot. In addition, the spatial proximity between both terminal phosphate groups and the primary rim of the CDs is confirmed for C_9 and C_{10} axles by the heteronuclear NOE correlations between P and H^6 and H^5 protons.

Molecular modeling was performed with ORCA¹⁸ at the B3LYP-D3 level and def2-SV(P) basis set for the [3]pseudorotaxanes with C_8 – C_{11} axles (see Fig. S28[†]). The DFT geometry optimizations using a dispersion corrected hybrid functional showed a progressive compression of the alkyl chain caused by the hydrogen bonding interactions between the terminal

phosphate groups and the hydroxyl groups of the primary rim of the CDs and the head-to-head CD dimer that maintains P–P distances between 13.8 and 14.0 Å. In addition, the optimized coiled conformation of the axles present H–H distances in agreement with the observed NOE correlations (highlighted in Fig. 5 and S15[†]).

In contrast, the C_{12} axle presents NOE correlations only up to 2 carbons and no HOESY correlation between the P and the CD protons (see Fig. S15 and S25[†]). This is characteristic of an elongated chain and the absence of compression with a fast shuttling of the CD dimer along the axle. Thus the CD [3]pseudorotaxanes enable a remarkable compression of alkyl chains from 9 up to 11 carbons in solution, thanks to the hydrogen bonding networks between the secondary rims of the two CDs that enable a dimeric structure and the interactions between the phosphate end groups and the primary rims of the CDs. Such a compression mechanism is conceptually different from the one observed with self-assembled capsules that exist in the absence of a guest. Here the presence of the guest is necessary to form the interlocked molecule in a three-component self-assembly process. The compression of the alkyl chain results from a delicate balance between destabilizing gauche conformations and stabilizing hydrophobic effect and hydrogen bonding between the CD head to head assembly and with the terminal groups of the axles.

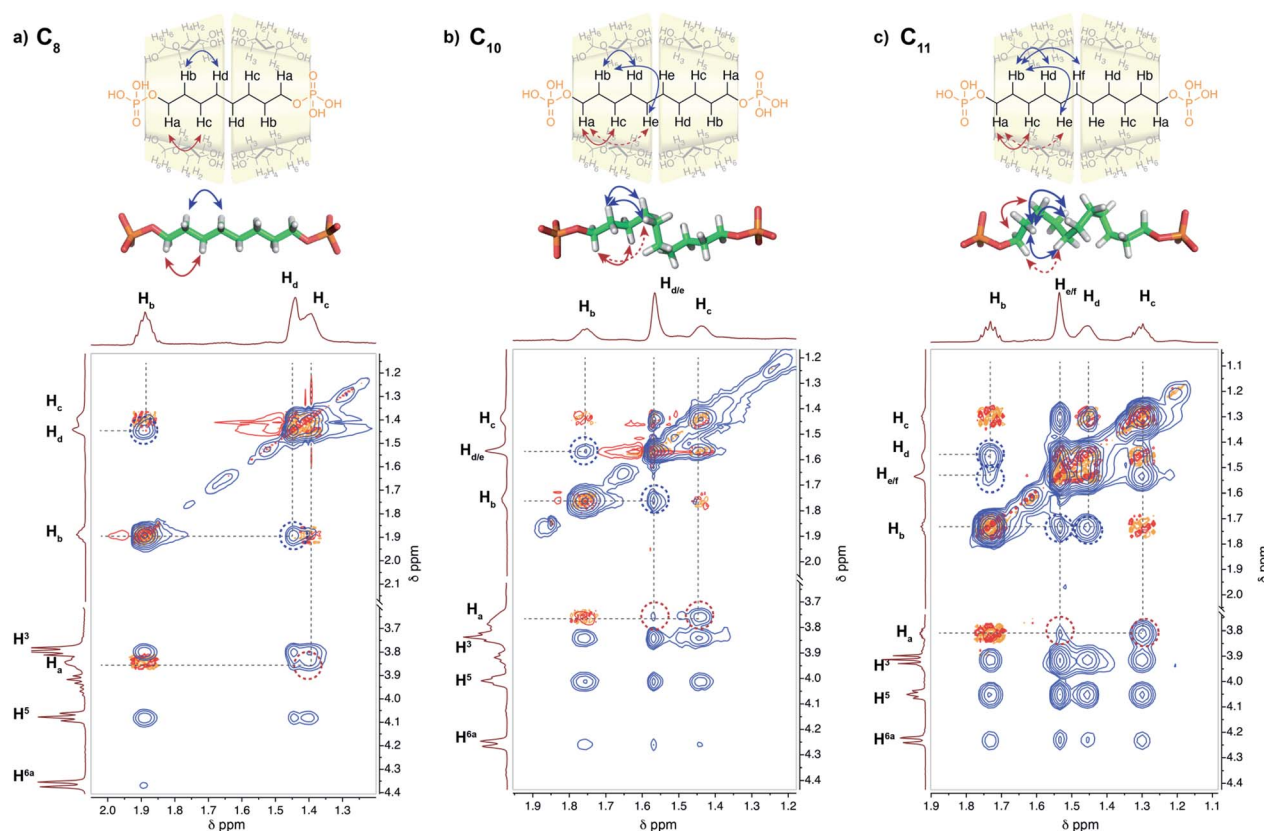
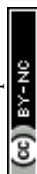


Fig. 5 Stack between the COSY (red) and NOESY (blue) NMR spectra (600 MHz, D_2O , pH = 10) of [3]pseudorotaxane with (a) C_8 , (b) C_{10} , and (c) C_{11} axles and optimized geometries of axles in the corresponding [3]rot. Long range NOE correlations H_a – $H_{d/e}$ and H_b – $H_{d/e}$ and H_a – $H_{e/f}$ and H_b – $H_{e/f}$ for C_{10} and C_{11} axles, respectively, are observed in agreement with the conformation of the axles.



Conclusion

In conclusion, we have demonstrated the formation of [2] and [3]pseudorotaxanes between α -CD and alkylidiphosphate axles depending on their lengths. The protonation state of the phosphate groups allows the control of the kinetics of threading which is slowed down at basic pH when the phosphate groups are doubly deprotonated. Such pH-dependent control enabled the preparation of [3]pseudorotaxanes at acidic pH and their trapping and isolation by switching to basic conditions. Studies of the chain conformations were then possible in aqueous solution by NMR. While the C₈ axle presents a fully extended conformation in the [3]pseudorotaxane, the CD dimer induces an unexpected compression of the alkyl chains from C₉ to C₁₁ axles. A combination of the hydrophobic effect and hydrogen bonding interactions between the phosphate end groups and the primary rim hydroxyl groups of the CD dimer stabilizes the compressed conformation of the chain. While alkyl chain compression has been observed in molecular capsules, such an effect has not been reported in solution for pseudorotaxane architectures. Such a compression mechanism is significantly different from aliphatic guests inside self-assembled capsules that maximize their packing coefficient without preferred orientation inside the cavity. Here, the head to head CD dimer, only obtained by the three-component self-assembly process forming the [3]pseudorotaxane, allows specific interactions between both terminal groups of the axle and CD's primary rims and thus imposes the orientation of the chain and its end to end distance like in a clamp. Extension by one carbon to a C₁₂ axle results in an extended conformation of the chain and its protrusion from the CD dimer, highlighting the delicate balance of interactions needed to stabilize high energy conformations. Our approach thus demonstrates the interest of interlocked architectures to stabilize high energy conformations with fine control.

Data availability

The authors declare that all data supporting the findings of this study are available within the article and ESI files,[†] and also from the corresponding authors upon reasonable request.

Author contributions

J. S, H. V. carried out the experimental work, analysis and interpretation of the results. L. B. performed the ITC measurements and their analysis. L.-M. C. resolved the crystallographic structures. M. S. supervised the project and participated to the writing and editing of the manuscript. G. V. conceived and designed the experiments, supervised the project, supported the analysis and interpretation of the results and wrote the original draft. B. H. conceived and supervised the project, secured the funding, supported the analysis and interpretation of the results, participated to the writing of the manuscript. All authors discussed the results and edited the manuscript.

Conflicts of interest

There are no conflicts to declare.

Acknowledgements

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