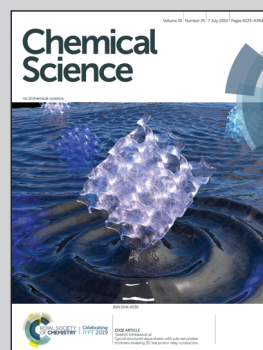


Showcasing research from Professor Thomas S. Teets' laboratory, Department of Chemistry, University of Houston, Houston, Texas, USA.

Mixed-carbene cyclometalated iridium complexes with saturated blue luminescence

This work describes blue-phosphorescent mixed-carbene cyclometalated iridium complexes. These heteroleptic complexes consist of two cyclometalated N-heterocyclic carbene ligands and one cyclometalated acyclic diaminocarbene ligand, the latter installed by a cascade reaction involving metal-mediated nucleophilic addition to a coordinated isocyanide, followed by base-assisted cyclometalation. The complexes all produce high-purity deep blue phosphorescence with good quantum yields when immobilized in transparent polymer films. CIE coordinates indicate that these complexes are good candidates for applications in electroluminescent color displays.

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Mixed-carbene cyclometalated iridium complexes with saturated blue luminescence†

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Cyclometalated iridium complexes have emerged as top-performing emitters in organic light-emitting diodes (OLEDs) and other optoelectronic devices. A persistent challenge has been the development of cyclometalated iridium complexes with deep blue luminescence that have the requisite color purity, efficiency, and stability to function in color displays. In this work we report a new class of cyclometalated iridium complexes with saturated blue luminescence. These complexes have the general structure $\text{Ir}(\text{C}^{\wedge}\text{C}:\text{NHC})_2(\text{C}^{\wedge}\text{C}:\text{ADC})$, where $\text{C}^{\wedge}\text{C}:\text{NHC}$ is an N-heterocyclic carbene (NHC) derived cyclometalating ligand and $\text{C}^{\wedge}\text{C}:\text{ADC}$ is a different type of cyclometalating ligand featuring an acyclic diaminocarbene (ADC). The complexes are prepared by a cascade reaction that involves nucleophilic addition of propylamine to an isocyanide precursor followed by base-assisted cyclometalation of the ADC intermediate. All three emit deep blue light with good quantum efficiencies ($\Phi_{\text{PL}} = 0.13\text{--}0.48$) and color profiles very close to the ideal primary blue standards for color displays.

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Introduction

Organometallic compounds which luminesce from triplet excited states, *i.e.* via phosphorescence, have become prominent in a number of applications. Cyclometalated iridium complexes¹ are a class of molecular phosphors that have achieved commercial success in organic light-emitting diodes (OLEDs)^{1–5} and have also been demonstrated to be effective in other contexts such as sensing^{6,7} and photocatalysis.^{8,9} Photoluminescence attributes of cyclometalated iridium complexes include high quantum yields, short lifetimes, and facile color tunability. A significant fundamental challenge in the design of luminescent iridium complexes, which is especially important in OLED research, is the lack of deep blue phosphorescent compounds with adequate performance metrics – *i.e.* color purity, quantum efficiency, and stability – to function in color displays or other optoelectronic applications. The physical origins of this challenge are summarized in Fig. 1. The HOMO in cyclometalated iridium complexes usually involves significant contribution from an Ir-centered $d\pi$ orbital, *i.e.* a t_{2g} orbital in rigorously O_h complexes, whereas the LUMO is an unoccupied π^* orbital on the cyclometalating ligands. These complexes luminesce from excited states that have contributions from triplet ligand-centered (^3LC) states localized on the

cyclometalating ligand and triplet metal-to-ligand charge transfer ($^3\text{MLCT}$) states, which mix through configuration interaction in the low-energy T_1 state.¹ The lowest-energy ligand-field or metal-centered state, which involves transitions between Ir-centered d orbitals, is also a triplet state (^3MC).¹⁰ One of the reasons bis-cyclometalated iridium complexes are very successful as triplet emitters is that the ^3MC state is typically much higher in energy than the T_1 state and thus cannot be populated. However, in deep-blue-emitting compounds with high-energy T_1 states, the ^3MC state is thermally accessible, and population of this nonradiative state decreases quantum yield and also promotes electrons into metal–ligand σ^* orbitals, inducing ligand-loss decomposition pathways that limit photostability and lead to degradation of devices fabricated with these emitters.¹¹

As is also shown in Fig. 1, incorporation of strong σ -donor ligand sets can destabilize the deleterious ^3MC state, allowing



Fig. 1 Partial excited-state diagram for cyclometalated iridium complexes, showing partial occupation of ^3MC states and destabilization of these states when stronger σ -donor ligands are incorporated.

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efficient luminescence from T_1 and greater photostability. In an ideal case, where the σ -donor only perturbs the unoccupied $d\sigma^*$ orbitals and does not influence the frontier orbitals involved in the luminescent T_1 state, this perturbation of the 3MC state would not influence the photoluminescence spectrum but should improve the quantum yield and photostability. As a result, N-heterocyclic carbenes (NHCs) have emerged as popular design elements in blue-emitting cyclometalated iridium complexes. Many recent works describe cyclometalated iridium complexes with NHC-containing $C^{\wedge}C$: cyclometalating ligands, chelated to iridium through the NHC and a phenyl group.^{12–21} In the context of blue OLEDs a majority of the recent breakthroughs involve homoleptic tris-cyclometalated $Ir(C^{\wedge}C:NHC)_3$ complexes.^{12,14,15,17,19} The synthetic chemistry of heteroleptic compounds with only two $C^{\wedge}C:NHC$ cyclometalating ligands is much less developed, although there are a couple of examples of blue-emitting bis-cyclometalated complexes of the type $Ir(C^{\wedge}C:NHC)_2(L^{\wedge}X)$, where $L^{\wedge}X$ is a pyrazolate or triazolate-based ancillary ligand.^{16,18}

Until recently NHCs were the only type of carbene ligand incorporated into blue-emitting iridium complexes. We recognized the possibility that acyclic diaminocarbenes (ADCs), which are known to be even stronger σ -donors than NHCs on account of the greater 2p character in their σ orbital,^{22–25} could potentially destabilize 3MC states to an even greater extent than is possible with NHCs and improve the photostability of blue-phosphorescent complexes. We have shown that ADCs can be installed onto cyclometalated iridium complexes *via* on-complex, nucleophilic addition to coordinated isocyanides. Versions of these complexes exhibit intense blue luminescence when immobilized in polymer films,^{26–28} with quantum efficiencies as high as 79% for a cyclometalated ADC complex.²⁷ However, the biggest limitation of these first-generation compounds, which will preclude any practical device applications, is their rather poor color purity, with significant sky blue to blue-green coloration in the photoluminescence. We observed CIE coordinates²⁹ (CIE_x, CIE_y) = (0.20, 0.41) for complexes with cyclometalated ADC ligands,²⁷ with (CIE_x, CIE_y) = (0.16, 0.21) and (0.17, 0.28) for blue-emitting compounds with “Chugaev-type” bis(ADC) ancillary ligands.^{26,28} The CIE_y coordinates in particular are larger than the ideal values (<0.1) for high-purity blue emission; the most widely used industry standards are the International Electrotechnical Commission (IEC) sRGB standard, (CIE_x, CIE_y) = (0.15, 0.06), and the primary blue standards proscribed by National Television System Committee (NTSC) (CIE_x, CIE_y) = (0.14, 0.08) and Society of Motion Picture and Television Engineers (SMPTE-C) (CIE_x, CIE_y) = (0.155, 0.07). In addition, the blue-emitting ADC-containing complexes we prepared all use the $C^{\wedge}N$ cyclometalating ligand 2-(2,4-difluorophenyl)pyridine (F_2ppy), which is known to degrade in OLED devices *via* ligand defluorination.¹¹ In the present work, we address these two limitations and improve upon our previous designs, introducing three new compounds that are devoid of sp^2 C–F bonds and give rise to high-purity blue photoluminescence. These mixed-carbene compounds have the general formula $Ir(C^{\wedge}C:NHC)_2(C^{\wedge}C:ADC)$, where $C^{\wedge}C:NHC$ is an N-heterocyclic carbene (NHC) derived

cyclometalating ligand and $C^{\wedge}C:ADC$ an ADC-containing cyclometalating ligand. These compounds expand the synthetic chemistry of heteroleptic NHC-derived cyclometalated iridium complexes and show that ADC ligands and NHC ligands can be partnered on the same complex. These compounds exhibit very promising photoluminescence attributes, in particular CIE coordinates that are very close to NTSC and IEC standards for color displays, making these compounds excellent candidates for incorporation into OLEDs.

Results and discussion

Synthesis and characterization

Scheme 1 outlines the synthesis of the compounds described in this work. Preparation of the mixed-carbene complexes begins with precursors of the type $[Ir(C^{\wedge}C:NHC)_2(\mu-Cl)]_2$ (**1a–c**); two of these (**1a** and **1b**) have been previously described.¹⁶ Treatment of dimers **1a–c** with 4-trifluoromethylphenyl isocyanide forms mononuclear complexes of the type $Ir(C^{\wedge}C:NHC)_2(CN-p-C_6H_4CF_3)(Cl)$ (**2a–c**), which then are treated with excess propylamine to form the final ADC-containing complexes **3a–c**. Complexes **3a–c** form *via* a cascade reaction involving metal-mediated nucleophilic addition of the amine to the coordinated isocyanide, followed by base-assisted cyclometalation to



Scheme 1 Synthetic procedure to prepare blue-emitting complexes **3a–c**.





Fig. 4 Overlaid UV-vis absorption spectra of **3a–3c**, recorded at room temperature in CH_2Cl_2 .

and **3c** ($\lambda = 315$ nm), with multiple shoulders observed in each case. These absorption features are assigned to a combination of spin-allowed and spin-forbidden $^1\text{MLCT}$ and $^3\text{MLCT}$ transitions, as typically observed in cyclometalated iridium complexes. However, the destabilized LUMOs in these compounds, apparent from the cyclic voltammetry discussed above, result in these MLCT transitions occurring a higher energy than is typically observed in cyclometalated iridium complexes with C^{N} cyclometalating ligands.^{3,30} Cyclic voltammetry (Fig. 3) suggests that the LUMO in pyridyl-substituted complex **3c** is lower energy than those of **3a** and **3b**, and in line with that observation we see that the MLCT bands in **3c** occur at lower energy (longer wavelength) than the others.

Fig. 5 overlays the room-temperature photoluminescence spectra of complexes **3a–c**, whereas Table 1 summarizes the data. The complex $\text{Ir}(\text{pmi})_2(\text{C}^{\text{A}}\text{C}^{\text{ADC}})$ (**3a**) is negligibly fluorescent in fluid solution, but when immobilized in poly(methyl methacrylate) (PMMA) at 2 wt% deep blue luminescence is observed, with a wavelength of maximum emission of 418 nm and a photoluminescence quantum yield (Φ_{PL}) of 0.13. Replacing pmi with pmb in complex **3b** has no impact on the observed wavelength of emission, but in this case the quantum efficiency is much higher. In CH_2Cl_2 solution complex **3b** weakly emits, with a quantum yield of 0.013, and in PMMA the spectrum narrows and the quantum yield increases dramatically to 0.31. Finally, in complex **3c**, where the pyridyl-substituted cyclometalating ligand pmp is used, the photoluminescence bathochromically shifts and is environmentally dependent. In solution complex **3c** emits in the green region of the spectrum, with $\lambda = 511$ nm and a quantum yield of 0.39. The photoluminescence blue shifts significantly in PMMA film, with $\lambda = 459$ nm, and the quantum yield increases to 0.48. The photoluminescence lifetimes also vary across the series. When measured in PMMA film, the lifetimes decrease in the order **3a** ($\tau = 6.1$ μs), **3b** ($\tau = 1.8$ μs), and **3c** ($\tau = 0.85$ μs). These differences in lifetimes and quantum yields are primarily driven by differences in k_r , which increase in the order **3a** < **3b** < **3c**. Emission spectra were also recorded at 77 K in rigid solvent glass, and under these conditions the luminescence maximum displays a significant rigidochromic blue-shift in each case, signifying substantial charge-transfer. The spectra of **3a** and **3b**

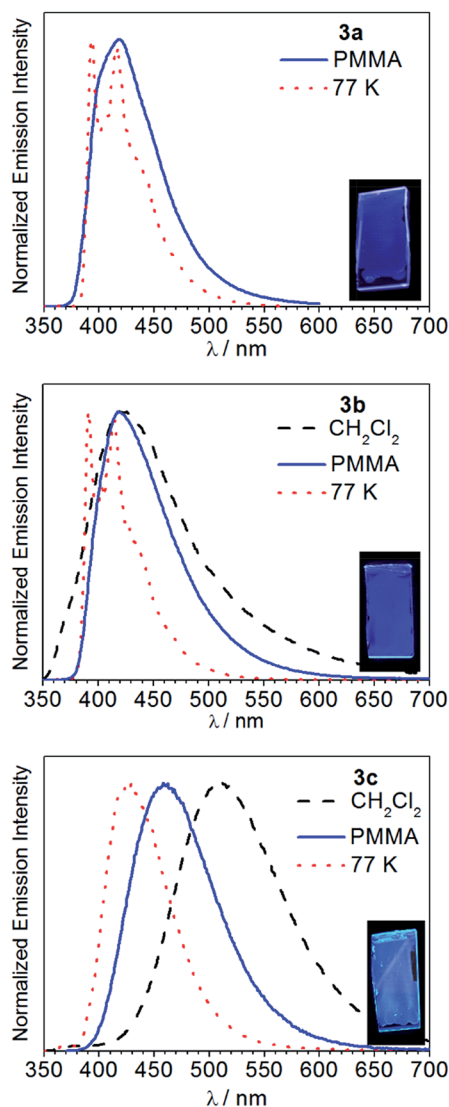


Fig. 5 Photoluminescence spectra of **3a–c** recorded in CH_2Cl_2 at room temperature (black trace, dashed line), PMMA film at room temperature (blue trace, solid line), and in 1 : 3 CH_2Cl_2 /toluene glass at 77 K (red trace, dotted line). Samples were excited at $\lambda = 310$ nm. The insets show photographs of the PMMA films under UV illumination.

are much sharper with pronounced vibronic structure at 77 K, consistent with significant ligand-centered $^3\pi\pi^*$ excited-state character as well, whereas the spectrum of **3c** is devoid of vibronic structure even at 77 K, indicating a T_1 state that is mainly a metal-to-ligand charge transfer ($^3\text{MLCT}$) state.

Using the thin-film photoluminescence data, CIE coordinates²⁹ were determined and are summarized in Fig. 6. All three compounds fall in the blue region of the spectrum. The red-shifted emission in complex **3c** engendered by the pyridyl-substituted NHC results in significant sky-blue coloration with (CIE_x, CIE_y) coordinates of (0.16, 0.18). In contrast, the color profiles for **3a** and **3b** represent pure blue luminescence, with CIE coordinates of (0.16, 0.10) for **3b** and (0.16, 0.07) for **3a**. The color profiles of **3a–c** represent substantial improvements over our first two classes of cyclometalated iridium ADC complexes, all of which



Table 1 Summary of photoluminescence data for **3a–c**^a

	CH ₂ Cl ₂ , RT		77 ^c K		PMMA, RT ^d			(CIE _x , CIE _y) ^f
	λ/nm	Φ _{PL}	λ/nm	λ/nm	Φ _{PL} ^e	τ/μs	k _r , k _{nr} × 10 ⁻⁵ /s ⁻¹	
3a	N.A. ^b	N.A. ^b	393, 417	418	0.13(1)	6.1	0.21, 1.4	(0.16, 0.07)
3b	420	0.013	391, 415	418	0.31(1)	1.8	1.7, 3.8	(0.16, 0.10)
3c	511	0.39	430	459	0.48(7)	0.85	5.6, 6.1	(0.16, 0.18)

^a For steady-state measurements, including quantum yields, λ_{exc} = 310 nm. For lifetime measurements, λ_{exc} = 330 nm. ^b Not luminescent in solution at RT. ^c Recorded in 1 : 3 CH₂Cl₂/toluene glass. ^d 2 wt% complex. ^e Average of four or five independent trials, with standard deviation of the last digit shown in parentheses. ^f From PMMA film data.



Fig. 6 Chromaticity diagram showing the (CIE_x, CIE_y) coordinates of **3a–c**.

exhibited luminescence with significant sky blue to blue-green coloration rendering them unsuitable for device applications.^{26,27}

DFT calculations

To validate the conclusions drawn from the experimental data presented above, and to determine the role of the ADC ligand in the frontier orbitals and triplet state, DFT calculations were performed on the model complex **3a-Me**, where the *N*-propyl group on the ADC is truncated to a methyl group. All calculations were performed in the gas phase at MN15L/6-311G* for nonmetal atoms, with the SDD basis set used for Ir. The optimized geometry is C₁-symmetric with structural metrics very similar to the crystal structure (Fig. 2). In particular, the ADC N–C–N bond angle, which optimized to 116.75°, is intermediate between the angles of 116.1(15)° and 117.5(14)°, observed for the two crystallographically independent molecules of **3a**. Fig. 7 shows the computed HOMO and LUMO orbitals for **3a-Me**. The HOMO is a mixed metal–ligand orbital consisting primarily of contributions from Ir d orbitals and the phenyl rings of the cyclometalated NHC ligands, as is typical for carbene-based iridium cyclometalates.^{13,15} In contrast, the LUMO is almost exclusively ligand-centered, though it does involve contributions from one of the cyclometalated NHC ligands and the cyclometalated ADC ligand. A HOMO–LUMO gap of 3.50 eV was computed, consistent with the large electrochemical HOMO–LUMO gap (>3 eV) observed *via* cyclic voltammetry (Fig. 3).

In addition to ground-state calculations in the singlet spin state, the lowest-energy triplet state was computed using

unrestricted DFT. There are no major geometric differences between the singlet ground state and the triplet excited state, and the computed singlet–triplet gap of 3.20 eV is a very good match for the *E*_{0–0} value of 3.15 eV, estimated from the first maximum in the 77 K photoluminescence spectrum of **3a** (Fig. 5). Fig. 8 shows the computed triplet spin density of **3a-Me**, with the unpaired spin density found to reside exclusively on the Ir center and one of the pmi ligands, consistent with the formulation of the T₁ state as a mixed ³LC/³MLCT state. Notably, there is almost no spin density on the ADC ligand, even though the ADC is involved substantially in the LUMO. The nature of this triplet state is very similar to that of homoleptic Ir(C[∧]C:NHC) complexes, consistent with the observation that the

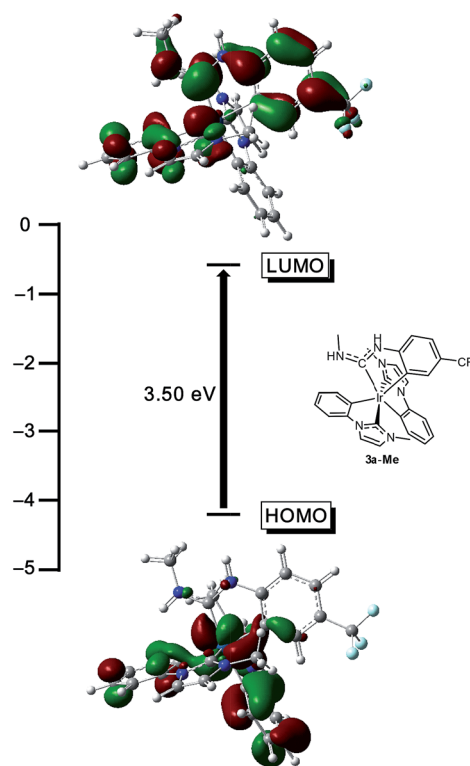


Fig. 7 DFT-computed HOMO and LUMO energy values and plots for the model complex **3a-Me** (isovalue = 0.03 a.u.). Calculations were performed in the gas phase at MN15L/6-311G* for nonmetal atoms, with the SDD basis set used for Ir. The structure of **3a-Me** is also shown, in the approximate orientation used for the orbital depictions.



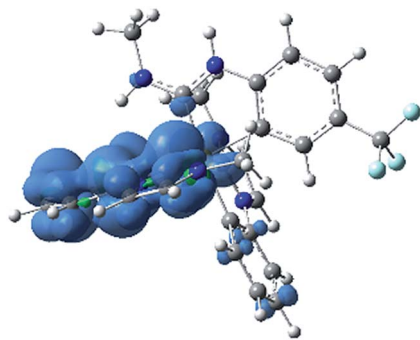


Fig. 8 UDFT calculated triplet spin density of complex **3a-Me**. Contours are shown at an isovalue of 0.003 a.u. (blue indicates positive, green indicates negative).

photoluminescence spectra of **3a-c** are quite similar to their homoleptic analogues (see below).

Comparison of mixed-carbene and homoleptic NHC complexes

To further contextualize the photoluminescence properties and the CIE coordinates, we can compare complexes **3a-c** to homoleptic $\text{Ir}(\text{C}^{\wedge}\text{C}^{\text{NHC}})_3$ complexes which use the same three NHC ligands. Although $\text{Ir}(\text{C}^{\wedge}\text{C}^{\text{NHC}})_3$ complexes are available in both *fac* and *mer* isomers, with the stereochemistry not having a large influence on photoluminescence attributes,^{13,14} we focus here on comparisons to the *mer* isomer, since this isomer and **3a-c** include a *trans* arrangement of two of the NHC ligands. Immobilized in a thin film, compound **3c** exhibits nearly identical photoluminescence to that of *mer*- $\text{Ir}(\text{pmp})_3$,¹⁴ with the same featureless profile and nearly identical maximum wavelengths and CIE coordinates ((CIE_x, CIE_y) = (0.16, 0.16) for *mer*- $\text{Ir}(\text{pmp})_3$). The photoluminescence attributes of **3a** and **3b** are also quite similar to their respective *mer*- $\text{Ir}(\text{C}^{\wedge}\text{C}^{\text{NHC}})_3$ ($\text{C}^{\wedge}\text{C}^{\text{NHC}}$ = *p*mi, *p*mb) analogues. These compounds also emit with maxima near 400 nm, and although precise quantum yield values in polymer film were not reported, they were estimated to be greater than 0.1–0.2.¹³ The photoluminescence quantum yields of **3a** ($\Phi = 0.13$) and **3b** ($\Phi = 0.31$) are in the same range or greater, suggesting the photoluminescence efficiencies of **3a** and **3b** are very similar to or better than the homoleptic analogues. In addition, CIE coordinates for electroluminescent devices made from *mer*- $\text{Ir}(\text{pmb})_3$ were found to be (0.17, 0.06) and (0.17, 0.08),¹² very similar to the color profile observed for the photoluminescence of **3a** and **3b**. One other point of comparison are homoleptic *mer*- $\text{Ir}(\text{C}^{\wedge}\text{C}^{\text{NHC}})_3$ complexes with CF_3 -substituted *p*mi ligands.¹⁵ The photoluminescence quantum yields of these compounds were measured in PMMA films, with one isomer having $\Phi_{\text{PL}} = 0.14$ and another with $\Phi_{\text{PL}} = 0.47$, similar values to those of **3a** and **3b**. CIE coordinates for devices made from these CF_3 -substituted $\text{Ir}(\text{pmi})_3$ analogues are also nearly identical to those observed for **3a** and **3b**. Taken together, these comparisons indicate that installing cyclometalated ADC ancillary ligands preserves the favorable photoluminescence characteristics of the carbene-based iridium

cyclometalates that have been used in some of the top-performing blue devices.^{12,14,15} The subtle influence that the ADC has on the photoluminescence color when compared to homoleptic $\text{Ir}(\text{C}^{\wedge}\text{C}^{\text{NHC}})_3$ complexes suggests that the ADC-centered orbitals do not contribute substantially to the T_1 state, which is born out in the DFT calculations described here.

Conclusions

In this work we address the long-standing challenge of designing effective blue phosphors for OLED applications. The compounds we describe are heteroleptic tris-cyclometalated iridium complexes with mixed-carbene ligation, prepared from isocyanide precursors by a nucleophilic addition/cyclometalation cascade sequence. The photoluminescence attributes of these compounds are admirable. In PMMA thin films, deep blue luminescence is observed for two members of the series, with CIE coordinates that match well with industry standards for blue phosphors. The photoluminescence quantum yields are good and the lifetimes are in the microsecond range, also important criteria for device applications. In short, the pure blue photoluminescence of the mixed-carbene compounds describe here and the potential advantages offered by the ADC moiety motivate continued evaluation of **3a-c** and related analogues as dopants for blue OLEDs.

Conflicts of interest

There are no conflicts to declare.

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Notes and references

† **3a**: CCDC 1879106, $\text{C}_{31}\text{H}_{30}\text{F}_3\text{IrN}_6$, $M = 735.81$, triclinic, $P\bar{1}$, $a = 14.228(5)$ Å, $b = 15.407(6)$ Å, $c = 16.166(6)$ Å; $\alpha = 86.526(4)^\circ$, $\beta = 65.199(4)^\circ$, $\gamma = 85.672(4)^\circ$, $Z = 4$, 36 765 tot. refln., 12 993 ind. refln., $R_{\text{int}} = 0.058$, $R_1 = 0.098$, $wR_2 = 0.300$.

§ **3b**: CCDC 1879107, $\text{C}_{30}\text{H}_{34}\text{F}_3\text{IrN}_6$, $M = 835.92$, monoclinic, $C2/c$, $a = 27.270(8)$ Å, $b = 20.718(6)$ Å, $c = 14.832(4)$ Å; $\beta = 116.007(3)^\circ$, $Z = 8$, 31 630 tot. refln., 7309 ind. refln., $R_{\text{int}} = 0.047$, $R_1 = 0.088$, $wR_2 = 0.211$.

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