

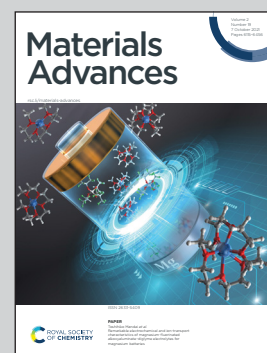


Showcasing research from Professor Carlos F. O. Graeff's laboratory, School of Science, São Paulo State University, Bauru/São Paulo, Brazil.

High-field/high-frequency EPR spectroscopy on synthetic melanin: on the origin of carbon-centered radicals

Melanin is a promising material at the helm of bioelectronic applications due to its inherent biocompatibility and hydration-dependence conductivity. Additionally, its free-radical species can be considered a potential charge carrier or an indirect spin-label for charge transport. Hence, by applying high-field/high-frequency EPR spectroscopy, information regarding the intrinsic melanin radical's possible nature, *i.e.*, carbon-centered radicals (CCR), was obtained. We have found that at least four different monomeric structures should be evoked for the CCR EPR signal.

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# High-field/high-frequency EPR spectroscopy on synthetic melanin: on the origin of carbon-centered radicals†

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Among all the pigments found in nature, melanin has drawn considerable attention for bioelectronics applications as it contains a set of physicochemical properties such as antioxidant activity, metal chelation, biocompatibility, broad-band absorbance throughout the UV-visible region, hydration-dependent conductivity and intrinsic and extrinsic free radical character. A better understanding of these properties is a matter of significant importance to improve melanin-based organic devices efficiently. Therefore, the origin of melanin free-radicals was studied using continuous-wave electron paramagnetic resonance (EPR) spectroscopy at 263 GHz for standard non-functionalized and sulfonated derivatives. Our results indicated that more than one radical species should be evoked to study the free-radical nature of standard and sulfonated melanin. Additionally, we also confirm that the free-radical system of sulfonated melanin is similar to non-functionalized melanin. Based on the *g*-anisotropy analysis, we propose which potential units could be responsible for carbon-centered radicals.

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## Introduction

Melanins are natural pigments with several functions ranging from aesthetic,<sup>1</sup> antimicrobial<sup>2</sup> and antioxidant<sup>3,4</sup> behaviors to radical scavenging<sup>4</sup> and photo<sup>5</sup> and radioprotection.<sup>6</sup> Furthermore, it also has a series of physicochemical properties such as biocompatibility,<sup>7</sup> broad-band UV-vis absorbance,<sup>8</sup> paramagnetism<sup>9</sup> and conductivity depending on the hydration.<sup>10–12</sup> Due to these properties, melanin has generated significant interest to be employed in a broad range of technological applications.<sup>13–25</sup>

Melanin is a macromolecular system consisting of 5,6-dihydroxyindole (DHI) and 5,6-dihydroxyindole-2-carboxylic acid (DHICA) monomers and their different redox states (see Fig. 1(a)).<sup>7</sup> These monomers react with one another to form small oligomers that then self-assemble in  $\pi$ - $\pi$ -stacked

supramolecular units of different sizes with an interplanar spacing of 3.7 Å.<sup>7</sup>

Perhaps one of the most studied properties of melanin is its persistent paramagnetic signal, which can easily be detected with continuous-wave (CW) electron paramagnetic resonance (EPR), under various experimental conditions.<sup>28–46</sup> Nowadays, it is accepted that the melanin paramagnetic system consists of one



Fig. 1 (a) The building block monomers for non-functionalized melanin. HQ denotes hydroquinone, QI quinone imine, IQ indolequinone and SQ semiquinone. Ndef<sup>+</sup> is attributed to a charged structure, a synthesis defect.<sup>26</sup> (b) Proposed building blocks of sulfonated melanin.<sup>27</sup>

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**Scheme 1** The comproportionation equilibrium reaction. Indolequinone and hydroquinone moieties interact together to obtain negatively charged semiquinones and protons.

intrinsic free-radical originated from the growth of its macrostructure and an extrinsic free-radical usually associated to a molecular probe of the redox state of the melanin monomer.<sup>30,42,47,48</sup> The concentration of the extrinsic free radicals is determined by the comproportionation equilibrium reaction,<sup>39,44</sup> Scheme 1, and has played a significant role in developing the current understanding of some basic physicochemical properties of melanin.<sup>7,36,47,49</sup> The intrinsic radical is defined as carbon-centered radicals (CCR); specifically, its spin density is located in carbon atoms; the extrinsic one is semiquinone-free radicals (SFR), *i.e.*, the spin density is found in oxygen atoms.<sup>34</sup> Furthermore, *g*-values (around 2.0030 for CCR and 2.0050 for SFR) and line shape can be used to distinguish these species.<sup>28,34,43,44,49</sup>

At present, there are relatively few reports in the literature trying to understand the origin of melanin EPR spectra, especially at high magnetic fields.<sup>29,34,37,38,46</sup> For instance, Q-band ( $\nu = 35$  GHz,  $B_0 \approx 1.25$  T) EPR spectra recorded over a range of pH for different melanins demonstrate the presence of anionic and neutral radicals with different *g*-values.<sup>29</sup> A few years later, the analysis of the Q-band spectra was refined using computer simulation analysis. The existence of four species was proposed and they were related to cation radicals with extended delocalization of their unpaired spins and anion *o*-semiquinone radicals with relatively localized unpaired electrons.<sup>46</sup> In previous work, we used DFT<sup>49</sup> and X-band ( $\nu = 9.7$  GHz) EPR spectral simulations<sup>37</sup> to hypothesize that CCR could be ascribed to NDef<sup>+</sup> structures and SFR to anionic indolequinone and semiquinone radicals.

High-field/high-frequency EPR (HF-EPR) spectroscopy has high sensitivity towards small molar spin concentrations and spin systems that cannot be observed at low frequencies.<sup>50–53</sup> It can enhance the signal resolution of the principal values of the *g* tensor (*g*-anisotropy) to better distinguish and characterize the paramagnetic species. Therefore, with the rapid evolution of EPR spectroscopy in recent times,<sup>52,53</sup> it is time to take advantage of the higher spectral resolution of the HF-EPR and study melanin's free-radical nature. Building upon our previous EPR work, we applied 263 GHz EPR spectroscopy to different synthetic non-functionalized (NF) and sulfonated (S) melanin derivatives. Sulfonated melanin, whose basic structure is shown in Fig. 1(b), behaves closely to standard melanin at X-band CW EPR<sup>38</sup> and has promising properties for bioelectronics applications.<sup>17,54–57</sup> The experimental results were interpreted with spectral simulations and density functional theory, throwing light on the *g*-anisotropy of melanin-based radicals and their possible origin.

## Materials and methods

### Melanin synthesis

Non-functionalized melanin was synthesized by dissolving 0.3 g of DL-DOPA (Sigma-Aldrich, 98%) in 60 mL of MiliQ water (18 M $\Omega$  cm) and 400  $\mu$ L of ammonium hydroxide (Synth, 28–30%).<sup>58</sup> Ammonium hydroxide was used to increase the pH solution to 8–9, the melanogenesis condition.<sup>3</sup> The synthesis mixture was stirred at room temperature ( $T \approx 300$  K) and oxygenated with an air pump for three days (NFMel) or in a 150 mL stainless steel reactor with 6 atm internal pressure of industrial oxygen gas for 6 hours (NFMel-P). Afterward, the solution was filtered using a 3500 MWCO dialysis membrane for four days. The dialysate medium was MiliQ water and it was changed every day. After drying the suspended colloidal particles for two days at 353 K in an oven, a black powder was obtained. These different samples have identical polymerization structures but different oxidation degrees (NFMel < NFMel-P).

Sulfonated-functionalized melanin derivative (SMel) was obtained by the oxidation of 1.50 g of DL-DOPA in 200 mL of DMSO (PA, Vetec, 99.9%) with 0.93 g of benzoyl peroxide (Vetec, 75.0–80.0%).<sup>57,59</sup> The mixture was kept under magnetic stirring for 58 days at room temperature ( $T \approx 300$  K). Next, the solution was heated at 413 K to evaporate 3/4 of the solvent and then mixed in acetonitrile (Synth, 99.5%) and kept for two days. Afterward, the acetonitrile solution was centrifuged at 2500 rpm for 15 minutes and the precipitate extracted was dried at 353 K in an oven.

All commercially available chemicals were used without further purification.

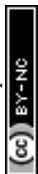
### HF-EPR spectroscopy

Continuous-wave (CW) HF-EPR measurements were performed with a Bruker Elexsys 780 EPR quasi-optical spectrometer measuring the dispersion and absorption spectra simultaneously. The spectra were acquired with quadrature detection at 263 GHz microwave frequency with 10 kHz modulation frequency, 5 G of modulation amplitude, 600 G of sweep width and 0.1, 1.0 and 10.00 mW microwave powers. Trapped atomic nitrogen in the endohedral fullerene (N@C<sub>60</sub>,  $g_{\text{iso}} = 2.0020$ )<sup>60</sup> was used as a reference for *g*-value determination.

The HF-EPR spectra were processed by baseline and phase correction,<sup>61</sup> obtained by adjusting the admixture of a linear combination between the absorption signal with its Hilbert transform until the integrated spectrum displayed a zero baseline (more details in ESI†). The spectra were then analyzed using EasySpin (v.5.2.13)<sup>62</sup> with the pepper routine with Gaussian function and anisotropic broadening.

### Electronic structure calculations

For a deeper insight into the NF and S-melanin anisotropy, distinct charged and radical units based on the common building units (Fig. 1) of each melanin group were considered (see Fig. S1, ESI†). The most stable structures were obtained by molecular dynamic calculations, as reported elsewhere.<sup>38</sup> All the structures were pre-optimized in a Hartree-Fock approach using PM6 semiempirical approximation as implemented in



the MOPAC2016 computational package.<sup>63</sup> The most stable structures were then fully optimized in the framework of the density functional theory (DFT) using the B3LYP hybrid exchange–correlation functional and 6-31G(d,p) basis set on all the atoms with the aid of GAMESS (NF-melanins) and Gaussian 09 (S-melanins) computational packages.<sup>64,65</sup> EPR active compounds were designed by adding/removing one electron to/from the neutral structures.<sup>66,67</sup> The charged units were then re-optimized with a DFT/B3LYP/6-31G(d,p) approach by considering the neutral optimized structures as starting geometries. For Ndef<sup>+</sup>, it was considered only its anionic structure (*i.e.*, Ndef<sup>+</sup> + e<sup>-</sup> = Ndef). The presence of Ndef<sup>+</sup> species may not be common; however, they arise from synthetic defect-like structures<sup>26</sup> and are usually seen in the fitting of N1s XPS spectra.<sup>57,58,68,69</sup> The *g*-values ( $g_{xx}$ ,  $g_{yy}$  and  $g_{zz}$ ) were estimated with the aid of an Orca 3.0.3 computational package,<sup>70</sup> in a DFT/B3LYP/6-31G(d,p) approach.

## Results and discussion

As mentioned in the introduction, most of the characterization of free-radical species in melanin was performed using X-band and more detailed information cannot be obtained due to the absence of resolved hyperfine coupling and the limited *g*

resolution. Hence, for a complete hyperfine structure and *g* anisotropy analysis, high-frequency EPR spectroscopy needs to be used. Fig. 2 presents the 263 GHz HF-EPR derivative absorption signal of NF and S-melanin at different microwave powers. All spectra show a rhombic character and no clear resolved hyperfine interaction (Fig. S2, ESI<sup>†</sup>). Such features are different from the corresponding X-band spectra (see Fig. S3, ESI<sup>†</sup>). The distortion of the melanin EPR spectra at higher microwave power can indicate that the paramagnetic system is composed of different species with similar *g*-values and different spin–lattice relaxation rates and consequently saturation effects.<sup>34–36,38</sup>

The melanin free-radical system was evaluated at low microwave powers with EasySpin.<sup>62</sup> We tested the hypothesis that the spectra are comprised of multiple resonant lines (one, two and three lines) with isotropic broadened (Fig. S4, ESI<sup>†</sup>), anisotropic broadened with axial symmetry (Fig. S5, ESI<sup>†</sup>), or rhombic symmetric lines (Fig. S6, ESI<sup>†</sup>) with no success. The spectra were satisfactorily fitted assuming anisotropic broadened lines with rhombic symmetry and *g*-strain (Fig. S7, ESI<sup>†</sup>). Such symmetry is different from earlier observations on Q-band EPR;<sup>46</sup> however, it is commonly a good representation for indole materials<sup>71</sup> and semiquinone radicals found in human nails.<sup>72</sup> According to the last proposed simulation, the fit was relatively good in all cases; nonetheless, when three lines are



Fig. 2 Normalized 263 GHz EPR derivative absorption spectra at 293 K and different microwave powers for (a) NFMel, (b) NFMel-P and (c) SMel.



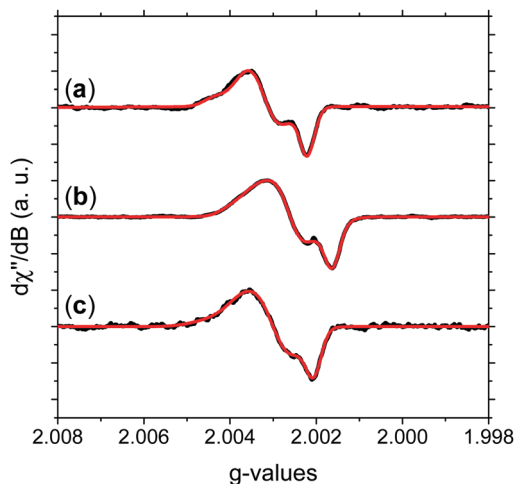


Fig. 3 Experimental HFEPR derivative absorption spectra (black line) and their fitting (red line) for (a) NFMel, (b) NFMel-P and (c) SMel at 0.1 mW microwave power. Fitting parameters are displayed in Table 1.

used, two of them have spectral features so similar that we could be just overparameterizing our analysis (Table S1, ESI<sup>†</sup>). Therefore, we disregard such a possibility. On the other hand, we could not reproduce the shoulder at high  $g$  (Fig. S7a, ESI<sup>†</sup>) with only one. Hence, we assumed that the spectra are composed of at least two different resonant lines. To further test this idea, we turned to the saturation phenomena mentioned before. The idea is that if the fitting lines do work at high microwave power spectra by changing only their intensity, our attribution is correct. In Fig. S8–S10 (ESI<sup>†</sup>), we show that at 10 mW only with two resonant lines the fit is good and there is a relative variation in the spin density.

Fig. 3 shows the absorption HFEPR spectra and their respective fitting considering two paramagnetic species and rhombic symmetry for NFMel, NFMel-P and SMel. Moreover, from the fit shown in Fig. 3, the associated parameter values of each species converged to the values reported in Table 1. We also estimate the anisotropy of the  $g$ -tensor ( $\Delta g$ ), given by the difference between  $g_{xx}$  and  $g_{zz}$ , which present greater precision than the absolute  $g$ -values<sup>73</sup> and the spectrum rhombicity, calculated from  $[(g_{xx} - g_{yy}) \cdot (g_{xx} - g_{zz})^{-1}]$ .<sup>71</sup>

We first take a look at the fitting analysis of NFMel. Our results show that this sample has an HFEPR spectrum with a

low isotropic  $g$ -value ( $g_{\text{iso}} < 2.0035$ ) and  $g$ -anisotropies ( $\Delta g$ ) of  $208 \times 10^{-5}$  for species **A-I** and 147 for **A-II**. Such values indicate (i) the presence of CCR paramagnetic species and (ii) that the principal  $g$ -values of **A-I** can be fully resolved at the magnetic fields used for this study, whereas for **A-II** they are not resolved, *i.e.*, there is an overlapping of  $g_{xx}$  and  $g_{yy}$ .

For a  $\pi$  radical,  $g_{zz}$  usually has low spin-orbit coupling and is oriented perpendicularly to the aromatic system.<sup>74,75</sup> In contrast, the in-plane orientations corresponding to  $g_{xx}$  and  $g_{yy}$  exhibit large shifts due to spin-orbit coupling originated from the radical structure and environment.<sup>74,75</sup> The  $g$ -strain, the full width at half maximum of the Gaussian distributions of the main  $g$ -values, demonstrates low directional dependence at  $g_{zz}$  and moderate to high at  $g_{xx}$  and  $g_{yy}$ . This effect indicates that, although the species may be similar, they should present some local structural variation.

The  $g$  tensor of NFMel-P is similar to NFMel but has an increased directional dependence. This result indicates that NFMel and NFMel-P have similar free-radical species, as we have proposed in an earlier study.<sup>37,38</sup> The different oxidation states of these samples and macrostructure are responsible for the differences.

To help with the interpretation, we analyze the  $g$  tensor values obtained from the density functional theory of each anionic, cationic and radical structure summarized and shown in Fig. 4. Their colored spin density maps are shown in Fig. S11 and S12 (ESI<sup>†</sup>).

Our earlier study showed that CCR species associated with  $g_{\text{iso}} < 2.0040$  would be compatible with anion and cation NF-HQ, cation NF-QI and neutral NF-Ndef<sup>+</sup> (NF-Ndef); whereas SFR,  $g_{\text{iso}} > 2.0040$ , with anion NF-IQ and radicals NF-SQ.<sup>49</sup> To gain insight into each radical anisotropy, we convert the theoretical  $g$ -values into  $\Delta g$  and rhombicity and compare them as shown in Fig. 5a. From Fig. 5a, it is possible to see that the species attributed to CCR has  $\Delta g < 300 \times 10^{-5}$ , whereas for SFR,  $\Delta g > 300 \times 10^{-5}$  with no apparent order for rhombicity. Additionally, based on the spectroscopic values tabulated in Table 1, the radical **A-I** can be assigned to cationic NF-QI and **A-II** to neutral NF-Ndef01 and anionic NF-HQ02. On the other hand, species **B-I** and **B-II** seem to be related predominantly to species NF-Ndef02 (neutral).

The isotropic  $g$  below 2.0035 and the low  $\Delta g$  given in Table 1 and Fig. 5a indicate that the HFEPR signal could be ascribed to only CCR species. Some studies suggest that semiquinone species should present a  $g_{xx}$  higher than 2.0055 (Fig. 4 and ref. 72, 76) at the low-field edge of the HFEPR absorption spectra. However, we do not see any  $g$  tensor component in Fig. 2. The possible absence of SFR species in melanin is an odd feature at first. However, our result does not imply that NF-SQ does not exist in melanin; it could mean that the experimental condition of the measurement favors the presence of only CCR species. As a matter of fact, we have shown that the melanin pellets result in a higher amount of CCR species.<sup>37</sup> On top of that, following comproportionation reaction (Scheme 1), it is known that the presence of SQ radicals will dominate the EPR spectra only under alkaline conditions (high pH).<sup>34,37,44</sup> Hence,

Table 1 Experimentally determined  $g$ -values for melanin derivatives

Component	NFMel		NFMel-P		SMel	
	A-I	A-II	B-I	B-II	C-I	C-II
$g_{xx}$	2.0043	2.0037	2.0042	2.0038	2.0046	2.0038
$g_{yy}$	2.0030	2.0032	2.0032	2.0031	2.0033	2.0030
$g_{zz}$	2.0023	2.0022	2.0021	2.0021	2.0024	2.0021
$\sigma_{xx} (10^{-4})$	5.10	5.71	5.00	9.58	6.98	8.61
$\sigma_{yy} (10^{-4})$	9.99	4.83	8.77	6.00	2.27	6.54
$\sigma_{zz} (10^{-4})$	0.02	0.01	5.46	2.32	2.03	1.60
$g_{\text{iso}}$	2.0032	2.0030	2.0032	2.0030	2.0034	2.0030
$\Delta g (10^{-5})$	208	147	218	174	220	168
Rhombicity	0.64	0.34	0.48	0.43	0.59	0.46



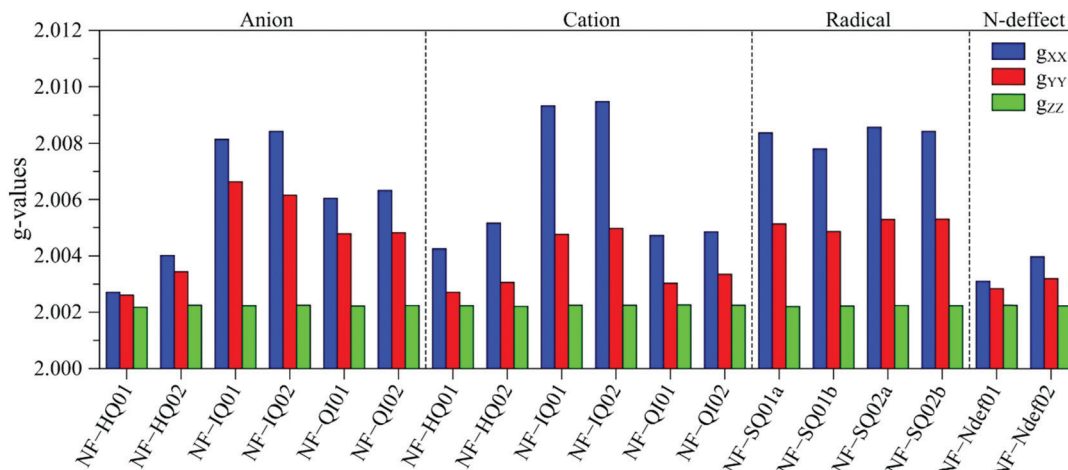


Fig. 4 DFT derived  $g$ -values for anionic, cationic and radical NF-melanin units. 01 and 02 refer to NF-DHI and NF-DHICA species, respectively.

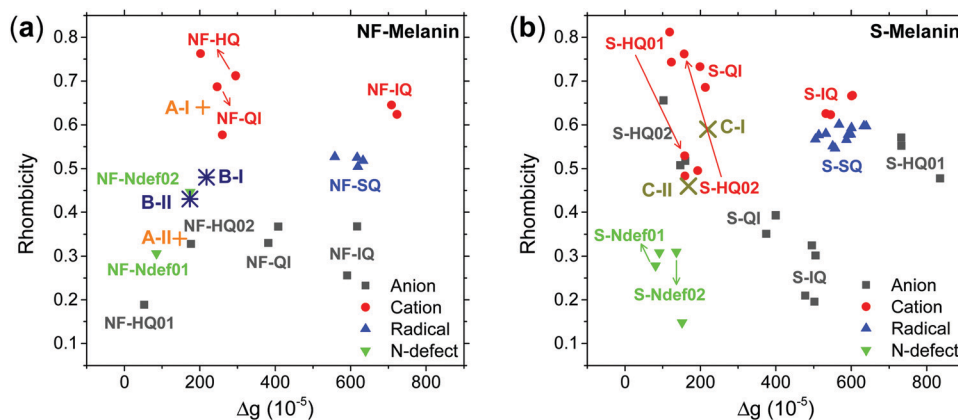


Fig. 5 The plot of the rhombicity as a function of  $g$ -value anisotropy for the different (a) NF-melanin and (b) S-melanin radical species. The theoretical radicals are represented by the square, circle and triangle symbols; the crosses are each of the experimental radicals. A purely isotropic signal would have  $\Delta g = 0$  and a completely axial symmetry signal would be obtained with rhombicity equal to 1.0 or 0.0.

based on the purification process of this synthetic procedure, we also expect that our samples are neutral, which also points towards low SFR content. Such assumption is reinforced with Table S2 (ESI<sup>†</sup>) showing that the high- $g$  component decreases or even disappears at neutral and acidic pH. We also note that any effect originated from the temperature treatment during the extraction process does not seem to change the overall paramagnetic species in these melanin samples, as shown in earlier X-band EPR studies,<sup>37,38</sup> suggesting that we indeed could have a lower amount of SQR species. Another possibility is that the measured signal is already saturated at 0.1 mW (Fig. S13, ESI<sup>†</sup>). In such a case, the measurements should be done at lower microwave power, which is not possible in the spectrometer we used. More studies will be necessary to clarify this behavior.

Now, we turn to sulfonated melanin, *i.e.*, SMel. According to Table 1, the spectroscopic features of SMel are similar to non-functionalized melanin, with low isotropic  $g$ -value ( $g_{\text{iso}} < 2.0035$ ) and  $\Delta g$  of  $220 \times 10^{-5}$  for species C-I and  $168 \times 10^{-5}$

for C-II. However, different from NF-melanin that has higher directional dependence at  $g_{xx}$  and  $g_{yy}$ , the C-I component of SMel displayed a low dependence at  $g_{yy}$ , probably due to the sulfonated groups. In the previous work, we have shown that the free-radical nature of SMel is compatible with NF-melanin.<sup>38</sup> Hence, the difference in the spectroscopy values may suggest that S-melanin has both the radicals, that is, sulfonated and non-functionalized radicals.

The  $g$  anisotropy of anionic, cationic and radical S-units was also obtained from DFT and they are displayed in Fig. 6. Colored spin densities are shown in Fig. S14 and S15 (ESI<sup>†</sup>). Similar to NF-melanin, the same  $g_{\text{iso}}$  category can be applied for S-melanin,<sup>38</sup> with the difference that S-HQ01 has an isotropic  $g$  higher than 2.0040. Such effect was assigned to a defect from the sulfonated species.<sup>38</sup> Also, each radical  $g$  component shown in Fig. 5b follows a similar order of NF-melanin. A close analysis of Fig. 5b indicates that species C-I is closely related to cationic NF-QI, whereas for C-II anionic to S-HQ-02(a and b) cationic S-HQ01b and S-HQ02(a and c) and neutral NF-Ndef02.



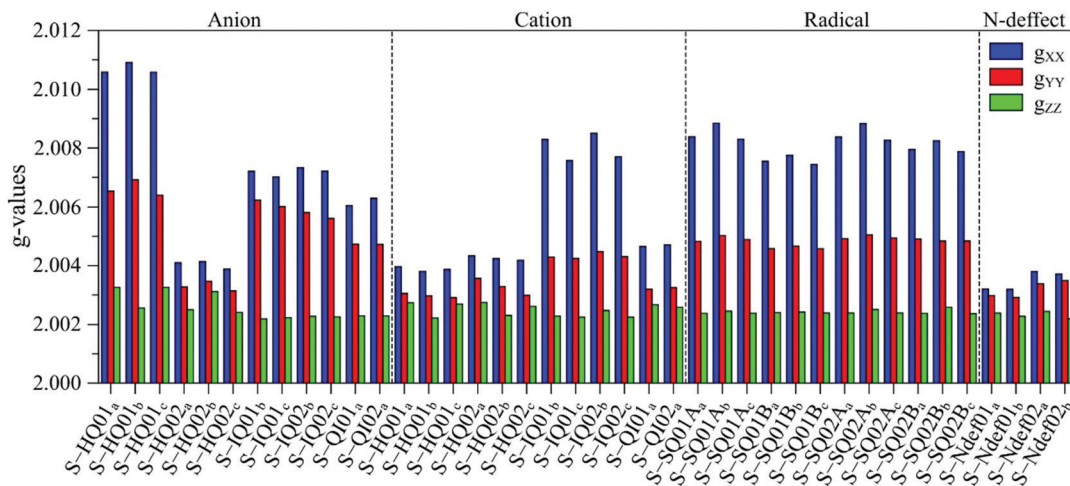


Fig. 6 Anisotropic  $g$ -value distribution obtained from DFT calculations of anionic, cationic and radical S-melanin monomers. 01 refers to S-DHI and 02 to S-DHICA species.

The spectroscopic similarities in both the groups suggest that SMel can have an equivalent paramagnetic system to NFMel and NFMel-P, consistent with previous X-band analysis.<sup>37,38</sup>

Our HFEPR signal did not show any hyperfine lines related to nitrogen, which would be expected due to the indole structure of melanin (Fig. 1). The suppression of hyperfine lines could be related to exchange narrowing effects,<sup>53,67,77</sup> as previously shown in organic radicals like 2,2-diphenyl-1-picrylhydrazyl (DPPH).<sup>78</sup> The exchange is usually associated with a decrease in the inhomogeneous broadening (Table S3, ESI<sup>†</sup>) due to large extended spin-spin interaction.<sup>77,79</sup> However, a previous theoretical study from dimer structures<sup>49</sup> indicates that their paramagnetic behavior is closely related to the monomers suggesting that the spin is localized on monomers rather than delocalized over many molecular units, potentially hindering the exchange effects. In fact, looking at the spin densities of the most probable units discussed above (Fig. S11, S12 and S14, S15, ESI<sup>†</sup>) none of them are centered on the nitrogen. Furthermore, we conducted the dilution<sup>77,80</sup> and pH-dependent EPR studies on multifrequency continuous-wave/pulsed EPR and pulsed ENDOR experiments to fully understand if the exchange is important or not to melanin EPR spectra. Furthermore, exploring the metal chelation properties<sup>39</sup> of melanin could also be a promising pathway for future EPR studies.

## Conclusion

In the present work, we have evaluated the free-radical nature of non-functionalized and sulfonated melanin derivatives using high-field/high-frequency EPR ( $\nu = 263$  GHz). Our study confirms the previous analysis that melanin should be viewed as a system with more than one free-radical species. Additionally, it also demonstrates the compatibility of the sulfonated melanin paramagnetic system towards non-functionalized analogs. The simulation of the HFEPR signals suggests the presence of two CCR species, one of them is related to Ndef units, indicating that such species should be considered when analyzing the

physicochemistry associated with free radicals. Our results demonstrate the need for more sophisticated analysis to fully unravel the nature of melanin and melanin derivative free radicals.

## Conflicts of interest

The authors declare no competing financial interest.

## Acknowledgements

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