

REVIEW

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Cite this: *Mater. Chem. Front.*,
2020, 4, 2863

Role of interface properties in organic solar cells: from substrate engineering to bulk-heterojunction interfacial morphology

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The performance of organic solar cells (OSCs) depends on a fine, carefully optimized bulk-heterojunction (BHJ) microstructure. The understanding and manipulation of BHJ morphology have been the focus of research in optoelectronic devices. In this article, recent advances in understanding and controlling the BHJ morphology via substrate engineering and BHJ active layer processing are reviewed. From the chemical and physical surface structure of substrates, we reviewed the active layer morphology depending on the nature of the substrate and the deposition conditions, and it can also be controlled to change the blend-substrate interface by changing the surface properties of the substrate. We also reviewed the effect of solvent (co-solvent and solvent additive) and post-treatment (thermal annealing and solvent vapor annealing) on the evolution kinetics of the film, which helps to form the optimal percolation path and thus provides a simple way to improve photovoltaic performance. Since the interaction between intermolecules is weak, the sensitive assembly of molecules depends on fine miscibility between the components in the BHJ active layer. Starting from the processing conditions of the substrate and the active layer can greatly control the morphology of BHJ, and provide future research directions for OSCs.

Received 15th June 2020,
Accepted 16th July 2020

DOI: 10.1039/d0qm00398k

rsc.li/frontiers-materials

1 Introduction

In the past 30 years, organic semiconductors, as an emerging electronic and optoelectronic material, have shown great potential for the next generation of flexible solar cells. The rise of organic solar cells (OSCs) is due to their solution-processability, flexible manufacturing and potential toward high-throughput, large-area, roll-to-roll fabrication.^{1–4} Since 2000, the efficiency of OSCs has increased dramatically (from about 2% to 18%),^{5–11} largely driven by the optimization of energy levels to maximize light absorption and minimize energy and recombination losses from the heterojunction and interface. Although the laboratory efficiency of OSCs has reached 17%,^{6,7,12,13} totally matching with traditional silicon-based solar cell modules, in large-scale industrial fabrication, low power conversion efficiency (PCE) and stability are both major barriers to commercialization. Driven by the potential for large-scale fabrication, developing a stable process for large area coatings with high average efficiencies and reliability remains a major challenge for the organic photovoltaic technology.^{3,14–16}

From the molecular conformation and crystallinity to the operating region scale of the device, the electronic properties of solution-based processing thin films are highly sensitive to the morphological characteristics during deposition.^{17–19} Therefore, the main issue discussed in solution-based processing OSCs is the development of methods for forming organic semiconductor thin films with uniform and well-oriented morphology.

Organic molecular assembly and thin film morphology control are key challenges in the development of common solution-based processing techniques.^{18,20–22} The significance of these challenges lies in the fact that charge transport, separation and recombination in OSCs is highly sensitive to morphological parameters of thin films across all length scales, which include paracrystalline size at the nanoscale, domain percolation at the mesoscale, and domain alignment and boundary distribution at the macroscale.^{19,20,23} Currently, the layer-by-layer (LbL) solution processing approach is an option to construct a pseudo-bilayer configuration for optimal active layer morphology.²⁴ However, the bulk-heterojunction (BHJ) approach has taken an irreplaceable lead in the development of OSCs, and morphology control of the active layer in BHJ solar cells is an essential consideration that could improve PCE. We, therefore, focus on the BHJ morphology control in this review. The BHJ active layer usually consists of an interpenetrating

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network of electron-donating conjugated polymers and electron-accepting fullerenes (or non-fullerenes molecules).^{25–28} Important factors that must be considered when optimizing the morphology of the BHJ active layer include (a) miscibility between donor and acceptor (D–A) materials;^{29,30} (b) semi-crystalline characteristics of phase-separated domains;^{31,32} and (c) vertical concentration gradient.³³ In solution-based processing OSCs, processing also allows the free control of phase separation and molecular self-organization during solvent evaporation and/or film treatment.^{4,34,35} Because the morphology of BHJ is frozen dynamically during the rapid evaporation of the solution,^{28,36} it is difficult to predict the final morphology of films from the blend solution. The importance of surface property control in determining the performance of solution-based solar cells is emphasized.^{37,38} The surface energy of the solution is one that establishes the film-forming environment, which has a predictable effect on the final film-forming morphology.^{39–41} During the formation of droplets, such as spray or blow drying, the size of the droplets formed is a function of the surface energy.⁴² In particular, in polymers, many polymers have low surface energy and processes such as ink printing do not work well because the ink does not wet the polymer surface. Surface energy will play a role in any surface interaction between the polymer and the fluid. It will determine the degree of wettability, the ease with which fluids enter the fibrotic region, and, to some extent, the energetics of fluid–polymer interactions.^{42,43} Specifically, surface energy control is the most promising way to achieve suitable D–A separation for high-performance OSCs. Vertical phase separation could be induced by controlling the surface energy owing to the attraction between molecules having a similar surface energy.^{44,45} In the solution-based deposition of polymers and solvents, there may be multiple grain boundary configurations between randomly combined particles. Since the orientation of some grains is more energetic than that of others, the contact grains rotate or rearrange to reduce their grain boundary energy.⁴⁶ In addition, the range of solid–vapor surface energy comes from the range of crystal surface orientation. For liquids, the amount of surface energy increases, including grain boundaries, solid–vapor, liquid–vapor and solid–liquid combinations. Polymer fibrillar components can determine the morphological framework of the network structure, which is very beneficial for BHJ OSCs. A possible route to BHJ formation is shown in Fig. 1 where the morphological framework of the network structure is initiated by surface property control. Dynamic wetting is a fundamental feature of solution-based processes, such as coating and printing. Because of its practical importance, numerous studies of dynamic wetting have been conducted over the past few decades. Both macroscale (hydrodynamic) and microscale (molecular-kinetic) theories exist to explain the mechanics near the dynamic contact line. To date, the issue is still debated, as discussed in other reviews.⁴⁷ Although the exact mechanism of morphology is under debate, there is, actually, a lack of relationship between the nanomorphology of the BHJ film to the surface energy. As discussed by Diao *et al.* in their review,⁴⁸ physics insights into the role of surface energy effects were described. Most of the work at present is likely to

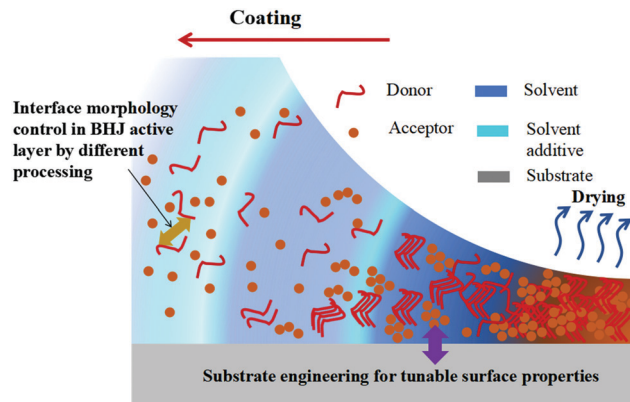


Fig. 1 A schematic showing a possible route to formation of the BHJ morphology during deposition, which depends on the nature of the substrate and the evolution deposition conditions of the film.

focus on a relevant morphological control strategy for a variety of optoelectronic technologies that build on the processability of organic semiconductors.^{48–51}

In this review, we provide more details of the morphology–surface energy relationship for OSCs, putting it into context with other morphology control strategies. From substrate engineering and interface morphology controlled in a BHJ active layer, we present the current understanding of how surface properties control impact phase separation, enabled by recent advances in *in situ* morphology characterization. We summarize how such a substrate induces changes in the morphology of the active layers; understanding how such solvent additives and post-treatment affect film formation has prompted their use in solution-processed organic photovoltaic technologies. This summarizes the effects of surface properties on substrates and introduces various morphological control strategies for optoelectronic technologies. Finally, this review looks forward to the fine control of BHJ morphology that can be achieved by fine-tuning the surface properties of the substrate and the weak interaction of each component in the active layer system, thus providing a potential strategy for the future development of OSCs.

2 Morphology optimization for organic photovoltaics

2.1 Miscibility of donors and acceptors

The efficiency and stability of OSCs are two key factors of limiting the industrial development of devices. These two factors are mainly influenced by the molecular morphology of the BHJ active layer. The basic working principles in OSCs are schematically illustrated in Fig. 2, with four subsequent processes to generate photocurrent: (1) organic layers absorb incident photons to generate electron–hole pairs (excitons); (2) excitons diffuse towards the donor–acceptor interface; (3) excitons dissociate by charge transfer at the D–A interface; (4) holes and electrons transport in donor and acceptor layers, and are collected at the electrodes.

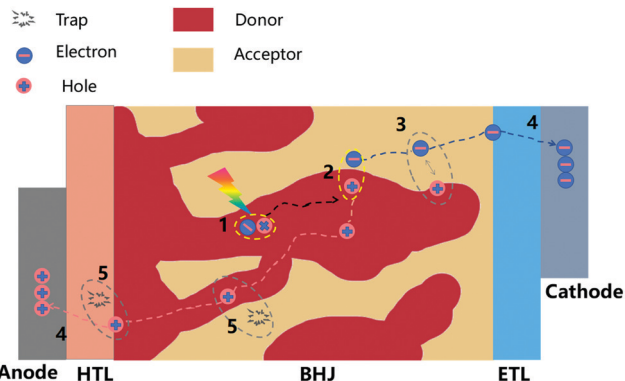


Fig. 2 Working principles of BHJ OSCs: (1) exciton generation under illustration; (2) excitons diffuse; (3) excitons dissociate at the D–A interface; charge transportation; (4) charge extraction and (5) charge recombination.

Many studies have shown that polymer–fullerene BHJs are composed of a mixture of pure polymer, pure fullerene and disordered polymer and fullerene components.^{52–55} Because OSCs operate *via* separation of a short-lived exciton at a donor/acceptor interface, the PCE of the system is closely related to the morphology of active layers and the relationship between these three phases (pure polymer, pure fullerene and disordered polymer and fullerene components). As shown in Fig. 3, on one hand, the blends create a network of bicontinuous phase separation materials, providing a maximum discrete interface area, separating excitons into free charge. On the other hand, the mixed-phase is considered counterproductive

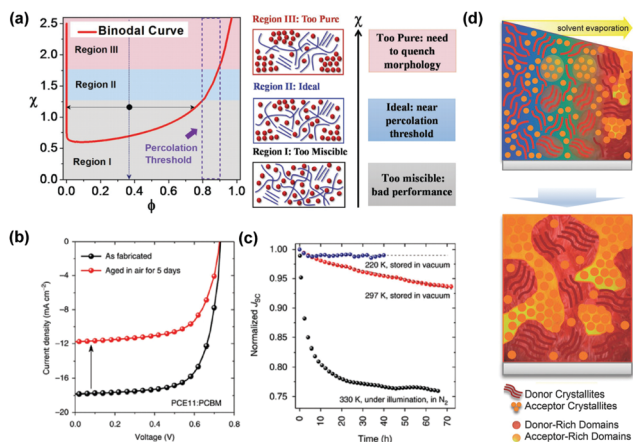


Fig. 3 (a) Schematic diagram of the three states in the χ – ϕ phase diagram. Regions I, II, and III represent high χ , medium χ and low χ , respectively. The dashed rectangle indicates the reported percolation threshold with a polymer volume fraction of 0.8–0.9. Reproduced with permission.²⁹ Copyright 2018, Wiley-VCH Verlag GmbH & Co. KGaA. (b) J – V characteristics of optimized PffBT4T-2OD:PCBM devices measured after fabrication (fresh) and after being aged in air for 5 days (aged). (c) Evolution of J_{sc} of optimized devices measured at different temperatures. Reproduced with permission.⁵⁶ Copyright 2017, Nature Publishing Group. (d) Schematic diagram showing possible ways to form BHJ morphology during deposition. Reproduced with permission.⁷⁰ Copyright 2018, Wiley-VCH Verlag GmbH & Co. KGaA.

to device performance because the separated molecules can act as traps for separating charges. Thus, donor–acceptor miscibility is a demonstrably important material property, which governs the formation of the charge collection pathways within BHJ devices. In terms of the stability of OSCs, miscibility between donor and acceptor materials has a strong influence on an abnormal strong burn-in degradation.⁵⁶ The spinodal demixing of the donor and acceptor leads to abnormally strong aging degradation, which greatly reduces charge generation, which can be attributed to the inherent low miscibility of donors and acceptors. Although microstructure can be dynamically tailored for high performance, the inherent low miscibility of the donor and acceptor results in spontaneous phase separation in the solid-state. As shown in Fig. 3a, high miscibility leads to the formation of ultrapure domains, which increases bimolecular recombination and reduces the short-circuit current density (J_{sc}) and fill factor (FF) of devices. By contrast, too little miscibility leads to intense burn-in degradation of donor–acceptor devices, such as polymer PffBT4T-2OD. From a positive in-depth investigation, the Flory–Huggins interaction parameter χ was calculated by the melting-point depression method, proving that FTAZ and PDPP3T of the two polymers were completely miscible. The use of the Flory–Huggins interaction parameter could quantitatively describe the degree of miscibility between two components.⁵⁷ As shown in Fig. 3a, when the crystallization of PCBM is kinetically inhibited during device fabrication, the dihedral composition along the χ – ϕ phase diagram coexistence curve determines the maximum purity obtainable in the amorphous mixed phase. It is worth noting that the quantification of temperature-dependent miscibility also confirmed Flory–Huggins $\chi(T)$ for an amorphous and crystalline polymer system.⁵⁸ Thermodynamic interactions of key constituent materials will enable us to establish relationships between their thermodynamic morphology and properties in actual OSCs. In OSCs with the non-fullerene field, hundreds of non-fullerene small molecule acceptors have replaced fullerene acceptors, and the effect of miscibility needs to be further studied.^{59–65} Miscibility/ χ -function relationships were performed on some non-fullerene OSCs, all of which pointed to a similar trend that high χ is a prerequisite for high purity of the domain, and therefore may be high FF in devices.⁶⁶ In particular, researchers have recognized the importance of miscibility in ternary OSCs, such as the distribution of the kinds of third additive on the interface between the donor and acceptor.^{67–69}

2.2 Paracrystalline characteristics of phase-separated domains

In OSCs, paracrystalline conjugated polymers typically have relatively strong intramolecular and intermolecular interactions, such as *vis-à-vis* π -interactions and hydrogen bonding under certain conditions,⁷¹ such that polymers may already be aggregated in solution during deposition.⁷² In such cases, the entropy barrier accumulated during the supersaturation process is eliminated, making the whole crystallization process different from those of traditional non-conjugated polymers.⁷¹ Although there are regional

differences, this “ideal” OSC morphology usually includes phase separation in the range of ~ 10 nm to match the typical exciton diffusion length between a pure donor and an acceptor phase.⁷³ In addition, the “ideal” donor and acceptor networks have perfect connections to the anode and cathode, respectively, possibly through the straight electrode. On the other hand, direct control of the orientation of the polymer chains relative to the direction of carrier transport allows the active layer to have good properties.^{74,75} The alignment of the polymer chains in a particular planar direction facilitates charge transfer because charge transfer along the polymer backbone is faster. Studies on controlling the planar orientation of polymer semiconductors have focused on chemical modification and side-chain chemistry to control the surface energy of conjugated polymers.^{75,76}

2.3 The presence of percolating charge transport networks

In OSCs, the size of the phase separation domain is one of the most important morphological characteristics to determine the exciton capture efficiency, which has a profound impact on the device performance.³² Unlike inorganic semiconductors, due to the low permittivity of organic materials, light absorption in OSCs produces coulomb-bound excitons rather than free-charge carriers. The dissociation of the charge requires the subsequent collection of the electrode, which requires the diffusion of the exciton to a boundary between the donor and acceptor domains, resulting in photocurrent. Therefore, solution-based processing techniques that can control the donor and acceptor domain morphology for percolating charge transport networks to some extent is a key tool to achieve high-performance devices.

In solutions containing two components, such as semiconductor polymers and fullerene derivatives, various phase separation processes such as liquid–liquid (L–L) phase separation and solid–liquid (S–L) phase separation may occur simultaneously when the solvent dries. The fast quenching of the solvent leads to a non-equilibrium state. Therefore, the evolution of films is a rather complicated process, in which thermodynamic and kinetic parameters play an important role.^{77,78} The transient bilayers formed by the polymer wetting process are unstable and subsequently decompose and diffuse up to dewetting lateral domains.⁴² These processes and processing conditions determine the final morphology of the blend film. It is an effective way to promote the charge extraction and reduce the charge recombination of the photoactive layer by using the BHJ film with a vertical component gradient, especially donor-enriched at the anode and acceptor-enriched at the cathode. The establishment of a vertical component gradient of binary blends in the early stage of film formation can be explained by the occurrence of surface-oriented spinodal decomposition, which induces a component of the blend to migrate to the surface of the film.³³

3 Substrate engineering for tunable surface properties

As discussed in the previous section, the blend morphology at the micro/nanoscale is a key factor determining the

efficiency of BHJ solar cells. A common challenge in organic semiconductor deposition is to overcome the surface dewetting problems that lead to poor film uniformity or low substrate coverage.^{79,80} It has been widely reported that the chemical and physical surface structure of substrates may affect the nucleation of the molecule, thereby affecting the bulk film morphology due to the variation in the composition of the active layer. One of the most common strategies for controlling the wettability of the substrate is to treat it with ozone⁸¹ or argon plasma,⁸² which leads to an increase in surface hydrophilicity, which is usually necessary to ensure solution wettability. This effect is determined by the surface energy, surface morphology and surface dynamics. Among them, the three characteristics interact with each other and the surface energy can be visually reflected. In this section, therefore, we have reviewed the current state of understanding concerning tunable surface properties, giving examples of substrate engineering that have been observed in OSCs.

3.1 Surface energy effects

The presence of solid/liquid substrates, free surfaces, and other heterogeneities can affect the crystallization of the active layer material in a number of ways.^{83,84} In crystal dynamics, heterogeneous nucleation occurs in the same manner as homogeneous nucleation, but the heterogeneous nucleation of the Gibbs free energy barrier is lower (occurring at a faster rate). Thus, heterogeneous nucleation dominates in systems where surface, defects, and impurities are readily available. For nucleation onto the surface, the contact region of forming a new surface is reduced and now depends on the free energy of the interface between the substrate, liquid and cluster.⁸⁵ The interface free energy term depends on the system geometry and wettability of the solute clusters on the substrate described by the contact angle. These properties depend on the chemistry of the surface groups and the paired molecular interactions between the surfaces, nucleating clusters, and solvents. The interaction strength (surface energy) of the substrate/organic semiconductor is the importance of nucleation, which is directly derived from classical nucleation theory. Therefore, it has become a widespread study to study and use self-assembled monolayers (SAMs) and other “buffer layers” to obtain good mesoscale or nanoscale molecular ordering. Kim *et al.*⁸⁶ studied the role of SAM functionalized with various groups ($-\text{NH}_2$, $-\text{OH}$, and $-\text{CH}_3$) at the interface between P3HT and the insulator substrate (SiO_x). Using grazing incidence X-ray diffraction (GIXRD), they found that depending on the properties of the substrate surface, the P3HT nanocrystals have two different orientations, parallel and perpendicular, to the insulator substrate. The field-effect mobility of two different orientations differs by more than a factor of 4.

For better wetting (lower contact angles), the nucleation barrier is inhibited much more. Unlike small molecules, if the polymer chains are incorporated into a perfect crystal, their conformational change (expansion) tends to take less time than the crystallization. Therefore, polymers often produce chain-folded domains (lamellae) surrounded by amorphous regions,

and the presence and degree of chain-folding are sensitive to the rigidity of the polymer.^{87,88} According to an evolutive Hoffman–Lauritzen theory,⁸⁹ the crystal growth rates on flexible polymers are controlled by surface nucleation; during the polymer deposition process, a new, atomically smooth growth front is formed on the growth surface chain, which is waiting for further nucleation.

The use of surface treatment to control the direction of polymer accumulation is particularly important for optoelectronic devices, where the transfer of charge perpendicular to the substrate is critical.^{91,92} As shown in Fig. 4a, Zhang *et al.*,⁴¹ found that substrate surface energies dictate the thin film morphology by modulating the free energy barrier to heterogeneous nucleation. There is a relationship: the lower the substrate surface energy, the lower the ΔG_A and therefore the free energy barrier to nucleation. Meng *et al.*,⁹³ also reported that a cross-linkable donor polymer PTB7-TV was synthesized and applied as a morphology-inducing layer. With PTB7-TV spin-coated on poly(3,4-ethylenedioxythiophene)–poly(styrene-sulfonate) (PEDOT:PSS), the much smaller surface energy of the underlying layer leads to improved vertical composition profile and reduced phase separation domain size in the active layer. Huang *et al.*,⁹⁰ further studied the relationship between the surface energy of the interfacial material and vertical stratification profile of the BHJ. They reported that the desired vertical stratification profile can be established by employing interfacial materials with desirable surface energy, and the J_{sc} can be directly modulated by the vertical stratification. By mixing ZnO nanoparticles with PFN-Br, Zheng *et al.*,⁹⁴ developed a

systematic adjustment of the surface free energy of a continuously graded ZnO electron transport layer from 51.23 mN m^{−1} to 76.62 mN m^{−1}. The electron transport layer with a surface free energy of 63.89 mN m^{−1} can optimize the bulk heterojunction morphology. In tandem solar cells, the incorporation of self-organized material will further simplify the procedure of device fabrication. Kang *et al.*,⁹⁵ applied polyethyleneimine (PEI) to attain a simplified four-layer tandem structure and a high tandem-PCE approaching 11%.

Chen *et al.*⁹⁰ have proposed that donor or acceptor material can be selectively enriched at the BHJ/substrate interface through tuning the surface energy offset between the interface and active materials. As exhibited in Fig. 5a–c, in Zheng and co-workers' work, WO_x nanoparticles have been incorporated in PEDOT:PSS to tune the surface energy of the hole transport layers (HTLs).⁹⁷ Benefiting from the optimal surface energy, the PCE of OSCs has achieved large enhancement and the FF can be up to 80%. As illustrated in Fig. 5d and e, the surface energy of HTLs has been regulated *via* incorporating poly(styrene sulfonic acid) sodium salt (PSSS) or nickel formate dihydrate (NFD) in PEDOT:PSS by Wang and co-workers. Through tuning the concentration of PSSS or NFD, the surface energy can be tuned in a large range from 36.51 mN m^{−1} to 77.56 mN m^{−1}. Further relationship between the orientation of BHJ and the surface energy of the HTLs has been proposed in this work. It has been concluded that the face-on orientation-preferred BHJs favor HTLs with higher surface energy while the edge-on orientation preferred BHJs are partial to HTLs with lower energy.⁹⁸

As mentioned before, an important parameter for the performance of OSCs is the phase separation and crystallinity of the donor and acceptor domains. When a chemical agent with low surface energy (such as a hydrocarbon solvent or detergent) is dropped into a medium with high surface energy

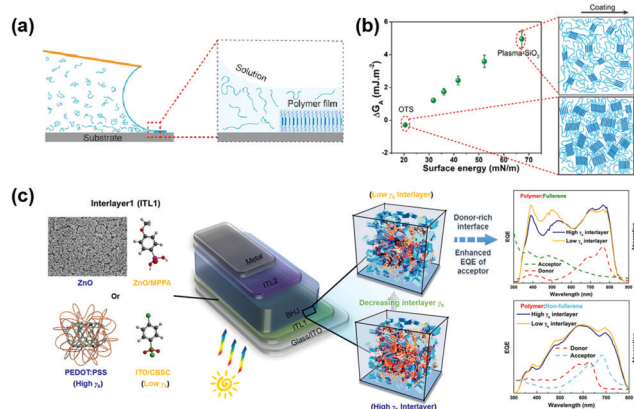


Fig. 4 Free energy modeling of surface-energy-dependent excess free energy per surface area, ΔG_A . (a) Schematic of the conjugated polymer crystallization process during meniscus-guided coating. (b) Substrate surface energy-dependent ΔG_A and illustration of thin-film morphology (in-plane) comparing OTS and plasma-treated substrates. Alkyl chains are omitted from the illustration to highlight the change in crystallite domain size, degree of crystallinity, and alignment. Reproduced with permission.⁴¹ Copyright 2018, American Chemical Society. (c) Modification of the surface energy of the substrate can be achieved using a self-assembly interface modification, which in turn directly affects the ratio of polymer donor to acceptor at the interface. Surface energy optimizes vertical stratification to improve the final photoelectric conversion performance. Reproduced with permission.⁹⁰ Copyright 2018, American Chemical Society.

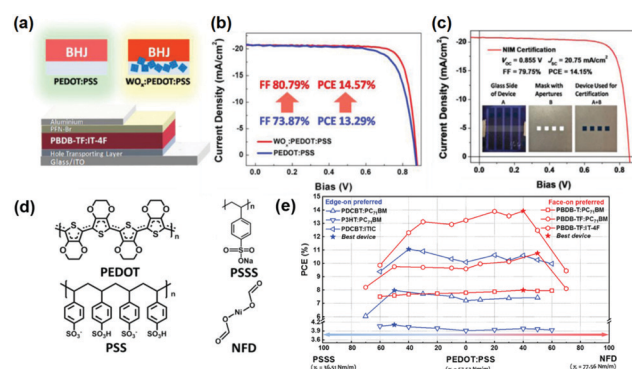


Fig. 5 (a) Schemes of the OSC device structure and the incorporation of WO_x nanoparticles into PEDOT:PSS. (b) *J*–*V* characteristics of the best OSC devices with and without WO_x nanoparticles. (c) Certification result of the device with WO_x:PEDOT:PSS HTL. Reproduced with permission.^{96,97} Copyright 2018, Wiley-VCH. (d) Chemical structures of PEDOT, PSS, PSSS and NFD. (e) PCEs of devices with various BHJs with edge-on preferred or face-on preferred molecular orientation versus gradient tuning γ_s 's of modified PEDOT:PSS HTLs. Reproduced with permission.⁹⁸ Copyright 2019, Wiley-VCH.

(for example, water), a local surface tension gradient occurs at the boundary between the surrounding materials, causing the surficial flow to a region with high surface tension.^{99–101} To obtain the desired electronic properties of the conjugated material, it is necessary to highly control the assembly of the polymer chain from the disordered state in the solution to the crystalline film.¹⁰² This can be achieved by the Langmuir–Blodgett technique,¹⁰³ in which a typical amphiphilic solution is spread on the water surface to form a 2D monolayer at the air/water interface. The monolayer can be transferred to the substrate by perpendicular dipping (or immersing) the substrate relative to the water surface. In addition, the ordered pattern of gold and silver nanoparticle stripes can be formed spontaneously, when dewetting a dilute film of polymer-coated nanoparticles floats on the water surface.¹⁰⁴ In this process, a drop of the solution containing the active layer material is dropped on the water substrate and spread due to the difference in surface tension (Marangoni flow).^{105,106} The wetting behavior depends on the spreading coefficient S , where $S = \gamma_1 - \gamma_2 - \gamma_{12}$ (γ_1 and γ_2 are the surface tensions of the base and polymer solutions, respectively, and γ_{12} is the interfacial surface tension of the two solutions).^{99,107} The interfacial surface tension of the two solutions reflects the difference between the intermolecular forces in the bulk liquid and the intermolecular forces between the liquids. When the surface tension of the organic solvent is not very high, the spreading factor will be positive, which means that the liquid will spread (in Fig. 6b). For example, the spreading coefficient of chloroform is 12.4 mN m^{-1} and chlorobenzene is 0.6 mN m^{-1} .¹⁰⁷ Based on the spontaneous spreading (SS) phenomenon, Noh *et al.*,⁹⁹ fabricated OSCs from a mixture of polymer and fullerene derivatives on an aqueous substrate, and the device exhibited an efficiency of 8.44%. This method makes it easy to control the thickness of the film by tailoring the spreading conditions. In addition, the controlled Marangoni flow and ultra-fast removal of the solvent during this process gives the film a uniform, high-quality morphology with a finely separated phase domain. As shown in Fig. 6, to fabricate top-down transmission electron microscope (TEM) samples, they found a more uniformly formed nanomorphology of the SS-PTB7:PC₇₁BM film with finely dispersed phase separation between the PTB7 and PC₇₁BM domains. Meanwhile, grazing incidence wide-angle X-ray scattering (GIWAXS) also demonstrates enhanced crystallisation and orientation of molecules during SS diffusion. Furthermore, the method can easily be transformed into the non-orthogonal solvents for the processing of bilayer devices. Janssen *et al.*,¹⁰⁸ fabricated bilayer-ternary OSCs with a PCE of 5.9% using spontaneous spreading on a water surface. For the PDPP2T-TT:PCBM system, the photovoltaic properties of the layer deposited by SS diffusion are comparable to the photovoltaic performance of the spin coating. For non-fullerene organic solar cells, Zhou *et al.*,¹⁰¹ also fabricated non-fullerene active layer films of PDBD-T:ITIC and PBDB-T-2F:IT-4F *via* a similar method. Furthermore, the solar modules containing 4-sub cells with the active area of 3.2 cm^2 are also fabricated *via* this method, which demonstrates that spontaneous spreading on

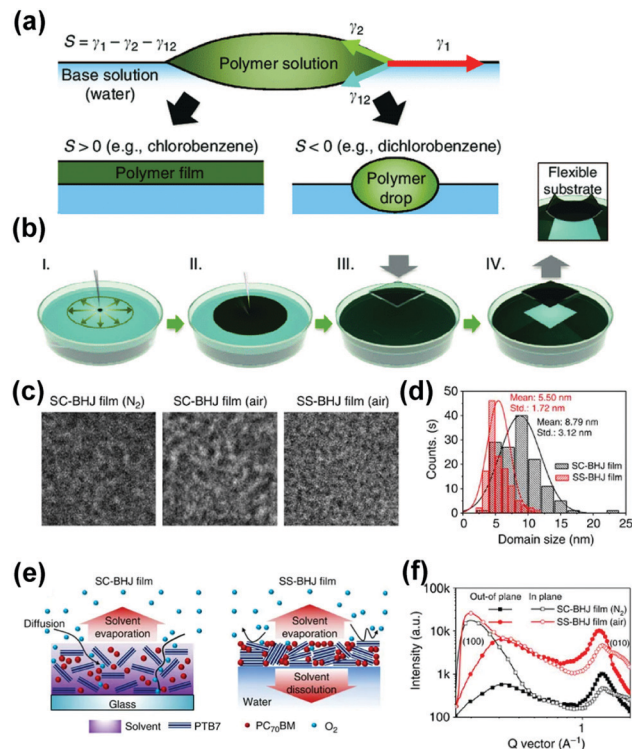


Fig. 6 (a) Spreading of polymer solution dropped onto the base solution is determined by the spreading coefficient, S . Positive S results in a uniform polymer film; otherwise, polymer drops aggregate; (b) schematic illustrations of the formation of an SS BHJ film on the water and transfer to target substrates; (c) TEM images of N₂ processed and air-processed SC-BHJ and air-processed SS-BHJ films measured at the same defocusing distance. (d) PTB7 domain size distribution histograms of N₂-processed SC-BHJ and SS-BHJ films. (e) SC-BHJ and SS-BHJ films immediately after the film formation process. (f) Out-of-plane and in-plane GIWAXS profiles of SC-BHJ and SS-BHJ films. Reproduced with permission.⁹⁹ Copyright 2016, Nature Publishing Group.

aqueous surfaces is an interesting method to fabricate unconventional device architectures, with good performance.

3.2 Surface morphology effect

The morphology and topology of the underlying surface play many roles in organic semiconductor assembly. In recognition of surface morphology and topology, researchers often used highly regular SAMs to present a smooth and uniformly assembled substrate. In contrast, substrate morphology and SAM domain size are intentionally introduced to regulate nucleation *via* roughness changes.¹⁰⁹ For instance, the structure of the underlying substrate can greatly influence the assembly of organic molecules. Steudel *et al.*¹¹⁰ introduced the influence of the roughness of the dielectric on the mobility of pentacene transistors and attributed this effect to the hindering of the movement of charges by the roughness valleys. Stadlober *et al.*,¹¹¹ showed submonolayer pentapentene films deposited on different roughness substrates *via* vapor deposition. When the substrate roughness is 0.25 nm or less, the grain area is negatively correlated with the surface roughness. While the nucleation density increases with the roughness. The authors

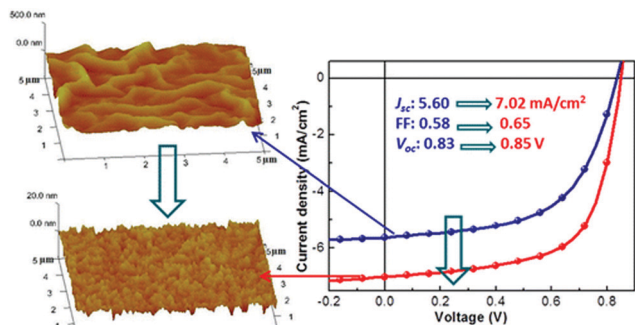


Fig. 7 Influences of surface roughness of the ZnO electron transport layer on the photovoltaic performance of organic inverted solar cells. Reproduced with permission.¹¹³ Copyright 2012, American Chemical Society.

attribute the latter phenomenon to an increase in the number of surface defects that act as very active nucleation sites. Many researchers have observed a significant decrease in the mobility of carriers when thin films are produced on substrates exceeding the critical roughness. Lee *et al.*,¹¹² investigated the effect of the reflectivity and surface roughness of various metal cathodes on the PCE of OSCs fabricated with a P3HT:PCBM blended layer. As a result, a higher reflectivity and a lower surface roughness of the metal cathodes gave a higher PCE. As shown in Fig. 7, surface roughness was shown to determine the surface energy of the ZnO layer and thus determine the D/A interfacial area in the active layer deposited on top. The efficiency of the device increases from 2.7% to 3.9% when the root-mean-square roughness of the ZnO layer decreases from 48 to 1.9 nm.¹¹³ In addition, Heeger and colleagues report the controlled nano morphology of semi-conducting polymers on chemically and mechanically stable nano grooves.^{114,115} Other studies used diamond scratches on SiO₂ substrates to induce the alignment in slow, macroscopic limited solution casting. After alignment, the transport of the hole is enhanced by more than an order of magnitude.^{116,117} When the physical dimensions of the textured substrate are carefully chosen, it is indeed possible to achieve a conformal active layer on the light-harvested textured substrate for a more efficient OSC. The exact morphology of an active-layer on a grating is a strong function of the underlying topographical dimensions.¹¹⁸

3.3 Surface dynamics effect

The pressure on the interface contact has an impact on the performance of OSCs.¹¹⁹ Pressure was applied to the model bilayer and OSC structure using a polydimethylsiloxane (PDMS) stamper. As compliant, semi-rigid and rigid particles interlock between adjacent layers of the model solar cell structure, an evolving surface contact profile occurs (in Fig. 8a–c). Applying pressure on the OSC layer again causes a change in the orientation of the polymer backbone in P3HT and PEDOT:PSS. The bonds between the chains are stretched, elongated and ordered, as shown in the out-of-plane and in-plane outlines of the GIWAXS (Fig. 8d–f). With the application of the pressure in

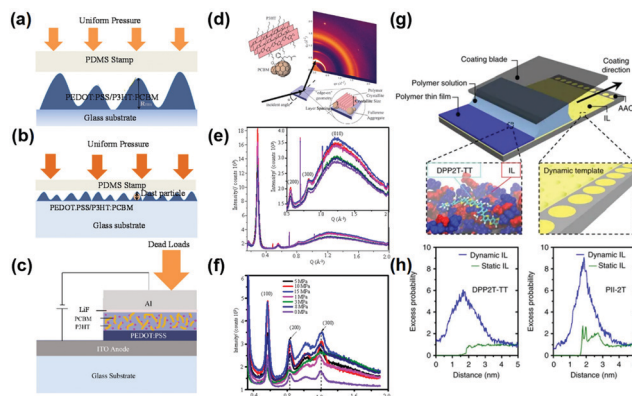


Fig. 8 Multilayered nanostructures adopted in the pressure-assisted organic photovoltaic cell fabrication under (a) rough surface contacts with blister height and (b) smooth surface contact with trapped particles, and (c) complete device showing multilayers with pressure applications. (d) The interaction between the grazing incidence X-ray beam and the polymer blend films that have been subjected to ~10 MPa pressure. 1D profile for the out-of-plane (e) and the in-plane (f) directions for P3HT and P3HT:PCBM films, respectively. Reproduced with permission.¹¹⁹ Copyright 2017, American Physical Society. (g) Schematic (not to scale) of meniscus-guided coating on the IL [EMIM][TFSI] hosted in nanoporous anodized aluminum oxide dynamic template. The black arrow indicates the coating direction. In the inset, part of the IL wetting layer is artificially removed to reveal the nanocomposite structure underneath. (h) An excess probability distribution for dimeric DPP2T-TT and PII-2T in the presence of dynamic versus static ionic liquid surfaces. Reproduced with permission.²² Copyright 2017, Nature Publishing Group.

the out-of-plane direction and perpendicular to the substrate, the bond between the polymer main chains is also elongated in the in-plane direction, thereby forming an on-edge configuration.¹¹⁹ In addition, the capillary action in the confined mold plays a key role in the phase separation of the film and polymer crystallization. The nanoimprint process can improve the crystallinity and multi-dimensional chain arrangement of the polymer to achieve more effective charge transfer, and allow fine phase separation between the donors and acceptors, thereby promoting exciton dissociation and improving charge transfer excitons.¹²⁰

In solution processing polymers, Diao *et al.*,²² proposed the concept of dynamic templating to expedite polymer nucleation and the ensuing assembly process, and reveal that surface reconfigurability is a key to promoting template-polymer interactions improving the film morphology across length scales and enhancing the mobility of charge carriers as well as π - π and polymer backbone directions, thereby lowering the polymer nucleation barrier. To address the mismatch between the time scale of polymer crystallization and the evaporation rate in the rapid solution casting process, they used ionic liquid ([EMIM][TFSI]) hosted in nanoporous media (anodized aluminum oxide) as dynamic templates to enhance and direct conjugate polymer crystallization, since ionic liquid has ultra-fast surface dynamics and strong ion- π and π - π electrostatic interactions with the donor and acceptor polymer backbones. As shown in Fig. 8g, using a dynamic template as a coating substrate, the highly consistent, highly crystalline polymer film

gets a large area and charge transfer is enhanced several times. The synergy between dynamic-induced templated nucleation and unidirectional flow-guided crystal growth led to the formation of highly aligned, highly crystalline polymer thin films. Li *et al.*,¹²¹ demonstrated that the morphological stability and lifetime of PCDTBT/PCBM solar cells under thermal stress are highly dependent on the substrate interface on which the active layer is deposited. They found that compared to conventional devices using PEDOT:PSS substrates, the stability of devices using ZnO substrates is greatly improved under moderate thermal stress. This improved stability was observed to be associated with PCBM nucleation at a 50 nm scale, which was strongly influenced by different substrate interfaces. Wang *et al.*,¹²² found that using this π -assembly approach, a structural scaffold acts as an integral structural component of the assembled system, which enhanced performance over its individual components in donor-acceptor type (PDI-graphene/polythiophene) solar cells. Mauger *et al.*,¹²³ relate the relationship between metal material distribution and the chemistry at the BHJ-metal interface to device performance and PCBM aggregate formation. A covering metal electrode has a profound effect on the vertical concentration profile. In particular, due to the interaction with the cover layer, the vertical concentration profile changes abruptly when the BHJ layer is gently heated. It is shown that surface energies and solvent additives greatly impact heat-induced vertical segregation.

4 Interface morphology control in the BHJ active layer by different processing

Generally speaking, the interaction between donors and acceptors in the BHJ active layer is also different, which will cause differences in surface energy. A large part of the work is to improve the morphology for the synthesis of new materials, such as the changes in the chain structure and the optimization of the alkyl side chain length and end groups.^{13,28,60} However, this article does not review the synthesis of new materials, but mainly describes the control methods in the deposition process.

4.1 Solvent effect in the BHJ active layer

In particular, the use of BHJ with a good composition gradient in the vertical direction to establish charge selectivity at the electrode has been proposed as an effective method to improve the efficiency of OSCs regardless of the type of donor and acceptor material.^{33,36,57,90,124,125} Specifically, donor preferential segregation to the anode active layer interface can block electrons and enhance hole collection, thereby improving short-circuit current density and device performance. In contrast, fullerene segregation at the interface between the anode and the active BHJ layer significantly increases the device series resistance, impedes hole delivery and extraction, and results in decreased device performance.^{125–127} The segregation of the electron acceptor phase can be controlled within the blend by surface energy controlled in the BHJ active layer.

As a general guideline, the solvent must be capable of dissolving all of the components in the blend film to a sufficient concentration to form a continuous film of the desired thickness and wetting the surface of the desired substrate.^{128–130} Coating from a single solvent solution produces a film having optoelectronic properties determined by solution parameters (*e.g.*, relative solubility, boiling point, viscosity, *etc.*) and spin coating processes (*e.g.*, concentration, mixing ratio, spin speed and time, *etc.*).^{34,70} At the same time, vapor pressure, surface tension and temperature also have a great influence on the morphology of the final film to the formation of the BHJ layer. Casting from a typically the BHJ layer results in a non-ideal morphology where the phase separation between the BHJ blend components is insufficient or excessive, and/or within the domain is orderly, thereby reducing device efficiency. In order to improve efficiency, the cosolvent (volume ratio of more than 10%) has to also promote phase separation and organization of the BHJ component during evaporation,^{131–133} because the cast film prepared from different solvents has a large difference in surface morphology, indicating the important role of the solvent in controlling the morphology of the film. Cao *et al.*,¹³⁴ found that the pre-aggregation of PTzBI and N2200 polymers in 2-methyltetrahydrofuran (Me-THF) is the key factor to dramatically increase the device efficiency from $\approx 1\%$ (treated by CB) to $\approx 11\%$ (treated by Me-THF). When CB is used as a solvent, both the donor and acceptor polymers dissolve well and most will disaggregate. In contrast, both donor and acceptor polymers exhibit strong aggregation in Me-THF. The donor and acceptor blend films exhibit pure domains with appropriate molecular packing structures. Moreover, they demonstrated that by using a green solvent system based on cyclopentyl methyl ether (CPME) to process the BHJ form, the performance of the all-polymer solar cells can be further improved to 11%.¹³⁵

For certain polymer-fullerene combinations, studies have shown that the role of the co-solvent is to increase the domain size,¹³¹ which is usually due to polymer aggregation in solution. As shown in Fig. 9, when casting a film with a single solvent, large (> 100 nm) droplet-like fullerene enriched regions are formed in several polymer-fullerene blends. Studies have shown that these larger fullerene domains may be derived from L-L phase separation during solvent evaporation. When the same blend is processed with a suitable co-solvent, large droplet-like areas are not found and a more compact form is formed, providing higher photovoltaic performance. A prominent example is highly efficient OSCs based on PTB7 and PC₇₁BM in co-solvent with a certified efficiency of 9.2% using an inverted structure.¹³⁰ Liu *et al.*,^{136,137} studied the *in situ* drying of a blade-coated and drop-cast films in which a co-solvent decreases domain size. Janssen *et al.*,¹³⁰ investigated layer thickness, phase separation, and polymer aggregation during *in situ* evaporation of the solvent under actual process conditions. In a single solvent system, when the solid-liquid content is about 20% by volume, a large amount of the fullerene region is formed by liquid-liquid phase separation. Under such supersaturated conditions, the co-solvent will cause polymer aggregation with a solids content below 20 vol% and prevent the formation of large domains.

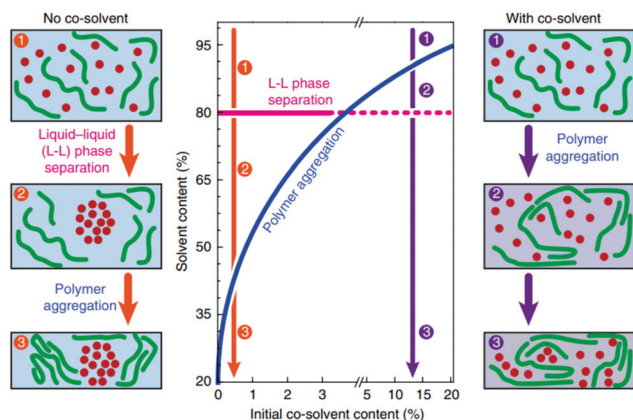


Fig. 9 Schematic phase diagram revealing the role of cosolvent in inducing polymer aggregation at higher solvent contents which, in turn, prevents large-scale liquid–liquid phase separation during drying and results in optimized morphologies. Reproduced with permission.¹³⁰ Copyright 2015, Nature Publishing Group.

Small amounts of additional solvents or anti-solvent (less than 10%) can also have a disproportionately large effect on the drying and film formation process. Solvent additives can affect the microstructure of BHJ by controlling the molecular order and orientation of the pure donor/acceptor domains and their degree of phase separation. Compared to co-solvent, an important advantage of solvent additives is their relative freedom of choice.^{70,138–140} It must have a minimum portion that is miscible with the deposition solvent, but can freely dissolve the donor, acceptor, both or neither. In a blend of p-DTS(FBTTh₂)₂ with PC₇₁BM with CB solvent, the presence of the 1,8-diiodooctane (DIO) solvent additive can severely affect the morphology of the BHJ, thereby increasing the efficiency from 1.8% to 7.0%.¹⁴¹ Peet *et al.*,¹⁴² also found that higher photocurrents could be achieved in the P3HT:PCBM system when *n*-octylthiol (1-octanethiol) was used as a solvent additive, since the *n*-octylthiol can induce structural order in P3HT, increasing mobility in BHJ thin-film transistors. Unlike the case of P3HT:PCBM, an additive for alkyl dithiols mainly promotes phase separation and has little effect on the crystallinity of the donor. Lee *et al.*,¹⁴³ demonstrated that 1,8-octanedithiol (ODT) is purely a “processing additive”, which does not react or dope the polymer in any way. ODT selectively dissolves PC₇₁BM from the PCPDTBT:PC₇₁BM BHJ films, then remains in the film after the deposition solvent evaporates due to its lower vapor pressure, which results in fullerene aggregates as the additive evaporates.⁵⁵ As shown in Fig. 10, the AFM and TEM images show homogeneous nanostructures. The contrast of the PCPDTBT:PC₇₁BM film without DIO treatment is weak, indicating that the donor and acceptor are highly intermixed on a molecular scale. In contrast, the treatment with additive DIO promoted phase separation, which revealed larger interconnected regions of PCPDTBT and PC₇₁BM components. Experiments have shown that ODT and DIO are effective solvent additives for BHJ blends that do not sufficiently phase separate during deposition due to extended drying times and solvents that cause

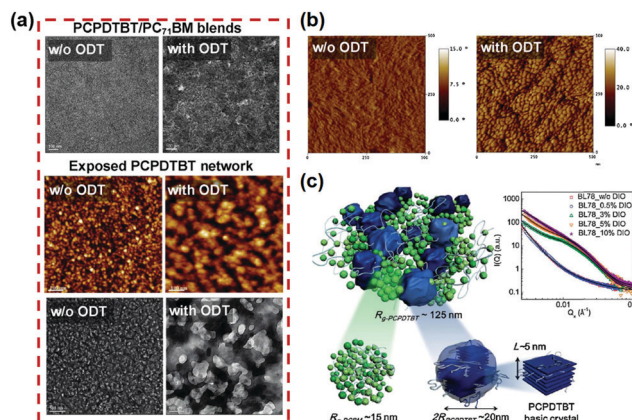


Fig. 10 Examples of promoting phase separation by processing with solvent additives. (a) Top: TEM images of PCPDTBT:PC₇₁BM BHJ blends. Bottom: AFM and TEM images of exposed PCPDTBT networks from PCPDTBT:PC₇₁BM. (b) Phase images of PCPDTBT:PC₇₁BM. (c) Illustration of the bi-hierarchical nanostructures of PCPDTBT:PC₇₁BM. Inset: GISAXS profiles of PCPDTBT:PC₇₁BM BHJ processed with different amounts of additives. Reproduced with permission.⁵⁵ Copyright 2013, Elsevier Ltd.

poor crystallinity of the donor.^{55,131,144} 1-chloronaphthalene (1CN) creates a finer BHJ morphology by facilitating a tighter alignment of donors and acceptors, otherwise it is easier to separate quickly. With 2% v/v 1CN, the domain size of the BHJ component was significantly reduced and the PCE increased from 1.6% to 4.9%.¹⁴⁵ 1CN was chosen as a solvent additive because of its high boiling point and ability to dissolve the polymer to a greater extent than the deposition solvent CB.^{145,146} Diphenyl ether (DPE), as an aromatic solvent additive, also appears to increase the miscibility of donors and acceptors. In the DT-PDPP2T-TT:PC₇₁BM system, Choi *et al.*,¹⁴⁷ used DPE as a solvent additive to optimize the morphology of BHJ films to improve the PCE from 3.2% to a remarkable 9.5%.

Adding a small amount of amorphous polymer polystyrene (PS) with the optimized molecular weight can increase the crystallinity of the photoactive phase and the redistribution of PCBM molecules away from the anode interface by interfacial tension to improve the overall photovoltaic efficiency of PCDTBT:PCBM inverted BHJ solar cells.¹⁴⁸ Sun *et al.*,¹⁴⁹ have shown that adding a small amount (5 wt%) of PS-*b*-P3HT copolymer as a solid additive in the P3HT:PCBM blend can reduce the interfacial tension between P3HT and PCBM and prevent PCBM from layering at the following locations. Graham *et al.*,¹⁵⁰ reported that polydimethylsiloxane (PDMS) increases the PCE of a blend of small molecules 1-EtHx and PC₇₁BM based on different nucleation from 1.3% to 2.2% by nucleating a smaller donor domain, because PDMS more likely operates as a nucleation agent.

For fullerene and non-fullerene blends, solvent additives also have an effect on OSC performance.^{151,152} Due to the structural versatility of non-fullerene acceptors, the optimization of the morphologies of the active layers can be achieved using additional complicated treatments, such as binary additive processing and thermal annealing. For example, a binary additive consisting of ODT and DIO is used to optimize the

device performance of FTAZ: ITIC-Th. J_{sc} and FF are strongly influenced by domain size and relative domain purity.¹⁵³ Three analogues of ITIC, ITIC-F and ITIC-Th1 with different end-capping groups or side-chains were selected as non-fullerene acceptors for an alkylsilyl functionalized copolymer donor (PBDS-T). Huang *et al.*¹⁵⁴ found the crystallinity of each component and the difference in the surface tensions greatly impact the morphology of blend films and device performance. From the perspective of non-fullerene structural variability, there are currently few guidelines for precisely controlling the morphology of polymer donor and fused ring acceptor blends.¹⁵² In particular, the research on the mechanism of morphology regulation needs to be further expanded.

4.2 Post-treatment for the BHJ active layer in OSCs

A variety of post-treatment methods can alter the surface energy of the polymer blend to affect the photovoltaic properties of the film. Annealing processes in OSCs can be divided into two categories: thermal annealing^{131,155–157} and solvent annealing.^{158–160} In P3HT:PCBM, thermal annealing exhibits a particularly effective post-treatment technique. The regioregular P3HT is capable of forming highly crystalline domains, but the presence of PCBM kinetically hinders the ordering of the donor.¹⁵⁵ It has been shown that the crystallinity of P3HT can be increased by thermal annealing to form crystallites having a conjugated chain (a -axis orientation) parallel to the substrate.¹⁶¹ Thermal annealing of the film softens the P3HT polymer. After thermal annealing, P3HT was grown in all films to show the preferred orientation. This phenomenon can be explained by surface energy-driven “secondary grain growth”, similar to inorganic semiconductors.¹⁶² As shown in Fig. 11, the concentration gradient varies from a PCBM-rich near the substrate side to a P3HT-rich concentration gradient near the free (air) surface. After various post-treatments (such as thermal annealing or vaporization annealing), the vertical composition profile also shows a similar concentration gradient, but with PCBM protrusions on the surface. Fig. 11e and f shows a typical PCBM distribution before and after vaporization or thermal annealing.

Solvent vapor annealing (SVA) is an extension of this technology by introducing a media container into a closed container so that it can be swollen with other media. Swelling the film with a solvent vapor can also be used to reverse vitrify the blend of polymer and fullerene to provide a more thermodynamically favorable morphology.

SVA was originally introduced as an alternative to thermal annealing because block polymer exhibits thermal degradation due to high molar mass, problematic heat-driven transitions or slow kinetic phenomena. The solvent annealing method controls the nanomorphology of the polymer by the speeding rate of the solvent removed. SVA has been shown to rapidly optimize blend organization due to increased chain mobility, possibly reduced interaction parameter (χ) (depending on solvent polarity) and adjustable surface energy.¹⁶⁴ However, unlike thermal annealing, depending on the affinity of the solvent for the BHJ component and its vapor pressure, the choice of solvent vapor can direct the evolution of the phase to

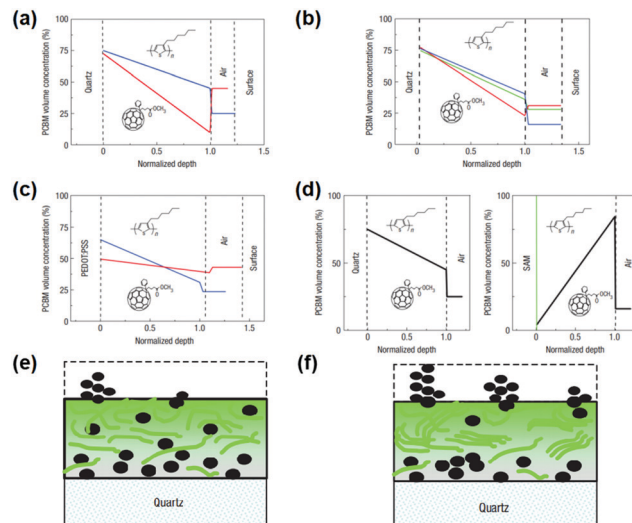


Fig. 11 Vertical composition profiles in P3HT:PCBM films as deduced using ellipsometry. (a–d) PCBM concentration curve obtained by analysis of ellipsometric data of the P3HT:PCBM blend film: (a) spin coating on fused silica before (blue) and after (red) thermal annealing; (b) spin-coated on fused silica at different rpm; (c) spin-coated on PEDOT:PSS coated fused silica before (blue) and (red) vapor annealing; (d) spin-coated on a monolayer pre-coated with hydrophobic SAMs silica (left) and Si wafer. (e and f) A schematic of a model used to fit ellipsometric data, showing a typical PCBM distribution before (e) and after (f) vaporization or thermal annealing. Reproduced with permission.¹⁶³ Copyright 2008, Nature Publishing Group.

a greater or finer direction. Kelly *et al.*,¹⁶⁵ utilized a variable pressure solvent vapor annealing (VP-SVA) system for reproducibly and tunably annealing the active layer to produce a highly controllable film morphology. It was also found that VP-SVA is not only useful for the well-studied P3HT:PCBM model system, but also for the active layer based on non-fullerene acceptors. Especially in BHJ solar cells with non-fullerene acceptors, the effectiveness of SVA is superior to thermal annealing. SVA treatment showed a more balanced hole and electron mobility, which significantly inhibited trap-assisted recombination.¹⁶⁶ Russell *et al.*,¹⁶⁷ also found that using a suitable SVA, especially with thermal annealing and SVA, can significantly improve the performance of devices based on the shortest oligomer BIT4F. Both thermal annealing and solvent vapor annealing drive the system to the most thermodynamically stable state, although this may not be the best state for device performance. The morphology obtained by post-treatment may also be limited by the nanostructure of the initial film formed during solution casting. It is noted that the deposition solvent has a strong influence morphology, but the choice of deposition solvent is strongly limited by the solubility of the donor and acceptor components. The solubility parameter of the polar solvent has been shown to correlate with changes in surface roughness when the active layer is directly exposed to the solvent.¹⁶⁸ Zheng *et al.*,¹⁶⁹ studied the effect of different polar solvents on the photovoltaic performance of PTB7:PC₇₁BM by applying different polar solvents (including methanol, ethanol, dimethyl sulfoxide, acetone and isopropanol) SVA. They found that both

the solubility parameters and viscosity of the solvent are critical to the SVA performance. Compared to the other four solvents, methanol with high solubility parameters and low viscosity can significantly increase the PCE of the device from 6.55% to 8.13%.

5 Summary and outlook

Due to weak van der Waals interactions between molecules, organic semiconductors are prone to structural modification. The design principle derived from a basic understanding of the relationship between molecular structures, processing conditions, BHJ morphology and device function can provide higher OSC efficiency. In particular, controlling the BHJ morphology of donor and acceptor materials is the key to achieving high device reproducibility. Surface energy control provides tools for tailoring the active layer self-assembly and phase separation kinetics during deposition, which includes substrate surface energy controlled and surface energy controlled in the BHJ active layer. In the case of the BHJ device, surface energy can play an important role in the morphology by directing phase separation during film deposition, drying and annealing. Surface energy naturally changes with interface modification. Organic molecular packaging and polymorphism are controlled by substrate interactions. In particular, substrate-directed film morphology can affect charge transfer performance. This is because the charge extraction process is improved when the donor polymer domain segregates toward the anode interface and the acceptor fullerene domain segregates toward the cathode interface. Vertical phase separation may result in efficient extraction of charge at the electrode/blend interface. Surface energy, surface morphology and surface dynamics are important influences in establishing design rules and understanding the critical role of substrates in determining the morphology of solvent-based films. The degree of vertical phase segregation depends on the nature of the substrate and the deposition treatment conditions, and can also be controlled by changing the surface energy of the substrate to change the blend-substrate interface.

To consider the characteristics of the organic semiconductor, the geometry of the BHJ morphology must be finely tuned. In the co-solvent system, solvent additives have provided tools for manipulating phase separation of the active layer during deposition, where donor crystallization is initiated by using a slow-drying poor solvent as an additive. Both thermal annealing and solvent vapor annealing drive the system to the most thermodynamically stable state, which may result in a relatively optimal arrangement of photovoltaic performance. Indeed, understanding the effects of solvent additives and post-treatment on film formation has enabled them to be applied and combined effectively and synergistically to improve OSC performance. Optimal solar cell performance can be achieved by balancing the ordered and pure domains of each component with the interfacial mixed-phase to promote exciton dissociation into free charges. By improving the surface energy in the

BHJ system, the three-phase morphology constitutes our understanding of the most ideal BHJ structure.

Utilizing the difference in surface energy, a finer assembly morphology of the BHJ active layer can be obtained. Among them, surface energy, viscosity, diffusion and evaporation rate, and geometric factors play an important role. A more basic understanding of the interactions between these parameters associated with organic semiconductors is necessary to advance such promising manufacturing methods. Ongoing challenges include a better understanding of the interface microdomain control and charge transport mechanism of semiconductor polymers. Therefore, new surface characterization methods are needed to more intuitively observe the morphological changes of the interface microdomains during the deposition process.

Conflicts of interest

There are no conflicts to declare.

Acknowledgements

This work was financially supported by the National Key Research and Development Program of China (2017YFA0206600), the National Natural Science Foundation of China (No. 21922505 and 21674006) and the Strategic Priority Research Program of Chinese Academy of Sciences (No. XDB36000000). Y. Zhang acknowledges the financial support from the National Natural Science Foundation of China (No. 21875012 and 21674006).

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