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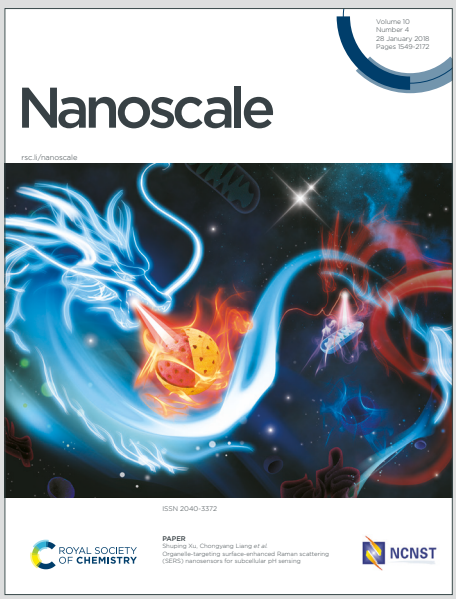

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ARTICLE

Modulating efficacy and cytotoxicity of lipoamino fatty acid nucleic acid carriers by disulfide or hydrophobic spacers †

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Double pH-responsive xenopeptides comprising polar ionizable succinoyl tetraethylene pentamine (Stp) motifs and lipophilic ionizable lipoamino fatty acids (LAFs) were recently found to efficiently transfect mRNA and pDNA at low doses. However, potency was often accompanied with cytotoxicity at higher doses. Insertion of bioreducible disulfide building blocks (ssbb) or non-reducible hydrophobic spacers between polar and apolar ionizable domains of LAF-Stp carriers should mitigate toxicity of xenopeptides. Carriers showed stable nucleic acid complexation and endosomal pH-dependent lytic activities, both of which were abolished after reductive cleavage of ssbb-containing carriers. For pDNA, U-shaped carriers with one Stp and two LAF units or bundle carriers with two Stps and four LAFs displayed highest potency. For mRNA, best transfection was achieved with bundle carriers with one Stp and four LAFs. Both the ssbb and hydrophobic spacer containing analogs displayed improved metabolic activity, reduced membrane damage, and improved cell growth. The ssbb carriers were most beneficial regarding living cell count and low apoptosis rates. Mechanistically, inserted spacers decelerated the transfection kinetics and altered the requirement of endosomal protonation. Overall, mRNA and pDNA carriers with improved biocompatibility have been designed, with their high potency illustrated in transfection of various cell lines including low passage number colon carcinoma cells.

1 Introduction

Nucleic acid therapeutics present a novel frontier in medical science, offering immense potential for treating a wide range of diseases. Beyond their proven efficacy in vaccine development, nucleic acid delivery is a promising approach to cancer immunotherapy, gene and/or protein displacement therapies. Synthetic formulations including polyplexes, lipoplexes and lipid nanoparticles (LNPs) hold great potential for delivery of nucleic acids like DNA, mRNA, siRNA, and Cas9/sgRNA systems.[1-4] The involved carriers offer advantages such as relatively facile synthetic accessibility, the potential for precise modulation of nanoparticle features and minimal immunogenicity. However, limitations do exist, such as moderate efficacy requesting administration at very high nanoparticle per cell doses, associated with significant cytotoxicity, and challenges involve a careful balancing between particle stability and dynamic nucleic acid release at the right cellular compartment.[5] To improve safety and efficacy of polyplexes for the various nucleic acid cargos, researchers have addressed these issues by continuous optimization of formulations and carrier molecules and incorporating biocompatible building blocks.[6-24]

By a chemical evolution approach[25] based on solid-phase assisted xenopeptide synthesis,[26-29] our group recently developed novel nucleic acid carriers that have proven to be highly effective for delivering a variety of nucleic acid cargos including pDNA and mRNA.[30] These carriers are sequence-defined, double pH-responsive xenopeptides and comprise one or several hydrophilic units of cationizable artificial amino acid Stp (succinoyl tetraethylene pentamine)[26] and lipophilic, cationizable lipoamino fatty acids (LAFs).[30] The Stp units function as polar cationic counterparts to negatively charged nucleic acids, leading to polyplex formation through nucleic acid condensation via electrostatic interaction. The cationizable LAF residues combine advantageous qualities of lipophilic domains, permitting hydrophobic particle stabilization at physiological neutral pH, which is reversible upon protonation at mild acidic endosomal pH, resulting in a strongly enhanced endosomal escape and exceptionally high transfection efficacy. For certain LAF-Stp topologies, mRNA transfection was successful even at ultra-low doses of 3 picograms of mRNA, providing a nanoparticle potency comparable to viral vectors. Additionally, these carriers facilitate remarkable protein expression also *in vivo*, particularly in the spleen, tumor, lungs, and liver upon intravenous injection of mRNA polyplexes[30] or LNPs[31] in mice. Alongside with favorable efficacy of these novel LAF-carriers, in several cases high potency was observed to correlate with

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increased cytotoxicity. This can be compensated by application of low dosages,[30] nevertheless raises safety concerns regarding future therapeutic administration. While the superior ability of these nanoparticles to interact with cell membranes is highly desirable for nanoparticle uptake by endocytosis and endosomal escape, prolonged intracellular presence of membrane-active carriers may lead to reduced cell viability. Cytotoxicity induced by cationic polymers or lipids can be attributed to several causes. Carrier molecules and their metabolites may have the potential to cause cellular membrane disruption or nuclear damage, mitochondrial dysfunction, generation of reactive oxygen species (ROS), among other factors. For example, a two-stage cytotoxicity[32, 33] was reported for polyplexes consisting of polyethylenimine (PEI),[12-14, 34] a most frequently used gold-standard for nucleic acid delivery. An early phase I toxicity resulting from compromised cellular membrane integrity, and a later phase II cytotoxicity due to a mitochondrial apoptotic program was reported.[35] Additionally, PEI-based transfection efficiency and cytotoxicity was found to be associated with polymer size and type[14, 16, 20], and with permeabilization of nuclear membranes.[36, 37] Similar observations were made for a series of other cationic carriers; characteristics that favorably enhance intracellular transfer of nucleic acids across membrane barriers are likely to be also associated with increased cytotoxicity due to side effects of membrane disruption. The question has been raised whether the observed correlation of efficacy and cytotoxicity can be uncoupled. In fact, for polymeric cationic carriers it was already demonstrated that efficiency/cytotoxicity ratios can be modulated by either optimizing the size of the polymer[14, 16, 38] or its cationic subunits.[7, 39] For example, separating the efficient but also toxic multi-cationic structure of PEI into several small cationic (oligo)aminoethylene units resulted into highly potent but far less toxic polymers of similar sizes.[7] Molecular reasons are at least twofold; defined small subunits may have inherent favorable efficacy/toxicity properties[39] based on amphiphilicity or pK_a profile, as observed and defined in the even-odd rule of oligoethylenimines.[40] Secondly, biodegradable linkages between the oligocationic subunits can be designed, reducing the persistence of polycationic molecules and this leading to favorable cytotoxicity profiles. Esters[17, 18, 21, 41], acetals[22, 42], or disulfides[15, 19, 23] were integrated into synthetic PEI carriers, 'breaking up the correlation between efficacy and toxicity'.[19] Disulfide linkages have been applied to various other cationic carriers including ionizable lipids.[43-52] Disulfide bonds are stable in the extracellular environment but are subsequently reduced within cells after endosomal release by high concentrations of cytosolic glutathione (GSH), leading to fragmentation of the carriers.[53] Hereby, both release of the nucleic acid cargo from the carrier is facilitated and cytotoxic effect associated with highly lytic properties of the carrier can be minimized.

In our previous work on sequence-defined T-shaped lipo-xenopeptides the introduction of a bioreducible disulfide bond between the cationic polar backbone and the standard, non-ionizable fatty acid domain was advantageous for mRNA and siRNA delivery,[51, 52] reducing cytotoxic effects and enhancing efficacy by solving the balancing act between extracellular polyplex stability and cytosolic nucleic acid release.[52, 54] The precise positioning of the disulfide cleavage site at the desired location was managed by design of a protected cystamine-based disulfide building block (*ssbb*) for use in solid-phase synthesis.[51]

In the current work, novel LAF carriers with U-shape or bundle topology were modified with bioreducible or non-reducible spacers that are integrated in the oligomer sequence at precise positions based on the rationale of separating cationic and lipophilic domains of the carrier oligomers. By introducing disulfide spacers, we aimed to maintain favorable characteristics of the polyplexes while consequently improving biocompatibility of the carriers after endosomal release. As the introduction of reducible linkers changes the geometry and distances between lipophilic and hydrophilic subdomains of carriers, we also designed analogous carriers with isosteric hydrophobic nonreducible spacers. In the following we demonstrate the dynamic nucleic acid binding ability of the disulfide-modified carriers and polyplex destabilization as a result of the reductive cytosolic environment. Consequently, bioreducible carriers showed beneficial impact on cell viability while maintaining high nucleic acid transfer activity promoted by LAF carriers. Interestingly, introduction of hydrophobic non-reducible linkers also modulated the transfection/cytotoxicity profile. Altogether this highlights a beneficial influence of altered chemical topology plus optional biodegrading bonds on the biological carrier characteristics.

2 Experimental

Erythrocyte leakage assay with and without GSH treatment. The erythrocyte leakage assay was performed following a protocol published by Krhac Levacic et al.[52] Erythrocytes were isolated by repeating washing of fresh, citrate buffered (25 mM citrate) human blood with a solution of phosphate buffered saline (PBS) supplemented with 25 mM citrate and subsequent centrifugation (800 rcf, 10-15 min at 4°C). Washing was considered as completed when the supernatant was clear after centrifugation. The cell pellet was then diluted to $5*10^7$ erythrocytes per mL with PBS at different pH values (pH 5.5, 6.5 and 7.4) and stored on ice. The oligomers were diluted with PBS at the indicated pH value to a concentration of 2.5 µM and pipetted into a V-bottom 96-well plate as quadruplicate (75 µL/well). For evaluation of lytic potential after incubation under reductive conditions, the oligomers were incubated with 10 mM GSH (final concentration) in HEPES (20 mM, pH 7.4) at the indicated pH value for 90 minutes at 37°C while shaking at 500 rpm, followed by pipetting into the V-bottom 96-well plate. 75 µL of the erythrocyte suspension at the same pH value was added to each well.

Then, the V-bottom 96-well plates were incubated under slow constant shaking for 60 min at 37°C. Afterwards, the samples were centrifuged (800 rcf, 4 °C, 10 min), and 100 µL of the supernatant were transferred to a TPP-ft 96-well plate. To

determine the lytic activity, the samples were analyzed for hemoglobin release at wavelength λ = 405 nm using a microplate reader (Spectrafluor Plus, Tecan, Männedorf, Switzerland). PBS at the indicated pH values was used as negative control (0% value) and samples treated with 1% Triton X-100 at the indicated pH value served as positive control (100% value). Data are presented as mean value (± SD) out of quadruplicates.

Polyplex formation. Polyplex formation was performed as follows: The respective nucleic acid was diluted in HBG (HEPES buffered glucose, 20 mM HEPES, 5% glucose (w/v), pH 7.4) and the calculated amount of LAF carrier at the indicated N/P (nitrogen/phosphate) ratio was diluted in Millipore water from a LAF carrier stock solution (10 mg mL^{-1} in EtOH/H_2O at carrier-specific solvent ratios, for details see Table S1, Supporting Information). For calculation of the N/P ratio, secondary amines of Stp units (3 amines per Stp), terminal primary amines and tertiary amines of the LAF residues were considered. Polyplexes were formed upon addition of equal volumes of nucleic acid solution (in HBG) to the LAF carrier solution (in water), followed by rapid pipetting and incubation for 40 min at room temperature (RT) in a closed Eppendorf tube. In case of control polyplexes, i.e., LPEI (N/P 6) for pDNA polyplexes and succPEI (weight/weight (w/w) ratio of 4) for mRNA polyplexes, the respective carrier was diluted in HBG before mixing with the nucleic acid solution as described above. Final concentrations of nucleic acid were 12.5 µg mL^{-1} for mRNA and 10 µg mL^{-1} for pDNA.

Experiments under reductive conditions. For experiments mimicking cytosolic reductive environment, the polyplexes were prepared at the indicated N/P ratio in the required volume with a pDNA concentration of 12.5 µg mL^{-1} and a mRNA concentration of 15.6 µg mL^{-1}. After polyplex formation, GSH solution (50 mM GSH in HBG, pH adjusted to 7.4 by addition of 1 M $NaOH_{aq}$) was added to the to the polyplex solution to obtain a final GSH concentration of 10 mM. For negative controls, the respective volume of HBG was added to the polyplex solutions. The samples were incubated at 37°C for 90 min while being placed on a mechanical shaker at 500 rpm before further evaluation.

Agarose gel shift assay. 1% (w/v) agarose gel was prepared by microwave-assisted heating of agarose in TBE buffer (18.0 g of tris(hydroxymethyl)aminomethane, 5.5 g of boric acid, 2 mM EDTA at pH 8 in 1 L of water). After cooling down to about 50°C, 1000x GelRed (0.001% (v/v)) was added to the solution. Afterwards, the solution was casted into an electrophoresis unit and cooled down for gelation. Polyplexes were formulated as described above with a pDNA concentration of 10 µg mL^{-1} and mRNA concentration of 12.5 µg mL^{-1}, respectively. After 40 min of incubation, loading buffer (6x; prepared from 6 mL of glycerol, 1.2 mL of 0.5 M EDTA, 2.8 mL of H_2O, 0.02 g of bromophenol blue) was added to the polyplex solution to obtain a 1:6 dilution. 20 µL (pDNA polyplexes) or 18 µL (mRNA polyplexes) of the samples were loaded to the gel and electrophoresis was performed at 120 mV for 70 min in 1x TBE buffer. Free pDNA (c = 10 µg mL^{-1}) or mRNA (c = 12.5 µg mL^{-1}) diluted in HBG was used as control.

Ethidium bromide exclusion assay. Quantification of EtBr fluorescence was carried out using a microplate reader (Spark, Tecan, Switzerland) with an excitation wavelength λ_{ex} = 535 nm and an emission wavelength λ_{em} = 590 nm. Polyplexes were prepared as described above with a total volume of 50 µL at a final pDNA concentration of 10 µg mL^{-1}. After the indicated incubation time, 250 µL of aqueous EtBr solution (c = 0.5 µgmL^{-1}) were added to the polyplexes. After additional incubation for 10 min at room temperature, 260 µL of each sample were transferred into a TPP-ft 96-well-plate and fluorescence intensity was measured. A calibration curve with free pDNA (linear concentration range from 0 to 10 µg mL^{-1}) diluted in HBG was used for quantification. The amount of free, i.e., non-compacted pDNA was calculated based on the calibration of free pDNA and displayed as percentage of EtBr fluorescence in relation to 100% of free pDNA.

Complexation efficiency of mRNA polyplexes with and without reductive conditions. Quant-iT™ RiboGreen RNA Assay-Kit (Thermo Fisher Scientific) was used to determine the encapsulation efficiency [ee(%)] of mRNA polyplexes. Polyplexes were formulated as described in section 'polyplex formation' at a concentration of 15.6 µg mL^{-1}. For stress testing, HBG or GSH solution (50 mM) were added to a final mRNA concentration of 12.5 µg mL^{-1} and a GSH concentration of 10 mM. The samples were incubated for 90 min at 37°C under constant shaking at 400 rpm. Unstressed control samples were prepared as described above with addition of HBG and without further incubation. All samples were diluted with 1x TE (10 mM Tris-HCl, 1 mM EDTA, pH 7.5 in RNase-free water) to an mRNA concentration of 2 µg mL^{-1}. 50 µL of the diluted samples were mixed with 50 µL of 1x TE as 'untreated samples'. For complete release of mRNA, unstressed samples were diluted with 50 µL of 1x TE containing 2% (v/v) Triton X-100 and 250 I.U. mL^{-1} heparin to a final mRNA concentration of 2 µg mL^{-1} (i.e., 'Triton sample'). All samples were incubated for 10 min at 37 °C under constant shaking at 150 rpm. After cooling down at RT for 5 min, 100 µL of RiboGreen reagent diluted 200-fold in 1x TE were added to each sample. After 5 min under light protection, the fluorescence intensity was measured in a Tecan microplate reader (Spark, Tecan, Männedorf, Switzerland) at excitation/emission wavelength of 485/535 nm in duplicates. Background was measured for the respective conditions (i.e. HBG or HBG with GSH 10 mM) in 1x TE, or in 1xTE supplemented with Triton X-100 and heparin, treated in the same manner as the respective polyplex samples. The following formula was used to calculate encapsulation efficiency after background subtraction of each sample:

$$ee(\%) = 100\% - \frac{mean\ emission_{untreated\ sample}}{mean\ emission_{Triton\ sample}} \times 100\%$$

Dynamic light scattering (DLS). Measurements were performed using a Zetasizer Nano ZS (Malvern Instruments, Malvern, Worcestershire, United Kingdom) using a folded capillary cell (DTS1070) by dynamic and electrophoretic laser light scattering (DLS, ELS). For determination of particle size and polydispersity index (PDI), 100 µL of pDNA polyplex solutions or 80 µL of mRNA polyplex solutions were formed as described above at a pDNA concentration of 10 µg mL^{-1} or an mRNA concentration of 12.5 µg mL^{-1}, respectively, and measured with the settings as follows: 30 sec of equilibration time, temperature of 25°C, refractive index 1.330, viscosity 0.8872 mPa*s. Each sample was measured three times with six sub-runs per measurement. For determination of zeta potential, the polyplex solution was diluted with HBG to a final volume of 800 µL immediately prior to measurement. Measurement parameters were identical to size determination, with an increase of equilibration time to 60 sec. Each sample was measured with 15 sub-runs (10 sec each) and zeta potential were calculated by Smoluchowski equation. All values (size, PDI and zeta potential) were displayed as mean ± SD of these measurements.

Cell culture. HeLa (human adherent cervix carcinoma cell line), HeLa-Gal8-mRuby stably expressing a galectin8-mRuby3 fusion protein [55-57] and N2a (murine neuroblastoma cell line Neuro2a) cells were cultured in DMEM low glucose (1 g L^{-1} glucose). Huh7 wt cells (human adherent hepatic carcinoma cell line) were cultured in DMEM Ham's F12 medium. Cell culture media were supplemented with 10% fetal calf serum (FCS), 4 mM of stable glutamine, 100 U mL^{-1} penicillin and 100 µg mL^{-1} streptomycin. Cells were cultured at 37°C with 5% CO_2 and a relative humidity of 95% in an incubator. Human colon carcinoma COGA-2 and COGA-12 low passage cell lines,[58] generated in a previous clinical study approved by local ethical committee with written, informed consent from all patients and molecularly characterized in great detail, were cultured in RPMI 1640 medium containing L-glutamine and sodium bicarbonate, supplemented with 10% fetal calf serum (FCS 100 U mL^{-1} penicillin and 100 µg mL^{-1} streptomycin). COGA-2 cells were cultured in flasks manually pre-coated with collagen A (1:10 (v/v) in PBS).

Transfection screening. 5,000 HeLa, 10,000 N2a, 8,000 Huh7 or 10,000 COGA-2 or COGA-12 cells were seeded in a 96-well plate about 24 hours prior to transfection. For COGA-2 cells, plates were coated with Collagen A (1:10 (v/v) in PBS) before seeding. Polyplexes were prepared as described above. Prior to treatment, cell culture medium was replaced by suitable amounts of fresh medium to obtain a final volume of 100 µL per well after transfection. Different doses of nucleic acid per well were evaluated. Each polyplex was added to the wells as triplicate. For pDNA, indicated doses of pDNA polyplex solution containing 10 µg mL^{-1} pCMVLuc (Plasmid Factory GmbH, Bielefeld, Germany) were prepared as described above. From this polyplex solution, required volumes (in the range of 5-20 µL) were added to the wells; for detailed information see indicated doses in the figures. LPEI (MW=22 kDa) polyplex solution (N/P 6) was used as positive control at indicated pDNA doses. For mRNA, indicated doses of mRNA polyplexes containing 12.5 µg mL^{-1} CleanCap® FLuc mRNA (5moU) (Trilink Biotechnologies, San Diego, CA, USA) (i.e. suitable volumes in a range of 1.25-20 µL) of polyplex solution were transferred to the corresponding wells. As positive control, succinylated branched PEI (succPEI) at a w/w ratio of 4 was used at indicated doses. Each well was filled up with the corresponding volume of HBG to reach a final volume of 100 µL/well.

Luciferase expression assay. Transfection efficacy of the polyplexes was evaluated after incubation for 24 hours at 37°C. The medium was removed, and the cells were lysed with 0.5x lysis buffer (100 µL/well) and stored at -80°C at least overnight. Before determination of luciferase expression, plates were allowed to come up to RT and incubated for 1 hour. For luciferase activity of mRNA-treated cells, the cell lysate was diluted in PBS at a ratio of 1:100. Luciferase activity was measured using a Centro LB 960 plate reader luminometer (Berthold Technologies GmbH & Co. KG, Bad Wildbad, Germany). Hereto, 35 µL of the cell lysate were measured for 10 sec after automatic addition of 100 µL LAR buffer (20 mM glycylglycine; 1 mM MgCl2; 0.1 mM ethylenediaminetetraacetic acid; 3.3 mM dithiothreitol; 0.55 mM adenosine 5'-triphosphate; 0.27 mM coenzyme A, pH 8-8.5) supplemented with 5% (v/v) of a mixture of 10 mM luciferin and 29 mM glycylglycine. Transfection efficiency was calculated for the seeded number of cells and presented as relative light units (RLU) per well. In case of mRNA, data was presented after background subtraction (i.e., HBG-treated control cells). In the case of pDNA polyplexes, the HBG background was not subtracted but depicted in the related graphs.

Cell metabolic activity by CellTiterGlo®. Transfections were performed as described above. The supernatant was removed at 24 h after transfection, and 25 µL of medium mixed with 25 µL of CellTiterGlo® Reagent (Promega) were added to each well. After incubation on an orbital shaker for 30 min at RT, luminescence was recorded using a Centro LB 960 plate reader luminometer (Berthold Technologies, Bad Wildbad, Germany). The luminescence signals (in RLU) of the samples were set in relation to the luminescence signal of the negative control (HBG buffer-treated control cells. Experiments were performed as triplicates.

Confocal laser scanning microscopy (CLSM). 15,000 HeLa Gal8 mRuby cells [55-57] per well were seeded one day prior to transfection in ibidi µ-slide 8-well ibidiTreat chamber slides and cultured at 37°C, 5% CO_2 and 95% relative humidity. Polyplexes were formed as described above at a Luc-mRNA concentration of 12.5 µg mL^{-1} with 20 % (w/w) Cy5 labelled firefly luciferase mRNA. Medium was changed prior to transfection with adequate volumes to reach a final volume of 300 µL/well after transfection with indicated doses of mRNA polyplexes. After transfection, cells were incubated for 2 h or 4 h. Medium was removed, cells were washed twice with PBS and fixed with 4% (w/v) paraformaldehyde in PBS for 1 h at RT in the dark. Subsequently, cells were washed twice with PBS and

nuclei were stained with DAPI (1 µg mL^{-1} in PBS) for 30 min at RT in the dark. The staining solution was replaced with fresh PBS and cells were stored under light protection at 4°C until imaging. Imaging was performed with a Leica-TCS-SP8 CLSM equipped with an HC PL APO 63×1.4 objective and images were processed with the LAS X software from Leica.

Bafilomycin A1 assay. 5000 HeLa cells were seeded into 96-well plates one day prior to transfection as described above. 30 min before transfection, medium was replaced with either fresh medium or medium containing bafilomycin A1 (BafA1) (0.1 µg mL^{-1} in DMSO) to reach a final concentration of 200 nM BafA1 after polyplex addition. Polyplexes were formed as described above and indicated doses of pCMVLuc pDNA or Luc-mRNA polyplexes were subjected to the cells. After 4 h of incubation, cells treated with mRNA polyplexes were lysed and evaluated via luciferase expression assay as described above. For pDNA, medium was replaced by fresh medium without BafA1 after 4 h and incubated further 20 h before analysis. Transfections were performed in triplicates.

Cell viability by LDH cytotoxicity assay. LDH cytotoxicity assay was performed according to the manufacturers' protocol (Promega). Briefly, 5,000 HeLa cells/well were seeded into a 96-well plate one day prior to transfection. Polyplexes were prepared as described in section 'polyplex formation'. After replacement of medium with suitable amounts of fresh medium, the test compounds were added to the cells in the indicated concentration (i.e., 100 ng/well for pDNA polyplexes and 63 ng/well for mRNA polyplexes) to reach a final volume of 100 µL/well. As control groups, cells treated with the indicated amount of HBG buffer or 20 µL of either LPEI polyplexes containing 10 µg mL^{-1} pDNA or succPEI polyplexes containing 12.5 µg mL^{-1} mRNA were used. Cells without any treatment (neither polyplex nor HBG) served as negative control. After transfection, the cells were incubated at 37°C for the desired exposure time (i.e., 8 h, 12 h, 16 h, 24 h). 45 min before end of the incubation time and addition of CytoTox® reagent, a triplicate of untreated cells was treated with 10 µL of 10x Lysis solution as maximum LDH release control. Then, 50 µL of the supernatant of each well was transferred to a fresh transparent, flat-bottom 96-well plate, followed by addition of 50 µL of CytoTox® reagent and incubation in the dark for 30 min at RT while slowly shaking. After 30 min, 50 µL of stop solution was added to each well and the absorbance was immediately measured at 490 nm (OD_{490}) using a multiplate reader (Spark, Tecan, Männedorf, Switzerland). For calculation of released LDH, the average values of the untreated cells was subtracted from all values of experimental cells. From the corrected experimental values, the following equation was used to calculated the percentage of LDH release:

$$\boldsymbol{LDH\ release}\ (\%) = \mathbf{100} \times \frac{\mathbf{sample\ LDH\ release}\ (\boldsymbol{OD_{490}})}{\mathbf{maximum\ LDH\ release}\ (\boldsymbol{OD_{490}})}$$

Microscope images. The polyplexes were prepared as described in section 'polyplex formation' and transfected to the cells as described in 'screening transfections'. Subsequently the cells were incubated for 24 hours after transfection, and then examined microscopically by an Axiovert 200 (Zeiss, Oberkochen, Germany)). Photographic images were taken by a INFINITY2-3C (3.3 Megapixel Color CCD Camera USB2.0, Lumenera Corporation/Ottawa (purchased from FRAMOS GmbH, Taufkirchen, Germany) and processed by Infinity Capture Software.

Cell watcher analysis. For the cell watcher analysis, HeLa cells were seeded 24 hours prior to transfection to a 48 well plate (5,000 cells per well) and monitored by Cellwatcher M (PHIO scientific GmbH, Munich, Germany). Confluency was calculated by the corresponding software. 24 hours after seeding, medium was replaced by fresh, pre-warmed medium and the cells were transfected with polyplex solution at indicated doses. Cell growth was monitored for a total incubation time of 48 hours after transfection.

Flow cytometry analysis. 40,000 HeLa cells/well were seeded 24 hours prior to transfection. Polyplexes were prepared as described in section 'polyplex formation' and transfected to the cells with 100 ng/well for pDNA polyplexes (pDNA concentration of 10 µg mL^{-1}) and 63 ng/well for mRNA polyplexes (mRNA concentration of 12.5 µg mL^{-1}). After 24 hours of incubation, the medium was removed, and the cells were gently washed with cold PBS (4°C) and trypsinized for 5 minutes at 37°C. The cell suspension was diluted with FACS buffer (10% FBS in PBS, 0.1% (v/v) DAPI) and transferred to a FACS tube. Cells were analyzed by flow cytometry using a CytoFLEX S Flow Cytometer (Beckman Coulter, Brea, CA, USA) over 60 seconds at a flow rate of 170 µL min^{-1}. Flow cytometry data were analyzed using FlowJoTM v10.8 flow cytometric analysis software by FlowJo, LLC (Becton, 20 Dickinson and Company, U.S.).

Kinetics of cellular association and expression. To evaluate the cell association and expression kinetics, 18,000 HeLa cells/well were seeded in a 24 well-plate 24 h prior to transfection. Polyplexes were prepared at N/P 24 with a w/w mixture of 20 % (w/w) EZ Cap Cy5 Firefly Luciferase mRNA (5-moUTP) and 80 % (w/w) CleanCap® EGFP mRNA (5moU) at a total mRNA concentration of 12.5 µg mL^{-1}. The cells were transfected in duplicates with a dose of 100 ng total mRNA and incubated for 30 min, 2 h, 4 h, 6 h, 24 h and 48 h. After incubation, the cells were prepared for flow cytometer measurement as described in section 'flow cytometry analysis', without the addition of DAPI. The measurement was performed using a CytoFLEX S Flow Cytometer (Beckman Coulter, Brea, CA, USA) at suitable flow rates and times of acquisition. However, at least 8,000 cells per analysis were recorded. Flow cytometry data were analyzed using FlowJoTM v10.8 flow cytometric analysis software by FlowJo, LLC (Becton, 20 Dickinson and Company, U.S.).

Annexin V/Propidium iodide assay. Polyplex treatments were performed in duplicates in 24-well plates. HeLa cells were seeded 24 h prior to transfection in a 24-well-plate (40,000 cells/well). Immediately prior to transfection, the medium was replaced with 475 µL of fresh medium containing 10% (v/v) FBS for pDNA polyplexes and with 487.5 µL for mRNA polyplexes. The nanoparticles were prepared as described in section 'polyplex formation'. Transfection was performed with

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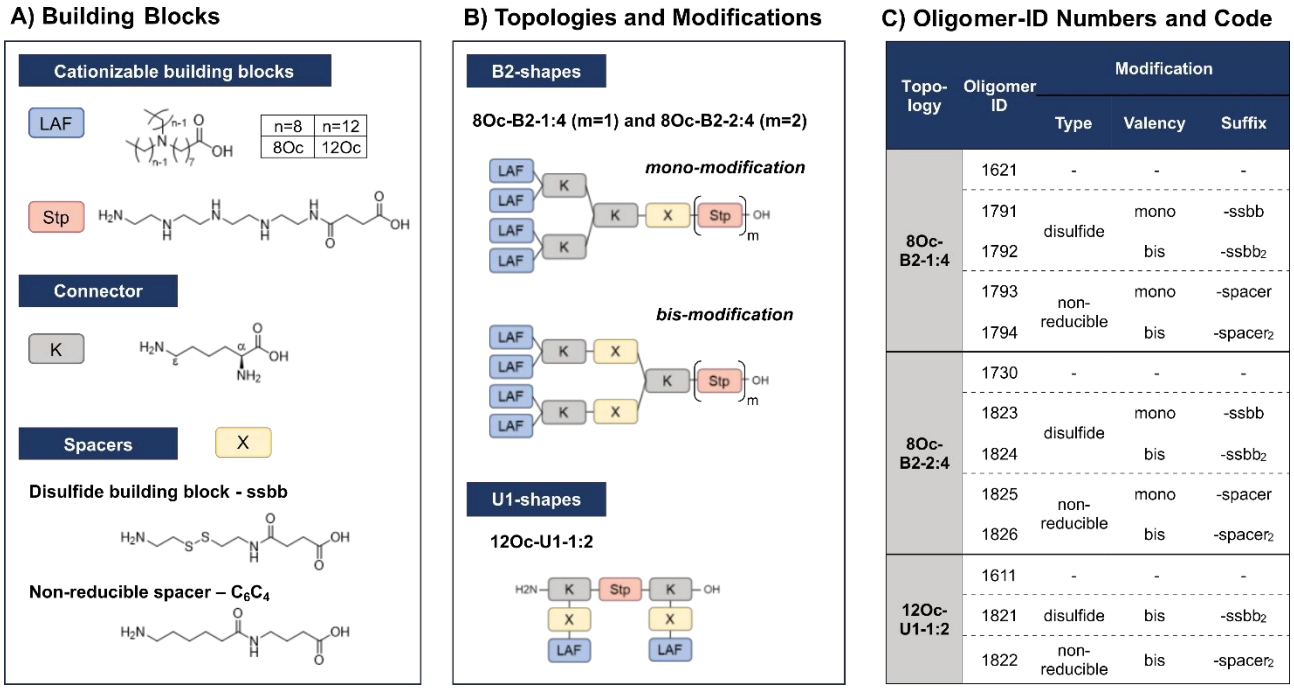
12.5 µL of polyplexes containing 125 ng/well pDNA or 6.3 µL polyplex solution containing 78 ng/well mRNA, respectively. HBG was then added to reach a final volume of 500 µL per well, i.e., 12.5 µL/well for cells transfected with pDNA polyplexes and 6.2 µL for cells treated with mRNA polyplexes. HBG buffer (25 µL/well for pDNA polyplexes and 12.5 µL/well for mRNA polyplexes) was used as negative control. After 24 h of incubation, the cells were collected and incubated with annexin V incubation reagent (prepared according to manufacturer's protocol of Bio-Techne GmbH, Wiesbaden, Germany) for 10 min before flow cytometer analysis using a CytoFLEX S Flow Cytometer (Beckman Coulter, Brea, CA, USA). Cells were analyzed with a flow rate of 170 µL min^{-1} until 10,000 events were counted. Gates were set compared to control measurements with HBG-buffer treated cells and with exclusion of cell debris. Annexin V and propidium iodide negative cells were considered as healthy cells. Cells shifted to the annexin V and propidium iodide positive gate indicated late apoptotic cells, whereas cells shifted to only annexin V-positive gate were considered as early apoptotic, and PI-positive, but annexin-V-negative cells were not viable. Flow cytometry data were analyzed using FlowJoTM v10.8 flow cytometric analysis software by FlowJo, LLC (Becton, 20 Dickinson and Company, U.S.).

Statistical analysis. Experimental results are presented as arithmetic mean of triplicates, if not stated otherwise, with error bars displaying the standard deviation (SD). Statistical analysis of the results (mean ± SD) was performed by applying unpaired Student's two-tailed t-test with Welch's correction. Calculations and graphical presentation were performed with Prism 10.1.2 (GraphPad Software Inc.). ns (statistically not significant) $p > 0.05$; * $p \leq 0.05$; ** $p \leq 0.01$; *** $p \leq 0.001$; **** $p \leq 0.0001$.

3 Results and Discussion

3.1 Design and synthesis of disulfide and hydrophobic spacer analogs

In recent work, our group has developed a library of novel nucleic acid carriers with versatile sequences and topologies.[30] The novel xenopeptides are comprised of protonatable Stp units, derived from aminoethylene units of PEI, enabling nucleic acid compaction and providing endosomal buffer capacity, α,ε-amidated lysines as branching points and lipoamino fatty acids (LAF) with central tertiary amines, which display favorable, pH-dependent properties supporting polyplex stabilization by lipophilic characteristics at extracellular pH and particle disassembly upon protonation in endosomal environment. The LAFs used in the new study were 8Oc (based on reductive bisalkylation of 8-aminooctanoic acid with octanal) and 12Oc (obtained by the reductive amination of dodecanal with 8-aminooctanoic acid), see **Scheme 1**. Variations of the lipophilic chain length and the position of the tertiary amine have been used to tailor endosomal escape by endosomolytic activity. Each LAF-xenopeptide was assigned to a distinct, consecutive ID number, and an additional nomenclature, providing information about type of LAF, topology (B2 bundle, or U-shape) and the Stp/LAF ratio (e.g. ***1621***: LAF = 8Oc, topology = B2, Stp/LAF = 1:4). Among the xenopeptides of the library, the carriers ***1621*** (8Oc-B2-1:4) and ***1611*** (12Oc-U1-1:2) have demonstrated great potencies for the delivery of mRNA and DNA, respectively. Especially for mRNA, both carriers exhibited excellent transfection efficacies, even at ultra-low mRNA doses. For B2 bundle topology, shorter LAFs such as 8Oc in ***1621*** were favorable for



C) Oligomer-ID Numbers and Code

Topo-logy	Oligomer ID	Modification: Type	Modification: Valency	Modification: Suffix
8Oc-B2-1:4	1621	-	-	-
	1791	disulfide	mono	-ssbb
	1792		bis	-ssbb$_2$
	1793	non-reducible	mono	-spacer
	1794		bis	-spacer$_2$
8Oc-B2-2:4	1730	-	-	-
	1823	disulfide	mono	-ssbb
	1824		bis	-ssbb$_2$
	1825	non-reducible	mono	-spacer
	1826		bis	-spacer$_2$
12Oc-U1-1:2	1611	-	-	-
	1821	disulfide	bis	-ssbb$_2$
	1822	non-reducible	bis	-spacer$_2$

Scheme 1 Library design of lipoamino fatty acid containing LAF-Stp carriers containing reducible (ssbb) and non-reducible (C_6C_4) spacer domains. A) Building blocks used in solid phase assisted assembly (displayed in unprotected form). B) Different topologies and positioning of bioreducible or non-reducible building blocks. C) Summarized overview of the library and its identification (ID) numbers.

transfection efficiency compared to the longer 12Oc LAF units, although cytotoxicity was observed for ***1621*** at high doses. In case of pDNA polyplexes, formulations with a higher Stp/LAF ratio (1:2) as present in U-shape carrier ***1611*** are more effective in terms of gene transfer.[30] This is consistent with the hypothesis that the PEI-like Stp units are beneficial for DNA condensation into polyplexes.[8, 29, 38]

Based on these considerations we converted the sequence of B2-1:4 ***1621*** into the B2-2:4 carrier ***1730***. As demonstrated here, DNA polyplexes formed with the ***1730***, providing two Stp units, showed superior properties over ***1621*** (**Fig. S1**, Supporting Information). For example, polyplex size was reduced from 220 nm to 80 nm at N/P 12 and transfection efficiency on N2a cells was 108-times increased compared to ***1621*** (**Fig. S1C**, Supporting Information). However, cell viability, determined by a CellTiter Glo® assay, was still reduced compared to LPEI, but improved over ***1621*** at high N/P ratios (**Fig. S1 D**, Supporting Information). Altogether, these new findings make ***1730*** a highly promising LAF carrier for pDNA delivery.

Due to their great potential for nucleic acid delivery, xenopeptides ***1611***, ***1621*** and ***1730*** were selected for further sequence-defined chemical evolution by introduction of spacers between the Stp and LAF units. Previous work demonstrated that topologies between or within the various subunits ('LAF nitrogen catwalk') can modulate potency but also cytotoxicity of carriers. Based on established Fmoc solid-phase assisted synthesis with protected artificial amino acids,[26-29] we now generated novel spacer-containing xenopeptides as listed in **Scheme 1** and **Table S1**. As spacers, either Fmoc-protected succinoyl-cystamine as a solid-phase-synthesis compatible disulfide building block (*ssbb*) or an analogous non-reducible amino hexanoic acid-amino-butanoic acid dipeptide spacer (C_6C_4) was used, providing comparable spatial distance (ssbb = 20.6 Å vs. C_6C_4 = 19.6 Å) and similar hydrophilic/hydrophobic characteristics.

The incorporation of the disulfide building blocks provides carriers with redox-responsive cleavage sites for degradation in the intracellular, reductive cytosolic environment with increased concentration of GSH.[53, 60, 61] Cytosolic carrier cleavage is expected to destabilize the polyplexes and to abolish the amphiphilic and potentially lytic character of the xenopeptides. Both spacer- and disulfide-analogs were set in comparison with their original LAF-Stp structures regarding their nucleic acid compaction ability and resulting physicochemical properties (size, polydispersity, surface charge) as well as their potency to deliver pDNA and mRNA to various cell lines without having a cytotoxic influence on the cells. For carriers of the *U1-1:2 topology*, the disulfide modification was introduced in the lysine side chain before coupling of 12Oc for maintaining symmetry and generating a system that is cleaved in small fragments of mostly hydrophilic and lipophilic properties upon disulfide reduction (see **Scheme 1**). For *bundle structures*, multiple options for the positioning of the disulfide-motifs were possible, leading to various cleavage products. At first, the ssbb was positioned behind the cationic Stp unit. Upon disulfide reduction, fragmentation into a cationic Stp-domain and a large, mostly hydrophobic LAF domain will occur. As a second option, the disulfide was integrated after the first branching lysine. In this case, disulfide

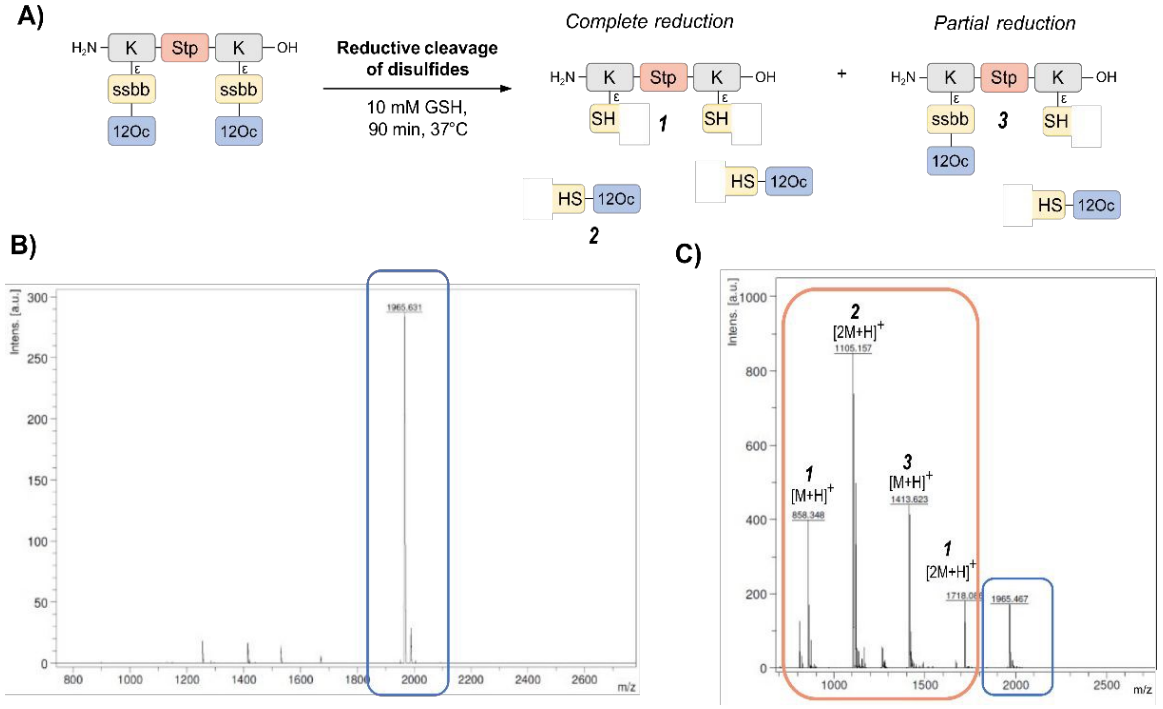


Figure 1. MALDI analysis before and after incubation with glutathione (GSH). A) Scheme of disulfide-containing LAF-oligomer 1821 with 12Oc-U1-1:2 topology before and after GSH-induced reduction of disulfides with possible cleavage products upon complete or partial reduction. Representative MALDI mass spectra of disulfide-LAF-oligomer 1821 before (B) and after (C) treatment with 10 mM GSH for 90 min at 37°C. The mass spectrum after GSH-treatment contains additional peaks (***1, 2*** and ***3***) assigned to cleavage products upon disulfide reduction.

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reduction would lead to fragmentation into a Stp-Lysine fragment and two smaller LAF fragments. As a last option, the ssbb was introduced directly before the LAF, leading to a structure with four cleavage sites. However, synthetic issues regarding disulfide instability occurred during synthesis of tetra-ssbb analogs (data not shown) and prevented the synthesis. The identity of all synthesized carriers was confirmed by MALDI-TOF mass spectrometry and ^{1}H-NMR spectroscopy. The analytical data are summarized in the Supporting Information.

In order to investigate the biodegradability of the reducible carriers under reductive stress, MALDI-TOF analysis was performed after incubation with the physiological antioxidant GSH (c = 10 mM). To mimic intracellular reducing conditions, the disulfide-containing oligomers were dissolved in HBG and incubated with GSH at a final concentration of 10 mM for 90 min at 37°C. Oligomer fragments of GSH-induced disulfide reduction were subsequently detected by MALDI analysis, besides small peaks of intact oligomer (**Fig. 1** and **Tab. S2**, Supporting Information). In case of the exemplarily presented mass spectrum, mass peaks associated with the Stp backbone after partial and complete disulfide reduction were found, as well as the mass peaks of the hydrophobic LAF arm.

3.2 Physico-chemical evaluation of pDNA and mRNA polyplexes

3.2.1 Nanoparticle formation

In a first screening of the novel carriers, polyplexes were formed with either pCMVLuc DNA or CleanCap® FLuc mRNA (5 moU) at different N/P ratios to identify optimum conditions for polyplex formation. Size and polydispersity of the resulting polyplexes, as well as zeta potential were evaluated. The N/P ratio describes the ratio between protonatable amines (N) of the oligomer to phosphates of the nucleic acid (P). For determination of the number of protonatable amines, three secondary amines per Stp unit, one tertiary amine per LAF, and terminal primary amines (exist only in U1 shapes) are taken into consideration. Note that the majority of amines (LAF amines and two thirds of Stp secondary amines) are not protonated at neutral pH. After an incubation time of 40 min at room temperature, DLS and ELS measurements were performed (**Fig. S2** and **Fig. S3**, Supporting Information). Small polyplex sizes, preferably <200 nm, with PDI values ≤0.2 and positive zeta potential were considered as successful formulation.

pDNA. Polyplexes were formed with pCMVLuc DNA at N/P ratios 6, 12, 18 and in the case of bundle LAF carriers also 24, to identify the N/P ratio necessary for polyplex formation (**Fig. S2A**, Supporting Information). N/P ratio of 6 was not sufficient for most carriers to form stable and nano-sized polyplexes, independent of their topology, accompanied by mostly negative zeta potential which was not favorable for attachment to negatively charged cell membranes (**Fig. S2B**, Supporting Information). For the carriers following the 12Oc-U1-1:2 topology (***1611*** and its analogs), N/P 12 was sufficient for polyplex formation, and a further decrease of particle size was observed for ***1611*** by increasing the N/P ratio to 18. In the U1-series, most comparable particles regarding their size and zeta potential were obtained at N/P 18. For 8Oc-B2-1:4 (***1621*** series), a minimum N/P 18 was required to obtain stable polyplexes for all analogs. In comparison to ***1621***, ***1730*** contains a second Stp unit, which is considered to support DNA compaction.[38] This is underlined by slightly reduced particle sizes compared with ***1621*** and its analogs at N/P 18. In addition, the ***1730*** series formed stable nanoparticles at N/P 12. This finding is in line with previous work by Nie and co-workers, demonstrating the importance of a well-balanced lipophilic-hydrophilic ratio of bioreducible nucleic acid carrier

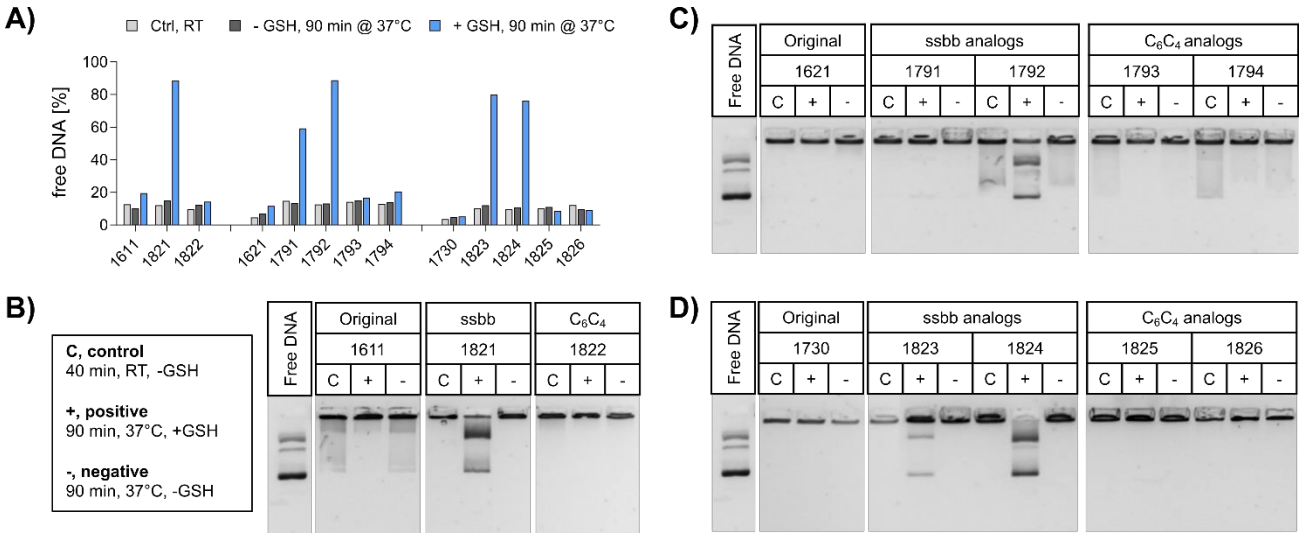

Figure 2. DNA compaction ability and cargo release of LAF-Stp carriers before and after GSH treatment**. A**) Ethidium bromide assay pf pDNA polyplexes formed with the indicated carriers at N/P 18. The carriers were incubated with GSH (blue) or with HBG (dark grey) for 90 min at 37°C prior to EtBr assay or incubated in absence of GSH for 40 min at RT (light grey). The fluorescence intensity of EtBr correlates with the amount of free and non-compacted pDNA, as pDNA only in this state is accessible for EtBr intercalation. 100% EtBr fluorescence refers to the fluorescence of free pDNA without carrier in the same concentration as used for polyplex formation. **B-D**) DNA compaction and release ability of polyplexes formed at N/P 18 was determined in an agarose gel shift assay (1% w/v agarose) after 90 min incubation at 37°C under reducing (+) and non-reducing (-) conditions. Lanes 'C' refer to standard control polyplexes without preincubation at 37°C.

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constructs comprised of large cationic headgroups and lipophilic tails.[46]

mRNA. The whole set of xenopeptides was also evaluated regarding its ability to form mRNA polyplexes **(Fig. S3**, Supporting Information). In previous studies, ***1611*** and ***1621*** proved to form stable and reproducible particles at N/P 18.[30] For the new disulfide and C_6C_4 spacer analogs, N/P 12 was also evaluated (**Fig. S3A, B**, Supporting Information). The 12Oc-U1-1:2 analogs, ***1821*** (ssbb) and ***1822*** (C_6C_4), formed stable mRNA particles already at N/P 12, with positive zeta potential of 15-18 mV. Comparable to the original structure ***1621***, the 8Oc-B2-1:4-analogs formed nanosized polyplexes at N/P ≥ 18, except for the mono-ssbb analog ***1791*** which was unable to generate stable mRNA polyplexes at any N/P ratio. This observation was confirmed by an agarose gel shift assay, where free mRNA was detected for formulation with ***1791***, but not for other LAF-carriers (**Fig. S3C**, Supporting Information). The corresponding C_6C_4 spacer analog ***1793*** showed no aggregation at N/P ≥ 18. All 8Oc-B2-2:4 carriers of the ***1730*** series formed desirable mRNA nanoparticles at N/P 12, which were smaller in size than ***1621***.

3.2.2 Nucleic acid binding ability

The ability of the carriers to condense pDNA and mRNA was determined under standard polyplex formation conditions after 40 min of incubation, as well as under reductive conditions assessing polyplex instability after forced disulfide degradation upon incubation with GSH for 90 min at 37°C (**Fig. 2**, **Fig. S4** and **S5**, Supporting Information). To exclude possible polyplex instability as a result of treatment conditions, a second control group was included without reductive environment.

pDNA. The compaction ability was determined by an ethidium bromide (EtBr) exclusion assay and agarose gel shift assay (**Fig. 2**). A pre-experiment confirmed absence of disturbing effects like potential DNA degradation as a result of the treatment conditions (37°C for 90 min, +/- 10 mM GSH) on free DNA (**Fig. S4**, Supporting Information). Under standard conditions, all polyplex formulations showed favorable DNA compaction ability under non-reductive conditions, with less than 20% of free DNA detected (**Fig. 2A**). In case of ***1730*** (two Stp units), compaction was even stronger than for ***1621*** (one Stp unit). After exposure to a reducing environment (10 mM GSH, 90 min, 37°C), decompaction of DNA was detected exclusively for disulfide-containing analogs, while no effect on DNA compaction was observed for non-reducible xenopeptides. This was found for all reducible analogs of the ***1621*** B2 bundle series (mono-disulfide ***1791***, bis-disulfide ***1792***), ***1730*** B2 bundle series (***1823*** and ***1824***), and the U-shape disulfide analog ***1821***.

Agarose gel shift assays (**Fig. 2 B-D**) were performed to confirm the findings of the ethidium bromide exclusion assay. For 12Oc-U1-1:2 polyplexes, original ***1611*** polyplexes showed a minor release of DNA, but like polyplexes of the C_6C_4 spacer analog ***1822***, were not affected by reductive GSH. In contrast, the disulfide analog ***1821*** showed GSH-dependent

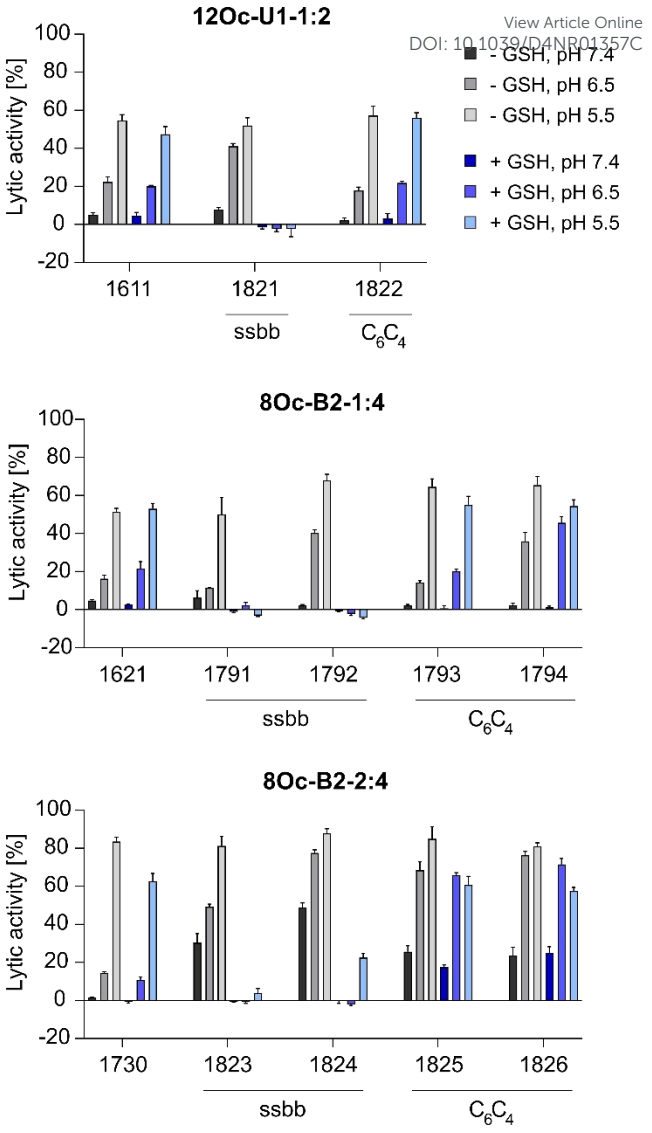

Figure 3. Lytic potential of free LAF xenopeptides evaluated in an erythrocyte leakage assay (n = 4; mean ± SD) at a concentration of 1.25 µM LAF carrier at three different physiological relevant pH values (pH 7.4, 6.5, 5.5). GSH treated xenopeptides were incubated with 10 mM GSH in PBS adjusted to the indicated pH at 37 °C for 90 min. PBS-treated erythrocytes were set to 0% lytic activity. 1% Triton X-100 served as positive control and was set to 100% lytic potential.

destabilization of polyplexes (**Fig. 2B**). DNA release was also observed for all disulfide containing B2 bundle xenopeptides after exposure to reductive environment. This effect was stronger for bis-disulfide analogs ***1792*** and ***1824*** than mono-disulfide analogs (**Fig. 2C, D**). It has to be noted that the gel shift assay indicates free pDNA that is not enclosed into nanoparticles. In contrast the EtBr assay detects also barely compacted pDNA by intercalation of the dye also in loose particles.

mRNA. Encapsulation efficiency (ee) of mRNA polyplexes was determined via RiboGreen assay for a selected set of ***1621*** and the bis-modified analogs ***1792*** ($ssbb_2$) and ***1794*** ($(C_6C_4)_2$) at N/P 24 (**Fig. S5**, Supporting Information). Under standard conditions, the ee for all tested formulations was >85%, in case of ***1621*** and ***1792*** even >90%. Subsequent to incubation with the reductive GSH for 90 min at 37°C, ee of the disulfide-

containing analog ***1792*** was about 30% reduced, verifying polyplex destabilization under bioreducible conditions, while ee of non-reducible analogs, ***1621*** and ***1794*** remained unchanged.

3.3 Biological and Transfection Activity

3.3.1 Erythrocyte leakage assay

Carriers ***1611*** and ***1621*** display favorable pH-dependent lytic activity at pH 6.5 and 5.5 mimicking different stages of endosomal uptake, which is considered to enhance endosomal escape into cytosol resulting in high transfection efficacy.[30] Significant lytic activities at pH 7.4, however, may also explain cytotoxicity due to undesired membrane damage.[37, 62] By incorporating biodegradable disulfides that are reduced by the high cytosolic GSH levels, lytic activity after endosomal release should be attenuated. Erythrocyte leakage assays are displayed in **Fig. 3**. In case of the ***1611*** series, the additional spacing, either by the disulfide or the non-reducible spacer dimer, had only a minor impact on lytic potential under standard conditions, regardless of a larger hydrophobic domain in the oligomer. For the ***1621*** series, an increase of lytic potential under standard conditions was observed for the bis-analogs (***1792*** and ***1794***) over ***1621***, probably due to a significant increase of the hydrophobic ratio by the additional building blocks (both, ssbb block and C_6C_4), but limited to pH 6.5 and 5.5. Comparable observations were made for ***1730***, promoting again a stronger lytic activity for the bis-analogs. In a direct comparison of the bundle structures, the B2-2:4 ***1730*** series showed about 30% increase in lytic activity over ***1621*** series, despite the less lipophilic Stp/LAF ratio of 2:4 instead of 1:4. However, the negative charge of erythrocyte membranes must be noted, where cationic amphiphiles display advantageous leakage effects.[62-64] Similar tendencies as the increase of lytic activity at pH 6.5 among the modified, both reducible and non-reducible LAF carriers, were observed also for the B2-2:4 series. These findings are consistent with our previous work investigating the lytic activity of different U-shapes, where not only an increase of the lipidic domain, but also the amount of Stp caused an increase of lytic activity (U1-1:2 < U1-2:2 < U1-2:4).[30] In case of disulfide-containing structures, the lytic activity was abolished after reductive cleavage by incubation with GSH for 90 minutes. This effect might have positive consequences on biocompatibility at later time points beyond entry.

3.3.2 pDNA and mRNA transfection efficacy

The novel xenopeptides of the ***1611***, ***1621*** and ***1730*** series were screened as pDNA and mRNA polyplexes in luciferase expression assays. The cervix carcinoma cell line HeLa, known to possess high intracellular concentrations of glutathione,[45] was selected as first cell line of choice to evaluate the transfection efficiency and biocompatibility of the reducible

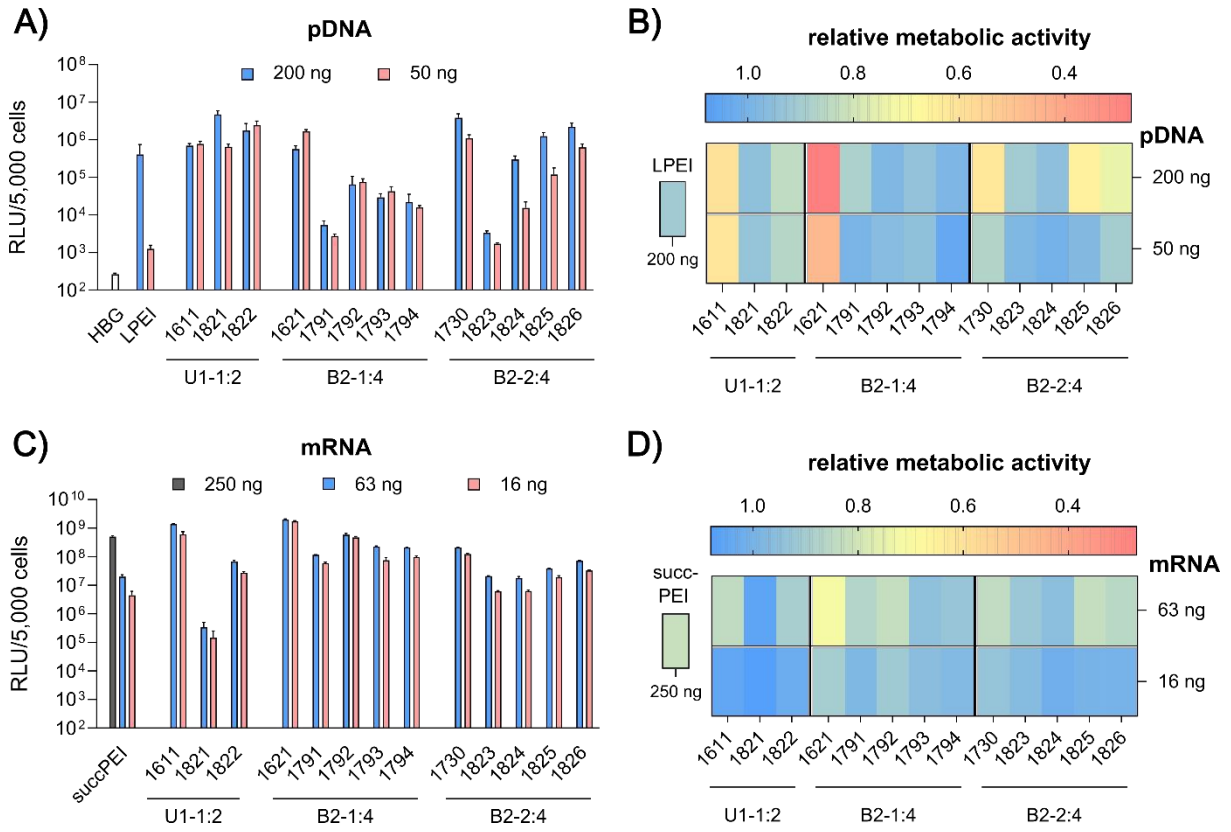

Figure 4. *In vitro* screening of the library of LAF-Stp xenopeptides applied in pDNA polyplexes (A and B) or mRNA polyplexes (C and D) on HeLa cells. pDNA polyplexes were formed at N/P 18 and mRNA polyplexes were formed at N/P 18 (12Oc-U1-1:2 carriers), N/P 24 (8Oc-B2-1:4 carriers) and N/P 12 (8Oc-B2-2:4 carriers). LPEI polyplexes were formed at N/P 6 and succPEI polyplexes were formed at w/w ratio 4. Polyplexes were transfected at the indicated nucleic acid doses per well. A) and C) Transfection efficiency determined by luciferase expression assay after 24 hours of incubation (n=3 ± SD). B) and D) Cell viability measured by CellTiter Glo® assay in relation to control wells treated with HBG (n=3 ± SD).

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and non-reducible carriers (**Fig. 4**). Similar as outlined for the physico-chemical properties, demands on the xenopeptide carrier differ significantly between pDNA transfer (**Fig. 4A, B**) and mRNA transfer (**Fig. 4C, D**). In the current work, with the 8Oc-B2-2:4 bundle structure ***1730*** a superior pDNA carrier was identified, whereas ***1730*** cannot meet the known high mRNA transfection efficiency of 8Oc-B2-1:4 bundle structure ***1621***.

pDNA. pCMVLuc polyplexes were formed at N/P 18, since at this ratio all carriers form stable, nano-sized polyplexes (**Fig. S2A**, Supporting Information), and tested in 200 ng high and 50 ng low pDNA doses on HeLa cells (**Fig. 4A and B**). The 'gold standard' for pDNA transfections, LPEI,[14, 34] was included for comparison and mediated the expected high luciferase gene expression, but at the high dose only. At this dose, no toxicity was observed. The standard U1-shape ***1611*** shows similar high transfection levels at both high and low dose. Metabolic activity of ***1611*** polyplexes, as determined by CellTiter Glo® assay, however, was significantly reduced (**Fig. 4B**), but could be recovered to >80% relative metabolic activity with the novel reducible and non-reducible spacer xenopeptide analogs (***1821*** and ***1822***, respectively) while maintaining high transfection efficacy at both dose levels. In fact, the bioreducible carrier ***1821*** mediated a 11.6-fold higher gene transfer than LPEI at the same dose. The ***1611*** series was also screened for transfecting N2a cells as well as Huh7 cells at 200 ng pDNA dose (**Fig. S6**, Supporting Information). Here, neither standard ***1611*** nor the novel analogs did considerably affect metabolic activity of cells, and the ***1611*** series mediated a transfection activity outperforming LPEI by 5- to ~100-fold. The novel 8Oc-B2-2:4 carrier ***1730*** exceeded the transfection efficiency of both LPEI and ***1621*** on all investigated cell lines (HeLa, **Fig. 4;** N2a and Huh7 cells, **Fig. S6**, Supporting Information). Furthermore, ***1730*** reaches or even exceeds transfection efficiencies of ***1611*** polyplexes. The disulfide-spacer analogs (***1823*** and ***1824***) loose transfection activity on HeLa cells, whereas the non-reducible spacer analogs (***1825*** and ***1826***) display activity similar as ***1730*** (**Fig. 4**). Spacer effects are less pronounced in the other cell lines (**Fig. S6**, Supporting Information). The ***1621*** series was least suitable for pDNA apart from standard ***1621***, which however displayed cytotoxicity (**Fig.4, Fig. S6**, Supporting Information). Analogs with disulfide or non-reducible spacer improved metabolic activity at the expense of reduced pDNA transfection efficiency (**Fig. 4B and Fig. S6 D**, Supporting Information).

mRNA. Carrier demands were significantly different for mRNA delivery. The novel carriers were tested at the low doses of 63 ng or 16 ng FLuc mRNA. SuccPEI was used as established positive control[20, 52] also including a higher, non-toxic 250 ng mRNA dose. The carriers ***1611*** (12Oc-U1-1:2) and ***1621*** (8Oc-B2-1:4) had outstanding potential for the delivery of mRNA at low and ultra-low doses, with RLU values greater than 10^9 on N2a and Huh7 cells (**Fig. S7A and B**, Supporting Information), consistent with previous findings,[30] whereas ***1730*** (B2-2:4) showed slightly reduced mRNA transfection efficacies along all cell lines. Luciferase expression was diminished after modification of the 12Oc-U1-1:2 ***1611*** carrier with both, reducible and non-reducible building blocks on HeLa and N2a cells (**Fig. 4C and Fig. S7 A and B**, Supporting Information), probably as a consequence of the enhanced spacing between the LAF residues and Stp domain. For transfections to Huh7 cells, the non-reducible spacer was beneficial (**Fig. S6 B and Fig. S7D**, Supporting Information). Even though the modifications had beneficial effects on cell viability of HeLa cells, the disulfide xenopeptide ***1821*** and the non-reducible analog ***1822*** was not or less suitable for mRNA delivery (**Fig. 4C and D, Fig. S7 A,** Supporting Information). In sharp contrast, regarding the B2 bundles, the 8Oc-B2-1:4 ***1621*** series showed overall favorable mRNA transfection efficiency compared to 8Oc-B2-2:4 ***1730*** series, making ***1621*** and its analogs the most promising candidates for mRNA delivery in this study (**Fig. 4C, Fig. S7 A and C,** Supporting Information). As observed also for pDNA polyplexes, luciferase activity of ***1791*** (B2-1:4-ssbb) was substantially reduced, but for ***1792*** (B2-1:4-$ssbb_2$) transfection efficacy was high both on HeLa as well as N2a cells (**Fig. 4C and Fig. S7A and C**, Supporting Information). The C_6C_4 spacer analogs of the bundles were comparable to the disulfide analogs; dose reduction to 16 ng mRNA/well had no large impact on transfection efficacy on HeLa cells. Relative metabolic activity (**Fig. 4D**) was improved for disulfide- and also non-reducible spacer analogs over ***1621*** on HeLa and Huh7 cells (**Fig. 4D** and **S7D**, Supporting Information). The mRNA transfection efficiency of ***1730*** was lower than ***1621*** across all cell lines, and additional spacing, either by disulfide or non-reducible spacer, leads to slight decrease of transfection efficacy. The disulfide-oligomers ***1823*** and ***1824*** showed reduced cytotoxicity against HeLa over both, original ***1730*** and non-reducible spacer-analogs ***1825*** and ***1826***. Overall, less effects on metabolic activity were observed than for pDNA polyplexes, which might be due to overall lower doses of mRNA.

In summary, the particular characteristics of each cell line influenced both transfection efficiency and metabolic activity of cells. The reducible and non-reducible analogs of ***1611*** (12Oc-U1-1:2) and ***1730*** (8Oc-B2-2:4) were identified as promising candidates for efficient pDNA delivery with improved metabolic cell activity. In case of mRNA, ***1792*** and ***1794***, the bis-modified analogs to ***1621***, were defined as encouraging oligomers with improved cell viability. A clear benefit of the disulfide spacer was most clearly found on HeLa cells, which are known for their high intracellular GSH levels. In the case of Huh7 and N2a cells other intracellular mechanisms causing or preventing cytotoxicity may apply which are independent of bioreduction. This hypothesis is further stressed by the positive impact of the non-reducible spacers on both transfection efficacy and cell viability.

3.3.3 Transfections of human colon carcinoma cells

Human colorectal carcinoma cell lines (COGA) had been established in a previous clinically approved study from human tumors, cultivated to low passage numbers and characterized in detail.[58] They show high level of similarity to their original cancers, resembling in key characteristics such as phenotype and marker expression. For this reason, they represent suitable cell materials for evaluating non-viral carriers for gene

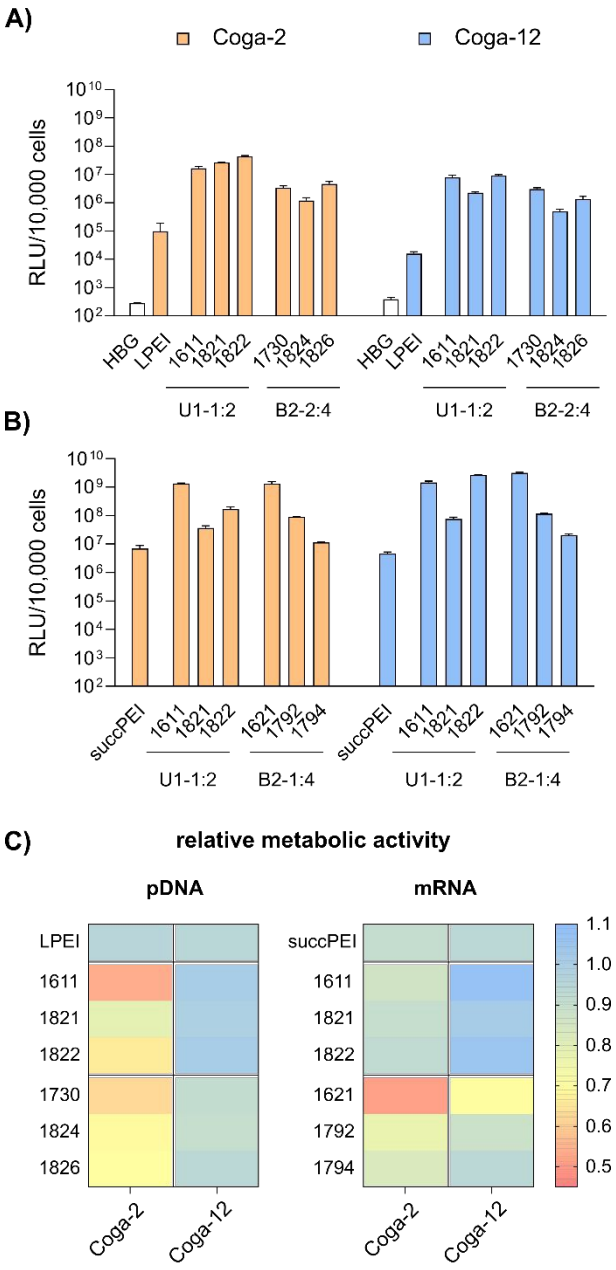

Figure 5. Transfections of LAF carriers on Coga-2 and Coga-12 colon carcinoma cells. A) Luciferase gene expression of colon carcinoma cells at 24 hours after transfection of pDNA polyplexes (n = 3, mean + SD). The polyplexes were formed at N/P 18 and contained 50 ng pDNA per well with a concentration of 10 µg mL^{-1} pDNA. As control, LPEI polyplexes (N/P 6) were used. B) Luciferase gene expression of colon carcinoma cells at 24 hours after transfection of mRNA polyplexes (n = 3, mean + SD). The cells were treated with polyplexes formed at N/P 18 (12Oc-U1-1:2) or N/P 24 (8Oc-B2-1:4) containing 31 ng mRNA per well with a concentration of 12.5 µg mL^{-1}. As control, succPEI (w/w ratio 4) was used. C) Relative metabolic activity of Coga-2 and Coga-12 cells treated with pDNA- (left) or mRNA-polyplexes (right) at 24 hours after transfection determined by CellTiter® Glo assay (n = 3).

therapy of colon cancer. Two types of COGA cells were used in the present study, both expressing epithelial markers CK 8, CK19 and CK20 and having tumorigenic properties. COGA-2 represents poorly differentiated cells with a 'rounded-up' morphology, lacking E-cadherin at plasma membrane and epithelial adherent junctions, containing p53 and K-ras mutations, and activated Wnt-signaling/nuclear ß-catenin. COGA-12 cells accumulate in a 'piled-up' morphology, express E-cadherin displayed at plasma membrane, lacking p53 and K-ras mutations or activated Wnt signaling. Optimized lipo-polyplexes containing a mixture of PEI and cationic lipids have been previously reported to be effective for gene transfer to COGA cells.[65] Thus, our LAF-Stp xenopeptides presenting amphiphilic, double pH-responsive characteristics combining the benefits of the former carrier systems were hypothesized as promising gene transfer agents for COGA cells. In fact, using the best performing carriers from previous screening we were able to achieve efficient transfection both with pDNA (**Fig. 5A**) and mRNA (**Fig. 5B**). The LAF carriers outperformed LPEI/pDNA and succPEI/mRNA polyplexes, respectively, on both cell lines up to > 100-fold (**Fig. 5**). Only COGA-2 but not COGA-12 cells displayed significantly reduced metabolic activity upon transfection with the xenopeptides ***1611***, ***1621*** and ***1730*** lacking inserted spacers. Importantly, similar as observed with HeLa cells, spacer-modified xenopeptides improved metabolic activity profiles.

3.4 Cellular Mechanisms of Transfection

3.4.1 Cellular association and GFP expression

HeLa cells were treated with the top performing mRNA polyplexes from the initial screening (***1621***, ***1792*** and ***1794***). Cellular association and uptake, as well as transfection efficacy were evaluated by flow cytometer analysis at indicated time points between 30 min and 48 hours after polyplex treatment (**Fig. 6 A** and **B**). Cell association of polyplexes containing Cy5-labelled mRNA was detected for over 60% of the cells for ***1621*** and ***1792*** within just 30 min, while ***1794*** demonstrated slower cell association and uptake kinetics. Once an almost complete cell association and uptake was achieved, both non-reducible LAF-carriers, ***1621*** and ***1794***, maintained a high level of Cy5-positive cells, whereas the ratio decreased for ***1792***, indicating the degradation of the bioreducible carrier, polyplex dissociation and the then more exposed Cy5-labeled mRNA cargo. The kinetics of the cell association and uptake showed a strong correlation with the expression of GFP. After polyplex treatment, ***1621*** showed a rapid increase in GFP-expression, with 100% GFP-positive cells after 4 hours. Although the modified analogs exhibited moderately delayed (***1792***) or slow (***1794***) GFP-expression kinetics, both ultimately generated GFP-fluorescence in approx. 90% of the cells at 24 hours after transfection. Regarding ***1792***, GFP expression was found to decrease at 48 hours, providing further support for the previous findings of the cell association study.

3.4.2 Galectin-8 assay monitoring endosomal destabilization

Confocal laser scanning microscopy of HeLa-Gal8-mRuby cells[55-57] revealed an enhanced endosomolytic activity of ***1621*** and ***1792*** over succPEI and ***1794*** mRNA polyplexes (**Fig. 6C, Fig. S8**, Supporting Information). This was indicated by the punctuate green fluorescent pattern of the galectin-8 mRuby fusion protein, which is caused by the interaction of Gal8 with

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galactans presented on the inside of disrupted endosomal membranes. The signal increased from 2 hours to 4 hours post transfection, especially for LAF carriers ***1621*** and ***1792***, as cellular trafficking and endosomal release progressed. Without treatment, Gal8-mRuby cannot contact intravesicular galactans and is evenly distributed within the cytosol (compare HBG buffer control). The overlay of Cy5 mRNA and Gal8 signals further reveals high endosomal uptake of ***1621*** and ***1792*** polyplexes at a low mRNA dose of 47 ng. A weaker Cy5 mRNA signal was detected by CLSM for succPEI and ***1794*** mRNA polyplexes. Nevertheless, flow cytometry demonstrated that over 80% of HeLa cells were Cy5-positive after treatment with only 10% were GFP positive after 4 hours, supporting the observation of a retarded ***1794*** polyplex uptake.

3.4.3 Bafilomycin assay addressing the role of endosomal acidification

certain topologies, especially U-shapes, are more dependent on acidification to achieve high transfection levels[30,31]. This was confirmed by the present work, where the B2-2:4 bundle ***1730*** showed high sensitivity to BafA1, with a 324-fold decreased gene transfer activity in presence of BafA1, whereas ***1621*** (B2-1:4) was independent of the pH value. This observation is in accordance with the results of the erythrocyte leakage assay, where the lytic activity of B2-2:4 carriers was also strongly pH dependent (compare **Fig. 3**). ***1730*** has a stronger interaction and consequently denser compaction of DNA than ***1621***, due to the additional protonatable Stp unit (as supported by the EtBr exclusion assay, **Fig. 2**). This, in turn, leads to a greater dependence on lower pH values for xenopeptide solubilization and efficient release of the cargo. In accordance with the lytic potential towards erythrocytes, the analogs of ***1730*** were less sensitive to BafA1. ***1611*** polyplexes were moderately dependent of

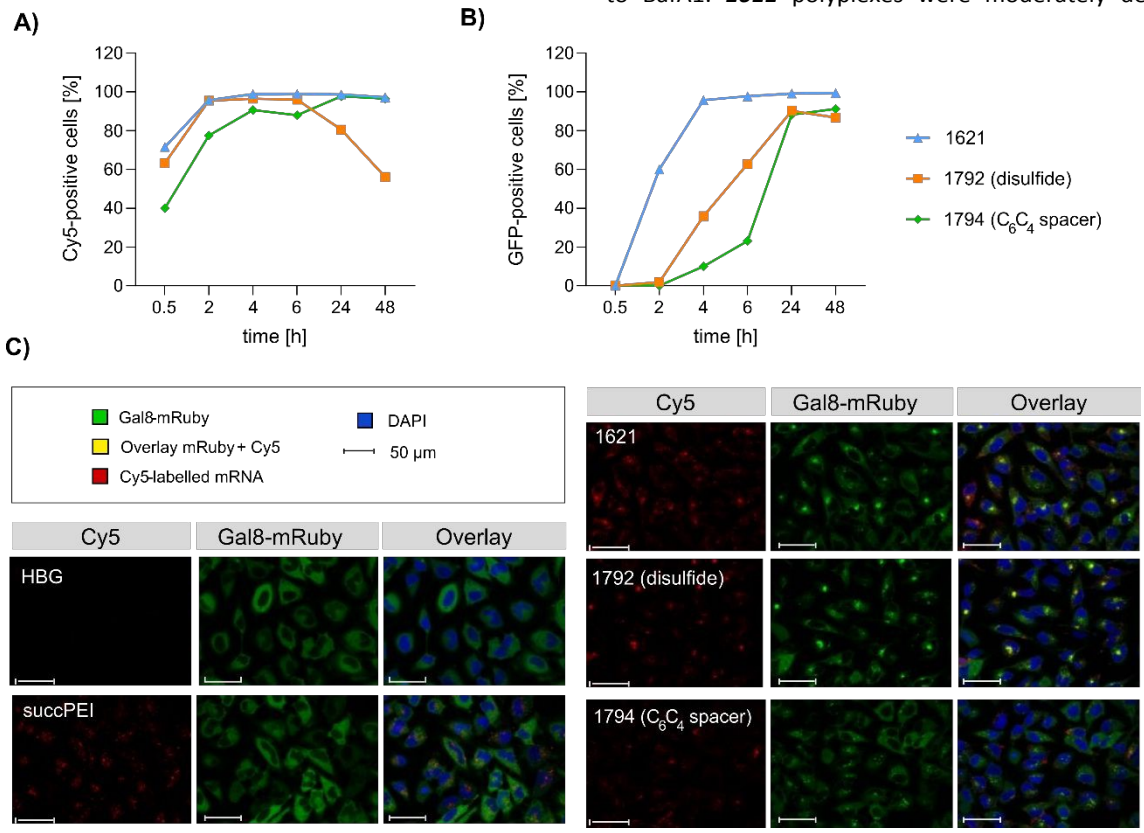

Figure 6. Mechanistic studies. **A)** and **B)** Flow cytometry analysis of HeLa cells treated with mRNA polyplexes. The polyplexes were formed with the indicated xenopeptides and 100 ng/well of cargo containing Cy5-Luc mRNA (20% (w/w)) and GFP-mRNA (80% (w/w)) with an N/P ratio of 24 (12.5 µg mL^{-1} total mRNA) and transfected to 18,000 HeLa cells per well. Cells were analyzed by flow cytometry at the indicated time points. **A)** Cell association and cellular uptake of mRNA polyplexes by HeLa cells presented by Cy5-positive cells. **B)** GFP expression as measure of transfection efficacy. **C)** Endosomal disruption evaluated in HeLa-Gal8-mRuby cells treated with mRNA polyplexes formed with the indicated xenopeptide in comparison to succPEI (w/w 4) at a mRNA dose of 47 ng at 4 hours after transfection by confocal laser scanning microscopy (CLSM). Redistribution of cytosolic Gal8-mRuby fluorescence (green) from cytosol (see HBG control) to an intracellular punctuate vesicle pattern represents endosomal membrane disruption.

In addition to the mechanistic studies investigating the kinetics of cellular uptake and gene transfer activity, transfection efficiency was tested in the presence of bafilomycin A1 (BafA1) (**Table 1, Fig. S9**). BafA1 prevents the acidification during endosomal maturation by inhibiting the endo/lysosomal vacuolar-type H$^+$-ATPase, which can lead to decreased endosomal escape and transfection efficiency. Previous studies have shown that LAF carriers with a higher Stp content and endosomal acidification (3-fold inhibition by bafilomycin), as previously observed also in other cell lines.[30] ***1611*** and ***1621*** spacer analogs, however, were more dependent on endosomal acidification, especially the disulfide-containing xenopeptides (502- and 122-fold inhibition by bafilomycin).

3.5 Investigations on cell viability and proliferation

Preceding screening experiments revealed that the outstanding potential of LAF-Stp carriers is often associated with reduced metabolic activity of transfected cells. While cells treated with HBG and LPEI exhibited unaltered cell proliferation, those transfected with polyplexes of the original xenopeptides ***1611*** and ***1621*** demonstrated a reduced confluency after a 24-hour incubation period (**Fig. S10**). In contrast, cells treated with disulfide spacer-containing xenopeptide polyplexes showed similar confluency as the non-toxic controls HBG and LPEI, supporting favorable effects of the biodegradable carriers, which became only moderately evident by the metabolic activity assay thus far. In addition to the initial evaluation of cell viability with the results gleaned from CellTiterGlo® assays, supplementary assays were performed to develop a deeper understanding about the influence of the LAF-Stp xenopeptides on cell viability.

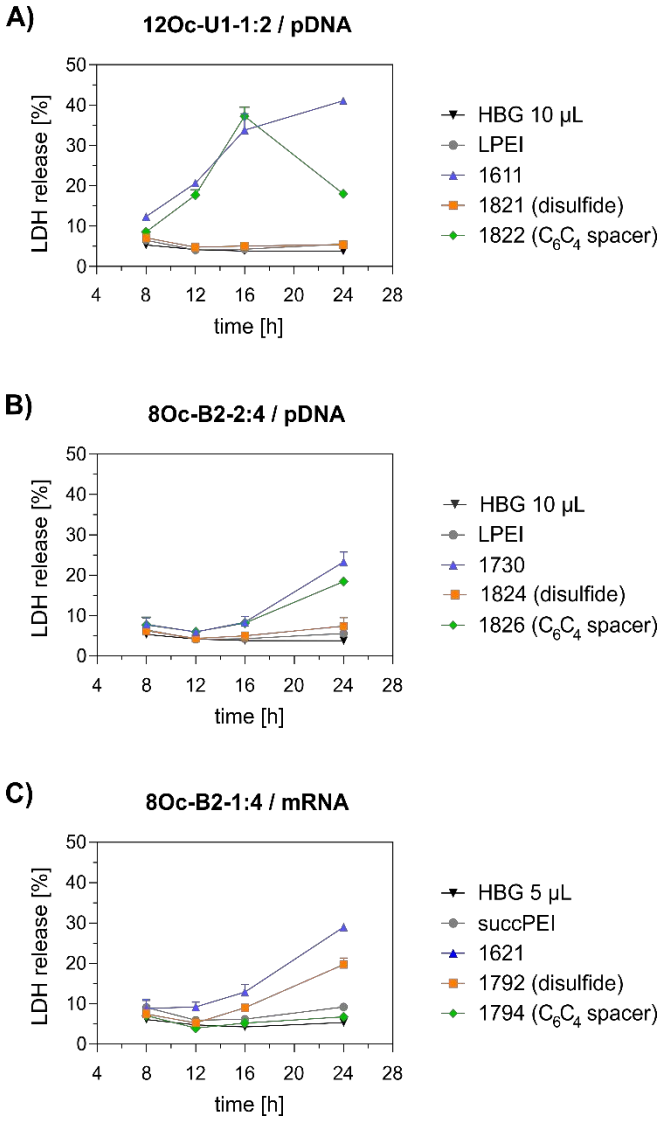

Figure 7. LDH release after transfection of HeLa cells with pDNA polyplexes (12Oc-U1-1:2 and 8Oc-B2-2:4 series) formed at N/P 18 at a dose of 100 ng pDNA per well (A, B) and with mRNA polyplexes (8Oc-B2-1:4 analogs) formed at N/P 24 at a mRNA dose of 63 ng/well. LDH release was detected at 8, 12, 16 and 24 hours after transfection by an enzymatic reaction with iodonitrotetrazoliumchlorid leading to formation a purple formazan product. Each polyplex was transfected as triplicate. Absorbance of the formazan product was determined at 490 nm. LDH release was calculated in relation to cells treated with lysis buffer (representing maximum LDH release).

Table 1. Effect of bafilomycin A1 on transfection efficiency.

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Cargo	Carrier [ID]	Dosis [ng]	Fold decrease -BafA1/+BafA1
mRNA	succPEI	250	156
	1621	63	1
	1792		39
	1794		11
pDNA	LPEI	200	2384
	1611	100	3
	1821		502
	1822		12
	1730	100	324
	1824		122
	1826		39

HeLa cells were pre-incubated with BafA1 30 min prior to polyplex treatment and transfected with the indicated LAF-Stp polyplexes (mRNA: LAF polyplexes at N/P 24, succPEI w/w 4; pDNA: LAF polyplexes at N/P 18, LPEI N/P 6). Luciferase activity of cells treated with mRNA polyplexes was determined at 4 hours after transfection. pDNA polyplexes were allowed to incubate for further 20 h in BafA1-free medium (after 4 hours incubation with BafA1) before read-out by luciferase expression assay. The fold decrease of relative light units detected in cells treated with BafA1 compared to cells without BafA1 treatment was calculated.

3.5.1 LDH release assay

While the CellTiter Glo® assay detects metabolically active cells by ATP-dependent formation of oxyluciferin, the LDH release assay is based on colorimetric quantification of lactate dehydrogenase (LDH), a cytosolic enzyme that is released upon impairment of cellular membrane integrity into the surrounding medium. Membrane damage was indicated by the appearance of cellular debris 24 hours after polyplex treatment in connection with reduced confluency during microscopic examination in case of the original xenopeptide structures (**Fig. S10**, Supporting information). To perceive insights in time-dependent dynamics of plasma membrane disruption following polyplex transfection, LDH release assays were conducted at multiple time points including 8, 12, 16 and 24 hours after transfection (**Fig. 7**).

Intriguingly, no LDH release was detected at 8 hours, suggesting that the carriers do not induce immediate membrane damage upon cellular exposure, or shortly after endosomal escape which started after about 4 hours, as indicated by CLSM analysis (**Fig. 6C**). For 12Oc-U1-1:2-pDNA polyplexes lacking bio-degradable domains, i.e., ***1611*** and the spacer analog ***1822***, LDH release became detectable at 12 hours after transfection. While LDH release continued to rise for ***1611*** up to the 24 hour-mark, cells treated with the ***1822*** (12Oc-U1-1:2-spacer) exhibited a decline after reaching a peak at 16 hours. In contrast, for the bio-reducible polyplexes formed with ***1821***, no release of LDH was prompted, emphasizing the beneficial effect of the disulfide spacer (**Fig. 7A**). For the 8Oc-B2-2:4 analogs, LDH release was absent in case of the disulfide analog ***1824***, but to some extent measurable for polyplexes with the non-reducible ***1730*** and ***1826*** xenopeptides after 24 hours post-transfection (**Fig. 7B**). Notably, cells treated with mRNA polyplexes of the ***1621*** series demonstrated an LDH release profile comparable to those

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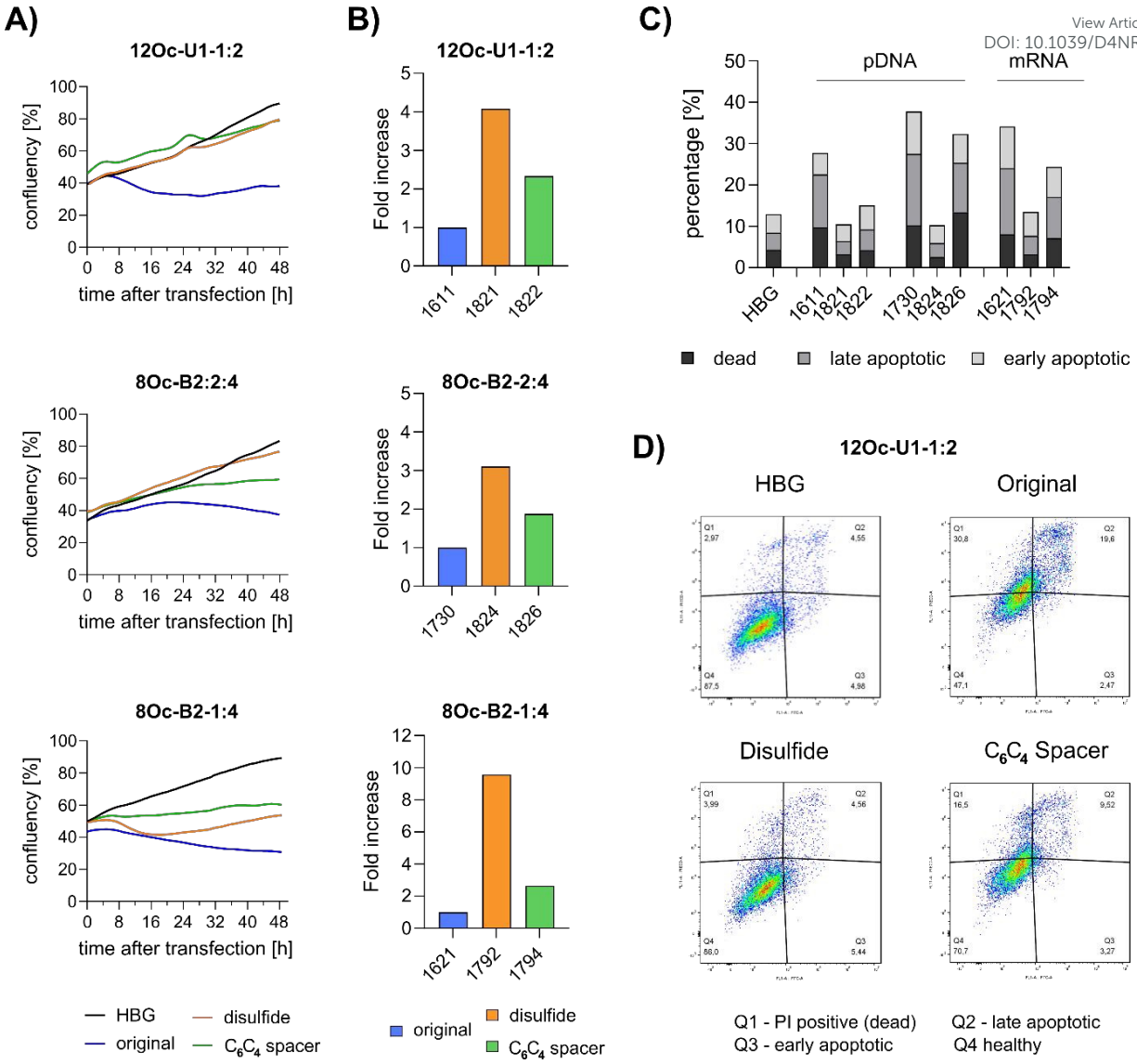

Figure 8. Cell proliferation after polyplex treatment. **A)** Time-dependent monitoring of cell growth using Cell Watcher M (PHIO Scientific, Munich, Germany) over 48 hours after transfection of polyplexes. 12Oc-U1-1:2 and 8Oc-B2-2:4: pDNA polyplexes at N/P 18, 8Oc-B2-1:4: mRNA polyplexes at N/P 24. Confluency of cells was determined automatically every 30 minutes. *Black: HBG control; blue: original xenopeptide; orange: disulfide-spacer; green: non-reducible spacer xenopeptide.* **B)** Flow cytometer analysis of HeLa cells at 24 hours after transfection. Cells were treated with pDNA-polyplexes formed with analogs of 12Oc-U1-1:2 topology or 8Oc-B2-2:4 carriers at N/P 18 or mRNA polyplexes formed with 8Oc-B2-1:4 carriers at N/P 24. HeLa cells were analyzed for a constant time of 60 seconds and a flow rate of 170 µL min^{-1}. Only DAPI-negative cells were counted. **C)** and **D)** Annexin/PI assay on HeLa cells (40,000 cells/well in a 24-well plate) at 24 hours after treatment with pDNA polyplexes formed with either 12Oc-U1-1:2-analogs or 8Oc-B2-2:4-analogs at N/P 18 in comparison to HBG-buffer treated cells (pDNA dose = 125 ng/well) or mRNA polyplexes formed with 8Oc-B2-1:4-analogs at N/P 24 in comparison to HBG-buffer treated cells (mRNA dose = 78 ng/well). C) Overview of the complete data set. D) Exemplarily dot plot of selected data set of 12Oc-U1-1:2 polyplexes at a pDNA dose of 125 ng/well.

transfected with the structurally similar ***1730*** series, even though they delivered different cargo molecules. Within the ***1621*** series, the original xenopeptide exhibited the highest LDH release, and modification with disulfide spacers led to a moderate reduction in cytotoxicity, while the non-reducible spacer displayed no membrane damage at all (**Fig. 7C**). These findings support prior observations derived from the CellTiterGlo® assay. By the LDH release assay, a disulfide dependent benefit on cell viability became evident at least for cells treated with pDNA polyplexes. Additionally, cell viability was improved for spacer-modified carriers in mRNA polyplexes, irrespective of reducible or non-reducible chemistry.

3.5.2 Cell proliferation after polyplex treatment

As the microscopic images recorded at 24 hours after polyplex treatment and the selected cell viability assays (CellTiter Glo® and LDH release assay) have demonstrated a positive influence of the disulfide and hydrophobic spacer xenopeptides on cell viability, the cells were further analyzed by monitoring the cell growth. First, for a detailed investigation of the cell proliferation subsequent to transfection, the cells were monitored in a time-resolved manner using a Cell Watcher instrument (**Fig. 8A**). For the ***1611*** series, decline of cell growth

was observed beginning 6 hours after transfection, whereas the ***1821*** and ***1822*** showed confluency curves similar to HBG-treated cells. In case of the bundle structures, cells treated with polyplexes consisting of the original xenopeptides complexed with either pDNA (8Oc-B2-2:4) or mRNA (8Oc-B2-1:4), cell growth was inhibited as observed by an about 8 to 20 hours shifted increase in confluency after transfection. This finding supports the hypothesis that toxicity occurs not directly upon polyplex exposure but after internalization and probably endosomal escape, starting after 4 hours incubation for ***1621*** and ***1792***. The xenopeptide spacer analogs of ***1621*** showed also a decelerated, almost stagnated cell proliferation. Overall, the proliferation of cells incubated with pDNA polyplexes formed with disulfide-analogs of either 8Oc-B2-2:4 or 12Oc-U1-1:2 occurred in a similar manner as HBG-treated control cells with a constant increase. An exception is the ***1792*** mRNA polyplex (8Oc-B2-1:4-ssbb$_2$), which, in contrast to other disulfide analogs, showed a decrease in confluence at 8 hours post transfection, but recovered after 16 hours, highlighting the results of the LDH assay. Second, at 24 hours after transfection, viable cells were counted by flow cytometry (**Fig. 8B**). The analysis revealed an about three to four-fold increase of healthy cells for disulfide-spacer xenopeptide pDNA polyplexes formed with 12Oc-U1-1:2 or 8Oc-B2-2:4 carriers. For mRNA polyplexes, an about 10-fold increase in number of healthy cells was found upon transfection with ***1792*** (8Oc-B2-1:4-ssbb$_2$). In all cases, a slight increase (approx. 2-fold) of viable cell numbers was detected for non-reducible spacer xenopeptide analogs of each topology, independent of the nucleic acid cargo.

3.5.3 Influence of xenopeptide spacers on apoptotic pathways

The results of the experiments monitoring cell proliferation indicated a spacer-dependent influence on cell growth after polyplex treatment. An annexin V/propidium iodide assay was performed to gain additional insights in the pathway causing reduced cell viability of the non-reducible (both, spacer-modified and unmodified) LAF-Stp polyplexes (**Fig. 8 C-D, Fig. S11**, Supporting information). The assay revealed (slightly) increased ratio of late apoptotic or necrotic cells at 24 hours after treatment with standard LAF-Stp xenopeptide polyplexes independent of the nucleic acid payload, but stronger promoted for xenopeptides with bundle topology, as determined by flow cytometry analysis at a constant cell count. An increase of apoptotic cells might be traced back to mitochondrial damage caused by the original xenopeptide carriers which subsequently initiates signaling cascades resulting in apoptosis. The bioreducible xenopeptides, in contrast, showed largely improved biocompatibility with a maximum of 10% of apoptotic or necrotic cells for HeLa cells treated with pDNA polyplexes and less than 15% for mRNA-treated cells. This further highlights the beneficial effect of GSH-induced biodegradability of the disulfide-spacer carriers.

Conclusions

Three different classes of LAF-Stp xenopeptides with proven high efficacy for either pDNA or mRNA delivery were further optimized by inserting bioreducible disulfide spacers and isosteric non-reducible spacers. Interestingly, both types of linkages were found to be effective in modulating transfection efficacy and cellular metabolic responses. The initial physico-chemical and biological screening highlighted the differing demands of either pDNA or mRNA cargo on the xenopeptide Stp/LAF ratio, sequence and topology for polyplex performance. The potency of xenopeptides and their effects on cellular metabolic activity were also found to strongly depend on the selected cell lines. Favorable individual xenopeptide/nucleic acid cargo/target cell combinations were identified for five different cancer cell lines, including low passage number human colorectal carcinoma cells. In some cases, high transfection efficacy was strictly coupled with cytotoxicity at higher dose; modifications relieving toxicity also abolished potency of transfection, suggesting an underlying toxic delivery mechanism. In other cases, inserted spacers improved metabolic cell activity but also maintained or even improved transfection activity. Closer analysis revealed topology-dependent differences between unmodified and spacer-modified carriers regarding cellular association, uptake, dependence on endosomal acidification, and expression kinetics. Spacer xenopeptide based polyplexes showed reduced post-transfection cell membrane damage as detected by LDH release assays. Time-resolved cell growth monitoring detected post-transfection inhibition of cellular proliferation with original LAF xenopeptides and apoptotic events that could be attenuated by spacer integration. Thus, this study gained insights in mechanisms of potent LAF-Stp xenopeptide carriers and options for improving their biocompatibility, thereby paving the way for the development of safe and effective nucleic acid delivery systems.

Author Contributions

Conceptualization, RCS and EWa; methodology, RCS, ST, EWe, MH; investigation, RCS, ST, EWe, JS, PF, MH, EWa; data curation, RCS, ST, EWe, EWa; formal analysis, RCS, ST, EWe; validation, RCS, ST, EWe, MH, EWa; visualization, RCS, ST, EWe, MH, EWa; writing – original draft, RCS, EWa; writing—review and editing, RCS, ST, EWe, EWa; funding acquisition, project administration, resources, supervision, EWa. All authors have read and agreed to the submitted version of the manuscript.

Conflicts of interest

There are no conflicts to declare.

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