

Cite this: *Chem. Sci.*, 2017, 8, 2677

Thermally activated delayed fluorescent phenothiazine–dibenzo[*a,j*]phenazine–phenothiazine triads exhibiting tricolor-changing mechanochromic luminescence†

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Novel U-shaped donor–acceptor–donor (D–A–D) π -conjugated multi-functional molecules comprising dibenzo[*a,j*]phenazine (DBPHZ) as an acceptor and phenothiazines (PTZ) as donors have been developed. Most importantly, the D–A–D compounds exhibit not only distinct tricolor-changeable mechanochromic luminescence (MCL) properties but also efficient thermally activated delayed fluorescence (TADF). Quantum chemical calculations, X-ray diffraction analysis, and systematic studies on the photophysical properties indicated that the “two-conformation-switchable” PTZ units play a highly important role in achieving multi-color-changing MCL. Time-resolved photophysical measurements revealed that the developed D–A–D compounds also exhibit efficient orange-TADF. Furthermore, organic light-emitting diode (OLED) devices fabricated with the new TADF emitters have achieved high external quantum efficiencies (EQEs) up to 16.8%, which significantly exceeds the theoretical maximum (~5%) of conventional fluorescent emitters.

Received 2nd November 2016
Accepted 7th January 2017

DOI: 10.1039/c6sc04863c

www.rsc.org/chemicalscience

Introduction

Mechanochromic luminescent (MCL) materials,¹ which exhibit reversible distinct luminescence color changes in response to external stimuli such as mechanical forces (*e.g.*, grinding, pressing, rubbing, and shearing), temperature, vapor, and electric field, have found many applications in optoelectronic devices, sensors, probes, optical data storage devices, and security inks.² Most of the MCL materials developed so far involve organic crystalline compounds,³ organometallic crystalline compounds,⁴ and liquid-crystalline compounds,⁵ and show reversible two-color switching MCL properties: an emission color (denoted “state A”) is typically changed by mechanical forces to a different color (denoted “state B”), which is again reverted back to the original color (“state A”) by thermal treatment and/or solvent exposure. From a mechanistic point of view, such two-color-changing MCL

behavior is caused by reversible changes in chemical structures (*i.e.* the breaking and reforming of chemical bonds) or in physical structures (*e.g.* polymorphs and packing structures) between thermodynamically metastable and stable states.

In this context, distinct multi-color-changing (more than 3 colors) MCL compounds would be more promising materials for sensitive sensing of their environments (*e.g.* pressure, temperature, and pH). Therefore, the last 5 years have witnessed the emergence of multi-color-changing MCL materials.^{6–8} In 2011, Kato pioneered distinct tricolor-switching MCL systems (green-yellow-red) comprising a single luminophore liquid-crystal by controlling complex self-assembled structures.^{7a} Zou and Tian found that bis(pyridylvinyl)anthracene (BP2VA) significantly exhibits pressure-dependent luminochromism ranging from green to red.^{7c} Saito and Yamaguchi nicely demonstrated the distinct difference in the luminochromic behavior of tetrathiazolylthiophene in response to anisotropic grinding and isotropic compression.^{7e} The integration of two different fluorophores into one molecule has also been proven to be an effective strategy for tricolored MCL materials by Jian and co-workers.^{7f} Yagai and Ito devised an amphiphilic dipolar π -conjugated molecule exhibiting variable emission colors depending on changes in the (liquid-)crystalline phases.^{7g} Uekusa and Ito developed a tetracolored fluorochromic system based on crystal-to-crystal-to-amorphous phase transitions.^{7h} More recently, Zhang, Zou and Ma reported that a donor–acceptor (D–A) type fluorescent molecule with intramolecular

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† Electronic supplementary information (ESI) available: Synthetic procedures, spectroscopic data, copies of NMR charts, physicochemical properties, and device fabrication and performances. CCDC 1452024. For ESI and crystallographic data in CIF or other electronic format see DOI: 10.1039/c6sc04863c



charge transfer (ICT) character shows multi-color MCL behavior caused by the change in twisting angles between the D and A units.⁷¹ In addition to these single molecule systems, the utilization of exciplex formation between D and A components has been emerging as another solution in order to create multi-color-switching MCL materials.⁸ Despite these excellent studies, the development of such distinct multi-color-changing and value-added MCL materials is still challenging partly due to the lack of general rational design principles thereof.

A new subset of optically functional materials is thermally activated (or "E-type")⁹ delayed fluorescence (TADF) materials,¹⁰ which have found a wide range of applications such as emitters¹¹ and host materials¹² in organic light emitting diodes (OLEDs),¹¹ chemiluminescence emitters,¹³ and bioimaging probes.¹⁴ Specifically, TADF emitters can achieve an internal quantum efficiency in OLEDs close to 100% by up-conversion of triplet excited states into the singlet state.¹⁵ Such a phenomenon occurs when the energy difference, ΔE_{ST} , between the excited singlet states (S_1) and triplet states (T_1) of the molecule is small, typically <0.3 eV,^{11,15} and the local D (or A) triplet (3LE) couples to the triplet CT excited (3CT) state vibronically to mediate second-order spin-orbit coupling.¹⁶ To reduce ΔE_{ST} , twisted D-A structures with an effective HOMO/LUMO spatial separation are appropriate. Due to the molecular design, TADF emitters can be composed of non-metal elements contrary to conventional phosphorescent materials including expensive rare metals such as Pt and Ir. Therefore, they have been intensively studied as next-generation OLED emitting materials.^{11,15-19} With this in mind, we have recently developed novel TADF molecules that comprise U-shaped dibenzo[*a,f*]phenazine (DBPHZ)²⁰ as an acceptor and diarylamines as donors.²¹ Especially, the phenoxazine-DBPHZ-phenoxazine triad POZ-DBPHZ (Fig. 1, previous work) was found to be an excellent orange-TADF emitter for organic light-emitting devices (OLEDs), achieving an external quantum efficiency (EQE) up to 16%. The effective HOMO/LUMO separation in the almost perpendicularly twisted D-A-D triad allowed efficient intramolecular charge-transfer (ICT) and very small singlet-triplet energy splitting ($\Delta E_{ST} \sim 20$ meV), which resulted in efficient

reverse intersystem crossing (rISC) to yield efficient TADF emission.

We envisaged that the merging of MCL and TADF functions would be a significantly powerful strategy for creating multi-functional organic materials. By taking advantage of both phenomena, more complex sensing can be feasible with such materials in principle, where emission colors and/or intensities vary with an increase (or decrease) in surrounding pressure and/or temperature. For instance, they could find applications in stress-, pressure-, and thermo-indicators for high-tech industries like submarines and aerospace in the future. Although a few TADF emitting compounds that exhibit two-color-changing MCL properties have been reported,²² to the best of our knowledge, TADF-active multi-color-changing (more than 3) MCL molecules have never been reported. Herein, we present novel TADF-active and distinct tricolor-changing MCL molecules **1** and **2** (Fig. 1) comprising DBPHZ as an acceptor and phenothiazines as donors. Importantly, phenothiazine (PTZ) units play a fundamentally important role in generating multiple thermodynamically (meta)stable states through conformational changes. Furthermore, these unprecedented multi-functional emitting materials have been applied to OLEDs to achieve EQEs as high as 16.8%.

Results and discussion

Molecular design

To develop TADF-active multi-color-changing materials, we designed a phenothiazine-DBPHZ-phenothiazine triad **1**, because the bowl-shaped structure of the phenothiazine (PTZ) unit would allow PTZ-substituted molecules to exist as two distinct conformers: one is "quasi-equatorial" and the other is "quasi-axial" (Fig. 1, this work).²³ Recently, Adachi has reported that a D-A molecule containing a PTZ group as a donor and 2,4,6-triphenyl-1,3,5-triazine as an acceptor exhibited dual-emission with TADF characteristics.²⁴ They concluded that two emissive ICT excited states are correlated with two different conformers of the PTZ-substituted molecules. Likewise, more recently, Zhang and Chi have developed an asymmetric D-A-D' molecule (D = carbazolyl-, D' = PTZ, and A = benzophenone) which shows TADF dual-emission (white light) in the solid state.^{22a} We envisaged that the attachment of more than one PTZ unit to our acceptor-core (DBPHZ) would be a promising molecular design strategy in order to achieve both multi-color-changing MCL and TADF properties with a single molecule.

To evaluate the viability of this molecular design, we initially conducted theoretical calculations using the DFT method at the B3LYP/6-31+G(d,p) level (see the ESI†). An energy diagram and the frontier orbitals of four possible conformers of **1**, which could be generated from the variation of the PTZ conformation, are illustrated in Fig. 2. The HOMO-LUMO band gaps decrease in the order of quasi-axial/quasi-axial (ax-ax), quasi-equatorial/quasi-axial (eq-ax), and quasi-equatorial/quasi-equatorial (eq-eq) conformers (Fig. 2). Focusing on the HOMO and LUMO of ax-ax, the molecular orbitals are delocalized throughout the whole DBPHZ core in both cases, indicating that the π - π^* transition would exclusively dominate in these conformers. In

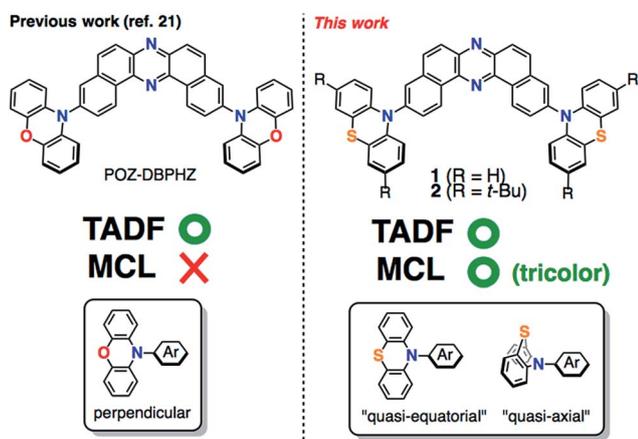


Fig. 1 Structures of DBPHZ-cored D-A-D molecules.



caused a slight red-shift of the emission (2_R2), which is the opposite behavior when compared to 1_R. Exposure of 2_R to CH₂Cl₂ vapor clearly changed the emission color to yellow (2_Y). Any solid samples reverted back to 2_YG through the recrystallization process from *n*-hexane/CHCl₃. As clearly seen from Fig. 4, PTZ-substituted DBPHZs 1 and 2 exhibited distinct tricolor-changing MCL properties.

In sharp contrast, sulfur-free analogues 3 and 4 did not show significant MCL behavior (see Fig. S1c and d in the ESI†). Furthermore, the oxygen-bridged analogue POZ-DBPHZ²¹ (Fig. 1) also did not show significant MCL behavior (Fig. S1e†). These results explicitly indicate that sulfur-bridging of the *N,N*-diphenyl unit plays an important role in realizing multi-color-changing MCL characteristics based on DBPHZ-cored D-A-D scaffolds.

In addition to MCL behavior, all the D-A-D molecules 1–4 exhibit acid-induced emission quenching in the solid state (Fig. S3†).²⁶ Upon exposure to trifluoroacetic acid (TFA) vapor for 10 s, the ground red samples turned black and luminescence was completely quenched (“turn-off” state), probably due to protonation of the pyrazine unit. In reverse, exposure of these “turn-off state” samples to Et₃N vapor for 3 h “turned-on” emission in slightly different colors compared to the original emission. These results suggest that the D-A-D molecules are promising for application as acid/base-responsive on-off chemosensors.

PXRD and DSC measurements

Powder X-ray diffraction (PXRD) analysis of 1 indicated that the ground solid 1_R had an amorphous structure, and that the other solids were composed of different crystalline polymorphs (Fig. 5a). With regard to 2, the PXRD pattern of the crystal 2_YG was similar to that of 2_Y, suggesting that solvent vapor can

allow the ground solid 2_R to partly turn into the crystalline phase 2_YG (Fig. 5b). Remarkably, the ground and heated solids 2_R and 2_R2 were amorphous, indicating that the sterically demanding *tert*-butyl groups suppress thermal crystallization processes. The ground solids of 3 and 4 were also amorphous, and the other solids were almost the same crystalline phases (Fig. S5†).

To further investigate the MCL properties of the D-A-D molecules, differential scanning calorimetry (DSC) measurements were performed. Among the solid samples of 1, only the ground solid 1_R displayed a glass transition point at 154 °C and a crystallization point at 218 °C, prior to an endothermic process at 293 °C (Fig. 5c). This result suggests that 1_R is a metastable state and therefore transformed into a more thermodynamically stable state 1_O2 by heating through the glass transition and crystallization processes. The ground solids of 3 and 4 also showed glass transition and crystallization points, indicating that these solids are also metastable states (Fig. S6†). Regarding the DSC curve of the ground solid 2_R, a crystallization point was not observed, and only a glass transition point was found at 214 °C, prior to a melting point at 300 °C, indicating that 2_R does not undergo crystallization through thermal annealing, which is consistent with the amorphous character of solid 2_R2 (Fig. 5b and d).

Single crystal X-ray analysis of 1_O

Importantly, X-ray analysis of a single crystal of 1_O revealed the conformation in the crystal (Fig. 6).²⁷ As mentioned above, a PTZ moiety can adopt either “quasi-equatorial” or “quasi-axial” conformations.^{22a,23,24} Regarding the PTZ units in 1_O, one adopts a “quasi-equatorial” and the other a “quasi-axial” conformation against the DBPHZ core (Fig. 6a and b). In the crystal structure, two molecules make a pair in which two

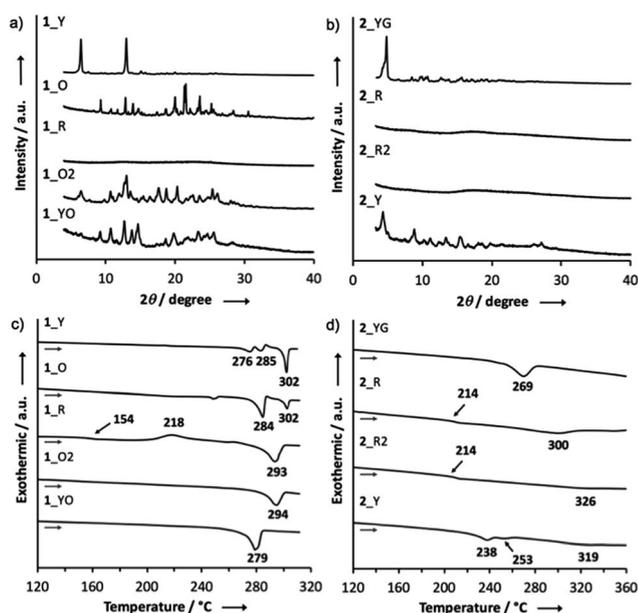


Fig. 5 PXRD patterns of (a) 1 and (b) 2; DSC curves of (c) 1 and (d) 2.

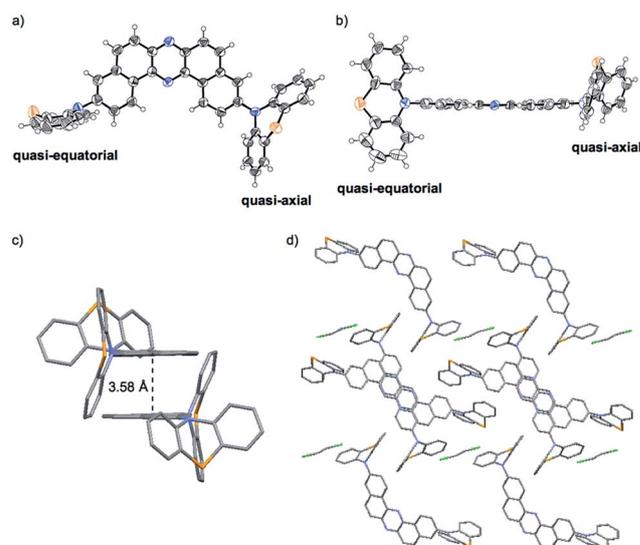


Fig. 6 ORTEP drawings of the single crystal of 1_O: (a) top view and (b) side view (thermal ellipsoids are set at the 50% probability level). (c) A pair of two molecules and (d) the packing structure seen along the *b* axis.



phenazine cores form a slipped stack (π - π interplane distance 3.58 Å), with the PTZ moieties pointing to the opposite sides of each other to cancel out the dipole moment (Fig. 6c). The molecular pairs are packed through close CH \cdots π , CH \cdots N, and CH \cdots S contacts, and the vacant spaces are filled with disordered CH₂Cl₂ molecules (Fig. 6d). Thermogravimetric analysis (TGA) also indicated the inclusion of some CH₂Cl₂ molecules in the crystal structure of **1**_O (Fig. S18b,† *vide infra*). The solvent exposed samples **1**_YO and **2**_Y also contained CH₂Cl₂ molecules (Fig. S18e and i†).

Steady-state photophysical properties of the solutions

To investigate the ICT nature of **1**-**4**, UV-vis absorption and steady-state photoluminescence spectra of their dilute solutions, which were prepared from various solvents, were measured (Fig. 7), and the properties are summarized in Table 1. The maximum absorption wavelengths (λ_{abs}) and molar absorption coefficients (ϵ) of the solutions of **1** almost did not change in any of the solvents tested (Fig. 7a). The cyclohexane solution of **1** showed green emission from a locally excited (¹LE) state (λ_{em} 543 nm, Φ_{FL} 0.06), while the toluene solution emitted red light (λ_{em} 657 nm, Φ_{FL} 0.07) from ¹CT, which are very similar to those of POZ-DBPHZ.²¹ In the case of more polar solvents (*e.g.* THF, CH₂Cl₂, and DMF), no emission was observed, indicating strong ICT character. It should be noted that the emission profiles (λ_{em} and spectra shapes) of crystal **1**_Y (λ_{em} 568 nm) and the ground sample **1**_R (λ_{em} 673 nm) were almost the same as those of the ¹LE (λ_{em} 543 nm) and ICT (λ_{em} 657 nm) states, respectively (Fig. S2†). This would imply that the drastic color-changing MCL in the solid samples of **1** and **2** (*e.g.* from **1**_Y to **1**_R) could be ascribed to the change in emissive states between ¹LE and ¹CT states. Likewise, the solution of **2** also exhibited ICT behavior (Fig. 7b). Notably, both

Table 1 Summary of the photophysical properties of dilute solutions of **1**-**4** (10⁻⁵ M)

Compound	Solvent	λ_{abs} (nm)	ϵ (M ⁻¹ cm ⁻¹)	λ_{em} (nm)	Φ_{FL}^a
1	<i>c</i> -hex	413	22 000	543	0.06
1	Toluene	416	19 300	657	0.07
1	THF	416	20 500	ND	<0.01
1	CH ₂ Cl ₂	417	16 800	ND	<0.01
1	DMF	418	19 800	ND	<0.01
2	<i>c</i> -hex	451	12 400	575	0.11
2	Toluene	451	13 300	682	0.04
2	THF	452	15 300	ND	<0.01
3	<i>c</i> -hex	413	18 600	484	0.16
3	Toluene	416	19 000	552	0.11
3	THF	416	20 400	661	0.07
3	CH ₂ Cl ₂	416	19 800	699	0.05
3	DMF	418	20 500	ND	<0.01
4	<i>c</i> -hex	471	55 500	492	0.56
4	Toluene	473	42 900	512	0.44
4	THF	474	37 700	552	0.59
4	CH ₂ Cl ₂	476	36 400	576	0.64
4	DMF	477	36 200	626	0.34

^a Determined with an integrating sphere.

λ_{em} of **2** were red-shifted, compared with those of **1**, probably due to the electron-donating effect of the *tert*-butyl groups. The carbon-bridged analogue **3** also showed strong ICT character (Fig. 7c), but the degree of red-shift was decreased, compared with **1**, probably due to the less electron-donating nature of the carbon bridging atoms. In polar solvents, a weak emission was observed at around 500 nm for **1**, **2** and **3** (Fig. 7a-c), ascribed to the remnants of emission from the ¹LE state. The non-bridged D-A-D compound **4** also showed distinct solvatochromism in emission (Fig. 7d). The quantum yields of the solutions of **4** (Φ_{FL} 0.34-0.56) were higher than those of the other investigated D-A-D molecules, suggesting that the propeller structures of the diphenyl amino groups suppress molecular motions that would lead to non-radiative decay. A very small red shift ($\Delta\lambda_{\text{em}} \sim 2$ nm) of the emission spectra of **2** in a higher concentration solution (10⁻³ M) (Fig. S9†)²⁸ would imply that excimer formation does not significantly contribute to drastic color changes in the solid state such as those observed from **2**_YG to **2**_R (*vide infra*).

To obtain further information about the PTZ-DBPH-PTZ triads, diffuse reflection spectra of solid samples of **1** were measured (Fig. S10†). Notably, each spectrum was different from one another, and the onset wavelengths (λ_{onset}) were red-shifted in the order of **1**_Y < **1**_YO < **1**_O < **1**_O2 < **1**_R ranging from 516 to 587 nm, which is also consistent with the order of λ_{em} (Fig. 4a). These results would indicate that the bandgaps of the solid samples are significantly varied through variation of the PTZ conformations.

Correlation of MCL behavior with conformers of **1**

Taken together, a correlation diagram between emission color and the molecular conformation of **1** is illustrated in Fig. 8. Since only sulfur-bridged D-A-D compounds (**1** and **2**) showed

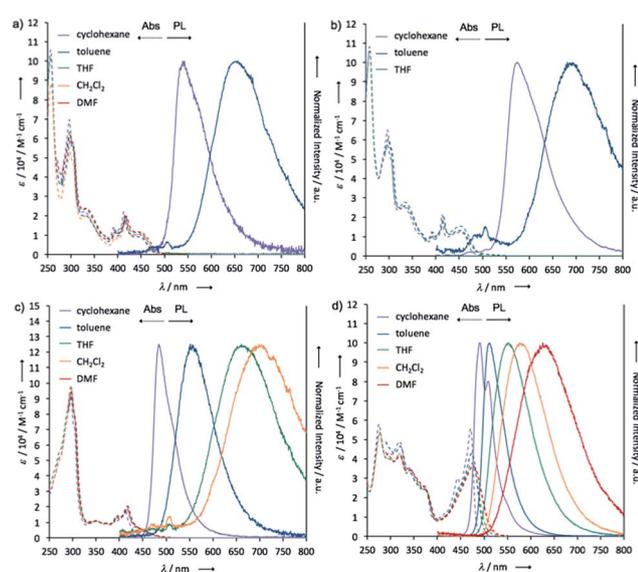


Fig. 7 UV-vis absorption and steady-state photoluminescence spectra of dilute solutions of (a) **1**, (b) **2**, (c) **3**, and (d) **4** (concentration: 10⁻⁵ M).



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- 27 For a summary of the crystal data of **1**_O, see the ESI. CCDC 1452024 contains the supplementary crystallographic data for this paper.†
- 28 The concentration effect of **1** could not be measured, because of its poor solubility in cyclohexane.

