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Novel scalable aerosol-assisted CVD route for perovskite solar cells†‡

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Organo-metal halide perovskite research has progressed rapidly, with photovoltaic (PV) devices achieving over 25% power conversion efficiency (PCE). However, scalable production of these devices is an ongoing challenge. We demonstrate the growth of methylammonium lead triiodide (MAPI) films via a novel two-step aerosol-assisted chemical vapour deposition (AACVD) method leading to the first ever perovskite-based PV devices using active layers deposited by AACVD. This is a scalable deposition process, requiring less complex equipment than conventional CVD. Furthermore, our method utilises methanol (MeOH) as the only solvent, as opposed to harmful solvents typically used in perovskite processing. Structural and optical characterization confirms successful formation of MAPI with no secondary phases and an optical bandgap of \sim 1.58 eV. The final film had large grains (order of µm), with thickness ranging from 500-1100 nm. These films were used to fabricate working PV devices resulting in a champion PCE of 5.4%. While films demonstrated high structural and compositonal quality, we identified large film roughness as a limiting factor in device PCE, and elucidate the origin of this via detailed study of the film growth, which reveals a unique multi-step film formation process.

Introduction

Organo-metal halide perovskite solar cells (PSCs) are one of the most promising PV technologies in development, with large gains in PCE demonstrated over a relatively short period of

time. Currently the record PCE for a single junction device is 25.5%, making PSCs competitive with incumbent Si based technologies. The extraordinary performance of these materials can be attributed to several intrinsic material properties such as their broad optical absorption range,2 long charge carrier diffusion lengths³ and low exciton binding energies.⁴ Furthermore, there are several processing advantages such as a highly-tuneable band gap achieved via compositional variation, high defect tolerance due to shallow trap states,6 versatile processing routes and low cost. The most studied organo-metal halide perovskite is MAPI, in which Pb2+ occupies the centre of the octahedra coordinated by six I- and the A site is occupied by methylammonium (CH₃NH₃⁺). MAPI has a sharp absorption onset at 800 nm, with a bandgap of approximately 1.58 eV and as of now the highest device PCE exceeds 20%.8 MAPI has been used in several different architectures, with designs ranging from mesoscopic layouts to planar thin films, with numerous processing routes explored to date.8 However, a large emphasis still exists on the use of spin coating, as it can be readily optimised to produce high-performance devices. Due to the limited scalability of this process resulting devices tend to have small active areas. This means that large-area deposition methods must be developed to allow for large-scale production of these devices.

Many alternative deposition techniques have been implemented to address the issue of scalability. CVD based techniques are a potential avenue for scalable organo-metal halide perovskite deposition, with several studies fabricating functioning cells using different subtypes of CVD, such as low pressure (LPCVD) and atmospheric pressure (APCVD). 9,10 Whilst scalable, these techniques have certain drawbacks. In LPCVD the low-pressure requirement increases the complexity of the equipment and, therefore, large scale fabrication will incur large capital costs. APCVD negates the need for a low-pressure system, however, it relies on heating precursors above their vaporisation temperature. This is problematic as precursors for halide perovskites tend to be thermally unstable.

AACVD is a promising low-cost alternative to these complex methods. In AACVD, precursors are dissolved in a solvent and

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[†] We dedicate this paper to Dr Russell Binions, who was supervising Sinclair and Lokeshwari. They were both beginning their academic and scientific journeys when Russel's life was tragically cut short. He was an incredible scientist, musician, supervisor, and friend who is dearly missed.

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delivered as an aerosol into the reactor. This negates concerns over precursor thermal stability. Additionally, the process is conducted at atmospheric pressure making it inexpensive and scalable. Many oxides that are commonly used as electron-transport layers (ETLs) in PSCs have also been deposited by AACVD, 11-13 and we have recently reported deposition of CuSCN *via* AACVD, 4 which has been used as a hole transport layer (HTL) in PSCs. 15 So far, several groups have demonstrated deposition of organo-metal halide perovskites using AACVD,

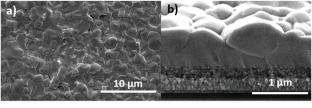
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AACVD, ¹¹⁻¹³ and we have recently reported deposition of CuSCN *via* AACVD, ¹⁴ which has been used as a hole transport layer (HTL) in PSCs. ¹⁵ So far, several groups have demonstrated deposition of organo-metal halide perovskites using AACVD, utilising either a 1-step or 2-step method. ¹⁶⁻¹⁹ 1-Step deposition generally led to powdery deposits as the precursors tend to homogenously nucleate in the gas phase. ¹⁶⁻¹⁹ Previously, we have shown that using a 2-step method overcomes this issue, yielding the first continuous MAPI films using AACVD. ²⁰ However, these films were too thick to form functioning PVs and general film quality was less than ideal as the films contained numerous pinholes. This meant that thus far there have been no working devices prepared using organo-metal halide perovskite absorber layers deposited by AACVD.

In this study, we report the first ever PSCs using an AACVDdeposited active layer. This was achieved via a novel 2-step AACVD method, leading to high-quality, pinhole-free MAPI films with thicknesses in the range required to produce functioning PVs. The novel aspects of our method are the use of lead acetate (PbAc2) as the lead source for MAPI, and methanol (MeOH) as the solvent. PbAc2 was first reported as a MAPI precursor by Moore et al. and was shown to have favourable crystallisation properties, resulting in high-quality films.²¹ Later studies showed solution-processed cells with PCEs exceeding 15%.22 For AACVD applications, PbAc2 is a novel yet highly suitable precursor as it readily dissolves in many volatile solvents. In our method we use PbAc2 dissolved in MeOH, which is a solvent extensively used in other AACVD systems^{23,24} and allows for easy aerosolization due to its low surface tension. The improved reaction conditions within the reactor resulting from the improved aerosol allows better thickness and film quality control, which was a key factor in the realisation of working cells. Importantly, this method provides a route to manufacture organo-metal halide perovskites without the need for harmful and toxic solvents, such as dimethylformamide (DMF), N-methyl-2-pyrrolidone (NMP), gamma butyrolactone (GBL), toluene and chlorobenzene. These solvents are incompatible with large scale ambient processing as they have low exposure limits and would be health hazard to personnel working at manufacturing facilities.²⁵ Using greener solvents, such as MeOH, can potentially reduce health risks associated with organo-metal halide perovskite processing and make large-scale production more viable.

Results and discussion

SEM analysis of MAPI films deposited by AACVD using our novel 2-step method (see Experimental methods for full details) demonstrates good surface coverage with an average grain size of approximately 1 μ m (Fig. 1a). The cross-section in Fig. 1b



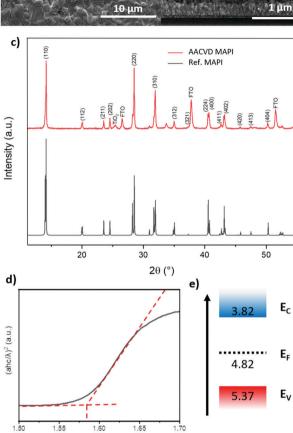


Fig. 1 (a) Topographical SEM image of MAPI film deposited *via* AACVD showing large grains. (b) Cross-section SEM image of deposited film, with layers of FTO and mesoporous TiO₂ visible. (c) XRD patterns of films deposited *via* AACVD and MAPI reference pattern (ICSD 250735).³¹ (d) Tauc plot of AACVD MAPI derived from absorption data. (e) Band diagram of AACVD perovskite material, determined by a combination of UV-Vis, ambient photoemission spectroscopy and Kelvin Probe measurements.

shows a film thickness of approximately 1 μm, with some grains spanning the entire thickness. This morphology is sought after in PV applications, as grain boundaries host defects that act as trap sites for charge carriers, induce shunts and decrease the stability of the cell by allowing the ingress of moisture. ^{26–29} Furthermore, whilst the films are above the ideal thickness for MAPI-based devices, the lack of grain boundaries should allow charges to be extracted effectively. ³⁰ Also evident from the cross-section is the significant surface roughness, in the order of 100's of nm. This is supported by atomic force microscopy measurements of the surface (Fig S1, ESI‡), which show an R.M.S. roughness of approximately 380 nm. This roughness also gives the films a visual observation of a matte surface, rather than the ideal mirror-smooth finish of spin-coated films.

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The XRD patterns of AACVD deposited films show a high degree of phase purity, with no traces of PbAc2 or PbI2 present (Fig. 1c). The XRD pattern of the films match the pattern of a typical tetragonal MAPI thin film, with a preferential orientation around the 110 reflection. Using these data, the lattice parameters were calculated to be 8.83 Å (a) and 12.62 Å (c), which correspond to known literature values.31 The sharp, narrow peaks indicate a high degree of crystallinity, which is generally desirable in perovskite cells and is typical of MAPI fabricated using an excess of methyl ammonium iodide (MAI).32 In the AACVD method, the films are exposed to MAI continuously until fully converted to MAPI.

Kelvin probe (KP) and ambient photoemission spectroscopy (APS) measurements (Fig. S4, ESI‡), in conjunction with band gaps derived from absorption measurements, allowed us to construct a band diagram for the MAPI film (Fig. 1e). The Fermi level $(E_{\rm F})$ and valence band $(E_{\rm v})$ positions were measured to be -4.82 eV and -5.37 eV respectively. Using the bandgap of 1.58 eV obtained from optical absorption (Fig. 1d), places the conduction band at -3.79 eV. The valence band edge values are in excellent agreement with literature values of spin-coated MAPI films. 33,34 The closer to mid-band position of $E_{\rm F}$ indicates a more intrinsic film, where solution-processed samples typically show more p-type behaviour, with $E_{\rm F}$ lying closer to $E_{\rm v}$ owing to intrinsic defects.^{35,36} However, as these films are thicker than spin coated films, the measured value is less likely to be influenced by the substrate, which has been observed in thinner films.

The PV performance of the films was evaluated in a n-i-p cell configuration (Fig. 2a and b). Due to the surface roughness of the films, thin layers of organic hole transporting materials (HTMs) were found to be unsuitable; using 2,2',7,7'-tetrakis-[N,N-di(4-methoxyphenyl)amino]-9,9'-spirobifluorene (Spiro-OMeTAD) gave cells with very low efficiencies due to the incomplete coverage on the rough film (Fig. S5 and S6, ESI‡), and thicker layers do not function due to the low hole mobility of Spiro-OMeTAD. To overcome this, a spray-coated layer of CuSCN was used. This enabled a thicker layer to be deposited to accommodate the roughness, while still allowing charge extraction due to its high hole mobility.³⁷ Spray-coating is also a scalable deposition method and therefore is complementary to AACVD. Improved PV performance may be achievable by further optimisation of the CuSCN layer thickness, as here the focus was to produce CuSCN layers that were sufficiently thick to fill and cover the rough surface of the perovskite layer.

Statistical distribution of performance parameters for 12 devices and the *I-V* curve of the champion cell can be seen in Fig. 2c-e. This device had a short circuit current density (J_{sc}) of 16.01 mA cm⁻², open circuit voltage (V_{oc}) of 0.77 V, and a fill factor (FF) of 0.44 resulting in a PCE of 5.42%. These results are taken from the reverse scan, with the forward scan having much lower values owing to hysteresis. Although hysteresis is usually observed in this cell architecture, it is very severe in our devices, indicative of non-ideal interfaces. The $J_{\rm sc}$ of the device is lower than the state-of-the-art, which typically exceeds 20 mA cm⁻². This loss may originate from both a thicker than

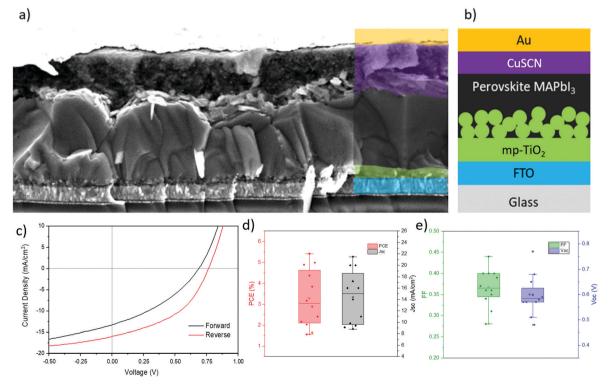


Fig. 2 (a) SEM of completed device cross-section. (b) Schematic of the device configuration used, corresponding to (a). (c) J-V Scan of champion cell showing forward and reverse scans. (d and e) Box-plots of PCE and J_{sc} (d) and FF and V_{oc} (e) of 12 cells, data taken from reverse scans.

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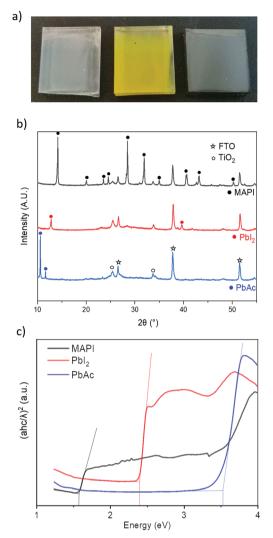


Fig. 3 (a) Photographs of three films removed at different stages of the AACVD deposition (substrate size 12 mm \times 12 mm). Left: Film after first step. Centre: Film halfway through MAI aerosol exposure. Right: Completed MAPI film (b) XRD patterns correspond to initial PbAc₂ film, PbI₂ intermediary and final MAPI film (c) Tauc plots of the three films calculated from absorption data.

ideal perovskite layer (1 µm) and the relatively thick CuSCN layer, both leading to charge collection losses. The low $V_{\rm oc}$ can also be attributed to the non-ideal interface between the rough perovskite and the CuSCN. The cell also suffers from a low FF, induced by both a high series resistance of $\sim 20~\Omega$ and low shunt resistance of 129 Ω . Again, the high series resistance could be ascribed to the excessive thicknesses of the layers and the shunt resistance could be linked to non-ideal interfaces and pinholes.

To investigate the poor device performance, and to better understand the film formation process, films were characterised at various stages of the deposition (Fig. 3). In the first step a white translucent film of $PbAc_2$ is deposited (Fig. 3a) that upon exposure to the MAI aerosol turns yellow (indicative of PbI_2 formation), on further exposure to MAI a dark film, characteristic of MAPI is formed.

XRD analysis (Fig. 3b) of the initial white film confirmed the presence of $PbAc_2$, while analysis of the yellow film confirmed

that conversion to PbI_2 had occurred. The absence of any $PbAc_2$ in the intermediate film suggests that complete conversion of the acetate to the iodide species occurs before the final conversion to MAPI. This is in contrast to the film formation mechanism in solution-processed films using $PbAc_2$ as the lead source, where typically no intermediate phases form. UV-Vis absorption measurements seen in Fig. 3c further support the proposed film formation mechanism, with absorption onset and bandgap values matching literature values of $PbAc_2$, PbI_2 and MAPI (3.5 eV, 2.3 eV and 1.55 eV).

Eqn (1) shows the typically reported MAPI formation scheme when using PbAc₂ as the Pb(π) source.³⁸

$$Pb(CH3COO)2(s) + 3CH3NH3I(l) \rightarrow CH3NH3PbI3(s) + 2CH3NH3COO(g) (1)$$

In this process the PbAc₂ reacts with the MAI to form MAPI and CH₃NH₃CH₃COO (MAAc), which is removed *via* the annealing process. From our observations of the AACVD deposition we propose a two-step scheme, summarised in eqn (2) and (3).

$$Pb(CH3COO)2(s) + 2CH3NH3I(l) \rightarrow PbI2(s)$$

+ 2CH₃NH₃CH₃COOH_(v) (2)

$$PbI_{2(s)} + CH_3NH_3I_{(1)} \rightarrow CH_3NH_3PbI_{3(s)}$$
 (3)

In the first step $PbAc_2$ is transformed to PbI_2 , and the volatile species MAAc is formed. We anticipate that the MAAc will exist in equilibrium with CH_3NH_2 and acetic acid, both of which are highly volatile and will be driven off under the reaction conditions (220 °C). The second process is akin to a typical solution process and involves the reaction of PbI_2 with MAI, leading to the formation of MAPI.

This film formation mechanism could explain the origin of the surface roughness observed in the final film. Large density changes during film formation, beginning from 3.25 g cm⁻³ $(PbAc_2)^{40}$ to 6.16 g cm⁻³ $(PbI_2)^{41}$ and then finally to 4 g cm⁻³ (MAPI),⁴² would cause the films to contract and swell. This would lead to an uneven film with a rough surface. Moreover, work by Brenner et al. explored vapour phase transformation of PbI₂ single crystals exposed to gaseous MAI. Like our work, the transformation of the films was accompanied by a roughening of the surface, likely attributed to the expansion and growth of the MAPI grains. Further work will be needed to find a suitable set of precursors that may minimize the density change, and therefore improve final film quality. Going forward, this method could be used to grow other organo-metal halide perovskites which exhibit better optoelectronic properties, such as formamidinium-based, or mixed cation perovskites. 44 These compositions may allow for the thicker films deposited via this method to perform better, due to their longer diffusion lengths.

Conclusion

We have successfully demonstrated the use of a novel AACVD method to fabricate operational MAPI PVs. The method relies on the use of green solvents, potentially making it a safer

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route for large scale deposition of perovskites. The resulting champion device had a PCE of 5.4%, and whilst this is low compared to the state-of-the-art perovskite devices, it is the highest PCE recorded for any PV device utilising an AACVD active layer. 45 Furthermore, we show evidence of a unique multi-step film formation process, with the films forming a PbI₂ intermediate phase before converting to MAPI. Overall, we have demonstrated that exploring wider precursor-solvent systems is a promising route to successfully fabricate PSCs via AACVD, and that identification of further systems that do not undergo such dramatic density changes may be the key to achieving high PCEs via AACVD.

Experimental methods

10 mm × 10 mm fluorine-doped tin oxide (FTO) coated glass substrates were patterned and etched using 1 M HCl and zinc powder. The substrates were then cleaned with detergent, acetone and isopropanol using an ultrasonic bath, before undergoing UV-ozone treatment. A layer of compact TiO2 was spin-coated using a 0.2 mol dm⁻³ titanium isopropoxide solution in ethanol, with the addition of 0.02 mol dm⁻³ HCl, at 5000 rpm. This was dried for 10 minutes at 120 °C. Mesoporous TiO2 was then deposited using a solution of 18-NRT titania paste (Sigma) diluted in ethanol (1:7 wt%), which was spin-coated at 5000 rpm. The substrates were then annealed at 500 $^{\circ}\text{C}$ for 1 hour.

MAPI was deposited on top of the ETL-covered substrates via a sequential 2-step deposition method. The substrates were treated by UV-ozone before being placed into a cold wall AACVD reactor. The maximum deposition area of the reactor is 14 cm \times 7 cm, with up to six, 12 mm \times 12 mm substrates being placed in the centre of the reactor where the deposition is most homogenous. The inhomogeneity arises from aerodynamic effects within the reactor as the deposition area is close to the reactor walls. Larger reactors designed with more optimised geometries would allow for much larger deposition areas. Fig. S2 (ESI‡) shows the largest substrate dimensions we were able to deposit homogeneously. The first-step precursor solution was formed of a 0.13 mol dm⁻³ solution of lead(II) acetate trihydrate (Aldrich) in anhydrous MeOH. The solution was then aerosolised using an ultrasonic mister and flowed into the reactor via a nitrogen gas flow. The gas flow was monitored using a flow meter and set to 0.5 dm⁻³ min⁻¹. The substrate was heated to 175 $^{\circ}\text{C}$ before the deposition commenced. This first step was completed in 30 minutes, with the substrate kept at 175 °C. The second precursor was a 0.21 mol dm⁻³ solution of methyl ammonium iodide in anhydrous MeOH. The substrate temperature was increased from 175 °C to 220 °C before the second precursor was delivered at 0.3 dm⁻³ min⁻¹. This step was completed in 60 minutes. The substrate was then cooled before being placed into a desiccator to eliminate sources of moisture. A schematic of the experimental setup can be seen in Fig. S3 (ESI‡).

The CuSCN HTM was deposited using a 0.15 mol dm⁻³ solution of CuSCN (Aldrich) in dipropyl sulphide using a

method adapted from a previous study.46 The substrates were heated to 65 °C and 30 spray passes were used. This forms an approximately 300 nm thick layer of CuSCN. The substrates were then stored in a glovebox for one day to allow the layer to dry. The Spiro-OMeTAD solution was made by dissolving in chlorobenzene to form a 70 mmol dm^{-3} solution. This solution was then doped using a 4-tert-butylpyridine (TBP) and bis(trifluoromethane)-sulfonimide lithium salt (Li-TFSI). Per ml of Spiro-OMeTAD solution, 30 µl of TBP were added. This was followed by 20 µl of 1.8 mol dm⁻³ Li-TFSI in acetonitrile. The doped solution was then spin coated on the AACVD MAPI at 3000 rpm for 30 s. Samples were then aged for 24 hours in a desiccator to allow for the oxidation of spiro-OMeTAD. The cell was completed by thermally evaporating 100 nm of Au through a shadow mask to form the top contacts, which defined the cell area as 0.45 cm².

The morphology of the MAPI films was measured using a FEI Inspect-F field emission scanning electron microscope. Cross-sections were taken by cleaving cell substrates. All images were taken at a 5 kV accelerating voltage to avoid damage or alter the morphology of the film. The MAPI crystal structure was investigated using a Panalytical X'Pert Powder X-Ray Diffractometer, set up in the Bragg-Brentano geometry using CuK α (λ = 1.541 Å) radiation. Optical absorption measurements were taken utilising a Shimadzu UV-2600 spectrophotometer with an integrating sphere attachment in a wavelength range of 300-1000 nm.

Film topography was recorded by atomic force microscopy (AFM) in semi-contact mode, using a Ntegra Prima (NT-MDT Spectrum Instruments) mounted on an TS-150 isolation table (Table Stable Ltd). Pyramidal silicon etched AFM probes (tip radius of 8 nm, Agar Scientific) were used for the measurements. Images of $40 \times 40 \mu m$ (256 lines) were recorded at 0.5 Hz line rate. The tip set-point was adjusted between samples to minimize the feedback noise. Once recorded, the images of the films were corrected with Gwyddion to extract the film R.M.S. roughness.

The energy level measurements were performed using an APS04 System (KP Technology). The Fermi level of the samples was determined in dark by Kelvin probe (KP) applying a vibrating gold tip (2 mm diameter). A cleaned silver reference was used to calibrate the work function of the tip. The samples were deposited on a conductive substrate, grounded during the measurement, and kept in dark until an equilibrium value was reached. Ambient photoemission spectroscopy (APS) measurements were performed to determine the valence band edge of the semiconductor layer. The cube root of the photoemission signal was fitted with a linear and extrapolated to zero to extract the energy value of the valence band edge.

Device J-V curves were measured using a Keithley 2400 Source meter scanning at 0.03 V s⁻¹. To measure the light response, devices were placed under simulated AM 1.5G solar light irradiation from a Newport class ABB solar simulator, calibrated to 1 Sun (100 mA cm⁻²) using a Si reference cell (Newport). Devices were light soaked for 30 s prior to starting the I-V scan.

Conflicts of interest

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There are no conflicts to declare.

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