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Review: new insights into optimizing chemical and 3D surface structures of carbon electrodes for neurotransmitter detection

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The carbon-fiber microelectrode has been used for decades as a neurotransmitter sensor. Recently, new strategies have been developed for making carbon electrodes, including using carbon nanomaterials or pyrolyzing a photoresist etched by nanolithography or 3D printing. This review summarizes how chemical and 3D surface structures of new carbon electrodes are optimized for neurotransmitter detection. There are effects of the chemical structure that are advantageous, and nanomaterials are used ranging from carbon nanotubes (CNTs) to graphene to nanodiamond. Functionalization of these materials promotes surface oxide groups that adsorb dopamine, and dopants introduce defect sites good for electron transfer. Polymer coatings such as poly(3,4-ethylenedioxythiophene) (PEDOT) or Nafion also enhance the selectivity, particularly for dopamine over ascorbic acid. Changing the 3D surface structure of an electrode increases the current by adding more surface area. If the surface structure has roughness or pores on the micron scale, the electrode also acts as a thin layer cell, momentarily trapping the analyte for redox cycling. Vertically aligned CNTs as well as lithographically made or 3D printed pillar arrays act as thin layer cells, producing more reversible cyclic voltammograms. A better understanding of how the chemical and surface structure affects the electrochemistry enables rational design of electrodes. New carbon electrodes are being tested *in vivo* and strategies to reduce biofouling are being developed. Future studies should test the robustness for long term implantation, explore electrochemical properties of neurotransmitters beyond dopamine, and combine optimized chemical and physical structures for real-time monitoring of neurotransmitters.

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1. Introduction

What is the perfect electrode material for *in vivo* electrochemical monitoring of neurotransmitters? For direct voltammetric measurements of catecholamines such as dopamine, decades of experience suggests it is carbon.¹ Common carbon electrodes include glassy carbon electrodes (GCEs), carbon paste electrodes (CPEs), and screen-printed carbon electrodes (SPCEs). The most popular electrode for neurochemical measurements has been the carbon-fiber microelectrode (CFME) because of its excellent biocompatibility, small size, and good electron transfer for neurotransmitters.² Carbon fibers are made of carbon precursors that have different orientations of carbon.² Dopamine, the prototypical neurotransmitter studied, adsorbs to CFMEs, primarily to defect sites and oxygen-containing functional groups.³ This adsorption preconcentrates dopamine on the surface and facilitates rapid electron transfer. Fast-scan cyclic voltammetry (FSCV) is often used for real-time *in vivo* detection of neurotransmitters and works well

at CFMEs.^{4,5} While carbon fibers are an excellent sensor for neurotransmitters, they have a relatively smooth surface which limits surface roughness and area,⁶ and the geometries of probes are limited to disk, cylindrical, or conical shapes.⁷

New fabrication methods for carbon electrodes allow electrodes with customizable geometries as well as optimized chemical and surface structures. Many forms of carbon nanomaterials have been used for neurotransmitter electrodes because they promote dopamine adsorption and provide a rougher surface structure without physically increasing the size of the electrode. In addition, carbon nanomaterials have apparent electrocatalytic effects due to their electronic structure.⁸ Research started with carbon nanotubes (CNTs), but the field has now ballooned to many different forms of graphene.⁸ In addition, carbon nanostructures are now made by nanolithography and 3D printing techniques.^{9,10} Structures are made from photoresists and then pyrolyzed to form carbon, resulting in customized geometries such as arrays.

While most research uses new carbon electrodes to increase sensitivity or to exploit their electrocatalytic effects, there are other advantages of carbon electrodes that are emerging from the literature. First, there are effects of the chemical structure that are advantageous, such as functionalization methods to

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add oxide groups to promote adsorption, dopants that introduce defect sites good for electron transfer, and polymer coatings combined with nanomaterials that increase selectivity. Second, there are effects of 3D surface structure that go beyond increasing the active surface area. Array-like structures are used as collector–generators and structures with pores, cavities, or long arrays act as thin layer cells to momentarily trap dopamine. This review concentrates on these advantageous chemical and structural properties, overviewing the methods to fabricate and optimize structures and how they affect the electrochemistry of neurotransmitters. The last section outlines future challenges of how this knowledge could lead to rational design of better carbon electrodes, with a focus on biological applications. The knowledge gained from fundamental electrochemical studies will lead to better, practical sensors for *in vivo* neurotransmitter sensing.

2. Effects of the chemical structure of carbon electrodes

Most studies exploring the effects of the chemical structure of carbon for neurotransmitter detection have aimed to increase the adsorption of neurotransmitters and promote electrocatalytic effects. This section outlines the different carbon allotropes, functionalization methods, and polymer coatings used to modify the chemical structure of carbon electrodes and reviews how these enhance electrochemical properties for neurotransmitter detection.

2.1 Carbon allotropes

2.1.1 Structure and properties of carbon electrode materials. There are two planes of graphitic carbon when it is stacked: a basal plane parallel to the graphite sheet, and an edge plane at the edge of the graphite layers that is perpendicular to the graphite sheet (Fig. 1A).¹¹ The edge plane is traditionally considered to have more electronic density of states and better electron transfer for surface sensitive probes (Fig. 1B).^{1,11,12} The amount of defects is measured from the D/G ratio by Raman spectroscopy, where a higher ratio of the graphitic D peak area to the graphitic G peak area indicates more defects and edge plane sites in the structure.¹ Some recent publications have challenged the traditional ideas about the activity of edge vs. basal planes. Using scanning electrochemical cell microscopy (SECCM) to probe localized electrochemical activities, Unwin's group showed higher activity for neurotransmitter oxidation at the basal plane and no correlation between edge planes and adsorption.¹³ For CFMEs, electrochemical oxidation of the electrode surface enhanced dopamine anodic current and electron transfer kinetics, but decreased the Raman D/G ratio (Fig. 1C).¹⁴ These studies are in contrast to decades of work by McCreery, Compton, and others that show edge planes have faster electron transfer and better sensitivity for dopamine.^{1,15,16} There is still much work to be done to examine neurotransmitter reactivity at different carbon planes. However, most studies concentrate on making defect-rich electrodes.

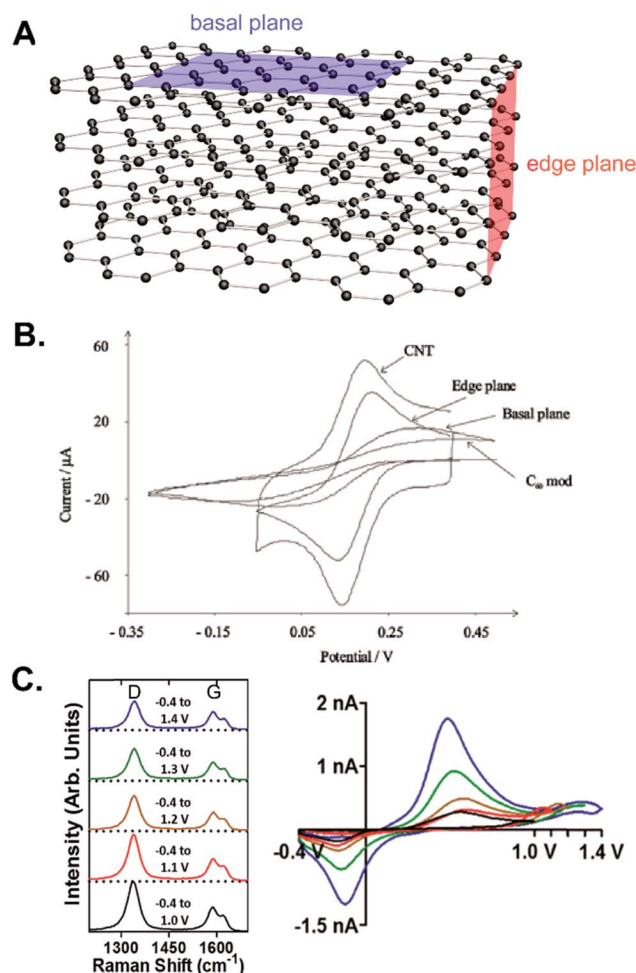


Fig. 1 Electrochemistry at the edge plane and basal plane of graphitic carbon electrodes. (A) Schematic diagram of the edge and basal plane from a stack of graphene layers. (B) CVs of 1 mM $[\text{Fe}(\text{CN})_6]^{3-}$ at different carbon electrodes show the better electrocatalytic effects of the edge plane than the basal plane. Reproduced from ref. 15 with permission from The Royal Society of Chemistry. (C) Raman spectra and FSCVs of 2 μM dopamine at CFME with different switching potentials from +1.0 to +1.4 V. The extended waveform enhanced the dopamine signal but decreased the D/G ratio. Adapted with permission from ref. 14. Copyright 2017 American Chemical Society.

2.1.2 sp^2 -hybridized carbon nanomaterials. sp^2 -hybridized carbon nanomaterials are the largest family of carbon nanomaterials for electrochemistry.¹⁷ These nanomaterials have extended conjugation, electron delocalization, and high electronic conductivity. CNTs are one of the most investigated nanomaterials for microelectrode fabrication and they enhance the electrochemical signals for dopamine and ascorbic acid, a common interferent found in the brain.⁸ CNT-modified CFMEs have been prepared by dip coating,¹⁸ electrophoretic deposition,¹⁹ and direct growth of CNTs.⁶ CNT ends have a high density of edge planes that strongly enhance dopamine adsorption in FSCV. Thus, CNTs are often fabricated in arrays, either by self-assembly²⁰ or direct growth with chemical vapor deposition (CVD)⁶ to control the CNT orientation (Fig. 2A). Another method to prepare aligned CNT microelectrodes is to



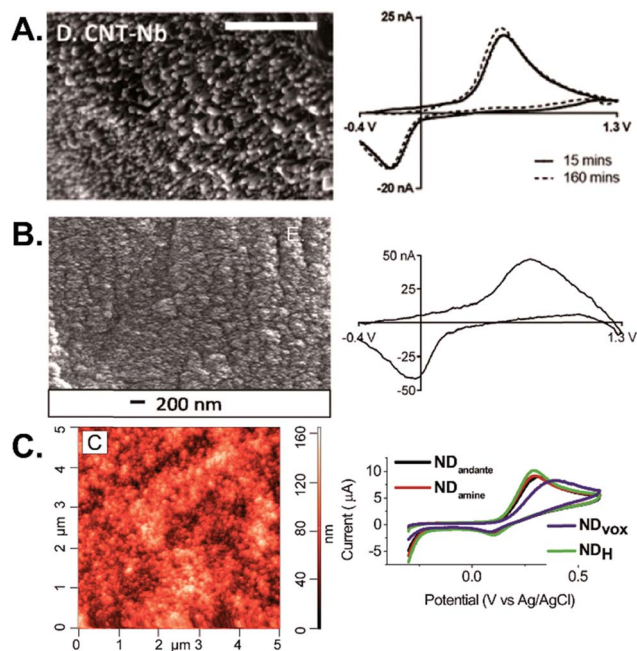


Fig. 2 Carbon nanomaterial electrodes. (A) SEM image and FSCV of 1 μM dopamine at CNTs grown on Nb wires after 15 min (solid) and 160 min (dashed) of equilibration. Scale bar: 500 nm. Adapted with permission from ref. 6. Copyright 2016 American Chemical Society. (B) SEM image and FSCV of 1 μM dopamine at CNSs grown on Nb wires. Reproduced from ref. 28 with permission from The Royal Society of Chemistry. (C) AFM image of ND-coated taC electrodes and CVs of 1 mM dopamine at different types of nanodiamond. Reprinted from ref. 37 with permission from Elsevier.

use a CNT fiber or yarn.^{21–23} Both CNT yarns and CNT fibers had better electron transfer kinetics and analytical performance for FSCV detection of cationic neurotransmitters.²¹

Graphene is a sheet of sp^2 carbon and has high conductivity from its extended conjugated system.¹⁷ However, a perfect sheet is mainly the basal plane without defect sites and has limited adsorption capability, so graphene oxide is often used.¹ Graphene oxide synthesized *via* the Hummers method was drop-cast on GCE to increase dopamine anodic current because of the increased conductivity and π - π stacking interaction.²⁴ Graphene-modified CFMEs were utilized in mice hippocampal tissue to improve the current for dopamine detection.²⁵ Working with a single layer of graphene is difficult, so electrochemical sensors are usually built out of 3D forms of graphene. 3D graphene was fabricated on an indium tin oxide planar substrate by direct CO_2 laser writing of spin-coated polyimide, and had good electrochemical activity toward surface sensitive probes.²⁶ 3D graphene electrodes can also be synthesized *via* electrospray deposition on structured substrates.²⁷ Carbon nanospikes (CNSs) are a form of graphene that have a spike morphology and are grown without a catalyst on metal wires by plasma-enhanced CVD, thus there is no potential for metal contamination. CNS microelectrodes are defect-rich and contain many oxides, so dopamine adsorption is enhanced (Fig. 2B).²⁸ Carbon nanohorns (CNHs) are graphene sheets rolled in a conical structure. CNHs are synthesized *via* laser

ablation of graphite without a metal catalyst, so they have high purity and less toxicity from metals.¹⁷ CNH deposition increased the dopamine signal at a screen-printed carbon electrode.²⁹ CNH-modified CFMEs had high dopamine adsorption due to a high surface area and enhanced electric field at the CNH tip, and could be oxidatively etched, improving the LOD for dopamine.³⁰

2.1.3 sp^3 -hybridized carbon nanomaterials. Diamond, the sp^3 -hybridized carbon allotrope, is an electrical insulator. However, thin film and nanostructure fabrication, as well as doping, give it conductivity and electrochemical activity.³¹ For example, boron-doped diamond (BDD) electrodes have been widely used because of their stability and wide potential window.^{31,32} Nanodiamond (ND), a nanoparticle diamond, is synthesized *via* detonation of explosive carbon materials³³ and has an sp^3 -hybridized carbon core with defects including sp^2 carbons and functional groups on its surface.³⁴ A thin film of ND has better electron transfer kinetics and lower overpotential than BDD for several redox probes,^{35,36} and a ND-modified electrode improved the electrocatalytic effect and limit of detection for dopamine detection (Fig. 2C).³⁷ ND particles on the electrode surface also improve cell viability, demonstrating the potential of the electrode to be used long-term without damaging the cells.³⁷ Tetrahedral amorphous carbon (taC) is an amorphous sp^3 -hybridized carbon structure with no crystallinity. It is easy to synthesize *via* ion deposition, sputtering, or cathodic vacuum arc,³⁸ which makes it compatible with nanofabrication. taC was fabricated as a thin film on a silicon substrate and had a wide window potential (-1.6 to $+1.7$ V vs. Ag/AgCl). The oxygen content correlated with the faradaic current of the electroactive species,³⁹ and the material was responsive to dopamine.⁴⁰ Carbon quantum dots, or carbon dots, are spherical nanoparticles consisting of an sp^3 -carbon amorphous network.¹⁷ Carbon dots are synthesized by several methods, such as electrochemical exfoliation of graphite rods in basic solution⁴¹ or hydrothermal treatment of citrate aqueous solution.⁴² They are a promising nanomaterial for neurotransmitter detection because of their high surface area and high oxygen content. GCEs, SPCEs, or CFMEs modified by carbon dots had improved sensitivity and electrocatalytic properties toward dopamine detection.^{41,42} More work on sp^3 -hybridized graphene is needed to evaluate its performance toward neurotransmitter sensing *in vivo*.

2.2 Chemical functionalization and doping

2.2.1 Functionalization. Many strategies have been used to introduce surface groups on the carbon electrode surface, particularly surface oxide groups which are known to adsorb dopamine. Chemical treatments can increase oxides, such as NaOH soaking, or the carbon surface functionalized *via* diazonium ion reduction, thermal reactions, photochemical reactions, or click chemistry.¹ Electrochemical treatment, by using a waveform with an extended anodic limit, is also used to increase the oxygen content.⁴³ For carbon nanomaterials, there are different strategies, such as acid pretreatment or electrophilic addition.¹⁷ The electrochemical properties of different



Nitrogen doping also improves material conductivity and electrochemistry. Nitrogen-doped carbon materials are synthesized by CVD with nitrogen-containing acetonitrile or ammonia,⁵⁵ or are made by pyrolyzing nitrogen-based polymer fibers such as polypyrrole.⁵⁶ Nitrogen atoms substitute for a carbon atom in the carbon layer, creating a defect. A computational model, along with dopamine cyclic voltammetry, revealed that adding some nitrogen enhanced the dopamine adsorption by stabilizing the surface energy, but too much nitrogen doping disrupts the conductivity and adsorption by disrupting dopamine pi stacking with the basal plane.^{57,58} Nitrogen-doped graphene fibers enhanced dopamine sensitivity 10 fold over pristine fibers.⁵⁶ Doping CNT fibers with nitrogen also displayed good ascorbic acid detection,⁵⁹ and doping a three-dimensional graphene porous electrode improved the sensitivity for H₂O₂ detection.⁶⁰

2.3.1 Charged polymers. Charged polymers have ionizable groups that attract oppositely charged molecules and repel similarly charged molecules *via* electrostatic interactions; thus, they are used as electrode materials to introduce selectivity. Nafion is a negatively charged, perfluorosulfonate polymer that has been extensively used in neurotransmitter applications to enhance the signal for cationic neurotransmitters. Nafion is drop-cast or electrodeposited to increase the sensitivity toward dopamine and eliminate the sensitivity to anionic interferents such as ascorbate,⁶¹ DOPAC,⁶¹ or 5-HIAA, a serotonin metabolite.⁶² However, polymer coatings slow down diffusion and response times,^{63,64} which convolutes the temporal response for *in vivo* measurements. Nafion has been combined with carbon nanomaterials to increase the sensitivity of electrodes. For example, Nafion/CNT-modified CFMEs had enhanced dopamine signal and reduced interference from ascorbic acid.¹⁸ Nafion-CNTs enhanced adsorption and did not slow down the response time for real-time measurements of cationic neurotransmitters (Fig. 3A).⁶⁴ Over-oxidized polypyrrole (oPPy) is another polymer that has a negative charge and is selective toward cationic molecules.^{63,65} CFMEs have been coated with

Fig. 3 Polymer-coated electrodes. (A) Structure of Nafion and FSCV of 1 μM dopamine at Nafion/CNTs (dashed) compared to unmodified CFME (solid). Reproduced from ref. 64 with permission from The Royal Society of Chemistry. (B) Structure of PEDOT and FSCV of 100 μM dopamine at a PEDOT:tosylate-modified Au microelectrode chip. Adapted with permission from ref. 72. Copyright 2017 American Chemical Society. (C) Structure of PEDOT-PC and amperometric response toward 20 μM dopamine with 10 mg mL^{-1} injection of BSA at PEDOT-PC/CFME (red), CFME (black), PEDOT/CFME (blue), and PEDOT-OH/CFME (green). Adapted from ref. 80 with permission from Wiley.

oPPy to increase the selectivity for dopamine, and incorporating carbon nanotubes further improves the sensitivity of the signal.⁶⁴ In addition, oPPy coatings on interdigitated gold electrode arrays allowed amperometric measurements of dopamine release from PC12 cells without interference.⁶⁶ oPPy was also coated on a BDD electrode and eliminated anionic interferences as well.⁶⁷

2.3.2 Conducting polymers. Conducting polymers have electronic properties similar to those of metals or semiconductors. Their conjugated structure, alternating single and double bonds, cause the electron delocalization in the structure and hence electronic conductivity.⁶⁸ Conducting polymers are also inexpensive,⁶⁹ have good flexibility, and are biocompatible.⁷⁰ Therefore, they are fabricated as electrode materials themselves without a metal or carbon fiber support, or they are deposited on CFMEs to enhance the signal and sensitivity for neurotransmitter measurements.

Poly(3,4-ethylenedioxythiophene) (PEDOT) is one of the most popular conducting polymers used for electrochemical sensors. PEDOT was electropolymerized on a Pt or Au microelectrode chip, and the electrode had good peak resolution for dopamine, ascorbic acid, and uric acid detection.⁷¹ A composite PEDOT:tosylate film microelectrode chip was fabricated to be compatible with microfluidic systems. The film had a fast response toward dopamine and low background current with FSCV, but further studies could improve the sensitivity (Fig. 3B).⁷² PEDOT is combined with nanomaterials, such as graphene oxide, to increase the surface area and electrocatalytic properties for dopamine. However, the thick coating of PEDOT/graphene oxide slowed down the response and clearance time.⁷³ PEDOT/CNT was electrodeposited on Au microwires and doubled the sensitivity of just PEDOT coating.⁷⁴ Polypyrrole (PPy) is another conducting polymer and PPy-modified gold microelectrode arrays increased the current for ascorbic acid and decreased its oxidation overpotential.⁷⁵ PPy derivatives such as poly(*N*-methylpyrrole) also improved the electrochemical detection of dopamine at the glassy carbon electrode⁷⁶ and polyaniline polymers, particularly when gold nanoparticles or graphene oxide are included, also increase the signal for dopamine.^{77,78}

Conducting polymers can be functionalized as well to enhance their chemical properties. Carboxylated PEDOT adsorbed more dopamine than unmodified PEDOT and had higher sensitivity and selectivity over ascorbic acid than other functional groups.⁷⁹ Zwitterionic functional groups containing separate positive and negative charges increase the hydrophilicity of the electrode surface to eliminate protein and biofilm adsorption.⁸⁰ The Mao group synthesized PEDOT-phosphorylcholine (PEDOT-PC) polymer on a CFME surface to prevent protein adsorption and decrease biofouling (Fig. 3C).⁸⁰ While traditionally signals drop by 50% after tissue implantation, the PEDOT-PC electrode did not lose current after implantation in the rat brain for 2 hours. However, its sensitivity should be increased to make it more effective *in vivo*. A similar antifouling effect also was observed at PEDOT-PC-coated gold to incorporate Nafion into the PEDOT structure.⁸¹ PEDOT:Nafion-modified CFMEs had higher sensitivity and

selectivity towards cationic dopamine than anionic DOPAC and ascorbic acid, and the coating prevented protein and biomolecule biofouling.⁸¹

2.4 Effect of the chemical structure of carbon on electrochemistry

2.4.1 Chemical structure modifications promote adsorption and enhance sensitivity. In FSCV, the popular technique for measuring neurotransmitters *in vivo*, the dopamine redox reaction is adsorption-controlled *via* electrostatic attraction between cationic dopamine and negative oxide groups on CFMEs.^{3,4} Enhancing adsorption solely by increasing the surface area is not always effective because noise is proportional to capacitance, which also increases with surface area. Instead, specifically adding defect sites for adsorption or making the electrode charge more negative can improve the sensitivity and limit of detection. Using edge-plane rich carbon nanomaterials such as CNTs and CNSs to modify a microelectrode enhances dopamine adsorption.^{1,6,20,28} Chemical treatment such as oxidative etching of carbon nanomaterials,^{30,82} and treatments such as laser,⁴⁷ oxygen plasma etching and antistatic gun treatment⁴⁶ also generate defects and surface oxide groups to promote adsorption. Chemical functionalization of negatively charged functional groups, such as carboxylate and sulfonate groups, enhances dopamine adsorption as well.^{44,45,79} Overall, most studies find that a balance of edge plane and oxide sites increases adsorption, although too much of either can decrease the conductivity of the material. Table 1 summarizes the advantages and limitations of the different chemical structures of carbon electrodes.

2.4.2 Chemical structure modifications that enhance electron transfer and cause electrocatalytic effects. The structure of carbon also promotes electron transfer, causing electrocatalytic effects that help resolve peaks from different analytes.^{1,11,15} Defect-rich carbon nanomaterials such as CNTs, CNSs, and NDs exhibit electrocatalytic effects because they have more electronic density of states similar to the energy levels of electroactive neurotransmitters and thus allow faster electron transfer.^{1,17,34} Electrocatalytic effects are particularly pronounced with slower methods such as cyclic voltammetry (CV) and differential pulse voltammetry (DPV), but are even observed in FSCV as the distance between the peaks (ΔE_p) in the CV decreases. In particular, electrocatalytic effects help separate dopamine, ascorbic acid, and uric acid using DPV.^{56,83} Electrocatalytic effects are helpful to discriminate electroactive species when using amperometry, especially if analytes are oxidized or reduced at similar potentials. Boron or nitrogen doping also enhances the electrocatalytic effect of carbon nanomaterial electrodes because they reduce the band gap energy of the material and enhance the conductivity and electron transfer rate.^{32,53,55,59}

2.4.3 Chemical structure modifications that promote selectivity. Selectivity is an important problem for *in vivo* detection because of the complex biological environment. The most investigated selectivity problem is the interference between dopamine and ascorbic acid. Dopamine is cationic at



Table 1 Summary of electrode modification to enhance the chemical structural effects for neurotransmitter detection

Modification	Goals	Advantages	Limitations	Ref.
sp ² -hybridized carbon nanomaterials	Enhance surface area, adsorption, sensitivity, limit of detection	Some nanomaterials are commercially available, several simple methods to fabricate, low or nontoxic compared to metals	Impurities can affect properties, aggregation in solution, some nanomaterials are difficult to synthesize	1, 6, 17, 18, 21 and 30
sp ³ -hybridized carbon nanomaterials	Increase adsorption, improve stability, limit the background noise, prevent electrode fouling	Biocompatible, good mechanical strength and stability	Inherently insulators so size and surface functional groups must be carefully controlled	31, 32 and 37
Functionalization	Increase surface electron transfer properties and control selectivity	Several strategies are available, easy to functionalize after electrode fabrication	Extent and orientation of functional groups are hard to control	1, 17, 43, 45, 47 and 48
Doping	Increase conductivity and electrocatalytic effects	Can combine with other nanomaterials, creates defect sites for electron transfer	Too much doping can destroy conductivity, some doping methods require complicated fabrication, <i>e.g.</i> CVD	49, 50, 53, 57 and 58
Charged polymers	Control selectivity and prevent electrode fouling	Easy fabrication, inexpensive, biocompatible, can combine with nanomaterials	Slow temporal response, sensitivity decreased or not improved	61, 63 and 65
Conducting polymers	Fabricate alternative, non-metal electrode materials	Easy fabrication, inexpensive, biocompatible, can functionalize and combine with nanomaterials	Slow temporal response and sensitivity, depending on the coating	68–70, 72 and 79

physiological pH, but ascorbic acid is anionic, therefore charge manipulation discriminates between these two species. Negatively charged polymers, such as Nafion and oPPy coating an electrode, repel the negatively charged ascorbate from the electrode and eliminate its interference.^{65,84} This strategy is also useful for preventing the adsorption of redox products and biomolecules, which causes electrode fouling.⁶² Unfortunately, polymer coatings usually slow down response times, so other modification strategies such as using carbon nanomaterials or atomic doping must be implemented to preserve the fast temporal resolution.⁶⁴ Functionalization with carboxylate and sulfonate groups, either on the carbon surface or of a conducting polymer, also favorably attracts cationic dopamine.^{44,79} However, there are other challenges for selectivity, such as discriminating the catecholamines norepinephrine, dopamine, and epinephrine that cannot simply rely on charge. Future studies are needed to understand the properties of carbon nanomaterials to adsorb other cationic molecules and prevent biofouling as well.

3. Effects of 3D surface structures of carbon electrodes

While chemical properties of carbon are important for its detection of neurotransmitters, the physical 3D surface structure is also manipulated to enhance neurotransmitter electrochemistry.^{85,86} In this section, we focus on microscale surface morphologies from sub-micron to tens of microns, typical of array and nanomaterial surface structures. Different types of surface morphological structures are classified, their fabrication methods are discussed, and the electrochemical

consequences of structural properties are reviewed. Advantages and limitations of these methods are also summarized in Table 2.

3.1 Types of surface structures

We broadly separate microscale surface morphologies into three subdivisions: array structures (Fig. 4A), pore structures (Fig. 4B), and cavity structures (Fig. 4C). Three-dimensional arrays are a common morphology of the carbon surface, with pillar arrays made by mask-based patterning being the most common (Fig. 4D).^{87,88} Some well-aligned nanomaterials, such as vertically aligned carbon nanotubes (VACNTs), are also considered as a dense carbon array structure.^{89,90} Porous carbon materials, such as activated charcoal and carbon foam, have large surface areas without much control over pore size. To control the pore size, template-based synthesis is used (Fig. 4E),^{91,92} and nanomaterial composites, such as CNT composites, are also porous carbon materials.⁹³ Cavity structures are mostly fabricated in carbon pipes and carbon pipettes (Fig. 4F).^{94–97} Some nanomaterials are considered to be mixtures of these structures as well.

3.2 Fabrication of surface micro/nano structures

3.2.1 Controlled growth of carbon nanomaterials. While CNTs are often used for their chemical properties and rapid electron transfer, there are also structural advantages of using vertically aligned carbon nanomaterials as electrodes. VACNTs, sometimes referred to as CNT arrays or CNT forests, are grown with CNT lengths from 0.1 to 50 μm .⁹⁸ Vertically aligned carbon nanofibers (VACNFs) are cylindrical or conical structures, with



Table 2 Summary of fabrication methods for 3D surface structure electrodes

Fabrication method	Type of structure	Growth conditions	Advantages	Limitations	Resolution	Ref.
Nanomaterial direct growth	Arrays, porous, and cavity	Depends on the nanomaterials and substrates	Increased surface area/roughness, better chemical properties	Geometries depend on nanomaterials themselves	Depends on the material, ~1–100 nm	82 and 97
Direct laser writing (3D printing)	Any geometry	Atmosphere	Customizable size and geometry	Time consuming for large structures	~100 nm	10 and 111
Photolithography	Patterns, arrays	Atmosphere	Batch fabrication, easy process	Clean and flat surface needed	~1 μm	9
Reactive ion etching	Patterns, arrays	Vacuum	High resolution	No selectivity, low throughput	~100 nm	112 and 117
Template synthesis	Porous	Atmosphere	Size-controlled	Limited geometry	<2 nm to >50 nm	92

diameters from 1 to hundreds of nanometers, which differ from CNTs in the stacking of graphene sheets.⁹⁰ Both VACNTs and VACNFs can be produced with a high degree of control by CVD or plasma-enhanced chemical-vapor deposition (PECVD).⁹⁹ The structural advantage of vertically aligned nanomaterials is that they act as thin layer cells and trap the analyte of interest. Mao and collaborators used VACNT grown on carbon fibers for real-time, *in vivo* monitoring of ascorbate in rat brain⁸² and our group grew CNTs on metal wires and CFMEs for enhanced dopamine detection.⁶

CNTs can be spun into continuous fibers or yarns, often resulting in aligned CNT structures.^{100–103} Sombers' group constructed microelectrodes from CNT yarns and demonstrated highly reversible CVs for dopamine compared to carbon fibers.²¹ Safina and collaborators demonstrated that CNT fiber microelectrodes showed higher resistance to dopamine fouling,¹⁰⁴ and our group proved that CNT yarn and CNT-fiber electrodes are useful to improve the time resolution of FSCV measurements.^{22,23,105} At CNT yarn electrodes, dopamine was measured

at frequencies up to 500 Hz without significant loss of current.¹⁰⁵

Carbon nanopipettes are produced by CVD deposition of a thin carbon layer on the inner surface of a quartz pipette, with a tip as small as tens to hundreds of nanometers (Fig. 4F).⁹⁶ Carbon nanopipettes exhibit thin layer behavior, as diffusion distances are confined inside the pipette, leading to complete oxidation of all of the redox species.⁹⁷ Carbon nanopipettes are used as nano-samplers,⁹⁷ nanoelectrodes,^{96,97} and tips for scanning probe microscopy. The nano-scaled tip of carbon nanopipettes enables high spatial resolution measurements of neurotransmitters. Our group first used carbon nanopipette electrodes coupled with FSCV to measure dopamine changes in *Drosophila*, where the neuropil is only a few microns in diameter.⁹⁶

3.2.2 3D-printing/direct laser writing. 3D-printing is another bottom-up approach exhibiting unique advantages in designing complex structures. Resolution has been a limitation to the 3D printing technique, as traditional 3D printing has

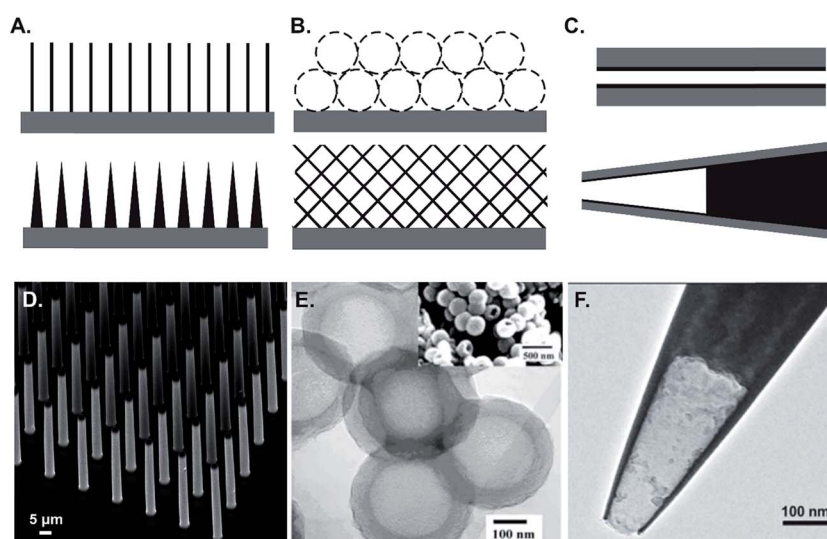


Fig. 4 Illustration and examples of different types of surface 3D structures. (A) Array structures; (B) porous structures; (C) cavity structures. (D) Carbon pillar microarrays as an example of the array structure. Adapted from ref. 87 with permission from Wiley; (E) porous carbon as an example of the porous structure. Adapted from ref. 126 with permission from Wiley; (F) carbon pipette as an example of the cavity structure. Reprinted with permission from ref. 97. Copyright 2014 American Chemical Society.



a resolution range from tens of microns to millimeters, depending on the printing material.¹⁰⁶ A novel 3D-printing strategy, direct laser writing, has improved the resolution to 100 nm scale while maintaining the structural stability (Fig. 5A–D).^{107–109} A laser cross-links a photoresist, but using two-photon absorption allows polymerization to happen in a specific place and not only on the surface (Fig. 5B).¹¹⁰ The 3D-printed structure (Fig. 5C) is pyrolyzed at high temperature, shrinking the architecture and producing a carbon surface (Fig. 5D).^{109,111} The pyrolyzed carbon surface is glassy-carbon like,¹¹² exhibiting similar behavior to CFMEs for electrochemical detection of neurotransmitters.¹⁰ Sasso's group successfully fabricated 3D microelectrodes using direct laser writing followed by thin layer gold deposition.¹¹³ Our group fabricated free-standing, implantable electrodes using 3D printing to create the desired structures directly on small, 10–25 μm metal wires.¹⁰ Fig. 5E and F show the printed, spiked structures before and after pyrolysis, and features less than 1 μm diameter were successfully patterned (Fig. 5F). The 3D-printed electrodes were implanted into rat brains where stimulated dopamine release as low as 92 nM was detected *in vivo*. Future work could include printing

on smaller substrates, to decrease the overall size, and optimizing pyrolysis to make sure small features are maintained.

3.2.3 Photolithography. Photolithography is commonly used to pattern surface micro/nano structures on a substrate. Photolithography uses light to pattern a light-sensitive photoresist covered with a photo-mask.^{114,115} In cases when a patterned photoresist is pyrolyzed into carbon at high temperature, the process is often referred to as carbon-microelectromechanical systems (C-MEMS, Fig. 5G–J).¹¹⁶ Fig. 5K and L are examples of arrays patterned by photolithography before and after pyrolysis. Pillar arrays are the standard morphology, but other geometries are made by doping nanoparticles, self-assembly, or multistep lithography.^{9,88,117} Carbon array microelectrodes have a large surface area and restricted mass transfer. For example, Emnéus and collaborators fabricated pyrolyzed carbon 3D scaffolds by photolithography, and cultured human neural stem cells at the bottom or between pillars. The scaffold electrode traps dopamine, and a larger fraction of released dopamine was detected than on a 2D substrate.⁸⁷ Microarray structures can also be integrated into CMOS applications,¹¹⁸ enabling multichannel, simultaneous

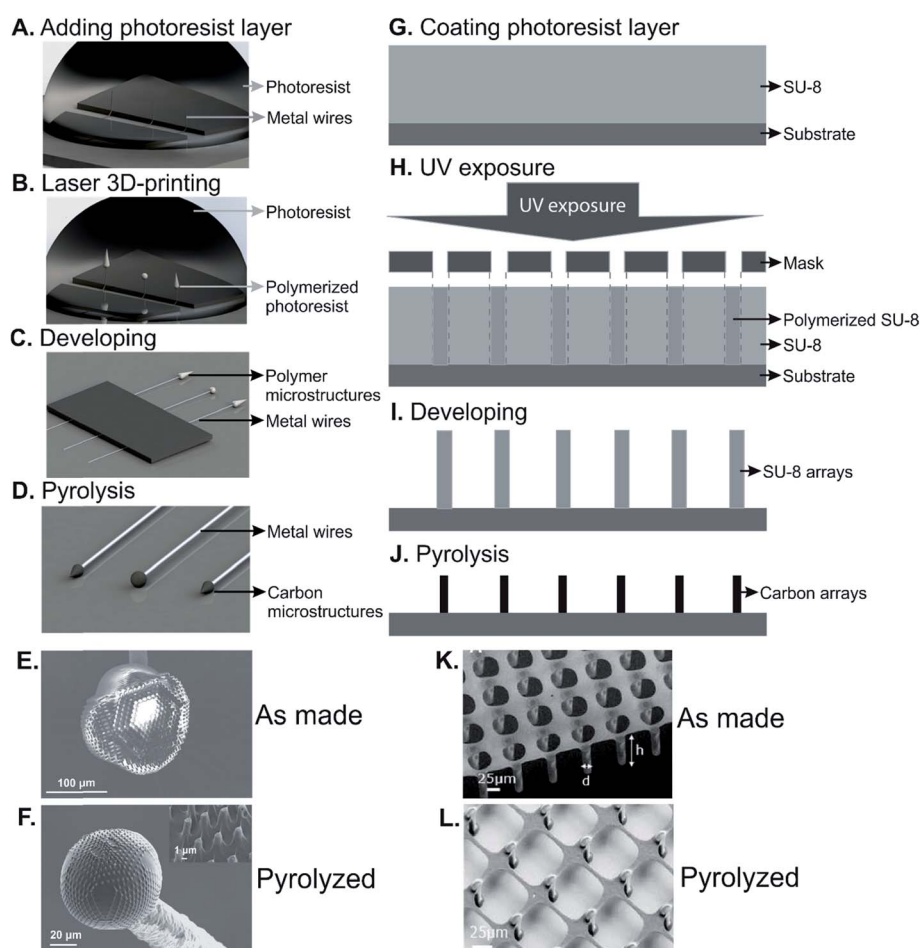


Fig. 5 A schematic drawing of direct laser writing 3D printing and C-MEMS to fabricate 3D carbon structures. (A)–(D) Process flow of direct laser writing 3D printing; (E) and (F) an example of 3D-printed microstructure before and after pyrolysis. (G)–(J) Process flow of C-MEMS; (K) and (L) an example of carbon microarray fabricated by C-MEMS before and after pyrolysis. (A)–(F) Adapted from ref. 10 with permission from Wiley. (K) and (L) Reprinted from ref. 88 with permission from Elsevier.

detection of different neurochemicals¹¹⁹ or from different regions.^{120,121} For example, Ewing group used lithography to fabricate microelectrode arrays on a chip, monitoring the neurotransmitter release in different regions of single-cell surfaces.^{122,123}

3.2.4 Reactive ion etching. Reactive-ion etching (RIE) is a type of dry etching which uses high-energy ions generated by plasma to attack the wafer surface and remove the materials. RIE reaches a resolution of sub-100 nm.¹²⁴ For example, a nanostructured carbon electrode array was fabricated using RIE, and coupled with FSCV to detect low concentrations of dopamine.¹¹²

3.2.5 Template synthesis of porous carbon. Template synthesis is widely used to fabricate porous carbon structures. A variety of materials are used as templates, including silica,^{125,126} silica oxide,¹²⁷ polymer,^{128,129} and metal-organic frameworks.¹³⁰ The templates are removed after deposition of carbon or polymer that is carbonized to form porous carbon structures. Porous carbon structures are classified into three subdivisions: microporous (<2 nm), mesoporous (2 nm to 50 nm), and macroporous (>50 nm).⁹² Thin layer diffusion has been observed at GCEs modified with porous film.¹³¹ The Chen group reported simultaneous detection of ascorbic acid, dopamine, and uric acid using nitrogen doped porous carbon nanopolyhedra (N-PCNP) modified electrodes. The N-PCNPs/GC electrode showed better peak separation due to the narrow pore-size distribution, high surface area, and nitrogen-doping.¹³²

3.3 Electrochemical implications of changing surface morphology

3.3.1 Increased surface area for enhanced sensitivity. A main advantage of 3D-structured microelectrodes is that the larger electrode surface area provides higher sensitivity for electroanalytical sensing, and a majority of papers highlight this feature.¹³³ For substrate-based electrode sensors, 3D structures significantly increase the active surface area without increasing much volume. 3D carbon arrays offer significant increases in surface area. For example, Hemanth *et al.* fabricated suspended 3D pyrolytic carbon microelectrodes from an SU-8 template.⁸⁸ Using square wave voltammetry for dopamine detection, 3D electrodes showed peak current response twice as high as 2D electrodes. 3D carbon arrays also increase the sensitivity in FSCV detection of dopamine,¹¹² which are similar to the nanostructured carbon electrode arrays made using reactive ion etching. Porous carbon-modified electrodes are also sensitive and selective sensors of dopamine, with a limit of detection (LOD) that surpasses GCEs and other modified electrodes.¹³⁴ Therefore, 3D structures are an effective method for increasing the surface area.

3.3.2 Collector/generator for increased sensitivity. Surface geometries are also an important factor in collector/generator systems. Compton *et al.* summarized the theory of different geometries of the collector/generator electrodes.¹³⁵ Interdigitated electrode arrays (IDEAs) are advantageous due to the very close inter-electrode spacing which causes the diffusion layers of generator and collector electrodes to overlap. Thus, when the

species is formed at the generator electrode, it is already in the depletion zone around the generator electrode and quickly diffuses back for a feedback response. Kamath's group fabricated IDEAs using photolithography and evaluated the relationship of how different parameters such as height and width/gap ratio led to signal amplification.¹³³ The Amemiya group reported a double carbon-fiber microelectrode system, with a nanogap in between them, for the detection of dopamine selectively over ascorbic acid, because the irreversible ascorbic acid is redox-inactive at the collector electrode.¹³⁶ This electrode has not been used *in vivo*, but may offer advantages of limited fouling and high selectivity.¹³⁷ Scan rate is an important factor in collector/generator systems. At slower scan rates, when the reaction rate to consume the species is smaller than the diffusion rate from bulk solution, a steady state is formed and the voltammogram shows a diffusion plateau.¹³³ At faster scan rates, the plateau gradually changes into peaks.¹³⁶ Collector-generator systems are useful for fluidic devices, but have not been implemented *in vivo* yet.

3.3.3 Thin layer effects and analyte confinement enhance sensitivity and selectivity. One of the main effects of making a nanostructured or cavity geometry is that the analyte is confined in the material on the time scale of a short electrochemical measurement. When the surface structure scale is of the same magnitude as the diffusion layer, mass transfer is limited to a small volume of solution confined to a thin layer, estimated to be 1–10 μm for experiments on the millisecond to hundred millisecond time scale. However, in practice even surface microstructures down to the sub-micron scale can exhibit the thin layer effects.^{105,138} The tortuosity of surface structures increases the diffusion pathlength, and interactions between electroactive species decrease the diffusion rate. Using FSCV, a rough electrode surface significantly improves temporal resolution due to the thin layer effect.¹³⁹ Surface roughness also promotes selectivity, as some reactions such as the cyclization of dopamine and subsequent leucodopaminechrome peaks are more distinct in long-length CNT electrodes compared with short-length CNT electrodes, due to trapping effects.⁸³

The thin layer effect has been studied at several carbon electrode materials, including multiwalled carbon nanotubes,^{138,140–143} single walled carbon nanotubes,^{131,141,144} micropillar array electrodes,¹⁴⁵ porous electrodes,^{143,146,147} and carbon pipettes.⁹⁷ At slow scan rates, surface-structured electrodes behave similar to planar electrodes, because the mass transport towards the electrode is dominated by flux from the bulk and the contribution of species initially located within the electrode surface layer can be neglected. At higher scan rates, most current is due to thin-layer diffusion, which results in a decrease of peak-to-peak separation (ΔE_p).¹³⁸ For example, Compton's group found that the ΔE_p decreased as the scan rate increased using a MWCNT-modified GCE (Fig. 6A–C).¹³⁸ Similar results were observed at carbon pipette-based electrodes, where the thin layer effect was observed at scan rates higher than 50 mV s^{-1} .⁹⁷

In FSCV, measurements are typically limited to scan repetition frequencies of 10 Hz and increasing the scan repetition frequency from 10 to 90 Hz dramatically decreases the electrode sensitivity.³ In addition, CVs of dopamine at CFMEs typically



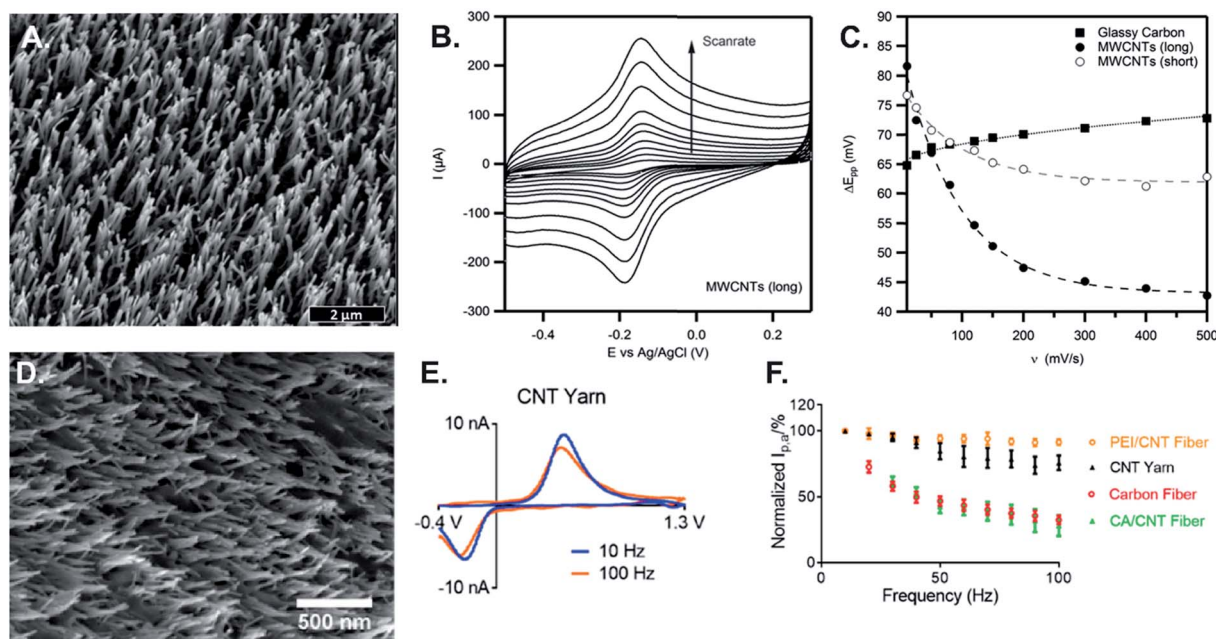


Fig. 6 Thin layer effect studies in CV and FSCV. (A) 3 μm long MWCNT modified GCE; (B) scan rate study of 1 mM $\text{Ru}(\text{NH}_3)_6\text{Cl}_3$; (C) peak-to-peak separation vs. scan rate. The distance between the peaks is much smaller with long MWCNTs because the analyte is trapped at time scales of fast experiments. (D) CNT-yarn microelectrode; (E) effect of scan repetition frequency for 1 μM dopamine detection at the scan repetition frequency of 10 Hz (blue) and 100 Hz (orange); (F) peak oxidation current vs. frequency at different microelectrodes. Polyethyleneimine (PEI)-CNT and CNT yarn electrodes act as thin layer cells, which make them nearly frequency independent, while carbon fibers and chlorosulfonic acid (CA)-CNT fibers are not thin-layer cells and are frequency independent. (A)–(C) Reprinted from ref. 138 with permission from Elsevier. (D)–(F) Reprinted from ref. 22 with permission from Elsevier.

have much larger oxidation currents than reduction currents. In contrast, the oxidation current of dopamine at CNT-yarn electrodes and CNT-fiber electrodes is nearly independent of scan repetition frequency, and the reduction peak is of similar magnitude to the oxidation peak (Fig. 6D–F).^{22,105} At CFMEs, the oxidized species dopamine-*o*-quinone (DOQ) desorbs easily and diffuses away from the electrode surface, resulting in the smaller magnitude reduction peak. At rough surfaces such as CNT-yarn electrodes, where the surface acts as a thin layer cell, DOQ remains close to the electrode surface and easily adsorbs again if it desorbs.²² Thus, CNT yarns and CNT fibers with micron scale surface roughness have more reversible behavior and are attractive for high-speed measurements of neurotransmitters.

4. Future directions: designing carbon electrodes for neurotransmitter sensing

4.1 Rational design of neurotransmitter sensors

Carbon-fiber electrodes have been a mainstay of neurotransmitter sensing for decades, and many new strategies are evolving for using carbon nanomaterials, lithography, and 3D-printing techniques to fabricate carbon microelectrodes. The enhancement of electrode performance is explained by chemical or surface structures using electrochemistry principles, but many research articles lack a solid foundation in fundamental electrochemistry. Therefore, rational design and optimization

of chemical and 3D-surface structures is needed in order to better tune the electrochemical performance.

For dopamine, the optimal electrode provides abundant adsorption sites while maintaining electrode conductivity. New nanomaterials that have more edge plane sites and oxide functional groups will be better for electrode sensitivity. In addition, while laser or plasma treatments increase active sites and oxygen containing functional groups,^{46,47} they have been demonstrated only on a limited number of nanomaterials and could be more widely adopted. However, nanomaterials with 100 nanometer scale roughness will only provide sensitivity increases by promoting adsorption. To take advantage of the trapping effects and sensitivity increases of thin layer cells, the material must have surface roughness or pores that approach the micron scale. Therefore, electrodes that have rougher surface structures may have more benefits for electrochemistry than increasing active sites alone. VACNTs and VACNFs are promising for promoting thin layer cell effects, and they are combined with nanomaterial doping,¹⁴⁸ surface treatments,^{46,47} or polymer coatings^{64,74,149} to promote selectivity as well. In addition, 3D printing and lithography are used to rationally design structures that will act as thin layer cells and trap dopamine. If the pyrolyzed carbon in these electrodes does not provide optimal electroactivity, it could be coated by a thin layer of carbon nanotubes^{20,44} or graphene nanomaterials^{28,132} to improve dopamine detection.

Many papers currently attack the problem of ascorbic acid interference of dopamine detection, and carbon nanomaterials



and polymer coatings are good for promoting selectivity based on charge. One strategy is to use the electrocatalytic effects of nanomaterials to separate the peaks of dopamine and ascorbic acid and many nanomaterials do this effectively, including CNTs,¹⁸ N-doped porous carbon nanopolyhedra,¹³² and N-doped graphene.⁵⁸ The Laurila group showed that diamond-like carbon (DLC)-MWCNT hybrid electrodes could determine dopamine in the presence of ascorbic acid.¹⁵⁰ Future research will likely explore more hybrid nanomaterials to explore how their chemical and surface structures help discriminate dopamine and ascorbic acid. Polymer-based approaches are also common for reducing ascorbate interference. PEDOT-based electrodes discriminate dopamine from ascorbic acid and uric acid by separating the peaks.⁷¹ PEDOT functionalized with carboxylic acid groups rejects ascorbic acid and increases the signal for dopamine.⁷⁹ Nafion, which can be combined with CNTs, rejects cations such as ascorbic acid.⁶⁴ Future studies should also take advantage of the redox cycling of dopamine in thin layer cells, which should also promote dopamine detection over ascorbic acid, which is less reversible.^{136,137}

One area of promise for the rational design of electrodes is to examine other electroactive neurochemicals besides dopamine. Some of them, such as the catecholamines epinephrine and norepinephrine, may undergo redox cycling, but their adsorption properties may differ from those of dopamine. Others, such as serotonin, are also cationic, but have different structures and problems with fouling as the electroactive product polymerizes and builds up on the electrode. CNT fibers have been shown to resist fouling by serotonin, so it is possible to optimize carbon surfaces for serotonin detection.²³ BDD and CNT network electrodes were also favorable for trace serotonin detection because of their low background currents. While CNT networks were the most sensitive, BDD electrodes were more resistant to fouling.⁵² Some neurochemicals have irreversible electrochemistry, such as adenosine,¹⁵¹ and these neurochemicals are expected to have less sensitivity enhancement at thin-layer cell electrodes, since they would not undergo redox cycling. Thus, surface structured electrodes might not have equal enhancements for all neurochemicals, and these effects need to be better studied. Electrodes on the nanoscale, particularly carbon nanopipettes, might also have enhanced electric fields at their small tips, and electrostatic effects might also be used to preferentially detect certain analytes by charge. While dopamine is a good test compound for fundamental studies, other compounds should be examined as some nanomaterials may provide enhanced selectivity or adsorption for different classes of compounds.

4.2 Enhanced detection of neurotransmitters *in vivo*

While research has concentrated on the fundamental electrochemical properties of new carbon electrodes, translating those properties into electrodes that are used for cellular or tissue measurements is difficult. One of the biggest concerns in real tissue is biocompatibility and fouling and many studies claim that carbon nanomaterials have antifouling properties. For example, CNTs grown on microwires have only slightly lower current density *in vivo* than during calibration.⁶ The high

sensitivity of carbon nanomaterials also means that they retain large currents even if there is some biofouling in tissue.⁴⁷ The charge of the electrode material affects its hydrophilicity, and more hydrophilic surfaces typically experience less adsorption of proteins and biomolecules.¹⁵² Polymer coatings also lessen fouling,¹⁵³ and the recent work by the Mao group shows that the PEDOT-PC coated electrode exhibited almost no biofouling.⁸⁰ The downside to zwitterionic phosphorylcholine coatings is that they decrease the sensitivity for dopamine, compared to carboxylic acid functionalized PEDOT.⁷⁹ Recently, Sombers' group reported a different strategy for rejecting larger molecular interferents: using a size exclusion polymer coating. Electrodeposition of 1,3-phenylenediamine onto CFMEs creates a size-exclusion membrane to reject larger molecular interfering agents for FSCV detection of H₂O₂.¹⁵⁴ Similar effects might be obtained by nanolithography or 3D printing to print pores to exclude proteins. Solving biofouling issues is key to improving the functionality of new carbon electrodes and future studies should also focus on testing electrodes for long term implantation.

New carbon electrodes are suitable for cellular studies and *in vivo* measurements. Scaffolds of 3D pillars have been used to grow and differentiate stem cells and study the dynamics of exocytotic release.⁸⁷ Nanodiamond coatings increases cell viability for applications of growing cells on electrodes.³⁷ Arrays are also useful for measuring neurotransmitter release at many cells simultaneously.¹⁵⁵ Many of the current array configurations are not individually addressable and thus future work should focus on making more parallel sensors for neurotransmitter detection. In brain slices, graphene-modified electrodes have been used with slow scan CV²⁵ and CNT yarn microelectrodes with FSCV to detect dopamine.²¹ *In vivo*, CNT yarn microelectrodes produce higher currents at faster temporal resolution than CFMEs.⁴⁷ CNT-CFMEs and VACNTs have been used to detect ascorbic acid levels in the brain.^{82,156} Smaller electrodes are useful for detecting dopamine in smaller model organisms, such as carbon nanopipettes used in *Drosophila*,⁹⁶ and carbon-fiber nanotip electrodes used in living PC12 cells.¹⁵⁷ Most of these studies are short demonstrations that the electrodes can be used *in vivo*, but very few neuroscience studies have extensively demonstrated the long term *in vivo* applications of these new carbon electrodes. Therefore, more work is needed to understand the advantages of new carbon electrodes *in vivo* and efforts are needed to make them in a robust, reproducible manner that is amenable to mass fabrication and eventual distribution to neuroscientists.

5. Conclusions

In this article, we have shown that both chemical structure and 3D surface structure affect the electrochemistry of neurotransmitter detection. Carbon nanotube and graphene modified electrodes have electrocatalytic effects, enhance the amount of edge plane sites, and can be functionalized to promote surface oxide groups that adsorb dopamine. Polymers or polymer-nanomaterial coatings increase selectivity and decrease fouling. Making carbon nanomaterial electrodes with surface roughness



on the micron scale provides additional enhancements because the electrodes act as thin layer cells and trap dopamine, increasing the sensitivity and selectivity. Nanolithography and 3D printing are also used to make arrays that act like thin layer cells or collector–generators. Research is now transitioning into using our understanding of electrode properties to rationally design electrodes, for dopamine and also other neurotransmitters. *In vivo* measurements are just beginning, but treatments to reduce biofouling and increase biocompatibility show great promise in making these electrodes advantageous for tissue measurements.

Conflicts of interest

There are no conflicts to declare.

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