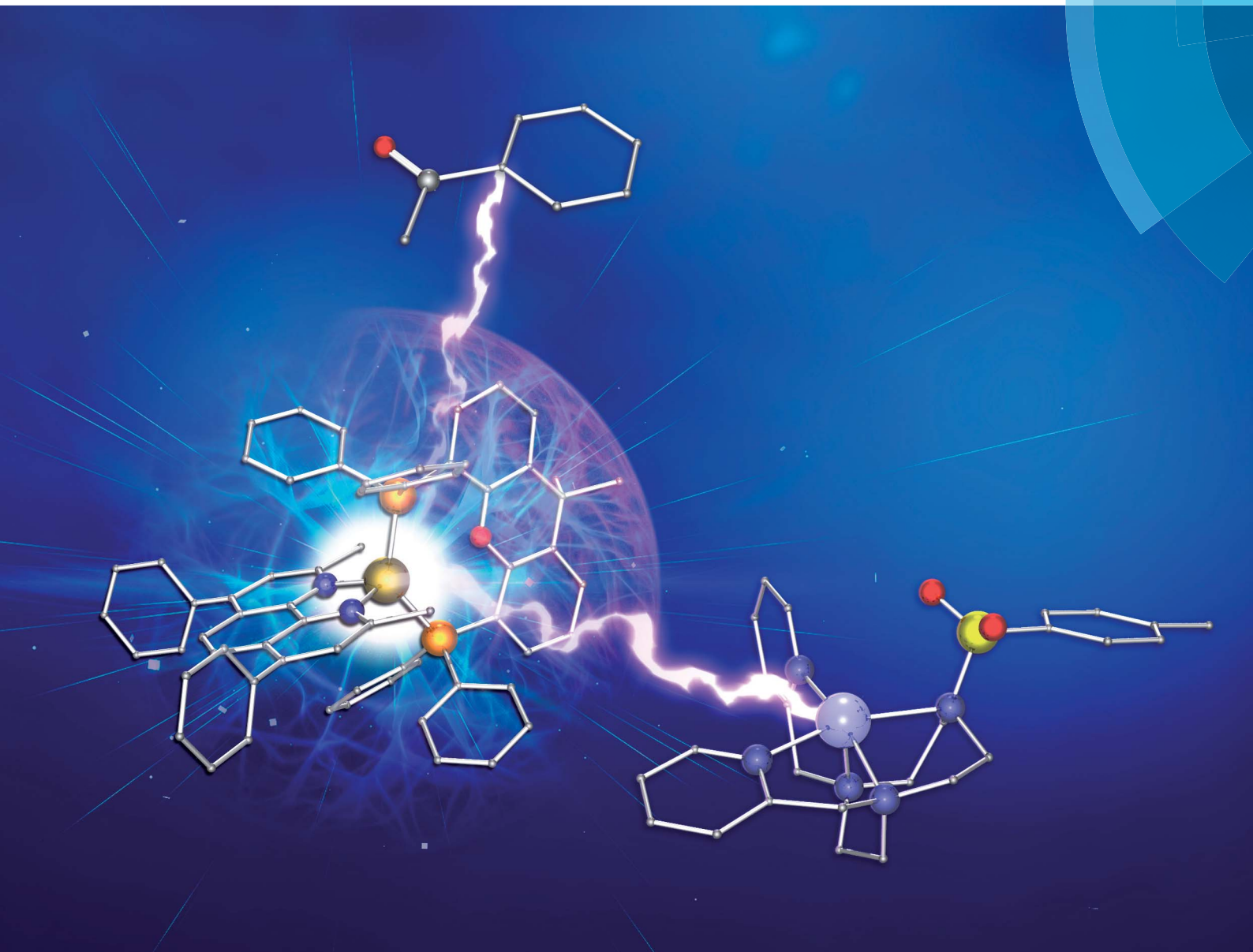


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Dual cobalt–copper light-driven catalytic reduction of aldehydes and aromatic ketones in aqueous media†

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We present an efficient, general, fast, and robust light-driven methodology based on earth-abundant elements to reduce aryl ketones, and both aryl and aliphatic aldehydes (up to 1400 TON). The catalytic system consists of a robust and well-defined aminopyridyl cobalt complex active for photocatalytic water reduction and the [Cu(bathocuproine)(Xantphos)](PF₆) photoredox catalyst. The dual cobalt–copper system uses visible light as the driving-force and H₂O and an electron donor (Et₃N or ⁱPr₂EtN) as the hydride source. The catalytic system operates in aqueous mixtures (80–60% water) with high selectivity towards the reduction of organic substrates (>2000) vs. water reduction, and tolerates O₂. High selectivity towards the hydrogenation of aryl ketones is observed in the presence of terminal olefins, aliphatic ketones, and alkynes. Remarkably, the catalytic system also shows unique selectivity for the reduction of acetophenone in the presence of aliphatic aldehydes. The catalytic system provides a simple and convenient method to obtain α,β -deuterated alcohols. Both the observed reactivity and the DFT modelling support a common cobalt hydride intermediate. The DFT modelled energy profile for the [Co–H] nucleophilic attack to acetophenone and water rationalises the competence of [Co^{II}–H] to reduce acetophenone in the presence of water. Mechanistic studies suggest alternative mechanisms depending on the redox potential of the substrate. These results show the potential of the water reduction catalyst [Co(OTf)(Py₂^{Ts}tacn)](OTf) (**1**), (Py₂^{Ts}tacn = 1,4-di(picolyl)-7-(p-toluenesulfonyl)-1,4,7-triazacyclononane, OTf = trifluoromethanesulfonate anion) to develop light-driven selective organic transformations and fine solar chemicals.

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Introduction

Catalysts developed for the reduction of H₂O¹ and CO₂² in the context of artificial photosynthesis (AP) have the potential to provide greener light-driven methodologies for sustainable synthetic methods.³ The production of fine chemicals has less scaling and economic restriction than the synthesis of energy carriers. In this regard, using catalytic systems derived for AP to perform selective organic transformations is highly appealing.^{3c,d,4} Remarkable examples of catalytic systems based on semiconductors,⁵ enzymes,^{4a-c,i,6} and, more recently, homogeneous catalysts,^{4j,7} although with reduced scope or selectivity, have been shown to be active for specific transformations.

For instance, semiconductor materials, such as TiO₂ or CdS, provide much lower redox potentials, promoting direct reduction reactions *via* one or two photoinduced electron transfer processes, but at the expense of using UV light, novel metals, and/or obtaining low to moderate selectivity (Scheme 1).^{5a-g} The asymmetric reduction of acetophenones and α -ketoglutarate has been achieved by coupling (i) a sacrificial electron donor, (ii) a photosensitizer, (iii) a noble-metal-based catalyst, (iv) an electron carrier, and (v) an enzyme that carries out the enantioselective transformation.^{4a-c,i,6} Results of the latter case show that selective light-driven reduction of organic substrates, using water as a formal dihydrogen source, is a feasible transformation, albeit limited to a specific substrate.^{4a-fi} However, due to the complexity of these catalytic systems, optimization and mechanistic studies are difficult.

The combination of photoredox catalysts with well-defined molecular complexes is a powerful approach towards light-driven reduction of organic molecules.⁸ The introduction of a photoredox catalytic cycle potentially enables the use of water and an electron donor as reductive equivalents, while organo-metallic or coordination complexes can serve as selective hydrogenation catalysts with a broad scope. In this regard,

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Scheme 1 Methodologies for the light-driven reduction of carbonyl compounds. Abbreviations: ED: electron donor, TEOA: triethanolamine, TEA: triethylamine. Selected references: (A) ref. 5 and 7, (B) ref. 4a, e and 6, and (C) ref. 4j. (D) Developed methodology in this study.

coordination complexes based on Rh,^{4j} Ru,^{7b} and Ir^{7c} have been explored. A remarkable example is the one reported by König and co-workers for the selective visible light photoreduction ($\lambda = 455$ nm) of aldehydes to alcohols in the presence of ketones. This catalytic system consists of proflavine (PF) as the photocatalyst and $[\text{Cp}^*\text{Rh}^{\text{III}}(\text{bpy})\text{Cl}]\text{Cl}$ as a well-known hydrogenation catalyst in the presence of triethanolamine (TEOA) as a sacrificial electron donor.^{4j} Nevertheless, while aldehydes can be easily reduced using this system, ketones are not suitable substrates (Scheme 1).

Furthermore, remarkable efforts have been focused on developing hydrogenation catalysts based on earth-abundant elements.⁹ However, they are usually sensitive to O_2 . Therefore, catalysts based on earth-abundant elements that are resilient to O_2 , operate in H_2O , and can reduce organic substrates using light as the energy source and water/an electron donor as a source of hydrides could have a beneficial impact in the synthesis of drugs, pesticides, and organic chemicals in general.¹⁰ In this vein, efficient catalysts for the reduction of water to hydrogen such as cobalt complexes based on glyoxime,¹¹ diimine-glyoxime,^{1c} and aminopyridine^{1b,d} ligands are promising candidates as hydrogenation catalysts of organic substrates under similar reaction conditions.^{1f,h,12} Mechanistic investigations of these systems suggest that molecular $[\text{Co}-\text{H}]$ species are key intermediates in H_2 formation.¹³ Therefore, photochemically obtained $[\text{Co}-\text{H}]$ intermediates based on these ligands could potentially be catalytic intermediates for the reduction of organic functionalities in H_2O using amine/ H_2O as a hydride source (Scheme 1).

Herein, we present a methodology to reduce aromatic ketones and both aliphatic and aromatic aldehydes using dual cobalt-copper light-driven catalysis using $\text{H}_2\text{O}/(\text{Et}_3\text{N}$ or $^i\text{Pr}_2\text{EtN})$ as a hydride source (Scheme 2). The dual metal catalytic system is formed by an aminopyridine cobalt complex (**1**) and $[\text{Cu}(\text{bathocuproine})(\text{Xantphos})](\text{PF}_6)^{14}$ (**PS_{Cu}**) (bathocuproine = 2,9-dimethyl-4,7-diphenyl-1,10-phenanthroline and Xantphos = 4,5-bis(diphenylphosphino)-9,9-dimethylxanthene) as the photoredox catalyst (Scheme 2). Our reactivity studies suggest a common intermediate, most probably $[\text{Co}-\text{H}]$, is responsible for the reduction of organic substrates and water. Using water/amine and light as reductive equivalents, we achieved a selective catalytic reduction of aromatic ketones in the presence of aliphatic ketones, aliphatic aldehydes, aliphatic alkenes, and alkynes. This unique selectivity is rationalised based on reactivity and isotopic labelling.

Results and discussion

For explorative purposes we examined the metal-catalyzed light-driven reduction of acetophenone (**9a**) as a model substrate under similar conditions to those recently reported by our group for the reduction of water to H_2 .¹⁵ Acetophenone (66 mM), $[\text{M}(\text{OTf})(\text{Py}_2^{\text{Ts}}\text{tacn})](\text{OTf})$ ($\text{M} = \text{Co}$ (**1**), Fe (**1_{Fe}**), Ni (**1_{Ni}**)), ($\text{Py}_2^{\text{Ts}}\text{tacn} = 1,4\text{-di}(\text{picolyl})\text{-7-}(p\text{-toluenesulfonyl})\text{-1,4,7-triazacyclononane}$, $\text{OTf} = \text{trifluoromethanesulfonate anion}$) (1 mol%) as reduction catalysts,



Scheme 2 Earth-abundant dual catalytic system for the photoreduction of aromatic ketones and aldehydes.



$[\text{Ir}(\text{bpy})(\text{ppy})_2](\text{PF}_6)$ (PS_{Ir} , ppy = 2-phenylpyridine) (0.5 mol%) as the photoredox catalyst and Et_3N (2.1 equiv.) as the electron donor were mixed in a solvent mixture $\text{H}_2\text{O} : \text{CH}_3\text{CN}$ (3.5 : 1.5 mL) and irradiated for 5 h at 447 ± 20 nm using an in-house developed high throughput photoreactor, which allows for temperature and light intensity control (Fig. SI.1.1†). Cobalt complex **1** was the only complex yielding a significant amount of alcohol (23%), with quantitative recovery of the ketone **9a** (ESI Table SI.1.1,† entry 1). The inactivity of the analogous iron (**1_{Fe}**) and nickel (**1_{Ni}**) complexes can be explained by the impossibility of generating stable M–H intermediates under photochemical conditions.¹⁵ Encouraged by this result, we screened catalyst/photosensitizer/electron-donor ratios and solvent mixtures, maximizing the alcohol yield up to 65% (initial rate = 0.065 mmol per h) (Table SI.1.1,† entry 5). Control experiments demonstrated that all components are required for the light-driven reduction of acetophenone (**9a**) (see Table SI.1.1,† entries 12–14).

Light–dark cycles for the reduction of **9a** show that the formation of the corresponding reduced alcohol **10a** stops in the dark and is restored upon irradiation with similar kinetics (Fig. 1). This indicates that the catalytic system is not degrading in the absence of light. The reaction is selective; the only organic products detected from ^1H -NMR or GC monitoring are **9a** and **10a** (see Fig. SI.1.11†).

An important amount of H_2 was detected in the headspace of the reaction vials. Interestingly, the substrate inhibited the hydrogen evolution; the higher the substrate concentration the higher the inhibition. More importantly, the total amount of H_2 + **10a** formed was constant for all tested concentrations of

9a, which suggests competing pathways with a common intermediate (Fig. 2). Control experiments discard the idea that photogenerated H_2 is the reducing agent of acetophenone. The reaction does not proceed in the dark under an H_2 atmosphere and reaction rates under visible-light are essentially the same under H_2 or N_2 atmospheres, without induction time (see Fig. SI.1.12†). Finally, Hg poisoning experiments did not modify the reaction outcome (61% yield **10a**), suggesting that the main catalytic activity is derived from a molecular system.¹⁶

Light-driven hydrogen evolution and ketone reduction activity of representative cobalt complexes: choosing the right cobalt catalyst

We hypothesised that the reaction mechanisms for the cobalt-catalysed light-driven catalytic reduction of both H_2O and ketones share the same key cobalt–hydride intermediate. Therefore, a series of aminopyridine based cobalt complexes, known to be active for water reduction, were evaluated for the photocatalytic reduction of **9a**. We studied cobalt complexes with pentadentate (2–4)¹⁷ and tetradentate (5–7)¹⁸ chelating nitrogen ligands as well as commercially available cobaloxime **8**¹⁹ and vitamin **B₁₂** (Scheme 2).

Remarkably, all cobalt complexes (1–8) except vitamin **B₁₂** showed photocatalytic activity in the reduction of **9a** (<0.5% yield of **10a**) (Table SI.1.3†). The observed catalytic activity strongly depends on the nature of the cobalt complex employed. For instance, the most efficient complex, **1** (65% yield, initial



Fig. 1 Comparison between light–dark cycles (red circles) and continuous irradiation (green circles) at 447 nm. The yields are determined by GC analysis relative to calibrated internal standard. Conditions: **1** (0.37 mM, 3 mol%), PS_{Ir} (0.25 mM, 2 mol%), substrate **9a** (12.4 mM) in $\text{H}_2\text{O} : \text{CH}_3\text{CN} : \text{Et}_3\text{N}$ (8 : 2 : 0.2 mL) at 30 °C.

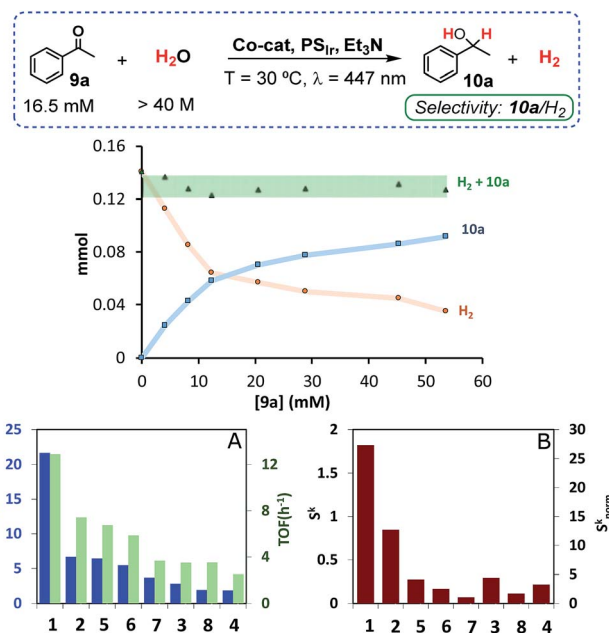


Fig. 2 (Top) Alcohol (**10a**) and H_2 formed at different concentrations of **9a** under optimized photochemical conditions. (Bottom) Catalytic activity and selectivity for **9a** reduction under optimized conditions, (A) $\text{TON} = (n(\text{10a})/n(\text{Co-cat.}))$ and $\text{TOF} = \text{TON}/t$. (B) Selectivity ketone vs. H_2 ($S^k = (n(\text{10a})/n(\text{H}_2))$), and normalized selectivity with respect to **7** (S^k_{norm}). Conditions: **Co-cat.** (0.49 mM, 3 mol%) and PS_{Ir} (0.25 mM, 1.5 mol%), **9a** (16.5 mM) in $\text{H}_2\text{O} : \text{CH}_3\text{CN} : \text{Et}_3\text{N}$ (8 : 2 : 0.2 mL) at 30 °C.



Table 1 Light-driven reduction of selected aromatic ketones and aromatic and aliphatic aldehydes

$R = -CH_3$ **9a-x**
 $R = -H$ **11a-c**

$R = -CH_3$ **10a-x**
 $R = -H$ **12a-c**

Earth abundant metals
 Visible light, water/ED as a source of H⁺
 Efficient, robust and O₂ tolerant

Aromatic ketones and aldehydes ^a

10a, 92% (90%) **10b**, 92% (89%) **10c**, 80% (77%) **10d**, 92% (90%) **10e**, 82% (78%) **10f**, 99% (90%) **10g**, 99% (91%) **10h**, 3% **10i**, (89%)

10j, 94% (91%) **10k**, 50% (40%) *p*-MeO: **10l**, 43% (42%)
m-MeO: **10m**, 96% (93%)
o, *m*, *p*-MeO: **10n**, 95% (90%) **10o**, 93% (92%) *p*-Me: **10p**, 94% (89%)
m-Me: **10q**, 94% (88%)
o-Me: **10r**, 32% (31%) *p*-Cl: **10s**, 91%^[c] *p*-F: **10t**, 99% (94%)
o-F: **10u**, 93%
o, *p*-F: **10v**, 98% (96%) **10w**, 92% (94%)^[e]

10x, 93% (90%) *p*-Me: **12a**, 94% (90%)
p-MeO: **12b**, 93% (91%)
m, *m'*-^tBu: **12c**, 94% (91%)

Aliphatic aldehydes ^b

$R = -CH_3$ **11d-f** **12d-f**

12d, 85% (82%) **12e**, 64% (60%)^[d] **12f**, 93% (61%)

^a Standard catalytic conditions: **1** (1 mol%), **PS_{Cu}** (1.5 mol%), Subs. (16.5 mM) in H₂O : CH₃CN : Et₃N (6 : 4 : 0.2 mL) irradiated (447 nm) for 5 h at 30 °C under N₂. ^b Optimized catalytic conditions: **1** (6 mol%), **PS_{Cu}** (6 mol%), Subs. (4.4 mM) in H₂O : CH₃CN : ⁱPr₂EtN (6 : 4 : 0.2 mL) irradiated (447 nm) for 24 h at 15 °C under N₂. ^c Formation of **10a** was detected (5% yield). ^d Optimized conditions using 8.7 mM of substrate. Yields after workup (average of triplicates) determined by GC analysis relative to calibrated internal standard. Isolated yields between parentheses (average of 16 reactions).

rate = 0.065 mmol **10a** per h) is about 2 fold more reactive than complex **2**.

Next, we evaluated the selectivity of the reduction of **9a** vs. water. Without **9a**, all complexes (except vitamin **B₁₂**) produced large quantities of H₂ under both typical conditions for H₂ evolution and our optimized conditions for the reduction of ketones (Fig. SI.1.3 and 4†). However, in the presence of **9a**, we observed notable differences in the selectivity of **9a** vs. H₂O reduction among the cobalt catalysts tested, which illustrate that selectivity can be tuned by the ligand employed (Fig. 2). For instance, cobalt complexes bearing aminopyridine tetradentate ligands offer high selectivity towards H₂ evolution (ratio (H₂/ **10a**): 3.6–13.9), while pentadentate ligands display an excellent selectivity towards the reduction of **9a** (ratio (**10a**/H₂): 1.7 and 0.8 for **1** and **2**, respectively). Based on these results a general trend can be derived for each set of complexes: the higher the ligands' basicity, the more active the catalyst is for ketone reduction.

Among the studied complexes, **1** is the most active and selective catalyst towards ketone reduction. The observed selectivity is remarkable since [**9a**] (16.5 mM) is about 2500 fold lower than [H₂O] (>40 M) and it is expected that M–H intermediates react very rapidly with water to form H₂.

Dual cobalt–copper light-driven catalytic reduction

Encouraged by the excellent performance of **1** we carried out further optimization studies. The combination of complex **1** with the photoredox catalyst [Cu(bathocuproine)(Xantphos)](PF₆) (**PS_{Cu}**), previously studied by Beller *et al.* for

the reduction of H₂O to H₂,¹⁴ substantially improved the yield of alcohol **10a** (92%) (Table SI.1.2,† entry 2). Control experiments show that the reaction does not proceed in the absence of complex **1**. Under these conditions, the photocatalytic ketone reduction is compatible with O₂ (Fig. SI.1.9†). Indeed, the reduction of **9a** was quantitative when the reaction was carried out under air atmosphere and in non-degassed solvents in a crimped vial without headspace. We rationalize the result by the potential capacity of the catalytic system to reduce O₂ to H₂O and the remarkable stability of our catalytic system under air.¹⁵ Moreover, quantitative reduction of acetophenone can be obtained even at 0.25 mol% of cobalt catalyst (TON = 1400) (Table SI.1.6,† entry 14).

A wide range of alkyl aryl ketones can be reduced to the corresponding alcohols by using **1** (1 mol%) and **PS_{Cu}** (1.5 mol%) in a H₂O : CH₃CN : Et₃N (6 : 4 : 0.2 mL) solvent mixture under N₂ with 5 h of irradiation at 447 nm. In general, isolated yields are high (Table 1), but are affected by the size of the alkyl group of the ketone. The electronic effects of the substrate influence the reaction as illustrated by the lower alcohol yields observed in the case of electron rich ketones such as **9k** and **9l** (40 and 42% respectively). The catalytic system developed is selective towards aromatic ketones. Aliphatic ketones, such as cyclohexanone (**9z**) or nonan-2-one (**9aa**) almost do not react (<5% yield). The developed methodology tolerates fluorine and chlorine substituted aromatic rings (**9s–9x**), which are reduced to the corresponding alcohols (**10s–10x**) in high yields (81–95%) (Table 1). However, brominated substrates such as 4-bromoacetophenone (**9ab**) and 2-bromo-4-methylacetophenone (**9ac**)



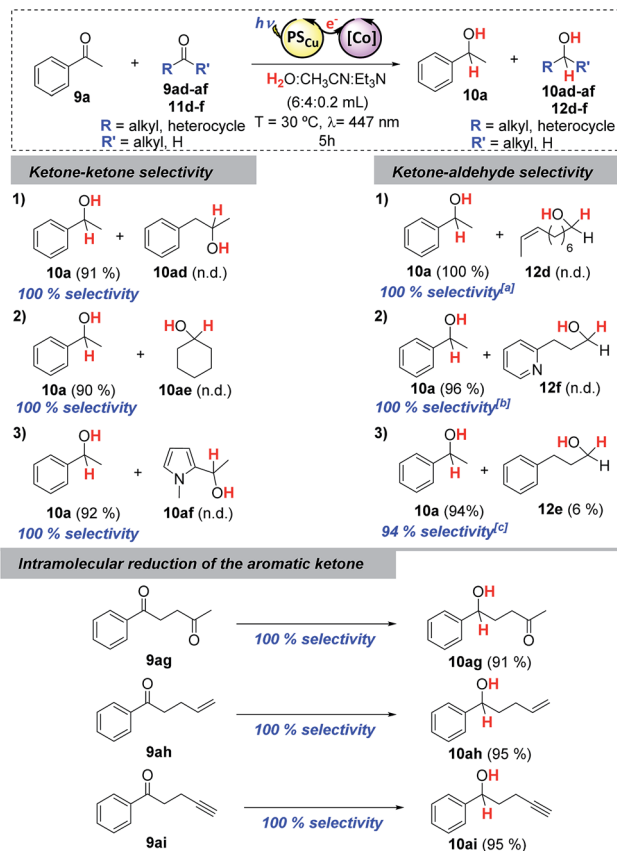
quantitatively give the dehalogenated products **10a** and **9p**, respectively. The expected reactivity of aromatic ketones under reductive enough photochemical conditions, is the formation of ketyl radical species *via* single electron transfer, which finally dimerize to form pinacols.^{5c} In this regard, efficient photoredox catalytic protocols for the reductive coupling of aldehydes and ketones have been developed by the groups of Sudo²⁰ and Rueping.²¹ We noted that the formation of pinacols is suppressed for the tested ketones in the presence of the cobalt catalyst **1**.

The catalytic dual **PS_{Cu}/1** system can be applied for the reduction of the aromatic aldehydes **11a–11c**, which are converted to the corresponding alcohols with excellent yields (Table 1). On the other hand, the aliphatic aldehydes **11d–f** were reduced with lower yields and required further optimization (see Tables SI.1.8 and 9†). We found that using a bulkier electron donor (diisopropylethylamine (DIPEA)), increasing catalyst loading (up to 6%), decreasing the substrate concentration (down to 8.7 mM), and performing the reaction at lower temperature (15 °C) improved the yield of the targeted alcohol **12e** up to 64% (Table SI.1.8,† entry 24). In the case of aliphatic aldehydes, no pinacol products were detected either in the presence or absence of the cobalt catalyst. This is in agreement with the significantly lower redox potential of aliphatic aldehydes in comparison to those of aromatic ones or aromatic ketones.²² The redox potential of the aliphatic aldehydes **11d–f** is lower than -2 V vs. SCE under catalytic conditions ($\text{H}_2\text{O} : \text{CH}_3\text{CN} : \text{Et}_3\text{N}$, 6 : 4 : 0.2)²³ (< -2.2 V vs. SCE²² in CH_3CN), while the redox potential for ketones and aromatic aldehydes is much higher (E^{red} for **9l** and **12a** are -1.74 , and -1.55 V vs. SCE, respectively). This serves as evidence that for the most electron rich substrates the formation of the ketyl radical is not viable, while for the most electron poor substrates it should be considered, since the redox potential of **PS_{Cu}** is about -1.53 V vs. SCE (Fig. SI.1.14†). This aspect will be further addressed in the mechanistic discussion.

Chemoselectivity

Stoichiometric competition experiments showed that the photocatalytic system is able to reduce acetophenone (**9a**) selectively in the presence of 1-phenylpropan-2-one (**9ad**), cyclohexanone (**9ae**) or 2-acetyl-1-methylpyrrole (**9af**) (Scheme 3). We also carried out competition experiments between **9a** and aliphatic aldehydes (**11d**, **11e** and **11f**). Extraordinarily, the aromatic ketone was quantitatively reduced with an excellent unexpected selectivity in all three cases (Scheme 3 and Fig. 3). GC monitoring of the reactions showed that **9a** was consumed and converted to **10a**, whereas the aliphatic aldehyde remained virtually intact (Fig. 3 and SI.2.129–146†).

In addition, this preference for aromatic ketones is extended to aliphatic ketones, aliphatic alkenes, and aliphatic alkynes as showed by the reduction of 1-phenyl-1,4-pentanedione (**9ag**), 1-phenyl-1,4-penten-1-one (**9ah**), and 1-phenyl-4-pentyn-1-one (**9ai**) as model substrates to their corresponding aromatic alcohols (Scheme 3).



Scheme 3 Competitive photoreductions. Conditions: **1** (1 mol%), **PS_{Cu}** (1.5 mol%), substrate A + B (16.5 mM, 1 : 1), in $\text{H}_2\text{O} : \text{CH}_3\text{CN} : \text{Et}_3\text{N}$ (6 : 4 : 0.2 mL) irradiated (447 nm) for 5 h at 30 °C under N_2 . ^[a]35 ^[b]50 and ^[c]30 min reaction, respectively. The isolated products were identified and characterized using NMR Spectroscopy.

The selective reduction of aromatic ketones *versus* highly reactive aliphatic aldehydes is not straightforward. Current methods rely on protection-deprotection steps or on the trapping of the aldehyde using stoichiometric amounts of lanthanide salts.²⁴ The latter methodology is known as the Luche reaction, and the most common conditions are the use of 1 equiv. of CeCl_3 and 1.5 equiv. of NaBH_4 in $\text{EtOH} : \text{H}_2\text{O}$ mixtures at low temperature.^{24a,24b,24f} On this basis, we compared the selectivity of our methodology with both direct reduction with NaBH_4 and the Luche reaction, for the reduction of substrates **11e** and **11d** in the presence of **9a** (Scheme 4). As expected, NaBH_4 did not yield satisfactory selectivity, however, neither did the Luche reaction, even when using fewer equivalents of NaBH_4 (see Scheme SI.1.6† for further details). In contrast, the dual cobalt–copper light-driven catalytic reduction affords excellent selectivity (Scheme 4).

Mechanistic investigations

We performed mechanistic studies based on isotopic labelling, radical clock experiments, and computational modelling to rationalize the unique selectivity of the developed dual Cu/Co photocatalytic system and to shed some light on the mechanism of action.



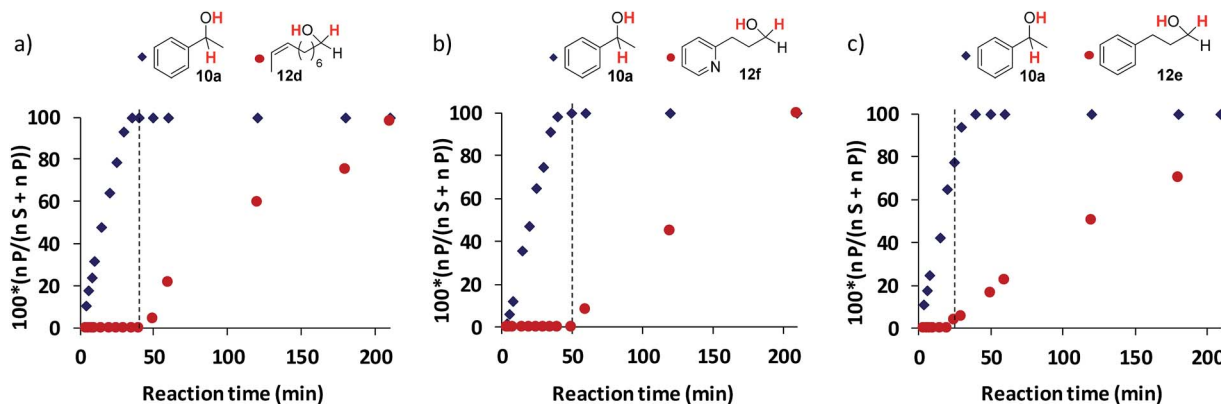


Fig. 3 Conditions: **1** (1 mol%), PS_{Cu} (1.5 mol%), total substrate concentration (16.5 mM) in a $\text{H}_2\text{O} : \text{CH}_3\text{CN} : ^i\text{Pr}_2\text{EtN}$ (3 : 2 : 0.1 mL) mixture, irradiated at 447 nm for 3.5 h at 30 °C under N_2 . The plotted data are the ratio between the amount of the reduced product formed and the sum of the amount of the reduced product formed and the unconverted starting material. The black dotted line indicates where substrates **11d** (a), **11f** (b), and **11e** (c) start reacting.



Scheme 4 Competition experiments. ^[a]Light-driven conditions: **1** (1 mol%), PS_{Cu} (1.5 mol%), substrate A + B (16.5 mM, 1 : 1), in $\text{H}_2\text{O} : \text{CH}_3\text{CN} : \text{Et}_3\text{N}$ (6 : 4 : 0.2 mL) irradiated (447 nm) for 5 h at 30 °C under N_2 . ^[b]Luche reaction conditions: $\text{CeCl}_3 \cdot 7\text{H}_2\text{O}$ (1 equiv. molar), NaBH_4 (1.5 equiv. molar), substrate A + B (16.5 mM, 1 : 1), in $\text{EtOH} : \text{H}_2\text{O}$ (4 : 6 mL) for 15 min at 0 °C under air. The same conditions but with NaBH_4 (0.5 equiv. molar) are also shown. ^[c] NaBH_4 (1 equiv. molar), substrate A + B (16.5 mM, 1 : 1), in MeOH (10 mL) for 15 min at rt. ^[d]Analysis after 35 and ^[e]30 minutes of irradiation. The percentages show the conversions of the substrate from which the product derives and percentages in brackets show the yield of the reduced product.

Isotopic labelling studies

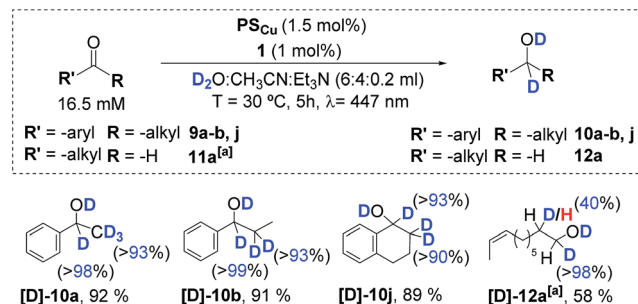
The photocatalytic reduction of several aromatic ketones (substrates **9a**, **9b**, and **9j**) and the aliphatic aldehyde **11d** with the dual PS_{Cu} /**1** catalytic system in D_2O and an excess of Et_3N enables the incorporation of deuterium atoms in the resulting alcohol products with high yields (Scheme 5). All of the alcohol products analysed show nearly quantitative incorporation of deuterium atoms at the carbonyl and its α -position. Incorporation of deuterium at the α -position of the carbonyl is consistent with a keto-enol tautomerization due to the basic reaction conditions, as suggested by the blank experiments without cobalt. In the case of the aliphatic aldehyde **11d**, the incorporation of a deuterium atom into the α -position of the carbonyl group was only about 40%. This is in contrast to the more than 90% incorporation of deuterium at the same position that was observed for the ketone derivatives.

Radical clock experiments

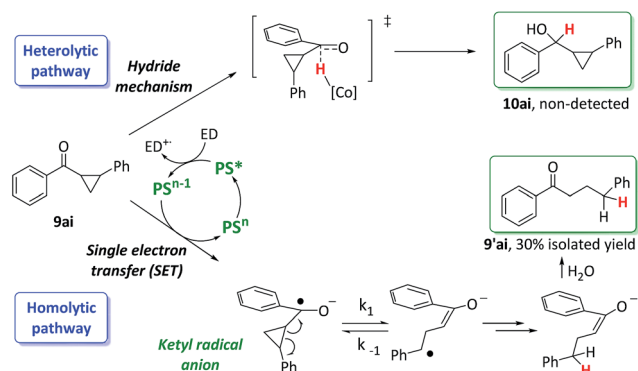
Ketones containing a 2-aryl-cyclopropyl moiety at the α -position are commonly used as diagnostic probes for reductions involving a single electron transfer (SET) mechanism. If a SET step is involved, it will trigger cyclopropane ring opening with a rate constant in the range from 10^5 to 10^7 s^{-1} .^{25,26} Thus, we evaluated the cyclopropyl phenyl ketone **9ai** under reaction conditions with and without the cobalt catalyst **1** (Scheme 6) with the aim of unravelling mechanistic information about the reduction step.

In this regard, the reduction of phenyl cyclopropyl ketone **9ai** forms only the ring-opening product **9'ai** albeit in 30% yield. The same result was obtained in the absence of the cobalt catalyst **1**. The reaction proceeds *via* a ring opening followed by a HAT from the $[\text{Co-H}]$ intermediate to the benzylic radical (homolytic pathway) or by a reduction followed by





Scheme 5 Deuterium labelling studies of aromatic ketones (**9a-b, j**), and aliphatic aldehydes (**11a**). **1a** (6 mol%), PS_{Cu} (6 mol%), substrate (4.4 mM) in $\text{D}_2\text{O}:\text{CH}_3\text{CN}:\text{Pr}_2\text{EtN}$ (6:4:0.2 mL) irradiated (447 nm) for 24 h at -3°C under N_2 . Isolated yields. Deuterium insertion analysed using NMR.



Scheme 6 Considered reduction pathways for **9ai**.

a protonation. These results suggest that a SET from the photoredox catalyst to the ketone yields the corresponding ketyl radical anion. Indeed, this process is thermodynamically accessible ($\Delta G = 1.6\text{ kcal mol}^{-1}$) since the redox potential of **9ai** is -1.6 V vs. SCE and the $E^{1/2}$ of PS_{Cu} is only about 70 mV lower. This result indicates that, for ketones and aldehydes with similar redox potential, the ketyl radical anion can be formed under catalytic conditions. However, these radical clock experiments do not allow for the discrimination between possible interceptions of the ketyl radical anions by $[\text{Co-H}]$ species *via* HAT and a possible direct reduction of the carbonyl groups *via* a hydride transfer mechanism.

DFT modelling

In order to explain the remarkable selectivity for acetophenone (**9a**) *versus* water reduction, we computed the free energy barriers associated with the reduction of water and **9a** by the cobalt(II) hydride species ($[\text{1}^{\text{II}}\text{-H}]$).

Computational studies were conducted with the Gaussian09 software package. Geometry optimizations were performed with the B3LYP functional and the 6-31G* 6d basis set for all atoms, including the effect of the solvent (SMD implicit solvent model) and dispersion interactions (Grimme- D_2 correction). A cluster of three water molecules has been introduced into the model to

account for hydrogen bonding and the micro-solvation sphere around the ketone and water substrates. Free energy values (G) were obtained by including thermal (G_{corr}), solvation (G_{solv}) and dispersion corrections (E_{disp}) to the potential energy computed with the 6-311+G** 6d basis set on equilibrium geometries:

$$G = E_{6-311+G^{**}} + G_{\text{corr}} + G_{\text{solv}} + E_{\text{disp}} \quad (1)$$

Gibbs energies have also been adjusted to the concentration of all of the species as well as to the pH of the reaction. Concentration effects were quantified using computation of the free energy change associated with the conversion from a standard state of 1 atm in the gas phase to the desired concentration ($\Delta G^{\text{ol}/*}$). Thus, the final absolute free energies for each species were evaluated as:

$$G_{\text{final}} = G + \Delta G^{\text{ol}/*} \quad (2)$$

See ESI 3† for the complete computational details and Fig. SI.3.2–3.5† for relevant geometrical data.

Computational modelling supports the idea that under catalytic conditions the $[\text{1}^{\text{II}}\text{-H}]$ species is easily accessible (Fig. SI.3.6†). As expected, the initial reduction of $[\text{1}^{\text{II}}\text{-NCCH}_3]$ to form $[\text{1}^{\text{I}}\text{-NCCH}_3]$ by the reduced PS_{Cu} is an exergonic process ($\Delta G = -3.6\text{ kcal mol}^{-1}$). Acetonitrile decoordination-protonation of $[\text{1}^{\text{I}}\text{-NCCH}_3]$ to form $\text{Co}^{\text{III}}\text{-H}$ is, under catalytic conditions (pH = 12), endergonic by 9.2 kcal mol^{-1} , which is in agreement with reported DFT calculations on related cobalt complexes.^{1d,13d,27} Then $[\text{1}^{\text{III}}\text{-H}]$ can be further photochemically reduced to $[\text{1}^{\text{II}}\text{-H}]$ species. The overall photochemical formation of $[\text{1}^{\text{II}}\text{-H}]$, starting from $[\text{1}^{\text{II}}\text{-NCCH}_3]$ and the copper photoredox catalyst (PS_{Cu}) as the reducing agent, is thermodynamically feasible ($\Delta G = -12.0\text{ kcal mol}^{-1}$). It has already been reported that the heterolytic pathway for H_2 formation at $\text{Co}^{\text{II}}\text{-H}$ complexes is the most favoured mechanism for cobalt complexes bearing aminopyridine ligands.^{1d,11,28} In our case, the protonation of the $[\text{1}^{\text{II}}\text{-H}]$ species under catalytic conditions (pH = 12) has a low energy barrier of $12.2\text{ kcal mol}^{-1}$.

At this point, we have calculated two different reaction pathways for the reduction of **9a** starting from $[\text{1}^{\text{II}}\text{-H}]$ (see Fig. SI.3.7–3.10† for complete free energy profiles). First, a hydride transfer from $[\text{1}^{\text{II}}\text{-H}]$ to the carbonyl group of the ketone was considered (Fig. 4). In this mechanism, the interaction between $[\text{1}^{\text{II}}\text{-H}]$, acetophenone, and water molecules has a remarkable free energy cost of 6.4 kcal mol^{-1} , mainly due to concentration effects. The transition state ($\Delta G^\ddagger = 11.1\text{ kcal mol}^{-1}$) is early since the metal-hydride distance is similar to $[\text{1}^{\text{II}}\text{-H}]$ ($d(\text{Co-H}) = 1.660\text{ \AA}$, $d(\text{H-C}) = 2.065\text{ \AA}$), and the metal center has a Hirshfeld charge of -0.18 and a relevant spin density (Fig. 5A). Finally, the hydride moiety is transferred to the ketone and the resulting negatively charged product is stabilized by an aqueous solvation sphere surrounding the oxygen atom ($\Delta G = -8.1\text{ kcal mol}^{-1}$). The thermodynamically feasible protonation of the alkoxide leads to the final product. For comparative reasons, the hydride transfer mechanism from a $\text{Co}^{\text{III}}\text{-H}$ moiety has also been considered, but a $\Delta G^\ddagger > 30\text{ kcal mol}^{-1}$ is obtained due to its reduced hydride character (Fig. SI.3.11†).



Fig. 4 DFT-modelled free energy profiles for the reduction of acetophenone (**9a**) and water with complex **1** via hydride transfer or homolytic SET-HAT mechanisms. Gibbs energies are in kcal mol⁻¹.

Alternatively, we have explored a homolytic pathway for the ketone reduction. This mechanism starts with a single electron transfer (SET) from the reduced copper photoredox catalyst ($E_{\text{exp}}(\text{PS}_{\text{Cu}}) = -1.53$ V vs. SCE) to **9a** to give a ketyl radical species (**9a^{ketyl}**), which is then trapped by **[1^{II}-H]** via a hydrogen atom transfer (HAT) mechanism (Fig. 4). In this regard, DFT calculations indicate that the reduction of **9a** ($E_{\text{theoretical}}^0 = -1.69$ V, $E_{\text{experimental}} = -1.65$ V vs. SCE) by PS_{Cu}^- to form **9a^{ketyl}** is slightly endergonic ($\Delta G = 3.8$ kcal mol⁻¹). Then, the subsequent HAT from **Co^{II}-H** to the ketyl radical **9a^{ketyl}** occurs through a transition state ($\Delta G^\ddagger = 18.3$ kcal mol⁻¹,

Fig. 4) that is higher in free energy than the direct hydride transfer of **[1^{II}-H]** to **9a** by 7.2 kcal mol⁻¹.

In the late transition state structure, the transferred hydrogen ($\rho(\text{H}) = -0.11$) is closer to the C-O group ($\rho(\text{C-O}) = 0.77$) than in the previously discussed hydride pathway ($d(\text{Co-H}) = 1.603$ Å and $d(\text{H-C}) = 1.873$ Å). The ketone shows a substantial spin density (Fig. 5B) and is antiferromagnetically coupled to the cobalt, evolving the final alkoxide product and the formal one electron reduction of the cobalt center ($\rho(\text{Co}) = 1.61$). It has also been considered that the reduction reaction may start with a proton coupled electron transfer to generate the O-protonated ketyl radical ($\Delta G = 3.8$ kcal mol⁻¹ at pH = 12). However, the total energy barrier for the product formation is substantially higher in free energy ($\Delta\Delta G^\ddagger = 8.4$ kcal mol⁻¹, see Fig. SI.3.10†).

The free energy profiles for ketone and water reduction are in agreement with the observed reactivity. They start with the **[1^{II}-H]** species as a common intermediate for both reductions. Interestingly, the kinetic barrier of the hydride transfer mechanism for **9a** ($\Delta G^\ddagger = 11.1$ kcal mol⁻¹) is lower than that for the water reduction by 1.1 kcal mol⁻¹ (Fig. 4 and SI.3.12†). This free energy difference is in agreement with the selectivity observed. Indeed, the large water content (>2500 fold) in comparison to acetophenone (about 15 mM) would explain the similar rates found for H₂ evolution and **9a** reduction (Fig. 2 and 4).

As a summary of the mechanistic studies, we propose that the hydride mechanism is prevalent, although we cannot discard the SET-HAT mechanism. It is known that under catalytic conditions PS_{Cu} is excited by light ($^*\text{PS}_{\text{Cu}}$) and reductively quenched by the electron donor (ED) to give PS_{Cu}^- ,²⁹ which is reductive enough ($E = -1.53$ V vs. SCE) to reduce complex **1** ($E = -1.35$ V vs. SCE) by one electron.¹⁵ This highly reactive low-valent intermediate, **[1^I]**, is protonated by water to form the putative **[1^{III}-H]**, which is easily reduced, giving the active **[1^{II}-H]** species. Then, two different mechanistic scenarios are postulated for the reduction of ketones and aldehydes to alcohols. One possibility is a SET-HAT mechanism in which a single-



Fig. 5 Spin density plots (isovalue = 0.006) of the transition states of (A) hydride transfer to acetophenone and (B) hydrogen atom transfer to a ketyl radical.



Fig. 6 Possible mechanistic scenarios for the photoreduction of aromatic ketones and aldehydes.



electron transfer (SET) from PS_{Cu}^- to the substrate generates a carbonyl radical anion intermediate, which is converted into the final product by a hydrogen atom transfer (HAT) from the [Co-H] species (homolytic pathway, Fig. 6, left). Alternatively, a direct nucleophilic attack of a putative $[\text{1}^{\text{II}}\text{-H}]$ intermediate (heterolytic pathway, Fig. 6, right) should be considered. Our DFT studies support the heterolytic mechanism. However, the observed pinacol formation in the absence of **1** as well as the observed ring-opening products in the radical clock experiments support a SET-HAT mechanism, which should therefore be considered as well, especially for those substrates that can be easily reduced. Further studies are needed to clarify the feasibility of SET-HAT under our catalytic conditions.

Conclusions

We report a new methodology based on a dual cobalt-copper catalytic (**1** and PS_{Cu}) system that is able to reduce aromatic ketones and both aromatic and aliphatic aldehydes using H_2O and an amine (Et_3N or $^i\text{Pr}_2\text{EtN}$) as the source of hydrides and visible light as the driving force. Remarkably, the system is highly selective towards the reduction of the organic substrates in the presence of water (>2000 fold). Moreover, replacement of H_2O by D_2O results in the formation of α,β -deuterated alcohols. Our results show that the selectivity towards the reduction of organic functionalities *versus* water is catalyst controlled, allowing for further improvements and developments. Moreover, the system presents a unique selectivity for the reduction of acetophenone *versus* aliphatic aldehydes. Indeed, this selectivity is unprecedented for metal catalysed transformations. The present system benefits from avoiding protecting-deprotecting steps and the use of stoichiometric amounts of lanthanides, which are required in other reduction methods of ketones and aldehydes.

Our mechanistic studies and DFT modelling suggest that the well-defined cobalt hydride is a common intermediate in the reduction of both organic substrates and water. Reactivity experiments support a hydride transfer mechanism for substrates with low redox potentials (<-2 V), such as aliphatic aldehydes. Nevertheless, both homolytic and heterolytic pathways could coexist depending on the redox potential of the substrate.

We envision that other photocatalytic water reduction catalysts might also be active for the reduction of several organic functionalities. These results are in line with the development of selective organic reductions and synthesis of solar chemicals *via* artificial catalytic systems.

Conflict of interest

The authors declare no competing financial interests.

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