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# PAPER

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# A systematic mechanistic survey on the reactions between OH radical and $CH_3OH$ on ice<sup>†</sup>

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A systematic mechanistic survey was performed for the CH<sub>3</sub>OH + OH reaction on ice.  $ONIOM(\omega B97X-D/Def2-TZVP:AMOEBA09)$  calculations suggested a range of binding energies for the CH<sub>2</sub>OH radical (0.29-0.69 eV) and CH<sub>3</sub>OH (0.15-0.72 eV) molecule on hexagonal water ice  $(I_p)$  and amorphous solid water (ASW). Computed average binding energies of CH<sub>2</sub>OH radical (0.49 eV) and CH<sub>3</sub>OH (0.41 eV) are relatively stronger compared to the CH<sub>3</sub>O radical binding energies (0.32 eV, Sameera et al., J. Phy. Chem. A, 2021, 125, 387–393). Thus, the CH<sub>3</sub>OH molecule, CH<sub>2</sub>OH and CH<sub>3</sub>O radicals can adsorb on ice, where the binding energies follow the order  $CH_2OH > CH_3OH > CH_3O$ . The multi-component artificial force-induced reaction (MC-AFIR) method systematically determined the reaction mechanisms for the CH<sub>3</sub>OH + OH reaction on ice, where two reaction paths, giving rise to  $CH_2OH$  and  $CH_3O$  radicals, were confirmed. A range of reaction barriers, employing the  $\omega$ B97X-D/Def2-TZVP level of theory, was found for each reaction (0.03-0.11 eV for CH<sub>2</sub>OH radical formation, and 0.03-0.44 eV for CH<sub>3</sub>O radical formation). Based on the lowest energy reaction paths, we suspect that both reactions operate on ice. The computed data in this study evidence that the nature of the binding site or the reaction site has a significant effect on the computed binding energies or reaction barriers. Thus, the outcomes of the present study will be very useful for the computational astrochemistry community to determine reliable binding energies and reaction barriers on ice.

# Introduction

Radical species in the interstellar medium (ISM) play a key role in forming complex organic molecules (COMs).<sup>1-8</sup> The radicals in the ISM, such as the primary radicals (H, OH, CO, HCO, CH<sub>3</sub>O, CH<sub>2</sub>OH, CH<sub>3</sub>, NH, and NH<sub>2</sub>)<sup>9,10</sup> can be

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formed through surface reactions and the photodissociation of small molecules in interstellar ice. At very low temperatures (*e.g.*, 10 K), radical species adsorb on ice grain surfaces. Among the primary radicals, only H can diffuse on the ice at 10 K. The other primary radicals may start migrating on the ice at relatively high temperatures, the so-called warming-up stage. When a radical species encounters another radical or a molecule on ice, relatively large radicals or molecules can be formed. Therefore, quantitative details of the radical adsorption, radical diffusion, and radical reactions on ice are essential to understand the mechanisms of COMs formation. The radical processes on ice are challenging to characterize from experimental methods. Thus, computational studies are indispensable.<sup>11</sup>

Quantum mechanics (QM) and quantum mechanics/molecular mechanics (QM/MM) methods, typically employing density functional theory (DFT), allow fast and accurate determination of potential energy surfaces (PES) of complex molecular systems.<sup>11-13</sup> Then, the properties of the molecular systems, radical binding energy, reaction barriers, diffusion barriers, and reaction mechanisms can be calculated. We have used QM and QM/MM methods to calculate the binding energy of radical species on ice. The radical species interact with the dangling hydrogen (d-H) or dangling oxygen (d-O) on ice. Depending on the combination of dangling atoms at the binding site on ice, a range of binding energies was found for OH (0.06–0.74 eV),<sup>14</sup> HCO (0.12–0.42 eV),<sup>14</sup> CH<sub>3</sub> (0.11–0.26 eV),<sup>14</sup> CH<sub>3</sub>O (0.10–0.50 eV),<sup>15</sup> PH<sub>2</sub> (0.16–0.21 eV),<sup>16</sup> PH (0.12–0.16 eV),<sup>16</sup> P (0.07–0.15 eV),<sup>16</sup> OCSH (0.19–0.46 eV).<sup>17</sup> We have also calculated radical reactions on ices; PH<sub>3</sub> + D,<sup>16</sup> and OCS + H,<sup>17</sup> and CH<sub>3</sub>SH + H,<sup>18</sup> where the computed reaction mechanisms explained the experimental results.

 $CH_3OH$  is one of the molecules in the ISM that plays a crucial role in COMs formation. Thus, the formation of  $CH_3OH$  in the ISM and the reactions between  $CH_3OH$  and the primary radicals are very important. The successive hydrogenation of CO, an abundant molecule in the ISM, gives rise to  $CH_3OH$  (Scheme 1).<sup>19,20</sup> The first hydrogenation yields the HCO radical, and the second hydrogenation gives rise to the  $H_2CO$  molecule.  $CH_3O$  or  $CH_2OH$  radicals are the products of the third hydrogenation. Final hydrogenation gives  $CH_3OH$ . Among the three intermediate radicals in the mechanism, only the CHO and  $CH_3O$  radicals were found in the ISM.<sup>21-23</sup>

Reactions between the CH<sub>3</sub>OH and OH radical, an abundant primary radical in the ISM, is a major interest to the astrochemistry field. Two reaction mechanisms have been proposed for the CH<sub>3</sub>OH + OH reaction in the gas phase or on ice (Scheme 2). When CH<sub>3</sub>OH and an OH radical come closer, OH…CH<sub>3</sub>OH complex, a spectroscopically characterized complex,<sup>24</sup> is formed. According to *ab initio* computations on the gas phase reaction, CH<sub>2</sub>OH radical formation [*i.e.*, reaction (i)] has a relatively low energy barrier, where quantum tunnelling plays a key role.<sup>25–29</sup> Another theoretical survey suggested that the cross-section yielding the CH<sub>3</sub>O radical is higher than that for the CH<sub>2</sub>OH radical.<sup>27</sup> The computed branching ratios at low temperatures and low pressure suggested that the CH<sub>2</sub>OH

 $CO \xrightarrow{H} H\dot{C}O \xrightarrow{H} H_2CO \xrightarrow{H} H_3C\dot{O}$  or  $H_2\dot{C}OH \xrightarrow{H} CH_3OH$ 

Scheme 1 Mechanism of CH<sub>3</sub>OH formation through successive hydrogenation of CO.

 $CH_3OH + OH \longrightarrow CH_2OH + H_2O \cdots (i)$ 

$$CH_3OH + OH \longrightarrow CH_3O' + H_2O \cdots (ii)$$

Scheme 2 Reaction mechanisms for the reaction between CH<sub>3</sub>OH and the OH radical.

radical remains,<sup>28</sup> while below 40 K, the computed branching ratio was uncertain and sensitive to the computational methodology. Thus, outcomes of the reaction (*i.e.*,  $CH_2OH$  or  $CH_3O$ ) at low temperatures are currently under active discussion. Recently, the importance of this reaction on ice dust was also proposed.<sup>30</sup>

In the present study, we calculated the binding energy of the CH<sub>2</sub>OH radical and CH<sub>2</sub>OH molecule on ice, employing a number of binding sites, and compared it with the binding energy of the CH<sub>3</sub>O radical on ice.<sup>15</sup> Then, reaction mechanisms between CH<sub>3</sub>OH and an OH radical on the ice were systematically determined.

# Computational methods and models

## **Binding energy**

Ice cluster models were taken from our previous study<sup>15</sup> to replicate amorphous solid water (ASW) and crystalline water ice ( $I_h$ ) (Fig. 1). The ASW model has 162  $H_2O$  molecules, where 50  $H_2O$  molecules are in the QM region, and 112  $H_2O$  molecules are in the MM region. The  $I_h$  models B and C, have 162 and 168  $H_2O$  molecules, respectively. The QM region of model B has 48  $H_2O$  molecules, and the MM region has 114  $H_2O$  molecules. In model C, the QM and MM regions have 156 and 112  $H_2O$  molecules, respectively.

Geometry optimizations were performed using the two-layer ONIOM method as implemented in the PyQM/MM interface.<sup>31</sup> The  $\omega$ B97X-D,<sup>32</sup> functional and def2-TZVP,<sup>33</sup> basis sets were used for the ONIOM,<sup>34,35</sup> high-layer. The AMOEB09 <sup>36-38</sup> polarizable force field was used for the ONIOM low-layer. To avoid structure deformations, atoms in the ONIOM-low layer were frozen during the structure optimization. Vibrational frequency calculations were performed for the optimized structures to calculate the zero-point energy and to confirm the local

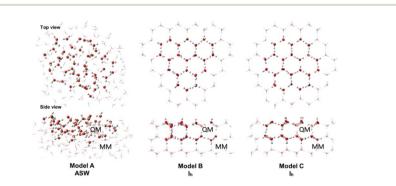


Fig. 1 Top and side views of the ice cluster models. The QM region is shown with "ball and stick" illustration, and the MM region is shown in "wireframe" illustration.

minima (*i.e.*, no imaginary frequencies). Binding energies were calculated using the following formula;

Binding energy = 
$$|E_{\text{(ice-molecule/radical)}} - E_{\text{ice}} - E_{\text{molecule/radical}}|$$

where  $E_{\text{(ice-molecule/radical)}}$  is the total energy of the optimized CH<sub>3</sub>OH-ice or CH<sub>2</sub>OH-ice complexes. The  $E_{\text{ice}}$  term is the total energy of an optimized ice model system. The  $E_{\text{molecule/radical}}$  is the energy of optimized CH<sub>3</sub>OH or CH<sub>2</sub>OH.

## **Reaction mechanisms**

Reaction mechanisms between a CH<sub>3</sub>OH molecule and an OH radical on ASW were determined by using the multi-component artificial force-induced reaction  $(MC-AFIR)^{13,39}$  method as implemented in the GRRM17 program.<sup>40</sup> For this purpose, an ice cluster model, consisting of 76 H<sub>2</sub>O molecules, was employed. In this model, 28 H<sub>2</sub>O molecules on the side and bottom walls were frozen during the structure optimization, as highlighted in green in Fig. 2a and b, and the remaining 48 H<sub>2</sub>O molecules were left flexible. The  $\omega$ B97X-D method and the 6-31G\* basis sets,<sup>41-44</sup> were used for the AFIR calculations. The CH<sub>3</sub>OH molecule and OH radical were placed randomly on the ice surface. Then, an artificial force parameter of 100 kJ mol<sup>-1</sup> was added between the CH<sub>3</sub>OH and OH radical (Fig. 2c). After that, the resulting reaction paths (*i.e.*, AFIR paths), were inspected and approximate transition states (TSS) were identified, and fully optimized using the  $\omega$ B97X-D/6-31G\* level of theory, as implemented in the Gaussian16 program.<sup>45</sup>

Starting from the TS optimized structures, Pseudo intrinsic reaction coordinate calculations (IRC)<sup>46,47</sup> were performed for 20 steps forward and 20 steps backward from the optimized TS structures. Then, the local minima (LM) connecting the TSs (*i.e.*, the reactant complex and product) were calculated. Vibrational frequency calculations were performed to confirm that the optimized LM have no imaginary frequency and the optimized TSs have one imaginary frequency; and to calculate zero-point energies. Potential energies of the optimized LM or TSs were calculated as the single-point energy using the  $\omega$ B97X-D/ def2-TZVP level of theory.

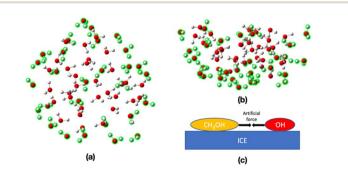


Fig. 2 (a) Top and (b) side views of the ice cluster model employed in this study. The water molecules highlighted in green were frozen during the structure optimization. (c) Adding artificial force between the  $CH_3OH$  and OH radical on ASW.

# Results and discussion

## Binding energy of CH<sub>2</sub>OH and CH<sub>3</sub>OH on ice

We have chosen 16 binding sites, eight from  $I_h$  (A–H) and eight from ASW (I–P) ice cluster models, to calculate binding energies. The computed binding energies are shown in Fig. 3. The molecular structures of the optimized structures are shown in Fig. S1 and S2.† The computed binding energies of the CH<sub>2</sub>OH radical are in the range 0.29–0.69 eV, and the average binding energy is 0.49 eV (Fig. 3a). In the case of CH<sub>3</sub>OH, the computed binding energies are in the range 0.15–0.72 eV, and the computed average binding energy is 0.41 eV (Fig. 3b).

Based on the average binding energies, we concluded that  $CH_2OH$  radical binding energy on ice is larger than that of the  $CH_3OH$  radical. From our previous study, using the same computational methods, we found that the average binding energy of the  $CH_3O$  radical is 0.32 eV.<sup>15</sup> Thus, the binding energy of the  $CH_3OH$  radical, and  $CH_3O$  radical follows the order  $CH_3O$  (0.32 eV) <  $CH_3OH$  (0.41 eV) <  $CH_2OH$  (0.49 eV). Therefore, if  $CH_3OH$ ,  $CH_2OH$ , and  $CH_3O$  are formed on ice, the thermal desorption probability of these species would follow the opposite order,  $CH_3O < CH_3OH < CH_2OH$ .

In our ice models, a relatively large MM region is chosen. To check whether the MM region has a significant effect on the computed binding energies, we have compared binding energies (without ZPE) from ONIOM( $\omega$ B97X-D/Def2-TZVP:AMOEBA09) and  $\omega$ B97X-D/Def2-TZVP methods (Fig. 4). In the case of the CH<sub>2</sub>OH radical, the  $R^2$  value of the plot is 0.99, indicating good agreement

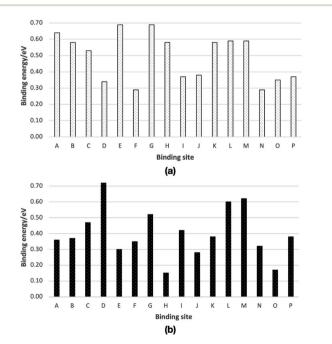


Fig. 3 Calculated binding energies of (a) a CH<sub>2</sub>OH radical and (b) a CH<sub>3</sub>OH on ice, from ONIOM( $\omega$ B97X-D/Def2-TZVP:AMOEBA09) calculations. Optimized molecular structures are shown in Fig. S1 and S2.† Binding energies are summarized in Table S1.†



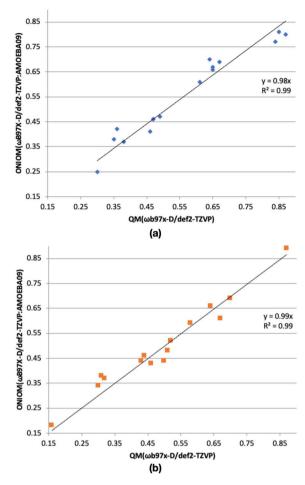


Fig. 4 ONIOM( $\omega$ B97X-D/def2-TZVP:AMOEBA09) binding energy (without ZPE) vs.  $\omega$ B97X-D/def2-TZVP binding energy (without ZPE) of (a) CH<sub>2</sub>OH radical, and (b) CH<sub>3</sub>OH. Intercept of the linear trendlines were set to 0.0.

between the ONIOM(QM:MM) and QM binding energies. Similarly, the  $R^2$  value of the plot of CH<sub>3</sub>OH of 0.99, shows the good agreement between the computed binding energies from the ONIOM( $\omega$ B97X-D/Def2-TZVP:AMOEBA09) and  $\omega$ B97X-D/Def2-TZVP methods.

The maximum discrepancy of the CH<sub>2</sub>OH radical binding energies (without ZPE, Table S2†) of 0.07 eV of binding site A, is very small compared the computed binding energy of A, 0.77 eV. When we consider the lowest binding energy (0.25 eV), the discrepancy between the computed binding energy from ONIOM( $\omega$ B97X-D/Def2-TZVP:AMOEBA09) and  $\omega$ B97X-D/Def2-TZVP methods is also small (0.05 eV). Thus, in general, binding energies from ONIOM(QM:MM) and QM methods are in agreement. Similarly, the maximum discrepancy of the CH<sub>3</sub>OH radical binding energies (without ZPE, Table S2†) is 0.06 eV, belonging to binding sites E and N with the computed binding energy of CH<sub>3</sub>OH (0.18 eV, binding site H), the

discrepancy between the computed binding energy from ONIOM(QM:MM) and QM methods is very small (0.02 eV). Therefore, the ONIOM(QM:MM) method gave reliable binding energies.

In the present study, it is important to note that we have chosen 16 binding sites to calculate binding energies. Thus, we were able to cover a number of arrangements of the dangling atoms at the binding sites, where the interactions between the radical and the binding site are diverse, allowing us to collect a range of binding energies.

## CH<sub>3</sub>OH + OH reaction on ice

This section focuses on the mechanisms for the reaction between  $CH_3OH$  and an OH radical on ice. An MC-AFIR search was performed to determine reaction paths systematically, and two reaction paths were found on ice; (a)  $CH_3OH + OH \rightarrow CH_2OH + H_2O$  and (b)  $CH_3OH + OH \rightarrow CH_3O + H_2O$ . We have chosen four AFIR paths for each reaction mechanism and potential energy surfaces were calculated (Fig. 5). The lowest and highest computed potential energy barrier for the  $CH_2OH$  radical formation is 0.03 eV and 0.11 eV, respectively (Fig. 5a), and therefore the computed reaction barrier is in a range. Similarly, the computed potential energy barrier for the  $CH_3O$  radical formation is also in a range (Fig. 5b), where the lowest energy barrier is 0.03 eV, while the highest barrier is 0.44 eV. If the lowest energy

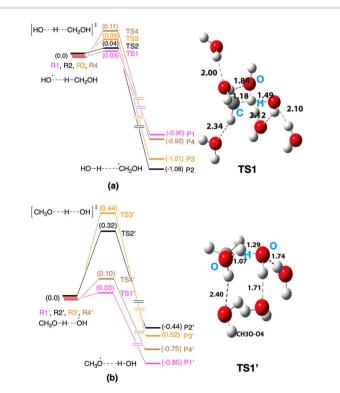


Fig. 5 Computed potential energy surfaces for (a)  $CH_3OH + OH \rightarrow CH_2OH + H_2O$  and (b)  $CH_3OH + OH \rightarrow CH_3O + H_2O$  reactions. Optimized transition state structures are shown in Fig. S3 and S4†).

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reaction path is taken into account (*i.e.*, 0.03 eV for the formation of the  $CH_2OH$  radical and 0.03 eV for the formation of the  $CH_3O$  radical), both reaction mechanisms may operate on ice. In the case of the products of the reactions, the  $CH_2OH$  radical is thermodynamically more stable compared to the  $CH_3O$  radical in all cases. Further, if we take the lowest energy paths of the two mechanisms, the  $HO-H\cdots CH_2OH$  complex is -1.08 eV more stable compared to the entry point of the potential energy profile, while the  $HO\cdots CH_3O$  complex is -0.85 eV more stable compared to its entry point.

In this reaction path survey, we restricted the analysis to four reaction paths for each reaction (eight reaction paths in total). Even with these data, we can see a range of reaction barriers for each reaction. If we extend the analysis for collecting more reaction paths, we suspect that the reaction barrier range will be broadened. The lowest barrier limit is the key to the rate of the reaction. In this present case, both reactions operate at a very low reaction barrier of 0.03 eV. Therefore, both reaction mechanisms would operate on interstellar ice.

# Conclusions

We have used the ONIOM( $\omega$ B97X-D/def2-TZVP:AMOEBA09) method to calculate CH<sub>2</sub>OH and CH<sub>3</sub>OH radical binding on ASW and I<sub>h</sub>. A range of binding energies were found for each species; CH<sub>3</sub>OH: 0.15–0.72 eV; CH<sub>2</sub>OH: 0.29–0.69 eV. Thus, both CH<sub>2</sub>OH and CH<sub>3</sub>OH radicals would adsorb on interstellar ice. The calculated average binding energies, 0.41 eV of CH<sub>3</sub>OH and 0.49 eV of CH<sub>2</sub>OH, indicate the strong binding preference of CH<sub>2</sub>OH, and are relatively stronger compared to the CH<sub>3</sub>O radical binding energies (0.32 eV).<sup>15</sup> Reaction paths for the CH<sub>3</sub>OH + OH were searched using the MC-AFIR method. Two reaction mechanisms were determined, giving rise to CH<sub>2</sub>OH and CH<sub>3</sub>O products. A range of reaction barriers was found for each reaction mechanism; 0.03–0.11 eV for the CH<sub>2</sub>OH radical formation and 0.02–0.44 eV for the CH<sub>3</sub>O radical formation. Both radicals can be formed on ice if the lowest energy paths are taken into account. As radical binding on ice and radical reactions on ice cover a range of numbers, a systematic sampling of binding energies or reaction barriers becomes critical, which is the key lesson we have learned from this study.

# Author contributions

WMCS designed the project, performed calculations, and wrote the original manuscript with help from APJ. AI, HH, YO and NW performed the experiments relevant to this study. All co-authors have revised the manuscript and given approval to the final version of the manuscript.

# Conflicts of interest

There are no conflicts to declare.

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