



Origin of layer-dependent SERS tunability in 2D transition metal dichalcogenides†

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Mingze Li,^{id} Yimeng Gao, Xingce Fan,^{id} Yunjia Wei, Qi Hao^{id} and Teng Qiu^{id}*

Two-dimensional (2D) semiconductors are expected to replace noble metals to become the matrix materials of the next generation of commercial surface-enhanced Raman scattering (SERS) chips. Herein, we systematically studied the influence of the interlayer interaction on the SERS activity of 2D semiconductors from a brand-new perspective and comprehensively analyzed the physicochemical process of 2D semiconductor interlayer modulated SERS. Taking transition metal dichalcogenides as examples, we chose PtSe₂ with strong interlayer interactions and ReS₂ with weak interlayer interactions to analyze the physicochemical process of 2D semiconductor interlayer modulated SERS by first-principles calculations. PtSe₂ and ReS₂ samples with various thicknesses were prepared respectively, and the results of comparative experiments proved that the layer-dependent SERS tunability of 2D semiconductors is directly related to the interlayer interaction. This work provided a novel method for further improving the SERS detection limit of 2D semiconductors and a possible strategy for the industrial upgrading of commercial SERS chips.

As an important field of surface science, surface-enhanced Raman scattering (SERS) has been extensively applied in various areas such as the *in situ* monitoring of nano-catalysis reactions,^{1–3} single molecule detection^{4–7} and viable cell detection.^{8–10} Correspondingly, SERS active substrates have always been one of the research focuses.¹¹ In recent years, the discovery of low dimensional semiconductor SERS substrates with noble-metal-comparable SERS performance has opened a

New concepts

Two-dimensional (2D) semiconductors are expected to become the matrix materials of the next generation of commercial surface enhanced Raman scattering (SERS) chips because of their atomic-level flatness and excellent photoelectric properties. Limited by the unsatisfactory SERS detection limit of 2D semiconductors, the development of simple technology and performance that is not inferior to noble metals has become an important issue for the innovation of commercial 2D semiconductor SERS chips. As one of the important factors affecting the properties of 2D materials, interlayer interaction has not been studied in depth in the field of SERS. Herein, we explored the influence of interlayer interaction on SERS performance by using 2D PtSe₂ and ReS₂ with different numbers of layers as the research objects. The results of density functional theory calculations and comparative experiments proved that the layer-dependent SERS tunability of 2D semiconductors is directly related to the interlayer interaction. This work not only explained the influences of interlayer interaction on SERS, but also deepened our understanding of the origin of 2D semiconductor layer-dependent SERS tunability, providing a novel insight for further improving the SERS detection limit of 2D semiconductors.

new chapter for SERS research.¹² The SERS of low dimensional semiconductors is hardly explained by the pre-existing surface concepts due to the diverse enhancement mechanisms. For example, by introducing defects such as replaced atoms,¹³ grain boundaries,¹⁴ and vacancies,^{15,16} we can adjust the photo-induced charge transfer (PICT) process between the materials and adsorbent molecules with the help of the corresponding defect energy, thus enhancing the Raman scattering of adsorbent molecules on the low dimensional materials.¹⁷ Beyond that, another strategy is changing the interface interaction to realize the regulation of the energy bands and the surface charge doping of the semiconductors, aiming at realizing Raman enhancement.¹⁸

Due to the inherent drawbacks of the zero-dimensional and one-dimensional materials, including the difficulty in synthesis, hard planarization and inhomogeneous SERS activity, there is a long way to go before commercialization. In contrast, the two-dimensional (2D) materials exhibit evident superiority. On the

School of Physics, Southeast University, Nanjing 211189, P. R. China.

E-mail: tqiu@seu.edu.cn

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one hand, the flat crystal of 2D semiconductors allows uniform chemisorbed molecules for obtaining stable and repeatable Raman signals,¹⁹ which is essential to the practical applications. On the other hand, 2D semiconductors are easy to prepare and modulate. Using this, we can combine the specific functions of different materials freely, therefore constructing commercial SERS substrates.^{20,21} Relevant technologies such as alloy doping,²² defect regulation²³ and surface engineering¹⁴ have been successfully employed in the 2D semiconductor SERS substrates. In the previous work, we found that 2D semiconductor materials with different layers make a sharp distinction in the SERS enhancement factor.^{24–26} But unfortunately, to the best of our knowledge, there has not been systematic research to explain the cause of this phenomenon.

The interlayer interaction of 2D semiconductors is mainly dominated by the van der Waals (vdw) forces, which originate from the coherent electronic waves between molecules.²⁷ Though weaker than the electrostatic interaction or hydrogen bonds, vdw force, as a kind of long-range interaction generally existing in molecular systems, plays an important role in the noncovalent bonding.²⁸ We speculated that the layer-dependent SERS tunability of 2D semiconductor substrates mainly comes from the interlayer interaction. Taking the 2D transition metal dichalcogenides (TMDs) as an example, the interlayer interaction of PtSe₂ is strong and that of ReS₂ is relatively weak. As for the low dimensional semiconductors, research on the PICT mechanism usually takes the energy band structure as the starting point and analyzes the process based on the charge transfer model proposed by Professor Lombardi.¹⁷ Hence, we further surmised that the layer-dependent SERS tunability of the PtSe₂ and ReS₂ films would present a bigger difference due to their different degrees of interlayer interaction.

PtSe₂, a rising member of the group-10 transition metal dichalcogenides family, has been demonstrated to have a strong interlayer interaction.^{29,30} It possesses many intriguing properties and good environmental stability, indicating the enormous potential in SERS application.³¹ Besides, one of the typical materials with weak interlayer interactions is 2D ReS₂.³² As a direct gap semiconductor, the valence band and conduction band edges of ReS₂ are composed of d-orbitals of Re atoms and p-orbitals of S atoms, respectively. Due to the charge decoupling of an extra valence electron from Re-atoms, ReS₂ crystallizes in a distorted 1T diamond-chain structure. This distortion in crystal structure would weaken the coupling between layers. Consequently, the overlapping of electron wavefunctions from adjacent layers is so weak that a modulation of the interlayer distance cannot renormalize the band structure, implying that ReS₂ is electronically decoupled.³³

Derived from the interaction between atoms from different layers, interlayer interaction could directly cause the charge redistribution, which further affects the coupling of the material surface and molecules for the 2D TMD SERS substrates. Fig. 1a displays the interlayer differential charge density of bilayer PtSe₂ calculated by density functional theory (DFT). From the results, we found that a lot of electrons are bound between layers and

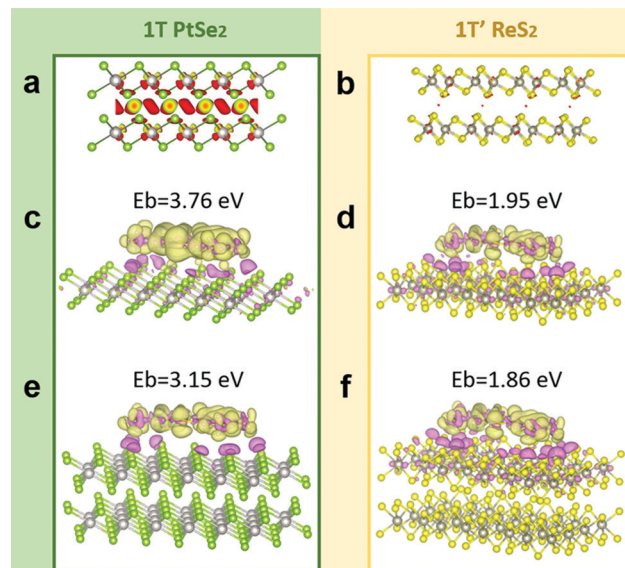


Fig. 1 The interlayer differential charge density of bilayer PtSe₂ (a) and bilayer ReS₂ (b) calculated by DFT. Side views of the electron density difference isosurface (0.00036 electron bohr⁻³) for MeB molecules absorbed on 1L PtSe₂ (c), 2L PtSe₂ (e), 1L ReS₂ (d), and 2L ReS₂ (f). The binding energy of these systems was calculated respectively.

distributed around Pt atoms, indicating strong interlayer interaction. Such strong interlayer interaction is a result of the hybridization of the p_z orbital of interlayer Se atoms in PtSe₂.²⁹ Moreover, DFT calculations were also used to study the coupling of analytes and PtSe₂ with different thicknesses (Fig. 1c and e). Methylene blue (MeB) is one of the typical probe molecules used to detect the SERS performance of different substrates; therefore, the binding energy of MeB with different systems was simulated as an example. Greater binding energy signified a stronger interface dipole between the material and molecules, which played a positive role in the SERS process. The binding energy of MeB with monolayer (1L) PtSe₂ was calculated to be 3.76 eV, much larger than that of MeB with bilayer (2L) PtSe₂ (3.15 eV), suggesting stronger coupling between MeB and the PtSe₂ films with fewer layers. The detailed calculation results are shown in Table S1 (ESI†). As for bilayer ReS₂, the interlayer differential charge density is shown in Fig. 1b. Wherein, little change appears in the distribution of electrons between layers compared with the monolayer one, which indicates that the binding energies of surface molecules absorbed on 1L ReS₂ and 2L ReS₂ are approximately equal (1.95 eV for MeB-1L ReS₂ and 1.86 eV for MeB-2L ReS₂, see Fig. 1d and f). In other words, due to the weak interlayer interaction, the binding energy of 2D ReS₂ hardly changes when the number of layers increases, in sharp contrast to PtSe₂.

Based on the above simulation analysis, we suggest that the interlayer interaction has a great modulation effect on SERS of 2D materials, especially TMDs. In order to show the enhanced mechanism more clearly, we calculated the band structure of PtSe₂ and ReS₂ with different layers by first-principles calculations. We find that when increasing the layers of TMDs, the influence of interlayer reaction is gradually highlighted, especially in the band

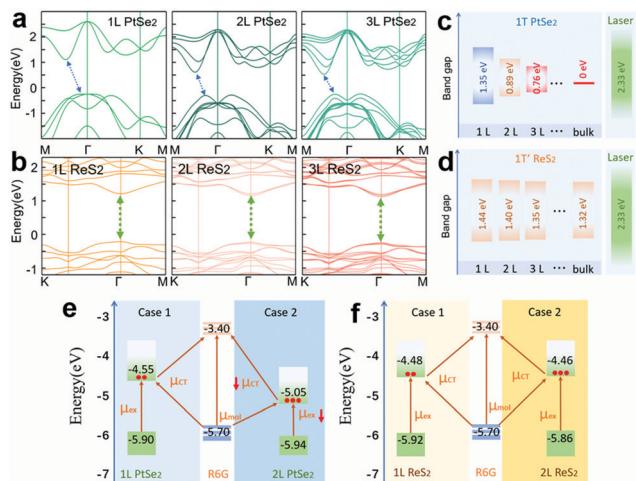


Fig. 2 The calculated band structure of 1L, 2L, 3L PtSe₂ (a) and 1L, 2L, 3L ReS₂ (b). The energy gap at point Γ of PtSe₂ (c) and ReS₂ (d) from 1L to bulk. (e and f) Schematic illustration of the PICT process of the PtSe₂-R6G system and ReS₂-R6G system while the number of layers increased.

structure. For PtSe₂ with strong interlayer interactions, the band gap shrinks obviously with an increase in layer number. For example, the band gaps for 1L, 2L, three-layer (3L) and bulk PtSe₂ are 1.35 eV, 0.89 eV, 0.76 eV and 0 eV, respectively (Fig. 2a and c). Similar phenomena were found in other semiconductor phase TMD materials. For example, when the number of WS₂ layers increases, its exciton energy also decreases significantly. However, as the interlayer interaction of WS₂ is weaker than that of PtSe₂, its exciton energy decreases less with the increase of layers.³⁴ While for ReS₂ with weak interlayer interactions, there is almost no change in the band structure with the variation of the layer number. As Fig. 2b and d show, the energy gaps at the highly symmetric point Γ for 1L, 2L, 3L and bulk ReS₂ are 1.44 eV, 1.40 eV, 1.35 eV and 1.32 eV respectively, which leads to an inconspicuous decline in the exciton resonance intensity.

According to the chemically enhanced mechanism of SERS, the most intense SERS enhancement occurs for transitions terminating at the band edge. The intensity of the Raman spectra is proportional to the polarizability tensor and the polarization tensor is generally expressed as $\alpha = A + B + C$, where A is relevant to the molecular resonance, and B and C represent the contribution of charge-transfer between the molecules and semiconductor, including a PICT process.¹⁷ Since the most crucial charge transfer process can borrow intensity from molecular resonances (μ_{mol}) or exciton resonances (μ_{ex}), the PICT process would be simultaneously weakened while μ_{ex} was dropped off, leading to a decrease in SERS signal strength.

In order to illustrate the above SERS procedure, we analyzed the PICT process based on the relative position of the energy bands in the typical probe Rhodamine 6G (R6G) molecule to the materials. In terms of PtSe₂, the indirect energy gap turns from 1.35 eV to 0.89 eV when adding an extra layer to the monolayer material (see Fig. 2e). With a distinct mismatch between the gap and the exciting light at 532 nm, the μ_{ex} in 2L

PtSe₂ is attenuated sharply, consequently weakening the corresponding PICT process. Different from PtSe₂, the gap of 2L ReS₂ alters very little compared with 1L ReS₂ (Fig. 2f). Hence the PICT between molecules and 2L ReS₂ is merely abated slightly. The results are similar when MeB was treated as an example (Fig. S1, ESI[†]).

The above theoretical results indicate that the SERS tunability in 2D TMDs probably originates from the interlayer interaction and the stronger the interaction is, the larger the degree of regulation that can be attained. The analysis made us firmly believe that the interlayer interaction is important to SERS of 2D materials, especially TMDs, and on this theoretical prediction, we designed a comparative experiment to verify our assumption. At first, a series of high-quality samples were prepared and characterized (Fig. S2, ESI[†]). The schematic illustration of the measurement procedure and the photograph of the PtSe₂ films is presented in Fig. 3a and b. As illustrated by Fig. 3b, the 2D PtSe₂ films were synthesized on a sapphire substrate, wherein the dark blue region represents 2D PtSe₂ and the light blue region refers to the sapphire substrate. In addition, it showed that the film fits well with the substrate and has a uniform surface. To measure the binding energy of 2D PtSe₂ films, we observed X-ray photoelectron spectroscopy (XPS), illustrated in Fig. 3c. As a result, the fitted peak positions



Fig. 3 (a) Schematic illustration of the measurement procedure and prepared samples including 1L PtSe₂ and 2L PtSe₂. (b) Photograph of the PtSe₂ film grown on the sapphire substrate and the optical microscope image of typical PtSe₂ flakes. (c) XPS spectra for Pt 4f and Se 3d of 2D PtSe₂ film. (d) Raman spectrum of the 2D PtSe₂ on the sapphire substrate. (e) Typical Raman spectra of 10⁻⁵ M R6G absorbed on the 2D PtSe₂ and the sapphire substrate. (f) The AFM topographic image of PtSe₂ with different thicknesses, named as S1, S2, S3 and S4. The inset supplements the thickness of each sample.

are 72.96 eV, 76.28 eV for Pt 4f and 54.31 eV, 55.16 eV for Se 3d, respectively, which are consistent with previous reports.³⁵ Hardly any oxides, unreacted Pt metal and Se substance were evidenced by the Pt 4f and Se 3d core levels, indicating the good quality of the 2D PtSe₂ films. Fig. 3d displays the Raman spectrum of 2D PtSe₂ films with laser excitation at 532 nm, in which the two prominent peaks at ~ 178 and ~ 210 cm⁻¹ correspond to E_g (in plane) and A_{1g} (out of plane) vibrations of Se atoms respectively and the peak at ~ 230 cm⁻¹ can be attributed to a longitudinal optical (LO) mode.³⁶ Besides, the peaks marked by light blue (414 cm⁻¹, 576 cm⁻¹ and 749 cm⁻¹) are supposed to be the characteristic peaks of Al₂O₃. In addition, using R6G and MeB as SERS probe molecules, we found that the grown 2D PtSe₂ films had obvious SERS performance (Fig. 3e and Fig. S3, ESI[†]), which was convenient for us to make subsequent comparison. By regulating the growth time, we have prepared four types of PtSe₂ films with different thicknesses, named as S1, S2, S3 and S4. The thicknesses of these PtSe₂ films are in the range of 1 to 5 nm measured by atomic force microscopy (AFM), as shown in Fig. 3f. The bandgaps of S1 to S4 are estimated to be 1.22 eV, 0.72 eV, 0.65 eV and 0.49 eV, respectively, by the Tauc plots of the layer-dependent PtSe₂ (Fig. S4, ESI[†]). Additionally, we can apply the SERS theory for semiconductors to analyze the 2D 1T PtSe₂ films because of their semiconductor nature.

Fig. 4a schematically illustrates the Raman measurement of 1L ReS₂ and 2L ReS₂. As shown in Fig. 4b, a continuous ReS₂ film was grown on the sapphire substrate, according to the observation under a microscope. The low crystal symmetry of

the distorted 1T phase of ReS₂ results in the emergence of more than 5 strong Raman peaks in the range of 100–450 cm⁻¹. Wherein, Raman peaks at 150, 161, 211, 232, and 306 cm⁻¹ belong to in plane E_g-like mode, and the peak at 139 cm⁻¹ is attributed to an out-of-plane A_{1g}-like mode. Other Raman modes located beyond 300 cm⁻¹ are mostly contributed by the vibrations of S atoms³⁷ (Fig. 4c). The XPS spectrum of Re 4f indicates the good quality of ReS₂ films (Fig. S5, ESI[†]). Similar to PtSe₂, we also fabricated these types of ReS₂ films with the thickness ranging from 0.7 to 3 nm, named as W1, W2 and W3 (Fig. 4d). Fig. 4e displays that random samples demonstrated excellent SERS performance.

In the process of characterizing different samples we found that in the Raman spectra of PtSe₂, the A_{1g} peak is significantly enhanced as the layers added, indicating the strengthened out-of-plane vibration mode due to the strong interlayer interaction³⁰ (Fig. 5a). All the Raman peaks of ReS₂ films, including the A_{1g} peak, almost exhibited no response to the changes of thickness (Fig. 5b). This is because the weak interlayer interaction of ReS₂ renders all the vibration modes nearly invariable with the layer number altered, in agreement with previous reports. Using R6G as probe molecules, we measured the Raman spectra on all the PtSe₂ and ReS₂ samples under identical conditions. We randomly selected 20 points for each type of substrates and averaged the signals to get the SERS spectra of R6G on different substrates (Fig. 5c and d). It can be proven by UV-Vis absorption spectra that the Raman enhancement is attributed to a chemical mechanism, and a detailed explain has been shown in Fig. S6 (ESI[†]). Meanwhile, in order to show the

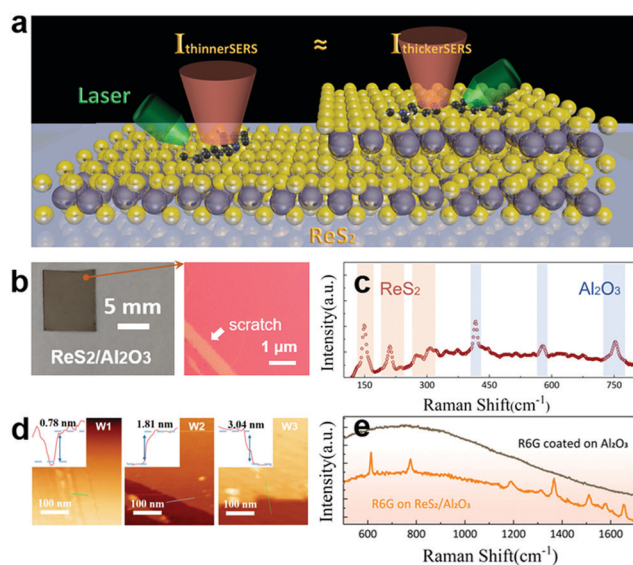


Fig. 4 (a) Schematic illustration of the measurement procedure and prepared samples including 1L ReS₂ and 2L ReS₂. (b) Photograph of the ReS₂ film grown on the sapphire substrate and the optical microscope image of typical ReS₂ flakes. (c) Raman spectrum of the 2D ReS₂ on the sapphire substrate. (d) The AFM topographic image of ReS₂ with different thicknesses, named as W1, W2 and W3. The inset supplements the thickness of each sample. (e) Typical Raman spectra of 10⁻⁵ M R6G absorbed on the 2D ReS₂ and the sapphire substrate.



Fig. 5 Characteristic Raman spectra of (a) PtSe₂ films (S1 to S4) and (b) ReS₂ films (W1 to W3). Enhanced Raman spectra of 10⁻⁵ M R6G on the (c) PtSe₂ films (S1 to S4) and (d) ReS₂ films (W1 to W3). The extracted average signal intensities integrated at 612 cm⁻¹, 773 cm⁻¹ and 1365 cm⁻¹ for S1 to S4 (e) and W1 to W3 (f).

trend of signal changes more intuitively, we extracted the average signal intensities integrated at 612 cm^{-1} , 773 cm^{-1} and 1365 cm^{-1} for each type of substrates separately and collected them in Fig. 5e and f. The results are similar when MeB was used as the probe molecule (Fig. S7, ESI[†]). The experiment results reveal that both PtSe₂ and ReS₂ possess layer-dependent SERS tunability with a decrease in SERS ability as the layers added. What's more, directly related to the interlayer interaction, the descent extent becomes larger when the interaction is strong, which completely coincides with the theoretical calculations. With the increase of the layer numbers, the amplitude of each change caused by the interlayer interaction gradually decreased, and the amplitude of SERS tunability also decreased gradually.

Conclusions

In summary, we explored the influence of interlayer interaction on the SERS performance by using 2D PtSe₂ and ReS₂ with different numbers of layers as the research objects. Both experimental and DFT simulated results unveil that the layer-dependent SERS effects of 2D TMDs mainly depend on the interlayer coupling of TMD substrates. For the ones exhibiting weak interlayer interactions, such as ReS₂, increasing the number of layers has little effect on its surface properties and the electronic band structure. As a result, the intensity of the SERS signals is almost unchanged on different ReS₂ films with nanoscale thickness variation. For TMDs with strong interlayer interactions, take PtSe₂ as an example. On the one hand, the properties of the multilayer structure are significantly altered by the interlayer interaction when the layers are added, which includes the contraction of the electronic band gap and the decrease of the exciton energy, working together to impede the exciton resonance process. On the other hand, the interlayer interaction changes the charge distribution inside the TMDs, making the coupling between the surface and molecules become weak with the increase of layers. All of the above changes caused by the interlayer interaction contribute to the layer-dependent SERS tunability of 2D TMDs. This work not only explained the influences of interlayer interaction on SERS, but also deepened our understanding of the origin of 2D TMDs layer-dependent SERS tunability, providing a reference and new ideas for the property modulation of other related materials.

Conflicts of interest

There are no conflicts to declare.

Acknowledgements

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