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Asymptotic behavior of the electron-atom Compton profile due to the intramolecular H-atom motion in H<sub>2</sub>

The Takahashi group desires to understand why atoms dance the way they do during a molecular reaction. The key to this end is to develop a pair of methods for directly observing electron and nuclear motions, respectively. For this reason, the group exploits both electron-electron and electron-atom Compton scattering phenomena. The present work has revealed the experimental conditions required for quantitative electron-atom Compton scattering measurements on H<sub>2</sub>. These results provide a stepping stone to future endeavours towards that end.

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# Asymptotic behavior of the electron-atom Compton profile due to the intramolecular H-atom motion in H<sub>2</sub>

Yuuki Onitsuka,  Yuichi Tachibana and Masahiko Takahashi \*

We report the asymptotic behavior of the electron-atom Compton profile due to the intramolecular H-atom motion in H<sub>2</sub>. The experiment has been performed at a scattering angle of 135° and at incident electron energies from 1.0 to 2.2 keV, thus covering a momentum transfer (*K*) range from 15.8 to 23.5 a.u. It is shown that with the increase in *K*, the Compton profile changes in shape and becomes more symmetric. Furthermore, it is found that the experiment reaches the limit of sufficiently large *K* at an incident electron energy of 2.0 keV, where the plane-wave impulse approximation is applicable to directly relate the Compton profile to the momentum distribution of the H atom.

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## 1. Introduction

It is now well documented that electron Compton scattering<sup>1</sup> can offer an opportunity to directly measure momentum distributions of the electrons or atoms in a molecule. The key concept to directly relate the experimental response to the momentum distribution is the plane-wave impulse approximation (PWIA).<sup>2–5</sup> It assumes that the electron–electron (electron–atom) Compton scattering by a molecule can be described as a billiard-ball-type collision of the incident electron with a constituent electron (atom). Namely, the scattering electron (atom) is treated as a “single” free particle so that it absorbs all of the momentum transfer  $\mathbf{K}$  ( $= \mathbf{p}_0 - \mathbf{p}_1$ ), with  $\mathbf{p}_j$ s ( $j = 0, 1$ ) being the momenta of the incident and scattered electrons, and recoils as if it were free. Since the applicability of the PWIA is absolutely dependent on the experimental conditions used, knowledge about the range of the validity of the PWIA is of fundamental importance when electron Compton scattering experiments are conducted for molecular spectroscopy studies that intend to understand the molecular nature.

As for the electron–electron Compton scattering, the range of the validity of the PWIA has widely been investigated. A good example of this is electron momentum spectroscopy (EMS),<sup>6,7</sup> which is an advanced form of electron–electron Compton scattering experiments. EMS was established as a unique molecular spectroscopy technique through many years of intensive studies. It is now used to measure the momentum distribution of each electron in different energy levels or to look at

individual molecular orbitals in momentum space,<sup>6,7</sup> involving orbital imaging of a short-lived molecular excited state.<sup>8</sup>

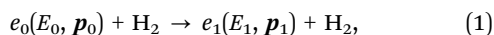
In contrast to the abovementioned EMS case, experimental conditions required for the PWIA are totally unclear for electron-atom Compton scattering experiments, also known as atomic momentum spectroscopy (AMS).<sup>9</sup> Whilst experiments have been conducted at different incident electron energy ( $E_0$ ) values for several molecules such as CH<sub>4</sub>,<sup>10,11</sup> CD<sub>4</sub>,<sup>10</sup> H<sub>2</sub>,<sup>12–15</sup> HD,<sup>12</sup> D<sub>2</sub>,<sup>12,14</sup> and H<sub>2</sub>O,<sup>16</sup> to our knowledge, there has as yet been no conclusive study regarding the PWIA limit for AMS. For instance, Vos has performed AMS measurements of gaseous H<sub>2</sub> molecules in a gas cell at a scattering angle of 91.3° and at several  $E_0$  values of up to 4.0 keV ( $K$  of up to 24.5 a.u.).<sup>13</sup> He analyzed the observed band profile due to the H-atom motion and reported that even at  $K = 24.5$  a.u. it was not a Gaussian shape predicted by the classical kinetic energy model.<sup>17</sup> It was then suggested that the observed non-Gaussian feature is due to (a) the momentum density of the H-atom motion not being a Gaussian shape and (b) PWIA not being fully justified. On the other hand, Takahashi and his colleagues<sup>15</sup> have recently reported that the Compton profile obtained at a scattering angle of 135° and at  $E_0 = 2.0$  keV ( $K = 22.4$  a.u.) for the intramolecular H-atom motion in H<sub>2</sub> is not a Gaussian shape but shows a good agreement with the associated quantum chemistry-based calculations. This means that the AMS experimental response at  $K = 22.4$  a.u. is well reproduced by the PWIA theory, although the  $K$  value of 22.4 a.u. employed is comparable to or slightly smaller than 24.5 a.u. used in the study of Vos.<sup>13</sup> Clearly, for a proper understanding of the PWIA limit, systematic and unequivocal experimental studies are required, which would settle the controversy and elucidate the range of the validity of the PWIA for AMS.

*Institute of Multidisciplinary Research for Advanced Materials, Tohoku University, Sendai, 980-8577, Japan. E-mail: masahiko@tohoku.ac.jp*

In this paper, we report a series of AMS experiments on H<sub>2</sub>. The experiments have been performed so that the  $K$  range is covered from 15.8 to 23.5 a.u. The experimental data are analyzed by using the general protocol<sup>15</sup> so that the experimental response is disentangled from the instrumental response (IR) function. The Compton profiles obtained at different  $K$ s are examined in terms of a similarity index to see if or how their asymptotic behavior approaches the PWIA limit.

## 2. Theory

The AMS scattering by a H<sub>2</sub> molecule, a system of two identical atoms, can be described as



where  $E_0$  and  $E_1$  are the kinetic energies of the incident and quasi-elastically scattered electrons, respectively. The energy loss  $E_{\text{loss}}$  is defined as

$$E_{\text{loss}} = E_0 - E_1. \quad (2)$$

The double differential cross section for the AMS scattering is usually given in the first Born approximation by<sup>2,18</sup>

$$\frac{d^2\sigma}{d\Omega dE} \propto \frac{p_1}{p_0} W(\mathbf{K}) S(\mathbf{K}, E_{\text{loss}}). \quad (3)$$

Here  $W(\mathbf{K})$  is the square of the Fourier transform of the projectile-target interaction and governs the spectral intensity of the Compton profile. On the other hand, what governs the shape of the Compton profile is  $S(\mathbf{K}, E_{\text{loss}})$ , known as the dynamic structure factor.<sup>2,3</sup>  $S(\mathbf{K}, E_{\text{loss}})$  can be expressed as<sup>2,3,18</sup>

$$S(\mathbf{K}, E_{\text{loss}}) \propto \int_{-\infty}^{+\infty} dt e^{-iE_{\text{loss}}t} \langle e^{-i\mathbf{K}\cdot\mathbf{R}(0)} e^{i\mathbf{K}\cdot\mathbf{R}(t)} \rangle. \quad (4)$$

Here  $\mathbf{R}(t)$  denotes the position of one particular H-atom which we call the scattering atom, and the angular brackets represent a thermal average as well as an average over degrees of freedom such as nuclear spin states.

In the limit of  $K \rightarrow \infty$ ,  $S_\infty(\mathbf{K}, E_{\text{loss}})$  is given by<sup>2,3</sup>

$$S_\infty(\mathbf{K}, E_{\text{loss}}) = \lim_{K \rightarrow \infty} S(\mathbf{K}, E_{\text{loss}}) \propto \frac{M}{K} J_{\text{H}_2}(P^K), \quad (5)$$

$$J_{\text{H}_2}(P^K) = \int_{-\infty}^{+\infty} d\mathbf{P} \rho(\mathbf{P}) \delta\left(E_{\text{loss}} - \frac{K^2}{2M} - \frac{\mathbf{K}\cdot\mathbf{P}}{M}\right). \quad (6)$$

Here  $J_{\text{H}_2}(P^K)$  is the Compton profile of H<sub>2</sub> and  $M$  is the mass of the scattering H-atom.  $\mathbf{P}$  and  $\rho(\mathbf{P})$  represent the initial momentum and initial momentum distribution of the scattering H-atom before the electron collision, respectively.  $P^K$  is the momentum component of  $\mathbf{P}$  parallel to  $\mathbf{K}$ . Note that the Compton profile is a function of the relevant molecular nature of H<sub>2</sub> only and hence is unaffected by the scattering dynamics. Regarding this, the PWIA is known to assume that  $S(\mathbf{K}, E_{\text{loss}})$  for a finite value of  $K$  is practically the same as  $S_\infty(\mathbf{K}, E_{\text{loss}})$ .<sup>2,3,19</sup>

$$S(\mathbf{K}, E_{\text{loss}}) \approx S_\infty(\mathbf{K}, E_{\text{loss}}). \quad (7)$$

Eqn (5)–(7) reveal that once the experimental conditions fulfill the requirements of the PWIA or the Compton profile reaches the PWIA limit, it no longer changes: similar experiments at higher  $K$  provide the Compton profile with the same spectral shape. The range of the validity of the PWIA is therefore experimentally verifiable.

Nevertheless, strictly speaking, the abovementioned experimental ability can prove itself only when the experiment covers the  $K$  range up to infinity. Thus, let us also consider a practical case when a plateau region, where the shape of the Compton profile is invariant with respect to the change of  $K$ , is experimentally observed. In this case, it may be too early to conclude that the observed Compton profile has reached the PWIA limit, because knowledge about the asymptotic behavior of the Compton profile towards the PWIA limit is still in its infancy: there might be next plateau region at larger  $K$ . A tangible clue for overcoming this awkward problem is use of the associated theoretical Compton profile for H<sub>2</sub>, which has been calculated based on the quantum chemistry theory.<sup>15</sup> It has predicted the Compton profile at  $K = \infty$ , due to the intramolecular H-atom motion in a spherically averaged H<sub>2</sub> molecule at room temperature. Note that the word ‘‘intramolecular’’ is used here so that it includes both molecular vibration and rotation, as the rotational motion is essentially inseparable from the vibrational motion without imposing the Eckart frame on the Schrödinger equation.<sup>20,21</sup>

According to the quantum chemistry theory, the atomic momentum distribution  $\rho_\ell(\mathbf{P})$  of a spherically averaged H<sub>2</sub> molecule in the rotational state with a rotational quantum number  $\ell$  is given<sup>15</sup> by

$$\rho_\ell(\mathbf{P}) = \left(\frac{2\ell+1}{2\pi^2}\right) \left| \int j_\ell(PR) f(R-R_c) R dR \right|^2. \quad (8)$$

Here  $R$  and  $R_c$  are the internuclear distance of H<sub>2</sub> and its equilibrium value.  $j_\ell(PR)$  is the spherical Bessel function of order  $\ell$ .  $f(R-R_c)$  is the vibrational wave function and at room temperature it can be approximated as a ground state wave function,  $(\mu\omega/\pi\hbar)^{1/4} \exp[-\mu\omega(R-R_c)^2/2\hbar]$  with  $\mu$ ,  $\omega$  and  $\hbar$  being the reduced mass, angular frequency and reduced Planck's constant, respectively. The Compton profile of the spherically averaged H<sub>2</sub> molecule at room temperature is then given by

$$J_{\text{H}_2}(P^K) \propto \sum_{\ell=0}^{\infty} g_{\text{ns}} \exp\left[-\frac{B\ell(\ell+1)}{k_B T}\right] \times \int \rho_\ell(\mathbf{P}) d\mathbf{P} \delta(\mathbf{P}\cdot\hat{\mathbf{K}} - P^K). \quad (9)$$

Here  $g_{\text{ns}}$  is the nuclear spin degeneracy and  $g_{\text{ns}} = 1$  for even and 3 for odd  $\ell$ .  $B$  is the rotational constant, and in the calculations<sup>15</sup>  $B = 60.853 \text{ cm}^{-1}$  was employed.<sup>22</sup>  $k_B$  and  $T$  are the Boltzmann constant and temperature. By using the calculated result of eqn (9) as a standard reference at  $K = \infty$ , it is possible to investigate if or how the measured Compton profile of H<sub>2</sub> approaches the PWIA limit.



far. For this reason, the EEL spectra of H<sub>2</sub> in Fig. 2 are analyzed according to the general protocol,<sup>15</sup> in order to examine the asymptotic behavior of the Compton profile due to the intramolecular H-atom motion in H<sub>2</sub>.

The general protocol<sup>15</sup> involves the three steps. Firstly, the effect of molecular translational motion is considered, based on the findings of ref. 14 and 24 that it works so as to shift the EEL spectra to higher or lower energy loss with respect to  $\bar{E}_{\text{recoil}}$ , depending on  $\phi$ . Secondly, both the energy shifts due to  $\bar{E}_{\text{recoil}}$  and translational motion are cancelled out. This can be done by aligning the band peak positions of the EEL spectra at each  $\phi$  to the origin of the energy axis. Fig. 3(a–d) show the  $\phi$ -angle integrated energy spectra of H<sub>2</sub>, which have been created by summing up the aligned EEL spectra at each  $\phi$ . Also included in the figures are the convolution of the aligned Doppler broadening spectrum of translational motion and the IR function at each  $E_0$ . The convolution curves can be regarded as the practical IR functions in the H<sub>2</sub> data analysis. It is clear from Fig. 3 that the energy resolution of the practical IR function changes with the change in  $E_0$ , and further that at every  $E_0$ , the magnitude of the contribution of the practical IR function to the energy spectrum is comparable to that of the Doppler broadening due to the intramolecular H-atom motion.

The last step of the general protocol<sup>15</sup> is to disentangle the Compton profile due to the intramolecular H-atom motion from the practical IR function. This step is made by using the convolution theorem.<sup>25</sup> This reveals that since Fourier transform (FT) of the convolution of two functions is the product of FTs of each function, the Compton profile due to the intramolecular H-atom motion can be obtained by dividing the FT of the experiment by that of the practical IR function and then taking the inverse FT of the result of the division. As examples of the results, the Compton profiles obtained at  $E_0 = 1.0$  and 2.2 keV are presented in Fig. 4. Note that the experimental data are plotted as a function of the momentum  $P^K$  of the scattering

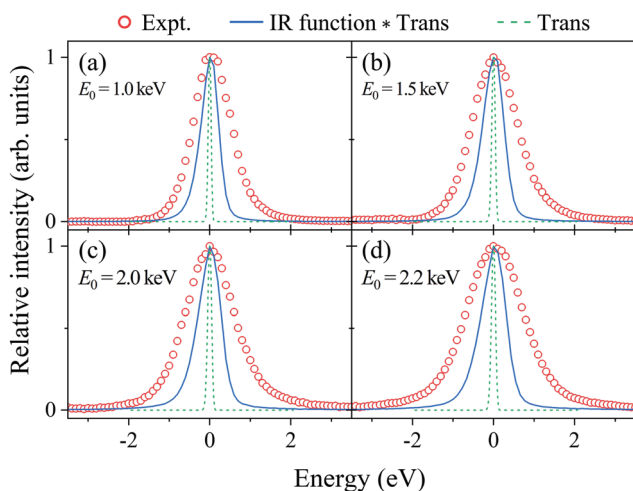


Fig. 3 Energy spectra of H<sub>2</sub> obtained at  $E_0 =$  (a) 1.0, (b) 1.5, (c) 2.0, and (d) 2.2 keV, respectively. The solid lines are the associated practical instrumental response (IR) functions (IR function  $\times$  Trans). The dashed lines are the calculated Doppler broadening due to molecular translational motion.

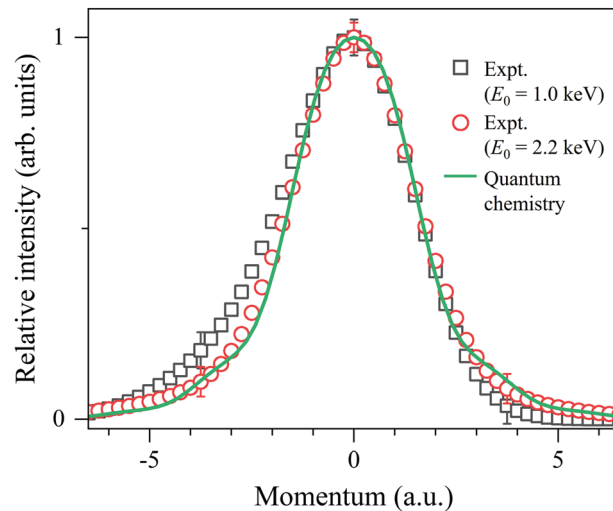


Fig. 4 Comparison between experimental Compton profiles of H<sub>2</sub> measured at  $E_0 = 1.0$  and 2.2 keV. The solid line represents the associated theoretical profile at  $K = \infty$ .

H-atom according to eqn (6), so their spectral shapes can now be directly compared to each other. The associated theoretical Compton profile calculated by using eqn (9) is also presented as a standard reference at  $K = \infty$ .

It can be seen from Fig. 4 that the experimental Compton profile at  $E_0 = 1.0$  keV ( $K = 15.8$  a.u.) has an asymmetric shape with respect to the momentum origin ( $P^K = 0$ ): compared to the associated theoretical profile having a symmetric shape, the experiment exhibits smaller intensity on the positive-momentum side, while exhibits larger intensity on the negative side. The observed asymmetry is consistent with the theoretical prediction that the leading dominant correction term for the PWIA has the odd symmetry with respect to the momentum origin.<sup>2</sup> Similar observation has been made for the experimental Compton profile at  $E_0 = 1.5$  keV ( $K = 19.4$  a.u.), though the degree of the asymmetry is smaller. On the other hand, it can also be seen from Fig. 4 that the experimental Compton profile at  $E_0 = 2.2$  keV ( $K = 23.5$  a.u.) exhibits an almost symmetric shape. It is thus clearly identified that the shape of the Compton profile is dependent upon  $E_0$  ( $K$ ).

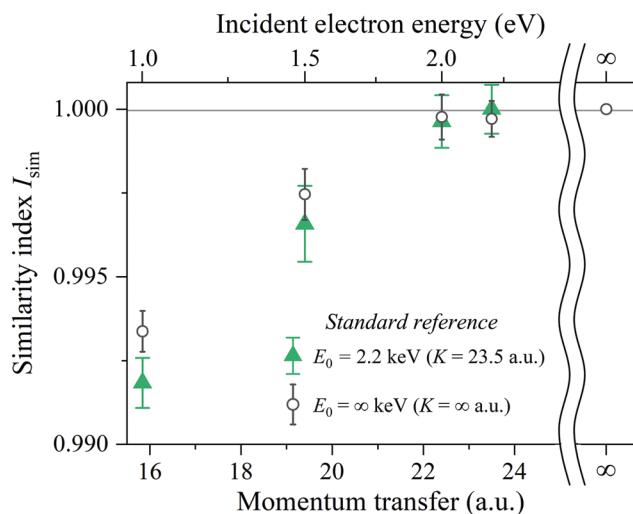
In order to quantitatively evaluate the change in the shape of the Compton profile, we introduce a similarity index  $I_{\text{sim}}(K)$  defined as

$$I_{\text{sim}}(K) = \frac{2 \int J_{\text{H}_2}^K(P^K) J_{\text{H}_2}^{\text{std}}(P^K) dP^K}{\int [J_{\text{H}_2}^K(P^K)]^2 dP^K + \int [J_{\text{H}_2}^{\text{std}}(P^K)]^2 dP^K}. \quad (11)$$

Here  $J_{\text{H}_2}^K(P^K)$  is the experimental Compton profile of H<sub>2</sub> at a certain value of  $K$ , and  $J_{\text{H}_2}^{\text{std}}(P^K)$  is the Compton profile as a standard reference.  $I_{\text{sim}}(K)$  can have a value between zero and unity. The  $I_{\text{sim}}(K)$  value of unity means that the experimental Compton profile at  $K$  and the standard reference have completely the same shape. The results of data analysis using the similarity index are summarized in Table 1 as well as Fig. 5 where two similarity indices are plotted as a function of  $E_0$  and  $K$ . One is a similarity index with the standard reference of the

**Table 1** Similarity index values determined by using  $J_{\text{H}_2}^{K=23.5}(P^K)$  and  $J_{\text{H}_2}^{K=\infty}(P^K)$  as the standard reference. See the text for details

$E_0$ (keV)	$K$ (a.u.)	Similarity index $I_{\text{sim}}(K)$	
		$J_{\text{H}_2}^{K=23.5}(P^K)$	$J_{\text{H}_2}^{K=\infty}(P^K)$
1.0	15.8	$0.9918 \pm 0.0008$	$0.9934 \pm 0.0006$
1.5	19.4	$0.9966 \pm 0.0011$	$0.9975 \pm 0.0008$
2.0	22.4	$0.9996 \pm 0.0008$	$0.9998 \pm 0.0006$
2.2	23.5	$1.0000 \pm 0.0008$	$0.9997 \pm 0.0005$



**Fig. 5** Two similarity indices as a function of  $E_0$  ( $K$ ). One is with the standard reference of the experimental Compton profile at  $E_0 = 2.2$  keV ( $K = 23.5$  a.u.) and the other is with the associated theoretical profile at  $E_0 = \infty$  keV ( $K = \infty$  a.u.). See the text for details.

experimental Compton profile  $J_{\text{H}_2}^{K=23.5}(P^K)$  at  $E_0 = 2.2$  keV ( $K = 23.5$  a.u.), and the other is that with the associated theoretical Compton profile  $J_{\text{H}_2}^{K=\infty}(P^K)$  at  $E_0 = \infty$  keV ( $K = \infty$  a.u.). Their experimental uncertainties have been estimated according to the error propagation method.<sup>26</sup>

One glance at Fig. 5 may reveal about the asymptotic behavior of the Compton profile due to the intramolecular H-atom motion in  $\text{H}_2$ . For example,  $I_{\text{sim}}(K = 15.8)$  with the standard reference of  $J_{\text{H}_2}^{K=23.5}(P^K)$  has a value of 0.9918, indicating that there is certainly a difference in the spectral shape between  $J_{\text{H}_2}^{K=15.8}(P^K)$  and  $J_{\text{H}_2}^{K=23.5}(P^K)$ . When  $K$  is increased to 19.4 a.u., the shape difference from  $J_{\text{H}_2}^{K=23.5}(P^K)$  becomes smaller. However, there still remains a noticeable difference between  $J_{\text{H}_2}^{K=19.4}(P^K)$  and  $J_{\text{H}_2}^{K=23.5}(P^K)$ . When  $K$  is further increased to 22.4 a.u., the shape difference from  $J_{\text{H}_2}^{K=23.5}(P^K)$  becomes non detectable. Similar observations can be made from  $I_{\text{sim}}(K)$  with the standard reference of  $J_{\text{H}_2}^{K=\infty}(P^K)$ . In this case, both  $J_{\text{H}_2}^{K=15.8}(P^K)$  and  $J_{\text{H}_2}^{K=19.4}(P^K)$  exhibit a difference in spectral shape from  $J_{\text{H}_2}^{K=\infty}(P^K)$ , though the difference of the latter is smaller than the former. When  $K$  is increased to 22.4 a.u., the shape difference from  $J_{\text{H}_2}^{K=\infty}(P^K)$  becomes non detectable. This observation is consistent with the findings of our latest AMS study on  $\text{H}_2$ .<sup>15</sup> Even when  $K$  is further increased to 23.5 a.u., the shape difference from  $J_{\text{H}_2}^{K=\infty}(P^K)$  is again not detectable.

By keeping in mind that the experiment possesses the ability to verify the range of the validity of the PWIA and  $J_{\text{H}_2}^{K=\infty}(P^K)$  is the quantum chemistry-based theoretical prediction of the Compton profile at  $E_0 = \infty$  keV ( $K = \infty$  a.u.), the above-made observations conclude the following. In a relatively small  $K$  region, the Compton profile due to the intramolecular H-atom motion in  $\text{H}_2$  changes in shape, depending on  $K$ , towards the Compton profile of the PWIA limit. With the increase in  $K$ , it eventually reaches the PWIA limit at around  $K = 22.4$  a.u. It no longer changes in shape even with the further increase in  $K$ . The asymptotic behavior of the Compton profile towards the PWIA limit as well as the presence of the PWIA limit of AMS are thus elucidated for  $\text{H}_2$ .

## 5. Conclusions

In summary, we performed a series of AMS experiments on  $\text{H}_2$ , while changing  $E_0$  ( $K$ ) from 1.0 (15.8) to 2.2 keV (23.5 a.u.). The experimental data were analyzed by using the general protocol so that the experimental response was disentangled from the IR function as well as molecular translational motion. The resulting Compton profiles due to the intramolecular H-atom motion were analyzed in terms of the similarity index. These data analyses have enabled us to elucidate the asymptotic behavior of the Compton profile and the presence of the PWIA limit of AMS for  $\text{H}_2$ .

Finally, it may be worthwhile to note that further studies would be required to have a more complete understanding of the PWIA limit for AMS. For instance, the PWIA limit for the H atom in polyatomic molecules such as  $\text{CH}_4$  might be different from that for the H atom in  $\text{H}_2$ . Likewise, the PWIA limit for an atom with a different mass might be different from that for the H atom. We believe that further efforts to answer these open questions will accumulate the knowledge about the PWIA limit for various atoms and various molecules, and eventually establish AMS as a unique molecular spectroscopy technique which enables one to measure the momentum distribution of each atom with different masses. One of the most important applications of AMS would be in the real-time measurement of the intramolecular force acting on a specific atom in a transient species,<sup>27</sup> based on Ehrenfest's theorem that relates the time derivative of the expectation value of the momentum operator  $\mathbf{P}$  to the expectation value of the force. We wish the present work encourages such future studies along the line.

## Conflicts of interest

There are no conflicts to declare.

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