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Water Impact Statement

This study developed an innovative electrochemical ozonation (ECO) process to mitigate harmful algal blooms. The ECO process oxidizes water to generate ozone, which rapidly inactivates cyanobacteria and degrades toxins within minutes. Its high efficacy was demonstrated in both laboratory settings and pilot-scale real-world lake applications, showcasing low energy consumption and minimal byproduct formation.

**Emerging investigator series: Mitigation of Harmful Algal Blooms by Electrochemical Ozonation:
From Bench-scale Studies to Field Applications**

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ABSTRACT

Harmful algal blooms (HABs) are an emerging threat to ecosystems, drinking water safety, and the recreational industry. As an environmental challenge intertwined with climate change and excessive nutrient discharge, HAB events occur more frequently and irregularly. This dilemma calls for fast-response treatment strategies. This study developed an electrochemical ozonation (ECO) process, which uses Ni-Sb-SnO₂ anodes to produce locally concentrated ozone (O₃) and hydroxyl radicals (•OH) to achieve ~100% inactivation of cyanobacteria (indicated by chlorophyll-a degradation) and removal of microcystins within 120 seconds. More importantly, the proof-of-concept evolved into a full-scale boat-mounted completely mixed flow reactor for the treatment of HAB-impacted lake water. The single-pass treatment at a capacity of 544 m³/d achieved 62% chlorophyll-a removal at energy consumption of < 1 Wh/L. Byproducts (e.g., chlorate, bromate, trihalomethanes, and haloacetic acids) in the treated lake water were below the regulatory limits for drinking water. The whole effluent toxicity tests suggest that ECO treatment at 10 mA/cm² posed certain chronic toxicity to the model crustacean invertebrate (*Ceriodaphnia dubia*). However, the treatment at 7 mA/cm² (identified as the optimum condition) did not increase toxicity to model invertebrate and fish (*Pimephales promelas*) species. This study is a successful example of leveraging fundamental innovations in electrocatalysis to solve real-world problems.

Keywords: Harmful algal bloom, Microcystin, Ozone, Electrocatalysis, Tin oxide.

INTRODUCTION

Widespread harmful algal blooms (HABs) have become an emerging threat to ecosystems, recreational use of lakes, and drinking water supplies. Due to increased nutrient discharge and global climate change, the occurrence of HABs is expected to be more frequent.^{1,2} In the United States, the cyanobacterial HAB occurrence was 7 days per year per waterbody in 2017. The frequency was projected to be 18-39 days per year per water body by 2090.¹ A recent study based on a 45-year record (1970 – 2015) revealed that the frequency of HABs occurring along the Chinese coast has increased by about 40% per decade.³ The surge production of hepatotoxic, cytotoxic, and neurotoxic microcystins can be expected in the HAB events.⁴

Chemical oxidation (using chlorine, permanganate, H₂O₂, etc.)⁵⁻⁷ and advanced oxidation processes (UV/H₂O₂ and UV/chlorine)⁸ are promising for HAB mitigation at drinking water treatment works. However, they are less practical for on-site lake remediation due to the uncertain efficacy limited by hydrological conditions and concerns about the environmental impact of chemicals.

There is a critical need for *in situ* treatment technology that can proactively curb HABs at the early stage and precisely eliminate algae plumes and cyanotoxins in the impacted high-value areas (lake shores,

public beaches, etc.). Since the bloom is usually concentrated at the top 0–1 m of the water column,^{9,10} we envision that mobile devices with pump-and-treat functionality could realize the precise treatment of plume, instead of the whole water body, for maximum cost-effectiveness. Electrochemical oxidation (EO)-based technologies, with the advantages of small footprint, high efficiency, and chemical-free operation, could be the best fit. Previously, The EO treatment of algae and microcystins equipped with anodes made of boron-doped diamond,¹¹ metal oxide (RuO₂ and IrO₂),^{12,13} and graphite¹⁴ was investigated at the lab scale. We advanced the EO process by developing Ti₄O₇ filter anodes with pore sizes of 24–53 μm for the treatment of HAB-impacted lake water at both lab and full scales.¹⁵ The Ti₄O₇ filter anodes oxidize chloride in lake water to chlorine to inactivate algae cells and oxidize cyanotoxins. The flow-through operation (by pumping water out of the filter anodes immersed in the plume) significantly promoted convective mass transfer and thereby achieved higher treatment performance than homogenous chlorination. Though the scaled-up demonstration at a capacity of 110 m³/d was successful, two limitations were identified: (1) the chlorine yield, which is associated with treatment performance, depends on chloride concentrations in lake water. (2) The micropores of the filter anode create large pressure drops ahead of the pump. The treatment capacity is limited by the pump suction head, which is significantly smaller than the lift head. The pumps were also vulnerable to damage by cavitation.

This study aims to address both engineering and fundamental challenges. The anode configuration was transformed from microporous cartridge filters to an array of mesh electrodes. Correspondingly, as will be discussed below, the treatment operation was changed from pumping water out of the submerged filter anode to pumping water through the mesh electrode array in a boat-mounted reactor to gain a larger treatment capacity (i.e., the flow rate is no longer limited by the pump suction head). The increase in design treatment capacity necessitates the use of more efficient electrodes that can produce various oxidants in addition to free chlorine, thereby removing the constraint of chloride concentration in lake water on treatment performance. In this regard, electrochemical ozone (O₃) evolution (ECO) is a promising target reaction,¹⁶ as this reaction uses water molecules as the only feedstock ($3\text{H}_2\text{O} \rightarrow \text{O}_3(\text{g}) + 6\text{H}^+ + 6\text{e}^-$, $E^0 = 1.51 \text{ V}_{\text{RHE}}$).

Electrode materials made of Pt, PbO₂, and boron-doped diamond (BDD) are able to produce O₃.¹⁶ In addition to the cost concern, these electrodes have to be operated at high current densities (50-1000 mA/cm²) and acidic electrolyte (H₂SO₄ or HClO₄) to achieve noticeable ozone production.^{17,18} The harsh conditions are less applicable to treating environmental water. Moreover, operations at high current density may promote the formation of halogenated byproducts.¹⁹ Recently, nickel-doped antimony tin oxide (NATO: Ni-Sb-SnO₂) emerged as a more reactive electrode for O₃ production. It could be operated at lower current densities (100-200 mA/cm²) for substantial current efficiencies (10-30%) in acidic electrolytes.^{20,21} Note

that most of the studies on the ECO have been carried out in acidic electrolytes. This is because the apparent O_3 evolution rate decreases with the increased pH,¹⁶ due to the promoted transformation of O_3 to hydroxyl radical ($\bullet OH$) and the decreased O_3 solubility at elevated pH.^{22,23} Despite the potential compromise of O_3 yield, recent environmental applications demonstrated that NATO could effectively produce O_3 and the derivative $\bullet OH$ in electrolytes and latrine wastewater at near-neutral pH, facilitating organic degradation and disinfection.^{24,25}

This is the first study to explore the fundamentals, applications, and environmental implications of NATO electrodes in HAB mitigation. The significant advancement brought by NATO is the shift from using free chlorine to O_3 and $\bullet OH$ as the reactive species, enabling treatment performance to be independent of chloride concentration in lakes. In addition to process innovation and scaled-up demonstration, this study provides comprehensive evaluations of the environmental implications, covering process impacts on byproduct formation and effluent toxicity on an invertebrate and fish.

METHODS

Cyanobacteria Cultivation and Materials. Two cyanobacteria strains, *Synechococcus elongatus* (UTEX 3055) and *Microcystis aeruginosa* (UTEX LB 3037), were incubated in a shaker incubator (Innova S44i, Eppendorf) with 10-30% photosynthetic light following our previous study.¹⁵ The bench-scale tests involved mesh-type (5 cm \times 5 cm with double-sided coating; 0.5 \times 0.8 mm rhombus openings) anode with either NATO or antimony tin oxide (ATO: Sb-SnO₂) coatings. The NATO and ATO anodes were prepared by dip-coating titanium mesh into sol-gel solutions of metal-citrate complexes, followed by calcination.²⁴ The precursor solution for ATO contained 360 mM SnCl₄·5H₂O (98%, Aldrich) and 40 mM SbCl₃ (>99.0%, Aldrich), while that for NATO contained 360 mM SnCl₄·5H₂O, 15 mM SbCl₃, and 4 mM Ni(OCOCH₃)₂·4H₂O (98%, Aldrich). Metal salts were mixed with 1.2 mol/L of citric acid in ethylene glycol at 90 °C for 30 min to form stable sol-gels.

The NATO anode comprises NATO out-layer coating (1 mg/cm² projected area) and ATO base coating (1 mg/cm² projected area) on Ti mesh, while the ATO anode only contains the ATO base coating. The dual-layer design of the NATO anode bestows the electrode with superior durability and O_3 yield. Details were provided in our previous publication.²⁴ The full-scale electrode array contains 20 pieces of mesh NATO anode (50 \times 50 cm; 0.5 \times 0.8 mm rhombus openings) sandwiched by 21 pieces of perforated stainless steel sheet cathode (50 \times 50 cm; round opening at \varnothing 3 mm) at an interspace of 0.5 cm. The full-scale mesh NATO anodes were manufactured by Square One Coating Systems following the same sol-gel coating + calcination procedure described above.

Testing Conditions. All tests were conducted in 95 mL phosphate buffer solution (**PBS**; pH = 7.7, conductivity = 329 $\mu\text{S}/\text{cm}$) or lake water collected from Lake Neatahwanta, NY. The synthetic water or lake water was spiked with cyanobacteria culture to reach an initial concentration of 100 $\mu\text{g}/\text{L}$ as chlorophyll-a (Chl-a). In bench-scale electrochemical treatment, a mesh anode (NATO or ATO; 5×5 cm) was coupled with a stainless steel cathode (5×5 cm) at a distance of 0.5 cm and operated at current densities of 7 or 10 mA/cm^2 . For the homogeneous ozonation treatment, O_3 gas (generated from an A2Z ozone generator) was purged into 95 mL PBS solution till target concentrations (0.8 – 2.5 mg/L) were reached. The O_3 bubbling was stopped, and *Synechococcus elongatus* culture was spiked into the PBS solution to reach 100 μg Chl-a/L. The reactor was then sealed from the air. Samples were taken within 300 s. The loss of dissolved O_3 after the 300 s reaction was less than 20%.

Analytical Approaches. For the Chl-a measurement, water samples (5 mL) were passed through a 0.2 μm polycarbonate membrane filter. The filter was then extracted in 10 mL of 90% acetone. After placing in the dark at 4 $^\circ\text{C}$ for 8 h, the Chl-a extract was quantified by a fluorometer (TD-700, Turner Designs). The MC-LR in water was quantified by a UPLC system coupled with a triple-stage quadrupole mass spectrometer (MS/MS; Thermo Scientific, Vanquish-TSQ ALTIS) equipped with a Phenomenex Luna Omega PS C18 column (1.6 μm , 100 mm \times 2.1 mm). The MS/MS was operated in electrospray in negative ion mode. In the tests involving *Microcystis aeruginosa* (an MC-LR toxin-producing strain), MC-LR in the bulk solution was defined as extracellular MC-LR. Intracellular MC-LR within the *Microcystis aeruginosa* cells was extracted by acetone after retaining cells by filtering the water sample through a polycarbonate membrane with a pore size of 0.2 μm .

Free chlorine was measured by a portable Hach DR900 colorimeter (HACH, CO) using a DPD (N,N-diethyl-p-phenylenediamine) reagent. Dissolved O_3 concentrations were determined by the indigo method on a NanoDrop OneC spectrophotometer (Thermo Fisher Scientific).²⁶ When measuring electrochemical O_3 evolution in the presence of chloride, the anodically generated free chlorine was masked by 1 M malonic acid.²⁴ Trihalomethanes (THMs) and haloacetic acids (HAAs) were measured by gas chromatography/mass spectrometry (GC/MS) following EPA Method 624.1. Inorganic anions were analyzed by ion chromatography (Thermo Dionex Integrion HPIC).²⁷ The whole effluent toxicity tests on *Pimephales promelas* and *Ceriodaphnia dubia* were independently conducted by AquaTOX Research, Inc., following EPA Methods 2000.0 and 2002.0, respectively (EPA-821-R-02-013).

RESULTS AND DISCUSSION

Electrode Characterization.

Figure 1a shows the cyclic voltammetric (CV) profiles of NATO and ATO. The anodic potential (E_a) was corrected for the Ohmic drop between the reference electrode and anode by considering the product of current (i) and uncompensated resistance (R_u). The measured value was converted to the reversed hydrogen electrode scale (RHE) to account for the pH effect using the equation below.²⁸

$$E_{\text{RHE}} = E_{\text{measured}} + 0.0591 \times \text{pH} \quad (1)$$

We adopted the Ni dopant level of 1 at.% (Ni/(Ni+Sb+Sn)) as optimized in previous studies.^{29,30} The Ni doping shifts the CV hysteresis toward lower potentials. The shift of onset potentials from 2.48 V for ATO to 2.38 V for NATO and the rise of the current response can be attributed to the enhanced evolution of oxygen and O_3 .²⁰ In the lake water treatment, the mesh electrodes were operated at current densities between 6-10 mA/cm^2 projected area, which leads to anodic potentials around 2.6-2.7 V_{RHE} (Figure 1a), surpassing the thermodynamic criteria for $\bullet\text{OH}$ radical evolution ($\bullet\text{OH}/\text{H}_2\text{O}$: 2.7 V_{NHE}).³¹

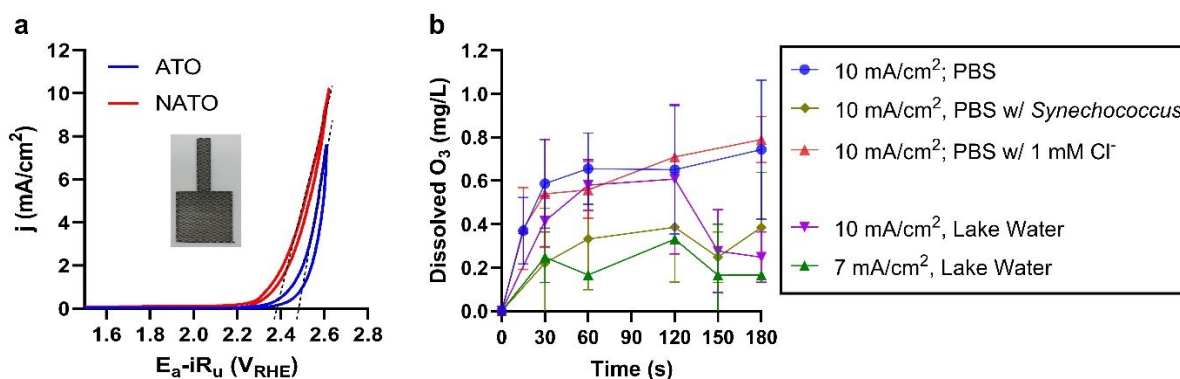


Figure 1. (a) Cyclic voltammetry of ATO and NATO anodes measured in 100 mM NaClO_4 electrolyte (pH = 7). The intercept of the dashed lines with the x-axis represents the onset potential. (b) Dissolved O_3 produced by NATO in 95 mL PBS electrolyte (pH 7.7), PBS electrolyte amended 1 mM Cl^- or *Synechococcus elongatus* culture (initial Chl-a concentration of 100 $\mu\text{g}/\text{L}$), and lake water (pH 7.8) collected from Lake Neatawantah. Data in Figure 1b are presented as the mean value of triplicate \pm standard deviation.

Electrolysis using ATO could not generate O_3 . In contrast, the NATO generated dissolved O_3 in PBS electrolyte in the presence or absence of Cl^- (Figure 1b). The evolution rate and current efficiency in PBS electrolyte at 10 mA/cm^2 are 0.0078 $\text{mmol}/\text{m}^2/\text{s}$ and 4.5%, respectively. Chlorine evolution capability was

tested in PBS electrolyte amended with 1 mM Cl⁻, a typical Cl⁻ concentration found in lake waters treated in this study (Table S1). NATO and ATO demonstrated comparable chlorine evolution rates of 0.022 and 0.024 mmol/m²/s, respectively, at 10 mA/cm² (Figure S1). The electrochemical reactive surface area (ECSA) of the NATO mesh anode was measured by the double-layer capacitance method.³² The capacitance is measured as 16.64 mF, corresponding to an ECSA of 443.6 cm² (Figure S2). The estimated ECSA is 8.9 times larger than the projected surface area (50 cm²).

The stability of the NATO electrode was previously investigated by the accelerated lifetime test.²⁴ According to the established lifetime prediction model, the NATO anode operated at the optimum current density of 7 mA/cm² (see below) has an expected lifetime of 9800 h. The leaching of metal ions, for example, Sb, is only significant (<0.05% loss of the mass loading) after 90 min electrolysis.²⁴ This explains the results observed in this study that Ni, Sb, and Sn were not detected (by inductively coupled plasma mass spectrometry with a detection limit of 1 µg/L) after 3 min electrolysis when >99% Chl-a was achieved (to be discussed in the next section and Figure 2).

Bench-scale Investigation

The treatment performance of mesh-type ATO and NATO was evaluated based on the inactivation efficiency of *Synechococcus elongatus* and *Microcystis aeruginosa*, two strains of common cyanobacteria in algal blooms.^{33,34} These two strains have similar Gram-negative cell wall structures.³⁵ *Synechococcus elongatus* does not produce toxins. It was used in most bench-scale tests to study the inactivation kinetics without the potential interference of MC-LR. The optimized reaction conditions were then applied to treat electrolyte spiked with *Microcystis aeruginosa* culture with co-existing MC-LR.

This study uses extracted chlorophyll-a (Chl-a) fluorescence as an indicator of cyanobacteria viability. Chl-a cannot maintain its structural integrity and light-adsorbing properties after cell damage. It is commonly used as an indicator to quantify the viable cell concentration.³⁶⁻³⁹ We demonstrated clear linear correlations between optical density (a standard index for estimating the concentration of cells in a suspension) and Chl-a concentrations in *Synechococcus elongatus* and *Microcystis aeruginosa* cultures (Figure S3). These data support the feasibility of using Chl-a concentration to represent viable cell density. More importantly, under the context of lake water remediation, Chl-a concentration is a straightforward algae proxy adopted by the World Health Organization and regulators. For example, the New York State Department of Environmental Conservation (NYSDEC) uses pigment concentration > 25 µg/L as one of the criteria of HAB rather than cell density.⁴⁰ Therefore, we use the degradation of Chl-a as the performance metric for HAB mitigation.

The tests of inactivation of *Synechococcus elongatus* were first performed in PBS electrolyte (pH = 7.7 throughout the reactions) at a current density of 10 mA/cm². Note that both ATO and NATO have sufficiently high anodic potentials of ~2.7 V_{RHE} to produce •OH (Figure 1a). It was found that ATO showed negligible reactivity in Chl-a removal, and NATO noticeably outperformed ATO (Figure 2a). The poor performance of ATO suggests that surface-bound •OH generated from the Sb-SnO₂ sites is less efficient for algae inactivation. Given that both NATO and ATO share Sb-SnO₂ as the primary catalyst component, except NATO containing an additional 1 mol.% Ni dopant, the yield of surface-bound •OH on the Sb-SnO₂ sites of NATO should be comparable to that of ATO. However, NATO possesses the additional ability to produce O₃, which can be partially transformed into •OH in the water phase. Consequently, the superior performance of NATO should be attributed to the combined effects of O₃ and its derivative •OH.

O₃ played a key role in algae inactivation, evidenced by the consumption of O₃ (i.e., lower residual O₃ concentrations) during the electrolysis in PBS electrolyte spiked with *Synechococcus elongatus* (Figure 1b). We believe that both O₃ and derivative •OH contributed to algae inactivation, but it is challenging to quantify their contribution. This is because the conversion of O₃ to •OH is only pronounced when pH > 9,²³ which means O₃ and •OH co-exist in most of the treatment scenarios (pH 7-8) demonstrated in this study. Moreover, the ECO process employs a distinct O₃ dosing mechanism compared to ozonation. Thus, the strategy of adding a radical quencher to investigate direct oxidation by O₃ alone is not suitable for investigating the ECO process. Specifically, the O₃ evolution on NATO requires the combination of surface-bound •OH with O₂ to form •HO₃, then O₃.^{20,41} Therefore, the addition of tert-butyl alcohol (TBA) to scavenge •OH also halted the O₃ production (below the detection limit of 0.1 mg/L) and consequently Chl-a removal by NATO was retarded in the presence of 100 mM TBA (Figure 2b). Though we were unable to determine whether O₃ and secondary product •OH contributed the most, the electrochemical production of O₃ is the driving force of the treatment process. Therefore, in the following content, we will continue to use the term ECO to distinguish this process from other radical-mediated advanced oxidation processes.

In the presence of Cl⁻, NATO could produce free chlorine and O₃. If chlorine made a significant contribution to Chl-a removal, then the Chl-a removal kinetics in PBS with Cl⁻ should be faster than PBS without Cl⁻. However, our observation suggests otherwise. The presence of up to 3 mM Cl⁻ (a high record in lakes of the United States⁴²) did not further accelerate Chl-a removal (Figure 2b; w/ Cl⁻ vs. PBS). Previously, we determined the pseudo-first-order rate constant of Chl-a in *Synechococcus elongatus* and free chlorine as 0.027 L/(mg min).⁴³ With the presence of 1 mM Cl⁻, the time-average free chlorine concentrations produced by NATO at 10 mA/cm² within 120 s electrolysis should be ~7 mg/L (Figure S1). If free chlorine is responsible for Chl-a removal, the half-life of the reaction (t_{1/2}) should be 220 s instead of the 48 s shown in Figure 2b. Therefore, we conclude that even in the presence of chloride, O₃ and

derivative $\bullet\text{OH}$ are the two primary reactive species for cyanobacteria inactivation by NATO. The treatment performance of ATO can be enhanced in the presence of Cl^- due to the production of free chlorine. However, as shown in Figure 2c, a comparison of Chl-a removal kinetics in PBS with 1 mM Cl^- at 10 mA/cm² indicates that NATO ($t_{1/2} \approx 60$ s) still significantly outperforms ATO ($t_{1/2} \approx 160$ s).

The efficacy of ECO was compared with homogeneous ozonation (Figure 2d). The homogeneous ozonation at 1.5 mg/L O_3 achieved Chl-a removal kinetics similar to ECO. In contrast, ECO generated 0.48 mg/L O_3 at 120 s, corresponding to a time-average $[\text{O}_3]$ of 0.24 mg/L. ECO produced less residual bulk $[\text{O}_3]$ (0.24 vs. 1.5 mg/L) to realize faster Chl-a removal kinetics than homogeneous ozonation. Therefore, the faster cyanobacteria inactivation could be attributed to the locally concentrated O_3 produced at the vicinity of the NATO anode, and the regional O_3 concentration is equivalent to ~ 1.5 mg/L.

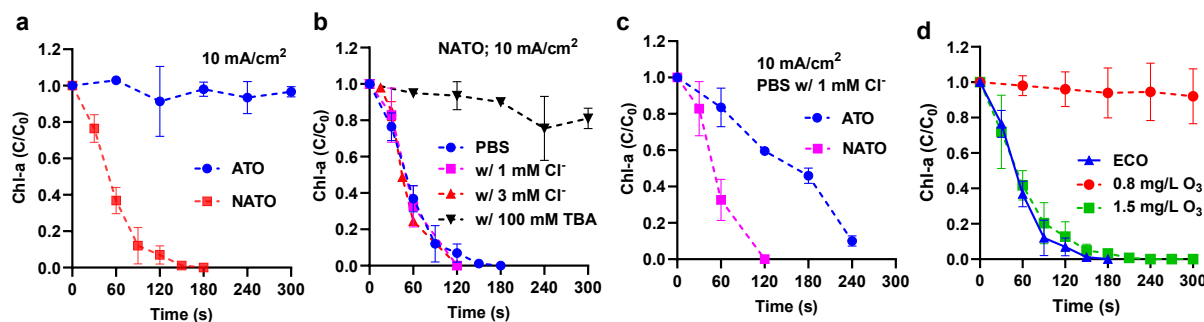


Figure 2. Inactivation of *Synechococcus elongatus* by electrolysis using (a) ATO and NATO anodes in PBS electrolyte, (b) NATO anode in different electrolytes. (c) Comparison of Chl-a destruction kinetics of NATO and ATO in the presence of 1 mM Cl^- . (d) Comparison of Chl-a destruction by ECO and homogeneous ozonation with various initial O_3 dosages (0.8 and 1.5 mg/L dissolved O_3). All tests were conducted in 95 mL PBS (pH 7.7) amended with *Synechococcus elongatus* at an initial Chl-a concentration of 100 $\mu\text{g/L}$ at 10 mA/cm². Throughout the electrolysis, pH was not changed. Data are presented as the mean value of triplicate \pm standard deviation.

The ECO treatment of two cyanobacteria strains individually spiked in PBS electrolyte with 1 mM Cl^- shows that *Microcystin aeruginosa* and *Synechococcus elongatus* have similar degradation kinetics (Figure 3a). This similarity is attributed to their identical Gram-negative cell wall structures,³⁵ suggesting that the inactivation mechanism is likely due to cell wall damage. After elucidating the reaction mechanism, the treatment tests were operated in more field-like scenarios. In most of the field tests, the ECO full-scale system was operated at 7 mA/cm² (see discussion below). ECO at 7 mA/cm² is equally efficient for the

inactivation of *Microcystis aeruginosa* (Figure 3b) and *Synechococcus elongatus* (Figure S4) in PBS amended with 1 mM Cl⁻. The treatment was further operated in Lake Neatahwanta water (collected before the HAB season and filtered to exclude background cyanobacteria and MC-LR) spiked with *Microcystis aeruginosa*. The Chl-a removal kinetics were slightly retarded by the water matrices, but the >90% removal efficiency can still be achieved after 180 s electrolysis.

The lake water and PBS electrolyte spiked with *Microcystis aeruginosa* culture contains both intra- and extracellular MC-LR. In both media, intra-cellular MC-LR was degraded slower than extra-cellular MC-LR (Figure 3c). This is because the destruction of intra-cellular MC-LR requires the cell structure to be broken down first.

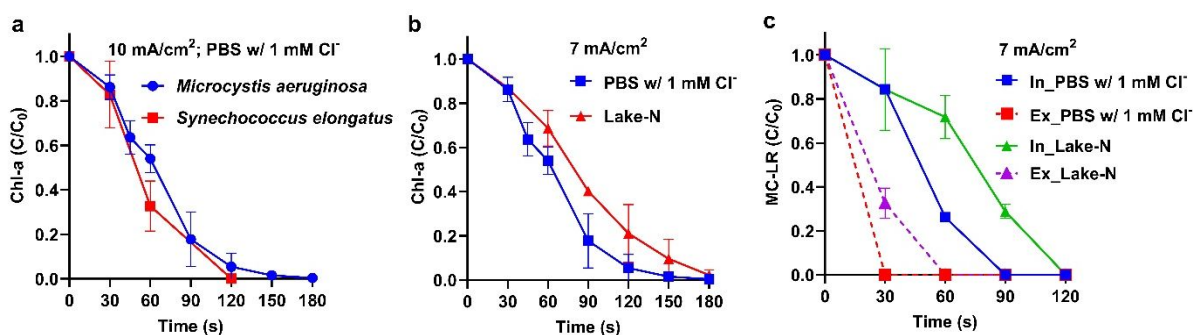


Figure 3. (a) Comparison of degradation kinetics of *Microcystis aeruginosa* and *Synechococcus elongatus* in PBS amended with 1 mM Cl⁻ at 10 mA/cm². (b) Inactivation of *Microcystis aeruginosa* and (c) destruction of intracellular (In) and extracellular (Ex) MC-LR by electrolysis using NATO anode in 95 mL PBS amended with 1 mM Cl⁻ or 95 mL Lake Neatahwanta (Lake-N) water at 7 mA/cm². The initial Chl-a concentration for both *Microcystis aeruginosa* and *Synechococcus elongatus* is 100 µg/L. The initial concentrations of intracellular and extracellular MC-LR in PBS electrolyte are 1 and 3 µg/L, respectively. The PBS electrolyte has a pH reading of 7.7 throughout the electrolysis. The pH values of Lake-N before and after treatment are 7.8 and 7.5, respectively. Data are presented as the mean value of triplicate ± standard deviation.

The bench-scale treatability study concluded that the ECO process is efficient for the removal of cyanobacteria and MC-LR at minute-level retention time. The remaining roadblock toward field development is understanding the environmental implications of the ECO technology. Given the fast Chl-a degradation kinetics, we hypothesize that significant cyanobacteria inactivation could be achieved before

the yield of byproducts. Concern about the formation of inorganic byproducts was first addressed in the bench-scale study under field-like conditions. Other environmental aspects (organic byproducts and ecotoxicity) were investigated in the field application (see the following content). Chlorate (ClO_3^-) was identified as the product stemming from the electrochemical oxidation of Cl^- . Figure S5 shows the evolution of ClO_3^- in PBS electrolyte amended with 1 mM Cl^- and lake water containing ~ 1 mM Cl^- at 10 mA/cm². The results indicate that the evolution of ClO_3^- was suppressed in the lake water compared with electrolysis in PBS electrolytes, possibly due to the depletion of free chlorine by reacting with matrix components. As will be discussed below, the full-scale ECO reactor was operated at a Chl-a removal efficiency of $\sim 40\%$, corresponding to a treatment duration of 60 s in batch mode. In this scenario, the $[\text{ClO}_3^-]$ was below the detection limit (5 $\mu\text{g/L}$) and the World Health Organization (WHO) guideline of 0.7 mg/L.⁴⁴

Bromate is a byproduct derived from the ozonation of bromide (Br^-).⁴⁵ We do not believe this is a concern in the lake water treatment in this study because Br^- was not detected in the lake water of two test sites (Table S1). To address the concern about the future applications in treating Br^- containing water, we investigated the formation BrO_3^- in the ECO treatment of PBS electrolyte containing 0.2 mg/L Br^- , a concentration reported in some surface water.⁴⁶ The results show that, throughout the 180 s ECO treatment process at 7 mA/cm², the dominant product is HOBr. Formation of BrO_3^- was not detected (Figure S6). This finding highlights the advantage of ECO over homogeneous ozonation as the former yields less bulk O_3 to form BrO_3^- .

The bench-scale investigation concludes that (1) ECO effectively removes cyanobacteria and cyanotoxins at short, minute-level retention times; (2) the reaction is built upon the locally concentrated O_3 produced at the NATO anode. The efficacy is independent of chloride concentration, which broadens the treatment scenarios in fresh waters.

Field Applications

A boat-mount ECO system was developed for the scaled-up treatment of HAB-impacted lake water (Figure 4a). The full-scale electrode array contains 20 pieces of mesh NATO anode (50 × 50 cm; 0.5 × 0.8 mm rhombus openings) sandwiched by 21 pieces of perforated stainless steel sheet cathode (50 × 50 cm; round opening at \varnothing 3 mm) at an interspace of 0.5 cm (Figure 4b). The polycarbonate reactor has a 190 L effective liquid volume. Other on-board components include a custom-made DC power supply, generators, and a water pump. Algae plumes were captured by an intake pipe at an adjustable depth (0-1 m) underwater. An intake screen with a mesh opening size of 1 mm was installed on the intake port to prevent the entry of fish and other large aquatic life (Figure S7). Lake water was transferred from the pipe to the pump and pushed through the 190 L reactor at a flow rate of 378 L/min (i.e., treatment capacity of 544 m³/d),

corresponding to a hydraulic retention time of 30 seconds. Lake water was treated as is without chemical addition.

The electrode array has a total projected anode area of 10 m². Applying 600-1000 A total current on the electrode array resulted in current densities of 6-10 mA/cm² with a cell voltage ranging from 12-20 V. In operation, water passing through the electrode mesh incurred efficient mass transfer. We have conducted preliminary tests in the field to show that the Chl-a concentrations in samples collected from the mid-height sampling port (representing bulk concentration) and outlet were the same (Figure S8). Therefore, the reactor can be considered a completely mixed-flow reactor (**CMFR**).

Based on the bench-scale batch reactions (data set of "PBS w/ 1 mM Cl⁻" in Figures 2b and S4), the pseudo-first-order rate constants (k_{ECO} 's) at 7 and 10 mA/cm² are 0.026 and 0.061 s⁻¹, respectively. When transforming the reaction from batch to CMFR, the Chl-a removal efficiency can be estimated by

$$\text{Removal efficiency (\%)} = (1 - C/C_0) \times 100\% = 1 - 1/(1 + \tau k_{\text{ECO}}) \quad (2)$$

where C_0 and C are Chl-a concentrations in water entering and exiting the reactor, respectively. τ is the hydraulic retention time (30 s). Consequently, the removal efficiencies were projected as 44% and 64% for 7 and 10 mA/cm² operation, respectively, in CMFR mode.

The performance of the full-scale system was validated in the treatment of algal blooms that occurred in Lake Neatahwanta (43°18'30 "N 76°26'14"W) and Oneida Lake (43°10'25"N 75°55'50"W) in New York state. Lake Neatahwanta was treated in the midst of an algal bloom with 105 µg/L Chl-a and 3 µg/L MC-LR, while tests on Oneida Lake happened in the early blooming stage with Chl-a concentration of 5.4 µg/L (Table S1). Each field deployment lasted for one day, with 9 to 12 pairs of influent and effluent samples taken. Tests in Oneida Lake were performed at current densities of 6-7 mA/cm², while those in Lake Neatahwanta were conducted at 7-10 mA/cm². As shown in Figure 4c, treatment operated at 7 and 10 mA/cm² achieved Chl-a removal efficiency of 39% and 62%, respectively, approaching the predicted values based on the CMFR model. More importantly, plotting removal efficiency data against specific energy consumption (Wh/L) shows that the field test performances align well with the bench-scale data set. The convergence of laboratory results and full-scale performance on Chl-a removal demonstrates the promising linear scalability of the ECO process in cyanobacteria inactivation using specific energy consumption as the key design parameter, given that the same anodes (i.e., NATO) are used.

Intracellular MC-LR was not found in the Lake Neatahwanta water samples. The treatment at 7 mA/cm² led to an effluent extracellular MC-LR concentration (i.e., MC-LR resides in lake water) of 0.41 µg/L (vs. $C_0 = 3$ µg/L), corresponding to a destruction efficiency of 86%. Note that it is challenging to study the extracellular MC-LR degradation kinetics due to the rapid degradation in ECO within a minute. Nonetheless,

the 86% destruction of MC-LR when 40% Chl-a degradation was obtained in the full-scale CMFR reactor is comparable with the results observed in the lab-scale batch reactor (>95% destruction of MC-LR when ~40% Chl-a degradation was achieved, as shown in Figure 3).

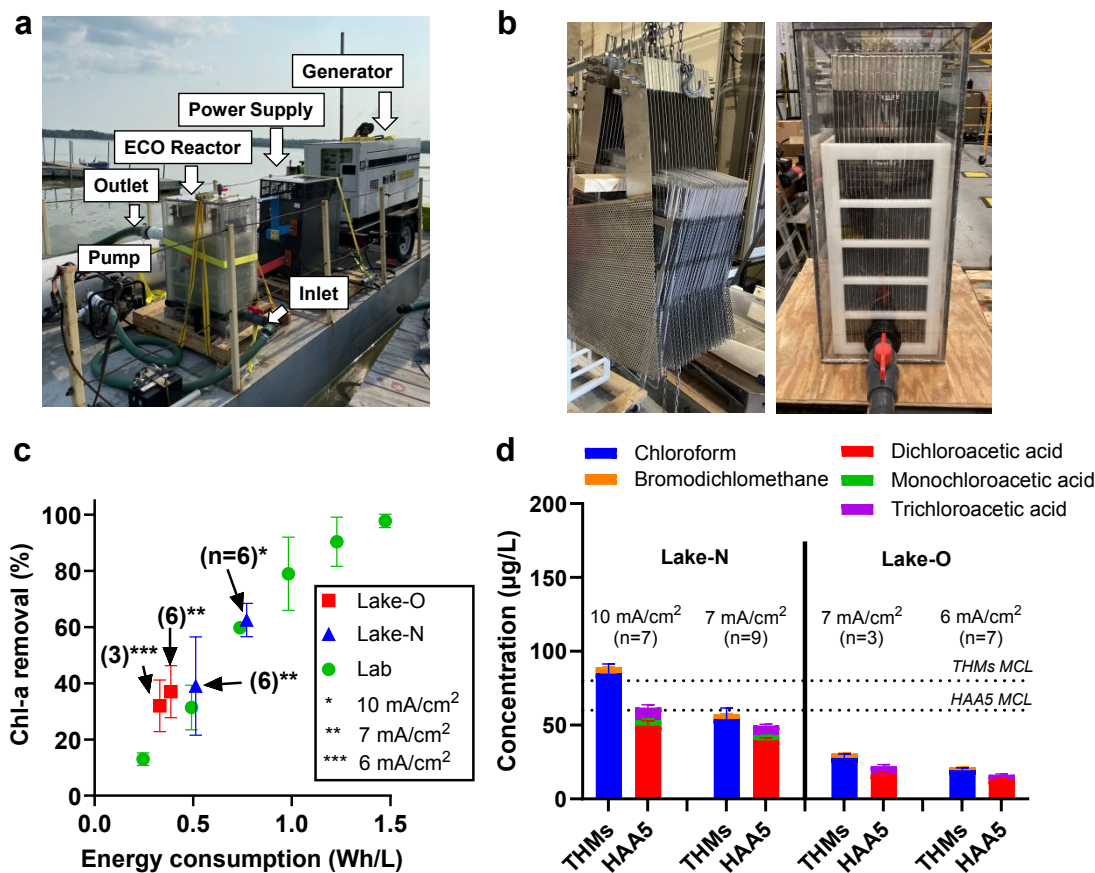


Figure 4. (a) Layout of the boat-mount ECO system. (b) Side view of ECO reactor with mesh electrodes installed. (c) Chl-a removal efficiencies benchmarked by energy consumption. Data were collected from lab-scale investigation and field tests performed in Lake Neatahwanta (Lake-N) and Oneida Lake Oneida (Lake-O). n is the number of pairs of inlet and outlet samples taken. The pH values of Lake-N (7.8) and Lake-O (8.3) did not change after treatment. (d) Trifluoromethanes (THMs) and five haloacetic acids (HAA5) in the treated Lake Neatahwanta effluent when the reactor was operated at 7 mA/cm². MCL is the Maximum Contaminant Level regulated by the U.S. EPA.

For all the field tests, the residual chlorine and O₃ in the treated effluent are below the detection limits (both at 0.1 mg/L). This is likely due to the short retention time of 30 seconds, which did not allow for the accumulation of residual oxidants in the continuous flow reactor. Additionally, the loss of oxidants during sample shipment is possible. Samples were transported from the boat to the shore for water analysis, and

oxidant decay may have occurred during this transfer. To verify the generation of oxidants, we conducted supplementary tests at bench-scale by electrolyzing Lake Neatahwanta water at 7 and 10 mA/cm² in batch mode (Figure 1b). At a retention time of 30 seconds (equivalent to the hydraulic retention time of the field-scale CMFR), the O₃ concentrations were measured to be 0.2 mg/L under 7 mA/cm² and 0.4 mg/L under 10 mA/cm². Chlorine concentrations were below the detection limit of 0.1 mg/L (Figure S1b). The O₃ concentration range of 0.2-0.4 mg/L likely mirrors the residual O₃ concentrations in the effluent immediately after exiting the reactor.

It is important to emphasize that the inactivation of algae should be achieved after a single pass through the CMFR due to the exposure of cells to locally concentrated O₃ and •OH around NATO anodes, as discussed above. The decay of 0.2-0.4 mg/L residual O₃ during sample transportation is unlikely to contribute further to the treatment as our findings demonstrated that ozonation with O₃ up to 0.8 mg/L did not noticeably remove Chl-a (Figure 2d)

The current density of 7 mA/cm² (total current of 700 A and cell voltage of 14 V in treating Lake Neatahwanta) was identified as the optimum condition, balancing the significant algae removal, low byproduct formation, and insignificant toxicity (discussed below). It is important to note that the ~40% Chl-a removal at a short retention time of 30 s already incurred instant improvement in water clarity (Figure S9). Improvement in local water quality can be expected by the circulative treatment of water. The energy consumption of 0.5 Wh/L is also lower than the chlorine-based electrochemical HAB mitigation system (1.1 Wh/L) reported in our previous study.⁴³

Environmental Implications.

The formation of halogenated byproducts, including trihalomethanes (THMs) and five haloacetate acids (HAA5), was investigated in the treatment of Lake Neatahwanta and Oneida Lake. THMs and HAA5 were not detected in the influent samples. In the effluent samples, chloroform and dichloroacetic acid were the dominant THMs and HAAs, respectively. For treatment operated at current densities of 6 and 7 mA/cm², the total concentrations of THMs and HAA5 were below the Maximum Contaminant Level (MCL) values regulated by the U.S. EPA (Figure 4d).

Whole effluent toxicity tests were conducted to evaluate whether the effluent from the ECO process would negatively impact the aquatic life in the receiving water. Samples of influent (INF) and treated effluent (EFF) were collected during the operation in Lake Neatahwanta (Table S2) and Oneida Lake (Table S3) at 6-10 mA/cm². In the toxicity tests, model freshwater invertebrate (*Ceriodaphnia dubia*) and fish (*Pimephales promelas*) species were exposed to INF samples, EFF samples, and lab control water (CON). Ten replicates were used for *Ceriodaphnia dubia*, and four replicates for *Pimephales promelas* for each

INF, EFF, and CON exposure. Comparing the toxicity test results of samples obtained at 10 mA/cm² (Table S2), although *Ceriodaphnia dubia* survival was 100% at both 48 h (Acute) and 6-7 days (Chronic), reproduction is lower in EFF than INF, suggesting the treatment induced toxicity for the invertebrate species. Conversely, no effects were observed on the survival and growth of *Pimephales promelas*. The analysis of samples derived from operation at 6 and 7 mA/cm² show that there were no significant survival impacts at either 48 h (acute) or 6-7 days (chronic) for either the invertebrate or fish species, with survival rates of 100%. Similarly, there were no significant effects on the reproduction of invertebrates or the growth of fish in EFF compared to INF. The whole effluent toxicity tests confirmed that the ECO process operated at 6 and 7 mA/cm² did not induce acute or chronic toxicity in the treated effluent, echoing our conclusion that 7 mA/cm² is the optimum current density. Lastly, it is important to note that the ECO process alone cannot remove nutrients. We are currently exploring the integration of separation processes, such as coagulation and filtration, as pretreatment steps to the ECO process. Incorporating these algae harvest processes may enable the treatment train to remove intracellular nutrients and reduce the recurrence of algal blooms.

Conclusions

This study developed an ECO process for the rapid inactivation of cyanobacteria and the destruction of MC-LR. The process used NATO anodes to generate locally concentrated O₃ as the major reactive species. Compared with homogeneous ozonation, the ECO process achieved the same degree of treatment but yielded lower bulk [O₃]. Note that a majority of electrochemical water treatment processes rely on the electrolysis of chloride to produce free chlorine as oxidants, and the treatment performance depends on the chloride concentration.^{47,48} The shift of the dominant oxidant from chlorine to O₃ provides a new strategy for sustaining high treatment efficiency in freshwater with a limited chloride source and suppressing the formation of byproducts.

The significant merit of the engineering of this study is the scaled-up application of the ECO process to solve real-world problems. The performance of batch mode lab-scale reactor was replicated in a boat-mounted CMFR reactor using specific energy consumption as a benchmark. In addition to the successful demonstration of HAB mitigation, we also evaluated whole effluent toxicity to show that the ECO process operated at the optimized current density of 7 mA/cm² did not increase the effluent toxicity. This case study sets an example for the scaled-up application of electrified water treatment technology in environmental remediation.

Author Contributions

S. Y.: Data Curation, Formal Analysis, Investigation, Visualization, Original Draft Preparation

L. E. Q. C. and A. F.: Formal Analysis, Investigation, Visualization

N. S., V. M. C., and N.Y.: Formal Analysis, Investigation

L. M.: Funding Acquisition, Methodology, Resources, Review & Editing

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S. W.: Data Curation, Investigation, Methodology, Resources, Supervision, Review & Editing

S. J. G.: Funding Acquisition, Investigation, Project Administration, Resources, Supervision, Review & Editing

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Conflict of Interest

The authors declare no competing interest.

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Data Availability Statement

Source data for all graphs are available from the corresponding author upon request.