



Cite this: *Phys. Chem. Chem. Phys.*,  
2024, 26, 6608

# Refining the mechanism of CO<sub>2</sub> and H<sub>2</sub> activation over gold-ceria catalysts by IR modulation excitation spectroscopy†

Jakob Weyel and Christian Hess \*

The activation and utilization of the greenhouse gas CO<sub>2</sub> is of great interest for the energy transition as a fossil-free carbon source for mitigating climate change. CO<sub>2</sub> hydrogenation *via* the reverse water–gas shift reaction (RWGSR) converts CO<sub>2</sub> to CO, a crucial component of syngas, enabling further transformation by means of the Fischer–Tropsch process. In this study, we unravel the detailed mechanism of the RWGSR on low-loaded Au/CeO<sub>2</sub> catalysts using IR modulation excitation spectroscopy (MES), by periodically modulating the concentration of the reactants, followed by phase-sensitive detection (PSD). Applying such a MES-PSD approach to Au/CeO<sub>2</sub> catalysts during RWGSR gives direct spectroscopic evidence for the active role of gold hydride, bidentate carbonate and hydroxyl species in the reaction mechanism, while disproving the participation of other species such as formate. Our results highlight the potential of modulation excitation spectroscopy combined with phase-sensitive detection to provide new mechanistic insight into catalytic reactions not accessible by steady-state techniques, including a profound understanding of the sequence of reaction steps.

Received 20th October 2023,  
Accepted 30th January 2024

DOI: 10.1039/d3cp05102a

[rsc.li/pccp](http://rsc.li/pccp)

## Introduction

The reverse water–gas shift reaction (RWGSR) over Au/CeO<sub>2</sub> catalysts is of great interest for the energy transition,<sup>1–5</sup> enabling the conversion of CO<sub>2</sub> to CO, the key component of syngas besides H<sub>2</sub>. Syngas can subsequently be used as a platform chemical to produce chemical energy storage materials like methanol or even alkanes *via* the Fischer–Tropsch mechanism.<sup>2,3,6</sup> The RWGSR can therefore make use of emitted CO<sub>2</sub> and mitigate its effect on global warming by simultaneously providing a new source for syngas production.

Our previous studies on RWGSR have demonstrated that low-loaded Au/CeO<sub>2</sub> catalysts operate mainly according to an associative mechanism,<sup>7</sup> in contrast to comparable Cu/CeO<sub>2</sub> where a redox mechanism dominates.<sup>8</sup> Nevertheless, the RWGSR mechanism over Au/CeO<sub>2</sub> catalysts, in particular the sequence of steps is not fully understood, leading to a demand for development and application of spectroscopic techniques, which can enhance our mechanistic understanding.

Modulation excitation spectroscopy (MES) in combination with the mathematical tool of phase-sensitive detection

(PSD)<sup>9,10</sup> and applied to diffuse reflectance infrared Fourier transform spectroscopy (ME-DRIFTS) can differentiate between surface species that appear to be actively participating and those that are merely spectators. ME-DRIFTS has previously been used to gain detailed insight into the mechanistic behavior of heterogeneously catalyzed reactions, such as (preferential) CO oxidation,<sup>11–14</sup> CO<sub>2</sub> hydrogenation,<sup>15–18</sup> SCR<sup>19–21</sup> or propane ODH<sup>22</sup> among others.<sup>23–25</sup> In a previous study, we applied the ME-DRIFTS technique to the CO oxidation over low-loaded Au/CeO<sub>2</sub> catalysts, allowing us to elucidate new details on the CO oxidation mechanism,<sup>11</sup> not accessible by steady-state spectroscopy. In the context of the RWGSR we expect ME-DRIFTS to provide new insight into the adsorption, desorption and transformation of surface species such as carbonates, formates and hydroxides, which have previously been proposed to play a crucial role in the RWGSR.<sup>5,7,26,27</sup>

In this contribution, we address the mechanism of the RWGSR over low-loaded Au/CeO<sub>2</sub> catalysts by using IR modulation excitation spectroscopy. By studying the catalysts' behavior during different modulation approaches and by applying phase-sensitive detection, we develop a comprehensive and temporally-resolved picture of the surface processes relevant to the catalysis, which has not been available previously by using steady-state approaches. While illustrated here for the CO<sub>2</sub> activation over gold–ceria, our study highlights the more general potential of the MES-PSD approach to refine the mechanistic understanding of heterogeneous catalysts.

*Eduard-Zintl-Institute of Inorganic and Physical Chemistry, Technical University of Darmstadt, Peter-Grünberg-Str. 8, 64287 Darmstadt, Germany.*

*E-mail: christian.hess@tu-darmstadt.de*

† Electronic supplementary information (ESI) available. See DOI: <https://doi.org/10.1039/d3cp05102a>

## Experimental section

### Catalyst preparation and characterization.

Polycrystalline ceria sheets were prepared by thermal decomposition of  $\text{Ce}(\text{NO}_3)_3 \cdot 6\text{H}_2\text{O}$  (Alfa Aesar, 99.5%) at 600 °C, as described in detail previously.<sup>7,28,29</sup> To load the ceria sheets with gold electrolyte deposition is used. After dispersing the ceria samples in deionized water at a ratio of 1 : 150, the pH is adjusted to 9 using a 0.1 M NaOH solution (98%, Grüssing GmbH). Then, a  $10^{-3}$  M solution of  $\text{HAuCl}_4 \cdot 3\text{H}_2\text{O}$  (99.9%, Carl Roth), adjusted to pH 9, is added to the ceria dispersion to attain a loading of 0.5 wt% Au. The reaction mixture is heated to 65 °C for two hours, cooled, and then placed in an ultrasonic bath for 30 min. Lastly, the residue is centrifuged, washed four times with deionized water, and then dried in a drying oven at 85 °C for about 24 h. Details on the characterization of the gold–ceria samples can be found elsewhere.<sup>7</sup>

### Modulation excitation (ME) DRIFTS

The basic experimental setup for conventional DRIFTS and ME-DRIFTS was described in detail in our previous studies.<sup>7,11,25,30</sup> The setup used in this study (see Fig. 1) consisted of a Bruker Vertex 70 spectrometer with a liquid nitrogen cooled mercury cadmium telluride (MCT) detector and a commercial reaction cell (Praying Mantis™ High Temperature Reaction Chamber, Harrick Scientific Products). Typically, 25–35 mg of material was placed in a stainless-steel sample holder ( $\varnothing$ : 8 mm; depth: 0.5 mm). In ME-DRIFTS experiments, during each period, the concentration of either  $\text{CO}_2$  was switched between 0 and 2 mol%, while the concentration of  $\text{H}_2$  was kept constant at 4 mol%, or *vice versa*, *i.e.*, the concentration of  $\text{H}_2$  was switched between 0 and 4 mol% at a constant flow of 2 mol%  $\text{CO}_2$ . Ar was used as inert carrier gas and the total flow rate was set to 100 mL  $\text{min}^{-1}$ . The samples were treated for 15 min at the reaction temperature of 250 °C in a reductive 4 mol%  $\text{H}_2/\text{Ar}$  environment (for  $\text{CO}_2$  modulation) or a more oxidative 2 mol%  $\text{CO}_2/\text{Ar}$  environment (for  $\text{H}_2$  modulation). Background spectra were measured at the reaction temperature after one modulation period as a pretreatment. The modulation experiments consisted of 20 periodic concentration changes of 123 s length each. Each

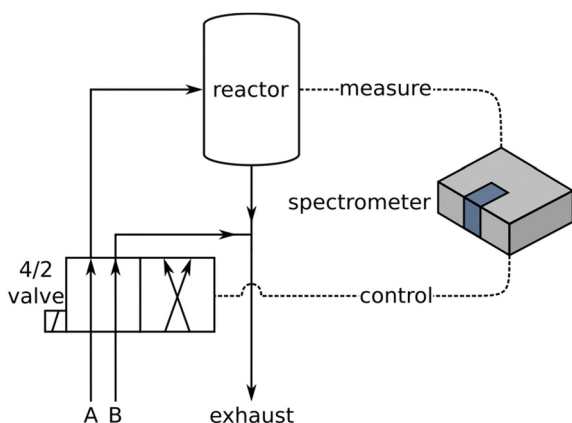


Fig. 1 Scheme of the experimental ME-DRIFTS setup. For details see text.

interferogram yielded a spectrum, so that approximately every 1.5 s a spectrum was acquired, which was used as the experimental uncertainty for the derived time values, unless stated otherwise.

Based on previous steady-state reactivity experiments at 250 °C the Au/ $\text{CeO}_2$  sheets with a loading of 0.31 wt% gold, which were primarily used in this work, exhibit a  $\text{CO}_2$  conversion of 5.4% at 100% selectivity towards CO, while the bare ceria sheets are also active showing a  $\text{CO}_2$  conversion of 1.6% and 100% CO selectivity under identical operating conditions.<sup>7</sup>

Phase angles ( $\varphi$  in °) extracted *via* PSD are translated into the discussed time values ( $t_\varphi$  in s) *via* the following formula

$$t_\varphi = \frac{360^\circ - \varphi}{360^\circ} \cdot t_{\text{period}}$$

## Results and discussion

### $\text{CO}_2$ modulation experiments

Fig. 2 shows a comparison of time-resolved DRIFT spectra (top) recorded during RWGSR over Au/ $\text{CeO}_2$  at 250 °C and the resulting PSD spectra (bottom). In these  $\text{CO}_2$  modulation experiments, the  $\text{CO}_2$  concentration was switched between 0 and 2 mol%, while the  $\text{H}_2$  concentration was kept constant at 4 mol%. In general, the use of PSD reduces the presence of noise in the spectra (see also Fig. S1, ESI†), making it possible to investigate the occurrence of small signals, such as CO adsorbate-related signals.<sup>11</sup> However, the set of PSD spectra at the bottom of Fig. 2 reveals that, apart from the two gaseous species  $\text{CO}_2$  (reactant) and CO (product), there are no features within the region of 2000 to 2200  $\text{cm}^{-1}$ , which is typical for CO adsorbates, that are useful in clarifying reaction mechanisms by means of tracking adsorption sites and oxidation states as has been reported earlier.<sup>11,31–34</sup> On the other hand, further signals in the PSD spectra are located in the carbonate region below 1800  $\text{cm}^{-1}$ , in the C–H stretching region at around 2800  $\text{cm}^{-1}$ , and in the hydroxyl region above 3500  $\text{cm}^{-1}$ . When the view is enlarged, a faint signal at 1953  $\text{cm}^{-1}$  also becomes visible (see Fig. S1, ESI†), which, as DFT calculations have shown,<sup>7</sup> is assigned to a Au– $\text{H}_2$  species, whose asymmetry towards higher wavenumbers indicates the presence of  $\text{H}_2$  adsorbed to larger gold clusters ( $\text{Au}_n\text{–H}_2$ ) as well.<sup>7,35</sup> Table 1 provides a summary of all detected signals together with their relative time values. The presence of the other product,  $\text{H}_2\text{O}$ , was not observed in the  $\text{CO}_2$  modulation spectra, but will be discussed in the context of complementary  $\text{H}_2$  modulation experiments (see Fig. 3).

Table 1 lists the detected features according to their time values. There is a number of signals occurring prior to product formation (CO). The signal of the reactant  $\text{CO}_2$  appears at 16 s together with signals assigned to molecularly adsorbed hydrogen on gold clusters and single gold atoms,<sup>7</sup> considering the experimental uncertainty of each signal of 1.5 s. They are followed by two carbonate signals at 17 s, signals of OH in the vicinity to Au at 17 s,<sup>7</sup> a triple (III) bridged OH group at 18 s,<sup>36</sup> and yet another carbonate signal at 19 s, before the appearance

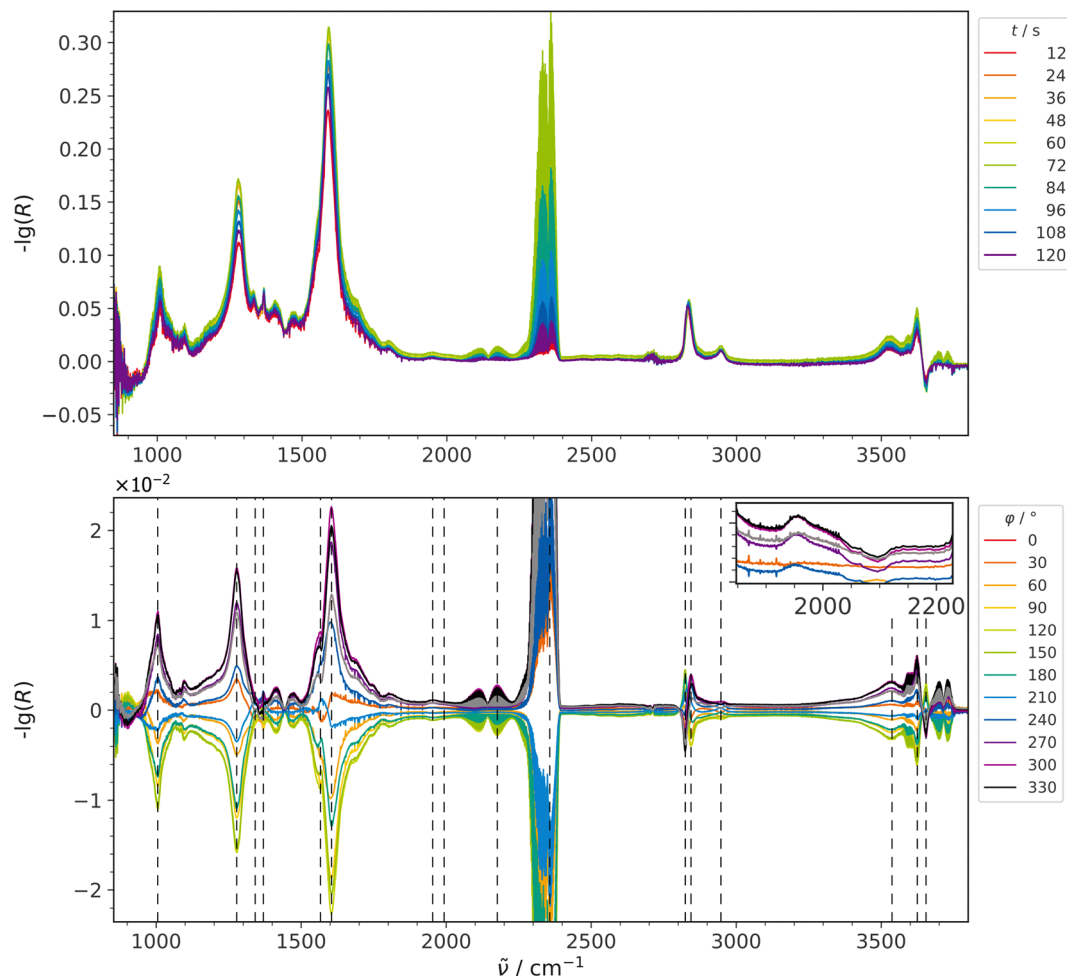


Fig. 2 ME-DRIFT spectra during RWGS over Au/CeO<sub>2</sub> for CO<sub>2</sub> modulation conditions, that is, switching the CO<sub>2</sub> concentration between 0 and 2 mol%, while keeping the H<sub>2</sub> concentration constant at 4 mol%. Top: Selected spectra from the time-resolved data set before applying PSD. Bottom: PSD spectra in an enlarged view cutting the intensity of the gas-phase CO<sub>2</sub> signal. The inset provides an enlarged view of the Au–H<sub>2</sub> signal. Signal positions from Table 1 are indicated by dashed lines.

of the CO signal at 20 s. The signal of the OH vibration observed at 20 s, which can be attributed to OH located on a more reduced ceria surface (II\*-B),<sup>36</sup> should also be taken into account regarding the mechanism because its error range of 1.5 s overlaps with that of CO. Its presence would be consistent with a more reduced ceria surface (before CO<sub>2</sub> is switched on) and its possible transformation into an OH II-B species on a more oxidized surface (after CO<sub>2</sub> is switched on) as has been discussed in the literature but the adjacent OH (II-B) signal, expected at about 3630 to 3640 cm<sup>-1</sup>, is not visible in this data set.<sup>7,36</sup> Based on the literature, the carbonate signals located at 1278 (17 s), 1007 (17 s) and 1604 cm<sup>-1</sup> (19 s) can be attributed to a bidentate carbonate forming on ceria.<sup>7,37–39</sup> The difference in time values falls within the experimental uncertainty of each signal of 1.5 s, suggesting coincidence and thus the presence of different vibrations of the same molecule.

Previously, besides the signal at 1953 cm<sup>-1</sup>, another hydrogen-related signal was observed around 2134 cm<sup>-1</sup>,<sup>7,35</sup> and, also with the aid of DFT calculations,<sup>7</sup> attributed to dissociatively adsorbed hydrogen. Furthermore the 2134 cm<sup>-1</sup> signal is not clearly

detected for the Au/CeO<sub>2</sub> sheets investigated here. Also, in non-modulated experiments (See Fig. S1, ESI†) there is almost no difference observed in the region around 2134 cm<sup>-1</sup> when comparing Au/CeO<sub>2</sub> samples with different loadings and bare CeO<sub>2</sub>. However, there is a direct correlation between gold loading and the intensity of the signal assigned to gold hydrides at approximately 1953 cm<sup>-1</sup> visible in that particular data set. This observation is in stark contrast to samples with different nanoparticle shape, for example, Au/CeO<sub>2</sub> polyhedra.<sup>7</sup> While the assignment of the Au hydrides was confirmed by DFT calculations,<sup>7</sup> further experimental confirmation by D<sub>2</sub> exchange experiments is not possible, as the bands shift into the carbonate/formate region and the Au–H<sub>2</sub> region is covered by C–D vibrations of formates (not shown).

The signal at 2824 cm<sup>-1</sup>, which originates from the C–H stretching vibration of a formate,<sup>5,7,37,38,40</sup> appears before that of the modulated reactant CO<sub>2</sub>. Previously, this signal has been reported to reversibly shift its position upon changes in the gas environment, which may result in a distorted appearance of the signal during modulation experiments.<sup>7</sup> Such a behavior of the time resolved C–H signals can also be seen in the data shown

**Table 1** Most prominent IR signals detected in CO<sub>2</sub> modulation experiments during RWGSR over Au/CeO<sub>2</sub> at 250 °C, their corresponding time values as derived from PSD, and the proposed assignments. Negative signs indicate signals that decrease when the exposure to CO<sub>2</sub> is switched on. The period length was 123 s

$\tilde{\nu}/\text{cm}^{-1}$	$t_p/\text{s}$	Assignment
2824	(−) 12	Formate
2010	14	Au <sub>n</sub> -H <sub>2</sub>
1953	15	Au-H <sub>2</sub>
2363	16	CO <sub>2</sub> (g)
1007	17	Bidentate carbonate
1278	17	Bidentate carbonate
3530	17	OH, vicinity to Au
3613	18	OH(III)
1604	19	Bidentate carbonate
<b>2173</b>	<b>19</b>	<b>CO (g)</b>
3654	(−) 20	OH (II*-B)
1570	23	Formate
2847	24	Formate
1332	26	Formate
2946	27	Formate
1369	41	Formate

here (see Fig. 2), leading to the twisted behavior of the modulation signals mentioned. Thus, the early appearance of the 2824 cm<sup>-1</sup> signal at (−) 12 s, which is 4 s before the reactant CO<sub>2</sub> is introduced, can be attributed to a potential overlap of two opposing signals. As noted in one of our previous studies,<sup>11</sup> the overlap of neighboring signals leads to a blurring of the adjacent time values extracted through PSD. Consequently, these time values may be prone to significant errors, requiring caution in their interpretation or even exclusion.

Following product (CO) formation, several signals persist, which are primarily due to formate vibrations with time values between 23 s and 41 s. The most isolated formate signal at 2946 cm<sup>-1</sup> (27 s) has no neighbor signals and is therefore considered to be least prone to errors according to the discussion above. Moreover, signals located at 1570 cm<sup>-1</sup> (23 s), 2847 cm<sup>-1</sup> (24 s), 1332 cm<sup>-1</sup> (26 s) and possibly also at 1369 cm<sup>-1</sup> (41 s), which were discussed in previous studies,<sup>5,7,37,38,40</sup> may be linked to the same species but due to the temporal deviations observed this cannot be stated without doubt. The relevant information of the time of formate appearance in the reaction mechanism can still be retrieved as will be discussed later.

## H<sub>2</sub> modulation experiments

To obtain a more detailed picture of the reaction mechanism, we reversed the modulation procedure by periodically pulsing H<sub>2</sub> while keeping the CO<sub>2</sub> flow constant. The DRIFT spectra collected under these conditions are depicted in Fig. 3. All major signals that were visible for CO<sub>2</sub> modulation were also detected for H<sub>2</sub> modulation and are summarized in Table 2, together with their corresponding time values.

Comparing time- and phase-resolved spectra in Fig. 3 reveals a strong decrease in the intensity of some of the carbonate-related signals within 850–1800 cm<sup>-1</sup> after application of PSD. Besides, there is a broad feature due to weakly adsorbed water, extending from about 1700 cm<sup>-1</sup> to 3500 cm<sup>-1</sup>, which is prominent in the time- and phase-resolved spectra. Such broad

features adversely affect the sensitivity of MES-PSD since any signal in the range covered by this broad responsive feature will also appear in the PSD spectra due to its non-selective nature in amplifying each spectral position if there is any response to the modulation frequency. This applies even to signals (caused by spectator species), which are superimposed on the broad absorption feature. The underlying dynamics of the broad active contribution cannot be separated from additional signals appearing above it by conventional PSD, so the latter are “transported” into the PSD spectra *via* the broad active feature.<sup>11</sup> However, in contrast to the situation caused by inseparable neighboring signals, broad periodic background effects can be handled by background subtraction prior to PSD, as described previously.<sup>24</sup>

In the present case, such a procedure does not provide additional mechanistic insights as compared to the data shown in Fig. 2. All signals within the range of the broad water feature can be eliminated as potential active species based on the CO<sub>2</sub> modulation experiments (see discussion below), or have been confirmed to be active in previous unmodulated transient experiments,<sup>7</sup> in which Au-H<sub>2</sub> adsorbates occurred under both reductive and oxidative conditions. For these species, CO<sub>2</sub> modulation experiments provided clear evidence of their active participation in the RWGSR (see Table 1).

For H<sub>2</sub> modulation, the broad band at around 2010 cm<sup>-1</sup> originating from Au<sub>n</sub>-H<sub>2</sub> species, which was observed as a shoulder of the Au-H<sub>2</sub> signal during CO<sub>2</sub> modulation, is present as a rather confined signal at 2015 cm<sup>-1</sup> (see Fig. S1, ESI<sup>†</sup>), which is separated from the symmetrical signal of Au-H<sub>2</sub> at 1940 cm<sup>-1</sup>, indicating the participation of less Au<sub>n</sub>-H<sub>2</sub> species under these conditions.

While the time values for the features that overlap with the broad water signal between about 1700 and 3500 cm<sup>-1</sup> seem to be in agreement with the findings from Fig. 2, the absolute numbers need to be treated with care, as they may be subject to errors due to their overlap with the broad feature.

The remaining signals, which are located primarily in the carbonate and hydroxyl region, exhibit an intriguing behavior. It should be mentioned that under these modulation conditions the second product, water, has become observable. However, water is not only present in its adsorbed form, centered around 3275 cm<sup>-1</sup> and characterized by a time delay of 22 s, but also as a gas-phase species (evidenced by the 3745 cm<sup>-1</sup> feature at 22 s). The CO gas-phase signal located at 2173 cm<sup>-1</sup> appears at 23 s, so the formation of both product molecules seems to occur at about the same time.

The signals at 1337 cm<sup>-1</sup> (39 s), 1368 cm<sup>-1</sup> (36 s), and 1583 cm<sup>-1</sup> (36 s) can be attributed to formates.<sup>5,7,37,38,40</sup> This behavior reveals that formates are formed only after the reaction in a hydrogen-rich environment. The signals from C-H stretch vibrations of formate are located at 2829, 2850, and 2946 cm<sup>-1</sup> and are characterized by time values of 26, 36, and 25 s, respectively. While these time values are higher than those of the products, they should be considered with reservation, due to the overlap of the C-H-related features with the broad water band.

Fig. 3 shows additional signals at 1270 and 1609 cm<sup>-1</sup>, which decrease when H<sub>2</sub> is switched on and can be attributed

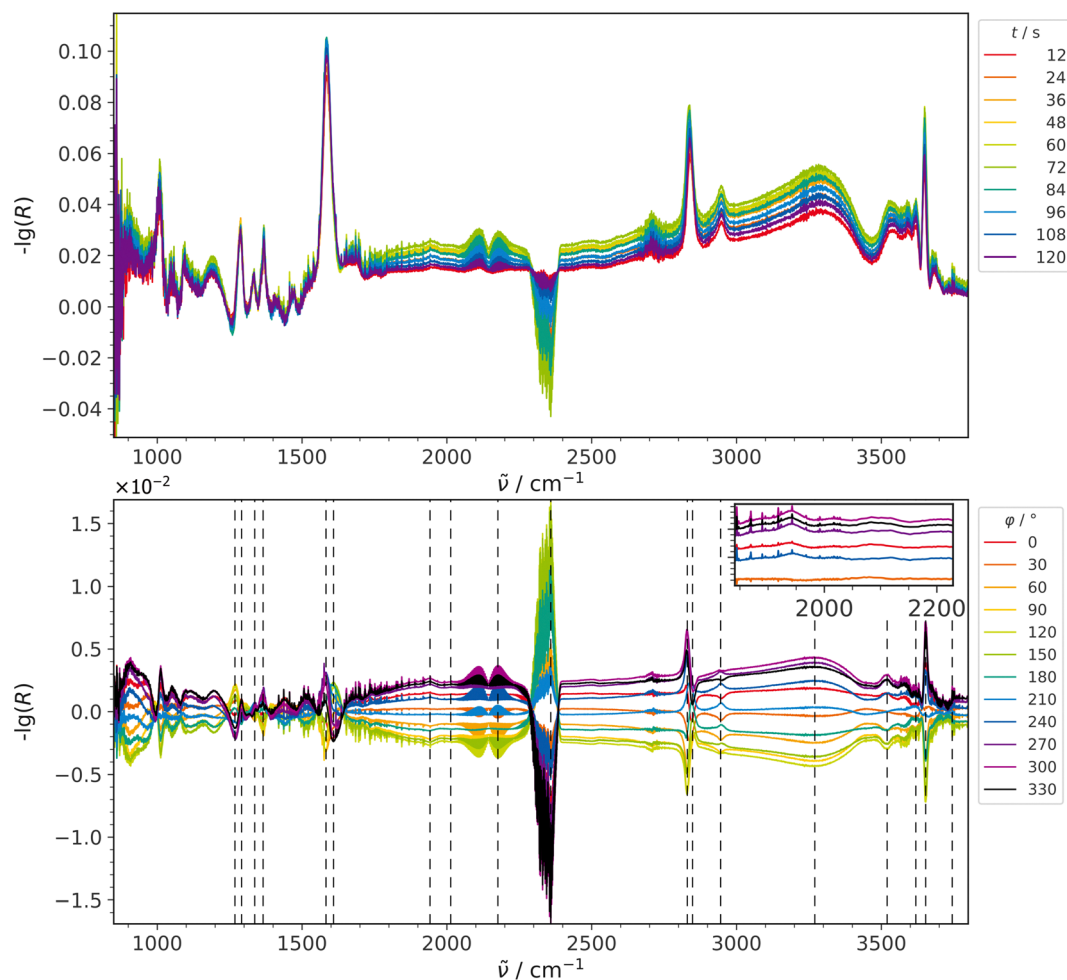


Fig. 3 ME-DRIFT spectra during RWGS over Au/CeO<sub>2</sub> for CO<sub>2</sub> modulation conditions, that is, switching the H<sub>2</sub> concentration between 0 and 4 mol%, while keeping the CO<sub>2</sub> concentration constant at 2 mol%. Top: Selected spectra from the time-resolved data set before applying PSD. Bottom: PSD spectra. The inset provides an enlarged view of the Au–H<sub>2</sub> signal. Signal positions from Table 2 are indicated by dashed lines.

to bidentate carbonate.<sup>7,37–39</sup> Clearly assigning these signals to the same species is not as straightforward as in case of the CO<sub>2</sub> modulation experiments as time values diverge although matching signal positions are observed, as discussed in more detail in the following. The signal located at 1270 cm<sup>-1</sup> answers to the external trigger at 29 s, while the one at 1609 cm<sup>-1</sup> answers after only 9 s, which is even earlier than the response of the signal of the reactant CO<sub>2</sub> is visible (17 s), with the latter signal also decreasing when H<sub>2</sub> is present and the reaction takes place. This significant difference in time values may be caused by the (partial) overlap with neighboring signals (at 1290 and 1583 cm<sup>-1</sup>) of similar height. As a consequence, the error of the time values may significantly increase, as discussed above.

At first glance, it is surprising that CO<sub>2</sub> does not appear until 17 s, while signals at 1291 and 1609 cm<sup>-1</sup> appear at 3 and 9 s, respectively. However, caution must be exercised when calculating time values for all three cases due to signal overlap. For instance, the CO<sub>2</sub> signal is located amid a broad background feature that may render the calculated time value ambiguous. The other two signals at 1270 and 1583 cm<sup>-1</sup> overlap with

intense features that behave in an opposite manner, suggesting a possible mixing of their time values.

In the OH region, three signals are observed, located at 3655 cm<sup>-1</sup> (20 s), originating from double bridged hydroxyl species on a reduced surface (II\*-B), at 3517 cm<sup>-1</sup> (20 s), assigned to OH in close vicinity to the Au site, and at 3614 cm<sup>-1</sup> (24 s), assigned to triple bridged OH(III) (see Table 2). All three signals respond at about the same time as the products. As expected, in contrast to the CO<sub>2</sub> modulation experiments, the OH (II\*-B) signal exhibits an increase during the more reducing conditions of the reaction phase of H<sub>2</sub> modulation, following a phase with only CO<sub>2</sub> being present (see above). The corresponding OH II-B species is not detected as has already been the case for the CO<sub>2</sub> modulation. The behavior of the double bridged hydroxyl species (II\*-B) may therefore serve as an indicator of the reducing properties of the gas environment acting on the catalyst surface relative to its preceding gas atmosphere.

#### Discussion of the reaction mechanism

Combining the MES-PSD results from the CO<sub>2</sub> and H<sub>2</sub> modulation experiments allows to gain important new mechanistic

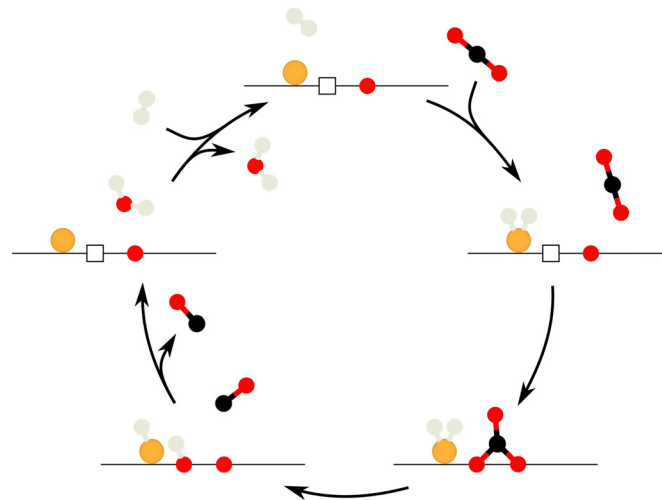
**Table 2** Most prominent IR signals detected in H<sub>2</sub> modulation experiments during RWGSR over Au/CeO<sub>2</sub> at 250 °C, their corresponding time values as derived from PSD, and the proposed assignments. Negative signs indicate signals that decrease when the exposure to H<sub>2</sub> is switched on. The period length was 123 s

$\tilde{\nu}/\text{cm}^{-1}$	$t_{\text{op}}/\text{s}$	Assignment
1291	3	Carbonate
1609	(−) 9	Bidentate carbonate
2363	(−) 17	CO <sub>2</sub> (g)
1940	19	Au–H <sub>2</sub>
2015	19	Au <sub>n</sub> –H <sub>2</sub>
3655	20	OH (II*-B)
3517	20	OH, vicinity to Au
3745	22	H <sub>2</sub> O (g)
3275	22	H <sub>2</sub> O (ads)
2173	23	CO (g)
3614	24	OH(III)
2946	25	Formate
2829	26	Formate
1270	(−) 29	Bidentate carbonate
1583	36	Formate
2850	36	Formate
1368	37	Formate
1337	39	Formate

insight into the RWGSR over gold–ceria catalysts, highlighting the potential of MES-PSD to develop and/or refine reaction mechanisms.

Typically discussed intermediates in the context of the RWGSR are CO, formates, and carbonates. Due to its complete absence in the experiments, adsorbed CO can be ruled out as active species in the reaction over gold–ceria catalysts. In the case of formates, the situation is more demanding as formates are observed under both modulation conditions. However, using a temporal analysis based on PSD spectra, the active involvement of formates can be excluded, as their signals appear exclusively after product formation. In contrast, CO<sub>2</sub> modulation experiments emphasize the importance of bidentate carbonates for the reaction mechanism, while during H<sub>2</sub> modulation a broad background signal from water is detected, which hampers the determination of reliable time values for this species. Our MES-PSD results confirm the direct involvement of gold hydrides in the reaction, based on the CO<sub>2</sub> modulation experiments. Similar to carbonate detection, in the H<sub>2</sub> modulation experiments, intense background absorption prevents a meaningful temporal evaluation of hydride signals. The results from the CO<sub>2</sub> modulation experiments presented here are supported by our preliminary studies using non-modulated transient DRIFTS (see Fig. S1, ESI†), highlighting the crucial role of gold hydrides in the associative RWGSR pathway.

The potential participation of double and triple bridged hydroxyl groups as well as hydroxyl groups located in close vicinity to gold sites in the reaction could be clarified by the combination of CO<sub>2</sub> and H<sub>2</sub> modulation experiments. Based on our observations we propose that OH in close vicinity to gold, due to its signal increase prior to product formation in both modulation experiments, plays an important role in the reaction. But also the triple bridged OH(III) species, which also show an increase in both modulation experiments, may be actively participating in the catalytic cycle, even though in case of H<sub>2</sub>



**Fig. 4** Proposed mechanism for the RWGSR over Au/CeO<sub>2</sub> based on the presented ME-DRIFTS results.

modulation, its signals appears slightly later than product (CO) formation (see Table 2) but within the error range of 1.5 s. The difference in signal position, that is, 3530 cm<sup>−1</sup> in case of the CO<sub>2</sub> and 3517 cm<sup>−1</sup> in case of the H<sub>2</sub> modulation, may be assigned to differences caused by the degree of reduction of the surface during the respective modulation experiment.<sup>36</sup> The double bridged OH species (II\*-B) is proposed to give signals in the MES-PSD not because of its direct participation in product formation but rather due to its response to changes in the gas environment.<sup>36</sup>

The H<sub>2</sub> modulation experiments can detect both products, CO and water. According to Table 2, gaseous and weakly adsorbed water appear at about the same time (22 s) as CO (23 s), which lies within the error range of each signal. We can therefore conclude that the formation of both products is nearly synchronous.

Fig. 4 summarizes the proposed reaction mechanism for the associative RWGSR over Au/CeO<sub>2</sub> catalysts based on the MES-PSD data: first, H<sub>2</sub> is molecularly adsorbed to single gold atoms and clusters on the surface. Then, CO<sub>2</sub> from the gas phase adsorbs into an oxygen vacancy on the surface in proximity to the Au–H<sub>2</sub> species, forming a stable bidentate carbonate by inclusion of a neighboring surface oxygen atom. Next, transfer of a hydrogen atom to the bidentate carbonate causes its decomposition into CO and a surface hydroxyl, with gas-phase CO leaving the reaction site. The catalytic cycle is completed by the formation of the second product, H<sub>2</sub>O. This may occur *via* a fast spillover of the hydrogen atom, which is still attached to the gold, onto the newly formed OH group in its proximity. Water can then desorb into the gas phase, leaving the surface in its initial state.

## Conclusion

The results of this study demonstrate the potential of ME-DRIFTS to provide valuable insight into the reaction mechanism of the reverse water–gas shift (RWGSR). In particular, ME-DRIFTS allows a reduction of the number of signals present in the IR spectra, while preserving the signals of species that are actively responding to

the external periodic stimulus (concentration modulation), which gives a strong indication of their active participation in the investigated reaction. Moreover, the capability of MES-PSD to extract further temporal information allows to develop a detailed mechanistic picture including the sequence of reaction steps or, as in the present case, to prove and refine pre-existing concepts of reaction mechanisms from the literature by direct spectroscopic evidence on actively participating surface species.

Applying the MES-PSD approach to the RWGSR over gold-ceria catalysts confirms the suggested literature concept of an adsorbate-mediated associative reaction mechanism.<sup>7</sup> Our findings provide spectroscopic evidence for the active participation of gold hydride, bidentate carbonate and specific hydroxyl species in the RWGSR. The potential involvement of formate, which has also been suggested in the literature on supported Au and Pt catalysts,<sup>1,7,26,41</sup> can be excluded for the gold-ceria system as it apparently forms after the reaction.

Ultimately, transient spectroscopic techniques such as ME-DRIFTS significantly improve our comprehension of heterogeneously catalyzed reactions and thus facilitate knowledge-based design of promising catalytic materials such as CeO<sub>2</sub>-supported noble metal catalysts, which may become important in future processes needed to meet the increasing demands of alternative carbon-sources posed by the energy transition, such as the utilization of CO<sub>2</sub> in hydrogenation reactions.<sup>1-3,6,42</sup>

## Code availability

The software used for calculation of PSD spectra is available at [https://github.com/Ja-We/Phase\\_Sensitive\\_Detection\\_for\\_Spectroscopy](https://github.com/Ja-We/Phase_Sensitive_Detection_for_Spectroscopy).

## Author contributions

Jakob Weyel: investigation, methodology, validation, formal analysis, writing – original draft, visualization. Christian Hess: conceptualization, writing – review & editing, supervision, project administration, funding acquisition.

## Conflicts of interest

The authors do not have conflicts of interest to declare.

## Acknowledgements

We thank Karl Kopp for technical support. Jakob Weyel gratefully acknowledges a scholarship from the Fonds der Chemischen Industrie im Verband der Chemischen Industrie e.V.

## References

- X. Chen, Y. Chen, C. Song, P. Ji, N. Wang, W. Wang and L. Cui, Recent Advances in Supported Metal Catalysts and Oxide Catalysts for the Reverse Water–Gas Shift Reaction, *Front. Chem.*, 2020, **8**, 709.
- A. Jess, P. Kaiser, C. Kern, R. Unde and C. von Olshausen, Considerations concerning the Energy Demand and Energy Mix for Global Welfare and Stable Ecosystems, *Chem. Ing. Technol.*, 2011, **83**, 1777–1791.
- P. Kaiser, R. B. Unde, C. Kern and A. Jess, Production of Liquid Hydrocarbons with CO<sub>2</sub> as Carbon Source based on Reverse Water–Gas Shift and Fischer–Tropsch Synthesis, *Chem. Ing. Technol.*, 2013, **85**, 489–499.
- A. Rezvani, A. M. Abdel-Mageed, T. Ishida, T. Murayama, M. Parlinska-Wojtan and R. J. Behm, CO<sub>2</sub> Reduction to Methanol on Au/CeO<sub>2</sub> Catalysts: Mechanistic Insights from Activation/Deactivation and SSITKA Measurements, *ACS Catal.*, 2020, **10**, 3580–3594.
- D. Vovchok, C. Zhang, S. Hwang, L. Jiao, F. Zhang, Z. Liu, S. D. Senanayake and J. A. Rodriguez, Deciphering Dynamic Structural and Mechanistic Complexity in Cu/CeO<sub>2</sub>/ZSM-5 Catalysts for the Reverse Water–Gas Shift Reaction, *ACS Catal.*, 2020, **10**, 10216–10228.
- M. Mikkelsen, M. Jørgensen and F. C. Krebs, The teraton challenge. A review of fixation and transformation of carbon dioxide, *Energy Environ. Sci.*, 2010, **3**, 43–81.
- M. Ziemba, J. Weyel and C. Hess, Elucidating the mechanism of the reverse water–gas shift reaction over Au/CeO<sub>2</sub> catalysts using operando and transient spectroscopies, *Appl. Catal., B*, 2022, **301**, 120825.
- M. Ziemba and C. Hess, Unravelling the mechanism of CO<sub>2</sub> activation over low-loaded Cu/CeO<sub>2</sub>(111) catalysts using operando and transient spectroscopies, *Catal. Sci. Technol.*, 2023, **13**, 2922–2926.
- D. Baurecht and U. P. Fringeli, Quantitative modulated excitation Fourier transform infrared spectroscopy, *Rev. Sci. Instrum.*, 2001, **72**, 3782–3792.
- P. Müller and I. Hermans, Applications of Modulation Excitation Spectroscopy in Heterogeneous Catalysis, *Ind. Eng. Chem. Res.*, 2017, **56**, 1123–1136.
- J. Weyel, M. Ziemba and C. Hess, Elucidating Active CO–Au Species on Au/CeO<sub>2</sub>(111): A Combined Modulation Excitation DRIFTS and Density Functional Theory Study, *Top. Catal.*, 2022, **65**, 779–787.
- A. Aguirre, R. Zanella, C. Barrios, S. Hernández, A. Bonivardi and S. E. Collins, Gold Stabilized with Iridium on Ceria–Niobia Catalyst: Activity and Stability for CO Oxidation, *Top. Catal.*, 2019, **62**, 977–988.
- E. del Río, S. E. Collins, A. Aguirre, X. Chen, J. J. Delgado, J. J. Calvino and S. Bernal, Reversible deactivation of a Au/Ce<sub>0.62</sub>Zr<sub>0.38</sub>O<sub>2</sub> catalyst in CO oxidation: A systematic study of CO<sub>2</sub>-triggered carbonate inhibition, *J. Catal.*, 2014, **316**, 210–218.
- R. Kydd, D. Ferri, P. Hug, J. Scott, W. Y. Teoh and R. Amal, Temperature-induced evolution of reaction sites and mechanisms during preferential oxidation of CO, *J. Catal.*, 2011, **277**, 64–71.
- A. Gau, J. Hack, N. Maeda and D. M. Meier, Operando Spectroscopic Monitoring of Active Species in CO<sub>2</sub> Hydrogenation at Elevated Pressure and Temperature: Steady-State versus Transient Analysis, *Energy Fuels*, 2021, **35**, 15243–15246.

- 16 L. P. L. Gonçalves, J. Mielby, O. S. G. P. Soares, J. P. S. Sousa, D. Y. Petrovykh, O. I. Lebedev, M. F. R. Pereira, S. Kegnæs and Y. V. Kolen'ko, In situ investigation of the CO<sub>2</sub> methanation on carbon/ceria-supported Ni catalysts using modulation-excitation DRIFTS, *Appl. Catal., B*, 2022, **312**, 121376.
- 17 F. Hemmingsson, A. Schaefer, M. Skoglundh and P.-A. Carlsson, CO<sub>2</sub> Methanation over Rh/CeO<sub>2</sub> Studied with Infrared Modulation Excitation Spectroscopy and Phase Sensitive Detection, *Catalysts*, 2020, **10**, 601.
- 18 I. C. ten Have, J. J. G. Kromwijk, M. Monai, D. Ferri, E. B. Sterk, F. Meirer and B. M. Weckhuysen, Uncovering the reaction mechanism behind CoO as active phase for CO<sub>2</sub> hydrogenation, *Nat. Commun.*, 2022, **13**, 324.
- 19 A. G. Greenaway, A. Marberger, A. Thetford, I. Lezcano-González, M. Agote-Arán, M. Nachtegaal, D. Ferri, O. Kröcher, C. R. A. Catlow and A. M. Beale, Detection of key transient Cu intermediates in SSZ-13 during NH<sub>3</sub>-SCR deNO<sub>x</sub> by modulation excitation IR spectroscopy, *Chem. Sci.*, 2020, **11**, 447–455.
- 20 R. J. G. Nuguid, D. Ferri, A. Marberger, M. Nachtegaal and O. Kröcher, Modulated Excitation Raman Spectroscopy of V<sub>2</sub>O<sub>5</sub>/TiO<sub>2</sub>: Mechanistic Insights into the Selective Catalytic Reduction of NO with NH<sub>3</sub>, *ACS Catal.*, 2019, **9**, 6814–6820.
- 21 R. J. G. Nuguid, D. Ferri and O. Kröcher, Design of a Reactor Cell for Modulated Excitation Raman and Diffuse Reflectance Studies of Selective Catalytic Reduction Catalysts, *Emiss. Control Sci. Technol.*, 2019, **5**, 307–316.
- 22 L. Schumacher, J. Weyel and C. Hess, Unraveling the Active Vanadium Sites and Adsorbate Dynamics in VO<sub>x</sub>/CeO<sub>2</sub> Oxidation Catalysts Using Transient IR Spectroscopy, *J. Am. Chem. Soc.*, 2022, **144**, 14874–14887.
- 23 P. D. Srinivasan, B. S. Patil, H. Zhu and J. J. Bravo-Suárez, Application of modulation excitation-phase sensitive detection-DRIFTS for in situ/operando characterization of heterogeneous catalysts, *React. Chem. Eng.*, 2019, **4**, 862–883.
- 24 M. Pfeiffer and C. Hess, Application of Transient Infrared Spectroscopy To Investigate the Role of Gold in Ethanol Gas Sensing over Au/SnO<sub>2</sub>, *J. Phys. Chem. C*, 2022, **126**, 3980–3992.
- 25 M. Ziemba, J. Weyel and C. Hess, Approaching C1 Reaction Mechanisms Using Combined Operando and Transient Analysis: A Case Study on Cu/CeO<sub>2</sub> Catalysts during the LT-Water-Gas Shift Reaction, *ACS Catal.*, 2022, **12**, 9503–9514.
- 26 L. F. Bobadilla, J. L. Santos, S. Ivanova, J. A. Odriozola and A. Urakawa, Unravelling the Role of Oxygen Vacancies in the Mechanism of the Reverse Water-Gas Shift Reaction by Operando DRIFTS and Ultraviolet-Visible Spectroscopy, *ACS Catal.*, 2018, **8**, 7455–7467.
- 27 X. Lu, W. Wang, S. Wei, C. Guo, Y. Shao, M. Zhang, Z. Deng, H. Zhu and W. Guo, Initial reduction of CO<sub>2</sub> on perfect and O-defective CeO<sub>2</sub> (111) surfaces: towards CO or COOH?, *RSC Adv.*, 2015, **5**, 97528–97535.
- 28 A. Filtschew, K. Hofmann and C. Hess, Ceria and Its Defect Structure: New Insights from a Combined Spectroscopic Approach, *J. Phys. Chem. C*, 2016, **120**, 6694–6703.
- 29 C. Schilling and C. Hess, Elucidating the Role of Support Oxygen in the Water-Gas Shift Reaction over Ceria-Supported Gold Catalysts Using Operando Spectroscopy, *ACS Catal.*, 2019, **9**, 1159–1171.
- 30 C. Schilling and C. Hess, CO Oxidation on Ceria Supported Gold Catalysts Studied by Combined Operando Raman/UV-Vis and IR Spectroscopy, *Top. Catal.*, 2017, **60**, 131–140.
- 31 P. G. Lustemberg, C. Yang, Y. Wang, C. Wöll and M. V. Ganduglia-Pirovano, Vibrational frequencies of CO bound to all three low-index cerium oxide surfaces: A consistent theoretical description of vacancy-induced changes using density functional theory, *J. Chem. Phys.*, 2023, **159**, 1–14.
- 32 L. Caulfield, E. Sauter, H. Idriss, Y. Wang and C. Wöll, Bridging the Pressure and Materials Gap in Heterogeneous Catalysis: A Combined UHV, In Situ, and Operando Study Using Infrared Spectroscopy, *J. Phys. Chem. C*, 2023, **127**, 14023–14029.
- 33 C. Yang, M. Capdevila-Cortada, C. Dong, Y. Zhou, J. Wang, X. Yu, A. Nefedov, S. Heißler, N. López, W. Shen, C. Wöll and Y. Wang, Surface Refaceting Mechanism on Cubic Ceria, *J. Phys. Chem. Lett.*, 2020, **11**, 7925–7931.
- 34 C. Schilling, M. Ziemba, C. Hess and M. V. Ganduglia-Pirovano, Identification of single-atom active sites in CO oxidation over oxide-supported Au catalysts, *J. Catal.*, 2020, **383**, 264–272.
- 35 R. Juárez and S. F. Parker, P. Concepción, A. Corma and H. García, Heterolytic and heterotopic dissociation of hydrogen on ceria-supported gold nanoparticles. Combined inelastic neutron scattering and FT-IR spectroscopic study on the nature and reactivity of surface hydrogen species, *Chem. Sci.*, 2010, **1**, 731.
- 36 A. Badri, C. Binet and J. C. Lavalley, An FTIR study of surface ceria hydroxy groups during a redox process with H<sub>2</sub>, *J. Chem. Soc., Faraday Trans.*, 1996, **92**, 4669–4673.
- 37 G. N. Vayssilov, M. Mihaylov, P. S. Petkov, K. I. Hadjiivanov and K. M. Neyman, Reassignment of the Vibrational Spectra of Carbonates, Formates, and Related Surface Species on Ceria: A Combined Density Functional and Infrared Spectroscopy Investigation, *J. Phys. Chem. C*, 2011, **115**, 23435–23454.
- 38 F. Romero-Sarria, L. M. Martínez T, M. A. Centeno and J. A. Odriozola, Surface Dynamics of Au/CeO<sub>2</sub> Catalysts during CO Oxidation, *J. Phys. Chem. C*, 2007, **111**, 14469–14475.
- 39 Y. Denkwitz, A. Karpenko, V. Plzak, R. Leppelt, B. Schumacher and R. Behm, Influence of CO<sub>2</sub> and H<sub>2</sub> on the low-temperature water-gas shift reaction on Au/CeO<sub>2</sub> catalysts in idealized and realistic reformat, *J. Catal.*, 2007, **246**, 74–90.
- 40 W. O. Gordon, Y. Xu, D. R. Mullins and S. H. Overbury, Temperature evolution of structure and bonding of formic acid and formate on fully oxidized and highly reduced CeO<sub>2</sub>(111), *Phys. Chem. Chem. Phys.*, 2009, **11**, 11171–11183.
- 41 X. Chen, X. Su, B. Liang, X. Yang, X. Ren, H. Duan, Y. Huang and T. Zhang, Identification of relevant active sites and a mechanism study for reverse water gas shift reaction over Pt/CeO<sub>2</sub> catalysts, *J. Energy Chem.*, 2016, **25**, 1051–1057.
- 42 L. C. Wang, D. Widmann and R. J. Behm, Reactive removal of surface oxygen by H<sub>2</sub>, CO and CO/H<sub>2</sub> on a Au/CeO<sub>2</sub> catalyst and its relevance to the preferential CO oxidation (PROX) and reverse water gas shift (RWGS) reaction, *Catal. Sci. Technol.*, 2015, **5**, 925–941.