









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Multifunctional flexible ferroelectric thick-film structures with energy storage, piezoelectric and electrocaloric performance†

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As a major challenge, sustainable energy management and energy self-sufficiency require microsystems that manage multiple energy operations in a single device. In this work, flexible thick-film structures with promising energy storage and electrocaloric cooling capabilities as well as piezoelectric properties are developed. The functional thick-film layer is based on relaxor-ferroelectric 0.65Pb(Mg_{1/3}Nb_{2/3})O₃–0.35PbTiO₃ (PMN–35PT) directly deposited on a flexible polyimide substrate by an aerosol deposition method. The thick-film structures exhibit a promising recoverable energy-storage density of 10.3 J cm⁻³. After extensive bending tests, the structures showed no signs of degradation. The high bendability and durability are confirmed by stable energy storage properties after bending up to a radius of 1.5 mm (2.4% bending strain) and 10⁵ repeated bending cycles. The developed thick-film structures also exhibit a piezoelectric coefficient d_{33} of ~80 pm V⁻¹. Using two direct electrocaloric measurement methods, we demonstrated that the electrocaloric temperature change in the prepared PMN–35PT thick-film structures reaches a maximum of 0.87 K at 63.5 °C and 300 kV cm⁻¹, which exceeds the value of 0.72 K at ~65 °C and 60 kV cm⁻¹ reported for bulk ceramics of the same composition. The PMN–35PT thick films prepared here are thick-film structures with excellent flexibility, promising for future multifunctional microsystems that manage multiple energy operations, enabling comprehensive energy harvesting, storage and conversion to thermal energy.

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Introduction

In our increasingly interconnected world, new trends for sustainable energy management, including energy harvesting, storage and conversion, in miniature devices have emerged.^{1–4} Ferroelectric ceramics are thus becoming increasingly important and their miniaturization is turning out to be critical.^{3,5} There is a need for microsystems that manage multiple energy operations in a single device, thus offering all-in-one energy harvesting, storage and conversion.^{1–4,6}

Piezoelectric energy harvesting is one of the most interesting energy harvesting principles in the microscale because it offers great potential for harvesting kinetic energy, *e.g.*, from mechanical motions, flow and vibrations, which are available in many fields such as transportation, household, in-water applications, microfluidics, body motion and *in vivo* energy for biomedical applications.^{7,8} Piezoelectric energy harvesting is usually realized using ferroelectric materials as they have an outstanding electro-mechanical coupling factor and piezoelectric coefficient.⁹

Dielectric capacitors are used for the capacitive energy storage in pulsed power and power-conditioning electronic applications.¹⁰ Since their non-linear polarization-electric field hysteresis can be easily modified, ferroelectric materials can achieve high energy-storage density and efficiency, which makes them promising for energy-storage.

The conversion of electrical energy to thermal energy is currently dominated by a well-established vapor-compression cooling technology, which is environmentally problematic and poorly energy efficient. Significant improvements in energy efficiency and environmental safety can be achieved by alternative solid-state cooling through the electrocaloric (EC) effect, which also allows miniaturization of cooling devices.^{11–15}

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A superimposed sinusoidal AC signal with an amplitude of 20 V and a frequency of ~ 350 kHz was applied.

For the electrical measurements, top Au electrodes with diameters of 1.5 and 3.0 mm were deposited by a RF-magnetron sputtering. The aixACCT TF analyzer 2000 (aixACCT Systems GmbH, Germany) was used to measure the polarization–electric field (P – E) hysteresis loops of the films. The waveform of the applied electric field was unipolar and sinusoidal at 100 Hz. For the energy storage calculations, the second P – E loop measured in sequence was used rather than the first. The P – E loops measured before and after bending tests were performed with an electric field of 500 kV cm^{-1} .

Static tensile bending tests of thick film samples were performed by conforming the thick film samples to steel cylinders with specified radii between 12 and 1.5 mm. The bending strain was calculated from the bending curvature using the multilayer model, which is described in ESI† S3. Fatigue tensile bending tests were performed on a linear sliding moving stage. The custom-build sample holder allowed bending of free-standing samples (ESI† video). The minimum bending radius and bending frequency were kept constant at 3 mm and 1 Hz, respectively. The minimum bending radius was set using a 2D laser displacement sensor (sensor head LJ-G080, controller LJ-G5001, Keyence, Japan). The maximum number of bending cycles was 10^5 .

Converse piezoelectric coefficient (d_{33}) measurements of thick film samples glued on a rigid substrate were performed with a home-made setup based on an optical-fiber sensor (MTI 2100 Fotonic Sensor; MTI Instruments Inc., NY, USA) equipped with a SRS DS360 voltage generator (Stanford Research Systems Inc., CA, USA), Trek 609E-6 high-voltage amplifier (Trek Inc., NY, USA), DSOX1204A oscilloscope (Keysight Technologies, CA, USA), SR 560 low-noise pre-amplifier (Stanford Research Systems Inc., CA, USA) and SRS 830 DSP lock-in amplifier (Stanford Research Systems Inc., CA, USA). Due to the low displacement signals (on the nm level) a lock-in technique was used and the d_{33} was determined from the first-order harmonic strain response. A subcoercive electric field (up to 80 kV cm^{-1}) was applied in a bipolar and sinusoidal waveform at 10 Hz. Prior to the measurements, the samples were poled by applying a DC electric field of 500 kV cm^{-1} at room temperature for 15 min.

Direct EC measurements were performed using two different thermometric methods. One is high-resolution calorimetry based on temperature measurements with a small thermistor attached to the sample and the other is based on temperature measurements with a non-contact infrared (IR) camera, referred to here as the IR camera method. For both measurement methods, a sample with a diameter of the top Au electrode of 3.0 mm was used.

For the calorimeter method, the sample was prepared by gluing Cu wires with a diameter of $80 \mu\text{m}$ to the top and bottom electrodes with an Ag-filled epoxy adhesive (EPO-TEK® EE129-4, Epoxy Technology, MA, USA). A miniature radial glass bead thermistor (GR500KM4261J15, Measurement Specialties, VA, USA) was bonded to the top electrode with a general-purpose adhesive. During measurements, the temperature was stabilized

with an accuracy of ± 1 mK using a modified differential scanning calorimeter (Netzsch DSC 204 F1, Germany). In the DSC measurement cell, the sample was kept in air by suspending it from the sample holder with Cu wires. A Pt1000 temperature probe was used to control the ambient temperature. The EC induced temperature change of the sample was measured with the thermistor whose resistance was monitored with a Keithley 2100 digital multimeter (Keithley Instruments, OH, USA). To trigger the EC effect, the DC electric field was applied to the sample with a step-like waveform and a period of 200 s (*i.e.*, 100 s on-field and 100 s off-field) using a Keithley High Voltage Source-Measure Unit 237 (Keithley Instruments, OH, USA). Measurements were performed in a temperature range of 31 – 82 °C. After stabilization at a certain temperature, the electric field was applied with progressively increasing amplitude from 100 to 250 kV cm^{-1} with a step of 50 kV cm^{-1} . A heat capacity analysis was performed to determine the correction factor (k), *i.e.*, the ratio between the intrinsic EC temperature change (ΔT_{EC}) of the PMN–35PT film and the ΔT measured with calorimetry (ESI† S4). Further details about the method can also be found in ref. 17.

For the IR camera measurements, a $\sim 3.5 \mu\text{m}$ thick black ink layer was printed on the top Au electrode to increase the emissivity of the sample surface and to allow accurate monitoring of its temperature. In addition, no further sample preparation steps were required for these measurements. Measurements were performed using an IR camera (FLIR X6580sc, OR, USA) with a forced-cooling quantum InSb detector. The DC electric field, *i.e.*, a step-like waveform with a period of 1 s (*i.e.*, 0.5 s on-field and 0.5 s off-field), was generated with a Keithley 3390 arbitrary waveform generator (Keithley Instruments, OH, USA) and a Trek 2220 high-voltage amplifier (Trek Inc., NY, USA) and monitored with a DSO5014A oscilloscope (Agilent Technologies, CA, USA). Ambient temperature was controlled using a THM S600 temperature stage (Linkam Scientific Instruments, UK) with active cooling by liquid N_2 (LNP95). Measurements were performed in a temperature range of 11 – 72 °C. After stabilization at a given temperature, three cycles of each electric field amplitude (*i.e.*, 200, 250 and 300 kV cm^{-1}) were applied. Numerical modelling of heat dissipation was performed to evaluate the ratio between the adiabatic ΔT_{EC} of the PMN–35PT film and the ΔT measured at the top of the black ink layer. A finite element method was introduced using the heat transfer module of COMSOL Multiphysics software (version 5.6) and a 2D model was created assuming the dimensions of the real sample. More information about the complete EC measurement procedure using the IR camera (black ink emissivity calculations, IR camera acquisition, details of the numerical model and its refinement with the experimental data) can be found in ref. 17.

Results and discussion

Structural and microstructural analysis of PMN–35PT thick films

To confirm a successful aerosol deposition of PMN–35PT thick films on golden polyimide (Au/PI) substrates, the crystal structure and microstructure of as-deposited and thermally



annealed samples were analysed by XRD and SEM, respectively. The XRD patterns of the PMN-35PT powder and the corresponding as-deposited and annealed films are shown in Fig. 1(a) and (b). No secondary phases were detected; all reflections correspond to the perovskite phase except for two Au reflections corresponding to the bottom electrode. The thick films show a remarkable peak broadening due to a decrease in crystallite size and/or an increase in microstrain.

Quantitative Rietveld refinement analysis was performed to calculate crystallite size and microstrain (Fig. 1(c) and (d)). The PMN-35PT powder contains large crystallites ($146 \text{ nm} \pm 3 \text{ nm}$) and a relatively low microstrain ($8.4 \times 10^{-4} \pm 0.3 \times 10^{-4}$). After the AD process, the formed thick films exhibit a significantly smaller crystallite size ($15.4 \text{ nm} \pm 0.3 \text{ nm}$) compared to the powder (10-fold reduction after AD) and a significant increase in microstrain ($20.9 \times 10^{-4} \pm 2.6 \times 10^{-4}$). The decrease in crystallite size and the increase in microstrain are due to the

high-energy particle collisions during AD. It has been shown that only a sufficient decrease in crystallite size and increase in microstrain can lead to successful deposition that forms consolidated thick films.²⁴ Additional annealing of the films has a rather small effect on the crystallite size ($17.5 \text{ nm} \pm 0.3 \text{ nm}$) and a moderate effect on the microstrain ($17.9 \times 10^{-4} \pm 2.3 \times 10^{-4}$), both shown in (Fig. 1(c) and (d)).

The SEM analysis of the as-deposited and annealed PMN-35PT thick films shows no significant difference in microstructure (Fig. 1(e)–(h)). Micrographs of cross-sections show films with a thickness of $2.5 \mu\text{m}$ and Au bottom electrodes (Fig. 1(e) and (f)). Both as-deposited and annealed PMN-35PT thick films exhibit high density (estimated porosity as low as 1%) and good adhesion to Au/PI substrates. On the cross-sectional micrographs nm-sized pores can be seen, while the surface micrographs show a typical surface morphology (Fig. 1(g) and (h)).

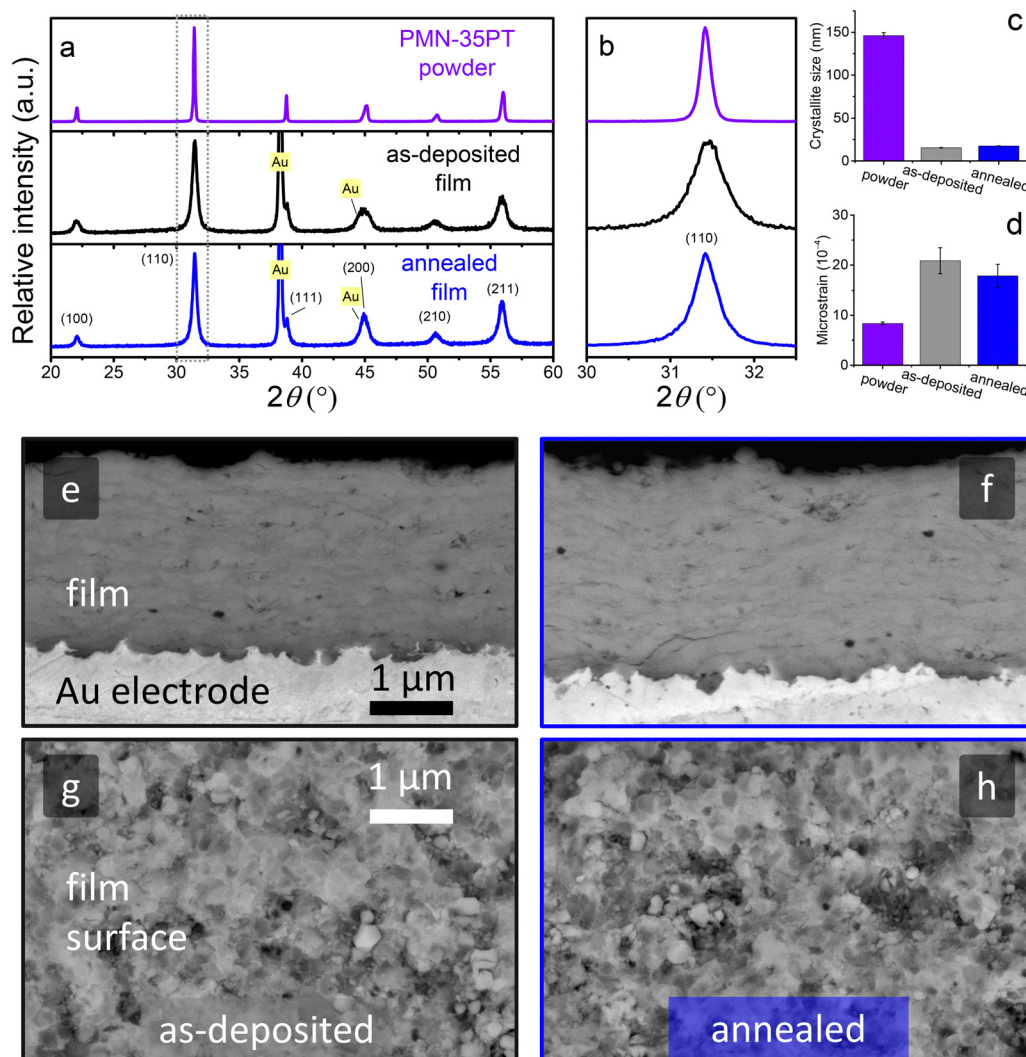


Fig. 1 (a) XRD patterns in the 2θ range of 20–60° for PMN-35PT powder, as-deposited and annealed thick films; (b) magnified view of (110) reflection. The diffraction peaks corresponding to the gold and perovskite phases are indexed according to the cubic notation (JCPDS 04-0784 and 81-0861, respectively). The corresponding (c) crystallite size and (d) microstrain were determined using Rietveld refinement. SEM micrographs of as-deposited (e and g) and annealed (f and h) films in cross-section (e and f) and surface (g and h) views. The PI substrate is not visible in panels (e) and (f).



Energy-storage properties and flexibility of PMN-35PT thick films

The unipolar P - E hysteresis loops were measured on as-deposited and thermally annealed PMN-35PT thick films (Fig. 2(a)). The as-deposited films show a linear behaviour at a low electric field (200 kV cm^{-1}) and a more lossy dielectric behaviour with increasing electric field (up to 1000 kV cm^{-1}). Annealing of the films leads to relaxor-ferroelectric P - E behaviour with a slimmer hysteresis. Such improvement of P - E behaviour is a consequence of thermally activated stress relaxation and recombination of charged defects.^{26,49,50} The shape of the P - E loops is maintained even at lower driving frequencies (ESI† S5). The maximum polarization reaches $42 \mu\text{C cm}^{-2}$ at 1000 kV cm^{-1} . The films can withstand very high electric fields, which makes them promising for capacitive energy-storage applications, especially when high electric fields are required. Weibull analysis was performed to evaluate the dielectric breakdown strength, which reaches 1033 and 968 kV cm^{-1} for the as-deposited and annealed thick films, respectively (ESI† S6).

The most important energy-storage properties are recoverable energy-storage density (U_{rec}) and energy-storage efficiency (η). U_{rec} is the energy recovered during discharge and is obtained by integrating the area between the discharge curve and the ordinate (blue patterned area in Fig. 2(a)). The η is the ratio between the recovered and stored energy ($\eta = U_{\text{rec}}/(U_{\text{rec}} + U_{\text{loss}})$), where U_{loss} represents the hysteresis losses, the area between the charge and discharge curves (grey patterned area in Fig. 2(a)).

Fig. 2(b) shows U_{rec} and η of as-deposited (grey) and annealed (blue) thick films. The U_{rec} value increases almost linearly with electric field, but the increase is much higher for annealed thick films. At 1000 kV cm^{-1} , the U_{rec} value reaches 6.2 and 10.3 J cm^{-3} for as-deposited and annealed films, respectively. On the other hand, the η -value decreases with increasing electric field. In as-deposited films, η decreases from 91% at 100 kV cm^{-1} to 47% at 1000 kV cm^{-1} ($\Delta\eta = 44\%$). In annealed thick films, the decrease in η is much smaller ($\Delta\eta = 7\%$). η decreases from 72% at 100 kV cm^{-1} to 65% at 1000 kV cm^{-1} . At 1000 kV cm^{-1} the energy storage properties of the annealed PMN-35PT films on the PI substrates are comparable to other AD thick films on conventional, more expensive Pt/Ti/SiO₂/Si substrates. The reported U_{rec} and η values (at 1000 kV cm^{-1}) of AD thick films on Pt/Ti/SiO₂/Si substrates range from 9.7 to 15.1 J cm^{-3} and from 70% to 84% , respectively.⁵¹⁻⁵⁵ However, further improvement of the U_{rec} is possible by increasing the dielectric breakdown strength, e.g., PMN-35PT films reported by Park *et al.* reach 2000 kV cm^{-1} , leading to a U_{rec} of 35 J cm^{-3} .⁵³

To evaluate the flexibility of the PMN-35PT films on PI substrate, the energy-storage properties were measured after tensile bending (Fig. 2(c)). U_{rec} and η measured at 500 kV cm^{-1} are shown in Fig. 2(d) after reaching different bending radii. Both the deposited and annealed thick films exhibit excellent flexibility, as the energy storage properties did not deteriorate after bending down to a radius of 1.5 mm , i.e., a bending strain

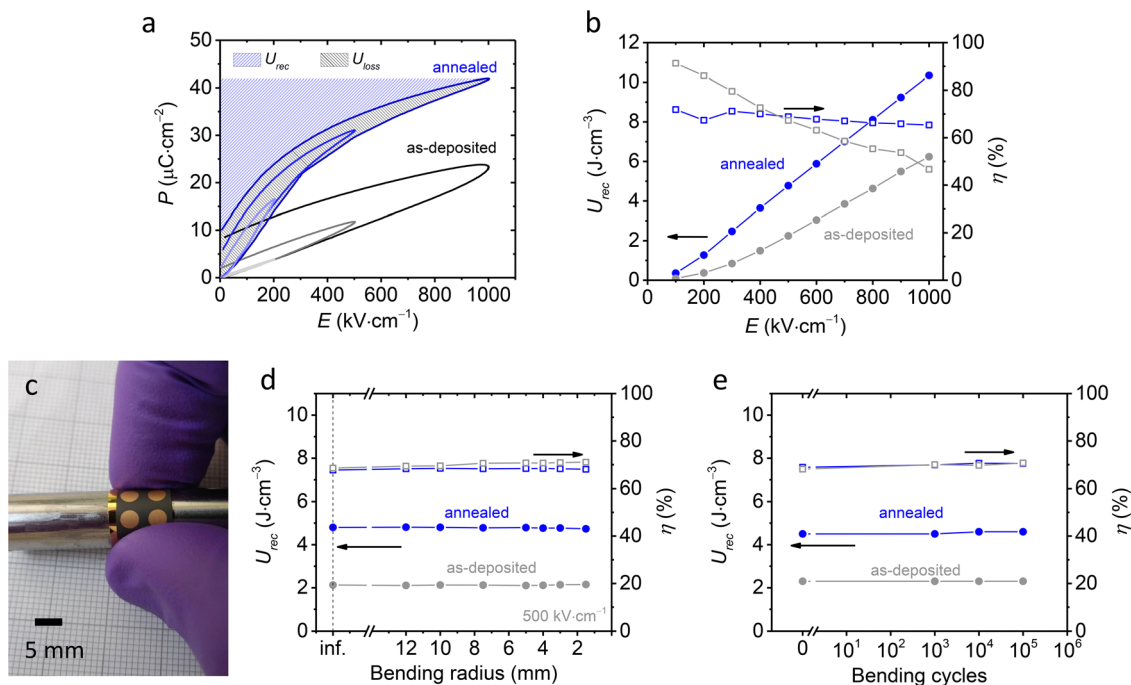


Fig. 2 (a) Unipolar P - E hysteresis loops of as-deposited (grey) and annealed (blue) PMN-35PT thick films measured up to 200 kV cm^{-1} , 500 kV cm^{-1} and 1000 kV cm^{-1} . U_{rec} and U_{loss} of the annealed layer are shown by the blue and grey patterned areas, respectively. (b) The electric field dependence of U_{rec} and η for the deposited and annealed thick films. (c) Photograph of the sample under tensile bending test. (d) U_{rec} and η values (at 500 kV cm^{-1}) at different bending radii. (e) U_{rec} and η values at 500 kV cm^{-1} as a function of bending cycles measured after the fatigue test (repetitive bending down to a radius of 3 mm). The lines between the data points are only a guide for the eye.



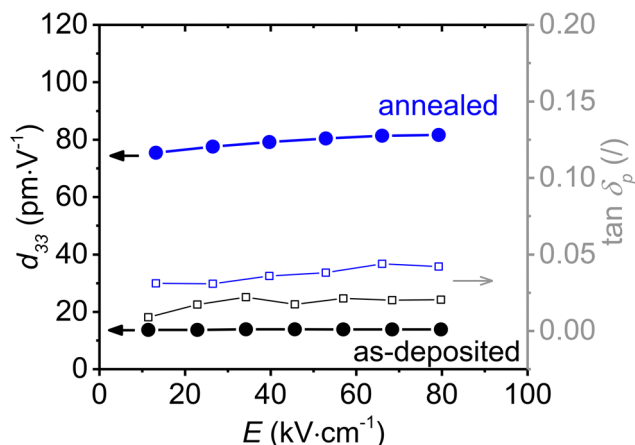


Fig. 4 Converse piezoelectric coefficient (d_{33} , left axis) and tangent of piezoelectric phase angle ($\tan \delta_p$, right axis) as a function of electric field amplitude of as-deposited (black) and annealed (blue) PMN-35PT thick films measured at 10 Hz. The lines between the data points are only a guide for the eye.

contributions related to irreversible interface dynamics.^{56–59} A similar increase in the irreversible contribution to the dielectric permittivity was previously demonstrated in PMN-35PT thick films after thermal annealing.³⁰ The as-deposited and annealed films exhibit reasonable $\tan \delta_p$ values, *i.e.*, 0.01–0.02 and 0.03–0.04, respectively. The overall electromechanical properties of annealed PMN-35PT thick films are promising for future flexible piezoelectric energy harvesting devices. However, the d_{33} in aerosol-deposited thick films is limited due to the film-substrate clamping effect^{60,61} and small grain size.³⁸ For example, screen-printed PMN-35PT thick films on platinumized Al_2O_3 substrates that were annealed at 950 °C exhibit

higher d_{33} (typically between 130 and 170 $\text{pC}\cdot\text{N}^{-1}$) due to the large grain size.^{60,62,63} In another study, Han *et al.* demonstrated the effect of substrate clamping on piezoelectric properties of AD thick films.⁶⁴ By increasing the film thickness from 1 μm to 55 μm in annealed (700 °C) $\text{Pb}(\text{Zr}_{0.52}\text{Ti}_{0.48})\text{O}_3$ thick films on Si substrates, the effective piezoelectric coefficient d_{33} increases from 75 $\text{pm}\cdot\text{V}^{-1}$ to 210 $\text{pm}\cdot\text{V}^{-1}$,⁶⁴ indicating on weakening of the clamping effect.

Electrocaloric properties of PMN-35PT thick films

The EC effect of the prepared PMN-35PT films was measured directly using two different thermometric methods, referred to here as the high-resolution calorimetry and IR camera method. The first method relies on temperature measurements with a small thermistor attached to the sample, while the other method uses a fast IR camera. The choice of two different EC direct methods not only allowed us to validate the results obtained, but also gave us the opportunity to perform the measurements at different electric fields. In particular, the precise temperature stabilization of the calorimeter method allows the measurement of the EC induced ΔT at lower applied electric fields (100–200 $\text{kV}\cdot\text{cm}^{-1}$), while the fast temperature measurement of the IR camera and thus the use of a shorter electric field pulse allows the application of larger electric fields (up to 300 $\text{kV}\cdot\text{cm}^{-1}$) without the risk of dielectric breakdown. The difference in the measurement principle is clearly evident in the typically obtained EC cycles and the time scale of the occurrence of EC heating and cooling peaks, where in the case of the calorimetry the peak of the EC induced ΔT is reached after 0.7 s (Fig. 5(a)), while the IR camera records it in 1.5 ms (Fig. 5(b)).

The EC measurements of the as-deposited PMN-35PT thick films by the calorimeter method showed an excessive Joule

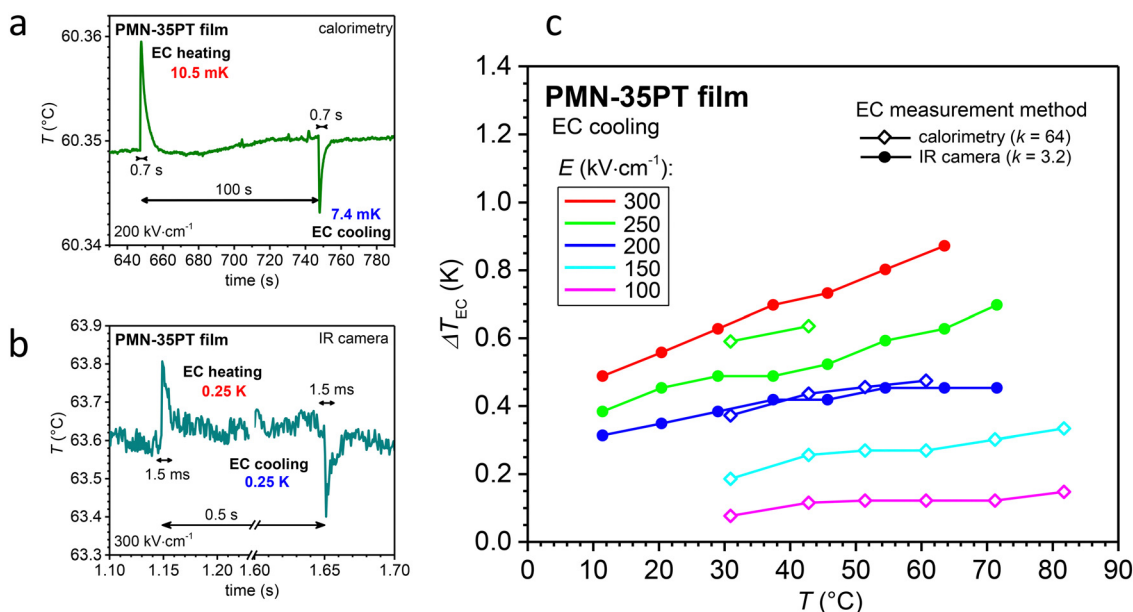


Fig. 5 Typical EC cycle obtained by (a) calorimeter method at 60.35 °C and 200 $\text{kV}\cdot\text{cm}^{-1}$ and (b) IR camera at 63.6 °C and 300 $\text{kV}\cdot\text{cm}^{-1}$. (c) Temperature- and field-dependent ΔT_{EC} values of the annealed PMN-35PT thick films measured by the calorimeter (open squares) and the IR camera (solid circles) methods.

EC applications that could lead to the fabrication of EC thick-film structures for cooling future electronics.

In conclusion, the PMN–35PT thick films prepared here are multifunctional thick-film structures with flexibility, electrocaloric cooling and energy storage capability, and piezoelectric performance. Further development of such structures could open up new applications for various energy operations and enable comprehensive energy harvesting, storage and conversion to thermal energy.

Conflicts of interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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