

Cite this: *Energy Environ. Sci.*, 2023, 16, 535

The role of an elastic interphase in suppressing gas evolution and promoting uniform electroplating in sodium metal anodes†

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Ether solvent based electrolytes exhibit excellent performance with sodium battery anodes, outperforming the carbonate electrolytes that are routinely used with the analogous lithium-ion battery. Uncovering the mechanisms that facilitate this high performance for ether electrolytes, and conversely diagnosing the causes of the poor cycling with carbonate electrolytes, is crucial for informing the design of optimized electrolytes that promote fully reversible sodium cycling. An important contributor to the performance difference has been suggested to be the enhanced elasticity of the ether-derived solid–electrolyte interphase (SEI) layer, however experimental demonstration of exactly how this translates to improving the microscopic dynamics of a cycled anode remain less explored. Here, we reveal how this more elastic SEI prevents gas evolution at the interface of the metal anode by employing operando electrochemical transmission electron microscopy (TEM) to image the cycled electrode–electrolyte interface in real time. The high spatial resolution of TEM imaging reveals the rapid formation of gas bubbles at the interface during sodium electrostripping in carbonate electrolyte, a phenomenon not observed for the higher performance ether electrolyte, which impedes complete Na stripping and causes the SEI to delaminate from the electrode. This non-conformal and inflexible SEI must thus continuously reform, leading to increased Na loss to SEI formation, as supported by mass spectrometry measurements. The more elastic ether interphase is better able to maintain conformality with the electrode, preventing gas formation and facilitating flat electroplating. Our work shows why an elastic and flexible interphase is important for achieving high performance sodium anodes.

Received 12th August 2022,
Accepted 4th January 2023

DOI: 10.1039/d2ee02606f

rsc.li/ees

Broader context

The development of sodium metal anodes is one of the most promising avenues for attaining high performance sodium-ion rechargeable batteries. However, they suffer from many of the same problems as the lithium metal anode, with endemic low cycling performances. Designing an appropriate electrolyte, and thereby tailoring the form of the anode's solid–electrolyte interphase (SEI), can mitigate this anode degradation. Yet understanding the link between different SEIs and the various complex degradation mechanisms the metal anode undergoes is challenging. We perform operando electrochemical transmission electron microscopy to directly image the cycling of the sodium metal anode in carbonate and ether based electrolytes. The high resolution imaging reveals intensive gas evolution occurs along the electrode interface during electrostripping when the anode is cycled in carbonate electrolyte. The formed gas displaces the electrolyte away from the electrode, preventing ionic transfer. Gas formation is suppressed with ether electrolyte, explaining the much superior cycling performance. We link these observations to the differing mechanical properties of the formed SEI. Our results highlight the crucial importance of a flexible SEI layer in achieving fully reversible Na metal cycling, and the often hidden role gas formation can have in hindering battery performance.

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† Electronic supplementary information (ESI) available. See DOI: <https://doi.org/10.1039/d2ee02606f>

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Introduction

With the demand for low-cost and high-capacity energy storage systems, sodium batteries have attracted significant interest due to promising high energy density chemistries – including Na–sulfur,^{1–3} Na–oxygen,^{4–6} and Na–carbon dioxide⁷ – while



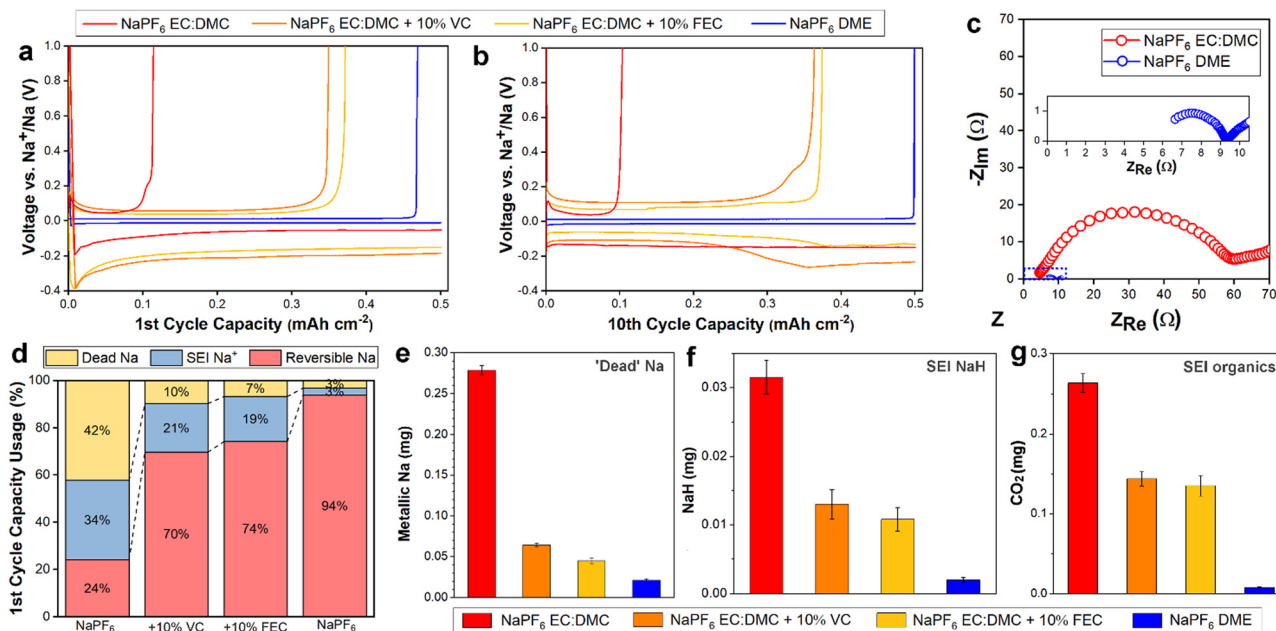


Fig. 1 Electrochemical performance comparison of sodium electrolytes with carbonate or ether solvents. Deposition capacity at 0.5 mA cm^{-2} for 0.5 mA h cm^{-2} after the (a) first and (b) tenth charge–discharge cycle. (c) EIS characterization of the ether and carbonate Na electrolytes. Magnified view of the EIS of NaPF_6 in DME shown in the insert. (d) Relative distribution of Na after the first charge–discharge cycle from titration online MS. Quantitative online MS measurements, after one cycle, of (e) ‘dead’ Na, (f) NaH, and (g) CO_2 , the signature of organic SEI components such as $(\text{CH}_2\text{OCO}_2\text{Na})_2$ and NaOCO_2R .

the subsequent cycles. Cells cycled at various rates all exhibited excellent stability with ether electrolyte (Fig. S2, ESI[†]), and similar resilience to capacity decay was seen in $\text{Na}_3\text{V}_2(\text{PO}_4)_3/\text{Na}$ full cells (Fig. S3, ESI[†]). Electrochemical impedance spectroscopy (EIS) measurements (Fig. 1c) showed a significantly improved ionic conductivity for the ether electrolyte. Together, the cycling performance and EIS measurements suggest a critical role for the SEI in discriminating the performances of the two electrolytes.

To diagnose the contributions of initial SEI formation to Na loss, and to better quantify the overall size and composition of the SEI, we performed online mass spectrometry (online MS) on the cycled electrolyte cells. The coin cells were plated at 0.5 mA cm^{-2} for 0.5 mA h cm^{-2} , stripped at 0.5 mA cm^{-2} to 1 V (vs. Na^+/Na) for one cycle, and then transferred to our online MS setup where they were titrated with deuterated water (D_2O). By measuring the resultant gas evolution (D_2 , HD and CO_2), the electrically isolated ‘dead’ Na and some SEI components were able to be quantitatively identified (Fig. 1d–g). The quantity of reversible Na is known from the coin cell cycling performance, while the Na consumed by SEI formation is equal to the amount of non-reversible Na minus the quantity of ‘dead’ Na. Following the first cycle, a significant quantity of Na was lost to SEI formation for the carbonate electrolytes (Fig. 1d); 34%, 21%, and 19% for the no additive, VC additive, and FEC additive electrolytes, respectively. Employing an ether solvent electrolyte instead reduced this loss to SEI formation to just 3%, less than a tenth of the relative Na loss compared to the standard carbonate electrolyte. These MS results, along with X-ray photoelectron spectroscopy (XPS) showing a rapid decrease

in the intensity of the F 1s peak (indicative of NaF^{42}) with depth for the ether electrolyte electrode (Fig. S4, ESI[†]), evidence a thinner SEI formed on the electrodes cycled in ether electrolyte. This is supported by the EIS (Fig. 1c), with the carbonate electrolyte resistance measurement roughly seven times higher, as the formation of a thicker SEI layer would be expected to impede transport.

Along with the thinner SEI, the ether electrolyte largely prevented Na loss due to ‘dead’ Na detachment and isolation, limiting it to 3% (Fig. 1d), significantly outperforming even the additive-including electrolytes. ‘Dead’ metal formation is understood to largely arise due to the uneven dissolution of dendritic electroplated structures, leading to metal detachment from the electrode and subsequent electrical isolation.⁴³ Our online MS results suggest the ether electrolyte suppresses dendritic Na deposition, and thus limits possible Na detachment during electrostripping.

Analysis of the products measured, both after one cycle (Fig. 1e–g) and three cycles (Fig. S5, ESI[†]), allows the quantification of the inorganic SEI product NaH, and organic SEI products $(\text{CH}_2\text{OCO}_2\text{Na})_2$ and NaOCO_2R (see methods). As per the collated data in Fig. 1d, the relative mass of SEI products was greater in the carbonate solvent electrolyte cells. Interphase NaH forms by reaction of sodium metal with hydrogen gas (or some sodium-based hydrated compounds),⁴⁴ and has been suggested to hinder Na^+ ion transport at the electrode interface.¹² The measured organic SEI products can further decompose into inorganic Na_2CO_3 ,⁴⁵ which has been shown to be unstable when exposed to sodium electrolytes.⁴⁶ Thus the comparatively high quantities



of NaH and Na organics found in the carbonate electrolyte SEIs support the poor electrochemical performances measured for them; the NaH will contribute to the insulating character of the SEI (Fig. 1c), and the organics will lead to continued SEI dissolution and replenishment and thus contribute to the sustained low CE (Fig. 1b) and high cumulative SEI product formation (Fig. S5, ESI†). In contrast, the ether electrolyte SEI remains chemically stable, with negligible increase in the quantity of SEI products measured following three cycles compared to one cycle.

The mass spectrometry measurements demonstrated that ether electrolyte limits ‘dead’ Na formation, implying that it inhibits dendritic Na morphologies that are more prone to detachment and isolation. To verify this, we employed operando TEM to directly capture the electrodeposition and dissolution processes from the ether and carbonate electrolytes. Operando electrochemical TEM with a liquid-cell permits the nanoscale visualization of the plating and stripping dynamics from the electrolytes of interest on to a Pt working electrode (WE).³⁵ Galvanostatic electroplating and stripping at 10 mA cm^{-2} was performed from both DME and EC:DMC electrolytes (Fig. 2). The contrast in TEM is dependent on the relative densities of the imaged structures;⁴⁷ unfortunately, the density of Na metal is very close to the density of the surrounding solvent (Table S1, ESI†), making the delineation of deposited metal morphologies challenging due to the low contrast, particularly in the case of the ether electrolyte. However, careful examination of the complete electroplating and stripping process from the ether electrolyte reveals a largely uniform deposition and dissolution of sodium (Fig. 2a). This is more clearly seen in the captured real time movie (Movie S1, ESI†). The change in intensity due to sodium plating and stripping is confirmed by box-averaged intensity profile measurements extracted from the same location across the three frames (Fig. 2b). Alongside the largely uniform

plating over the WE surface, two micron sized Na structures can be seen to deposit and dissolve, as indicated with arrows in Fig. 2a. The largely flat morphology of the plated Na supports the mass spectrometry measurements, as flat plating and uniform stripping will preclude any ‘dead’ Na detachment. Cycling from the EC:DMC carbonate electrolyte yielded a more disperse deposition morphology (Fig. 2c and Movie S2, ESI†). As opposed to the darker contrast of Na plated from the ether electrolyte, Na metal from the EC:DMC electrolyte appears slightly lighter than the surrounding electrolyte due to the relative density of Na being less than the EC:DMC electrolyte (Table S1, ESI†). To highlight the areas where plating occurred, background subtraction and a false-color look up table were applied to frame 2 (Fig. 2d), revealing the irregular bushy Na deposition morphology. Post-mortem scanning electron microscopy (SEM) imaging of coin-cell electrodes electroplated with Na confirmed the looser and more porous Na morphology for deposition from carbonate solvent electrolyte (Fig. S6, ESI†), with the surface roughness difference of the electrodes also observable by eye (Fig. S7, ESI†).

Cycling from the carbonate electrolyte also revealed many localized bubbles formed across the Na metal deposit (white contrast). Bubble formation started once the bias polarity switched from galvanostatic plating to stripping. These bubbles are not a result of beam damage, as evidenced both by a control experiment (Fig. S8, ESI†), and by their immediate formation upon switching the bias polarity, which instead strongly suggest an electrochemical cause. These small gas bubbles rapidly nucleated on the Na metal surfaces, grew, and eventually dissolved into the electrolyte. Following the completion of the galvanostatic cycle, moving the sample revealed a large bubble (Movie S2, ESI†). This indicates that gas evolution from the cycling process had been sufficient to overcome the saturation limit of the electrolyte in the liquid-cell, leading to degassing/gas accumulation into the large bubble. The excessive bubble



Fig. 2 Operando electrochemical liquid-cell TEM imaging. (a) Galvanostatic cycle (10 mA cm^{-2}) of NaPF_6 in DME. Annotation in panel 1 denotes where box-averaged intensity profiles were acquired from each panel. (b) Intensity profiles extracted from panels 1 to 3. (c) Galvanostatic cycle (10 mA cm^{-2}) of NaPF_6 in EC:DMC. (d) False-color look-up table of panel 2 following background subtraction, highlighting Na deposition.



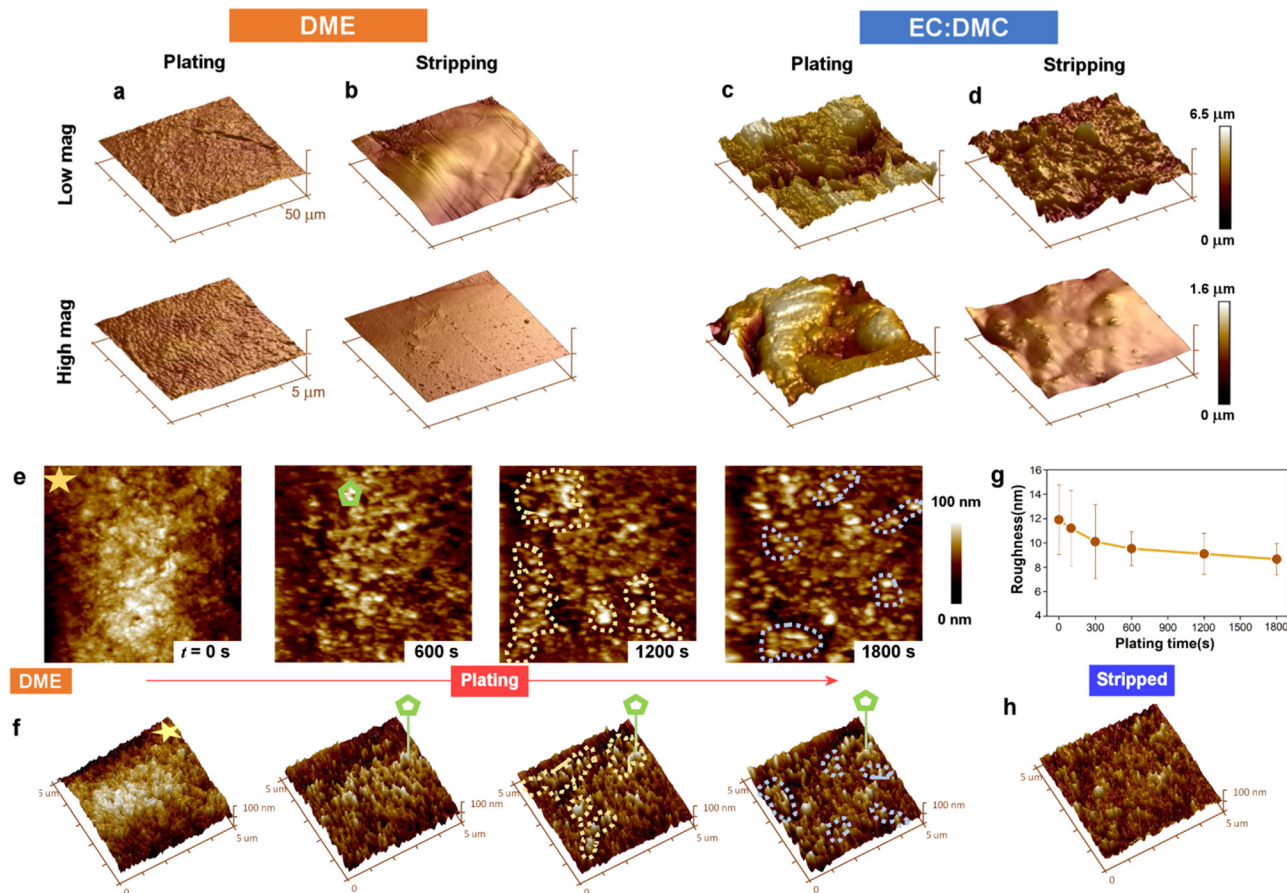


Fig. 4 AFM surface profiling of cycled electrodes and *in situ* AFM imaging of electroplating and stripping. (a–d) AFM images of Cu electrodes galvanostatically cycled (plating at 0.5 mA cm^{-2} for 0.5 mA h cm^{-2}) in ether and carbonate solvent Na electrolytes, imaged following (a and c) electroplating and (b and d) stripping. The height is indicated by the colour scale. (e) *In situ* liquid–cell AFM topography time-series of the Cu WE during Na electroplating at 0.5 mA cm^{-2} . (f) Perspective views of the images in (a). (g) Evolution in electrode roughness with electroplating time. (h) The Cu WE after galvanostatic stripping to 1 V (vs. Na^+/Na).

surface after the first cycle with ether electrolyte exhibited a smooth texture, with an even smaller roughness than that of the pristine Cu foil (roughness: $12.4 \pm 2.1 \text{ nm}$, Fig. S12, ESI[†]), evidencing the excellent flat plating morphology achievable from ether Na electrolyte. This flat morphology will be robust toward detachment, and thus supports the low quantities of measured ‘dead’ Na lost with ether electrolyte (Fig. 1d). AFM indentation measurements reveal the mechanical properties of the SEI formed from the two electrolytes on the Na metal, with stiffer and good elastic performance found for the ether electrolyte SEI and more brittle character for the carbonate electrolyte SEI (Fig. S10 and S11, ESI[†]), which is in good agreement with similar experiments performed recently on Sn anodes.⁴⁸ The less elastic SEI formed from the EC:DMC electrolyte may be attributed to the larger amount of carbonate ester ($\text{NaO-CO}_2\text{R}$) and brittle inorganic components (NaH and Na_2CO_3) formed, as seen in our mass spectrometry measurements (Fig. 1). These measured mechanical properties support our liquid–cell STEM observations, where the non-conformal SEI was seen to rigidly remain following Na electrostripping.

In situ liquid–cell AFM imaging captured the roughness evolution over the course of plating, and revealed the dynamics

behind the exceptionally flat plating morphology achieved with the ether solvent electrolyte (Fig. 4e–h). After 600 s of plating, Na metal was evenly distributed on the Cu working electrode. With further plating to 1200 s, Na metal was observed to grow at lower sites, highlighted by dashed yellow regions, which were further away from the counter electrode. The same phenomenon was also observed toward the end of plating (1800 s), where the regions labeled by dashed blue curves again demonstrated growth of Na metal at lower sites. The tendency of Na deposition to preferentially occur at lower sites is reflected in the decreasing surface roughness measured over the course of the plating cycle (Fig. 4g), suggesting that the deposition dynamics from ether electrolyte maintains the smoothness of the electrode surface. This electroplating behavior is unexpected, as deposition should typically occur at protrusions rather than recesses due to the higher local electric field, leading to dendrite growth.^{49,50} The smoothness of the electrode was found to be maintained after stripping (Fig. 4h), with a roughness of $7.1 \pm 2.1 \text{ nm}$, confirming the good stripping performance with ether electrolyte.

A possible explanation for this unusual recess deposition mechanism may be the combination of the ether SEI’s mechanical



revealed extensive gas bubble formation during stripping along the electrode interface when operated in carbonate electrolyte, while no such interfacial effervescence was observed when cycled with ether electrolyte. This gas formation at the interface will displace the electrolyte, and thus impede complete dissolution of plated sodium. The TEM imaging, alongside AFM, also highlighted the far smoother surface morphology for Na electroplated from ether electrolyte, which *in situ* AFM showed to be the result of the more elastic and robust SEI. We propose that these favorable mechanical properties also prevent gas formation from SEI reactions that occur during stripping, with the more inflexible non-conformal and brittle SEI derived from carbonate electrolyte more prone to delaminating from the Na and undergoing CO₂ producing side reactions. The SEI was imaged by operando TEM to remain fixed in place, losing conformality with the electrode during electrostripping, and thus exposing fresh areas to side reactions with the electrolyte. Our work shows the critical importance of designing electrolytes such that they yield an elastic and robust SEI layer, as these properties promote uniform flat electroplating and inhibit gas producing side reactions. Realizing this beneficial SEI by employing ether electrolytes will still require accommodation for their relatively poor high-voltage stability,^{54,55} which currently prevent their use with high-voltage cathode materials.⁵⁶ Continued research into strategies that overcome their limited oxidation stability are ongoing,^{57–59} and include employing a high salt concentration,^{60,61} forming a localized high concentration *via* use of a cosolvent,⁶² or by inclusion of stabilizing additives.^{63,64}

Methods

Electrochemistry

All the electrolyte and coin cell preparation were performed in an argon-filled glove-box (H₂O < 0.1 ppm, O₂ < 0.1 ppm). The prepared electrolytes were 1 M NaPF₆ in EC:DMC = 1:1 (v/v) (battery grade, Kishida Chemical) with and without 10 wt% FEC and VC (anhydrous, ≥99%, Sigma-Aldrich), and 1 M NaPF₆ in DME (anhydrous, ≥99.5%, Sigma-Aldrich). The hard carbon and Na₃V₂(PO₄)₃ cathode were both purchased from MTI Kejing Corporation (Shenzhen, China), and were used without any further processing. The active mass loading of hard carbon and/or Na₃V₂(PO₄)₃ used was around 3 mg cm⁻². The water content of prepared electrolytes was measured by Karl Fischer titration (C30S Coulometric KF Titrator, Mettler Toledo) with methanol-free reagents three times, each showing a water content value of <5 ppm. During the electrolyte preparation process, all the tools we used (which include syringes, vials, tweezers, *etc.*) were dried in vacuum oven for over 12 hours prior to bringing into the glovebox. The coin-cells for online MS were Na||Cu CR2032 coin cells with two pieces of glass microfiber separators (Whatman GF/D, dried under vacuum oven). They were plated at 0.5 mA cm⁻² for 0.5 mA h cm⁻² and then stripped at 0.5 mA cm⁻² to 1 V. The electrochemical measurements were performed on a Biologic VMP3 system.

Real-time liquid-cell (S)TEM

We used a Protochips Poseidon 510 TEM holder to flow electrolyte into the liquid-cell with a syringe pump, as per our previous work.³² A continually replenished thin layer of electrolyte was thus confined between two Si-SiN chips inside the vacuum of the TEM. The flow rate was 120 μL h⁻¹ during (S)TEM imaging and 240 μL h⁻¹ for electrolyte replenishment after each cycle. Before we introduced the electrolyte we flowed dried DMC or DME for 40 minutes at a 240 μL h⁻¹ flow rate. A Gamry reference 600 was used for cyclic voltammetry and galvanostatic measurements between the reference, working and counter Pt electrodes patterned on the electrochemical chip. The TEM and high angle annular dark field (HAADF) STEM imaging were performed with a JEOL 3000F (300 kV) using a 50-micron condenser aperture. The beam effect is shown in Fig. S6 (ESI[†]), suggesting the beam dose used was acceptable. The STEM beam current was calibrated by a Faraday cup (10 pA). All of the STEM images were recorded with a pixel dwell time of 3 μs pixel⁻¹ and at 512 × 512 pixels (calculated pixel size of 1.2 × 10⁴ Å²). These imaging conditions correspond to a radiation dose of ~1.6 × 10⁻² e⁻ Å⁻². All the electrolyte preparation was performed in an argon glovebox or sealed systems. Cyclic voltammetry used a scan rate of 20 mV s⁻¹.

XPS

The Na was deposited from glyme or EC:DMC electrolytes in Na||Cu coin cells at a current density of 0.5 mA cm⁻² for 1 hour. XPS measurements were conducted using a PHI5000 Versa Probe III instrument (Ulvac-PHI, INC.). An Al monochromatic source was used to generate X-rays using a power of 25 W, voltage of 15 kV, and a beam spot size of 100 μm. A pass energy of 55 eV was set for the analyzer. An electron neutralizer gun was used to prevent any surface charge build-up. Depth profiling of samples was done by an Ar ion source at 2 kV and 1.8 μA over an area of 3 × 3 mm² for 60 seconds and 660 seconds, respectively. The spectra were calibrated according to the signal of adventitious carbon at 284.8 eV. The results were analyzed and fitted *via* CASAXPS software. Samples were transferred from the glovebox into the chamber *via* a sealed Ar-filled vessel without exposure to air.

Online MS

The procedure for online MS (built in-house) is illustrated in our previous work (Fig. S14, ESI[†]).³² First, the cycled Na||Cu CR2032 coin-cells, assembled with two glass microfiber separators (Whatman GF/D, dried under vacuum oven) and plated at 0.5 mA cm⁻² for 0.5 mA h cm⁻² and then stripped at 0.5 mA cm⁻² to 1 V (vs. Na⁺/Na) for one cycle, were disassembled in an argon glovebox. Then the copper foil and the glass fiber separators on the Cu side were transferred into a well-sealed vial (along with a magnetic stirrer) without further treatment. After the vial was connected to the MS the gas composition inside the vial was analyzed and recorded. When the gas content was stable (checking no D₂, O₂, CO₂ and almost 100% Ar carrier gas), excess degassed D₂O (>99.96 atom %D, Sigma-Aldrich) was



injected into the vial and then the released D₂, HD and CO₂ were detected by MS (Prima BT, Thermo Fisher Scientific). Finally, the quantity of metallic Na, NaH and some organic SEI species from the cycling can be calculated from the amount of D₂, HD and new CO₂ gas detected by MS, according to the following reactions:⁴³



The carrier gas flow rate (r) is controlled at 1 mL min⁻¹ by a digital flow meter (Bronkhorst), so the total amount n in moles of the target gas (*e.g.* D₂, HD), can be calculated by following equation:

$$n = \int \frac{r \times P}{V_m} dt$$

Here, V_m is molar volume of gas (24.79 L mol⁻¹ at 25 °C) and P is the percentage of the target gas in the carrier gas stream. More setup details can be found in our previous work.^{65,66}

We ran a 'blank' experiment to control for any potential gas emission from other components of the cell, *e.g.* the separator, electrodes, *etc.* For this we prepared an identical coin cell but did not subject it to cycling. This was then disassembled and characterised by online MS as per the standard experiments. The results showed essentially no emission of HD or D₂ for the control cell.

DEMS

The sealed vial cell was assembled in a glove box, using Na metal attached to a stainless steel mesh as the working electrode and a Cu foil as the counter electrode. Input and output gas channels were supplied into the vial, and an isolation valve allowed carrier gas to flow to the MS while bypassing the cell. This valve was shut during cycling experiments (of 1 cycle, 5 cycles, and 10 cycles, in that order), with gas produced by the cycling thus sealed inside the vial. Following cycling the valve was opened and the evolved gases, conveyed by the Ar carrier and across a cold-trap to condense any solvent vapor, were detected and recorded by MS. The cell was cycled at a rate of 0.5 mA cm⁻², electroplated for 10 min, and electrostripped to a cut-off voltage of +1 V *vs.* Na.

AFM

The Bruker Dimension Icon AFM was used to characterise both *ex situ* and *in situ* samples in a glovebox filling with argon (<0.1 ppm H₂O, <0.1 ppm O₂). All AFM probes used were calibrated according to standard samples (Sapphire and Ti roughness sample from Bruker), interpolating the actual spring constant and the tip radius. The PeakForce QNM model was conducted to capture the topography of cycled electrodes corresponding mechanical data. The 3D topography was reconstructed

by NanoScope Analysis 2.0 software with calculated root-mean-square roughness values from at least three different regions. The mechanical nanoindentation experiments were conducted in a 5 μm × 5 μm region with over 100 points evenly disturbed in the field of view. The AFM probes used have a spring constant of around 20 N m⁻¹ with a tip radius of 10 nm. To measure the Young's modulus of the SEI on the cycled electrode without any plastic deformation, the PeakForce setpoint was controlled to 20 nN within the elastic region. The higher 350 nN setpoint was deliberately applied to penetrate and measure the elastic limit of the SEI on the cycled electrode. The force response curves were fitted by Derjaguin–Muller–Toporov (DMT) model to calculate the Young's modulus. All the Young's modulus and elastic region results were statistically summarised to be representative for the samples. This methodology has been widely used to characterise the mechanical of the SEI.⁴⁸

For the *in situ* AFM study on the DME electrolyte, a closed electrochemical cell with three electrodes was used, as illustrated in Fig. S15 (ESI[†]). Cu foil was used as the working electrode, a concentric ring of Na metal was used as the counter electrode, and a flake of Na metal on the Cu wire was used as the reference electrode. After the AFM probe approached the cell and immersed into the electrolyte, the cell was closed by a rubber ring around the probe holder. The cell was connected to a Gamry potentiostat to electrochemically plate Na onto the Cu working electrode. The PeakForce QNM in fluid mode was used with fluid-compatible probes with a spring constant around 15 N m⁻¹. After the pristine scan on the Cu surface, the topography of the working electrode was captured during plating from the ether electrolyte at 0.5 mA cm⁻² with different capacities of Na plated. The pristine morphology of Cu foil under liquid electrolyte was captured and compared to an identical scan performed in the air, verifying the morphology and capture resolution excluding any side effect from liquid electrolyte (Fig. S12, ESI[†]).

SEM and FIB

Electroplated Na (plating at 0.5 mA cm⁻² for 0.5 mA h cm⁻²) were characterized by a Carl Zeiss Merlin SEM. The cross-sectioning of plated Na (plating at 0.5 mA cm⁻² for 3 mA h cm⁻²) was examined by Thermo Scientific Helios G4 Plasma FIB DualBeam (or PFIB) system. The cells were disassembled in a glove box, and the sample transfer process was performed by an air-tight holder, making sure the samples were not contaminated by air.

Conflicts of interest

There are no conflicts to declare.

Acknowledgements

The authors thank the support and acknowledge the use of the facilities of the DCCEM, at the Materials Department, Oxford (EP/R010145/1). P. G. B. is indebted to the Engineering and Physical Sciences Research Council (EPSRC), including the



SUPERGEN Energy Storage Hub (EP/L019469/1), Enabling Next Generation Lithium Batteries (EP/M009521/1), Henry Royce Institute for Advanced Materials, and the Faraday for financial support. A. W. R. thanks the support of the Royal Society.

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