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Cation exchange synthesis of AgBiS₂ quantum dots for highly efficient solar cells†

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Silver bismuth sulfide (AgBiS₂) nanocrystals have emerged as a promising eco-friendly, low-cost solar cell absorber material. Their direct synthesis often relies on the hot-injection method, requiring the application of high temperatures and vacuum for prolonged times. Here, we demonstrate an alternative synthetic approach via a cation exchange reaction. In the first-step, bis(stearoyl)sulfide is used as an air-stable sulfur precursor for the synthesis of small, monodisperse Ag₂S nanocrystals at room-temperature. In a second step, bismuth cations are incorporated into the nanocrystal lattice to form ternary AgBiS₂ nanocrystals, without altering their size and shape. When implemented into photovoltaic devices, AgBiS₂ nanocrystals obtained by cation exchange reach power conversion efficiencies of up to 7.35%, demonstrating the efficacy of the new synthetic approach for the formation of high-quality, ternary semiconducting nanocrystals.

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He has been awarded the prestigious NanoMatFutur grant in 2022 from the German Federal Ministry of Education and Research (BMBWF) to develop and advance heavy metal-free nanocrystals for photovoltaic applications ("GreenDots").

Introduction

Metal chalcogenide quantum dots (QDs) have attracted great interest in the last decades due to their exceptional properties such as high colloidal stability, tunable band gap, and high absorption coefficient. These advantages make them highly promising for use in various applications: biological imaging,^{1,2} photodetection,^{3,4} photovoltaics,^{5–7} electrocatalysis,^{8,9} etc. The area of photovoltaics has seen significant advances over the past 15 years, with quantum dot solar cells reaching high power conversion efficiencies (PCEs), making them of great interest for commercialization.^{10,11} However, the most efficient devices are based on lead- and cadmium-based quantum dots, which when produced at mass scale are likely to pose dangerous risks to the environment. These concerns motivate the search for less toxic and heavy metal-free quantum dot materials for photovoltaics. Recent studies have demonstrated that certain binary (Ag₂S, Sb₂S₃), ternary (AgInS₂, AgBiS₂, AgSbS₂), and quaternary (Zn–Ag–In–S) quantum dots can serve as effective alternatives for solar cell applications.^{12–17} Also copper-based material compositions such as ternary (Cu–In–S) and quaternary (Zn–Cu–In–S) quantum dots have been investigated as environmentally-friendly solar cell absorbers, however, these materials require a Grätzel-type solar cell architecture.^{18–20} Ternary AgBiS₂ QDs have emerged as one of the most promising candidates for application in photovoltaics due to their optimal band gap (1–1.3 eV), high absorption coefficient, ease of synthesis and processing accompanied by high stability in the ambient.



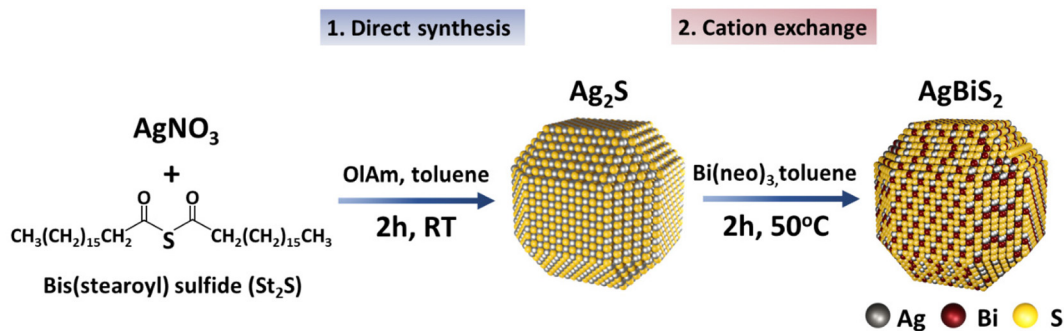


Fig. 1 Schematic representation of Ag_2S QDs synthesis and formation of AgBiS_2 via cation exchange at mild temperature.

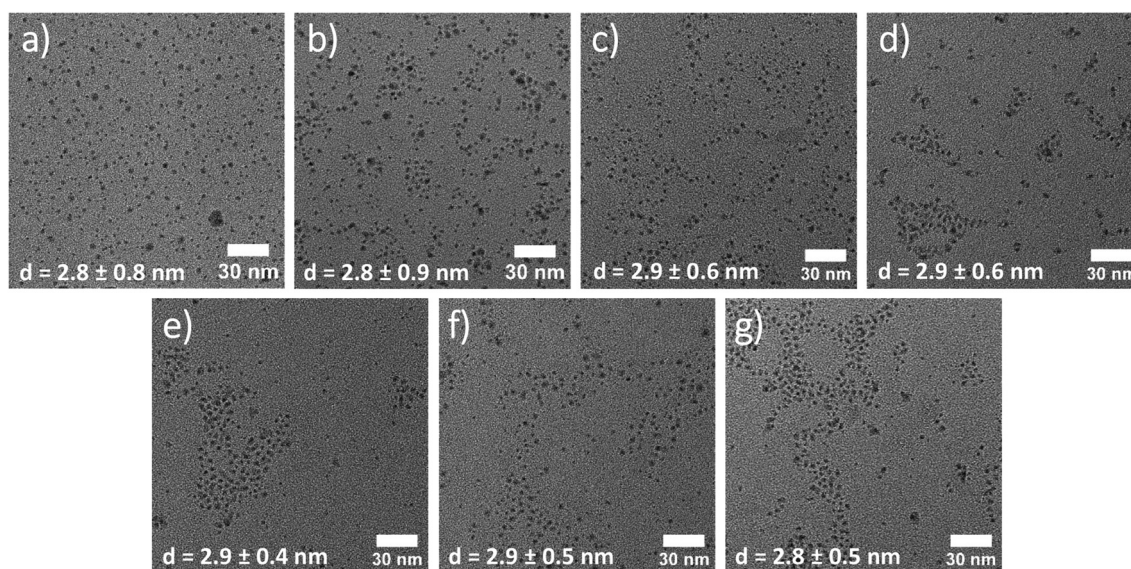


Fig. 2 TEM images of (a) Ag_2S QDs before and during the cation exchange at (b) 5 min, (c) 15 min, (d) 30 min, (e) 50 min, (f) 80 min, and (g) 120 min of reaction time. Scale bar 30 nm (bottom right) and average QD size and standard deviation (bottom left) for each image.

(see Fig. S1†). Upon the incorporation of Bi^{3+} the average size and shape of the QDs remain the same throughout the reaction. It is also noteworthy that the introduction of TOP into the reaction system does not lead to the full or partial dissolution of the quantum dots, but rather leads to a reduction of larger agglomerates that are present at earlier times of the reaction probably due to a better colloidal stability of the QDs after CE. Consequently, with progressing time of the cation exchange reaction the size distribution becomes narrower (see Fig. 2 and Fig. S1, ESI†).

Fig. 3a shows the evolution of the optical properties of the QDs throughout the cation exchange process. The absorbance of QDs in solution slightly red shifts already after 5 minutes of cation exchange and undergoes only minute changes after 15 minutes. While an absorption shift usually implies a change in the size of the quantum dots for a given material, in this case, it could also be an indication of a change in the band gap. Since bulk, stoichiometric Ag_2S exhibits a bandgap of 0.9 eV, while bulk AgBiS_2 exhibits a slightly smaller

bandgap of only 0.8 eV, a red shift, as observed, suggests a change in QD composition.⁴³ However, the shift in bandgap will also be affected by variations of the individual QD stoichiometry and the corresponding exciton Bohr radius of each material, which complicates a full understanding of the observed red-shift. Continuous absorbance measurements at a wavelength of 300 nm throughout the cation exchange process in Fig. 3b support the aforementioned observation that the cation exchange process to AgBiS_2 QDs progresses continuously with time and is not based on a full dissolution and new nucleation of nanocrystals. XRD measurements (Fig. 3c) confirm that the transformation from the monoclinic $\beta\text{-Ag}_2\text{S}$,⁴⁴ to cubic AgBiS_2 occurs already within minutes. Subsequent growth in intensity and decrease in peak width for reaction times up to 30 minutes could be an indication of an improvement in the crystallinity of the QDs.

To examine the compositional changes of the QDs upon cation exchange, X-ray photoemission spectroscopy (XPS) measurements were performed. The Ag 3d spectra (Fig. 4a)



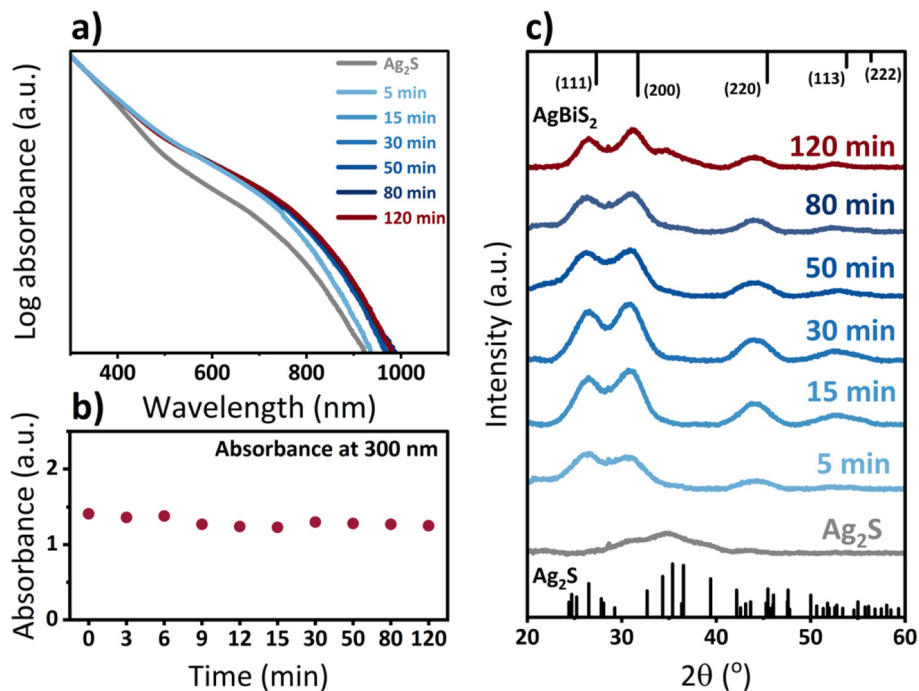


Fig. 3 (a) Absorbance spectra of Ag_2S -based QDs throughout the cation exchange reaction to AgBiS_2 at different reaction times, normalized to the absorbance at 300 nm, (b) comparison of absorbance at 300 nm, and (c) XRD patterns of original Ag_2S QDs and AgBiS_2 QDs at different times of CE reaction. Ag_2S and AgBiS_2 reference spectra in panel c correspond to crystallographic information obtained from ref. 44 and 45 (Ag_2S CCDC 1692248 and AgBiS_2 CCDC 1612237), respectively.

show the presence of a single doublet for both types of QDs, which confirm the absence of metallic silver in the QD films. Comparison of the Ag 3d spectra of Ag_2S and AgBiS_2 nanocrystals also reveals the absence of a clear shift in the binding energy upon cation exchange. In the spectrum of S 2p (Fig. 4b), on the other hand, a slight change in the peak position to lower binding energies upon cation exchange is observed, which may indicate a weaker interaction between silver and sulfur after bismuth incorporation. The Bi 4f spectrum consists of two sets of doublets, one associated with AgBiS_2 and an additional high binding energy doublet, which is attributed to the formation of bismuth oxide or hydroxylated bismuth species at the QDs surface.⁴⁶ The binding energies of the Ag 3d (367.8 and 373.8 eV), S 2p (160.8 and 162.1 eV) and Bi 4f (158.0 and 163.3 eV) doublets that originate from AgBiS_2 QDs are in agreement with previously reported data in literature.^{23,25,47}

The calculated atomic ratios of Ag, Bi, and S in Ag_2S and AgBiS_2 QDs are shown in Fig. 4c. We observe a sharp decrease in Ag content and an increase in Bi content within the first 30 min of cation exchange, which corroborates a successful exchange of cations. This is followed by a stabilization in the Ag and Bi composition, with a small decrease in bismuth content for very long cation exchange times. The final stoichiometry after 120 minutes is $\text{Ag}:\text{Bi}:\text{S} = 0.8:0.6:1$ (Table S1, ESI[†]). While this composition is clearly non-stoichiometric, AgBiS_2 QDs synthesized using the hot-injection method using either St_2S or TMS_2S precursors result in an even larger deviation

from the theoretical stoichiometry (Table S2, ESI[†]). The larger deviation in material composition in the hot-injection method is most likely a result from the formation of non-negligible amounts of silver oleates – and in the case of St_2S , silver stearates – in these synthetic procedures, which cannot be easily removed from QDs through the employed redispersion/precipitation procedures.⁴² These findings highlight, that synthesis *via* the cation exchange route provides a significantly higher control over the desired QD composition with potentially higher purity.

The synthesis of Ag_2S quantum dots using elemental sulfur S and TMS_2S as precursors under identical synthetic conditions resulted in the formation of larger Ag_2S QDs with sizes of 3.2 ± 1.0 nm and 3.5 ± 1.9 nm, respectively. Both precursors result in more polydisperse nanocrystals (see Fig. S2 and S3[†]). Attempts to perform a cation exchange for those Ag_2S QDs under identical conditions did not result in the formation of pure, high-quality AgBiS_2 QDs. For the largest Ag_2S QDs no cation exchange could be achieved, indicated by no changes in the diffraction pattern of these nanocrystals (Fig. S2[†]). While the diffraction pattern changes in the case of Ag_2S obtained by elemental sulfur, the absorbance spectrum differs from the one of AgBiS_2 obtained from St_2S -based Ag_2S QDs. Consequently, the integration of QDs based on TMS_2S and elemental sulfur as precursors resulted in very low photovoltaic (PV) performance (Fig. S4[†]). These results could indicate that the Ag_2S quantum dot size is crucial for a successful cation exchange with Bi^{3+} . To corroborate the size dependence, we





Fig. 4 XPS spectra of (a) Bi 4f and S 2p, (b) Ag 3d for Ag_2S and $AgBiS_2$ QDs after cation exchange of 120 min. (c) Comparison of the atomic ratio between Ag, Bi, and S at different cation exchange times.

synthesized larger Ag_2S dots based on St_2S . Quantum dots with an initial average size larger than 3 nm do not undergo the cation exchange reaction within 120 min under the same conditions (Fig. S5†). This suggests that only small Ag_2S quantum dots (<3 nm) can be converted into $AgBiS_2$ QDs sufficiently fast through cation exchange with Bi^{3+} . We note that also other factors may influence the efficacy of cation exchange, such as the choice of ligands, the quantum dot stoichiometry and the presence of defects, however the investigation of these goes beyond the scope of the current study and is subject to future research.

To explore the photovoltaic performance of the QDs formed by cation exchange, they were integrated into solar cells whose architecture is illustrated in Fig. 5a. In short, a thin SnO_2 layer was deposited as electron extraction layer (ETL) on top of pre-patterned ITO substrates. QDs were deposited in a layer-by-layer process employing a solid phase ligand exchange until a final layer thickness of ≈ 35 nm was achieved. Next, an ultra-thin poly[bis(4-phenyl)(2,4,6-trimethylphenyl)amine] (PTAA) hole extraction layer (HTL) was deposited.²⁷ The devices were completed with a thin layer of thermally evaporated MoO_3

(that increases the conductivity of the PTAA HTL by p-doping^{48,49}) and a Ag electrode. The PCEs and current density – voltage ($J-V$) curves of solar cells based on Ag_2S (0 min of CE) and $AgBiS_2$ (30 and 120 min of CE time) are shown in the Fig. 5b and c. It can be seen that while Ag_2S (0 min) demonstrates a very low efficiency, probably due to surface recombination and poor alignment between the ETL and the energy levels of Ag_2S . $AgBiS_2$ QDs, on the other hand, exhibit a significant improvement reaching an average performance of 3.31% (best 4.27%) after 30 min of reaction. Interestingly, a further increase in the cation exchange reaction time leads to a stark enhancement in solar cell performance, reaching to an average PCE of 6.76% (best 7.35%). While the open-circuit voltage (V_{oc}) is similar for both devices, the fill factor and especially the short-circuit current density are noticeably higher for the QDs after 120 minutes of CE compared to only 30 minutes of CE time (Table S3, ESI†).

Extending the reaction time of the cation exchange beyond 120 min causes the solar cell performance to drop significantly (Fig. S6†). While the exact origin of this decrease in performance remains elusive, the reaction conditions of the cation



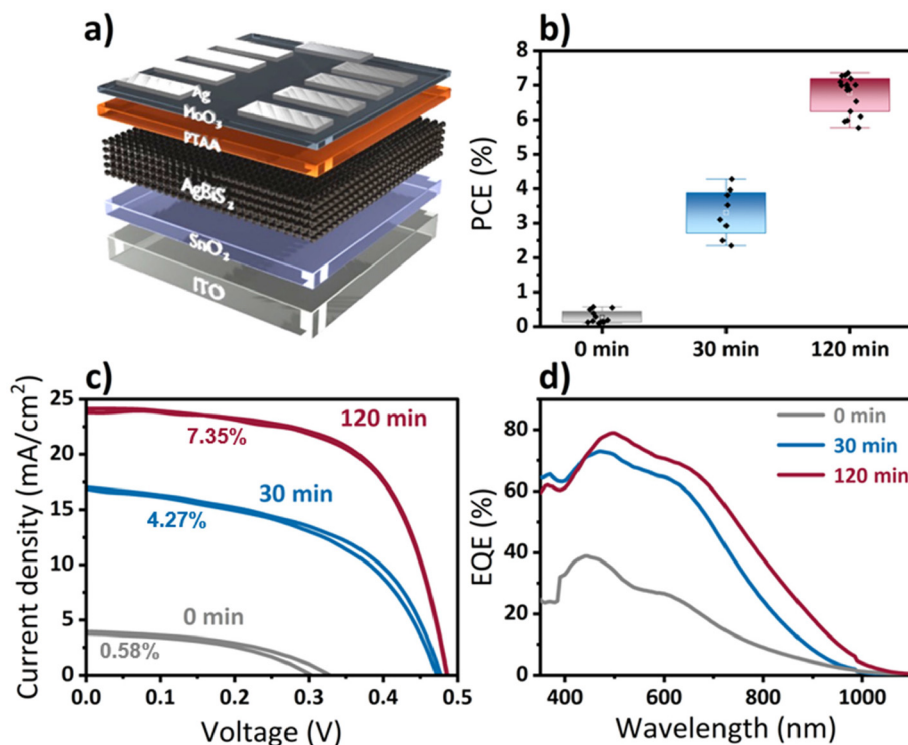


Fig. 5 (a) Schematic device architecture of AgBiS₂ solar cells, (b) Solar cell performance (PCE) of AgBiS₂ QDs obtained after different times of cation exchange, (c) *J*–*V* curves (forward and reverse scanning) of devices with different QDs after 0 min (Ag₂S), and AgBiS₂ after 30 min, and 120 minutes of cation exchange with individual PCE (d) corresponding EQE curves for these three devices.

exchange and the presence of oxidation-sensitive TOP and Ag⁺ species might affect the AgBiS₂ quality, composition or purity for such prolonged reaction times.

We would like to emphasize that the reported solar cell performance represents the performance level of the QD solar cell after one week. Our QD solar cells based on AgBiS₂ obtained *via* the cation exchange process exhibit a similar increase in performance within the first few days of storage in ambient as in the case of QDs synthesised *via* the hot-injection synthesis (Fig. S7†).²⁷ While the exact origin of this improvement remains an open question in the field, our findings suggest that the performance evolution of AgBiS₂ solar cell seems to be a universal observation for this device architecture and independent of the synthetic route. Similar observations were also reported for other types of quantum dot based solar cells,^{50,51} with the origin of this behavior remaining under debate.

The increase in current density for solar cells of 30 min and 120 min of reaction time is in agreement with the external quantum efficiency (EQE) spectra shown in Fig. 5d, where a maximum EQE of 80% is achieved at 500 nm for the 120 minutes CE devices accompanied by a clear red shift of the EQE onset. Similar changes in the EQE spectra have been attributed in literature to be a consequence of increased cation homogenization within AgBiS₂ quantum dots upon thermal annealing.^{27,44} We believe that a similar process gradually occurs as the duration of the cation exchange is increased. Cation exchange processes occur through the quantum dot

facettes at the surface of the QDs. Within the first minutes of the cation exchange process Bi³⁺ is incorporated into the quantum dot crystal lattice resulting in separated Ag- and Bi-rich domains, while the latter are most likely be in the proximity of the nanocrystal's surface. As the reaction time increases, the overall cation distribution becomes more homogenous across the QD, resulting in a red-shifted EQE, higher short-circuit current and an overall better photovoltaic performance, similar to the observations made by Konstantatos and coworkers,²⁷ albeit without the need for additional annealing. This simplified model is supported by a detailed examination of the XPS data for bismuth after different times of the cation exchange reaction (Fig. S8†). Higher amounts of bismuth at the nanocrystal surface would result in a higher fraction of hydroxylated or oxidic bismuth species after these QDs have undergone the normal washing procedure and film formation processing, while less of such species are to be expected for bismuth homogeneously distributed throughout the entire AgBiS₂ QD lattice. Fig. 6 depicts the process of homogenization of the cation disorder schematically and the evolution of the two bismuth species with respect to the reaction time. Our XPS studies show that the ratio between high-binding energy and low binding energy bismuth dramatically decreases by more than 50% with increasing cation exchange duration, while (as discussed above) the overall ratio of the total amount of bismuth to silver remains quasi stable for prolonged reaction times. The hydroxylated or oxidic bismuth



45 s with MPA (1% v/v in MeOH), and rinsed twice with methanol and once with toluene. This procedure was repeated three times. Prepared films were transferred into the glovebox and annealed at 115 °C for 10 min. PTAA solution (1.6 mg ml⁻¹ in toluene) was prepared and statically spun at 2000 rpm for 30 s. Lastly, 3 nm of MoO₃ and 80 nm Ag were thermally evaporated through a shadow mask with an pixel area of 4.5 mm².

Measurement and characterization

UV-VIS

A Jasco V-770 spectrometer was used to collect the absorption spectra in the ultraviolet-visible and the near infrared regions. Absorbance measurements were carried out in 1 cm wide quartz cuvettes.

X-ray diffraction

Diffraction measurements were carried out on a Bruker D8 Discover diffractometer, with a Cu anode ($K\alpha$ $\lambda = 1.5406$ Å) in a coupled Θ - 2Θ scan with a 1D-detector. Samples were prepared by drop-casting the nanoparticles solution onto a glass substrate with an area of 1 cm² without performing any ligand exchange procedures.

Transition electron microscopy

A Jeol JEM F200 with an acceleration voltage of 200 kV was used for transmission electron microscopy (TEM) at the Dresden Center for Nanoanalysis (DCN). Carbon grids were used as substrates for drop-cast QDs solutions, heavily diluted in toluene. The average QD size was calculated by measuring the diameters of at least 100 nanoparticles.

X-ray photoemission spectroscopy

X-ray photoemission Spectroscopy (XPS) measurements were carried out on an ESCALAB 250Xi by Thermo Scientific in an ultrahigh vacuum chamber (base pressure: 2×10^{-10} mbar) with an XR6 monochromated Al $K\alpha$ X-ray source ($h\nu = 1486.6$ eV) and a pass energy of 20 eV. Samples were deposited *via* drop-casting on ITO substrates and annealed at 115 °C for 10 min without performing any ligand exchange procedures. The C 1s state (284.8 eV) was used as a reference to calibrate the binding energy for all presented XPS spectra due to minute differences in surface charging.

Solar cell characterization

A Keithley 2450 source measure unit and Abet A+++ solar simulator with an AM 1.5 filter were used for current-voltage measurements. External quantum efficiency (EQE) measurements were performed on a self-built setup with a halogen lamp, monochromator, beam splitter and a calibrated photodiode and filters. The intensity of the solar simulator illumination was corrected in accordance with a calculated mismatch factor from the EQE measurements and calibrated with a silicon reference cell (NIST, traceable) to 1 sun (100 mW cm⁻²). Solar cells were measured each day, starting at day 0, directly

after fabrication. During the measurements, the samples were stored in ambient conditions (20 °C < T < 23 °C; 30% < R.H. < 65%) in a cabinet. The performance increases within the first few days, reaches a maximum after 4–5 days and remains roughly stable before slow degradation sets in after around 21 days (Fig. S7†). This behavior is common for quantum dot based solar cells.⁵⁰

Author contributions

A. S. conducted the cation exchange synthesis, characterization of QDs and fabrication of solar cells. A. P. provided the St₂S precursors and performed TEM analysis. A. W.-L. performed XPS measurements and analysis together with Y. V., F. P. conceptualized and supervised the project. All authors contributed to the writing, editing and commenting of the manuscript.

Conflicts of interest

There are no conflicts to declare.

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