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Stability and decomposition pathways of the NiOOH OER active phase of NiO_x electrocatalysts at open circuit potential traced by *ex situ* and *in situ* spectroscopies†

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Ni based materials are studied widely for their application as electrocatalysts for alkaline water electrolysis. To further understand and improve these materials, the study of the oxygen evolution reaction (OER) active NiOOH phase is necessary. However, the reversibility of the phase transition from Ni(OH)₂ to NiOOH renders the study of the active phase with *ex situ* techniques difficult. Therefore, we have investigated the pathways and time evolution of the NiOOH decomposition at open circuit potential after the chronamperometric polarization of sputtered NiO thin films. The samples are characterised *in situ* and *ex situ* using Raman and X-ray photoelectron spectroscopy, respectively. We show that the NiOOH phase formed under OER conditions ($U > 1.5$ V, 1 M KOH, room temperature) quickly degrades to Ni(OH)₂ when set to open circuit potential both inside and emersed from the electrolyte. We demonstrate that NiOOH can be detected *ex situ*, but only within a limited timeframe unless it is stabilised in a vacuum environment. H₂O adsorption in ultra-high vacuum reveals that the reduction of NiOOH requires protons and electrons, which need to be generated by an oxidative reaction. Our results emphasize the importance of using *in situ* methods for the characterization of the active phase of the electrocatalyst to observe and understand in detail the processes occurring when analyzing post-catalysis samples by *ex situ* techniques.

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Introduction

The future demand for green hydrogen necessitates the implementation and optimization of water electrolysis technology, which is an environmentally friendly option if renewable energies are used for its operation.¹ Within the current water electrolysis technologies, alkaline water electrolysis (AEL) has been shown to be more economical for large-scale hydrogen production compared to proton exchange membrane water electrolysis (PEM) and solid oxide electrolysis cells (SOEC).² Also, in AEL, non-precious metal catalysts are used both for the hydrogen evolution reaction (HER) and the oxygen evolution reaction (OER).^{3–5} For

instance, nickel-based catalysts have been widely studied and optimised due to their promising performance.⁶ When using NiO as a catalyst, immersion in KOH will form a thickening Ni(OH)₂ layer.⁷ The hydroxylated Ni can be anodically oxidised to NiOOH. Here, the scheme from Bode *et al.*⁸ is generally accepted: Ni(OH)₂ appears in the α - and β -phase, both of which are crystallised in a brucite structure, but α -Ni(OH)₂ having intercalated water molecules or additional anions. Upon electrochemical polarization, α -Ni(OH)₂ transforms to γ -NiOOH, still interlaid with water molecules and ions, whereas β -Ni(OH)₂ transforms to the denser β -NiOOH phase. Transformations within the (oxy)hydroxide phases occur for α - to β -Ni(OH)₂ *via* ageing in alkaline solution, *i.e.*, dehydration. The β - to γ -NiOOH transformation occurs *via* overcharging, that is, further oxidation.⁸ In alkaline OER, NiOOH is supposed to be the electrocatalytically active phase.^{9–12} A meaningful study of the active phase is only possible under *in situ* or operando conditions, which can be achieved in, *e.g.*, Raman spectroscopy^{11–17} or X-ray absorption spectroscopy.^{17–20} According to the Pourbaix diagram of Ni, NiOOH is only stable in a potential window of about 0.90–1.25 V vs. SHE at pH 14.²¹ Under experimental conditions, we investigate the reversibility of the phase transformation

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between Ni(OH)₂ and NiOOH on sputtered NiO thin films in 1 M KOH. Understanding the stability of the NiOOH phase and the Ni^{III}/Ni^{II} reduction rate is crucial to interpret experimental results, which are performed outside the stability potential window of NiOOH. The instability of the OER active NiOOH phase is proven *in situ* and *ex situ* using Raman and X-ray photoelectron spectroscopy. The decomposition is studied in different environments, being 1 M KOH electrolyte, vacuum, air, and vacuum with up to 10⁻² mbar pure H₂O. The measurements reveal different reduction rates of NiOOH depending on the initial state of the sample and the environment during the reduction. Additionally, we present a mechanism for the decomposition of NiOOH inside 1 M KOH, in ultra-high vacuum (UHV) but exposed to H₂O, and under ambient conditions.

Experimental

Sample preparation

Gold plates of 12 mm diameter and 1 mm thickness (Schiefer & Co., 99.9% purity) were used as substrate. After polishing with 0.5 μm alumina paste (MicroPolish Alumina, Buehler), they were cleaned sequentially in an ultrasonic bath with acetone, isopropanol and Millipore water for ten minutes each and loaded into the deposition chamber, which is a UHV chamber containing a magnetron sputtering source from MeiVac (MAK 2"). The chamber is part of the DAISY-FUN cluster tool (DArmstadt's Integrated SYstem for FUNdamental research), integrating thin film preparation and surface analysis. Before launching the coating process, the pressure was lowered to 2 × 10⁻⁸ mbar to ensure clean deposition conditions.

NiO thin films were then prepared by DC magnetron sputtering using a Ni target (Kurt J. Lesker with purity 99.99%). Two mass flow controllers (MKS) were used to introduce 0.2 sccm of O₂ and 19.8 sccm of Ar (Air Liquide with purity 99.995% and 99.999%, respectively). The working pressure was set to 3 × 10⁻² mbar and the plasma power to 15 W, while having a substrate to target distance of 20 cm. Two different NiO thicknesses were prepared: 120 nm and 6 nm. The deposition rate was calibrated following a linear extrapolation of three different deposition rate values measured with a profilometer and atomic force microscopy (AFM).

Raman spectroscopy

Spectra were acquired with a LabRAM Horiba HR-800 Raman microscope with a 633 nm laser. The power density was 1.9 mW at a spot size of 1.5 μm for a 50× long working distance objective. A 600 grooves per mm grating was chosen, the diameter of the pinhole was set to 200 μm and the entrance slit size to 200 μm. Spectra were accumulated 13 times for 10 s acquisition time per scan.

For *in situ* measurements, a commercial cell named "TSC Raman" from rhd instruments was used. It was operated in a three-electrode configuration. As counter electrode, a gold-

plated ring is installed in the base and as reference electrode a leak-free micro Ag/AgCl electrode from Innovative Instruments is used and calibrated against a reversible hydrogen electrode (RHE, HydroFlex, Gaskatel). Potential control was performed with a potentiostat from Gamry instruments (Interface 1000E). For plotting and interpretation of the Raman spectra a linear background was subtracted in the regions of interest.

Electrochemical measurements

Ex situ electrochemical measurements were conducted in a three-electrode setup in a PECC-2 cell from Zahner Elektrik, controlled by a potentiostat from Gamry Instruments (Interface 1000E). All measurements were performed in 1 M KOH (Carl Roth, ICP-OES: <0.6 ppb Fe). Before the measurement, a Hg/HgO reference electrode from ProSense was calibrated against the RHE (HydroFlex, Gaskatel), and the counter electrode was made of a platinum wire. By an electrochemical impedance measurement at open circuit potential (OCP), the current response during cyclic voltammetry was manually *iR*-corrected in the data post-treatment. Samples for *ex situ* spectroscopic measurements were exposed to 800 CVs between 1.10–1.55 V *vs.* RHE with a scan rate of 100 mV s⁻¹. Additionally, 3 CVs before and after the conditioning were measured between 1.00–1.90 V *vs.* RHE with a scan rate of 50 mV s⁻¹. The number of 800 CVs was chosen as this transformed most of the 6 nm NiO thin film to Ni(OH)₂ (Fig. 4 and S2b†). To polarise the thin film, and to create the NiOOH phase, chronoamperometry (CA) was employed at 1.50–1.90 V *vs.* RHE for 30 min, corresponding to a potential above the Ni²⁺/Ni³⁺ oxidation wave.

X-ray photoelectron spectroscopy

For the X-ray photoelectron spectroscopy (XPS) measurements, a SPECS PHOIBOS 150 spectrometer implemented at the DAISY-FUN cluster tool was used. It is equipped with an Al K_α X-ray source (monochromatic Focus 500 with XR50 M (SPECS), *hν* = 1486.74 eV). Survey and detail spectra were measured in fixed analyser transmission mode, while choosing a pass energy of 20 eV (step size of 0.5 eV) for the survey and 10 eV (step size of 0.05 eV) for the core levels. The system was calibrated to 0.00 eV binding energy of the Fermi level of sputter-cleaned Au and Cu as well as to the emission lines of Au 4f_{7/2} at 83.98 eV, Ag 3d_{5/2} at 368.26 eV, and Cu 2p_{3/2} at 932.67 eV binding energy with deviations ≤0.1 eV. The data analysis was performed with CasaXPS, version 2.3.22.²² The core level spectra were fitted with a Shirley background and peaks of a GL(30) line shape. Intensity calculations were done based on relative sensitivity factors published by Scofield.²³

Scanning Electron Microscopy (SEM) and Grazing-Incidence Wide-Angle X-ray Scattering (GIWAXS)

Scanning electron microscopy (SEM) was employed to characterise the surface morphology of the films. The



measurements were performed on a Zeiss Gemini 500 field emission microscope at the GSI Helmholtz Centre for Heavy Ion Research.

Grazing-Incidence Wide-Angle X-ray Scattering (GIWAXS) was carried out on a Rigaku Smartlab (Cu K_{α} radiation) diffractometer in a grazing incidence setup with $\theta_{\text{source}} = 0.3^{\circ}$. Data were recorded between 5° and 90° (in units of 2θ) using a step size of 0.01° . For peak assignment the ICDD database was used for Au (PDF #04-0784) and NiO (PDF #44-1159).²⁴

Results and discussion

As shown in the following section, the transformation of NiOOH in different media depends on the material's phase and structure before the chronoamperometric creation of the NiOOH phase. In this article, we worked with NiO thin films on Au, which show a very dense and homogeneously distributed film morphology with a polycrystalline structure in well-separated pillars as shown in the SEM images (Fig. 1). By XRD, the preferential orientations of NiO ((101), (012), and (110)) were determined (Fig. S1d†). After electrochemical conditioning (800 CVs between 1.10–1.55 V vs. RHE), the NiO film undergoes cracking and delamination (Fig. 1b). Still, we continued using the Au substrate as it shows only little electrochemical activity in 1 M KOH (Fig. S3†) and because we obtained a better Raman signal of the Ni phases when using the 633 nm laser, compared to measuring on a Ni substrate with a 532 nm laser. We studied thin films of 6 nm and 120 nm thickness. The thinner 6 nm sample was used in XPS measurements to probe through the thin film and down to the substrate and therefore allowing us to study the full film thickness. The 120 nm thin films were used both in XPS and Raman. The thicker material resulted in more defined Raman spectra, which was useful to study the kinetics of the NiOOH decomposition.

Phase instability of NiOOH followed by *in situ* electrochemical Raman spectroscopy

Thus far, several papers report the detection of NiOOH by *in situ* Raman spectroscopy. Two dominant peaks are commonly assigned to the E_g (480 cm^{-1}) and A_{1g} (560 cm^{-1}) lattice vibrations of NiOOH.^{11,25,26} Fig. 2 shows the *in situ* Raman spectra of two 120 nm NiO thin films. In a) the spectra of an untreated thin film are plotted, in b) the sample was conditioned with 800 CVs before the Raman measurements. The spectra are overlaid with their real intensities for a direct comparison of the spectral line shapes. First, we analysed the pristine sample at OCP before chronoamperometry (label: BE), and we assigned distinct phonon and magnon scattering at $\sim 380\text{ cm}^{-1}$ (1TO), $\sim 420\text{ cm}^{-1}$ (1TO), $\sim 460\text{ cm}^{-1}$ (1TO), and $\sim 520\text{ cm}^{-1}$ (1P + 1M).^{27,28} No $\text{Ni}(\text{OH})_2$ vibrations were observed as the hydroxide phase was not measured due to its low Raman scattering cross section or high structural disorder (Fig. S3a†).^{9,29–32} *In situ* polarization at 1.50 V vs. RHE (label: CA) immediately leads to the emergence of the mentioned

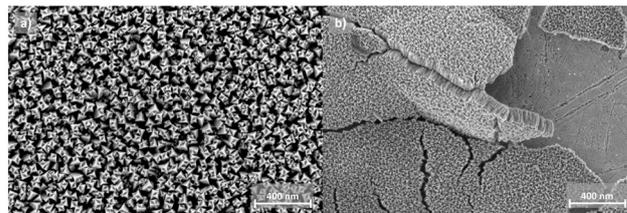


Fig. 1 SEM images of a 120 nm NiO thin film on Au a) before and b) after electrochemistry.

NiOOH vibrations. After stopping the polarization, that is at open circuit condition, the NiOOH phase relaxes, which is shown in sequential Raman spectra recorded as a function of time (Fig. 2, 3 and 5). As the E_g and A_{1g} vibrations of NiOOH are decaying, the spectra transform back to the one before electrochemistry. We refrained from fitting these spectra, as the overlap and possible shifting of vibrations during the phase transformation could likely cause too many fitting parameters and thereby overfitting of the data.

Fig. 2 already shows that the Raman peaks, that correspond to NiOOH, are decaying slower in the sample that was conditioned to more $\text{Ni}(\text{OH})_2$ by 800 CVs (2b). This is further discussed with respect to Fig. 3, where the instability of NiOOH inside the electrolyte with regard to time and OCP is shown. After stopping the polarization at 1.50 V vs. RHE (30 min), the vibrations of the NiOOH phase last for a specific amount of time, where the NiOOH lifetime depends on the film pre-treatment. In the non-conditioned NiO thin film (Fig. 3a and b), most of the NiOOH signal disappears after 18 to 24 min. During this time, the OCP drops from 1.50 V to 1.18–1.26 V vs. RHE. This correlates with the low potential boundary of the reduction wave of Ni^{III} to Ni^{II} in cyclic voltammetry (Fig. S2b†). After 36 min, the OCP stays constant, implying that the backward phase transformation is completed and the capacitor is stabilized.

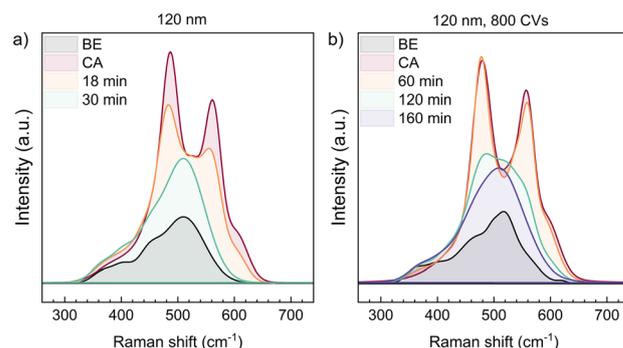


Fig. 2 Overlapped *in situ* Raman spectra of NiOOH reduction for two 120 nm NiO samples in 1 M KOH: a) before conditioning, in b) the sample was conditioned by 800 CVs to form more $\text{Ni}(\text{OH})_2$. At 1.50 V vs. RHE (labelled "CA"), vibrations of NiOOH at 480 cm^{-1} and 560 cm^{-1} appear. The spectra are overlaid with spectra taken "x min" after stopping chronoamperometry. They show the gradual transformation of the spectral line back to the one characteristic for NiO before electrochemistry (labelled "BE").



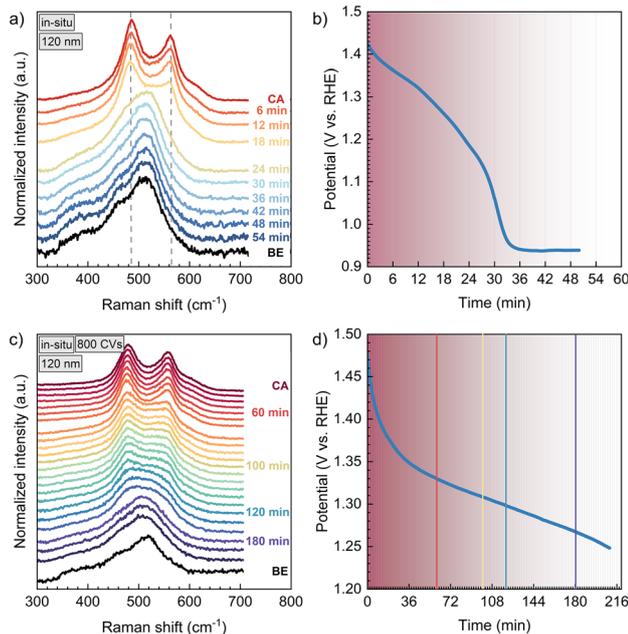


Fig. 3 *In situ* Raman spectra of a 120 nm NiO thin film without electrochemical activation treatment (a and b) and after a conditioning by 800 CVs (c and d). The labels indicate the time the spectrum was taken after stopping chronoamperometry at 1.50 V vs. RHE (labelled “CA”). The NiOOH vibrations at 480 cm^{-1} and 560 cm^{-1} formed during CA are decaying outside polarization until the spectrum equals again the one of the pristine sample in the electrolyte, labelled as “BE” (before electrochemistry). On the right, the corresponding OCP decay over time after stopping chronoamperometry is plotted.

Fig. 3c) shows the sequential Raman spectra of a 120 nm thick NiO film, which was conditioned by 800 CVs between 1.10–1.55 V vs. RHE before *in situ* spectroscopy. This conditioning step transforms more NiO into Ni(OH)₂, whereby more NiOOH is created during CA. As a result, it takes more than 120 min until most of the Ni³⁺ phase has decomposed, compared to the 30 min for the non-conditioned sample. This is accompanied by a slower OCP decay, which takes more than 180 min to approach a potential at the lower limit of the Ni^{III}/Ni^{II}-reduction wave of this sample (Fig. S2a†).

Phase instability of NiOOH in air, vacuum and H₂O atmosphere

Since many characterization techniques for electrocatalytic reactions are usually operated *ex situ*, having a stable material phase becomes crucial. Therefore, the instability of NiOOH in 1 M KOH raises the question if the active OER phase can still be detected *ex situ* after taking out the sample from the electrochemical cell. Also, studying the NiOOH phase stability in different environments allows us to deduce a possible reduction mechanism for these conditions.

Fig. 4 shows the O1s XPS spectra of a 6 nm NiO thin film. After conditioning with 800 CVs, where the sample is taken out of the electrochemical cell at a potential of 1.00 V vs. RHE, the sample was rinsed with Millipore water and

transferred into UHV. We detect Ni(OH)₂ at 531.3 eV, carbon compounds at 532.9 eV, and only a little signal of NiO is left at 529.2 eV.^{33–36} Measuring a hydroxylated thin film of 6 nm with XPS, we probed nearly or fully through the total film thickness as the escape depth is approximately 4.5–6.4 nm for the O1s core level electrons, using an IMFP of 2.206 nm for O1s photoelectrons in Ni(OH)₂.^{37,38} Therefore, the remaining NiO signal in the O1s feature is the only or major NiO present throughout the film down to the substrate. After 30 min CA at 1.55 V vs. RHE, the potentiostat was switched off and the sample was quickly taken out of the electrochemical cell. It was rinsed with Millipore water and then transferred within 10 minutes into vacuum. The resulting O1s line shows a strong signal around 529.1 eV binding energy. Since we have shown that in the whole film only a little NiO was present before CA – and as a phase transformation back to NiO is unlikely^{21,39} – the emerging peak at 529.1 eV cannot be NiO. Therefore, we can confidently assign this peak to NiOOH.

The O1s core level region was fitted with two equally sized peaks for the oxy- and hydroxyl-groups of NiOOH respectively.⁴⁰ Further constraints implemented in the fitting are outlined in the ESI.† Our fitting of the NiOOH portion in the sample is in contrast to some papers that have considered only one NiOOH peak above 530 eV.^{41–45} Surely, the details can be discussed further, since two peaks with the same area, as we used here, assumes a perfect stoichiometry of the NiOOH phase, for instance. But our data clearly shows

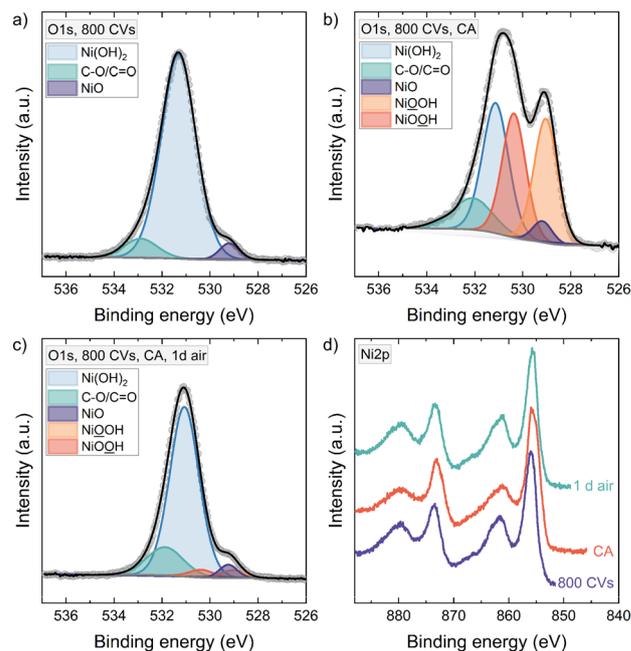


Fig. 4 XPS spectra of the fitted O1s and Ni2p regions of a 6 nm NiO thin film after an activation treatment of 800 CVs. a) with 800 CVs, NiO was almost completely transformed to Ni(OH)₂. b) after CA at 1.55 V vs. RHE, NiOOH is detected. c) Keeping the portion of the NiO peak constant in the fitting of the O1s region, little NiOOH is needed to fit the spectrum after storing the sample for one day in atmospheric conditions. d) Ni2p lines after 800 CVs, CA, and storage in air.



that at least the peak at 529.1 eV belongs to NiOOH. After storing the sample for one day in air, the NiOOH signal diminished, proving the instability of NiOOH also outside the electrolyte.

In the Ni2p feature, the main peak at 856.0 eV is typical for Ni(OH)₂.^{33,36} After CA an asymmetry towards lower binding energy develops. Combined with the O1s, we know this is not from NiO, but due to the formation of NiOOH, and the shape compares well with the Ni2p spectrum of Grosvenor *et al.* for β - and γ -NiOOH.⁴⁶ Similar asymmetric Ni2p shapes have also been observed in our previous work on the activation of electrochemically deposited Ni(OH)₂ thin films.⁹ The phase transition also leads to a broadening of the satellite peak at about 861.6 eV, a slight shift to lower binding energy and reduced intensity compared to the main peak.⁴⁶ After CA, the valence band maximum shifts down by 0.65 eV and recovers by 0.57 eV after exposing the sample 1 d to air (Fig. S4†).

The instability of the NiOOH phase is reflected in Raman spectroscopy as well. Fig. 5 compares the *ex situ* relaxation of two samples of 120 nm NiO each, conditioned by 800 CVs. Foremost it can be stated that the reduction of NiOOH proceeds similarly as *in situ*. *Ex situ*, the first Raman spectrum could be recorded 10 min after stopping the polarization, which was done for 30 min at 1.90 V vs. RHE. Already then, the NiOOH phase has largely decomposed and the E_g and A_{1g} vibrations contribute only weakly to the total Raman signal (Fig. 5a). However, in Fig. 5b), we show a similar sample, which was not rinsed with Millipore water after withdrawing it from of the electrolyte and only blow-dried with N₂. Employing this procedure, the NiOOH phase seems to be stabilised. XPS measurements (Fig. S6†) detect KOH and air-formed K₂CO₃ (ref. 47) on the sample surface, which suggests, that they are acting as a protection layer on the NiOOH surface phase, preventing a fast phase decay to

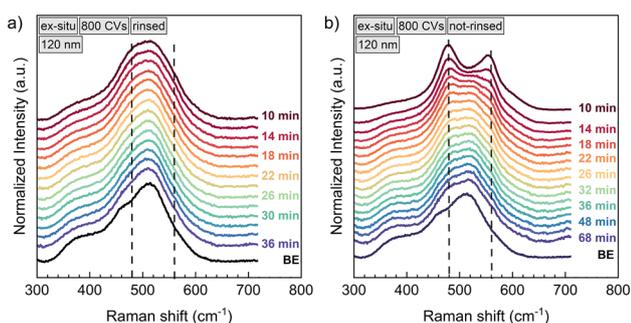


Fig. 5 *Ex situ* Raman spectra of a 120 nm NiO thin film, that was conditioned with 800 CVs. After polarization at 1.90 V vs. RHE the sample was once rinsed with Millipore water (a) and once only dried with nitrogen (b). The labels indicate the time the Raman spectrum was taken after stopping chronoamperometry. The NiOOH vibrations at 480 cm⁻¹ and 560 cm⁻¹ formed during chronoamperometry are decaying outside polarization and the spectrum approaches the one of the pristine sample, labelled as “BE” (before electrochemistry). The reduction of NiOOH happens faster than *in situ*, which is shown in Fig. 2. b) Not-rinsing the sample results in a longer time period for the decomposition of NiOOH.

Ni(OH)₂. This argumentation will be discussed further in the last section about the reduction mechanism of NiOOH.

To further understand the instability of NiOOH in different conditions and possibly the reduction mechanisms of the phase, a water adsorption experiment was performed. Thereby the XPS spectra of the active phase were analysed after storing the sample in vacuum *versus* exposing it to pure H₂O inside the vacuum. Fig. 6 shows the sequence for a 120 nm sample, conditioned by 800 CVs. Again, NiOOH is detected after CA (Fig. 6b). Exposing the phase to increasing water pressures from 10⁻³ to 10⁻² mbar leads to a reduction of the NiOOH signal. On the other hand, the phases are stable when storing the sample for 16 h in vacuum (Fig. 6d and e).

Reduction mechanism of NiOOH in different media

According to our findings, we can state that NiOOH is stable in vacuum but unstable in contact to H₂O, air, and 1 M KOH. Based on these results, we propose a possible mechanism for the reduction of NiOOH. The water adsorption experiment reveals that only H₂O is needed to reduce NiOOH in the absence of an applied potential. If only H₂O is present, to obtain the protons and electrons needed for the reduction of NiOOH to Ni(OH)₂, an OER reaction needs to occur on the

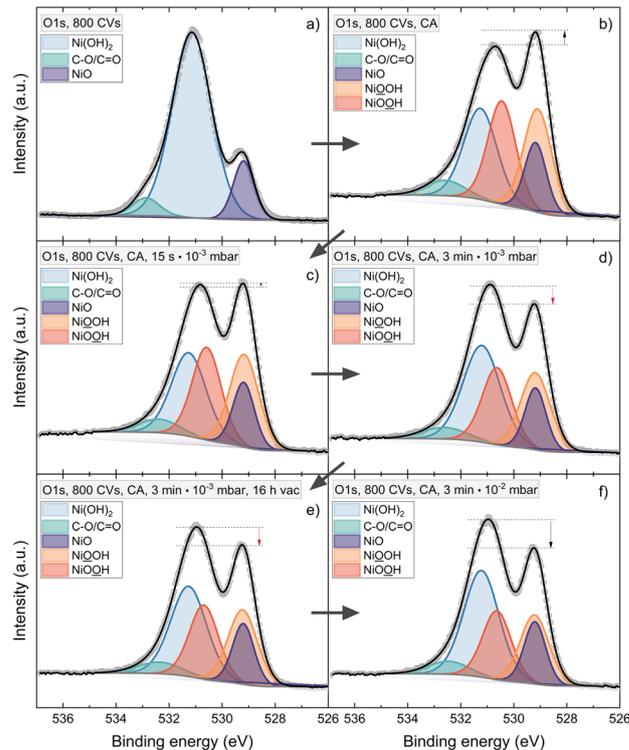


Fig. 6 XPS spectra of the O1s line of a 120 nm NiO thin film with an activation treatment of 800 CVs. (a) Situation before *ex situ* treatments. After *ex situ* chronoamperometry (CA) at 1.50 V vs. RHE (b), the sample was exposed *in vacuo* to increasing amounts of water vapor (c, d and f). The fitting shows the decrease of NiOOH in contact with water. The stability in vacuum is shown in e) compared to d).



Author contributions

Julia Gallenberger: investigation, analysis, writing-original draft. Harol Moreno Fernández: investigation, methodology, writing. Achim Alkemper: methodology, conceptualization, proofreading. Li Mohan: SEM measurements. Chuanmu Tian: XRD measurements. Bernhard Kaiser: proofreading, supervision. Jan Philipp Hofmann: proofreading, supervision, funding acquisition.

Conflicts of interest

There are no conflicts to declare.

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