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## Effects of a polystyrene intermediate layer for improved electrochromic properties of nano porous WO<sub>3</sub> electrochromic films

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Nanostructured electrochromic materials having long life cycle and high chemical stability are promising for displays, rear view mirrors, and smart window applications. In the present study, nano tungsten trioxide (WO<sub>3</sub>) films are prepared using a low-cost conventional spin coating method. The porosity of the WO<sub>3</sub> layers is developed using a polystyrene intermediate layer in order to improve the cyclic stability of the film. The structural characterization using X-ray diffraction confirms the formation of the orthorhombic phase. Surface studies via atomic force microscopy and scanning electron microscopy show a porous network along with a change in the roughness of the films. Electrochromic study using a potentiostat, UV-visible spectrophotometer and the voltammetry method indicate that a porous crystalline WO<sub>3</sub> has a stable coloration efficiency, durability, and fast response to the electrochromic cycle with higher transmittance in comparison with nonporous WO<sub>3</sub> films. The crystalline film with a porous structure shows a transmission modulation of about 65.3% and 10% more coloration efficiency than the nonporous film. The electrochromic properties of the crystalline nonporous film deteriorate at/after 500 °C. The porous structure improves the chemical stability of the film with long durability and solves the problem of degradation of the film after long hours of operation for an electrochromic device.

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### 1. Introduction

Progress in nanotechnology creates numerous materials with good physical and chemical properties for different applications.<sup>1–3</sup> One of such materials is the nano tungsten oxide (WO<sub>3</sub>), which is widely known as an electrochromic (EC) material. Electrochromic WO<sub>3</sub> has application as rearview mirrors, automobile glazing, smart sunglasses, and smart windows.<sup>4–6</sup>

Since the first reported work on the electrochromism of WO<sub>3</sub>,<sup>7</sup> this material has been continuously researched for improving its properties, understanding its physics and developing devices using WO<sub>3</sub>.<sup>6,8–10</sup> In general, there are interstitial sites in WO<sub>3</sub> where foreign materials or ions can be inserted easily to change

the behaviour of the EC material.<sup>11,12</sup> It is known that in its fully occupied state WO<sub>3</sub> is transparent but it reaches the EC state after electrochemical reduction.<sup>13</sup>

Currently, the anodic oxidation method is normally used for the manufacture of WO<sub>3</sub> thin films.<sup>14,15</sup> Porosity, thickness, crystallinity, composition, and stoichiometry are important factors that influence the electrochromic properties of WO<sub>3</sub> thin film.<sup>16–19</sup> Our previous study on a WO<sub>3</sub> film synthesized by a chemical method shows that the film exhibited optimum electrochromic properties in its crystalline phase; however, the chemical stability and coloration efficiency of the film deteriorates after a long cycle of operation.<sup>20</sup> Therefore, there is a need to improve the electrochromic behaviour of the film by maintaining the stability of the electrochromic properties during applications. It is reported that a high film porosity will enhance the surface area and improve the electrochromic properties of films.<sup>21,22</sup> The growth of a porous electrochromic film can increase the color/bleach rate, extend durability, stabilize electrochromic properties, and increase its lifetime.<sup>1,6,12</sup> The production of environment friendly WO<sub>3</sub> can advance the research in the electrochromic film fabrication and its application in glass window films. The goal of this study is to improve the chemical stability of the film by prolonging the cyclic durability and improving the electrochromic properties without degradation

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for device application. Therefore, in this study, nano porous  $\text{WO}_3$  films are prepared with a solgel processed precursor solution using a low-cost conventional spin coating method. The porosity of the  $\text{WO}_3$  layers is generated using the polystyrene as an intermediate layer. The porous crystalline  $\text{WO}_3$  film shows a stable coloration efficiency of  $24 \text{ cm}^2 \text{ C}^{-1}$ , transmittance modulation effect of 65.3% and good electrochromic properties up to 1000th cycle of operation, which is much better than other reported literature data.<sup>20–23</sup> In literature, only few doped films show good coloration efficiency but they degrade after long cycle of operations, while other few films are not stable without doping that changes the electrochromic properties after a cycle of operation.<sup>22,23</sup> Herein, the observed electrochromic properties are much better in the prepared pure porous crystalline  $\text{WO}_3$  film in terms of coloration efficiency and transmission modulation compared with nonporous  $\text{WO}_3$  films.

## 2. Experimental details

Nano porous  $\text{WO}_3$  films were prepared using spin coating and solgel method. The precursor solution was synthesized by the sol-gel method.  $\text{WCl}_6$  was dissolved in isopropanol and acetic acid. Acetic acid played the role of a chelating agent for the preparation of the precursor. The precursor solution was stirred fully for 30 min and then 2 ml of  $\text{H}_2\text{O}_2$  was added into the precursor to act as a strong oxidizing agent. Then, the spin coating of  $\text{WO}_3$  was done on an indium tin oxide (ITO) substrate. The ITO substrate was ultrasonically cleaned in acetone, isopropanol and deionized water, respectively, and then dried by blowing nitrogen. First, one  $\text{WO}_3$  layer was prepared by spin coating on an ITO substrate at 300 rpm. Then, the films were heat-treated at  $100^\circ\text{C}$  to remove the solvent. Then, the polystyrene latex microsphere (0.2 micron) dispersed in 2.5 wt% water was deposited on the  $\text{WO}_3$  layer, which acted as an intermediate layer in order to generate the porosity of the  $\text{WO}_3$  film. Furthermore, a second layer of  $\text{WO}_3$  was deposited above the polystyrene layer using spin coating at 3000 rpm. The deposited film on the ITO with polystyrene was heated at a temperature of  $200^\circ\text{C}$  to remove the polystyrene and make the film porous. As per our previous study in this case, the thickness of the porous film was also kept at about 150 nm. Further heat treatment was performed at a rate of  $5^\circ\text{C min}^{-1}$  at 250 and  $500^\circ\text{C}$  for 2 h for the phase formation of  $\text{WO}_3$ . The details about the phase formation, preparation and deposition of  $\text{WO}_3$  films using the spin coating method were mentioned elsewhere.<sup>20</sup>

The structural properties of the prepared films were studied by a D/Max-II X-ray diffractometer (XRD) with  $\text{CuK}\alpha$  radiation. The surface morphology the films was studied using a XL 40 Philips scanning electron microscope (SEM) at an operative voltage of 10 kV. The accelerating voltage of the SEM was in the range of 5–30 kV. Atomic force microscopy (AFM) images were acquired using a Digital Instrument INC, NanoScope, California, USA. The electrochromic properties of the films were studied by cyclic voltammetry. The cyclic voltammogram test was carried out on a Versastat II electrochemical workstation of a three-electrode

cell system with an electrolyte solution of 0.5 M lithium perchlorate in propylene carbonate ( $\text{LiClO}_4/\text{PC}$ ). The working electrode was made of tungsten oxide film on the ITO substrate. The reference and counter electrodes were a saturated calomel electrode (SCE) and a platinum grid, respectively. The transmittance spectra of the films were recorded in the 300–800 nm wavelength range using the Hitachi U-2001 UV-visible spectrophotometer.

## 3. Results and discussion

It is known from our previous study<sup>20</sup> and reported literature<sup>23–25</sup> that the crystal structure of  $\text{WO}_3$  is generally dependent on the growth of the film on different substrates using different methods and annealing temperatures. The as-deposited film annealed at  $300^\circ\text{C}$  is amorphous and the film annealed at  $500^\circ\text{C}$  is crystalline. Similar to previous studies and this study, the XRD data of nonporous and porous crystalline films match well with the JCPDS PDF 20-1324 data.<sup>26</sup>

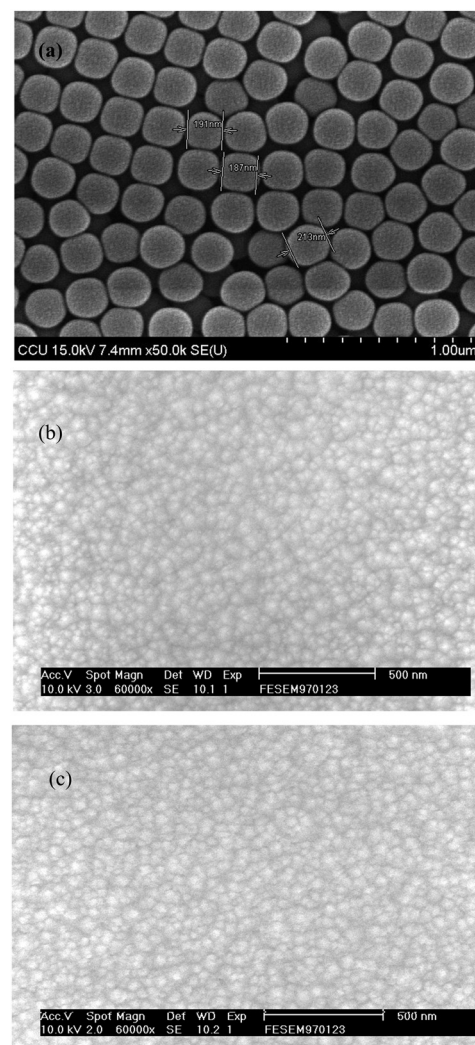


Fig. 1 SEM micrograph of the  $\text{WO}_3$  film (a) polystyrene latex microsphere (b) annealed porous film (c) porous crystalline film.



The XRD results indicate that the film structure is orthorhombic in nature.

Fig. 1 presents the SEM micrograph of the  $\text{WO}_3$  film. The surface morphology of the film shown in Fig. 1(a) indicates that the polystyrene latex microsphere dispersed in 2.5 wt% water is well deposited on the surface of the  $\text{WO}_3$  layer. The polystyrene latex microsphere ranges between 185 nm and 215 nm in size. The film with a single layer of  $\text{WO}_3$  deposited with the polystyrene sphere looks smooth and well arranged. Fig. 1(b) presents the porous structure on the annealed film at 300 °C where another layer of  $\text{WO}_3$  is deposited on the polystyrene sphere. The  $\text{WO}_3$  film looks porous with a nanoscale interconnecting network, which is similar to the reported literature.<sup>27</sup> The  $\text{WO}_3$  film looks like a random porous island structure. Fig. 1(c) shows the micrograph of the crystalline  $\text{WO}_3$  film annealed at 500 °C. The morphologies of the  $\text{WO}_3$  film show changes in the structure. The  $\text{WO}_3$  pore sizes increase with the annealing and change the film behaviour as the film becomes fully crystalline.

The porous  $\text{WO}_3$  film may have more open space among the materials, which allows the polystyrene sphere to penetrate through the film and make the film well defined by the crystalline structure. This nanoporous morphology and structure with the intragranular contact effectively improve the electrochromic behaviour of the film, which can be controlled by the polystyrene sphere and annealing temperature.<sup>28,29</sup>

Fig. 2(a and b) shows the atomic force micrograph of the crystalline and porous crystalline  $\text{WO}_3$  films, respectively. The images show a roughness value of about 2.08 nm (Fig. 2(a)) for the nonporous crystalline film, which increases to 69.02 nm (Fig. 2(b)) for the porous crystalline film. However, the roughness of the as deposited film is 13.92 nm (images not shown here) that decreases to 2.08 nm by annealing at 500 °C temperature. This indicates that the polystyrene sphere, which is porous in structure, can change the roughness of the film with annealing. The annealed porous crystalline films are much rougher than the as-deposited amorphous films.<sup>30</sup>

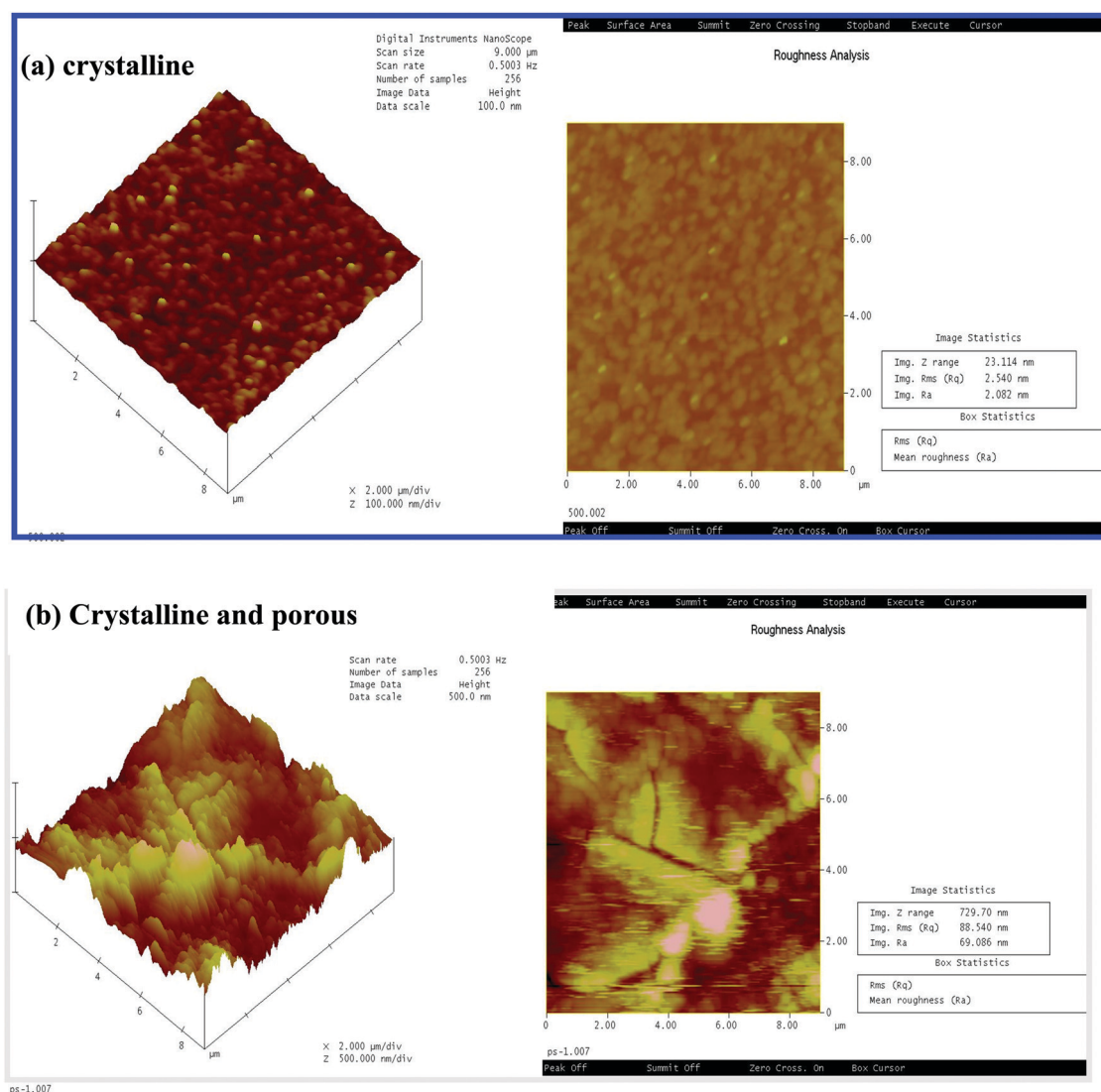


Fig. 2 AFM images of the as-deposited and annealed (a) crystalline and (b) porous crystalline  $\text{WO}_3$  films.



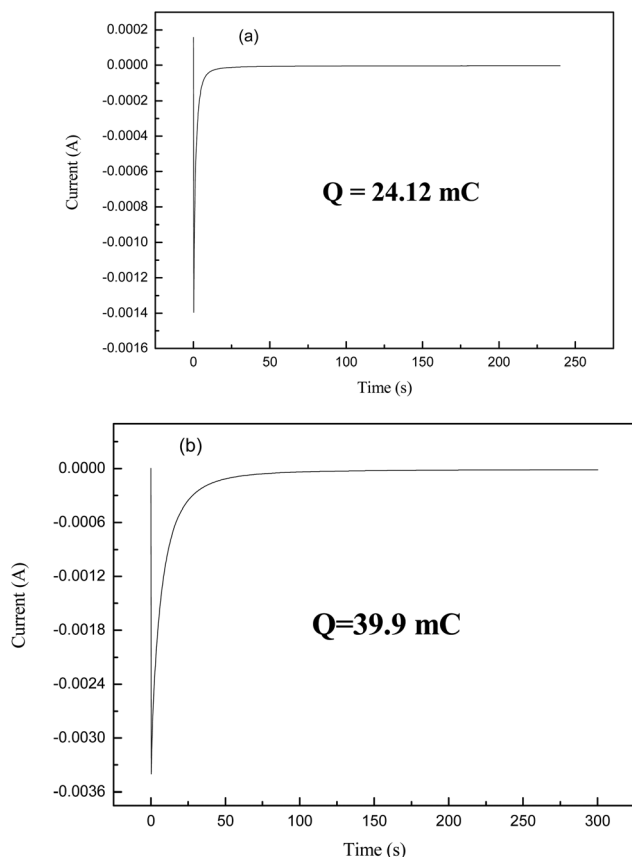


Fig. 3 Potential step spectrum of  $\text{WO}_3$  films (a) nonporous (b) porous crystalline.

The potential step spectrum of the  $\text{WO}_3$  films present in Fig. 3 for the nonporous and porous crystalline states indicates that the porous crystalline  $\text{WO}_3$  films have an insertion ability of about 39.9 mC, which is higher than the nonporous crystalline film. This indicates that when the film becomes porous, an increase in the surface area is observed, and thus, the ion insertion capability increases apparently, which enhances the electrochromic behaviour of the film.<sup>31</sup>

Fig. 4 presents the transmittance spectra of nonporous and porous crystalline  $\text{WO}_3$  films. The transmittance spectra for the nonporous film are more porous than the crystalline film in colored state. There is no significant difference between the transmittance spectra. However, the trend of transmittance spectra is different for both films. For the nonporous crystalline film, the transmittance is increasing in the visible region and then it decreases in the long wavelength region. On the contrary, the porous crystalline film trend initially decreases in the visible region, and then it increases continuously in the long wavelength region up to 1100 nm. The maximal transmittance of the porous film increases to 25% while the nonporous film is reduced to 10%. In the visible region, the maximum transmittance of about 60% is achievable for the crystalline nonporous film. However, in the bleached state, both films show higher transmittances. The porous crystalline  $\text{WO}_3$  film exhibits a transmittance modulation effect of 65.3%. However the nonporous

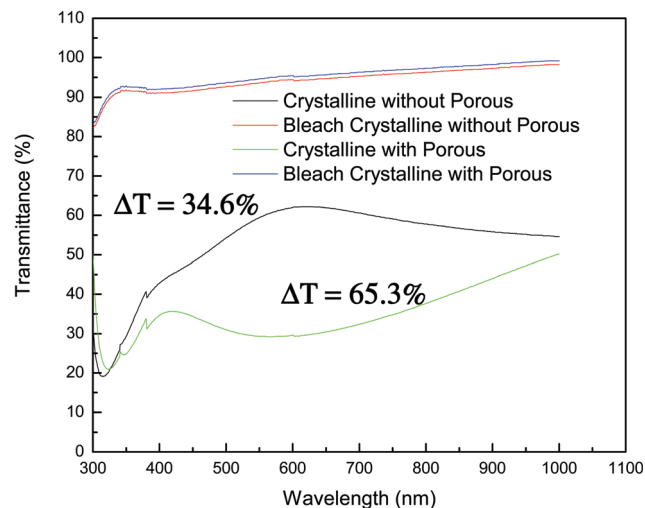


Fig. 4 The transmittance spectra of nonporous and porous crystalline  $\text{WO}_3$  films in coloured and bleached states.

Table 1 Electrochromic properties of  $\text{WO}_3$  films

|                                    | Crystalline without porous | Crystalline with porous |
|------------------------------------|----------------------------|-------------------------|
| $\Delta T$ (%)                     | 34.6                       | 65.3                    |
| $Q_{in}$ (mC)                      | 24.12                      | 39.9                    |
| CE ( $\text{cm}^2 \text{C}^{-1}$ ) | 14.12                      | 24                      |

film shows a modulation of 34.6%. The nonporous film shows more than 30% modulation in the colored and bleached states. Due to the increase in the surface area in the porous film, more charge intercalation occurs, which affects the transmittance of the films. The detailed electrochromic results are listed in Table 1.

Fig. 5 shows the electrochromic cyclic voltammogram (CV) curves for the porous crystalline films at different cycles of operation. The CV curves indicate that there is a change in the peak positions with the incorporation of an injected charge.<sup>32</sup>

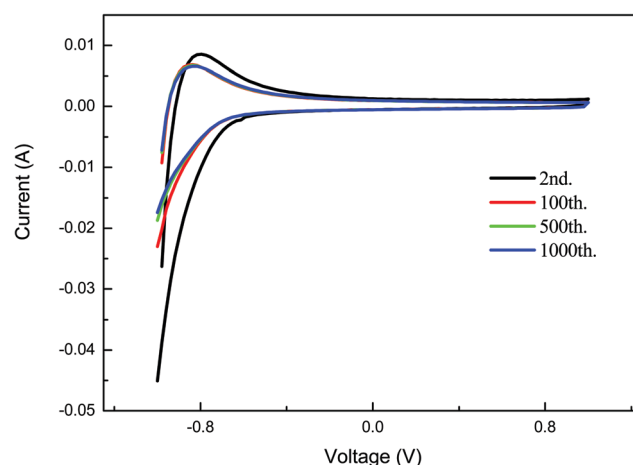


Fig. 5 The electrochromic cyclic voltammogram (CV) curves for the porous crystalline films for different cycles of operation.

The film shows good electrochromic behaviour up to the 1000th cycle of operation and there is a decrease in the integral area during the operation cycle. This indicates that the electrochemical reaction is occurring in the film during the cycle of operation. The films show stable electrochromic properties. The porous crystalline film is not degraded after the operation.

The durability of the films after the electrochromic cycle is studied in terms of charge intercalation, and the results are shown in Fig. 6. It is observed that there is a variation in charge intercalation for each film after the cycle of operation. The charge intercalation increases for the crystalline film, almost remains constant for the porous crystalline film, and decreases rapidly for the amorphous films. The effect of grain boundary and reaction generally changes the relative capacity of charge intercalation in the film. The porous crystalline film shows a good crystalline structure and uniform grain size; therefore, it maintains almost the same amount of charge intercalation after a long cycle of operation. The film structure, morphology and electrolytes affect the intercalation/deintercalation processes.<sup>33,34</sup> This charge intercalation also affects the transmittance modulation and cyclic life of the film.<sup>35</sup> In this study, the porous film shows stable and improved electrochromic properties as porous crystalline film aggregation does not take place and the film did not reach its steady state.

The coloration efficiency (CE) defined as  $CE = \Delta OD/Q_{in}$ ,<sup>36</sup> where  $\Delta OD$  is the change in the optical density with charges intercalated per unit electrode area and  $Q_{in}$  is the charge intercalated per unit area, is used to study the intrinsic material property. Herein,  $\Delta OD = \log(T_{bleaching}/T_{coloring})$  where  $T_{bleaching}$  is the transmittance in the bleached state and  $T_{coloring}$  is the transmittance in the colored state. The CE analysis shows that the porous crystalline film has  $24 \text{ cm}^2 \text{ C}^{-1}$  efficiency while the crystalline film has  $14 \text{ cm}^2 \text{ C}^{-1}$ . The CE is almost constant up to the 1000th cycle for the porous crystalline film mainly due to the constant amount of charge intercalation during the long cycle of operation.<sup>37,38</sup> This indicates that the grown film has good durability. However, the CE is not constant for the

amorphous film during the cycle of operation. This may be due to the change in the charge intercalation during the cycle of operation.<sup>39,40</sup> As there is no change in the CE value after the long cycle of operation, it indicates that the films are of good quality with stable morphology after the electrochromic cycle.<sup>41–43</sup> In our earlier study,<sup>20</sup> it was found that the electrochromic coloration behaviours of the amorphous and crystalline films are not stable, the transmission modulation decreases, and films degrade after long cycles of operation. However, this porous crystalline film shows stable coloration efficiency, durability, and fast response to the electrochromic cycle with higher transmittance, which are prerequisites for smart window applications. The growth of the porous electrochromic film can solve the problem of the degradation of the film after long hours of operation in a device.

## 4. Conclusions

Good quality nano  $\text{WO}_3$  films were fabricated successfully with improved stable electrochromic properties up to 1000 cycles of operation. The porous structure improves the chemical stability and durability of the films. The growth of porous crystalline films using the spin coating method has a unique advantage of overcoming the degradation problem with remarkable electrochromic properties. The film shows stable coloration efficiency of about  $24 \text{ cm}^2 \text{ C}^{-1}$ , greater transmittance modulation and durability which are perfect for processing effective electrochromic films for device applications.

## Conflicts of interest

There are no conflicts to declare.

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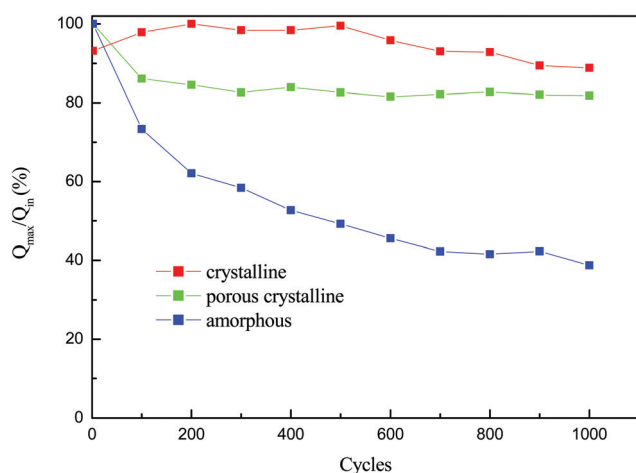


Fig. 6  $Q_{in}/Q_{max}$  vs. cycle's spectrum of  $\text{WO}_3$  films at amorphous, porous crystalline and crystalline states.



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