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Critical problems faced in Raman-based energy transport characterization of nanomaterials

This work discusses a broad spectrum of physical problems faced in Raman-based thermal characterization of 2D materials, namely interface separation, localized stress due to thermal expansion mismatch, optical interference, conjugated phonon and hot carrier transport, optical-acoustic phonon thermal nonequilibrium, and radiative electron-hole recombination in monolayer 2D materials. An energy transport state-resolved Raman technique is introduced to overcome these problems. Furthermore, an in-depth outlook is provided on future research challenges and needs.

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Critical problems faced in Raman-based energy transport characterization of nanomaterials

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In the last two decades, tremendous research has been conducted on the discovery, design and synthesis, characterization, and applications of two-dimensional (2D) materials. Thermal conductivity and interface thermal conductance/resistance of 2D materials are two critical properties in their applications. Raman spectroscopy, which measures the inelastic scattering of photons by optical phonons, can distinct a 2D material's temperature from its surrounding materials', featuring unprecedented spatial resolution (down to the atomic level). Raman-based thermometry has been used tremendously for characterizing the thermal conductivity of 2D materials (suspended or supported) and interface thermal conductance/resistance. Very large data deviations have been observed in literature, partly due to physical phenomena and factors not considered in measurements. Here, we provide a critical review, analysis, and perspectives about a broad spectrum of physical problems faced in Raman-based thermal characterization of 2D materials, namely interface separation, localized stress due to thermal expansion mismatch, optical interference, conjugated phonon, and hot carrier transport, optical–acoustic phonon thermal nonequilibrium, and radiative electron–hole recombination in monolayer 2D materials. Neglect of these problems will lead to a physically unreasonable understanding of phonon transport and interface energy coupling. In-depth discussions are also provided on the energy transport state-resolved Raman (ET-Raman) technique to overcome these problems and on future research challenges and needs.

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1. Introduction

Since the discovery of graphene,¹ tremendous research has been conducted on the synthesis, structural characterization and understanding, properties characterization and tailoring, and applications of two-dimensional (2D) materials far beyond graphene.^{2–8} Thermal conductivity and interface thermal conductance/resistance of 2D materials are two critical properties in their applications such as integrated circuits, energy conversion, photon sensing, and chemical sensing. Because of their extremely small thickness (\leq nm), measurement of these two properties faces big challenges, which makes traditional techniques not feasible or suffer very large uncertainties. Raman spectroscopy, which measures the inelastic scattering of photons by optical phonons, can distinct a 2D material's temperature from its surrounding materials', featuring unprecedented spatial

resolution (down to the atomic level).² Over more than two decades, Raman-based thermometry has been used tremendously in characterizing the thermal conductivity of 2D materials (suspended or supported) and interface thermal conductance/resistance.

However, very large data deviations have been reported on the measured thermal conductivity and interface thermal conductance/resistance of 2D materials.^{9,10} Such deviations can reach the level of orders of magnitude. The deviations can be due to unknown structural differences (impurities, defects, and boundaries/sizes) between samples, that is, the quality of the sample. In addition, a large number of deviations arise because of the measurement factors that have not been taken into consideration in data processing and complicated physical phenomena that are tangled with phonon transport, such as laser absorption coefficient determination, Raman temperature coefficient calibration, and the thermal nonequilibrium among different hot carriers.^{7,11,12} For instance, the refractive index, which is used to determine the laser absorption coefficient, was determined as around 3.05 and 5.2 for MoS₂ by using spectroscopic ellipsometry and a spatially resolved spectrum system, respectively.^{13,14} The Raman temperature coefficient calibration, which was quite time-consuming, also could

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introduce a possible error of 25%.⁹ Moreover, it was found that ignoring the thermal nonequilibrium among different energy carriers can underpredict the thermal conductivity by more than 40%.¹⁵ In summary, the deviation of orders of magnitude originates from the combined effects of the above factors, and it is of great importance to analyze these effects thoroughly. This work provides a critical review, analysis, and perspectives about a broad spectrum of physical problems faced during Raman-based thermal characterization of 2D materials. Neglecting these problems will lead to a severe physically unreasonable understanding of phonon transport and interface energy coupling of the 2D materials.

2. Evaluation of photon absorption: optical interference effect

In traditional steady-state Raman-based thermal conductivity and interface thermal resistance measurement of 2D materials, the laser absorption in the 2D material and its temperature rise needs to be quantitatively evaluated. The temperature determination requires a Raman temperature coefficient calibration in a separate experiment. The laser beam absorption is calculated either using optical properties of the 2D material and substrates or by direct measurement. For supported 2D films in the previous Raman study, a perfect contact was usually assumed between the 2D films and their substrates. However, recent works have revealed that an air gap may exist at the interface in most situations, especially, when the supported 2D film is prepared using mechanical exfoliation and physical transferring methods.^{16,17} This air gap will introduce an additional layer in the sample structure as shown in Fig. 1. The incident laser rays are reflected from the bottom of the 2D layer and the top of the substrate. Then, the reflections interfere with other reflections to introduce an interference effect and alter the local laser intensity in the 2D film. It can cause considerable change in the optical absorption of the 2D film.

Considering the interference effect induced by the air gap, the absorbed electrical field $E_1(x)$ in the supported 2D film has an expression as:



Fig. 1 Schematic of the incident laser reflections and refractions in a multilayer structure.

$$E_1(x) = (D_{1,11}/S_{1,11}e^{-i\beta_1(d_1-x)} + D_{1,21}/S_{1,11}e^{-i\beta_1(d_1-x)})E_0^+, \quad (1)$$

where E_0^+ is the amplitude of the incident electric field and, for simplicity, is set to be 1 V m^{-1} . β_1 is equal to $(2\pi/\lambda)\tilde{n}_1$, λ is the incident laser wavelength, \tilde{n}_1 and d_1 are the refractive index and thickness of the 2D layer, respectively, and x indicates where the electric field is evaluated in the layer. S_1 is the total transfer matrix, $S_1 = U_1 L_1 D_1$. U_1 and D_1 are the upstream and downstream transfer matrices including the interface reflectance coefficient of each interface and the transmittance coefficient of each layer. L_1 is the propagation matrix depicting the laser absorption in the 2D layer. Integration of $|E_1(x)|^2$ gives the theoretical total absorbed laser energy. Details of the derivation of eqn (1) in a multilayer structure can be found in ref. 18. It is important to note that adding a new layer (the air gap) will affect U_1 , L_1 , and D_1 and finally vary the electric field amplitude in the 2D layer.

The effect of the air gap on the laser absorption in the supported 2D film is investigated here for two supported MoS₂ samples. Sample #1 is a MoS₂ film on a silicon (Si) substrate and sample #2 is a MoS₂ film on a glass (SiO₂) substrate. The thicknesses of the MoS₂ film and the air gap are varied in the calculation to explore the interference effect on the laser absorption. The refractive index of each material is listed in Table 1, and the calculated absorption is shown in Fig. 2. In Fig. 2(a), for the four supported 2D material cases (monolayer, bilayer, 5-layer, and 10-layer MoS₂ films), the absorption is first enhanced and then reduced when the thickness of the air gap increases. The enhancement factor is defined as $(A - A_0)/A_0$, where A is the absorption of the MoS₂ film, $A = n_1/2\tau_L \cdot \int |E_1(x)|^2 dx$, A_0 is the absorption of the supported film without air gap, and τ_L is the laser absorption depth. Along with the increase in the layer number of the MoS₂ film, A_0 becomes large, and the reflected beam at the MoS₂ surface (top and bottom) becomes weaker, making the interference effect weaker. Therefore, the enhancement factor (the ratio) will be lower for thicker films, as shown in Fig. 2(a).

However, an air gap thicker than $\sim 100 \text{ nm}$ is less observed in supported film structures. For sample #1, the assessment in the next section demonstrates the air gap can be as large as 37 nm . The occurrence of boundary slip will decrease the gap thickness. The enhancement factor is reasonably regarded as monotonically increasing against the air gap thickness before the air gap reaches 37 nm thick. When the air gap reaches 37 nm , as mentioned above, the absorption enhancement factor can be $3.20 \sim 3.40$. This means that strong reflections occur on the top surface of the Si substrate due to its large refractive index ($\tilde{n}_3 = 4.1506 + 0.0516i$) at 532 nm . The reflected

Table 1 Refractive index of the materials under the incident wavelength of 532 nm

	Material	Refractive index
2D layer	MoS ₂	$5.1296 + 1.3095i^{19}$
Air gap	Air	1
Substrate	Silicon	$4.1506 + 0.0516i^{20}$
	SiO ₂	1.4607^{21}





Fig. 2 Laser absorption in supported MoS₂ films. Absorption enhancement factor in the MoS₂ layer of (a) MoS₂/Si sample and (b) MoS₂/SiO₂ sample against the air gap thickness. Absolute laser absorption in the MoS₂ layer of (c) MoS₂/Si sample and (d) MoS₂/SiO₂ sample against MoS₂ thickness.

rays go backward into the 2D layer, interfere with the rays remaining in the 2D layer, and enhance the local laser intensity as well as the absorption. In comparison with sample #1, the SiO₂ substrate in sample #2 has a very different refractive index ($n_3' = 1.4607$). Weak reflections occur on the top of the substrate, and thus the interference effect and absorption enhancement factor in the 2D film are much lower, as shown in Fig. 2(b). In the whole range of the studied air gap thickness (0–160 nm), the maximum enhancement factor is less than 1.1 and much less than that of sample #1. For a more commonly used structure in the real case that a silicon substrate has a thin native oxide layer, the thin oxide layer will introduce an additional oscillation in the absorbed incident laser intensity in the sample layer. Together with the silicon substrate, both oscillations contribute to the interference effect on the sample's laser energy absorption and render the intensity enhancement more complicated.

Besides the air-gap-induced interference effect, the thickness of the 2D film also affects the absorption of the laser by it. When combined with the interference effect, the enhancement becomes more significant. As no air gap exists in sample #1, the absorption in the MoS₂ film increases against the layer number of MoS₂, as shown in black in Fig. 2(c). The absorption in this case increases from 0.0071 to 0.0091, when the layer number changes from 1 to 10. The thickness of a single MoS₂ layer is about 0.615 nm.²² However, the increasing rates of the multilayered MoS₂ cases with an air gap are much faster than

those without the air gap. Taking the case with the 60 nm thick air gap as an example [the light blue dash line in Fig. 2(c)], its absorption is 0.0589 for the monolayer MoS₂ film and 0.292 for the 10-layer MoS₂ film. Both of them are significantly higher than the original absorption when there is no air gap. However, for MoS₂ on SiO₂, as shown in Fig. 2(d), the difference in the increasing trend for the absorption against the layer number is less. SiO₂ has large transmittance and weak reflectance, and thus the interference in the MoS₂ layer is weak, which manifests that most incident photon energy can penetrate the glass substrate, and little is absorbed by the 2D film. Therefore, the absorption enhancement in the supported MoS₂ layer is a combined result of the thickness variation in both the air gap and the MoS₂ layer.

The intensity of characteristic Raman peaks generated in the 2D film is proportional to the local laser intensity, thus it should be sensitive to the interface air gap. Tang *et al.*^{16,17} investigated the air gap thickness between few-layered graphene and the substrate under different heating conditions, such as laser-heating from sample top and pad-heating from sample bottom. The investigation revealed that the interface spacing of the supported 2D film sample in Raman-based thermal measurement is different from that in Raman temperature coefficient calibration due to different interfacial thermal expansion mismatch. Yuan *et al.*^{7,23} measured the interface thermal conductance and hot carrier diffusion



coefficient in 2D semiconductors based on the accurate evaluation of laser absorption in the layer. In addition to local intensity, the location where the scattering is excited in the layer is also important. It introduces an additional source term in the transfer matrix. The specific location where a certain beam of the Raman scatterings emerges will affect the interference effect on the intensity of the finally gathered Raman signal, which is termed as “non-continuity of the Raman signal”. An early multilayer model was built to evaluate the interference effect without considering the non-continuity of Raman scattering.²⁴ Velson *et al.*¹⁸ developed a physical model for rigorous calculation of the Raman intensity from a multilayer film system based on the transfer matrix method including the effects from both the air gap and non-continuity of Raman generation. Suspended 2D films have no air gap and the substrate, however, the interference effect raised by the film thickness still exists and affects the local absorption of the incident laser. When the incident beams are reflected on the top and bottom surfaces, the reflections will interfere with and alter the local laser intensity in the suspended film. In thermal characterization experiments, unknown optical properties of the emerging 2D materials will raise large difficulty in accurate determination of laser absorption.

One efficient way to eliminate the effect of unknown absorption in various 2D materials is using transient methods, such as frequency-domain energy transport state-resolved Raman (FET-Raman).²⁵ The FET-Raman employs an amplitude-modulated excitation laser (a duty cycle of 50%) to heat up the sample and measure the Raman scattering at the same time. The Raman shift power coefficient (RSC: $\psi_f = \partial\omega/\partial P$) is measured. Also ψ_{CW} under continuous wave (CW) laser heating is measured. Although ψ_f and ψ_{CW} are dependent on the laser beam absorption, thermophysical properties and Raman wave number temperature coefficient, their ratio $\Theta = \psi_f/\psi_{CW}$ is independent of the laser beam absorption and Raman wave number temperature coefficient, and can be used to directly determine the sample's thermal conductivity. This idea is similar to the energy transport state-resolved Raman (ET-Raman), which is discussed in Section 7.

3. Localized stress and thermal expansion mismatch

In addition to laser absorption, the temperature effects on the Raman modes of 2D materials should also be explored.^{26,27} Most researchers reported that the peak positions varied linearly with the increase of temperature within a moderate temperature range. While some studies demonstrated that the temperature dependence of Raman peak positions for transition metal dichalcogenides (TMDs) should be fitted nonlinearly, such as the two Raman modes of the monolayer MoS₂ shown in Fig. 3.^{28–30} Considering the different temperature dependences of Raman modes, thermal strain and thermal expansion coefficient (TEC) mismatch between the substrate and 2D materials should be taken into consideration. Here, both mechanically-exfoliated MoS₂ and chemical vapor deposition (CVD) grown MoS₂ are taken as examples to explain the effect of TEC mismatch and strain.

3.1 Supported mechanical exfoliated MoS₂ films

In this part, mechanically-exfoliated MoS₂ films on the SiO₂/Si substrate are used in the discussion. At room temperature (RT), there is one order of magnitude difference in the TECs (α) of SiO₂ ($0.6 \times 10^{-6} \text{ K}^{-1}$) and MoS₂ ($6 \times 10^{-6} \text{ K}^{-1}$).³¹ In Raman-based experiments, a typical temperature rise (ΔT) for MoS₂ can be assumed to be about 50 K under the laser irradiation area, and a laser spot radius (r_0) of 1.5 μm is taken for analysis here. As for the SiO₂ substrate, one can safely assume that the thermal expansion takes place only in the MoS₂ layer with laser heating because of no heat absorption by the substrate, much lower temperature rise, and very small TEC. Then, equation $\Delta l = 2r_0 \cdot \alpha \cdot \Delta T$ is employed to calculate the thermal expansion length of the sample, which is 0.9 nm for MoS₂. For mechanically transferred MoS₂ on SiO₂/Si, there is only loose van der Waals force between MoS₂ and the substrate. It has very limited constraint on the MoS₂ film movement.¹⁶ This indicates that the MoS₂ films are more likely to be affected by the changes in the film morphology such as wrinkles and ripples when temperature increases. Thus, under laser heating, the TEC

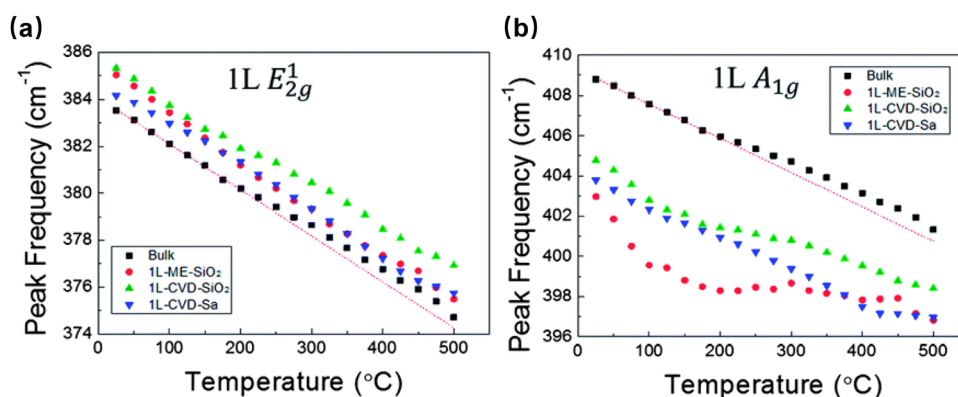


Fig. 3 Temperature dependence of the two Raman modes of monolayer MoS₂: (a) E_{2g}¹ and (b) A_{1g}. Reproduced from ref. 30 with permission from the Royal Society of Chemistry, copyright 2014.





Fig. 4 Thermal expansion of the MoS₂ film on the SiO₂ substrate under laser irradiation.

mismatch will induce little stress in the MoS₂ film. As shown in Fig. 4, an air gap between MoS₂ and the substrate is generated, which will cause enhanced charge injection from the substrate into the MoS₂ film and decomposition of the absorbed contaminants. To simplify the calculation, an isosceles triangle is utilized, the maximum distance of the air gap is about 37 nm, which is comparable to or higher than the thickness of the MoS₂ film. As a result, it is crucial to consider the TEC mismatch in the Raman-based methods. Note in real situations, the pop-up film will have a curve, which can accommodate more of its thermal expansion. Therefore, its real separation from the substrate will be less than the one estimated here.

To further explain the substrate effect, Lin *et al.*²⁹ studied the temperature-dependent evolutions of both suspended MoS₂ and supported MoS₂ on the SiO₂ substrate. As suspended MoS₂ is free of the substrate effect, the Raman shift only originates from lattice expansion. Therefore, it is found the temperature-dependent evolutions are very different. Moreover, compared with the suspended MoS₂, the Raman peak positions of supported MoS₂ are blue shifted at the same temperature, which reflects the compression of crystalline lattice in supported MoS₂ caused by the TEC mismatch between the SiO₂ substrate and MoS₂. That is, the temperature-dependent Raman shift [$\Delta\omega_{\text{MoS}_2}(T)$] of the supported MoS₂ are attributed to the thermal expansion of lattice [$\Delta\omega^E(T)$], the anharmonic effect [$\Delta\omega^A(T)$], and strains [$\Delta\omega^S(T)$] induced by the TEC mismatch between the substrate and MoS₂. $\Delta\omega_{\text{MoS}_2}(T)$ can be written as $\Delta\omega_{\text{MoS}_2}(T) = \Delta\omega^E(T) + \Delta\omega^A(T) + \Delta\omega^S(T)$, and $\Delta\omega^S(T)$ can be expressed as $\Delta\omega^S(T) = \beta \int_{T_0}^T [\alpha_{\text{SiO}_2}(T) - \alpha_{\text{MoS}_2}(T)] dT$, where β is the biaxial strain coefficient of the Raman mode.²⁹

3.2 Supported CVD grown MoS₂ films

Compared to the mechanically transferred MoS₂ on a substrate, the CVD growth of MoS₂ films at a temperature higher than 700 °C can lead to stronger chemical bonding between the MoS₂ film and substrate.³⁰ Thus, the effect of TEC mismatch on the interface spacing is much smaller here. However, the growth-induced strain and laser heating induced strain should be taken into account in an experiment.¹⁷

Typically, the growth temperature is 750 °C. At RT, a hexagonal unit cell has dimensions of $a = 3.1622 \pm 0.007$, $c = 12.301 \pm 0.002$ Å for MoS₂. The temperature dependence of a and c are:³²

$$\begin{aligned} a &= 3.1621 + 0.6007 \times 10^{-5} T + 0.3479 \times 10^{-7} T^2 \\ c &= 12.3024 + 0.1064 \times 10^{-3} T + 0.7739 \times 10^{-7} T^2. \end{aligned} \quad (2)$$

There is a one order of magnitude difference in the TECs of SiO₂ (0.6×10^{-6} K⁻¹ at RT) and MoS₂ (in-plane 6×10^{-6} K⁻¹ at RT). By ignoring the thermal expansion of SiO₂, the tensile strain in monolayer MoS₂ amounts to:

$$\varepsilon = \frac{a(T = 750 \text{ }^\circ\text{C}) - a(T = 20 \text{ }^\circ\text{C})}{a(T = 20 \text{ }^\circ\text{C})} \approx 0.76\%. \quad (3)$$

This indicates that an intrinsic tensile strain in MoS₂ is likely induced during the fast cooling process after growth. With increased strain, the A_{1g} peak shows no measurable shift in the position while the degenerate E_{2g}¹ peak splits into two subpeaks that shift by 4.5 ± 0.3 cm⁻¹/‰ strain and 1.0 ± 1.0 cm⁻¹/‰ strain for the monolayer MoS₂, respectively.³³ Therefore, it is of great importance to explore the growth-induced strain effect for energy transport characterization of CVD grown nanomaterials by using Raman-based methods.

The other strain is the laser heating induced strain, which is a compressive strain developed because of the increase in the thermal mismatch between MoS₂ and the SiO₂ substrate. As the A_{1g} and E_{2g}¹ modes correspond to the vibration in the z axis and the xy plane, the developed strain is assumed as the biaxial compressive strain. Moreover, the laser induced heat flows radially from the irradiation point. As a result, the hardening of phonon modes may be expected because of compressive strain. In this case, the anharmonic effects caused by laser heating are compensated by the in-plane biaxial compressive strain developed with the laser power because of the difference in thermal expansion. As a result, the frequency of the E_{2g}¹ phonon mode does not change significantly with the laser power, while the A_{1g} phonon mode is observed to soften with increased laser powers.³¹ Compared with SiO₂, the thermal conductivity of $c\text{-Al}_2\text{O}_3$ is much higher, the laser heat dissipates to the substrate easier, impeding the temperature rise in the MoS₂ film. In addition, in-plane TECs of MoS₂ and $c\text{-Al}_2\text{O}_3$ are closely matched, which results in negligible changes in the peak positions of Raman modes. That is, the contribution of $\Delta\omega^S(T)$ to $\Delta\omega_{\text{MoS}_2}(T)$ is negligible. Then, $\Delta\omega_{\text{MoS}_2}(T)$ can be written as $\Delta\omega_{\text{MoS}_2}(T) = \Delta\omega^E(T) + \Delta\omega^A(T)$, and a linear temperature dependence of Raman shift in such case is validated by Su *et al.*³⁰ Thus, no significant non-linear thermal effect is observed for the MoS₂ film CVD grown on $c\text{-Al}_2\text{O}_3$.³¹

4. Conjugated phonon and hot carrier transport

In semiconductors, hot carriers are pairs of electron and hole that gain excess amount of energy over the Fermi energy level through several processes, such as laser (*i.e.*, photon) irradiation.



During the laser excitation process, electrons are excited to the conduction band by gaining photon's energy while leaving holes in the valence band. A significant part of this excess energy (equal to bandgap) is diffused out of the excitation spot through an electron-hole (e-h) diffusion and recombination process. This diffusion process takes time in the order of nanoseconds and cannot be neglected in thermal measurements. In multilayered 2D materials, these hot electrons release this excess amount of energy *via* non-radiative processes by exciting phonons. The energy of these excited phonons leads to the temperature rise of nanostructured samples, such as 2D materials. The hot carrier diffusion coefficient (D), thermal conductivity (κ), and interfacial thermal resistance (R) between the thin film and substrate are among the most important parameters that control the heat transport in nanoscale materials.

4.1 D vs. sample's thickness

It has been shown through previous studies that optical methods based on Raman spectroscopy are capable of characterizing D and studying its effects in thermal probing of 2D materials.^{34,35} Unlike non-optical methods, these techniques are non-contact and non-invasive. Yuan *et al.*¹¹ measured D and R between sub-nm MoS₂ nanosheets and c-Si. They reported the effects of hot carrier diffusion in heat conduction by varying the heating spot size. Through a numerical analysis based on the finite volume method, they reported the sensitivity of local temperature rise to D increases with decreased laser spot size (*i.e.*, heating domain size). This was explained by comparing the hot carrier diffusion length (L_D) with the laser spot size (r_0). L_D is estimated as: $\sqrt{\tau D}$, where τ is the e-h recombination time. This effect is shown in Fig. 5.³⁴ Here, r_0 , r_{HT} , Δr_{HC} are laser spot radius, heat transfer diffusion length, and hot carrier diffusion length, respectively.

In another work, Yuan *et al.*⁷ developed an energy transport state-resolved Raman (ET-Raman) technique to distinguish hot carrier diffusion and interfacial energy transport in a few-layered MoS₂ supported on c-Si. They differentiated the effects of R and D by constructing two steady heat conduction states with different laser spot sizes. This measurement was performed for MoS₂ films with thicknesses from 6.6 nm to 17.4 nm. An increasing trend of D against thickness was observed, as shown in Fig. 6(b). Also shown in the figure is the measured interface thermal resistance variation against the film thickness. The slight decrease of R with film thickness is partly due to the increased film stiffness and the resulting better contact with the substrate.



Fig. 5 Cross-section view of heat diffusion length (r_{HT}), laser spot radius (r_0), and hot carrier diffusion length (Δr_{HC}) for three different cases: (a) 20 \times CW, (b) 20 \times ns, and (c) 100 \times ns laser heating. Here, the pulse width of nanosecond laser is 200 ns. Reproduced from ref. 34 with permission from Elsevier, copyright 2019.



Fig. 6 (a) Interface thermal resistance, and (b) hot carrier diffusion coefficient of seven MoS₂ nm-thick films supported on c-Si. Reproduced from ref. 7 with permission from American Chemical Society, copyright 2017.

Also, the effects of optical properties, especially refractive index, on D determination were studied. As an example, they reduced the real part of the refractive index of MoS₂ by half in their ET-Raman-based numerical analysis and observed negligible effects on D (*i.e.*, less than 1%) compared with that using the original value of the refractive index.

Yuan *et al.*³⁵ also reported D of few-layered MoS₂ on a glass substrate. They observed a nonmonotonic trend of D versus film's thickness, as shown in Fig. 7(b). Also shown in the figure are variation trends of κ and R against the layer number. This D variation trend was attributed to the reduced charge impurities for thin films, loose contact with the substrate, and possible wet substrate surface for thicker samples.

4.2 Effects of physical parameters on D measurement

Zobeiri *et al.*³⁴ reported the first results on D of suspended WS₂ structures using a novel nanosecond ET-Raman (nET-Raman). They observed that the D value of all samples with different thicknesses is in a close range. This was attributed to similar lattice scattering for all of them, and there is no substrate effect. In that work, they studied the uncertainty caused by various parameters on the measured D . It was shown that a shorter laser pulse width of the nanosecond laser would lead to more precise measurement of D [Fig. 8(a)].

Another parameter that they studied was the laser absorption depth (τ_L). It was reported that τ_L has considerable effects on the measured D when it is much smaller than the thickness



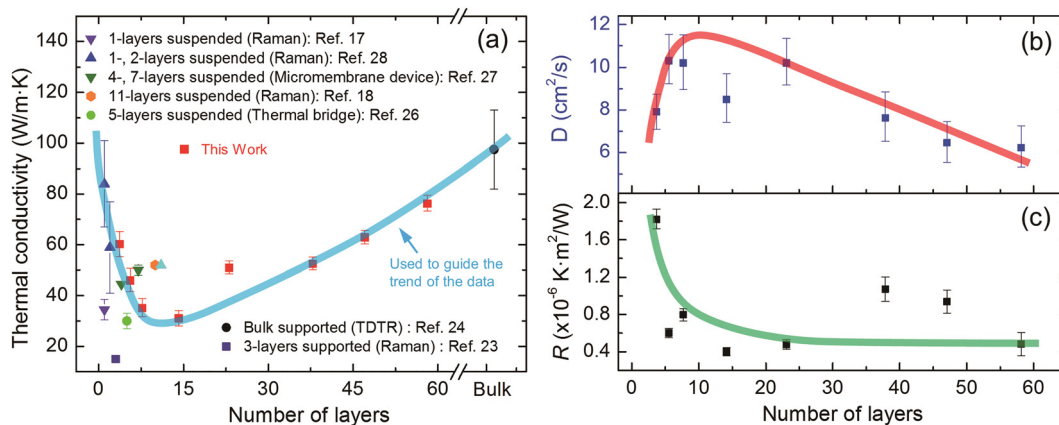


Fig. 7 (a) In-plane thermal conductivity, (b) hot carrier diffusion coefficient, and (c) interface thermal resistance of multiple MoS₂ films as a function of the film's number of layers. Reproduced from ref. 35 with permission from the PCCP Owner Societies, copyright 2018.

of the WS₂ film. On the other hand, it has very negligible effects when τ_L is relatively large compared with the thickness of the suspended film [Fig. 8(b)]. They also reported the uncertainty caused by errors in the film thickness measurement. The result of this analysis is shown in Fig. 9 (right vertical axis).³⁴ Note that these D values are extracted from the numerical calculations with these arbitrary thicknesses (x -axis) and experimental Raman data of a 13 nm sample. As an example, the uncertainty caused by this effect is less than 20% when the error of the thickness measurement is extremely large (*i.e.*, 400%). They concluded that the error during the film thickness measurement has very little effect on their technique and D determination.

Additionally, they studied the effect of laser spot position (ns and CW laser spots) on their measurement accuracy. Fig. 10 shows the result of their numerical simulation. The radius of the suspended sample is 5 μ m. All of the three different heating states were simulated (CW laser under 20 \times objective lens [Fig. 10(a)], ns laser under 20 \times , and 100 \times objective lens [Fig. 10(b and c)]). Since the laser spot size under the 100 \times lens is much smaller than the sample size, its location has little effect on the measurement.

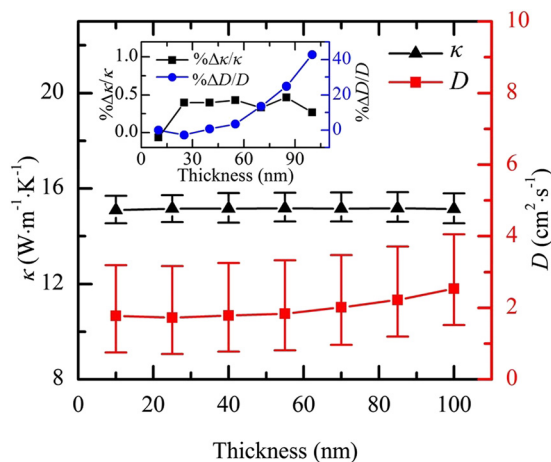


Fig. 9 Effect of the thickness measurement error on the uncertainty of determined D . Reproduced from ref. 34 with permission from Elsevier, copyright 2019.

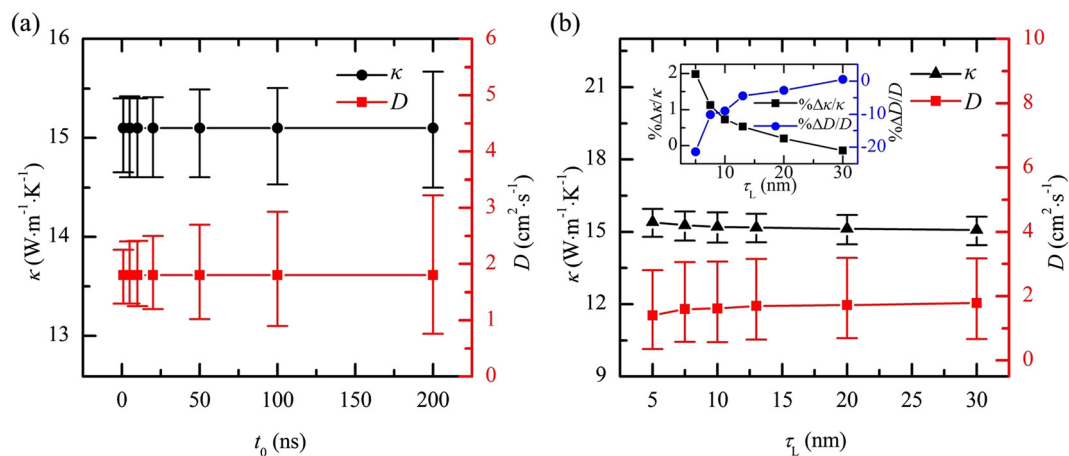


Fig. 8 Effects of (a) laser pulse width and (b) laser absorption depth on the uncertainty of measured D (right vertical axis of both plots). Reproduced from ref. 34 with permission from Elsevier, copyright 2019.





Fig. 10 Results of numerical analysis conducted by Zobeiri *et al.* to investigate the effect of laser spot position on the uncertainty of measured D of a suspended WS_2 film. (a) CW laser heating ($20\times$), (b) ns laser heating ($20\times$), and (c) ns laser heating ($100\times$). Reproduced from ref. 34 with permission from Elsevier, copyright 2019.

On the other hand, this laser spot deviation from the center of the sample has a significant effect on the measured D under $20\times$ objective lens. For example, they reported that when the laser spot location under the CW laser is deviated by $1.5\ \mu\text{m}$, it leads to $\sim 90\%$ uncertainty on the determined D . They concluded that it is necessary to ensure the laser spot location at the center of the suspended area for best measurement accuracy.

4.3 An analysis on D measurement of supported thin films

Here, we present our in-depth numerical analysis to understand the effect of hot carrier diffusion in thermal characterization of the supported samples. To do so, two different setups are designed to perform our numerical simulation using a supported nm-thick MoS_2 film: (1) over a Si substrate and (2) a SiO_2 substrate. Details of this numerical analysis can be found in our previous works.^{12,25} Also, the optical properties of materials are consistent with the literature values.^{20,36,37} Based on these values, the laser absorption depth in a MoS_2 film under a 532 nm laser is 32.33 nm. To consider the effects of D on the thermal transport inside the sample, two different calculations are performed for each geometry. In the first calculation, we assume that the D value is very small (in the order of $10^{-7}\ \text{m}^2\ \text{s}^{-1}$) and the Raman weighted average temperature rise under the CW laser was calculated: $\Delta T_{\text{CW},0}$. In the other case, the temperature rise of the film was calculated when D is $7 \times 10^{-4}\ \text{m}^2\ \text{s}^{-1}$: ΔT_{CW} . This is a reasonable value of D for MoS_2 and is based on literature values.^{7,11,35} Please see our previous works for more details about the calculation of Raman intensity weighted average temperature rise under steady-state laser heating.^{6,38} Wide ranges of laser spot size (r_0) and film thickness are considered in our calculation to further study the D effect. Finally, a $\Delta T_{\text{CW},0}/\Delta T_{\text{CW}}$ ratio is calculated and represented as 2D contours for both cases, as shown in Fig. 11.

Comparing these two contours, we can reach several conclusions. First, the $\Delta T_{\text{CW},0}/\Delta T_{\text{CW}}$ ratio is larger when Si is the

substrate (by comparing the contour values). This is due to the higher thermal conductivity of Si compared to SiO_2 , so the heat conduction in the in-plane direction is not significant, making the hot carrier effect more important. Second, the D effect is weaker for larger r_0 values. This was discussed in Section 4.1 (see Fig. 5) by comparing the hot carrier diffusion length with r_0 . Third, both contours show a moderate effect of film thickness. For the SiO_2 case, the $\Delta T_{\text{CW},0}/\Delta T_{\text{CW}}$ ratio is decreased for a specific r_0 value when the film thickness is increased. This is because when the film is thicker, the in-plane heat conduction become more important, weakening the hot diffusion coefficient effect.

Note that most of the analyses described in this section to study the effects of laser pulse width, laser spot position, and film thickness are based on theoretical calculations. To do so, a numerical method based on the finite volume method was developed to calculate the temperature rise of the sample under each specific scenario. This method precisely solves the hot carrier diffusion equation and thermal diffusion equation.³⁴ All of the related thermal and structural properties, such as thermal conductivity, hot carrier diffusion coefficient, electron-



Fig. 11 Calculated temperature rise ratio of a supported MoS_2 film on (a) a Si, and (b) a SiO_2 substrate.



hole recombination time, laser pulse width, optical absorption coefficient, and equilibrium free carrier density were included in these studies.

5. Thermal nonequilibrium between optical and acoustic phonons

Regardless of different Raman-based methods used for energy transport characterization of 2D materials, the physical process is similar, as shown in Fig. 12, which consists of energy transfer among photons, electrons, and phonons. For phonons, there are three optical branches and three acoustic branches, both including longitudinal (LO and LA), transverse (TO and TA), and flexural branches (ZO and ZA). Moreover, the temperatures among these energy carriers are at nonequilibrium, especially the ZA phonons, which are the main heat carriers in heat conduction, showing the largest nonequilibrium from other phonon branches. Thus, neglect of nonequilibrium between the ZA phonons and LO/TO phonons probed by Raman spectroscopy can result in significant errors in energy transport characterization of nanomaterials by using Raman-based methods. Both theoretical simulation and experimental methods have been proposed to explore such thermal nonequilibrium.^{4,39–41}

In 2020, for the first time, Wang *et al.*⁴ developed an ns ET-Raman technique to explore the thermal nonequilibrium among different phonon branches in a suspended 55 nm thick MoS₂ and a suspended 71 nm thick MoSe₂. To simplify the analysis, a lumped temperature rise $\Delta\bar{T}_{\text{AP}}$ of the three acoustic phonon branches (LA, TA, and ZA) was used to represent the effect of the three branches. A finite volume-based three-dimensional (3D) model was employed to determine the lumped temperature rises under CW laser heating with different laser spot sizes. When the absorbed laser irradiation was 1 mW, this lumped temperature rise can be expressed as $\Delta\bar{T}_{\text{AP}}|_{\text{CW}} = 50 \times (0.94 + 2.86e^{-1.65r_0})$. Here, the 55 nm thick MoS₂ under a

CW laser and a 100× objective lens was used to do the calculation to demonstrate this strong nonequilibrium. The laser radius (r_0) was around 0.405 μm, and the absorbed laser irradiation (P) was assumed to be 1 mW. Then, the temperature rise of APs was calculated to be 120.3 K. For the Raman-based characterization results, the Raman intensity weighted temperature rise under CW laser heating can be written as

$$\Delta\bar{T}_{\text{m}}|_{\text{CW}} = \Delta\bar{T}_{\text{AP}}|_{\text{CW}} + \frac{1}{3} \cdot \frac{P}{\pi r_0^2 \tau_{\text{L}}} \cdot \frac{\delta}{G_{\text{pp}}|_{\text{CW}}}, \quad (4)$$

where τ_{L} is the laser absorption depth (36.5 nm for MoS₂), $G_{\text{pp}}|_{\text{CW}}$ is the energy coupling factor between OP and AP under CW laser, δ ($0 < \delta < 1$) is the portion of laser energy transferred from the measured Raman mode OPs to APs. For MoS₂, the E_{2g}^1 mode corresponds to both LO and TO branches, and the A_{1g} mode corresponds to ZO branch. To simplify the analysis, the energy is assumed to be uniformly transferred to the three optical phonon branches. This approximation only affects the absolute values of the temperature difference between OPs and APs, while has no impact on the discussion of the thermal nonequilibrium between OPs and APs. Therefore, the values of δ are taken as 2/3 and 1/3 for the two Raman modes, respectively. As the Raman shift power coefficients under CW laser (ψ_{CW}) are proportional to $\Delta\bar{T}_{\text{m}}|_{\text{CW}}$, $\psi_{\text{CW}} \sim r_0$ relations of E_{2g}^1 and A_{1g} modes are used to determine $G_{\text{pp}}|_{\text{CW}}$ values of these two modes, which are equal to 2.26×10^{14} and 1.18×10^{14} W m⁻³ K⁻¹, respectively.⁴ Then, the temperature rise values obtained from E_{2g}^1 and A_{1g} modes are calculated to be 173 and 170 K based on eqn (4), which are about 43.5% and 41.6% higher than the temperature rise of APs, respectively. For the 55 nm-thick MoS₂ sample, the thermal conductivities obtained based on the A_{1g} mode and E_{2g}^1 mode were 32.9 ± 2.3 and 24.4 ± 2.5 W m⁻¹ K⁻¹ under a 100× objective lens, respectively, when the thermal nonequilibrium was not considered. With the increased laser spot size, the difference between the two thermal conductivities was getting smaller. Under 20× objective lens, these two values converged to the same value, which was 46.9 ± 3.1 W m⁻¹ K⁻¹.⁴ Under such scenario, the thermal nonequilibrium is very weak and negligible. In summary, it is highly necessary to take the thermal nonequilibrium into consideration especially when a smaller laser spot is used in Raman-based methods.

In 2021, Zobeiri *et al.*⁴¹ further studied this thermal nonequilibrium in supported nanomaterials. Here, the 12.6 nm thick MoS₂ on quartz under a CW laser and a 100× objective lens was used to perform the calculation to demonstrate this effect. The laser radius was around 0.42 μm, and the absorbed laser irradiation was assumed to be 1 mW. Similarly, the energy coupling strength between OP and AP also affects the heat conduction in supported nanomaterials. The local AP temperature rise ΔT_{AP} depends on several parameters, including laser spot size (r_0), thermal conductivity (κ), interfacial thermal resistance (R), and hot carrier diffusion (D). The OP temperature rise can be written as $\Delta T_{\text{OP}} = \Delta T_{\text{AP}} + \Delta T_{\text{OA}} = \Delta T_{\text{AP}} + C/r_0^2$. C is the proportionality constant, which depends on the total absorbed laser energy and the energy coupling factor between OP and AP. Then, the contribution of ΔT_{OA} to ΔT_{OP} can be determined as



Fig. 12 Physics of thermal nonequilibrium between OP and AP under laser irradiation. This figure shows the cascading energy transfer between two phonon modes within the heating area. Outside this area, OP and AP are in thermal equilibrium. Reprinted from ref. 41 with permission from Elsevier, copyright 2021.



Table 2 Literature values of radiative recombination rates for various monolayer TMDs. The value in parentheses corresponds to the improved PL QY value after an enhancement technique has been applied

Monolayer material	Preparation method	Substrate	Radiative recombination rate	Ref.
WSe ₂	CVD/mechanical exfoliation	Fused silica	2%	Ahn <i>et al.</i> ⁴²
MoS ₂	As-exfoliated	Quartz	1% (98%)	Amani <i>et al.</i> ⁴⁷
MoSe ₂			9% (4%)	
WS ₂			19% (99%)	
WSe ₂			3% (1%)	
MoS ₂	CVD	SiO ₂ (300 nm)/Si	< 1%	Chen <i>et al.</i> ⁴³
MoSe ₂			< 1% (16%)	
WS ₂	CVD	SiO ₂ (300 nm)/Si	< 1% (9%)	Cui <i>et al.</i> ⁴⁴
WSe ₂	CVD	Fused silica	1% (60%)	Kim <i>et al.</i> ⁴⁹
MoS ₂	CVD	Silica micro/nanofibers	< 1% (30%)	Liao <i>et al.</i> ⁵¹
MoS ₂	Mechanical exfoliation	PMMA/SiO ₂	< 1% (75%)	Lien <i>et al.</i> ⁴⁶
WS ₂			< 2% (90%)	
MoSe ₂			8% (5%)	
WSe ₂			8% (2%)	
WS ₂	CVD	Sapphire	6% (29%)	Liu <i>et al.</i> ⁵⁸
MoS ₂	Mechanical exfoliation	Si/SiO _x	8%	Salehzadeh <i>et al.</i> ⁵⁹
WS ₂	Physical vapor deposition (PVD)	SiO ₂ /Si	< 1% (12%)	Zheng <i>et al.</i> ⁴⁵

$2.95 \pm 0.46 \text{ MW m}^{-2} \text{ K}^{-1}$. Furthermore, substrate-supported monolayers showed a thermal conductivity of $37 \pm 12 \text{ W m}^{-1} \text{ K}^{-1}$ while suspended samples showed κ of $49 \pm 14 \text{ W m}^{-1} \text{ K}^{-1}$. Other works dependent on laser heating of monolayer samples and subsequent Raman analysis have shown monolayer MoS₂ on SiO₂/Si and SiO₂/AlN substrates with G values of 17 ± 5 and $15 \pm 4 \text{ MW m}^{-2} \text{ K}^{-1}$, respectively.⁵³ Similar methods have determined thermal conductivities of 32 and $35 \text{ W m}^{-1} \text{ K}^{-1}$ for suspended monolayers of WS₂ and MoS₂, respectively.^{54,55}

Raman thermometry techniques using electrical heating sources, rather than laser heating, have also been used to evaluate the thermal properties of monolayer TMDs. In this case, thermal generation becomes the primary driver of electron-hole pair generation instead of photogeneration. The same issue of radiative recombination in direct bandgap monolayer TMDs affects these techniques. Vaziri *et al.*⁵⁰ have determined the interfacial thermal conductance between a heterostructure stack of single-layer graphene and MoS₂ on a SiO₂/Si substrate. The conductance across the monolayer TMD/substrate interface was measured to be $22 \pm 4 \text{ MW m}^{-2} \text{ K}^{-1}$. Replacing the MoS₂ monolayer with WSe₂ resulted in a

conductance value of $15 \pm 4 \text{ MW m}^{-2} \text{ K}^{-1}$. Yalon *et al.*⁵⁶ reported similar values for MoS₂ also on a dioxide substrate as $14 \pm 4 \text{ MW m}^{-2} \text{ K}^{-1}$. A summary of reported literature values for G and κ of monolayer TMDs is shown in Table 3.

In all the previously mentioned cases, radiative recombination is assumed to be negligible or disregarded. However, given the wide variety of factors influencing radiative recombination rates – including the numerous permutations of substrates, synthesis methods, and impurity concentrations for any given prepared monolayer TMD sample – more refined Raman-based techniques that consider radiative recombination are needed. This is especially true for monolayer TMD materials following photoluminescence enhancement techniques. As more methods are developed to improve the quantum yield of monolayer TMD materials for optoelectronic applications, Raman-based techniques that account for PL QY during experimental thermal characterizations will offer a distinct advantage over other methods.

One such method is the ET-Raman technique. The fundamental principle behind ET-Raman is to generate two or more distinct laser heating states in the spatial and/or temporal

Table 3 Experimentally measured interfacial thermal conductance G and thermal conductivity κ of various monolayer TMDs determined using Raman-based approaches

Monolayer material	Substrate	Preparation method	Interfacial thermal conductance [$\text{MW m}^{-2} \text{ K}^{-1}$]	Thermal conductivity [$\text{W m}^{-1} \text{ K}^{-1}$]	Ref.
WSe ₂	SiO ₂ /Si	Mechanical exfoliation	2.95 ± 0.46	37 ± 12	Easy <i>et al.</i> ⁵²
	Suspended (radius: 0.75 μm)		NA	49 ± 14	
Graphene/WSe ₂	SiO ₂ /Si	CVD	15 ± 4	NA	Vaziri <i>et al.</i> ⁵⁰
Graphene/MoS ₂			22 ± 4		
MoS ₂	SiO ₂ /Si	CVD	17 ± 5	NA	Yalon <i>et al.</i> ⁵³
	SiO ₂ /AlN		15 ± 4		
MoS ₂	SiO ₂ /Si	CVD	14 ± 4	NA	Yalon <i>et al.</i> ⁵⁶
MoS ₂	Sapphire	CVD	17.4 ± 3.5	NA	Yu <i>et al.</i> ⁶⁰
	SiO ₂ /Si	CVD	8.9 ± 1.6	NA	
	Suspended (radius: 6.5 μm)	CVD (transferred)	NA	97.4 ± 5.4	
WS ₂	Sapphire	CVD	30 ± 3	NA	
	Suspended (radius: 6.0 μm)	CVD (transferred)	NA	118.2 ± 24.2	
WS ₂	Suspended (radius: 1.5 μm)	CVD (transferred)	NA	32	Peimyoo <i>et al.</i> ⁵⁴
MoS ₂	Suspended (radius: 0.6 μm)	Mechanical exfoliation	NA	34.5 ± 4	Yan <i>et al.</i> ⁵⁵





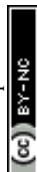
Fig. 13 Design of the ET-Raman technique for simultaneous distinguishing and measurement of in-plane thermal conductivity, hot carrier diffusivity, and interface thermal resistance of 2D materials. (a) hot carrier generation, diffusion, and recombination, (b and c) transient state design with two different laser spot sizes, and (d–f) steady state design with three different laser spot sizes. Reproduced from ref. 35 with permission from the PCCP Owner Societies, copyright 2018.

domain for the 2D material of interest. A normalized ratio formed by the different Raman shift power coefficients ($\partial\omega/\partial P$) from the two distinct heating states can be equated to the corresponding normalized ratio of these numerically simulated temperature rises. The unique ratio analysis method nullifies the errors introduced from Raman temperature calibrations and laser absorption measurements. Most importantly, the radiative recombination effect will also be cancelled out through ratio analysis. This means the unique ratio formed from two distinct Raman shift power coefficients will provide precise temperature information free from the influence of absorbed laser energy lost through radiative recombination. Additionally, the ET-Raman method has been developed to accurately determine the PL QY of monolayer TMD materials through picosecond (ps) timescale heating. Since radiative lifetimes of room temperature monolayer TMDs happen at the nanosecond timescale,⁵⁷ irradiating a monolayer sample with a ps-range laser pulse generates thermal transport that completely precedes all radiative e–h recombination. Combined with the accumulated heating effect for multiple pulses over a microsecond timescale when radiative recombination occurs, the ET-Raman method can easily distinguish and extract the rate

of radiative recombination. The details of this method have been outlined extensively in our previous work.⁶ As investigations of the thermal properties of direct bandgap TMD monolayer materials continues, ET-Raman methods will continue to offer unique advantages for researchers interested in a comprehensive understanding of nanoscale energy transport.

7. Summary and outlook

As scatteredly discussed above, the ET-Raman technique was introduced to overcome the above problems. Fig. 13 shows the design of the ET-Raman technique to distinguish the energy transport in a 2D material, hot carrier transport, and energy transport across the interface.³⁵ Use of different laser spot sizes can help suppress or magnify the effect of in-plane heat conduction, hot carrier diffusion, and interface energy transport. For instance, when the laser spot size is very small, the hot carrier diffusion becomes extremely important as it can quickly spread the absorbed laser energy out of the heating region. When the laser spot size is very large, the effects of both heat conduction and hot carrier diffusion in the 2D material will



diminish and its temperature rise is largely determined by the interface thermal resistance.

The introduction of transient laser heating and the simultaneous Raman measurement is intended to eliminate the requirement of absolute laser absorption and absolute temperature rise. The ratio (Θ) of the Raman shift power coefficient (steady state over the transient state: $\Theta = \psi_{\text{steady}}/\psi_{\text{transient}}$) can completely eliminate the need for these two physical parameters. It should be noted that the introduction of this ratio somehow reduces the sensitivity of the measurement. For instance, for a supported 2D material, an increase in interface thermal resistance will increase the RSC of both steady and transient states. As a result, the ratio of the RSC of these two states will increase less against interface thermal resistance. This problem can be partially mediated by improving the Raman spectroscopy measurement accuracy.

The concept of transient laser heating and Raman probing was initially introduced in the time domain differential Raman (TD-Raman)⁶¹ and frequency-resolved Raman (FR-Raman).⁶² In addition to suspended 2D materials, the ET-Raman concept has also been applied to supported 2D materials in characterizing the thermal conductivity,¹² hot carrier transport,³⁴ and structure domain size.⁶³ One should always be cautious about the interface thermal resistance measurement of supported 2D materials that have no constrain on their surface. As discussed in Section 3, localized heating and thermal expansion mismatch will always induce interface separation, which in turn significantly increases the local interface thermal resistance. Although the laser absorption and temperature rise evaluation problems are completely solved, this interface separation is always unavoidable. One possible way to solve this problem is to only induce a very low-temperature rise (about ~ 1 K) of the 2D material, making the interface separation effect very small/negligible and the local interface van der Waals force can still hold the 2D material tightly to the substrate surface. Another way is to use a small 2D material, *e.g.* $\sim \mu\text{m}$ in diameter. Therefore, when thermal expansion mismatch happens, the 2D material can easily expand or contract to release the local stress while still keeping the interface very tight.

For optical-acoustic phonon thermal nonequilibrium, the ET-Raman technique could also partially mediate its effect on the final measurement results. This is because the optical-acoustic phonon temperature difference will appear in both steady state and transient laser heating and Raman probing. Therefore, Θ will have much less effect from the optical-acoustic thermal nonequilibrium. However, distinguishing and subtracting the effect of optical-acoustic phonon thermal nonequilibrium remains a big challenge in the thermal transport characterization of 2D materials. Future techniques are expected to build rigorous physical models for probing and subtracting this effect. This is urgently needed to advance the scientific understanding of thermal transport in 2D materials and to tailor their structure toward specific thermal performance requirements.

As the ET-Raman technique does not need the laser absolute value, this naturally eliminates the problem of electron-

hole radiative recombination in the monolayer 2D materials. Also, the transient state design in the picosecond scale provides a novel way to precisely determine the radiative recombination efficiency.⁶ Future studies of monolayer 2D materials could use other ways to identify the radiative electron-hole recombination and subtract its effect or use techniques such as the ET-Raman to work out this problem. In ET-Raman, pulsed lasers are used to realize transient heating and Raman probing. Sometimes this suffers from low Raman signal level due to the low repetition rate of the pulsed laser. Also, precaution should be taken when choosing the laser energy as the laser energy is constrained within one pulse and the very high laser intensity could cause structural damage. A good idea is to choose the repetition rate as high as possible while keeping the pulse interval long enough to allow the sample to cool down before the next pulse comes in. However, for picosecond lasers, the repetition rate usually is very high (tens of MHz). So the accumulation of pulse heating will happen. The physical model must rigorously consider this effect. The FET-Raman can solve this problem while still featuring the great physical advantages of ET-Raman.^{25,64} The FET-Raman can generate frequencies whose time scale is at the same level as the pulse width of a pulsed laser. This will greatly improve the signal level in Raman measurement and significantly suppress the noise effect. Also because the Raman integration time is significantly reduced, the sample stage shift can be reduced to a minimal level. All of these will lead to significantly improved measurement accuracy.

Conflicts of interest

There are no conflicts to declare.

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