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Flexible electrochromic devices prepared on ultra-thin ITO glass†

Marco Schott,^{id}* Lukas Niklaus,^{id} Christine Müller, Begüm Bozkaya^{id} and Guinevere A. Giffin^{id}

Electrochromic devices (ECDs) containing iron-based metallo-supramolecular polymers (Fe-MEPE) and Prussian blue (PB) as active electrode materials, a polymer electrolyte and flexible ultra-thin indium tin oxide (ITO) glass as transparent conductive substrate are demonstrated here. The electrochromic Fe-MEPE and PB thin films were deposited on ultra-thin ITO glass by means of dip coating and electrodeposition, respectively, and characterized optically and (spectro-)electrochemically. By applying a low voltage of 2.5 V/−0.5 V, the Fe-MEPE/PB ECDs can be reversibly switched from a blue-colored dark state to a nearly colorless bright state with a visible light transmittance (τ_v) change from 8% to 58%. The devices exhibit a fast response for decoloration/coloration and good cycling stability tested over 250 switching cycles without any substantial loss of optical contrast. Thus, these ECDs based on ultra-thin glass are very attractive for flexible, lightweight, and low-power electrochromic applications, e.g., in architectural or automotive glazing, aircraft, marine, home appliances, and displays.

Introduction

Electrochromic devices (ECDs) are able to change their optical properties (light absorption/transmission, reflection) induced by an applied external electrical stimulus, such as voltage or current. Common inorganic and organic electrochromic (EC) material are metal oxides (e.g., tungsten or nickel oxide^{1–3}), Prussian blue (PB)⁴ and its analogs (PBA),^{5,6} viologens⁷ or conducting polymers.^{8,9} Metallo-supramolecular polymers (MEPEs), based on transition metal ions and bis(terpyridine) ligands, are a new class of hybrid EC materials that have attracted special attention due to their outstanding optoelectronic properties.^{10,11} Their color can be modified by changing the metal ion,^{12,13} ligand structure,¹⁴ counter ion,¹⁵ and pH¹⁶ leading to a broad color palette. The solution-processable MEPE can be applied as thin films on transparent conductive substrates by means of wet-chemical coating methods, e.g., spin or dip coating.¹⁷ The cathodically-coloring (i.e. coloration upon reduction) Fe-MEPE used in this study consists of Fe²⁺ ions and 1,4-bis(2,2': 6',2''-terpyridin-4'-yl)benzene as ligand and exhibits a reversible EC effect due to the oxidation from Fe²⁺ (blue-violet) to Fe³⁺ (colorless). PB (iron(III) hexacyanoferrate(II), Fe₄[Fe(CN)₆]₃) is a prominent anodically-coloring (i.e. coloration upon oxidation) EC material that switches complimentary to Fe-MEPE from blue (Fe^{2+/3+}) to colorless (Fe^{2+/2+}).¹⁸ Therefore, PB is suitable as

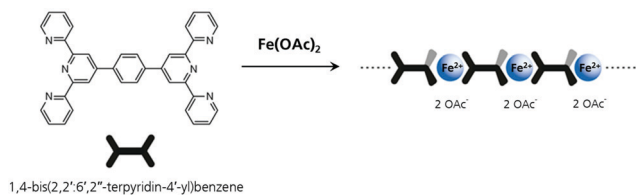
counter electrode for MEPE-based ECDs.¹⁹ Moreover, it can also be applied *via* wet-chemical deposition.²⁰ The demand for polymeric or organometallic EC materials has been notably increasing in recent years due to their potential applications in next generation flexible, lightweight ECDs with high optical contrast, fast response times and low power consumption.^{20–22} The design and fabrication of flexible, stretchable and foldable ECDs with integrated deformable electronics are of high interest for diverse EC applications, such as adaptive camouflage, biometric devices, robotic skin, wearable displays, and fashion.²³ A typical flexible ECD consists of PET-based, transparent conductive substrates, an EC and ion storage layer, and an ion conductive polymer electrolyte.^{24,25}

As an alternative to soft polymer-based substrates ultra-thin glass has been gained attention in recent years for various applications such as organic light emitting diodes (OLEDs),²⁶ electrowetting devices,²⁷ smart windows,^{28,29} and solar cells.^{30,31} A few studies of ITO-coated ultra-thin and flexible glass for the aforementioned applications have been conducted. Castro-Hermosa *et al.* developed flexible perovskite solar cells on ultra-thin ITO glass *via* roll-to-roll sputtering processes for indoor power generators.³¹ Kim *et al.* investigated flexible and semi-transparent Cu(In_{1–x}Ga_x)Se₂ (CIGSe) solar cells prepared on ultra-thin glass for their use in bifacial photovoltaics.³⁰ In comparison to commercially available ITO glass or PET-based transparent conductive substrates, ultra-thin ITO glass provides good optical properties with an average visible light transmittance (τ_v) of 81.2%, while possessing a sheet resistance of 13 Ω sq^{−1} and 1600 bending cycles at 20.5 mm curvature.³⁰ Fahland *et al.*

Fraunhofer Institute for Silicate Research ISC, Neunerplatz 2, Würzburg 97082, Germany. E-mail: marco.schott@isc.fraunhofer.de

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Scheme 1 Chemical structure of Fe-MEPE synthesized by 1 : 1 complexation of the bis(terpyridine) ligand 1,4-bis(2,2': 6',2''-terpyridin-4'-yl)benzene and Fe(II) acetate.

presented a cost-effective roll-to-roll ITO deposition onto ultra-thin glass (100 μm) in a specially developed coating equipment.³² During the deposition, the substrate was heated and the optical properties of ITO were examined by resistivity, optical, and XRD measurements. At a temperature of 190 $^{\circ}\text{C}$, samples with minimal roughness could be prepared. The lowest sheet resistance as well as the resistivity of the layers were obtained at a substrate temperature of 310 $^{\circ}\text{C}$ during ITO deposition as 12 Ωsq^{-1} and $1.5 \times 10^{-4} \Omega \text{cm}^{-1}$, correspondingly. Sung *et al.* demonstrated an anti-reflective (AR) coating with a conductive ITO layer on ultra-thin (100 μm) glass substrates, which can be used for the fabrication of solar cells, displays or antennas.³³ The coating layers included ITO providing a conductivity of $2.95 \times 10^5 \text{S m}^{-1}$ and AlSiO_2 . This coating was stable upon 10 000 bending cycles and exhibited no delamination.

In this work, novel ECDs containing Fe-MEPE and PB as complementary switching electrode materials and a proprietary electrolyte were fabricated for the first time on ultra-thin ITO glass. Their overall EC performance was compared to reference ECDs based on fluorine-doped tin oxide (FTO) glass and PET-ITO as transparent conductive substrates, in particular with regard to the optical properties, response time, and cycling stability. The results presented here offer new possibilities for flexible, lightweight and low-power ECDs that should be of interest for various optoelectronic applications.

Experimental

All chemicals were purchased from Sigma-Aldrich and used without further purification. FTO glass (TECTM 15) and PET-ITO films (OC50) were purchased from Pilkington and Eastman Chemical Company, respectively. The ultra-thin ITO glass substrates (SCHOTT AF32[®]) with a thickness of 100 μm were provided by Fraunhofer FEP. The transparent conductive ITO (indium tin oxide) layer was deposited onto ultra-thin glass by using roll-to-roll (R2R) sputtering.²⁹ Sheet resistance [Ωsq^{-1}] was measured with a 4-point conductivity meter from Jandel (HM21 Test Unit).

Fe-MEPE and PB films on ultra-thin ITO glass

Fe-MEPE was synthesized *via* self-assembly of the bis(terpyridine) ligand 1,4-bis(2,2': 6',2''-terpyridin-4'-yl)benzene and Fe(II) acetate with a metal ion-to-ligand molar ratio of 1 : 1 (Scheme 1) according to the procedure described in ref. 17 and 34.

For the dip-coating process, a Fe-MEPE solution (28 mM in methanol/ethanol/2-butoxyethanol) containing a proprietary

polyurethane-polysiloxane binder to improve the film-forming properties, adhesion, and thermal stability was used. The Fe-MEPE thin films were deposited by a withdrawal speed of 100 mm min^{-1} . After the dip coating process, the Fe-MEPE electrodes were annealed at 60 $^{\circ}\text{C}$ for 24 h.

The PB ($\text{Fe}_4[\text{Fe}(\text{CN})_6]_3$) thin films were electrodeposited from an aqueous electrolyte containing potassium hexacyanoferrate(III) ($\text{K}_3\text{Fe}(\text{CN})_6$), and iron(III) chloride (FeCl_3).^{35,36} By using a PGU 20V-5A-E potentiostat/galvanostat, a current density of 25 $\mu\text{A cm}^{-2}$ was applied for 200 s resulting in a PB layer with a nominal charge density of 5.0 mC cm^{-2} . Finally, the PB electrodes were annealed at 100 $^{\circ}\text{C}$ for 20 min.

Fabrication of electrochromic devices (ECDs)

The ECDs with the cell configuration [transparent conductive oxide (TCO) substrate/Fe-MEPE/electrolyte/PB/TCO substrate] were fabricated with an active (switchable) area of $3.5 \times 3.5 \text{cm}^2$. The ECDs were assembled with a proprietary LiClO_4 -containing gel electrolyte ($\text{LiClO}_4/\text{PC}/\text{DEC}/\text{Paraloid}$) under argon atmosphere in a glove box according to the procedure previously described in ref. 1 The ECDs with FTO glass, PET-ITO, and ultra-thin ITO glass are referred to as ECD-1, ECD-2, and ECD-3, respectively.

Optical, spectroelectrochemical and electrochemical characterization

UV/Vis spectra and color coordinates according to the CIE Lab color space (L^* = lightness, a^* = green-red, b^* = blue-yellow) were recorded using an Avantes AvaSpec-2048 standard fiber-optic spectrometer equipped with a balanced deuterium-halogen light source.

In situ spectroelectrochemical measurements of the Fe-MEPE and PB electrodes were carried out under an argon atmosphere (glovebox) at room temperature in a quartz cell with 1 M LiClO_4/PC as electrolyte and Li as counter and reference electrodes, respectively.

A Solartron Multistat 1470E multi-channel potentiostat/galvanostat was used for electrochemical characterization (cyclic voltammetry, charging/discharging and cycling stability measurements) of the EC electrodes and the ECDs. For the measurements of the EC electrode materials, a three-electrode set-up with Fe-MEPE- and PB on ultra-thin ITO glass as working electrodes (active area: $1 \times 1 \text{cm}^2$), Li as counter and reference electrodes, and 1 M LiClO_4/PC as electrolyte under an argon atmosphere (glovebox) at room temperature was used.

The spectroelectrochemical measurements of the ECDs (incl. UV-Vis and $L^*a^*b^*$ color coordinates according to CIELAB color space of the dark and bright state) were performed *in situ* during device operation. The optimal voltages for decoloration/coloration of the ECDs were determined by stepwise increasing/decreasing the cell voltage until no further transmittance changes were observed at higher/lower voltages. The τ_v values were calculated according to DIN EN410. The electrochemical characterization (potentiostatic cycling) of the ECDs was performed with a Solartron Multistat 1470E potentiostat/galvanostat.



Results and discussion

The ITO-coated ultra-thin glass with a thickness of 100 μm exhibits excellent properties for the application in flexible and lightweight ECDs. In comparison to commonly used TCO substrates, such as FTO glass or PET-ITO, ultra-thin ITO glass shows higher visible light transmittance ($\tau_v = 88\%$) and lower haze values ($>0.1\%$), as can be seen in the transmissions spectra in Fig. 1. Additionally, the sheet resistance of approx. $23 \Omega \text{ sq}^{-1}$ and, thus, the high conductivity could decrease the response time of the final ECDs. Due to the high UV and thermal stability of glass, these substrates are interesting also for the deposition of inorganic EC materials (e.g. tungsten or nickel oxides), which are usually deposited by high-temperature sputtering processes.

The optical data of the TCO substrates are summarized in Table 1.



Fig. 1 Comparison of transmittance spectra of commercially available FTO glass (thickness: 4 mm) and PET-ITO films (127 μm), and ultra-thin ITO glass (100 μm).

Table 1 Color ($L^*a^*b^*$) coordinates, visible light transmittance (τ_v), sheet resistance, haze and total thickness of various TCO substrates

Substrate	Supplier	L^*	a^*	b^*	$\tau_v/\%$	Sheet resistance/ $\Omega \text{ sq}^{-1}$	Haze/%	Thickness
FTO glass	Pilkington	94.3	0.2	2.6	86	14	0.6	4 mm
PET-ITO	Eastman	93.7	-2.9	2.9	85	50	1.0	127 μm
Ultra-thin ITO glass	Fraunhofer FEP	94.9	-1.3	8.1	88	23	0.1	100 μm

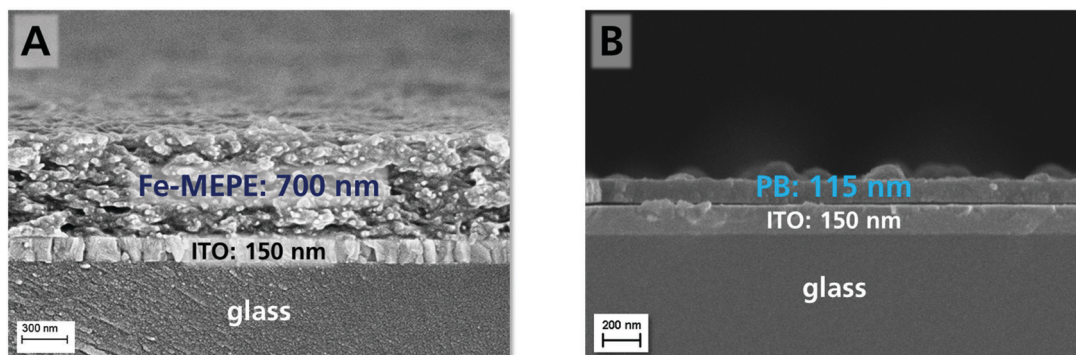


Fig. 2 SEM cross-section images depicting the thickness and interfaces of the (A) Fe-MEPE and (B) PB layer on ultra-thin ITO glass.

Characterization of Fe-MEPE and PB ultra-thin glass electrodes

As the EC characteristics of Fe-MEPE and PB on FTO glass and PET-ITO were already demonstrated in previous studies,^{1,25} only a detailed investigation of Fe-MEPE and PB thin films on ultra-thin ITO glass is described here. The film thickness and surface morphology of the Fe-MEPE and PB electrodes were characterized by scanning electron microscopy (SEM) as shown in Fig. 2. The cross-section images show the smooth Fe-MEPE and PB layer with a film thickness of approx. 700 nm and 115 nm, respectively, on the highly uniform ITO layer ($\sim 150 \text{ nm}$) with well-defined interfaces. Therefore, the adhesion of the EC thin films on ITO is very good. The top-view images of the Fe-MEPE and PB surface are shown in Fig. S1 (ESI[†]).

The optical characterization of the Fe-MEPE and PB thin films on ultra-thin ITO glass are shown in Fig. S2 (ESI[†]) and the results are briefly summarized in Table S1 (ESI[†]). For Fe-MEPE, two significant peaks are visible in the spectrum: the d-d transition at 388 nm and the characteristic metal-to-ligand charge transfer (MLCT) transition at 593 nm resulting in an intense blue-violet hue. The intensity of the MLCT peak increases with the Fe-MEPE film thickness and can be controlled by the concentration of the coating solution and/or the withdrawal speed. In contrast, the light blue-colored PB thin films exhibit a broad intervalence charge transfer (IVCT) band at around 700 nm arising from a short range exchange interaction through the cyano bridge between the two iron centers (Fe^{2+} and Fe^{3+}).³⁷ The thickness (charge density) of the electrodeposited PB layers can be adjusted by the current density and the deposition time.

Fig. 3 shows the spectroelectrochemical measurements of Fe-MEPE and PB thin films on ultra-thin ITO glass. Fe-MEPE as a cathodically-coloring EC material shows a reversible color change between blue-violet (reduced state, Fe^{2+}) at 3.2 V vs. Li/Li^+ and virtually colorless (oxidized state, Fe^{3+}) at 4.4 V vs. Li/Li^+ , whereas PB as an complementary switching (anodically-coloring) EC



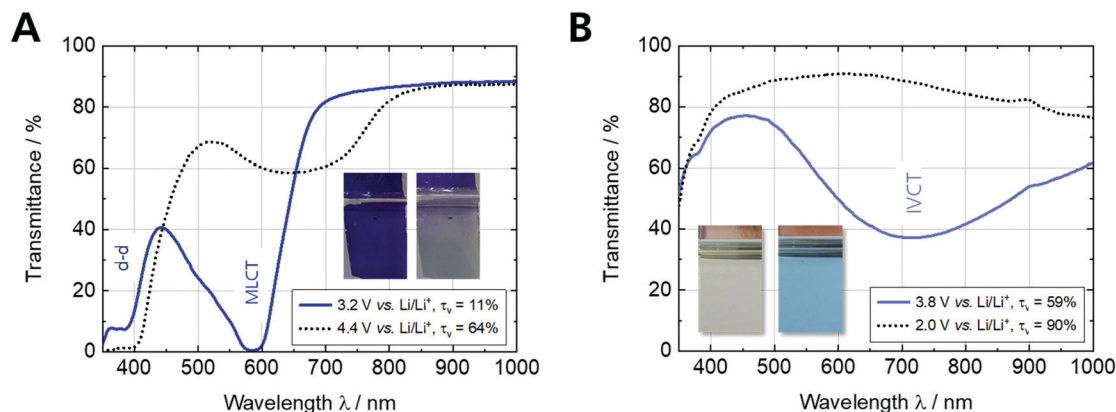


Fig. 3 Spectroelectrochemical characterization of (A) Fe-MEPE and (B) PB thin film electrodes with Li as counter and reference electrode in 1 M LiClO₄/PC as electrolyte.

Table 2 Color (*L***a***b**) coordinates, transmittance in the colored (*T_c*) and bright state (*T_b*) at λ_{\max} , visible light transmittance (τ_v), charge density (*q*), and coloration efficiency (η) of the EC electrodes (Fe-MEPE and PB)

EC electrodes	Potential/V vs. Li/Li ⁺	<i>L</i> *	<i>a</i> *	<i>b</i> *	λ_{\max} /nm	Transmittance at λ_{\max} /%	τ_v /%	Charge/discharge density <i>q</i> /mC cm ⁻²	η /cm ² C ⁻¹
Fe-MEPE	3.2	41.7	18.8	-37.4	584	0.5	11	4.3/4.3	489
	4.4	83.9	-13.9	20.5		62	64		
PB	2.0	96.0	-0.4	3.2	719	88	90	5.0/4.7	80
	3.8	81.5	-10.7	-13.7		37	37		

material switches from light blue (oxidized mixed-valence state, Fe^{2+/3+}) at 3.8 V vs. Li/Li⁺ to completely colorless (reduced state, Fe^{2+/2+}) at 2.0 V vs. Li/Li⁺ (Fig. 3B).

Therefore, these two electrode materials are suitable to be combined in an ECD. The maxima of the MLCT and IVCT transitions of Fe-MEPE and PB are shifted towards 584 nm and 719 nm, respectively, when the electrodes are immersed in the liquid electrolyte. The EC properties of the Fe-MEPE and PB thin film electrodes as well as the Fe-MEPE/PB ECD are summarized in Table 2.

In addition to the spectroelectrochemical characterization, the Fe-MEPE and PB electrodes were characterized by standard electrochemical methods including cyclic voltammetry (Fig. 4) and charging/discharging over the course of 1000 switching



Fig. 4 Cyclic voltammograms (at 10 mV s⁻¹) of the Fe-MEPE and PB thin film electrodes with Li as counter and reference electrode in 1 M LiClO₄/PC as electrolyte.

cycles (Fig. 5). In addition, Fig. S3 (ESI[†]) shows the linear dependency of the anodic and cathodic peak current densities vs. the square root of the scan rate.

The cyclic voltammetry and charging/discharging measurements of the Fe-MEPE electrodes confirm the high reversibility of the decoloration (oxidation) and coloration (reduction) processes. The maximum areal charge density for decoloration/coloration of Fe-MEPE was determined by integrating the area of the anodic and cathodic curves to be around 4.3 mC cm⁻² at a scan rate of 10 mV s⁻¹. At a current density of 50 μ A cm⁻², the Coulomb efficiency (= ratio of the discharge to charge density) is higher than 99% as depicted in Fig. 5. For the application of Fe-MEPE-based ECDs, *e.g.*, in commercial smart windows, long-term cycling stability is necessary. After 1000 charging/discharging steps between 3.2 and 4.4 V vs. Li/Li⁺, the Fe-MEPE thin film electrodes show a charge retention of more than 97% (3.97 \rightarrow 3.88 mC cm⁻²), indicating a remarkably stable switching behavior under inert conditions (glovebox) at room temperature. A similar procedure was performed for the electrochemical evaluation of the PB electrode, where the maximum charge density during decoloration/coloration at 10 mV s⁻¹ was calculated to be 5.0 and 4.7 mC cm⁻², respectively. At current densities of 50 μ A cm⁻², the Coulomb efficiency is higher than 92% with a charge retention after 1000 charging/discharging steps between 2.0 and 3.8 V vs. Li/Li⁺ of more than 93% (4.25 \rightarrow 3.98 mC cm⁻²).

Fe-MEPE/PB ECDs on ultra-thin ITO glass in comparison to ECDs on FTO glass or PET-ITO

The use of ultra-thin ITO glass for ECDs is demonstrated with Fe-MEPE and PB as the working and counter electrodes,





Fig. 5 Galvanostatic charging/discharging measurements with a current density of $50 \mu\text{A cm}^{-2}$ over 1000 switching cycles of (A) Fe-MEPE and (B) PB thin film electrodes on ultra-thin glass with Li as counter and reference electrode in $1 \text{ M LiClO}_4/\text{PC}$ as electrolyte.

respectively. The nominal charge density of the PB electrode ($q = 5 \text{ mC cm}^{-2}$) was adapted to the Fe-MEPE electrode ($q = 4.3 \text{ mC cm}^{-2}$) to reach the maximum optical contrast in the ECDs. The three ECDs (Fe-MEPE/PB) containing a proprietary gel electrolyte were characterized by standard spectroelectrochemical and electrochemical methods as shown in Fig. 6. Fig. 6A and C depicts the transmittance spectra of the two reference cells based on FTO glass (ECD-1) and PET-ITO (ECD-2). The Fe-MEPE/PB ECDs are deep blue-colored in their dark state at cell voltages of -0.5 V due to the distinct MLCT band of Fe-MEPE at $\lambda_{\text{max}} = 584 \text{ nm}$ and switch reversibly to a virtually colorless state at 2.5 V . ECD-1 and ECD-2 switch between τ_v values [%] of 7/62 and 10/64 in the dark/bright state. The transmittance spectra of ECD-3 (ultra-thin glass) in Fig. 6E show an overall change of the τ_v value from 8% (dark) to 58% (bright). In Table 3 the color coordinates and τ_v values of the three ECD configurations are summarized. In addition to the spectroelectrochemical measurements, the ECDs were characterized by standard electrochemical methods including cyclic voltammetry (Fig. S4, ESI[†]) and potentiostatic cycling over the course of 250 switching cycles (Fig. 6B, D and F). The cyclic voltammograms of all three ECDs show reversible redox peaks at cell voltages of approx. 1.2 V (decoloration) and 0.8 V (coloration). Moreover, the study of the scan-rate-dependent ($1\text{--}20 \text{ mV s}^{-1}$) CVs indicated that the peak current densities of the decoloration and coloration processes were linearly proportional to the square root of the scan rate (Fig. S5, ESI[†]).

The response time (= time to reach 10% of initial current density) of the ECDs was determined from the current density-time profile shown in Fig. S6 (ESI[†]). For ECD-3 on ultra-thin glass, values of 8 s and 4 s were obtained for decoloration and coloration, respectively. These values are in between those for ECD-1 (1 s/2 s) and ECD-2 (6 s/8 s) and in accordance with the sheet resistance of the various TCO substrates: FTO glass < ultra-thin ITO glass < PET-ITO (Table 1).

Long-term cycling stability is required for most commercial EC applications. Therefore, Fig. 6B, D and F demonstrate the stable cycling of the ECDs on various TCO substrates over the

course of 250 switching cycles at room temperature with lower and upper cut-off voltages of -0.5 V and 2.5 V , respectively. The transmittance change at 584 nm (maximum MLCT transition) remains relatively constant for all three ECDs with a slight decrease in the bright state from 57% to 54% (ECD-1), 60% to 59% (ECD-2), and 51% to 45% (ECD-3), respectively, while maintaining the initial dark state ($T_c < 0.5\%$). This is evident from the transmittance spectra for the 1st and 250th cycle (Fig. S7A, C and E, ESI[†]). Furthermore, the stability is reflected in the change of the τ_v values [%] from 8/60 \rightarrow 9/56, 9/64 \rightarrow 10/63, and 8/58 \rightarrow 54/8 in the dark/bright state for ECD-1, ECD-2, and ECD-3, respectively (Fig. S7B, D and F, ESI[†]).

Conclusion

Novel ECDs based on complementary-switching Fe-MEPE and PB thin films on flexible ultra-thin ($100 \mu\text{m}$) ITO glass substrates have been fabricated and their electrochromic performance demonstrated for the first time. The optical, electrochemical and spectroelectrochemical properties of the dip-coated Fe-MEPE and electrodeposited PB thin film electrodes were investigated in detail. Both materials show excellent EC properties in terms of optical contrast, coloration efficiency, response time and cycling stability. The ultra-thin ITO glass combines the flexibility of plastic substrates with the high optical quality and robustness of glass, such as high heat resistance, that makes this substrate also applicable for high-temperature deposition of other EC materials (*e.g.* metal oxides). Furthermore, ultra-thin glass can be processed by continuous and large-scale roll-to-roll coating methods as an alternative to the commonly used PET-based ITO substrates. The proof-of-concept Fe-MEPE/PB cell configuration on ultra-thin ITO glass with a visible light transmittance change from 8% (at 0 V) to 58% (at 2.5 V) and a good cycling stability is highly promising for flexible, lightweight, and low-power ECDs in the future. The optical and EC properties of these ultra-thin glass ECDs are well comparable to ECDs prepared on FTO glass



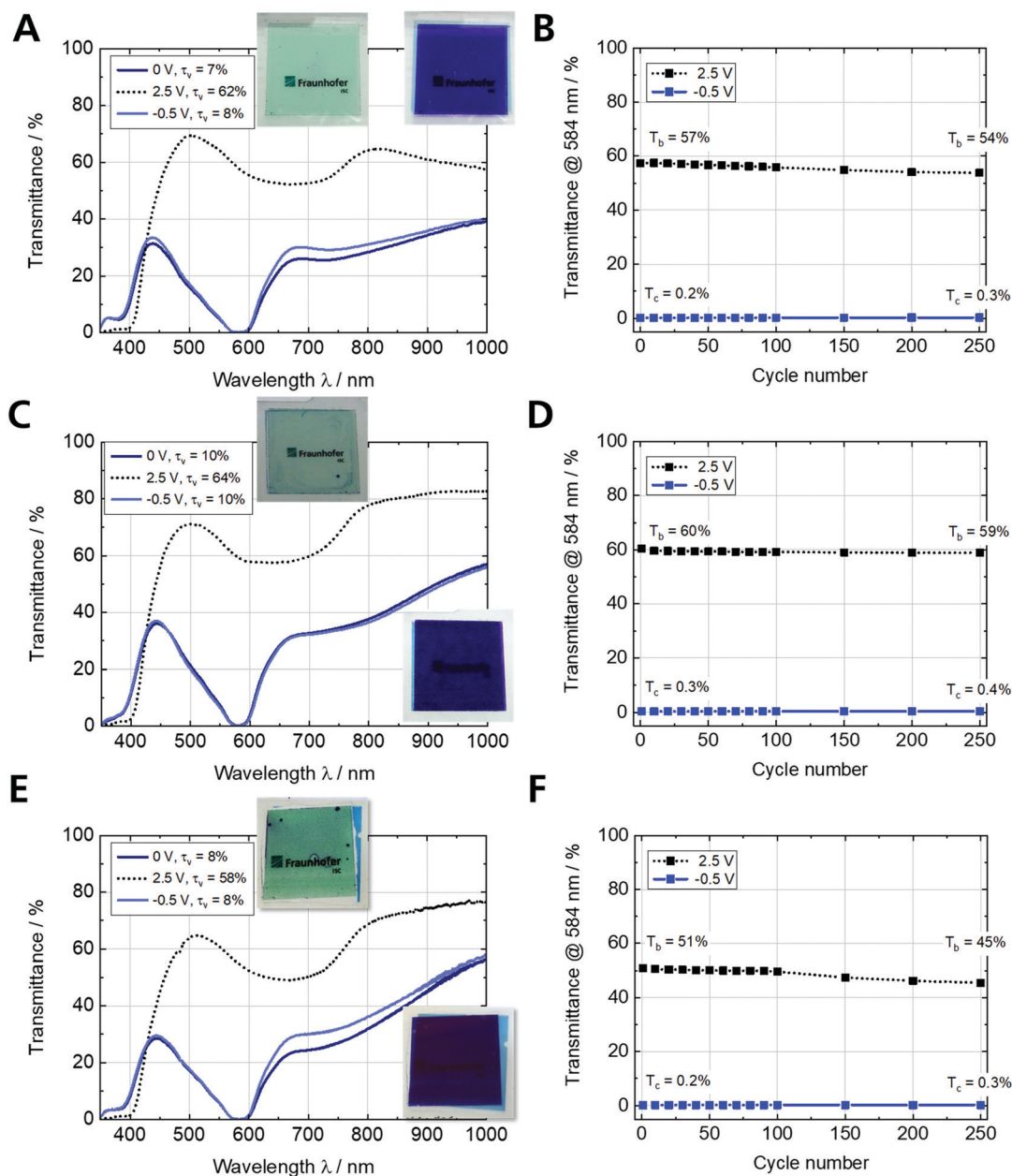


Fig. 6 Spectroelectrochemical characterization and change in transmittance at 584 nm over 250 potentiostatic cycles of the Fe-MEPE/PB ECDs (active area: $3.5 \times 3.5 \text{ cm}^2$) on (A and B) FTO glass, (C and D) PET-ITO, and (E and F) ultra-thin ITO glass.

Table 3 Comparison of the color ($L^*a^*b^*$) coordinates, transmittance in the colored (T_c) and bright state (T_b) at $\lambda_{\text{max}} = 584 \text{ nm}$, visible light transmittance (τ_v), and response time of the full devices (Fe-MEPE/PB)

Full cell	Substrate	Cell voltage/V	L^*	a^*	b^*	Transmittance at $\lambda_{\text{max}}/\%$	$\tau_v/\%$	Response time/s
ECD-1	FTO glass	-0.5	36.4	15.7	-42.1	0.2	8	2
		2.5	82.9	-15.0	12.5	57	62	1
ECD-2	PET-ITO	-0.5	39.3	14.8	-41.7	0.3	10	8
		2.5	83.7	-13.0	9.9	60	64	6
ECD-3	Ultra-thin ITO glass	-0.5	36.8	10.1	-36.8	0.2	8	4
		2.5	80.9	-15.6	16.2	51	58	8

and PET-ITO substrates. Thus, these types of ECDs will be further investigated, particularly under real use conditions, to qualify them

for next generation EC applications, *e.g.*, in architectural or automotive glazing, aircraft, marine, home appliances, and displays.



Author contributions

Marco Schott: conceptualization, supervision, writing – review & editing, project administration. Lukas Niklaus: investigation, validation, visualization, writing – original draft preparation. Christine Müller: ECD preparation, investigation. Begüm Bozkaya: writing – original draft preparation. Guinevere A. Giffin: supervision, writing – review & editing.

Conflicts of interest

There are no conflicts to declare.

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