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Key role of the meniscus shape in crystallization of organic semiconductors during meniscus-guided coating†

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Solution processable organic semiconductors (OSCs) hold advantages for the fabrication of flexible and large-area electronic devices. Meniscus-guided coating (MGC) is one promising technique to tune the crystallization and thin film morphology of OSCs. In this work, we demonstrate the crucial role of the meniscus shape in the fluid flow and crystallization of OSCs during MGC. We demonstrate that angle-dependent dip-coating (ADDC) allows precise control of the meniscus shape. The use of a small meniscus angle during ADCD of 2,7-dioctyl[1]benzothieno[3,2-b][1]benzothiophene (C8-BTBT) enhances the crystallization and mass deposition of the OSC due to an increased upward fluid flow. The resulting aligned crystalline film with high surface coverage favors the charge carrier transport in C8-BTBT based field-effect transistors. This work provides comprehensive insight into the fundamental mechanism of OSC crystallization during MGC, which is important for the large-area deposition of OSCs for practical electronic applications.

New concepts

Meniscus-guided coating is an attractive technique to deposit thin films of organic semiconductors for electronic devices, but only little insight into the fundamental principles of the fluid mechanics for the crystal growth and film formation has been so far provided. In this work, angle-dependent dip-coating is demonstrated as a novel key concept to control the meniscus shape and to modulate the film growth and morphology of solution processable semiconductors in a convenient and efficient way. As the main conceptual approach, the shape of the meniscus is adjusted by tuning the deposition angle of the solution on the moving substrate. Comprehensive insights into the fluid dynamics during dip-coating are gained from the combination of experimental results and steady-state simulations. It is observed that at small meniscus angle the concentration gradient and mass deposition of the solute are increased, leading to improved film morphology and charge carrier transport in field-effect transistors. Angle-dependent meniscus-guided coating as a new concept for semiconductor materials and understanding the relation between film formation and fluid mechanics are important for scaling-up solution processing techniques for practical applications of electronic technologies.

Introduction

Solution processable organic semiconductors (OSCs) are promising for large area, lightweight, and flexible electronics, such as organic field-effect transistors (OFETs).^{1–3} Control over the morphology and molecular packing of OSCs is crucial to realize excellent charge carrier transport in OFETs.^{4,5} Meniscus-guided coating (MGC),⁶ including dip-coating,⁷ zone-casting,⁸ blade-coating,⁹ and solution shearing,¹⁰ provides an efficient way to grow OSCs into highly crystalline thin films. Among various MGC techniques, dip-coating is an excellent approach to precisely control the film thickness with minimal solution waste.¹¹ Homogeneous monolayers based on conjugated polymers have

been fabricated for OFET applications through careful control of the withdrawal speed of the substrate and the concentration of the solution.^{12,13} In the case of small molecule OSCs, the dip-coated films are prone to structural defects, such as non-uniform morphology, void formation, and dendritic growth, due to the limited-mass-transport.^{14–16}

According to the relation between the coating speed and the resulting film thickness, MGC is classified into two deposition regimes, evaporation (or capillary) and Landau–Levich (or Landau–Levich–Derjaguin), with an additional transition region as the mixed regime.⁶ In addition, the evaporation rate (ν) and coating speed during MGC significantly influence the crystal growth of OSCs. For instance, fast evaporation of low boiling point solvents can improve the growth of crystalline acene films during dip-coating.¹⁷ A predictive model for the equilibrium coating speed for solvents of different boiling points and substrate temperatures is proposed.¹⁸ Furthermore, mixed solvents permit tuning the solubility, nucleation rate and crystallization of OSCs.^{15,19} The wettability and surface energy

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of the substrate also play a critical role in determining the nucleation and crystal growth of OSCs during MGC.^{20,21}

Recently, a broad variety of MGC methods have been developed to more precisely control the nucleation and crystal growth of small molecule and polymer OSCs to further improve the charge carrier transport.^{4,22} Solution shearing using a micropillar-patterned blade enhances the mass transport during coating by re-directing the fluid flow. In this way, the dendritic crystal growth of 6,13-bis(triisopropylsilylethynyl)pentacene (TIPS-pentacene) was transformed into large domains of millimeter-size.¹⁰ Bi-phasic dip-coating allows depositing films from a small solution volume even at limited solubility of the OSC.^{23,24} Template-assisted blade-coating can reduce the free energy barrier for polymer crystallization to attain alignment.²⁵ Channel-restricted meniscus self-assembly is attributed to micro-confinement and enables control over the meniscus width, leading to uniform growth of organic crystals.²⁶ A comprehensive understanding of the crystallization and film growth mechanism of OSCs during MGC is essential for thin film deposition for electronic applications. A firm understanding of the alignment mechanism has been developed, but only little insight into the fundamental principles of the fluid mechanics for the crystal growth of OSCs has been so far provided. A comprehensive study of the relation between the meniscus shape, fluid mechanical process and OSC crystallization during MGC is still required.

In this work, angle-dependent dip-coating (ADDC) is applied for MGC to precisely control the meniscus shape.²⁷ The advantage of dip-coating is its simplicity and accurate adjustment of the coating angle

as already reported for inorganic sol-gel films. The control over the thickness and homogeneity of the films deposited in the Landau-Levich regime was significantly improved at large tilting angles α (Fig. 1a).²⁸ The experimental results on ADDC of 2,7-dioctyl[1]benzothieno[3,2-*b*]benzothiophene (C8-BTBT) and two-dimensional fluid simulations reveal that the streamline stagnation point between the upward and recirculation flow is mainly affected by the meniscus shape. A narrow meniscus shape at small angle β elevates the evaporation rate (ν_m) and upward flow at the meniscus, leading to a larger concentration gradient near the contact line, favoring the crystal growth of the OSC during MGC. This fluid flow assisted crystallization is also favored by higher supersaturation caused by a lower solubility of the OSC. On the other hand, the crystallization is limited on substrates with low surface energy due to an increased nucleation barrier. This work gives a comprehensive insight into the OSC crystallization behavior during MGC taking into account fluid mechanical processes. These findings and conclusions are crucial for the deposition of homogenous and ordered thin films from solution and the up-scaling of the MGC process for practical applications.

Experimental section

Materials

C8-BTBT, polystyrene (400 kDa), hexamethyldisilazane (HMDS) and octadecyltrichlorosilane (OTS) are purchased from Sigma and used without further purification.

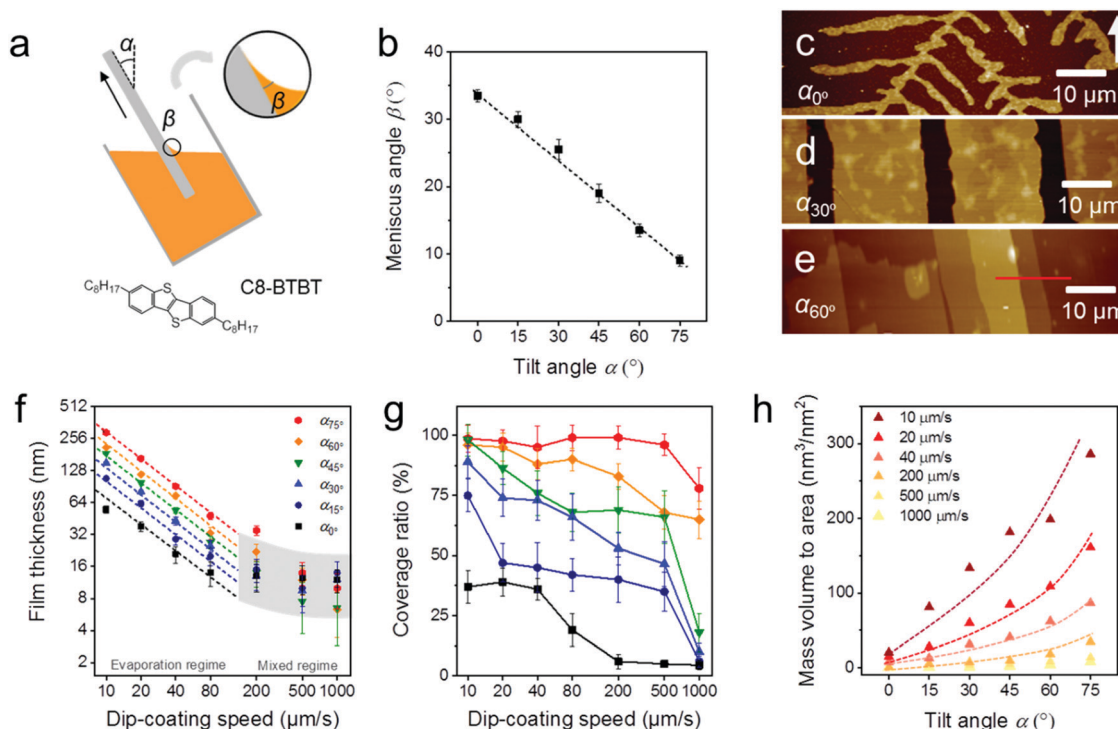


Fig. 1 ADDC of C8-BTBT. (a) Schematic diagram of ADDC and molecular structure of C8-BTBT (black arrow indicates the coating direction). (b) Relation between β_{CHCl_3} and α . Morphology of C8-BTBT crystals obtained at (c) α_{0° , (d) α_{30° and (e) α_{60° by ADDC at $20 \mu\text{m s}^{-1}$ (the white arrow indicates the coating direction). (f) Film thickness and (g) coverage ratio of C8-BTBT as a function of the dip-coating speed for different α . (h) Mass deposition of C8-BTBT for different dip-coating speeds as a function of α .



Angle-dependent dip-coating

Silicon substrates with a 300 nm thick SiO₂ layer were treated by oxygen plasma at 100 W for 3 min to increase the surface energy to around 62–67 mN m⁻¹. In addition, the oxygen plasma treatment is beneficial for a high-quality modification of the SiO₂ surface by the OTS and HMDS self-assembled monolayers. Angle-dependent dip-coating of C8-BTBT was performed from a CHCl₃ or CHCl₃:hexane solution at a concentration of 3 mg mL⁻¹. The dip-coating speeds ranged from 10 μm s⁻¹ to 1000 μm s⁻¹, and the substrate tilt angles were tuned from 0° to 75° in an ambient atmosphere. The HMDS or OTS self-assembled monolayers were obtained by exposing the silicon wafers to the corresponding silane vapor at 150 °C for 6 h.

Morphology characterization

The film morphology was characterized by a Leica POM and a Bruker Dimension Icon FS AFM in tapping mode. Contact angle measurements were performed with a contact angle meter, Data Physics, OCA35. GIWAXS was carried out by means of a solid anode X-ray tube (Siemens Kristalloflex X-ray source, copper anode X-ray tube operated at 35 kV and 40 mA), Osmic confocal MaxFlux optics, an X-ray beam with pinhole collimation and a MAR345 image plate detector. Film thickness measurements were performed using a KLA Tencor Profiler and a Bruker Dimension Icon FS AFM. The coverage ratio was characterized using ImageJ.

COMSOL simulation

Steady-state simulations were conducted by using the COMSOL Multiphysics package. The flow and concentration distributions were captured by using the Creeping Flow and Transport of Dilute Species models. The model dimensions were determined based on the experimentally obtained shape of the meniscus during ADDC.

Charge transport characterization

A bottom-gate and top-contact configuration was employed for OFET devices. Heavily doped n-type Si wafers were used as a gate electrode and a 300 nm thick SiO₂ layer (capacitance of 11 nF cm⁻²) was adopted as a gate dielectric layer. Source and drain electrodes were deposited at a thickness of 50 nm by gold evaporation. The transistor channel length and width were 25 μm and 500 μm, respectively. A Keithley 4200-SCS was used for all standard electrical measurements in a glove-box under a nitrogen atmosphere.

Results and discussion

Angle-dependent dip-coating of C8-BTBT

During MGC of OSCs, the meniscus refers to the liquid shape between the substrate and coating head or bulk solution, which is determined by a combination of surface tension and external forces (usually gravity).²⁹ The meniscus shape is not only influenced by the surface energy of the substrate and the

surface tension of the solution, but also depends on the substrate tilt angle (α) during dip-coating (Fig. 1a) or the distance of the coating head to the substrate during slot coating and zone-casting. When an oxygen plasma treated silicon substrate (S_{plasma}) is immersed into CHCl₃, a capillary action occurs at the interface between S_{plasma} and the bulk solvent and a stable meniscus is achieved immediately. The meniscus angle of CHCl₃ (β_{CHCl_3}) decreases from 33–34° to less than 10° when S_{plasma} is tilted from α_0° to α_{75° (Fig. 1b and Fig. S1, ESI†).

The same meniscus angle is observed for the diluted solution and the pure solvent during dip-coating.³⁰ In addition, the meniscus angle is independent of the coating speed in the evaporation regime (coating speed less than 100 μm s⁻¹), but elongates for high coating speeds in the Landau–Levich regime (coating speed higher than 1000 μm s⁻¹).³¹ To investigate the role of the meniscus shape in the OSC deposition, C8-BTBT coating was carried out in the evaporation regime by ADDC on S_{plasma} from CHCl₃. The molecular structure of C8-BTBT is shown in Fig. 1a. Dip-coating was chosen for MGC since it is a relatively facile coating technique and allows precise adjustment of the coating angle. During dip-coating of C8-BTBT at 20 μm s⁻¹, β_{CHCl_3} on S_{plasma} was precisely controlled by solely varying α . At α_0° dendritic crystals are grown due to the limited-mass-transport of C8-BTBT (Fig. 1c and 2).^{14,31} When the substrate is tilted to α_{30° , the growth of dendritic crystals is suppressed and the film turns into crystalline ribbons aligned in the coating direction (Fig. 1d and 2). This implies enhanced mass transport for the crystal growth caused by the substrate tilting. At further substrate tilting of α_{60° , a terrace-like crystalline morphology is formed (Fig. 1e and 2). The height plot along the red line in Fig. 1e exhibits a difference between single terrace steps of around 2.9 nm corresponding to the molecular length of C8-BTBT and its interlayer distance (Fig. S2a, ESI†).³²

During ADDC of C8-BTBT in the speed range from 10 μm s⁻¹ to 1000 μm s⁻¹, the deposition regimes are characterized by the resulting film thickness. As shown in Fig. 1f, the evaporation regime is established for deposition speeds from 10 μm s⁻¹ to 80 μm s⁻¹, characterized by a power-law decrease (exponent -1) in film thickness corresponding to observations during blade coating of C8-BTBT,³³ while the mixed regime is found between 200 μm s⁻¹ and 1000 μm s⁻¹.¹¹ Interestingly, in the evaporation regime of ADDC, the film thickness is greatly influenced by α . For instance, for a dip-coating speed of 10 μm s⁻¹, the film thickness is around 200 nm at α_{60° and 3.4 times higher than at α_0° (Fig. 1f and Fig. S2, ESI†). In addition, the coverage of the crystalline C8-BTBT film also improves with larger α and small β_{CHCl_3} , as show in Fig. 1g and 2. For dip-coating speeds between 20 μm s⁻¹ and 500 μm s⁻¹, the coverage ratio is below 50% for α between 0° and 15°, and increases to above 75% for α between 60° and 75°. This behavior is in good agreement with observations for blade-coated nanoparticles.³⁴ It was found that a moderately curved meniscus ensures also homogeneous particle film formation. The mass deposition (mass to area) of C8-BTBT for different dip-coating speeds derived from the thickness and coverage ratio implies that a large α enhances the mass transport for the film deposition (Fig. 1h). The improvement in mass



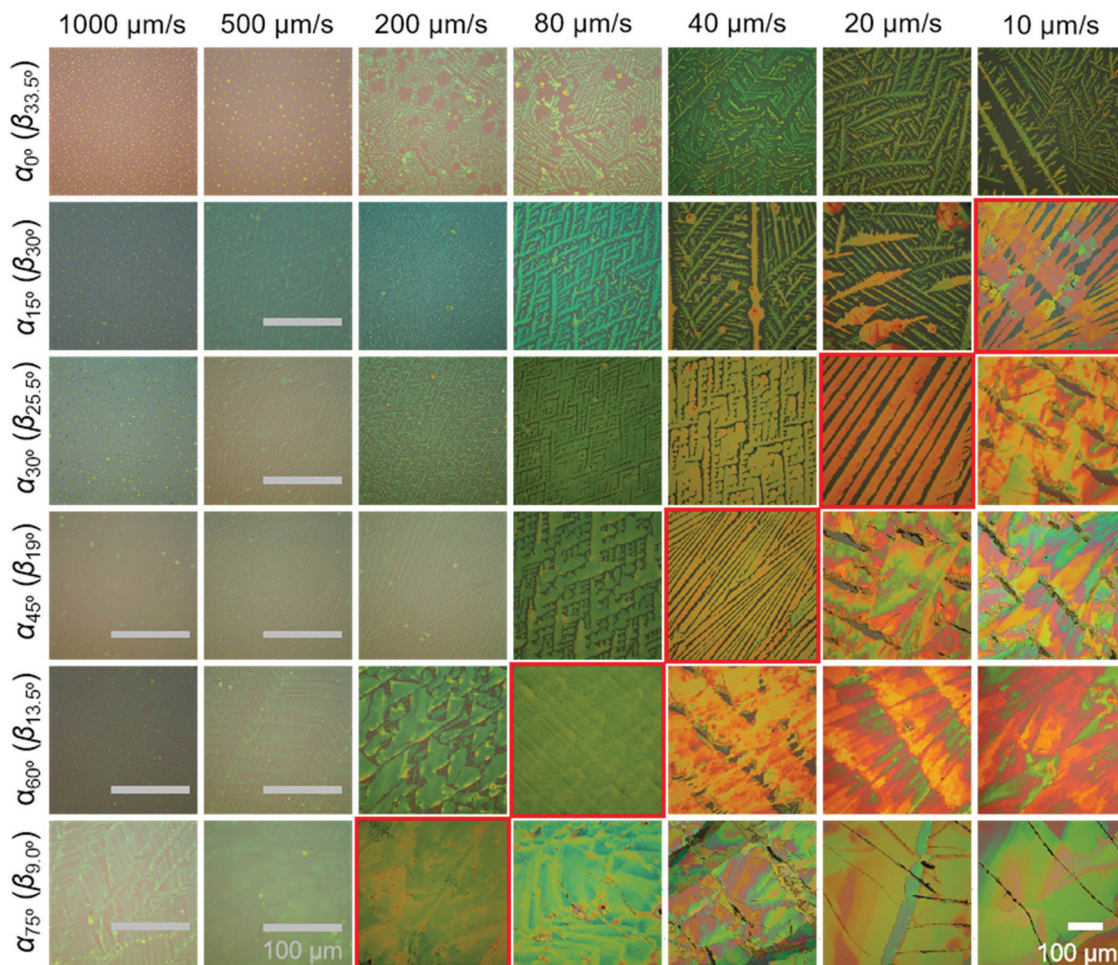


Fig. 2 Optical microscopy images of C8-BTBT film morphologies cast by ADDC at different dip-coating speeds and α . The grey and white scale bars represent 100 μm ; the white scale is valid for all images without a bar. Images of aligned crystalline morphologies are indicated by a red frame.

deposition is especially large for small dip-coating speeds. As previously reported for blade-coating, the amount of molecules per unit volume supplied to the meniscus-line region can be also increased by the solute concentration.³³

The crystalline C8-BTBT films obtained at different dip-coating speeds and α exhibit distinct morphologies, such as crystalline dots, dendrites, ribbons, and slip-stick structures (Fig. 2).^{14,35} Similar types of morphologies were observed for C8-BTBT by varying the boiling point of the solvent during blade coating.³³ Aligned crystalline ribbons result from balanced-mass-transport at appropriate dip-coating speed and α , as highlighted by the red frame of the corresponding optical microscopy images in Fig. 2 and Fig. S2c (ESI[†]). Limited-mass-transport in the regimes of elevated dip-coating speed and low α leads to crystal-dots and dendritic growth. On the other hand, in regimes of low dip-coating speed and large α , the competition between pinning and depinning forces induces a “stick-slip” morphology with a certain spacing/stripe ratio.^{6,35} The reduced spacing at high α contributes to the raised coverage ratio. It implies that the formation of the stick-slip morphology is subject to the meniscus shape.

Since the thickness of the dip-coated films is proportional to the evaporation rate of the bulk solution (ν_{bulk}),¹¹ $\nu_{\text{bulk}(\alpha)}$ was

measured to clarify its influence on the film thickness at various α (Fig. S3, ESI[†]). During ADDC of C8-BTBT from a not completely solvent filled vial (15 mL), $\nu_{\text{bulk}(75^\circ)}$ is around 2.3 times larger than $\nu_{\text{bulk}(0^\circ)}$ at α_0° (Fig. S4, ESI[†]), wherein this difference (varied $\nu_{\text{bulk}(\alpha)}$) is attributed to the change of the liquid level during tilting the vial (Fig. 1a). On the other hand, the film thickness at α_{75° is 4.5 times higher than at α_0° (Fig. S4, ESI[†]), and thus different from the $\nu_{\text{bulk}(75^\circ)}/\nu_{\text{bulk}(0^\circ)}$ ratio, indicating a substantial impact of the meniscus shape on the mass deposition during ADDC.

Fluid flow simulation

To better understand the role of the meniscus shape in the film formation during MGC, the fluid mechanical process at the meniscus has been explored. During ADDC, the fluid flows involve capillary flow induced by non-uniform solvent evaporation, Marangoni flow caused by a surface tension gradient, and Couette flow driven by substrate movement.^{29,36,37} A fundamental feature is the presence of a stagnation point, where the streamline is perpendicular to the solution surface, separating the fluid into the “upward flow region” and “recirculation flow region” (Fig. 3a).²⁹ Modelling the fluid flow at the meniscus is



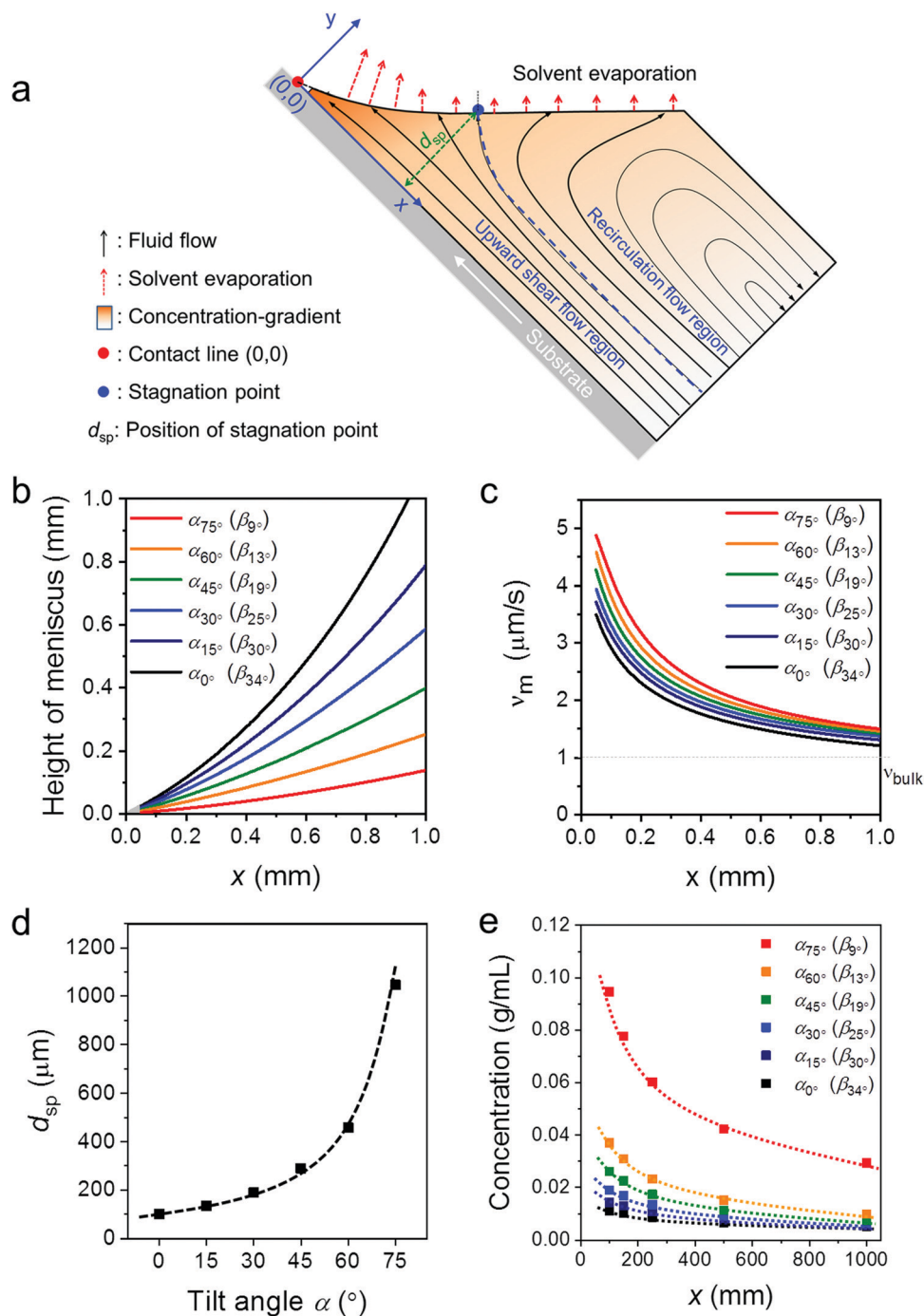


Fig. 3 Fluid simulation for ADDC based on $\nu_{\text{bulk}(0)}$. (a) Key parameters in the applied meniscus model. (b) Meniscus height for different β derived from the optical images in Fig. S1b (ESI[†]). (c) Evaporation rate (v_m) as a function of x . (d) Position of the stagnation point (x_{sp}) as a function of α . (e) Solute concentration at the meniscus (c_m) as a function of α .

challenging and includes various factors, such as the surface tension of the solution, surface energy of the substrate, and viscosity.³⁰ Alternatively, we focus on the fundamental role of the meniscus shape in the fluid mechanical process and film growth. A two-dimensional fluid simulation was performed based on the Stokes flow, whereby the Marangoni effect is ignored (Fig. S5, ESI[†]). All fluid simulations were performed in the evaporation regime at a dip-coating speed of $20 \mu\text{m s}^{-1}$.

The x -axis of the Cartesian coordinates represents the distance along the substrate from the contact line (x), while the y -axis is related to the height perpendicular to the substrate (Fig. 3a). The meniscus shapes for different β were derived from the optical images in Fig. S1b (ESI[†]). The plots in Fig. 3b demonstrate that the meniscus height and β decrease simultaneously, whereby for $\beta < 15^\circ$ the meniscus height is less than 0.25 mm at $x < 1$ mm (Fig. 3b).



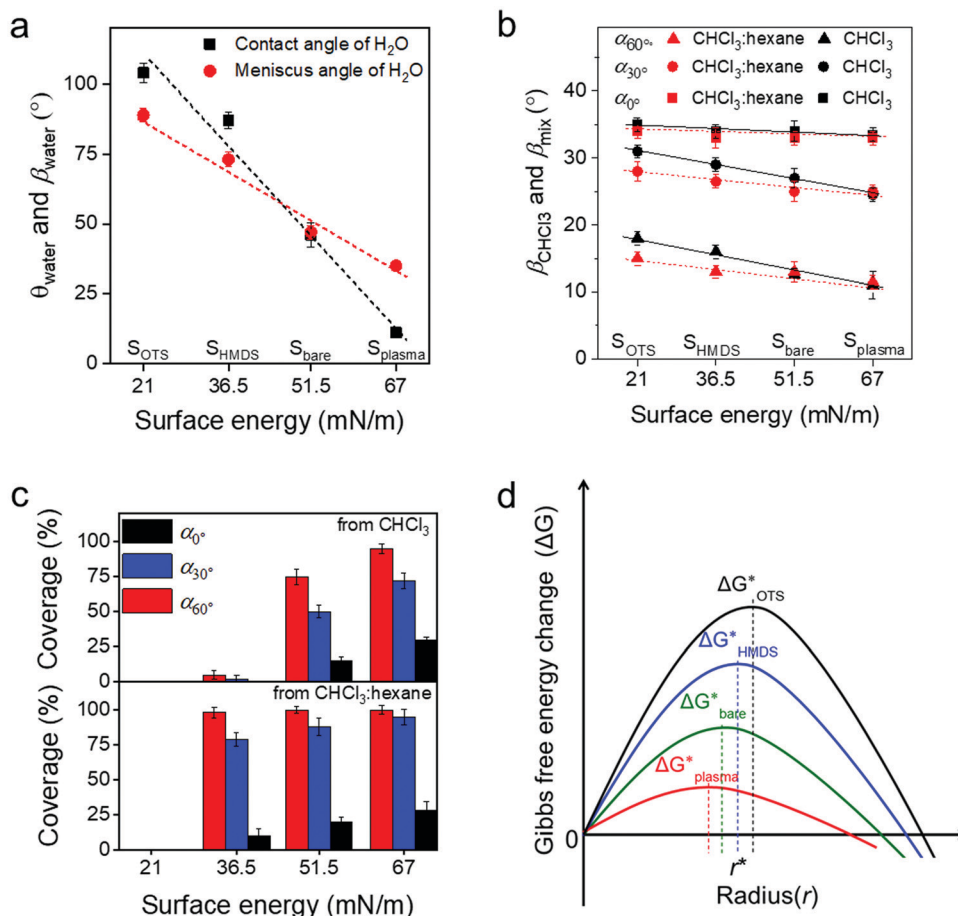


Fig. 4 (a) θ_{water} and β_{water} on substrates with different surface energy. (b) β_{CHCl_3} and β_{mix} at different α as a function of the surface energy. (c) The coverage of C8-BTBT obtained from (top) CHCl₃ and (bottom) mixed-solvent CHCl₃:hexane (4:1) on substrates with different surface energy. (d) The influence of the surface energy on the nucleation barrier, on different substrates. r^* indicates the crucial size for nucleation, where decreases with the increase of r when $r > r^*$.

C8-BTBT greatly enhances at larger α and reaches above 95% at α_{60° (Fig. 4c). This coverage improvement at large α (small β) is directly attributed to the increased upward flow and the reduced solubility, leading to higher supersaturation being necessary to overcome the nucleation barrier on S_{HMDS}. This means that to some extent the fluid flow assisted crystallization is facilitated by the raised supersaturation (Fig. S10c, ESI†). However, even under the same deposition conditions no nucleation occurs on S_{OTS}, which may require a much higher supersaturation of C8-BTBT for nucleation on this type of surface during dip-coating. Because of this reason, the substrate surface modification by self-assembled monolayers of moderate surface energy provides the appropriate requirements for excellent mobility OFETs through the improvement of the crystallization during MGC at small β and reduction of the trap density at the interface.

Molecular packing and charge carrier transport

To study the packing of C8-BTBT in the dip-coated films, grazing incidence wide-angle X-ray scattering (GIWAXS) was performed. The GIWAXS patterns of dip-coated C8-BTBT films indicate identical molecular packing in films cast at 20 $\mu\text{m s}^{-1}$

from CHCl₃ at α_{0° , α_{30° , and α_{60° on S_{plasma} (Fig. S11a–c, ESI†). The distinct out-of-plane scattering intensity at $q_z = 0.22 \text{ \AA}^{-1}$ for $q_{xy} = 0 \text{ \AA}^{-1}$ is assigned to an interlayer distance of 2.85 nm, corresponding to the step-height of the terrace-like morphology observed by AFM (Fig. 1e and Fig. S2a, ESI†). The in-plane reflection at $q_z = 0 \text{ \AA}^{-1}$ and $q_{xy} = 1.90 \text{ \AA}^{-1}$ is associated with a π -stacking distance of 0.33 nm, which is characteristic for preferential edge-on organization of the molecules towards the surface, where the long molecular axis is parallel to the out-of-plane direction (Fig. 5a).⁴⁵ The higher reflection intensity at α_{60° is related to the greater film thickness, whereas the low intensity at α_{0° is attributed to the low surface coverage.

To probe the charge carrier transport of C8-BTBT obtained by ADDC, bottom-gate and top-contact transistors were fabricated, where the channel length (25 μm) was parallel to the coating direction (Fig. 5a). The average charge carrier mobilities of crystalline C8-BTBT films dip-coated on S_{plasma} increase with larger α for different dip-coating speeds (Fig. 5b). As derived from the transfer curves, the transistors show a pronounced $I_{\text{on}}/I_{\text{off}}$ ratio of around 10^7 – 10^8 (Fig. S11d–f, ESI†). When dip-coated at 200 $\mu\text{m s}^{-1}$, dendritic crystals and a low coverage ratio of C8-BTBT lead to a mobility of less than $0.2 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$



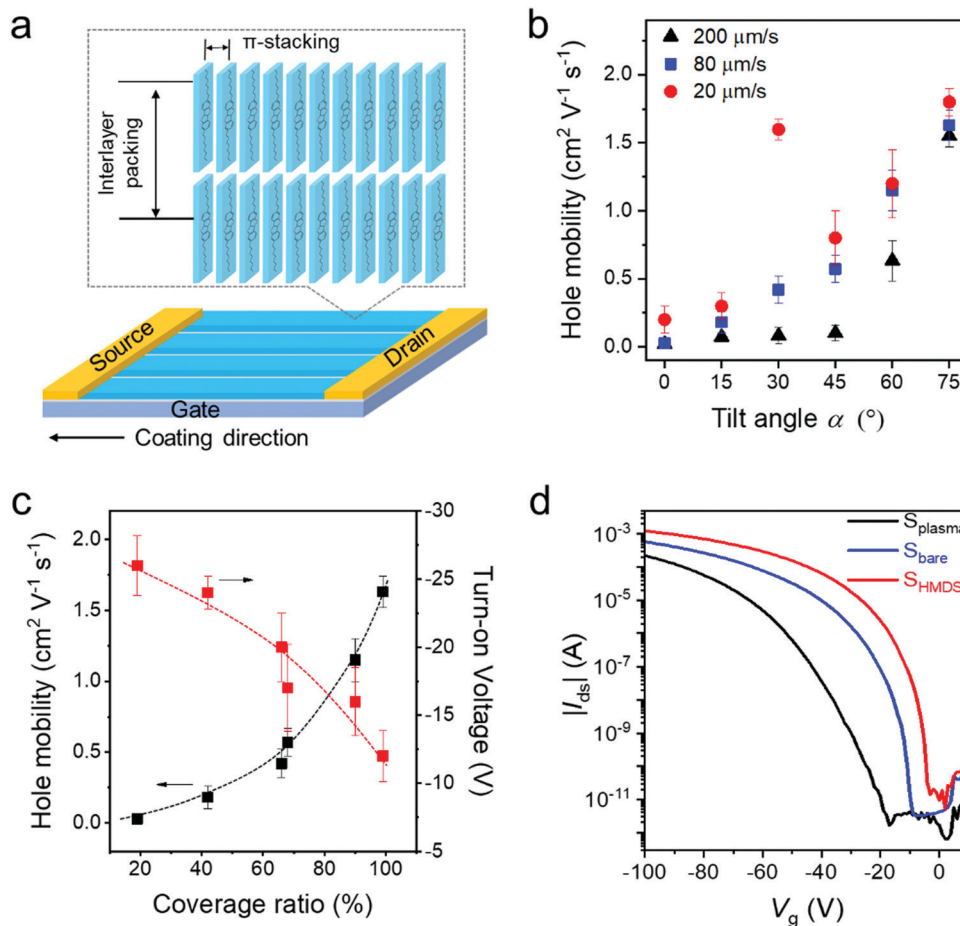


Fig. 5 (a) Schematic of C8-BTBT packing in the dip-coated OFETs. (b) Average mobilities of C8-BTBT on S_{plasma} dip-coated from CHCl_3 at different speeds as a function of α . (c) Relation between the hole mobility, turn-on voltage, and coverage ratio for C8-BTBT on S_{plasma} from CHCl_3 at $80 \mu\text{m s}^{-1}$ and various α . (d) Transfer curves at $V_{\text{ds}} = -100 \text{ V}$ for C8-BTBT on different substrates from the mixed-solvent at $20 \mu\text{m s}^{-1}$ and α_{60° .

at $\alpha < 45^\circ$, which improves for $\alpha > 60^\circ$ (Fig. 5b and Fig. S11d, ESI †). At a deposition speed of $80 \mu\text{m s}^{-1}$, the mobilities gradually rise from $0.03 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ to $1.5 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ with larger tilt angle α (Fig. 5b and Fig. S11e, ESI †). At the same time, the turn-on voltage is reduced from -25 V to around -15 V . At $20 \mu\text{m s}^{-1}$, the mobility also increases from $0.2 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ to $1.8 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ for α from 0° to 75° , except the extraordinary high upwards outlier of $1.6 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ at α_{30° (Fig. 5b and Fig. S11f, ESI †). This value, found only for α_{30° and $20 \mu\text{m s}^{-1}$, results from the well aligned crystalline structures (Fig. 2). This confirms the common knowledge that both high coverage ratio and pronounced alignment of crystalline films are important for the charge transport in OFETs.⁴ For instance, superior charge carrier mobilities were obtained for high coverage of C8-BTBT bar-coated from mixed solvents on a wettable surface.⁴⁶ Fig. 5c depicts the charge carrier mobility and turn-on voltage as a function of the coverage ratio for C8-BTBT films cast at $80 \mu\text{m s}^{-1}$. Thereby, the coverage ratio varies with α as discussed for Fig. 1g. Both transistor parameters improve for higher coverage ratios. The charge carrier mobility slightly increases by taking into account the coverage ratio in the calculation of the device parameters (Fig. S12, ESI †). The decrease in turn-on voltage with higher

coverage ratio at larger α is attributed to a homogenous film morphology with less domain boundaries, which typically act as trapping sites for charge carriers.

Surface modification can also favor the charge carrier transport in OFETs.⁴² The transfer curves in Fig. 5d reveal that the average mobility rises from $1.5 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ for S_{plasma} to $2.0 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ for S_{bare} and to $2.7 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ for S_{HMDS} when C8-BTBT is dip-coated from a mixed-solvent at $20 \mu\text{m s}^{-1}$ and α_{60° . This improvement in device performance is attributed to the reduction of the surface trap density,⁴⁷ and a slightly improved in-plane molecular order (Fig. S13, ESI †) due to the self-assembled monolayer applied at the interface. On all three types of surfaces the C8-BTBT molecules are edge-on arranged as evident from the in-plane (100) and (010) and out-of-plane (001) reflections in the GIWAXS pattern (Fig. S14, ESI †).³² The highest mobility of around $3.6 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ is obtained for S_{HMDS} at $20 \mu\text{m s}^{-1}$ and α_{60° which corresponds to an average performance of C8-BTBT.⁴⁸ Notably, the small β and low solubility of C8-BTBT in the mixed-solvent significantly favor the crystallization of the organic semiconductor during ADDC, improving the device performance. It is assumed that the charge carrier mobility of dip-coated C8-BTBT can be further



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