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# Enantioselective synthesis of multi-nitrogen-containing heterocycles using azoalkenes as key intermediates

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Chiral multi-nitrogen-containing heterocycles, such as pyrazole, imidazole and pyridazine, are widely found in naturally occurring organic compounds and pharmaceuticals, and hence, their stereoselective and efficient synthesis is an important issue in organic synthesis. Out of the variety of methods that have been developed over the past century, the catalytic asymmetric cyclization and cycloaddition reactions are recognized as the most synthetically useful strategies due to their step-, atom- and redox-economic nature. In particular, the recently developed annulation reactions using azoalkenes as key intermediates show their great ability to construct diverse types of multi-nitrogen-containing heterocycles. In this feature article, we critically analyse the strategic development and the efficient transformation of azoalkenes to chiral heterocycles and  $\alpha$ -functionalized ketone derivatives since 2010. The plausible mechanism for each reaction model is also discussed.

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## Introduction

The efficient synthesis of enantiomerically enriched nitrogen-containing heterocycles continues to be a major focus of research efforts in the modern synthetic organic community due to their remarkable activity and wide applications.<sup>1</sup> In this context, the catalytic asymmetric cycloaddition reactions represent extremely powerful tools and impressive developments have been achieved in recent decades.<sup>2</sup> However, in comparison with the

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**Scheme 1** Readily-available  $\alpha$ -halo *N*-acyl hydrazone serving as a precursor of azoalkenes.

well-established cycloaddition strategies to construct heterocycles containing one nitrogen atom, such as pyridines, piperidines and pyrroles, there are only a few methods being disclosed for the synthesis of multi-nitrogen-containing heterocyclic frameworks.<sup>3</sup>

Azoalkene, which is also called enyldiazene or 1,2-diaza-1,3-butadiene, has proven to be an efficient starting material for the synthesis of various multi-nitrogen-containing heterocycles including pyrroles, pyrazoles, imidazoles, thiazoles, selenazoles, 1,2,3-thiadiazoles, 1,2,3-selenadiazoles, 1,2,3-diazaphospholes, pyridazines, pyrazines, 1,4-thiazines, 1,2,4-triazines and mixed heterocyclic systems. A solid foundation in this area has been established by O. A. Attanasi's group, which has been devoted to the non-asymmetric transformation of azoalkenes for thirty years and published two reviews about the reactivity of azoalkenes.<sup>4</sup>

However, azoalkene-involved asymmetric annulation has received less attention although the corresponding enantiomerically enriched multi-nitrogen-containing heterocycles exhibit potential applications in drug synthesis.

Recently, readily available  $\alpha$ -halo *N*-acyl hydrazones, serving as the precursor of azoalkenes *via* base-promoted 1,4-elimination<sup>5</sup> (Scheme 1), have been successfully employed in the construction of optical active heterocycles and considerable progress has been made since 2010. In this feature article, we highlight recent progress on asymmetric annulation of azoalkenes reported by our laboratory and others. Meanwhile, some successful racemic examples are also discussed. To calibrate the reasonable scope of this survey, this feature article is accordingly organized by the different types of reaction model.



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*organocatalysts for efficient construction of biologically active and enantiomerically enriched compounds.*

## [4+1] Annulation of azoalkene

Dihydropyrazoles are important five-membered aza-heterocycles and are present in a wide range of bioactive compounds with anti-depressant, anti-cancer, anti-inflammatory, anti-bacterial, and anti-viral activities.<sup>6</sup> Moreover, functionalized dihydropyrazoles are also of significance for the preparation of natural products and applications in asymmetric synthesis. The catalytic asymmetric formal [4+1] annulation of azoalkenes with C1 synthons provides direct access to enantiomerically enriched dihydropyrazoles.

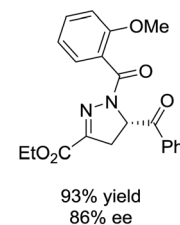
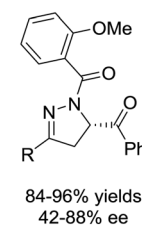
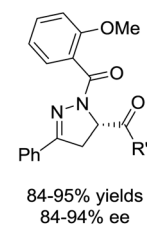
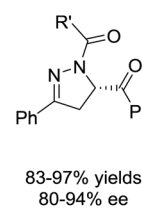
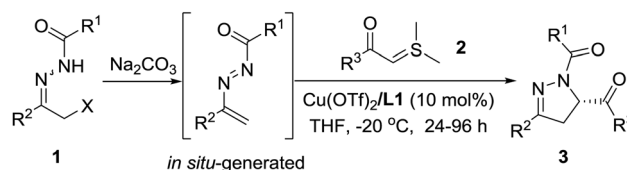
### Asymmetric [4+1] annulation with sulfur ylides

In 1973, Dalla and coworkers reported the first example of the [4+1] annulation of azoalkenes and sulfur ylides to produce dihydropyrazole derivatives (Scheme 2, upside).<sup>7a</sup> In 2012, the Bolm group successfully realized the asymmetric version of this reaction with the chiral Lewis acid complex (Scheme 2, downside).<sup>7b</sup> The azoalkene is formed *in situ* by the base treatment of  $\alpha$ -chlorinated hydrazone **1**. The nucleophilic attack of sulfur ylide **2** at the terminal position of the *in situ* formed azoalkenes followed by the intramolecular cyclization with the release of dimethyl sulfide, in a stepwise fashion, completes the transformation. The chiral environment of this reaction is created by the coordination of the Cu(OTf)<sub>2</sub>/(*R*)-Tol-BINAP complex with the nitrogen and oxygen atoms of the *in situ* formed azoalkenes.

*racemic version (ref. 7a):*



*asymmetric version (ref. 7b):*



**Scheme 2** Formal [4+1] annulation of sulfur ylides with *in situ* generated azoalkenes.

A series of dihydropyrazoles **3** are produced in high yields (81–97%) with moderate to excellent enantioselectivities (42–94% ee). Some selected examples of the substrate scope are shown in Scheme 2.

Very recently, Wang and Fang *et al.* reported a similar transformation using *in situ*-formed fluorinated sulfur ylides as C1 reactants, which provided a series of racemic 5-(trifluoromethyl)-pyrazolines in good yields without any catalysts.<sup>8</sup>

#### [4+1] Annulation of azoalkene with diazo compounds

In 2014, the Favi group reported a formal [4+1] cycloaddition of azoalkenes and diazo compounds.<sup>9</sup> The diazo esters **4** react with azoalkenes through the carbon as a soft nucleophilic centre and act as a synthetic equivalent of a 1,1-dipole one-carbon synthon or a carbene. Notably, under catalyst-free conditions, the dimer **7** of the *in situ* formed azoalkene could be isolated with a 62% yield while only a 17% yield of the desired [4+1] product **6** was obtained. Employing ZnCl<sub>2</sub>, Bi(OTf)<sub>3</sub>, InCl<sub>3</sub>, Yb(OTf)<sub>3</sub> or Sc(OTf)<sub>3</sub> as the Lewis acid catalyst all give dimer **7** as the major product. Switching the catalyst to copper salts reverses the ratio and CuCl<sub>2</sub> shows the best performance, giving the desired product in a 92% yield, and the self-[4+2] annulation is completely suppressed (Scheme 3).

#### Formal [4+1]/[4+2] annulation of azoalkene with maleimide

In 2017, our group reported unprecedented PPh<sub>3</sub>-mediated [4+2]/[4+1] annulations of maleimides **8** with azoalkenes, in which maleimide served as C2 and C1 synthons. The fused



Scheme 3 Cu(II)-Catalyzed formal [4+1] annulation of diazo compounds with *in situ* generated azoalkenes.



Scheme 4 PPh<sub>3</sub>-Mediated formal [4+1]/[4+2] annulation of maleimide with *in situ* generated azoalkenes.

tetrahydropyridine/pyrrolidinedione **9** and spiro-dihydropyrazole/pyrrolidinedione derivatives **10** were achieved in good yields from the same set of starting materials under different reaction conditions.<sup>10</sup> The catalyst and solvent screening indicated that using 20 mol% of PPh<sub>3</sub> as the catalyst and 1,2-dichloroethane as the solvent, the reaction proceeded exclusively *via* a [4+2] annulation pathway. Only [4+1] products were isolated when this reaction was performed with a stoichiometric amount of PPh<sub>3</sub> in acetone.<sup>11</sup> Meanwhile, temperature screening shows that increasing the reaction temperature is beneficial for the [4+2] pathway (Scheme 4).

We proposed a possible reaction mechanism to rationalize the PPh<sub>3</sub>-mediated [4+2]/[4+1] annulations of maleimides and azoalkenes (Scheme 5). Both pathways begin with the nucleophilic



Scheme 5 Proposed mechanism for the PPh<sub>3</sub>-mediated formal [4+1]/[4+2] annulation of maleimides with *in situ* generated azoalkenes.

attack of  $\text{PPh}_3$  (**P**) on the electron-deficient  $\text{C}=\text{C}$  bond in maleimide generating the zwitterionic intermediate **A**. In the lower polar solvent 1,2-dichloroethane, intermediate **A** acts as a carbon-based nucleophile and directly attacks the electron-deficient azoalkene to form zwitterionic species **B**, which is followed by the intramolecular cyclization to furnish the fused product **9** and regenerate  $\text{PPh}_3$ . When using the more polar solvent acetone instead of 1,2-dichloroethane with a stoichiometric amount of  $\text{PPh}_3$ , the initially generated zwitterionic intermediate **A** is efficiently converted to a more stable phosphorus ylide **C**, which is believed to be facilitated by acetone-aided 1,2-proton transfer. Phosphorus ylide **C** can serve as a 1,1-dipole C1 synthon and react with the azoalkene in a stepwise formal [4+1] pathway, affording the spiro heterocyclic product **10**.

## [4+2] Annulation of azoalkene

Pyridazines and hydroxyridazines are versatile structural motifs in a number of natural products (e.g., pyridazomycin and azamerone) and pharmaceutically active compounds such as nonsteroidal progesterone receptor regulators, neuro-transmitter inhibitors, and influenza neuraminidase inhibitors.<sup>12</sup> The known synthetic strategies for tetrahydropyridazines are limited to a few special



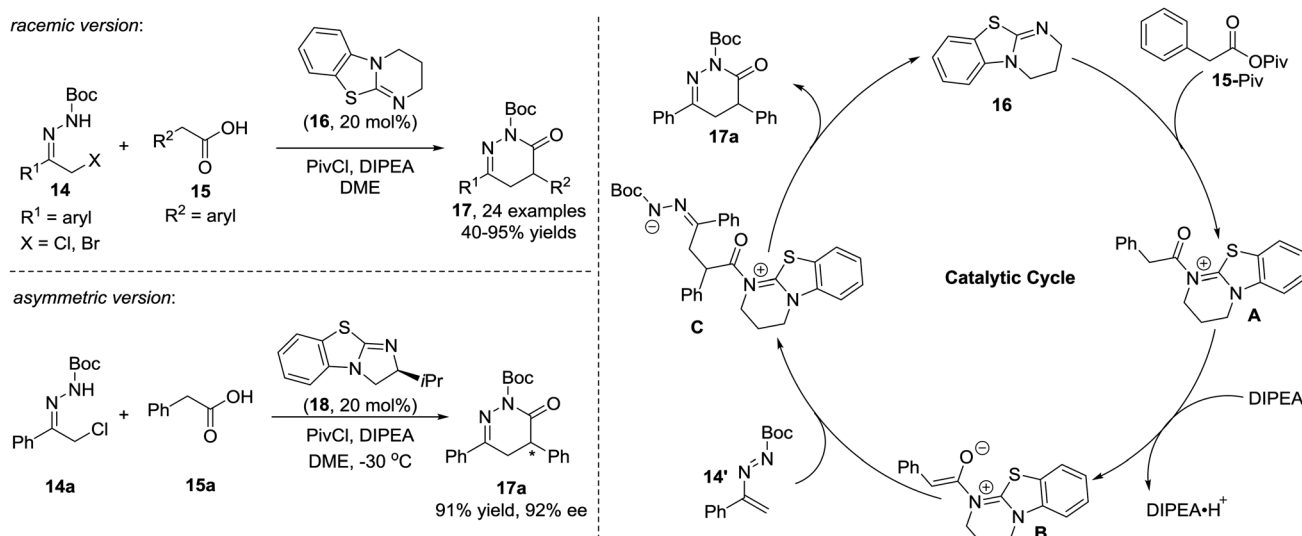
Scheme 6 Amine catalyzed formal [4+2] annulation of aldehydes with azoalkenes.

substrates, and few general methods exist for their synthesis.<sup>5</sup> Strategically, the newly discovered inverse electron-demand aza-Diels–Alder reaction of *in situ* formed azoalkenes with dienophiles is arguably one of the most powerful and atom-/step-economic approaches. Although many examples of azoalkene-involved [4+2] annulation have been reported, most of them are racemic or have moderate levels of enantioselectivities.<sup>13</sup> Notably, the *in situ* formed azoalkenes are a highly reactive species, and the uncatalysed background reaction was observed in many cases, which constitutes the main obstacle to realize the corresponding asymmetric transformation.

## Formal [4+2] annulation of azoalkene with aldehyde and arylacetic acids

In 2010, the Pitacco group reported the first example of the formal [4+2] annulation of azoalkenes **11** with phenylacetaldehydes **12** catalysed by L-proline or (*S*)-(+)-1-(2-pyrrolidinylmethyl)-pyrrolidine. However, these two amines did not show sufficient catalytic reactivity or stereocontrol ability, and the corresponding 1,4-dihydropyridazines **13** were obtained in 15–87% yields and 25–78% ee with relatively long reaction time (4–10 d) (Scheme 6).<sup>14</sup>

Yao *et al.* in 2015 reported an isothiurea **16** catalysed formal [4+2] cycloaddition of azoalkenes **14** with arylacetic acids **15** in a racemic version.<sup>15</sup> The reaction mechanism is proposed to involve the initial formation of intermediate **A** between isothiurea **16** and anhydride **15-Piv** which is *in situ* generated from **15** and PivCl. The deprotonation of **A** under basic conditions affords ammonium enolate **B**. Nucleophilic attack by the enolate at the terminal position of the *in situ* formed azoalkene **14'** followed by intramolecular lactamization produces the corresponding 4,5-dihydropyridazin-3(2*H*)-one **17** and regenerates the catalyst. The authors also tested the asymmetric version of this reaction with one example using (*S*)-BTM as the chiral catalyst. Gratifyingly, the product **17a** could be achieved in a 91% yield with a 92% ee (Scheme 7).



Scheme 7 Isothiurea catalyzed formal [4+2] cycloaddition of azoalkenes with arylacetic acids and the proposed mechanism.

#### [4+2] Annulation of azoalkene with electron-rich alkenes

In 2013, Xiao *et al.* reported that Lewis acids catalysed the inverse electron-demand aza-Diels–Alder (IEDDA) reaction of azoalkenes with enol ethers **19**. Using a catalytic amount of the chiral Cu(OTf)<sub>2</sub>/bisoxazoline(L2) complex, the reaction allows rapid access to tetrahydropyridazine derivatives **20** in good efficiency with moderate to excellent enantioselectivities (14–90% ee) (Scheme 8, upside).<sup>16</sup> In 2015, our group also reported a similar transformation using the Cu(I)/*t*Bu-phosferrox(L3) complex as the catalyst.<sup>17</sup> The corresponding products were isolated in high yields with generally excellent enantioselectivities (Scheme 8, downside).

Recently, much synthetic effort has been made to develop efficient strategies for the dearomative annulation of indoles to prepare biologically important [2,3]-fused indoline heterocycles.<sup>18</sup> In this context, the catalytic asymmetric intra-<sup>19a-g</sup> and intermolecular<sup>19h-m</sup> C2,C3-annulation of indoles, has become one of the most direct and diversity-oriented methods<sup>20</sup> for the construction of optically active [2,3]-fused indolines. In 2014, our group successfully developed the first catalytic asymmetric

dearomative IEDDA reaction of indoles with **21** azoalkenes catalysed by a Cu(I)/*t*Bu-phosferrox(L3) complex.<sup>21</sup> The catalyst system shows excellent efficiency and asymmetric induction ability with satisfactory tolerance to various functional groups, delivering a series of [2,3]-fused indoline tetrahydropyridazines **22** in 80–95% yield with 78–99% ee. Remarkably, the branched  $\alpha,\alpha$ -dichloro hydrazone **1'** is also a suitable azoalkene precursor, affording the heterocycle **22'** bearing three contiguous stereogenic centers (Scheme 9).

2-Silyloxyfurans, which are commonly recognized as readily accessible nucleophilic synthons of the  $\gamma$ -anion of 2(5*H*)-furanone, have been widely used in vinylogous Mukaiyama–aldol, Mukaiyama–Michael, and Mukaiyama–Mannich type additions to prepare butenolide derivatives.<sup>22</sup> Considering that butenolide is a potential Michael acceptor, we envisioned that 2-silyloxyfurans might sequentially react as a nucleophile and an electrophile in the domino reaction, giving rise to fused butyrolactones, which are core structures in various natural products.<sup>23</sup> In 2015, we developed a formal [4+2] annulation of 2-silyloxyfuran **24** with azoalkenes through a cascade vinylogous Mukaiyama 1,6-Michael/aza-Michael addition process.<sup>24</sup> The challenge of this reaction is the strong uncatalysed background reaction. The Cu(I)/(*S,S*)-*t*Bu-phosferrox complex we used before only gives moderate stereoselectivity and the Cu(OTf)<sub>2</sub>/*t*Bu-box(L2) complex is found to be superior after screening of a large number of combinations of metal salts and chiral ligands. The protic additive hexafluoroisopropanol (HFIPA) is beneficial for improving the chemical yield.<sup>25</sup> Under the optimized reaction conditions, azoalkene precursors with an array of (hetero)aryl substituents react smoothly with 2-silyloxyfuran to the desired products in high yields with excellent enantioselectivities and exclusive diastereoselectivities. The 2- and 5-substituted 2-silyloxyfurans are also compatible with this reaction. In addition, pyrrole-based dienoxysilane was then evaluated, and the fused butyrolactams were obtained in satisfactory yields with excellent stereoselectivity control. Unfortunately, the alkyl-substituted hydrazone was not viable, affording the product as a racemate probably due to the overwhelmingly disadvantageous background reaction (Scheme 10).

The investigation of carbon isotope effects for the current reaction between TBSOF and azoalkene indicates that only a noticeable carbon isotope effect was detected on the carbon at the 5-position (<sup>13</sup>C<sub>recovered</sub>/<sup>13</sup>C<sub>virgin</sub> = 1.029, average of three runs), revealing that the first vinylogous Mukaiyama 1,6-Michael addition is the rate-determining step.<sup>26</sup> Thus, we believe that this annulation proceeds through a stepwise pathway that is not a concerted [4+2] cycloaddition, followed by sequential desilylation (Scheme 11).

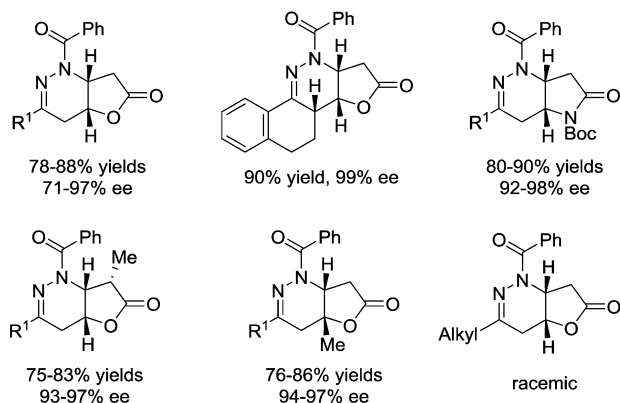
When we attempted to further extend the dearomative azoalkene-involved inverse-electron-demand Diels–Alder (IEDDA) reaction to challenge the 2-MeO-substituted furans **26**, which were generally utilized as 4 $\pi$  participants in the Diels–Alder reaction and [4+3] cycloaddition,<sup>27</sup> an unprecedented multi-component cascade IEDDA/nucleophilic addition/ring-opening process was realized serendipitously.<sup>28</sup> The anticipated bicyclic heterocycle was not observed; instead, the cycloadduct was further attacked by H<sub>2</sub>O, followed by tetrahydrofuran ring-opening,



Scheme 8 Copper complex catalyzed IEDDA reaction of azoalkene with enol ethers.



Scheme 9 Cu(II)/tBu-phosferrox catalyzed inverse-electron-demand Diels–Alder reaction of azoalkene with indoles.



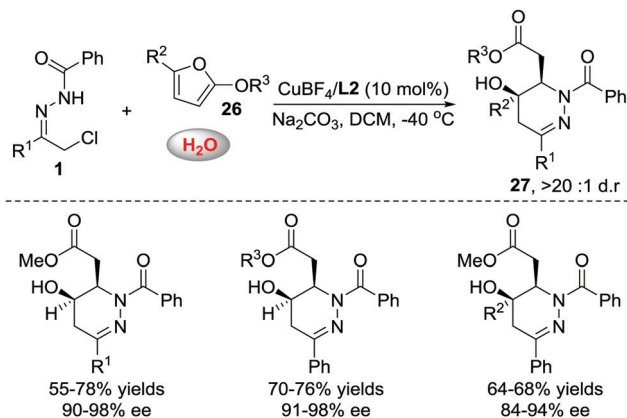
Scheme 10 Cu(II)/tBu-Box catalyzed formal [4+2] annulation of azoalkene with 2-silyloxyfuran.

leading to unexpected tetrahydropyridazine derivatives **27** bearing a  $\gamma$ -hydroxyl ester moiety. The existence of intermediate **28** was

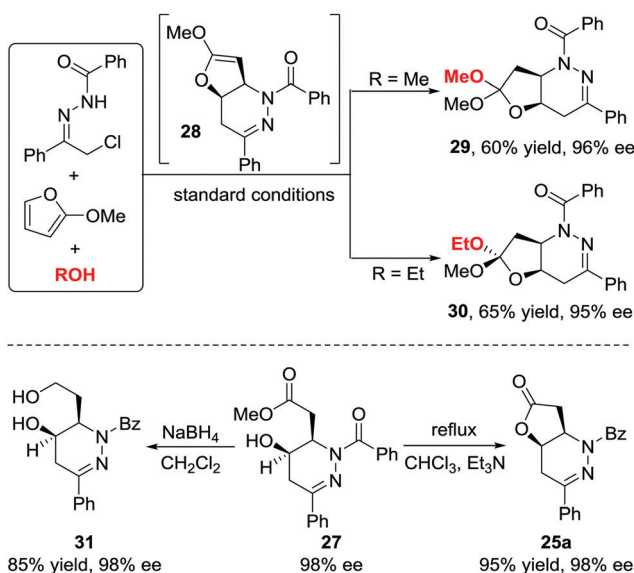
Scheme 11 Carbon isotope effects (R/R0) calculated for TBSOF furan. The three methyl carbons of the tBu group (in bold) were taken as the internal standard.

confirmed by trapping other nucleophiles, such as MeOH and EtOH, instead of water. Compound **30** was isolated as a single isomer, further indicating that the nucleophilic addition to intermediate **28** is stereospecific. The ligand we used in the reaction of azoalkenes and 2-silyloxyfurans also exhibited excellent stereocontrol ability in this transformation using Cu(MeCN)<sub>4</sub>BF<sub>4</sub> as the metal salt. Various substituted hydrazone and 2-alkoxyfurans were evaluated, producing the corresponding products with satisfactory results. Moreover, this method provides a more atom-economic way to fuse  $\gamma$ -butyrolactone **25a** compared with our previous work *via* the base-promoted intramolecular lactonization (Schemes 12 and 13).

Enoldiazoacetates are widely used as electron-rich alkenes or 1,3-dipoles in cycloaddition reactions.<sup>29</sup> Xu and Doyle recently



Scheme 12 Cu(I)L2 catalyzed multicomponent cascade inverse electron-demand aza-Diels-Alder/nucleophilic addition/ring opening reaction.

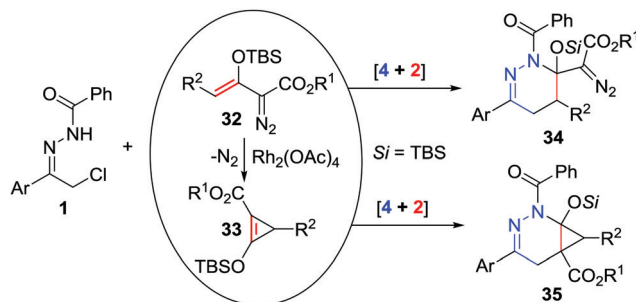


Scheme 13 Control experiments to capture the intermediate and synthetic transformation.

realized catalyst-dependent [4+2]-cycloaddition reactions of azoalkenes with enol diazoacetates **32**.<sup>30</sup> When the reaction between  $\alpha$ -halo hydrazone and enol diazoacetates was conducted under basic conditions without any catalysts, tetrahydropyridazinyl-substituted diazoacetates **34** were produced as the major products. In contrast, when  $\text{Rh}_2(\text{OAc})_4$  was employed as the catalyst, the enol diazoacetates underwent  $\text{Rh}_2(\text{OAc})_4$ -catalysed dinitrogen extrusion to generate the donor-acceptor cyclopropenes **33** first, followed by [4+2]-cycloaddition with azoalkenes to yield bicyclo[4.1.0]tetrahydropyridazines **35** (Scheme 14).

#### [4+2] Annulation of azoalkene with electron-neutral alkenes

In addition to the electron-rich alkenes, the electron-neutral alkenes are also suitable dienophiles in the IEDDA reaction due to the high reactivity of azoalkene. In 2015, Luo *et al.* reported a catalyst-free [4+2] cycloaddition of *in situ* generated azoalkenes and simple olefins.<sup>31</sup> The reaction proceeds smoothly under

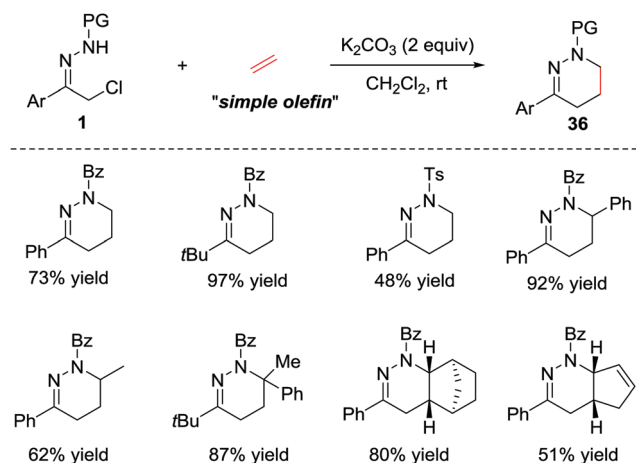


Scheme 14 The regioselective [4+2] annulation of enoldiazoacetate with azoalkenes.

basic conditions in the absence of any catalysts. Various olefins, such as ethylene, styrene, norbornene and cyclic diene are also tolerated in this protocol, giving the desired products **36** in good yields (Scheme 15).

Although Luo *et al.* have proven that the electron-neutral alkenes are viable substrates to react with azoalkenes, the asymmetric version of this transformation with high stereoselectivity remains elusive. Such a goal has been reached recently by our group. In 2017, we reported an unprecedented  $\text{CuOtf}/t\text{-Bu-Box}$  complex catalysed asymmetric IEDDA reaction of azoalkenes and 5-silylcyclopentadienes **37** in high yields with excellent enantioselectivities.<sup>5</sup> The application of a desymmetrization strategy allowed us to construct bicyclic tetrahydropyridazine derivatives **38** bearing a unique  $\alpha$ -chiral silane motif (Scheme 16).<sup>32</sup>

When evaluating the generality of this protocol, we noticed that unconjugated dienes, such as 1-trimethylsilyl-2,5-cyclohexadiene and 1-trimethylsilyl cyclopent-2-ene, failed to react with the azoalkenes. Considering that the cyclopentadiene derivatives are able to serve as 4 $\pi$ - or 2 $\pi$ -participants in the Diels-Alder reaction, two different possible reaction pathways are proposed: the normal-electron-demand Diels-Alder reaction (NEDDA) followed by [3,3]-sigmatropic rearrangement (pathway a), or the inverse-electron-demand Diels-Alder reaction (IEDDA) (pathway b). The computational studies with or without a catalyst and both



Scheme 15 Base-promoted inverse electron-demand aza-Diels-Alder reaction of azoalkenes with simple olefins.



**Scheme 16** Cu(I)/L2 catalyzed asymmetric [4+2] annulation of 5-silyl cyclopentadienes with azoalkenes and its limitations.

calculation results rule out the possibility of pathway a. The calculation also indicates that the conjugation structure of cyclopentadiene is essential for this transformation because the interactions of orbitals through space lowered the energy barrier of the *endo*-oriented transition state (Scheme 17).<sup>33</sup>

Later, we further extended the reaction to cyclopentadiene derived fulvenes **39**. Compared with simple olefin and diene, fulvenes represent a more challenging reaction partner because of their capability to serve as  $2\pi$ ,  $4\pi$ , and  $6\pi$  components in various cycloadditions.<sup>34</sup> Fortunately, the catalyst system we developed in the reaction between azoalkenes and 5-silylcyclopentadienes is



**Scheme 18** Cu(I)/tBu-Box catalyzed [4+2] annulation of azoalkenes with fulvenes.

also suitable here. The desired products **40** are obtained as single regio- and diastereoisomers in good yield with 92–99% ee (Scheme 18).<sup>35</sup>

## [4+3] Annulation of azoalkene

Seven-membered carbocycles and heterocycles, which are widely observed in many biologically active natural products and clinical pharmaceuticals, have attracted much attention in the development of efficient synthetic strategies.<sup>36</sup> However, compared with the well-established methodologies for the construction of medium-sized rings (five- and six-membered), such as Diels–Alder reaction and 1,3-dipolar cycloaddition, it is relatively difficult to achieve seven-membered ring compounds,

### a) Proposed reaction pathways



### b) DFT calculation for pathway (b)



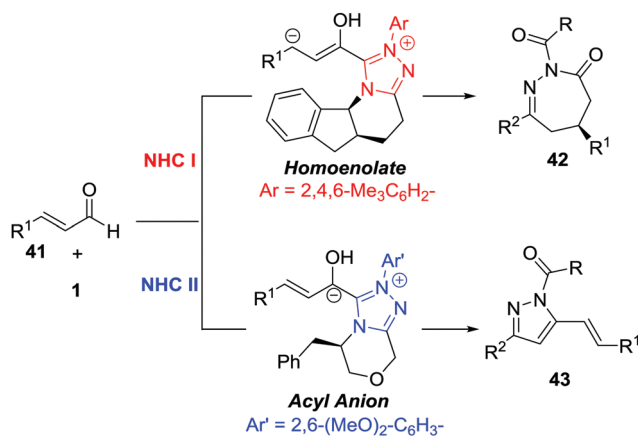
**Scheme 17** Proposed reaction pathways and computational studies.

especially for seven-membered heterocycles. Representative synthetic strategies for seven-membered carbocycles include [4+3] cycloaddition, [5+2] cycloaddition, and ring-closing metathesis.<sup>27,37</sup> In sharp contrast, methods to construct nitrogen- and multi-nitrogen-containing seven-membered heterocycles are rare. The recently developed [4+3] annulations of azoalkenes have been recognized as a straightforward protocol for the synthesis of structurally diversified seven-membered heterocycles.

### NHC-catalysed [4+3] annulation of azoalkene with enals

The Breslow intermediates generated from enals, which are frequently employed as C3 participants, have been well studied in NHC-catalysed [3+*n*] annulations.<sup>38</sup> In 2014, Glorius *et al.* reported an NHC-catalysed regio- and enantioselective formal [4+3] annulation reaction between enals **41** and azoalkenes, producing the biologically important 1,2-diazepine derivatives in good yields with excellent enantioselectivities (often 99% ee).<sup>39</sup> This reaction represents the first example of utilizing azoalkenes for the asymmetric synthesis of seven-membered heterocycles. Interestingly, the authors noticed that modifying the standard NHC catalyst could switch the reactivity towards a formal [4+1] annulation to synthesize functionalized pyrazoles. A reasonable mechanism for the regioselective umpolung annulations is proposed. As documented in Bode's study,<sup>40</sup> an NHC catalyst with different electronics and steric demands could affect the reactive NHC-intermediate. In this reaction, controlling the competing homoenolate/acyl anion reactivity is the key factor for chemo- and regioselectivity. Here, *N*-Mes containing NHC was used to realize selective homoenolate reactivity and preferentially afford [4+3] cycloadducts **42**, while seemingly less electron-rich *N*-2,6-(OMe)<sub>2</sub> containing NHC was preferred for the enal acyl anion reaction pathway to give [4+1] annulation products **43** (Scheme 19).

Very recently, the Enders group disclosed an NHC catalysed [4+3] annulation of isatin-derived enal **44** with 4-atom components including *in situ* generated aza-*o*-quinone methides, azoalkenes, and nitrosoalkenes.<sup>41</sup> The NHC-based homoenolate 3C components derived from enal **44** reacted smoothly with these (aza)diene compounds, affording a series of seven-membered spiro-N-heterocycles in high yields and excellent enantioselectivities.



Scheme 19 NHC-Catalyzed regioselective [4+3]/[4+1] annulation of azoalkenes with enals.



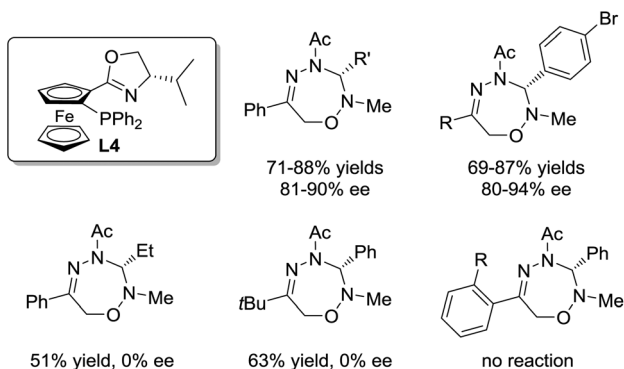
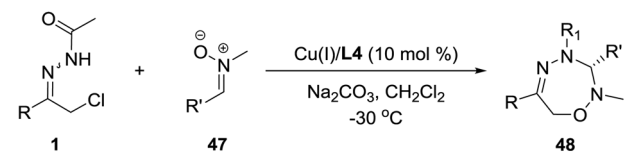
Scheme 20 NHC-Catalyzed [4+3] annulation of azoalkenes with isatin-derived enal.

$\alpha$ -Chloro *N*-tosyl hydrazones bearing substituted-aryl, heteroaryl or alkyl groups were all found to be viable azoalkene precursors for this transformation. The *Z/E* geometry of the isatin-derived enal has virtually no effect on the reaction with azoalkene in yield and enantioselectivity according to the control experiments. A possible catalytic cycle is proposed for this transformation. The addition of the NHC to the isatin-derived enal generates the Breslow intermediates, which undergo conjugate addition of the aza-*o*-quinone methide or azoalkene to construct a new C-C bond and quaternary stereocenter. The resulting acyl azolium species then proceeds *via* lactamization to release the NHC catalyst and produce the desired seven-membered spiro-N-heterocycles **45** (Scheme 20).

### Lewis acid catalysed [4+3] annulation of azoalkene with 1,3-dipoles

1,3-Dipoles are undoubtedly one of the most common and important 3-atom components and have been widely used in 1,3-dipolar cycloaddition for the construction of optically active heterocycles.<sup>1a</sup> However, the research interest has been prominently focused on the [3+2] and [3+3] cycloadditions,<sup>42</sup> and only a few works on the investigation of the [3+4] cycloaddition between 1,3-dipoles and dienes have been reported, probably ascribed to the tendency for reactions between 1,3-dipoles and dienes to favour the [3+2] pathway rather than the [3+4] pathway.<sup>43</sup> Considering that the azoalkene has proven to be a promising 4-atom participant, we sought to evaluate the feasibility of enantioselective 1,3-dipolar [3+4] cycloaddition between the 1,3-dipoles and azoalkenes.

Nitron was selected as the representative 1,3-dipole because of its availability and stability. It was found that the [3+4] cycloadduct could be obtained in good yield either with or



Scheme 21 Cu(I)-Catalyzed asymmetric 1,3-dipolar [4+3] cycloaddition of azoalkenes with nitrones and its limitations.

without catalysts. Obviously, the strong background reaction restricts the development of the asymmetric variant of this annulation. The condition optimization indicated that the *N*-protecting groups of azoalkenes, the *N*-substituents of nitrones, the solvents and the reaction temperature all have a noticeable impact on the enantioselectivity.  $\alpha$ -Chloro *N*-acyl hydrazones and *N*-Me nitrones **47** are superior reaction partners catalysed by the CuBF<sub>4</sub>/iPr-phosferrox(L4) complex, giving the corresponding [3+4] cycloadduct **48** in high yields with good to excellent enantioselectivities. However, some limitations were observed with this protocol. For alkyl-substituted nitrones or azoalkene precursors, only racemic products were obtained, and no reaction occurred with *ortho*-substituted hydrazone (Scheme 21).<sup>44</sup>

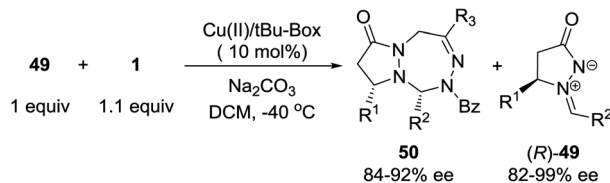
Zhao *et al.* simultaneously reported a racemic version of a similar [3+4] cycloaddition of nitrones with azoalkenes. A diverse set of 1,2,4,5-oxatriazepane derivatives were synthesized without any catalysts, demonstrating that the reaction between azoalkenes and 1,3-dipoles is a practical and efficient route to seven-membered heterocycles.<sup>45</sup>

Recently, we demonstrated that the 1,3-dipolar [3+4] cycloaddition of azoalkene can be extended to other stable 1,3-dipoles such as azomethine imine **49**.<sup>46</sup> This transformation shows better stereoselectivity and broader substrate scope towards both 1,3-dipoles and azoalkenes compared with the reaction between nitrones and azoalkenes. Furthermore, a kinetic resolution of racemic azomethine imines could be realized using the same protocol with high *S* factors (Scheme 22).

## Other types of reactions of azoalkene

Azoalkenes are not only suitable 4-atom components but also can behave as electrophiles in conjugated addition to react with various nucleophiles.

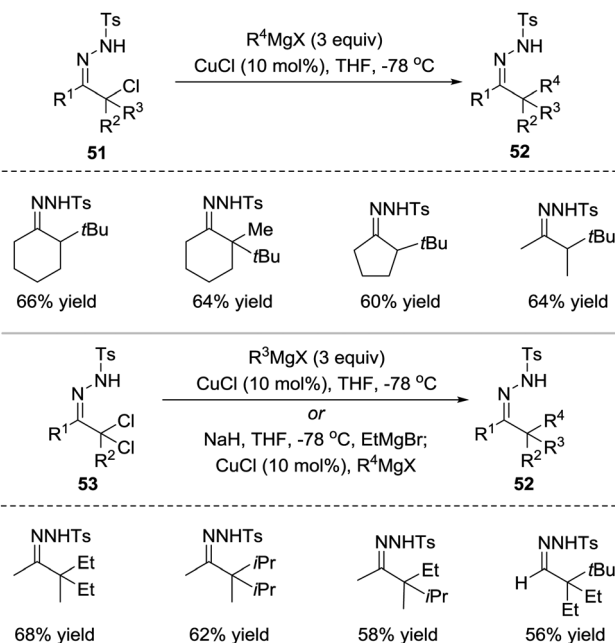
In 2010, the Coltart group reported a Cu(I)-catalysed addition of Grignard reagents to *in situ*-derived *N*-sulfonyl azoalkenes **51**.<sup>47</sup>



Scheme 22 Cu(II)-Catalyzed asymmetric 1,3-dipolar [4+3] cycloaddition and kinetic resolution of azoalkenes with azomethine imines.

At least 2 equivalents of the Grignard reagent are needed in this reaction because the Grignard reagent both acts as a base to produce azoalkene and undergoes transmetalation with the Cu(I) catalyst to form a cuprate capable of conjugate addition to azoalkene. Due to the highly reactive nature of both azoalkenes and Grignard reagents, this umpolung alkylation strategy enables the synthesis of extremely hindered compounds that would be inaccessible using traditional enolate chemistry. Starting from *N*-sulfonyl hydrazones, the sequential oxidation/alkylation process is also feasible. Additionally, one-pot  $\alpha,\alpha$ -bisalkylations have been successfully developed using  $\alpha,\alpha$ -dichloro-*N*-sulfonyl hydrazones **53** as the starting materials (Scheme 23).

Later, in 2015, the Coltart group reported the conjugate addition of Grignard reagents with  $\alpha$ -epoxy *N*-sulfonyl hydrazones **54**.<sup>48</sup> Unlike the  $\alpha$ -halo hydrazones, the deprotonation of

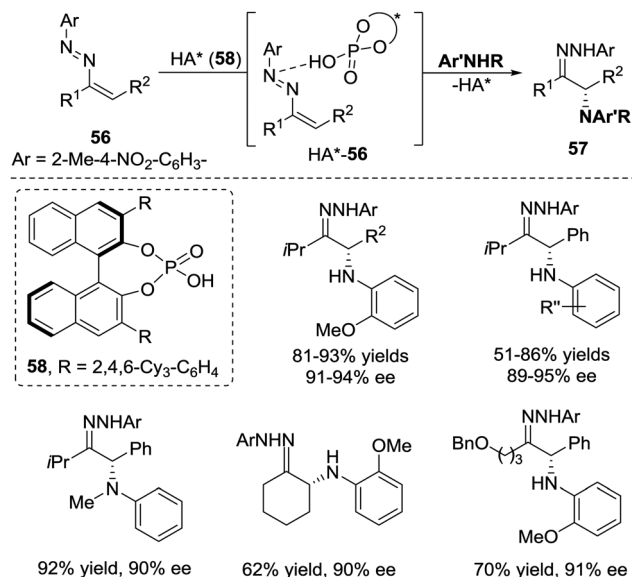


Scheme 23 Cu(I)-Catalyzed conjugate addition of Grignard reagents to azoalkenes.

$\alpha$ -epoxy *N*-sulfonyl hydrazone by Grignard reagents triggers ring opening of the epoxide and leads to the 3-alkoxy-1-*N*-sulfonyl azopropene intermediate. The alkoxide of the intermediate would then coordinate to the magnesium of the Grignard reagent, which plays a crucial role in this transformation for both reactivity and selectivity. The authors believe the coordination not only induces addition but also causes it to proceed in the desired 1,4-manner. Furthermore, the coordination forces the Grignard reagents to attack from the same face of the azoalkene, thus resulting in a highly diastereoselective addition. Remarkably, this transformation is able to incorporate an unprecedented scope of carbon-based substituents, including 1°, 2°, and 3° alkyls, as well as the alkenyl, aryl, allenyl and alkynyl groups (Scheme 24).

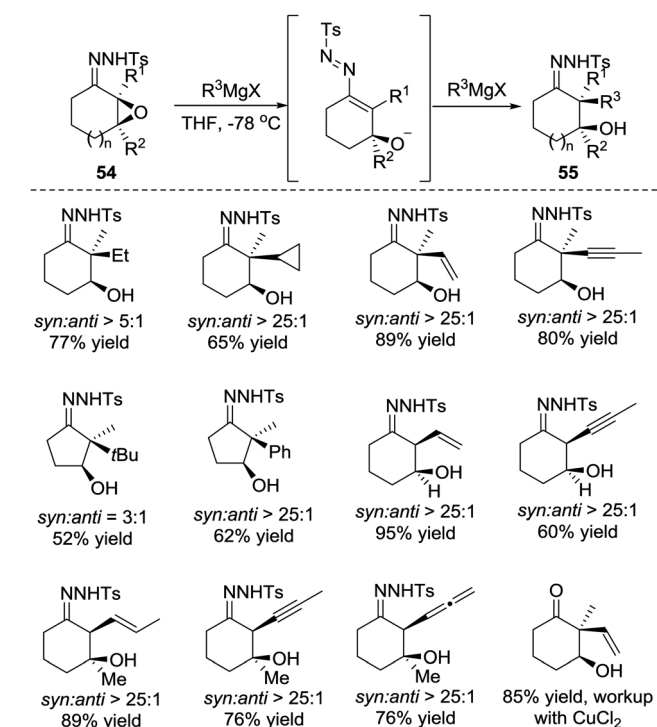
In the same year, Toste *et al.* realized a chiral phosphoric acid catalysed conjugate addition of anilines to azoalkenes **56**, which provide a novel strategy for the enantioselective synthesis of  $\alpha$ -amino ketones.<sup>49</sup> Unlike other reports that azoalkenes are usually activated by Lewis acids, this transformation demonstrated that the interaction between azoalkenes and Brønsted phosphoric acid<sup>50</sup> under the appropriate conditions will also result in the formation of an activated intermediate HA\*-56 (Scheme 25).

A cascade vinylogous 1,6-Michael addition/1,4-proton shift/aza-Michael addition/hemiaminal cyclization process of activated azoalkenes **59** with  $\beta$ -substituted 2-butenals **60** was developed by the Chen group in 2017, which provided a directed entry to a variety of 8-diazabicyclo[3.3.0]octane derivatives **63** bearing a quaternary stereogenic centre in 43–95% yields, >20:1 d.r. with 31  $\geq$  99% ee (Scheme 26).<sup>51</sup>

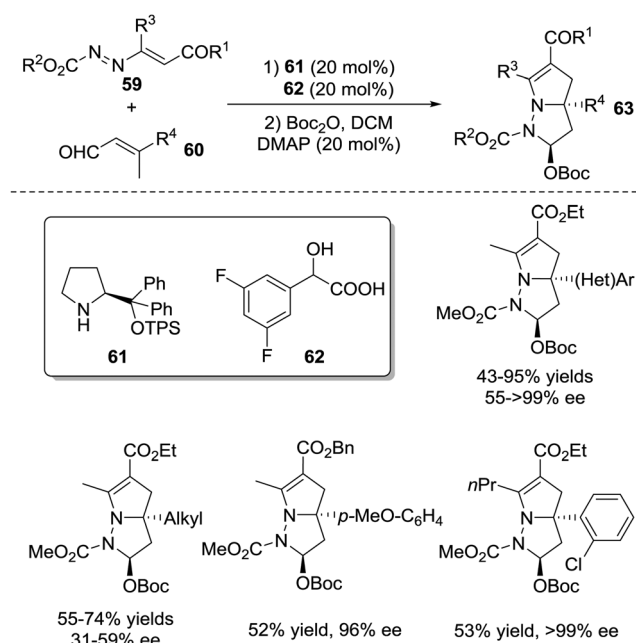


Scheme 25 Chiral phosphoric acid catalyzed  $\alpha$ -amination of azoalkenes.

The authors proposed a possible reaction mechanism to explain the unprecedented cascade process. As shown in Scheme 27, the dienamine intermediate **I** generated from amine **61** and enal **60** attacked activated azoalkene **59** in a remote 1,6-vinylogous Michael addition manner, giving intermediate **II**, which then transformed to the *N*-anion intermediate **III** via tautomerization. Subsequently, a key 1,4-proton shift occurred to produce intermediate **IV**, followed by an enantiospecific intramolecular aza-Michael addition from the *Re*-face, delivering intermediate **V**. The hydrolysis of **V** would regenerate amine **61** and afford aldehyde **VI**.



Scheme 24 Diastereoselective addition of Grignard reagents to  $\alpha$ -epoxy *N*-sulfonyl hydrazones.



Scheme 26 Amine/acid catalyzed asymmetric cascade reaction of azoalkenes.



Scheme 27 Proposed mechanism for the cascade reaction of activated azoalkene with  $\alpha$ -substituted 2-butenals.

The spontaneous intramolecular hemiaminalization of VI would produce the observed bicyclic compound 63.

## Conclusions

Catalytic asymmetric annulations of azoalkenes have become a valid tool to construct optically active multi-nitrogen-containing heterocycles. In particular, the highly reactive nature of azoalkene allows the reaction to proceed under mild conditions with many types of reaction partners, including sulfur ylides, diazo esters, aldehydes, anhydrides, olefins and 1,3-dipoles. With these methodologies, heterocycles with diverse ring sizes and structures can be constructed in high yields with high levels of stereocontrol obtained with an asymmetric catalysis strategy. Further applications of azoalkenes are worth pursuing with new reaction development for the synthesis of other heterocycles, biologically active compounds and natural products.

## Conflicts of interest

There are no conflicts to declare.

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