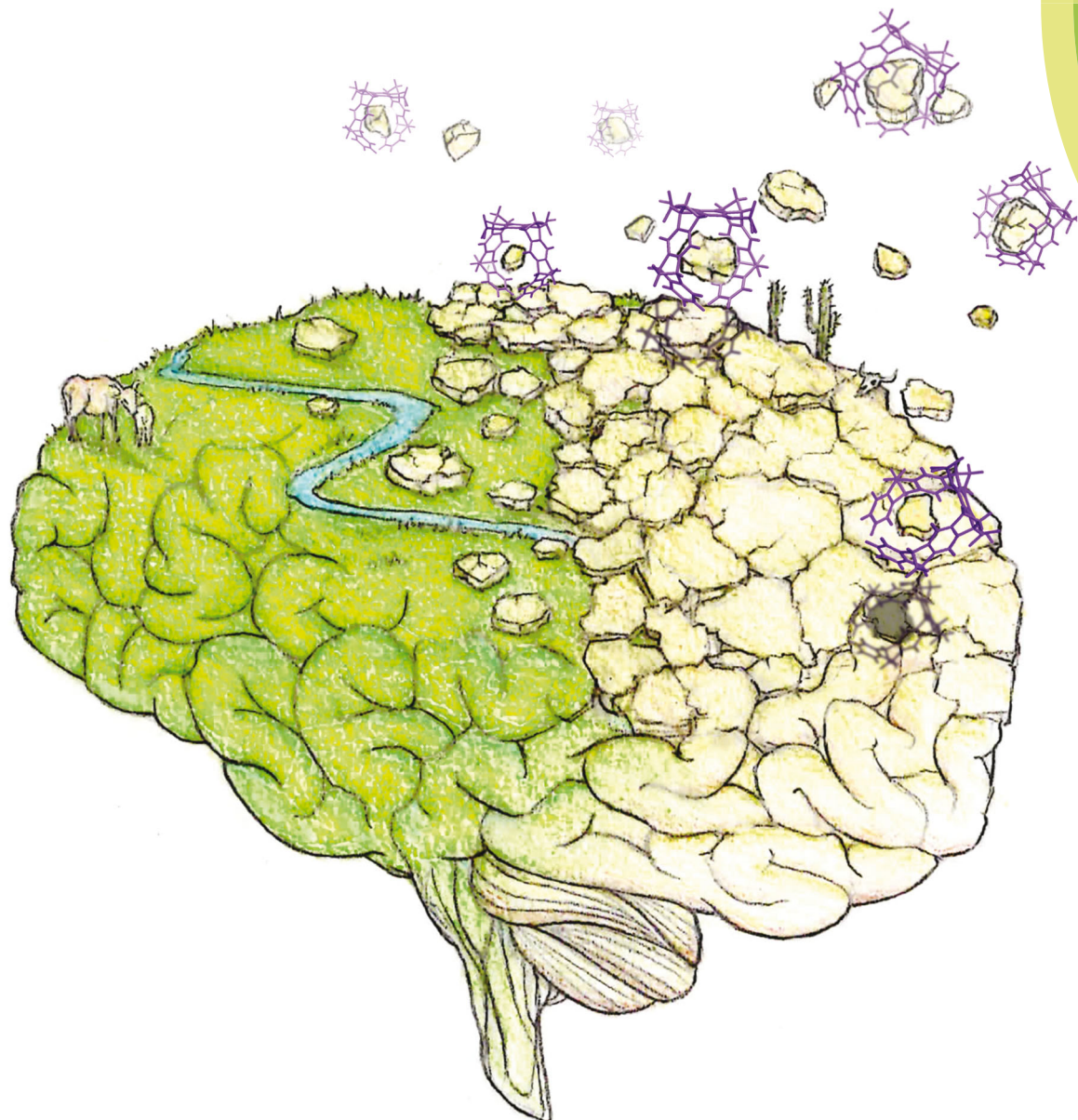


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pathologic protein aggregation

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Molecular tweezers for lysine and arginine – powerful inhibitors of pathologic protein aggregation

Thomas Schrader,^{*a} Gal Bitan^{*b} and Frank-Gerrit Klärner^{*a}

Molecular tweezers represent the first class of artificial receptor molecules that have made the way from a supramolecular host to a drug candidate with promising results in animal tests. Due to their unique structure, only lysine and arginine are well complexed with exquisite selectivity by a threading mechanism, which unites electrostatic, hydrophobic and dispersive attraction. However, tweezer design must avoid self-dimerization, self-inclusion and external guest binding. Moderate affinities of molecular tweezers towards sterically well accessible basic amino acids with fast on and off rates protect normal proteins from potential interference with their biological function. However, the early stages of abnormal A β , α -synuclein, and TTR assembly are redirected upon tweezer binding towards the generation of amorphous non-toxic materials that can be degraded by the intracellular and extracellular clearance mechanisms. Thus, specific host–guest chemistry between aggregation-prone proteins and lysine/arginine binders rescues cell viability and restores animal health in models of AD, PD, and TTR amyloidosis.

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1. Introduction

In the 1990s, several groups around the world pursued the construction of a new class of supramolecular tools, which they called “molecular tweezers”. It was reasoned that a U-shaped molecule with aromatic side walls would form a rigid, highly preorganized concave cavity, which in principle could host a

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From right to left Thomas Schrader, Gal Bitan and Frank-Gerrit Klärner

Thomas Schrader received his PhD in 1988 with W. Steglich at Bonn University, Germany. After a postdoctorate with E. C. Taylor at Princeton university (USA), he moved to Düsseldorf university to begin his own research. Schrader was subsequently called to Marburg University (2000), where he served as Associate Professor. In 2006, he moved to Essen, where he now holds a chair in Organic Chemistry. His research aims at gaining control over biological functions using rationally designed synthetic receptor molecules.

Gal Bitan received his PhD in organic chemistry in 1996 from the Hebrew University of Jerusalem, Israel. In his postdoctoral work, Dr Bitan introduced novel photochemical cross-linking techniques for investigation of amyloid β -protein oligomerization and discovered a key oligomer, the paranucleus. Currently, Dr Bitan is an Associate Professor of Neurology at UCLA, where he studies novel therapeutic

and diagnostic tools for disorders caused by protein misfolding and aggregation, such as Alzheimer's and Parkinson's diseases. Frank-Gerrit Klärner received his PhD in 1968 with Emanuel Vogel at Köln University, Germany. In 1974 he finished his “Habilitation” and was Associate Professor at Bochum Ruhr-University from 1980–1992. Since 1992, he has been a full professor at the University of Duisburg-Essen. From 1998–2005 he chaired the collaborative DFG center of supramolecular research. He retired in 2006. His research interests include supramolecular chemistry (molecular tweezers and clips), pericyclic reactions and chemistry at high-pressure.



guest molecule with an appropriate size and topology and exploit aromatic interactions. Various elegant solutions to this challenge were presented by Whitlock,¹ Zimmerman,² Harmata,³ Rebek,⁴ Nolte,⁵ Fuzakawa⁶ and others. However, their applications in biology remained rare, mainly because these systems operated only in organic solutions.

In 1996, Klärner *et al.* introduced a highly preorganized hydrocarbon system with convergent aromatic π -systems and discovered the efficient inclusion of cationic guests inside its cavity.⁷ This binding mode was retained when anionic groups were later attached to the tweezer skeleton, rendering the new hosts water-soluble. With this change, molecular recognition became possible in buffered aqueous solution, opening the door for biological applications. In addition, affinities towards appropriate cationic guests increased substantially. The new host compounds were screened against a large number of small biomolecules – and furnished only two hits: lysine and arginine, which were complexed with low micromolar affinity.⁸ This marked the beginning of an exciting series of discoveries which culminated in the development of drug candidates against neurological disorders for which there is no cure to date.

Non-covalent inter- and intramolecular bonds involving aromatic rings (π - π and CH- π interactions) are generally important for the formation of highly organized chemical and biological systems.^{9–11} If a synthetic host molecule can be designed which exploits aromatic interactions for the specific recognition of single amino acid residues in peptides and proteins, it may be able to interfere in a predictable way with protein folding, aggregation or enzyme catalysis. Although a plethora of artificial receptor molecules have been developed for amino acids (*e.g.*, crown ethers,^{12–14} calixarenes,^{15–19} cyclophanes,²⁰ polyaza-arenes,²¹ galactose derivatives,²² molecular tweezers with substituted phosphonate groups,²³ peptide units,²⁴ or porphyrin rings²⁵ as side walls), most of them provide little selectivity for a single residue. In this respect, molecular benzene tweezers **1** are remarkable, since they reject all other amino acids and only bind to lysine and arginine. Their structures are shown in Scheme 1.^{7,26–28} The alternating order of benzene and norbornadiene rings in **1** produces a belt-like arrangement with a horseshoe-shaped cavity, which preferentially accommodates extended alkane chains. However, if R1/R2 are anionic, only guests with a terminal ammonium or guanidinium cation are inserted because in addition to the electrostatic and dispersive attraction resulting from side-chain threading the cation efficiently forms an ion pair with the pendent tweezer anion.^{29–31} Various anionic groups also confer water solubility to the hydrocarbon skeleton; they are conveniently introduced into the central benzene bridge as phosphates, phosphonates, sulphates or carboxylates leading to symmetrically disubstituted derivatives **1c–f**.^{8,32,33} Monophosphate-substituted tweezers **1h–n** turned out to be water-soluble, too, so that in principle, a second binding site at the central benzene bridge can be used to attach an additional recognition site for ditopic peptide and protein recognition.^{34,35} A systematic study of the influence of different anions and linkers on mono- and disubstituted tweezers has spurred an investigation of their potential application as new tools for peptide and protein recognition.



Scheme 1 Synthesis of molecular tweezers of type **1**.

In the past decade, we have unraveled the potential of molecular tweezers with their unique binding mode to counteract and revert pathological aggregation of amyloidogenic peptides and proteins, rendering them promising candidates for disease-modifying therapy of major neurological disorders.^{36–40}

In this account, we discuss first the unique supramolecular properties of our new molecular tweezers and their recognition profile towards amino acids and peptides, and then proceed to their ability to inhibit peptide and protein aggregation. We introduce the concept of “process-specificity,” which explains why the tweezers are non-toxic and at the same time powerfully rescue cells and animals from life-threatening aberrant protein aggregation.

2. Molecular tweezers recognize amino acids and peptides

2.1 Synthesis of molecular tweezers 1a–n

The tweezer skeleton is accessible by repetitive Diels–Alder reactions of a 1,4,5,8-bismethanotetrahydroanthracene derivative as a bisdienophile with 2,3-bismethylene-5,6-benzonorbornene as a diene. Scheme 1 shows the synthesis of tweezers **1a** substituted by two acetoxy groups in the central benzene bridge as a representative example.²⁶ The key step in this synthesis is the Diels–Alder reaction which selectively proceeds on the *exo* face of the bisdienophile and the *endo* face of the diene leading to the bisadduct with all four methylene bridges *syn* to one another. Oxidative dehydrogenation of the cyclohexene moieties with 2,3-dichloro-5,6-dicyano-1,4-benzoquinone (DDQ) produces **1a** in an overall yield of 59%. Reduction of the acetoxy functions in **1a** with LiAlH₄ leads to hydroquinone tweezers **1b** in 98% yield. **1b** is the starting material for the preparation of water-soluble tweezers **1c–f** each symmetrically substituted either by two phosphate, phosphonate, sulphate or *O*-methylenecarboxylate groups.^{8,32,33} Hydrolysis of **1a** with one mole equivalent of NaOH leads to



tweezers **1g** (substituted by one hydroxy and one acetoxy function in the central benzene bridge) in 98% yield. **1g** in turn is the starting material for the unsymmetrically substituted tweezers **1h–n** which are also water-soluble.³⁴

2.2 Molecular recognition of amino acids and peptides by tweezers **1c–n**

The analysis of molecular recognition events inside the tweezer cavity is facilitated by very characteristic changes in the NMR and fluorescence spectra of their complexes. The ¹H NMR spectra of the phosphate- and sulphate-substituted tweezers **1c** and **1e** are concentration-dependent in aqueous buffer. In particular, the ¹H NMR signals assigned to the protons attached to the tips of the terminal benzene rings are shifted in aqueous solution at high tweezer concentration by $\Delta\delta_{\max} = 2.2$ ppm (**1c**) and 2.0 ppm (**1d**), respectively, compared to the data measured in CD₃OD. This finding indicates the formation of the self-assembled dimers (**1c**)₂ and (**1e**)₂ in aqueous solution, a fact that could severely compromise their ability to carry guest molecules. Fortunately, the respective dimerization constants K_{Dim} determined by NMR titration are very small ($K_{\text{Dim}} = 60$ and 370 M^{-1}).³³ Dimer formation is dependent on the size of the hydrophobic hydrocarbon units, and can be explained as a result of the non-classical hydrophobic effect. The ¹H NMR spectra of the less polar tweezers **1d**, **1f** and **1h–n** are not significantly concentration-dependent, so all these benzene tweezers exist as monomers in dilute aqueous solution. In the ¹H NMR spectra of **1k** and **1l**, the signals assigned to the methyl group of the side chain ($R^2 = \text{OCH}_2\text{CH}(\text{OH})\text{CH}_2\text{OCH}_2\text{CH}_3$ or $\text{OCH}_2\text{CH}_2\text{CO}_2\text{CH}_2\text{CH}_3$) display an upfield shift of $\Delta\delta \approx 0.5$ or 2.0 ppm³⁴ indicating that the methyl groups point inside the cavity, comparable to the original tweezer **1** ($R^1 = R^2 = \text{OCH}_2\text{CH}_2\text{CO}_2\text{CH}_2\text{CH}_3$).^{7,26,27} Such self-inclusion phenomena may also hinder guest binding.

Finally, in buffer at an almost neutral pH value the phosphate-substituted tweezers **1c** and **1h–n** are partially protonated and carry ~ 1.5 charges on each hydrogen phosphate. Tweezers **1** show strong emission bands at $\lambda_{\text{em}} \approx 330$ nm in their fluorescence spectra on excitation at $\lambda_{\text{exc}} = 285$ nm. Comparison with the fluorescence spectrum of 1,4-dimethoxybenzene ($\lambda_{\text{em}} = 320$ nm) allows the assignment of the tweezers' emission band to the substituted central hydroquinone bridge as a chromophore.³³ Binding of guest molecules by these hosts leads to a partial quenching of their emission bands. Thus, complex formation can also be monitored by fluorescence spectroscopy and the respective binding constants K_a and, hence, the dissociation constants K_d ($K_d = 1/K_a$) can be determined by fluorimetric titration experiments (Fig. 1).

Anionic tweezers recognize the side chains of Lys and Arg. The complexation behaviour of tweezers **1c–1f** was examined against various lysine and arginine derivatives as well as towards small, bioactive peptides containing lysine or arginine residues. For example, the tripeptide KAA⁴² builds bacterial cell walls, KLVFF⁴³ is part of the central hydrophobic cluster within the amyloid β -protein ($\text{A}\beta$) sequence, which is considered a nucleation site for pathological protein aggregation, and KTTKS⁴⁴ sends a

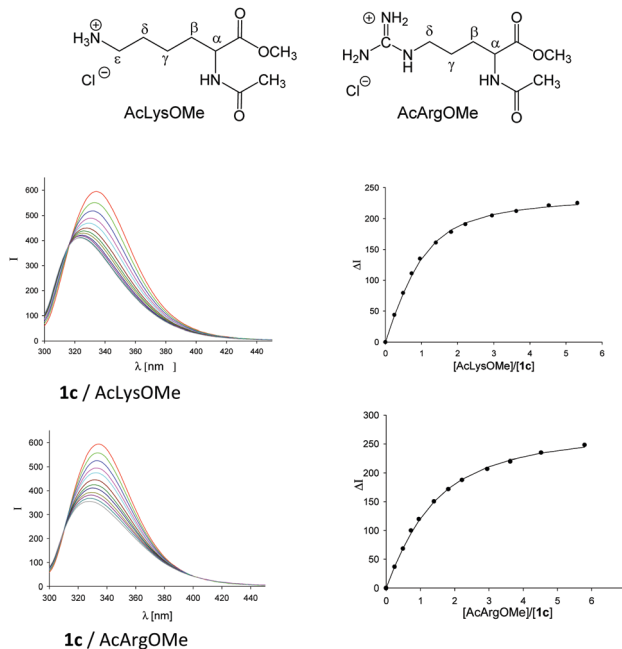


Fig. 1 Dependence of the emission bands at $\lambda_{\text{em}} = 336$ nm of phosphate tweezers **1c** ($\lambda_{\text{exc}} = 285$ nm) on the [Ac-Lys-OMe] or [Ac-Arg-OMe] concentration in aqueous phosphate buffer (10 mM, pH = 7.6). Reprinted with permission from *J. Org. Chem.* 2013, **78**, 6721–6734. Copyright 2016 American Chemical Society.

signal to injured cells to regenerate their own collagen, with potential applications in anti-aging technology. Other attractive targets are the RGD⁴⁵ sequence, which constitutes a key recognition element for numerous cell–protein and cell–cell communication events. Islet amyloid polypeptide (IAPP) is a 37-residue polypeptide hormone constituting the major component of the pancreatic islet amyloid associated with type-2 diabetes (T2D).⁴¹ The results of the fluorimetric titration experiments of tweezers **1c–f** (each substituted with two ionic groups) and monophosphate tweezers **1h–n** with these peptides are listed in Tables 1 and 2.

The accumulated data in Table 1 allow making the following conclusions: tweezers **1c**, **1d** and **1e** are highly selective for lysine and arginine. Thus, **1c** does not bind to peptide IAPP_{2–7} (lacking basic amino acids), but does bind to closely related fragments with a single lysine (K-1) or a single arginine residue (R-11). This confirms the earlier results obtained with phosphonate tweezers **1d**, which also complexes lysine more strongly than arginine and much stronger than histidine. Other amino acids (e.g., Asp, Ser, Phe, Leu, Ala, or Gly) are not bound at all.⁸

The anion dependence of the tweezers' affinities decreases from phosphate (**1c**) over sulfate (**1e**) and phosphonate (**1d**) down to *O*-methylenecarboxylate (**1f**). This finding can be explained by the larger negative charge at the phosphate groups in **1c** compared to those of the phosphonate, sulphate, or carboxylate groups in **1d–f**. The electrostatic interaction between the positively charged ammonium or guanidinium end groups of the lysine or arginine side chains, respectively, and the anionic substituents on the tweezers clearly provides a significant contribution to the overall strength of the host–guest binding. Importantly, complexes



Table 1 Dissociation constants K_d [μM] for the host–guest complexes of tweezers **1c**, **1d**, **1e**, and **1f** with lysine- or arginine-containing amino acid and peptide derivatives determined by fluorometric titration experiments in aqueous phosphate buffer^{33,41}

Guest	K_d [μM]			
	1c	1d	1e	1f
Ac-Lys-OMe	17 ^a 9 ^b	68 ^a	28 ^a 19 ^c	226 ^a 643 ^c
H-Lys-OH	21 ^a	874 ^a	227 ^b	1170 ^c
KAA	30 ^a	905 ^a	303 ^b	33 333 ^c
KLVFF	20 ^a		38 ^b	
KKLVFF	4 ^a	71 ^a		
KKLVFFAK	7 ^a			
KKKK	10 ^a			
Ac-Arg-OMe	60 ^a 20 ^b		178 ^a 77 ^c	882 ^a 281 ^c
H-Arg-OH			699 ^b	609 ^c
H-Arg-OMe			160 ^b	
RGD	86 ^a			
cRGDfV	59 ^b			
cGRGDfL	26 ^b			
IAPP ₁₋₇	9			
IAPP ₂₋₁₄	104			
IAPP ₂₋₇	— ^d			

Phosphate buffer. ^a 200 mM, pH = 7.6. ^b 10 mM, pH = 7.6. ^c 10 mM, pH = 7.2. ^d No binding.

Table 2 Dissociation constants K_d [μM] of the host–guest complexes of monophosphate tweezers **1h–n** with N/C-protected lysine or arginine derivatives determined by fluorometric titration experiments in 10 mM neutral phosphate buffer³⁴

Guest	K_d [μM]						
	1h	1i	1j	1k	1l	1m	1n
Ac-Lys-OMe	260	40	>1000	370	45	70	35
Ac-Arg-OMe	110	120	>1000	620	90	100	45

with lysine derivatives are generally more stable than those with the corresponding arginine derivatives due to their delocalized guanidinium ion. This is impressively demonstrated by **1c** whose affinity towards IAPP₁₋₇ (containing only K1) is one order of magnitude superior to IAPP₂₋₁₄ (containing only R11). Peptides containing two adjacent K units at their N terminus (KKKK, KKL VFF, and KKL VFFAK) form even more stable complexes with **1c**. The host–guest interaction also depends on the competing phosphate buffer: affinities decrease two- to threefold from 10 mM to 200 mM.

Unsymmetrical tweezers may block their own cavity. Replacement of one phosphate group in **1c** by another functional group often leads to a substantial loss in binding energy between tweezers **1h–n** and Ac-Lys-OMe or Ac-Arg-OMe (Table 2).³⁴ This is in part an entropic effect, because the cationic side chain of the amino acid guest can only enter the cavity of monophosphate-substituted tweezers **1h–n** in one direction with its positive charge pointing towards the anionic phosphate group, whereas in the case of disubstituted tweezers **1c–e** both orientations are equal. On the other hand, it is also a stereoelectronic effect, since non-polar linkers close the tweezer cavity, as opposed to highly polar bridging groups such as the acetoxy function in **1n**,

which keep it open. This is an important design criterion on the way to ditopic tweezer ligands. ¹H NMR experiments and calculations both provide strong evidence for the postulated inclusion of the aliphatic C₄ and C₃ side chains of lysine and arginine inside the tweezers' cavity. Thus, the cationic end group always points toward one of the anionic functions attached to the central tweezers' benzene bridge.³³ Due to the magnetic anisotropy of the convergent tweezer benzene rings, the chemical ¹H NMR shifts of included guest protons are a sensitive probe for their position relative to the host. Substantial upfield shifts (towards smaller δ values) indicate that in the host–guest complex they are located inside the tweezers' cavity.^{7,26,27} For tweezers **1c–e**, $\Delta\delta_{\text{max}}$ values of the methylene protons of lysine and arginine were determined by ¹H NMR titrations and reached up to 6 ppm.³³ This is also true for model fragments taken directly from the sequence of the aggregation-prone polypeptides IAPP₁₋₁₄ and A β ₁₅₋₂₉: in the presence of phosphate tweezers **1c** all their lysine and arginine side chains undergo substantial upfield shifts of more than 4 ppm,⁴¹ indicating selective threading through the tweezers' cavity. Surprisingly, there is one exception: only small shifts were observed with the carboxylate-substituted tweezers **1f** ($\Delta\delta_{\text{max}} < 1$ ppm) indicating a different structural arrangement in the complex (Table 3).

Theoretical calculations support the threading binding mode.

To gain further information, the structures of the corresponding host–guest complexes were optimized at the QM/MM level (Fig. 2 and 3). The resulting complex geometries were subsequently used for ¹H NMR shift calculations by the use of quantum chemical *ab initio* methods. The comparison of the experimental and calculated ¹H NMR shift data allows an unambiguous assignment of the host–guest complex structures (Table 3). Large theoretical shifts were calculated for the ϵ -, δ - and γ -methylene guest protons in complexes with **1c'**, **1d'**, and **1e'**, which agree well with the experimental values determined for the corresponding complexes of tweezers **1c**, **1d**, and **1e**, further supporting the threading mechanism.³³ Finally, recent crystal structures between tweezers **1c** and 14-3-3 proteins fully confirmed the postulated binding mode.⁴⁶

For lysine and arginine complexes with carboxylate-tweezers **1f**, theoretical $\Delta\delta_{\text{max}}$ values were independently calculated with the guest side chain positioned either inside or outside the cavity (Fig. 2). Comparison with experimental $\Delta\delta_{\text{max}}$ values indicates that both complexes exist as rapid equilibria between the structures (**1f'**·Ac-Lys-OMe')_{in} and (**1f'**·Ac-Lys-OMe')_{out} or (**1f'**·Ac-Arg-OMe')_{in} and (**1f'**·Ac-Arg-OMe')_{out}, with a strong preference of the outside structures. Apparently, the extended OCH₂CO₂[−] groups in **1f** block the tweezers' cavity and direct the guest molecule to a position outside the cavity where the major host–guest binding force is electrostatic attraction. QM/MM calculations produce chelate arrangements between both carboxylates in **1f** and the complexed amino acid cation outside the tweezers' cavity, which are only possible because of the additional methylene group in the OCH₂CO₂[−] side chain which is absent in **1c–d** (Fig. 2 and 3).³³ The loss of CH– π and hydrophobic interactions in this geometry explains why the complexes of **1f** are significantly less stable than those of



Table 3 Comparison of experimental and computational (HF/SVP) maximum complexation-induced chemical shifts $\Delta\delta_{\max}$ for the guest protons in host-guest complexes of tweezers **1c–f** with lysine and arginine derivatives; *ab initio* ^1H NMR shift data were calculated for the structures shown in Fig. 1 and 2³³

Host-guest complex	$\Delta\delta_{\max}^a$ [ppm]		
	$\epsilon\text{-H}$	$\delta\text{-H}$	$\gamma\text{-H}$
Exp.: 1c ·Ac-Lys-OMe	3.91	—	—
Calc.: 1c' ·Ac-Lys-OMe'	3.62	5.51	4.62
Exp.: 1c ·KAA	5.92	3.22	2.28
Calc.: 1c' ·KAA'	5.71	5.08	2.55
Exp.: 1d ·Ac-Lys-OMe	> 4	1.57, 1.45 ^b	—
Calc.: 1d' ·Ac-Lys-OMe'	3.46	3.42, 3.21 ^b	1.72
Exp.: 1e ·Ac-Lys-OMe	3.75	4.41	2.64
Calc.: 1e' ·Ac-Lys-OMe'	4.39	3.19	1.10
Exp.: 1f ·Ac-Lys-OMe	0.94	0.54	0.40
Calc.: (1f' ·Ac-Lys-OMe') _{in}	5.44	3.05	1.69
Calc.: (1f' ·Ac-Lys-OMe') _{out}	0.03	0.72	0.41
Exp.: 1c ·Ac-Arg-OMe	—	3.75	2.54
Calc.: 1c' ·Ac-Arg-OMe'	—	5.46	2.46
Exp.: 1d ·Ts-Arg-OMe	—	3.90	4.09, 3.29 ^b
Calc.: 1d' ·Ts-Arg-OMe'	—	4.30	2.51, 1.67 ^b
Exp.: 1e ·Ac-Arg-OMe	—	3.86	2.51
Calc.: 1e' ·Ac-Arg-OMe'	—	3.86	0.63
Exp.: 1f ·Ac-Arg-OMe	—	0.96	0.62, 0.48 ^a
Calc.: (1f' ·Ac-Arg-OMe') _{in}	—	3.36	1.39, 1.04 ^a
Calc.: (1f' ·Ac-Arg-OMe') _{out}	—	0.26	0.38, 0.36 ^a

^a $\Delta\delta_{\max} = \delta_0 - \delta_C$; δ_0 , δ_C - chemical ^1H NMR shifts of the free and complexed guest, respectively. ^b Diastereotopic H atoms.

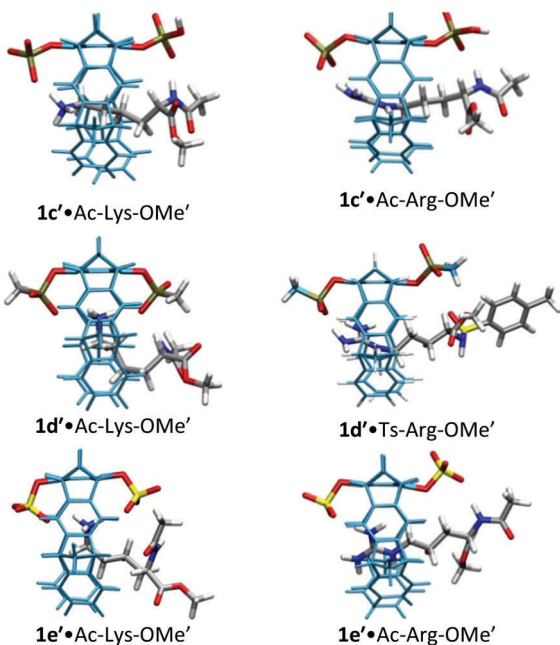


Fig. 2 Host-guest complex structures of phosphate, phosphonate, and sulfate tweezers **1c'**, **1d'** and **1e'** with lysine and arginine derivatives, optimized by QM/MM calculations without counter-ions. Each structure contains a 60 Å water layer (not shown). Reprinted with permission from *J. Org. Chem.*, 2013, **78**, 6721–6734. Copyright 2016 American Chemical Society.

phosphate or sulphate tweezers **1c** or **1e**. Evidently, dispersive interactions inside the tweezers' cavities and hydrophobic forces contribute substantially to the stability of the inclusion complexes.

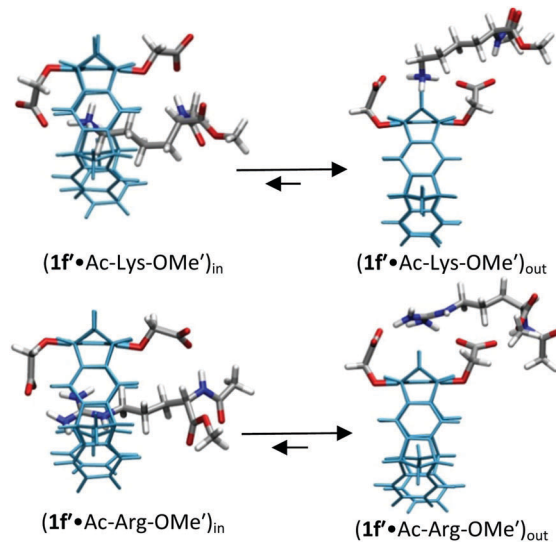


Fig. 3 Host-guest complex structures of $\text{OCH}_2\text{CO}_2^-$ -substituted tweezers **1f'** with lysine and arginine derivatives optimized by QM/MM calculations without counter-ions. Each structure contains a 60 Å water layer (not shown). Reprinted with permission from *J. Org. Chem.*, 2013, **78**, 6721–6734. Copyright 2016 American Chemical Society.

Some of our ditopic tweezer derivatives of type **1** were tailored for RGD loops in peptides and proteins.³⁵ To this end, the arginine-binding monophosphate tweezers **1** were connected through different linkers with a guanidiniocarbonylpyrrole unit which binds to aspartate. These hybrid receptors recognize RGD peptides, but unfortunately, the ether linkage prevents inclusion of the arginine side chain inside the tweezer cavity, so that affinities remain modest ($> 20 \mu\text{M}$).

In summary, the unique threading binding mode for lysine and arginine side chains inside the cavity leads to an exceptional selectivity of most tweezer derivatives for basic amino acids. Hydrophobic forces and electrostatic attraction both contribute to the tweezers' affinity which is typically in the micromolar regime. Care must be taken to prevent self-dimerization of larger tweezers and self-inclusion of pendant arms for ditopic recognition. Likewise, a chelate-type guest binding outside the tweezers produces weak binders. The best candidate for lysine and arginine-containing peptides is **1c**, the phosphate tweezers. In unstructured peptides, each basic amino acid seems to be well accessible and binds to a tweezers molecule. If such supramolecular interactions disturb peptide misfolding or hinder the formation of amyloid, **1c** may become a candidate for the deliberate prevention of aberrant peptide aggregation.

3. Molecular tweezers modulate abnormal protein aggregation

Aberrant self-assembly of peptides and proteins into toxic oligomers and aggregates is a pathological phenomenon underlying over fifty diseases called amyloidoses or proteinopathies.⁴⁷ Prominent examples include Alzheimer's disease (AD)⁴⁸ and Parkinson's



disease (PD).⁴⁹ In each proteinopathy, certain proteins misfold and self-associate into abnormal, toxic oligomers and aggregates.

In many of the rare proteinopathies, mutations in the cognate gene lead to deletions, amino-acid substitutions, or sequence expansion in the corresponding proteins, which normally are stable, well-structured proteins, *e.g.*, Cu/Zn-superoxide dismutase 1 (SOD1) in familial amyotrophic lateral sclerosis,⁵⁰ transthyretin (TTR) in familial amyloidotic polyneuropathy (FAP),⁵¹ and huntingtin poly-Q expansion in Huntington's disease.⁵² These sequence alterations destabilize the protein structure resulting in misfolding and aggregation. In contrast, the proteins forming the toxic oligomers and aggregates in the more common diseases, such as AD, PD, and type-2 diabetes, belong to the category of natively unstructured proteins.⁵³ Thus, two natively unstructured proteins—amyloid β -protein (A β) and the microtubule-associated protein tau form the pathologic hallmarks of AD, amyloid plaques⁵⁴ and neurofibrillary tangles,^{55,56} respectively; the natively unstructured α -synuclein is the main component of the pathologic hallmarks of PD—Lewy bodies and Lewy neurites;⁵⁷ and the natively unstructured hormone IAPP (amylin) forms pancreatic amyloid in type-2 diabetes.⁵⁸

3.1 Conceptual considerations

With the exception of tau, in which hyperphosphorylation and other pathological posttranslational modifications lead to aggregation, for the other proteins a simple increase in concentration *in vivo* is sufficient to initiate abnormal aggregation. Thus, it appears as if certain natively unstructured proteins have a high proclivity for abnormal aggregation. This may raise important questions. Why are such potentially harmful proteins part of our normal physiology? Why were they not eliminated during our evolution? The answer to these questions may be, at least partially, the fact that amyloidoses typically are diseases of old age and their onset in most cases occurs past reproductive age. Thus, evolutionary pressure to eliminate these proteins from human physiology is minimal or non-existent. However, because of the large increase in lifespan in recent generations, these diseases have become, or are becoming, epidemics.

The structure of stably folded proteins has been optimized through millions of years of evolution. Nonetheless, in the late 1990s and early 2000s, a number of papers showed that even stable proteins can be “coerced” into forming amyloid under appropriate conditions,^{59–62} leading to the hypothesis that the amyloid structure, which is highly stable, might have been a common primordial protein structure against which evolution has selected the well-folded proteins we know today as life's building blocks.^{63,64} The stability of the structure is achieved in each case through the carefully optimized sum of the forces holding it together, hydrogen bonds, salt bridges, hydrophobic interactions, van der Waals interactions, and in some cases covalent bonds, *e.g.*, disulfide and lactam bridges. The same forces mediate the abnormal self-assembly of amyloidogenic proteins, yet because the aberrant assemblies, particularly the most toxic species, the oligomers, were not optimized by evolution, the forces holding them together are much weaker, and hence the oligomers are metastable structures that exist in

constantly changing dynamic mixtures.⁶⁵ This distinction between normal, stable proteins and abnormal oligomers of amyloidogenic proteins is the key to the unique activity of molecular tweezers as selective inhibitors of amyloid proteins' toxicity.

As discussed above, molecular tweezers bind with high selectivity to Lys residues. The Lys residue is unique among the twenty proteinaceous amino acids in its ability to form both hydrophobic and electrostatic interactions. Both types of interactions are prominent mediators of the formation of the abnormal protein oligomers and Lys residues have been reported to be important players in these assembly processes in many cases.^{66–73} Thus, we hypothesized that disruption of these interactions could interfere with the process of abnormal self-assembly. However, for such disruption to be specific only for the loosely bound abnormal proteins, the disrupting compounds must bind to Lys with relatively weak affinity and the binding must be highly labile. Otherwise, binding of these compounds to normal proteins would disrupt their function, and possibly their structure. Yet if labile binding with moderate affinity could be achieved, it would lead to a novel kind of inhibitors that are “process-specific,” *i.e.*, their specificity is to the process of aberrant protein self-assembly rather than to a particular protein.

Indeed, molecular tweezers were found to be ideal candidates for process-specific inhibition. They bind to Lys residues with affinity in the low μM range (Table 1)^{8,32–34} and their binding is highly labile, as evidenced by surface-plasmon resonance experiments.⁴⁶ Moreover, due to their rigid structure, they bind only to exposed Lys residues, where steric interference is minimal. Thus, crystallographic experiments and molecular modeling showed that out of seventeen Lys residues in the small adaptor protein 14-3-3, only five bound to the molecular tweezers CLR01, whereas the other twelve were shielded from binding.⁴⁶ In contrast, in the misfolded proteins comprising abnormal oligomers, most Lys residues are readily accessible to the molecular tweezers. Thanks to these unique characteristics, molecular tweezers effectively modulate protein self-assembly and prevent the formation of toxic oligomers and aggregates even in the complex environment of a cell or a whole organism.⁷⁴

In the following pages, we report the exciting discoveries we made when we began exploring the interference of abnormal protein aggregation employing our supramolecular ligands. For clarity we selected four critical proteins, whose misfolding and subsequent aggregation trigger prominent neurological and other disorders: A β (Alzheimer's disease, inclusion-body myositis), tau (Alzheimer's disease and other tauopathies); α -synuclein (Parkinson's disease and other synucleinopathies); and transthyretin (TTR, familial amyloidotic polyneuropathy, familial amyloidotic cardiomyopathy, and senile systemic amyloidosis). In all cases, we characterized first the direct interaction between the isolated compounds (host–guest chemistry), moved on to cell-culture experiments, and finally progressed to animal experiments. Here is the story, which is focused on the effect of the phosphate-substituted tweezers **1c** on these amyloid proteins. In most publications dealing with this effect, compound **1c** is called CLR01 (Fig. 4A) and the phosphate-substituted bridge



of molecular tweezers. Oligomer formation was examined by dot blotting with the oligomer-specific antibody A11.⁸³ Without CLR01 or with CLR03, strong immunoreactivity could be observed right from the beginning and increased for up to 120 h. By contrast, A β 42 did not show reactivity at all when CLR01 was present over the entire time span. This means that CLR01 forms complexes with A β fast and induces structural changes which preclude the formation of toxic oligomers.

Subsequently, dynamic light scattering (DLS) was employed to investigate the impact of CLR01 on the oligomer size distribution of A β directly and non-invasively. Previously, A β 40 and A β 42 had been shown to form particle distributions with hydrodynamic radii (R_H) of 2–6 nm and 8–60 nm, respectively, which grew in size over several days as each peptide aggregated.⁸⁴ At a 1:1 ratio, CLR01 produced oligomers of similar size, but they did not grow into larger aggregates. Importantly, the newly formed oligomeric species were not toxic anymore, as evidenced by the following cell culture experiments.

CLR01 inhibits A β toxicity in cell culture. In differentiated PC-12 cells, A β neurotoxicity was effected with exogenously added A β oligomers. In the presence of equimolar CLR01, cell viability was fully protected, while CLR03 had no effect. Similar results were observed with other amyloidogenic proteins, including islet amyloid polypeptide (IAPP, amylin),^{36,41} calcitonin,³⁶ insulin,³⁶ β_2 -microglobulin,³⁶ and mutant p53.⁸² Taken together, the data suggest that CLR01 indeed inhibits the toxicity of the oligomers, either by rapidly modulating them into non-toxic structures or by preventing their interaction with their cellular targets.

CLR01 protects neurons against A β 42-mediated synaptotoxicity. Neurodegeneration and neuronal death are thought to occur at relatively late stages of AD, whereas the early stages are characterized by synapse dysfunction and loss.^{85,86} Structural and functional synapse integrity often are studied in cell culture using morphological analysis of dendritic spines and electrophysiological assays, respectively. These tests have been applied to assess the capability of CLR01 to attenuate the synaptotoxic effect of A β 42.³⁸

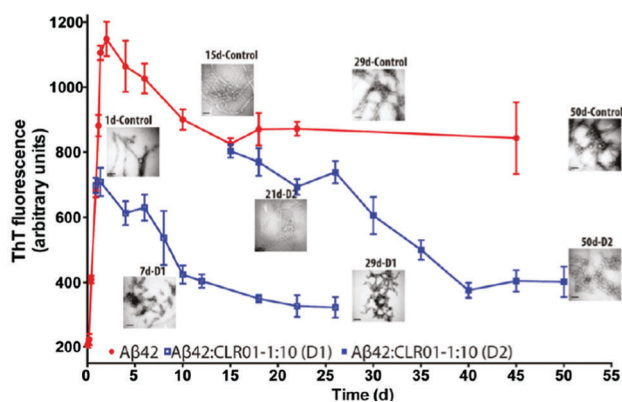


Fig. 5 CLR01 disaggregates A β fibrils. Disaggregation of preformed A β 42 fibrils by CLR01 was studied by adding a 10-fold excess of CLR01 to aggregating solutions of 10 μ M A β 42 at 21 h (disaggregation reaction D1) or 15 days (D2) after initiation of aggregation. The reactions were monitored using ThT fluorescence and TEM. Reprinted with permission from *J. Am. Chem. Soc.*, 2011, **133**, 16958–16969. Copyright 2011 American Chemical Society.

In all the experiments, A β 42 was treated with 1,1,1,3,3,3-hexafluoroisopropanol (HFIP) to dissociate any pre-existing aggregates.⁸⁷ Changes in dendritic spine density were measured in rat primary hippocampal neurons whose dendritic spines were visualized by staining with 1,1'-dioctadecyl-3,3,3',3'-tetramethylindocarbocyanine perchlorate (DiI) at 2000 \times magnification. As it has been documented before,^{88,89} the treatment of neurons with 3 μ M A β 42 for 72 h led to a dramatic depletion of the dendritic spines to \sim 20% of the baseline level and to the appearance of abundant "varicosities" due to the arrest of cargo transport along the dendrites. In contrast, when neurons were incubated with A β 42 in the presence of a 10-fold excess of CLR01, the density of dendritic spines was recovered to \sim 80% of the baseline level and varicosities were not observed, demonstrating potent inhibition of A β 42 synaptotoxicity by CLR01.³⁸ As expected, the negative control compound, CLR03, had no effect on A β 42 synaptotoxicity.

CLR01 and CLR03 were tested next in electrophysiological assays to examine their effect on the synapse function in autaptic microcultures of mouse hippocampal neurons. The amplitude and frequency of both evoked excitatory postsynaptic currents and spontaneous miniature excitatory postsynaptic currents were reduced to 50–70% of their baseline level following treatment with 200 nM A β 42 and recovered back to the baseline level with a 10-fold excess of CLR01.³⁸

Another early characteristic of Alzheimer's disease is the loss of synaptic plasticity. Long-term potentiation (LTP) is a cellular correlate of synaptic plasticity, which is the basis for learning and memory.⁹⁰ Thus, the measurement of LTP allows an assessment of the loss or gain of synaptic plasticity in cell culture, and has been used commonly to assess the synaptotoxic effect of A β oligomers.^{91,92} To assess the capability of CLR01 to protect against the deleterious effect of A β 42 on synaptic plasticity, field excitatory postsynaptic potentials (field EPSP) evoked by Schaffer collateral stimulation were recorded from the CA1 subfield of the hippocampus in hippocampal slices obtained from 8-week-old wild-type mice. In these experiments, 200 nM A β 42 reduced the LTP to 46% of its baseline level. Co-application of 200 nM A β 42 and 2 μ M CLR01 significantly ameliorated the LTP inhibition to 65% of the baseline level. Though this rescuing effect was statistically significant, its magnitude was small relative to the protective effects of CLR01 in the primary neuronal cultures used for the measurement of baseline synaptic activity as described above. A potential explanation is differences in diffusion to the cellular targets between A β 42 oligomers and CLR01, which might have diminished the effectiveness of CLR01 in brain slices relative to cultured neurons. To test this hypothesis, we examined whether a 1 h incubation of A β 42 with CLR01 before application to hippocampal slices would produce stronger protection. Indeed, 1 h pre-incubation of A β 42 with CLR01 provided a stronger protective effect, raising the field EPSP amplitude potentiation to 82% of the baseline level.³⁸ In contrast, CLR03 did not show any protective effect. Overall, the morphological and electrophysiological experiments showed that CLR01 was an effective inhibitor of A β 42 synaptotoxicity, supporting its development as a drug lead for Alzheimer's disease.



CLR01 reduces AD-like pathology in transgenic rodent brains.

To evaluate whether CLR01 could have a beneficial therapeutic effect *in vivo*, we used a triple-transgenic ($3 \times \text{Tg}$) mouse model of AD, which overexpresses three disease-associated mutant human genes, including presenilin 1 (PS1(M146V)) and amyloid β -protein precursor, (APP(KM670/671NL)), each of which causes early-onset familial AD, and tau(P301L), which causes frontotemporal dementia.⁹³ Mixed-gender, 15-month-old mice were treated with 0.04 mg kg^{-1} per day CLR01 in a sterile saline solution as a vehicle or with the vehicle solution alone for 28 days.³⁸ The compound was applied continuously using osmotic minipumps implanted subcutaneously on the lower back of the mouse. These pumps release the solution into the subcutaneous fat at a constant rate of $0.11 \mu\text{L}$ per hour.

Immunohistochemical analysis of brain sections of vehicle-treated mice using monoclonal antibody (mAb) 6E10, which is specific for residues 3–8 in $\text{A}\beta$ (674–679 in APP), showed extracellular amyloid plaques deposited predominantly in the subiculum and CA1 regions of the hippocampus, as reported previously by La Ferla and co-workers who developed this $3 \times \text{Tg}$ mouse model.⁹³ In addition, the mice showed neurofibrillary tangles detected by mAb AT8 predominantly in the CA1 and CA3 regions. mAb AT8 recognizes phosphorylated S202 and T205 in hyperphosphorylated tau (p-tau) (Fig. 6).

Mice treated with CLR01 showed a significant decrease in $\text{A}\beta$ deposition in multiple brain areas, including those affected the most in AD—the hippocampus (35% reduction) and cortex (50% reduction). Similarly, reduction in AT8-positive p-tau was observed in the CA1 (33%) and CA3 (46%) regions, respectively, in mice treated with CLR01. In contrast, there was no effect on normal tau.³⁸ As tau hyperphosphorylation and aggregation are believed to be downstream of $\text{A}\beta$ -induced toxicity, the data may reflect both direct and indirect effects of CLR01 treatment on neurofibrillary tangles. The fact that the reduction in neurofibrillary tangles was observed in relatively old mice (15 months of age) suggests that the effect was at least partially a direct effect of CLR01 on tau, because previously, La Ferla's group showed that $\text{A}\beta$ immunotherapy led to clearance of early, but not late p-tau aggregates.⁹⁴ Compared to vehicle-treated mice, the CLR01-treated mice showed significant reduction in the number of microglia per hippocampal area.³⁸ In contrast, there was essentially no difference between vehicle- and CLR01-treated wild-type mice in the level of microgliosis, suggesting that the compound itself did not cause any inflammatory response.

In contrast to many older transgenic models of AD, which had only amyloid but not tau pathology, the $3 \times \text{Tg}$ model has both lesions and therefore is considered to be fairly advanced. Nonetheless, no animal model faithfully recapitulates all the aspects of the human disease,^{95,96} and it is therefore important to examine whether drug effects can be observed in more than one model. To that end, CLR01 was evaluated in a transgenic rat model of AD, which expresses familial AD-linked mutant forms of human APP (K670N/M671L/V717I) and PS1 (M146V).⁹⁷ CLR01 was administered in a manner similar to the experiment using the $3 \times \text{Tg}$ mouse model at a dose of 0.1 or 0.3 mg kg^{-1} per day. The animals were mixed-gender and were treated at 9-months of age,

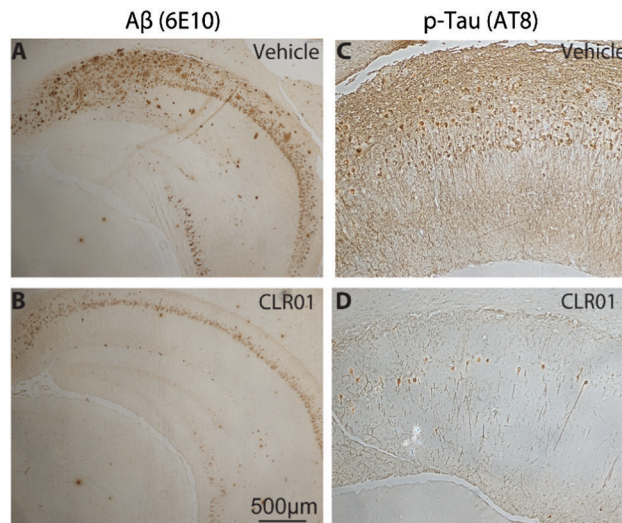


Fig. 6 CLR01 decreases amyloid- β protein and p-tau deposition. Triple-transgenic mice were treated with 0.04 mg kg^{-1} per day CLR01 or the vehicle. (A and C) Vehicle-treated transgenic mouse hippocampus. (B and D) CLR01-treated transgenic mouse hippocampus. (A and B) transgenic mouse brain stained with mAb 6E10 showing amyloid plaque deposition. (C and D) transgenic mouse brain showing AT8-positive neurofibrillary tangles in the CA1 region. Reprinted with permission from *Brain*, 2012, **135**, 3735–3748. Copyright 2012 Oxford Journals.

an age in which they are expected to have moderate plaque pathology.⁹⁸ The amyloid plaque burden was evaluated in this study using the $\text{A}\beta$ -specific mAb MOA2-2.⁹⁹ The treatment led to 45% and 52% reduction in plaque burden in the 0.1 and 0.3 mg kg^{-1} treatment groups, respectively (whole brain analysis),¹⁰⁰ demonstrating that the effect of the compound was not limited to one model or one species.

In summary, the specific host-guest interaction between the 3 basic amino acids of $\text{A}\beta$ and the molecular tweezers CLR01 enabled the compound to protect cells from $\text{A}\beta$ toxicity and counteract synaptotoxicity and AD pathology in animal brains; this also implies that CLR01 penetrated through the blood brain barrier (BBB). It might be argued that CLR01 acted peripherally, similarly to the “peripheral sink” hypothesis proposed for anti- $\text{A}\beta$ immunotherapy.¹⁰¹ However, for the micromolar affinity and highly labile binding mode of CLR01, this possibility is entirely implausible.

3.3 Inhibition of α -synuclein toxicity

Misfolding and aggregation of the natively unstructured protein, α -synuclein, which is thought to be important for synaptic vesicle release, lead to several diseases called synucleinopathies, of which the most prevalent is PD. Similarly to AD, only symptomatic treatment is available for PD, but no disease-modifying therapy.

CLR01 inhibits α -synuclein fibrillogenesis, disassembles mature fibrils and redirects protein aggregation towards non-toxic oligomers. Initially, experiments similar to the case of $\text{A}\beta$ were carried out with the lysine-rich (15 K, $\sim 11\%$ of the sequence) α -synuclein. α -Synuclein aggregation, which usually occurs after an extended lag phase of 4 days and reaches a



plateau after 10 days, could be completely suppressed with equimolar CLR01, and even with 10 mol% it was still strongly inhibited. Similarly to A β , CLR01 inhibited the nucleation as well as elongation phase of α -synuclein fibril formation. TEM images revealed that CLR01 prevented completely the formation of the fibrillar structure and only non-fibrillar morphology was apparent.

Another parallel to A β is the ability of CLR01 to disaggregate pre-existing α -synuclein fibrils: in a 60 day aggregation reaction, a 10-fold excess of CLR01 was added on day 8 (growth phase) and on day 24 (plateau). In both scenarios, ThT fluorescence gradually decreased indicating the arrest of fibril growth and dissociation of existing fibrils, which gradually disappeared in the concomitant TEM analysis.

Native gel electrophoresis revealed that CLR01 promoted the formation of high molecular weight oligomers (700–900 kDa). Higher molecular weight bands also were observed in SDS-PAGE in mixtures of α -synuclein with CLR01, but not with the negative control CLR03. We note that SDS-PAGE does not report reliably the size of such oligomers,¹⁰² but can be used to compare among experimental conditions as done in that study. These mixtures were later examined in cell culture, and shown to be non-toxic in the presence of CLR01, but not CLR03, another parallel to the tweezers' effect on A β aggregation.

CLR01 strongly binds to two lysines at the N-terminus of monomeric α -synuclein. How does CLR01 prevent α -synuclein aggregation? Some designed experiments shed new light on the putative mechanism: in an Ala-69-Cys/Tyr-94-Trp variant of α -synuclein, Trp-Cys quenching could be measured in a concentration dependent manner and related to the affinity of single Lys binding events by the tweezers. Two transitions indicated powerful Lys binding at 0.7 μ M and 7 μ M K_d . Native mass spectrometry allowed the observation of free and bound α -synuclein directly and provided two similar K_d values of 0.2 μ M and 3 μ M (10 μ M protein). Finally, at slightly higher protein concentrations (15 μ M) the intrinsic fluorescence of CLR01 when bound to wild-type α -synuclein suggested a binding event of 2 μ M K_d .¹⁰³

Native ESI-MS was then employed to identify non-covalent ligand binding sites. In tandem ECD-MS/MS experiments, ligand-bound fragments could be mapped on the full-length protein sequence. In this case, prominent fragment ions strongly indicated the preferred binding of CLR01 close to the N-terminus, most likely at Lys-10 and Lys-12.

Photo-induced cross-linking of unmodified proteins (PICUP),^{104,105} followed by SDS-PAGE and densitometric analysis of cross-linked α -synuclein oligomers showed that CLR01 predominantly binds to monomeric α -synuclein. However, the Trp-94 fluorescence also provides a unique probe for studying the early stages of α -synuclein oligomerization. In the presence of CLR01, the Trp-94 fluorescence intensity gradually increased and thus provided experimental evidence for binding and stabilization of protein oligomers by the tweezers, consistent with earlier findings from native- and SDS-PAGE.

CLR01 binding increases α -synuclein intramolecular diffusion. A further glimpse into the putative mechanism of tweezer

inhibition of α -synuclein aggregation was provided by kinetic measurements of the Trp-94 triplet quenching by Cys-69 at 37 °C. This decay rate is closely correlated with intramolecular diffusion. Intriguingly, the tweezers increase the diffusion-limited quenching rate, which can be interpreted by a less compact α -synuclein chain due to a higher conformational reconfiguration rate. This accelerated reconfiguration in turn renders the protein chain more diffusive at physiological temperatures, and may be the origin of retarded aggregation. It is consistent with an unstructured protein ensemble found in most biophysical studies prior to aggregation which freely diffuses among multiple conformations.¹⁰⁶

We tentatively propose that CLR01 binding to the two lysines at the N-terminus of α -synuclein further increases the absolute net charge of the protein (−8.8 at pH 7.0) and weakens intramolecular long-range associations between N-terminal lysines and C-terminal aspartates and glutamates, which lead to an overall compact conformation of the unstructured protein. With the doubly negatively charged CLR01 bound to N-terminal lysines, other closely located positively charged residues may rather be associated instead, and the overall conformation of α -synuclein becomes more extended and hence – diffusive. Such an increased ligand-induced reconfiguration rate may be a general principle for the design of potent α -synuclein aggregation inhibitors.

CLR01 protects cultured cells against α -synuclein toxicity. The ability of CLR01 to inhibit α -synuclein-induced toxicity was tested in two cell culture systems.³⁷ In the first system, α -synuclein oligomers were added directly to the medium of PC-12 cells differentiated into a neuronal phenotype by addition of neuronal growth factor. The addition of α -synuclein oligomers caused a 30–50% reduction in cell viability within 48 h measured using a 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) reduction assay or a trypan blue exclusion assay. In the second system, HEK293 cells transiently expressed α -synuclein under control of the bidirectional tetracycline response element (TRE) promoter. These cells expressed high levels of α -synuclein 3 h after addition of doxycycline to the cell culture medium, leading to an ~40% decrease in cell viability 48 h later.

Dose-response experiments showed that CLR01 inhibited the toxicity of 20 μ M α -synuclein in the PC-12 cell assay with half-maximal inhibition (IC_{50}) = 3 ± 1 μ M in MTT assay³⁷ and 4 ± 3 μ M in trypan blue assay (G. Bitan, unpublished results) demonstrating inhibition at substoichiometric concentrations. As mentioned above, the amino-acid sequence of α -synuclein contains 15 Lys residues. Thus, half-maximal inhibition occurred at a CLR01: α -synuclein concentration ratio of 1:5–1:7 and a CLR01:Lys ratio of 1:75–1:100 (not taking into account all Lys residues in other proteins and free Lys in the environment). In HEK293 cells, the addition of 1 or 10 μ M CLR01 led to complete inhibition of α -synuclein-induced toxicity, suggesting that the compound could inhibit both the extracellular and intracellular toxic effects of the offending protein.³⁷ As expected, CLR03 was inactive in all cases.

CLR01 inhibits α -synuclein toxicity in zebrafish. Following these promising data, the capability of CLR01 to inhibit



α -synuclein-induced toxicity *in vivo* was assessed in a zebra fish (ZF) model.³⁷ In this model, a human, wild-type α -synuclein gene is introduced into the first cell of freshly laid, fertilized eggs during the 20 minute window before the first mitosis, and expressed under the control of the neuronal ZF promoter *HuC*. To take advantage of the transparency of ZF embryos, α -synuclein is expressed as a fusion protein with the fluorescent protein DsRed.¹⁰⁷ A T2A sequence inserted between α -synuclein and DsRed enables post-translational cleavage to ensure that the fused α -synuclein is released and the final product does not behave differently from native α -synuclein. At the same time, red fluorescence allows monitoring of α -synuclein expression. Massive neuronal apoptosis revealed by acridine orange staining typically is apparent at 24 h post fertilization (hpf) as a result of α -synuclein expression. Consequently, the fish display severe deformation or in some cases a milder phenotype resulting in a bent shape. All the fish expressing α -synuclein display motor problems, swim poorly, and die within a few days.

To determine whether CLR01 could prevent α -synuclein-induced toxicity, three groups of twenty-eggs each were injected with α -synuclein-containing cDNA. The developing embryos were treated with the vehicle (0 μ M), 1 μ M, or 10 μ M of CLR01 at 8 hpf. The compound was applied simply by dissolution in the water in which the embryos developed. Treatment of the injected embryos with CLR01 resulted in a dramatic improvement in both the survival rate and the phenotype of the surviving ZF (Fig. 7A).³⁷ Control eggs were injected with *HuC*-GFP cDNA and approximately 50% of the embryos in these controls survived to 24 hpf. Thereafter, all of the surviving embryos appeared to be normal. Thus, the initial mortality under the control conditions is a result of the somewhat invasive DNA microinjection and has no relevance to α -synuclein toxicity.

Immunocytochemistry analysis of treated and untreated embryos revealed that in the absence of CLR01, abundant aggregated α -synuclein could be observed before cells died. In contrast, in embryos developing in the presence of CLR01, α -synuclein appeared to be soluble and dispersed uniformly in the cytoplasm. These data demonstrated that CLR01 inhibited α -synuclein toxicity not only in cell culture, but also in whole living organisms.

Surprisingly, Western blot analysis showed that in treated ZF, the total concentration level of α -synuclein was \sim 20% of that of untreated fish. The reduction was in the protein levels but not in mRNA levels, suggesting that by preventing α -synuclein aggregation, CLR01 facilitated its clearance. The main mechanism of α -synuclein clearance in cell culture has been reported to be the 26S ubiquitin-proteasome system (UPS),¹⁰⁸ suggesting that CLR01 enabled UPS degradation of α -synuclein by preventing its aggregation. Indeed, when ZF expressing α -synuclein were treated with CLR01 in the presence of the UPS inhibitor, lactacystin, though α -synuclein remained soluble, its concentration level was the same as in untreated ZF.³⁷ Importantly, to be cleared by the UPS, α -synuclein is marked for degradation by ubiquitination on Lys residues. The experiments described above strongly suggested that the gentle, labile binding of CLR01 to the Lys residues prevented

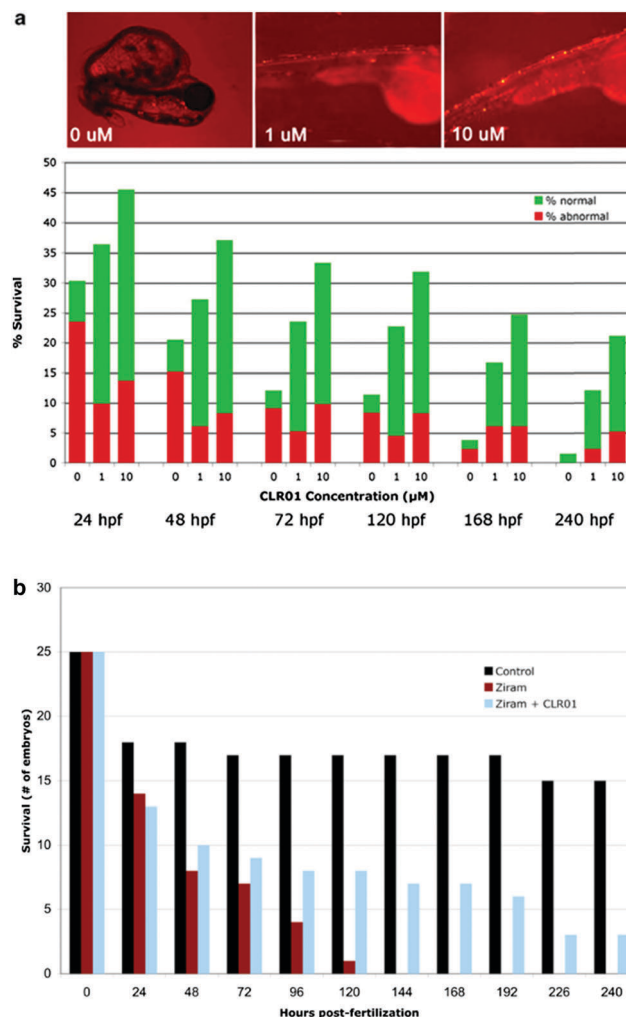


Fig. 7 CLR01 ameliorates α -synuclein (α -syn) neurotoxicity in zebrafish (ZF) and protects against ziram toxicity. (a) ZF embryos expressing human, wild-type α -synuclein were treated with CLR01 at 8 hpf (hours post fertilization) and were monitored for abnormal appearance and survival. Bright-field and fluorescence overlay images were taken at 72 hpf (A, top). Green bars represent normal-appearing embryos and red bars represent abnormal embryos (A, bottom). Reprinted with Permission from *Neurotherapeutics*, 2012, 9, 464–476. Copyright 2012 Springer. (b) ZF embryos were treated with 1 μ M Ziram in the absence or presence of 10 μ M CLR01 and survival was monitored up to 10 days (S. Prabhudesai and J. M. Bronstein, personal communication).

α -synuclein aggregation but not its ubiquitination, supporting the mechanism of action of CLR01 and its safety.

α -Synuclein mutations causing autosomal dominant familial PD and polymorphisms in the SNCA gene, which encodes α -synuclein, are a strong genetic risk factor for developing PD. In addition to genetic risk factors, environmental toxins, such as pesticides and fungicides, have been shown to increase PD risk significantly, and one of the most potent toxins is the fungicide ziram (zinc *N,N*-dimethylcarbamodithioate).¹⁰⁹ Previously, ziram treatment has been shown to increase α -synuclein concentration levels in primary rat dopaminergic neurons, presumably due to the inhibition of clearance mechanisms.¹¹⁰ In a new study, ziram was found to be highly toxic in wild-type ZF embryos and the



toxicity seemed to be specific to aminergic neurons (including dopaminergic neurons). The addition of CLR01 had a strong protective effect against ziram toxicity (Fig. 7B),¹¹¹ presumably through a similar mechanism to that observed in the ZF expressing human α -synuclein.

CLR01 increases neuronal survival after spinal-cord injury in lampreys. Lampreys are an interesting model for the investigation of spinal-cord injury because they can re-grow their spinal cord following transection within ~ 3 months. Lampreys have about thirty giant reticulospinal neurons, which can be identified and followed at the single neuron level. A previous study showed that following spinal-cord injury, about half of these giant neurons degenerate, whereas the other giant neurons survive. Interestingly, the “poor survivor” neurons accumulated lamprey synuclein, whereas the “good survivors” did not.¹¹² However, the initial study did not determine whether inhibition of synuclein accumulation or aggregation could prevent the death of the “poor survivor” neurons.

To address this question, CLR01 was applied to the site of spinal-cord transection using gelfoam and synuclein accumulation and neuronal survival were examined histochemically 11-weeks post injury.³⁹ CLR01 treatment reduced synuclein accumulation by 34% in the “poor survivor” neurons. The reduction in synuclein accumulation could be correlated with an increase from 8% to 37% survival of these neurons. In fact, a robust increase in survival was observed for each one of the individual “poor survivor” neuron type. Interestingly, the protective effect of CLR01 was stronger than that of a synuclein morpholino that suppressed synuclein expression in the same model,³⁹ suggesting that the inhibition of formation of toxic oligomers and aggregates may be advantageous in simple suppression of protein expression.

Taken together, the molecular tweezers seem to preferentially bind to two lysines close to the α -synuclein's *N*-terminus. This binding event increases the protein diffusion rate and slows down aggregation. As a consequence, cell viability is restored and the simple presence of CLR01 in the water where zebra fish embryos expressing human α -synuclein develop, largely rescues the phenotype and survival of the animals. Similarly, CLR01 increases neuronal survival after spinal-cord injury in lampreys by preventing synuclein aggregation in susceptible neurons *in vivo*.

3.4 Inhibition of transthyretin amyloidosis

Transthyretin (TTR) is an abundant carrier protein in the blood that normally exists as a homotetramer. Over 100 mutations in the cognate gene cause amino-acid substitutions that destabilize the structure of the protein leading to tetramer dissociation, misfolding, and self-assembly of misfolded TTR into toxic oligomers and amyloid fibrils.¹¹³ Misfolding and aggregation of TTR is associated with at least three diseases. Familial amyloidotic cardiomyopathy (FAC) is a progressively debilitating, and often fatal disease affecting $\sim 40\,000$ people worldwide with a mean survival time of ~ 2 years from diagnosis. The main TTR mutant causing FAC is V122I, which is found in 3–4% of African-Americans (~ 1.3 million people).^{114,115} Amyloid formation by wild-type TTR causes a similar cardiomyopathy improperly named senile systemic amyloidosis (SSA), which affects mostly

Caucasian men over the age of 60¹¹⁶ and is a major cause of death among centenarians.¹¹⁷ A third disease is familial amyloidotic polyneuropathy (FAP), which affects $\sim 10\,000$ people, predominantly in Portugal, Sweden, and Japan. In FAP, mutant TTR forms amyloid deposits in the kidneys, gastrointestinal (GI) tract, and peripheral nervous system (PNS), leading to progressive dysfunction and death within ~ 5 years.¹¹⁸

CLR01 inhibits wild-type TTR aggregation, prevents TTR-induced toxicity in cell culture and reduces TTR deposition in a mouse model. CLR01 was examined for inhibition of wild-type TTR aggregation, which was induced by lowering the pH to 3, using turbidity and electron microscopy.³⁶ Complete inhibition of aggregation was observed using turbidity at a 1:1 TTR:CLR01 concentration ratio and partial inhibition at a 100:1 concentration ratio, respectively. In agreement with the turbidity results, in the absence of CLR01, TTR formed abundant fibrils, whereas in the presence of CLR01 only amorphous structures were observed. CLR03 had no effect on the TTR aggregation rate or morphology.

Interestingly, inhibition of TTR-induced toxicity required a large excess of CLR01. Experiments using the MTT-reduction assay in differentiated PC-12 cells showed that CLR01 prevented the toxicity induced by 1 μM TTR oligomers with half-maximal inhibition (IC_{50}) = 54 ± 19 .³⁶ PC-12 cells were used for convenience in these experiments, though they are not an accurate representation of the tissues affected by TTR *in vivo*. In view of the discrepancy between the substoichiometric inhibition of TTR aggregation and the excess CLR01 needed for inhibition of TTR toxicity, the question of whether or not CLR01 could have a therapeutic effect for TTR-related amyloidosis *in vivo* was particularly intriguing.

To answer this question, the effect of CLR01 was tested in the hTTR V30M/HSF mouse model.¹¹⁹ These mice express the amyloidogenic human TTR V30M variant on a hemizygote HSF1 \pm background. This is an improvement on a previous model that only expressed human TTR V30M on a mouse TTR-null background. That model showed TTR deposition only in the GI tract and the deposition started at a relatively late age—11 months.¹²⁰ In contrast, in the hTTR V30M/HSF mice, knock-down of HSF1 leads to early and extensive deposition of non-fibrillar TTR in different organs, including the GI tract and the PNS. TTR aggregates start to deposit at 3 months of age and evolve to fibrillar, congophilic material typically by 12–14 months of age. Neither these models, nor any other transgenic mouse strain expressing wild-type or mutant human TTR develop polyneuropathy or cardiomyopathy.^{121,122} Nonetheless, due to the relatively early and widespread deposition of TTR, the hTTR V30M/HSF mouse model is highly relevant for testing therapeutic strategies.

Continuous administration of 1.2 mg kg^{-1} per day CLR01 subcutaneously for 5 weeks using osmotic minipumps led to the robust clearance of TTR deposition and a concomitant reduction in associated markers of disease, including ER stress, apoptosis, and protein oxidation in the tissues examined, including the colon, stomach, and dorsal root ganglia (Fig. 8).¹²³

These *in vivo* findings may seem to be initially difficult to reconcile with the concentration ratio needed for inhibition of



toxicity *in vitro*. We propose a simple explanation. The culprit is not the native protein whose concentration is measured, but the toxic oligomers that exist at substantially lower concentrations.¹²⁴ Remodeling of these oligomers by CLR01 enables their rapid clearance, as found in the α -synuclein zebrafish model,³⁷ thereby reducing subsequent deposition of the misfolded protein. The putative mechanisms responsible for the clearance of misfolded and oligomerized/aggregated TTR from the blood and the affected tissues are largely absent in cell culture experiments, where the task of preventing toxicity is done predominantly by CLR01 itself. Therefore, substantially higher concentrations of the compound would be needed in such experiments. The data suggest that *in vivo*, gentle modulation of oligomerization and nucleation has a robust therapeutic impact on the pathologic process.

Again, direct interaction between CLR01 and transthyretin completely inhibited wild-type TTR aggregation, prevented TTR-induced toxicity in cell culture and finally led to a considerable reduction in TTR deposition and associated disease markers in a relevant mouse model.

3.5 CLR01 has a high safety margin

The unusual “process-specific” mode of action of molecular tweezers, *i.e.*, binding to an amino acid rather than to a specific protein may raise concern that by binding to off targets they would cause high levels of toxicity or side effects. As discussed above, theoretically, these would be mitigated by the highly labile nature of the tweezers' binding and by the rigidity of their structures, which prevents binding to Lys residues that are not freely exposed to the solvent. Multiple lines of evidence support these assumptions.

First, if molecular tweezers are indeed process-specific, the concentration ratio of molecular tweezers to protein required for inhibition of normal, regulated biological processes would be expected to be substantially higher than those needed for inhibition of abnormal protein aggregation. Indeed, the enzyme:CLR01 ratios needed for inhibiting the enzymatic activity of alcohol dehydrogenase (ADH) and poly ADP ribose polymerase 1 were found to be 1 : 865³² and 1 : 1435 (T. Schrader *et al.*: manuscript submitted), respectively, demonstrating that \sim 3-orders of magnitude excess were needed for enzymatic inhibition in these cases. This effect is easily explained: although only a few lysine residues are found in direct proximity to ADH's active site, numerous other basic amino acids must be complexed simultaneously to reach saturation. On the PARP-1 enzyme, multiple lysines are located on the three zinc finger units; hence, all must be bound by CLR01 in order to effectively displace lesioned DNA and hence inhibit PARP-1 activity.

To test the process-specific mechanism hypothesis further, the protein:CLR01 concentration ratio needed for inhibiting aggregation of amyloid proteins was compared to the concentration required for interfering with a normal assembly process—tubulin polymerization. The protein:CLR01 concentration ratios needed for complete inhibition of different amyloid proteins were mostly in the range of 1 : 0.1–1 : 3.³⁶ These concentration ratios had no effect on tubulin polymerization. Only at a tubulin:CLR01 concentration ratio of 1 : 55, some disruption, not complete



Fig. 8 CLR01 decreases TTR burden and associated toxicity in the dorsal root ganglia (DRG) of hTTR V30M/HSF mice. Representative immunohistochemistry analysis of TTR, binding immunoglobulin protein (BiP), Fas, and 3-nitrotyrosine in DRG of mice treated with CLR01 (right panels; $n = 14$) and age-matched controls (left panels; $n = 12$); 20 \times magnification. Reprinted with Permission from *Neurotherapeutics*, 2014, **11**, 450–461. Copyright 2014 Springer.

inhibition, of the process was observed.¹²⁵ These data support the mechanism of action of CLR01 and demonstrate that despite its ability to bind exposed Lys residues essentially on any protein, its gentle, labile binding affects amyloid proteins' self-assembly at concentrations orders of magnitude lower than those needed for disruption of normal biological processes.

The next line of evidence is cell culture experiments. Because in most of the assays used to measure the toxicity of amyloidogenic proteins the proteins were added to cells exogenously,^{36,37,40,41,82} the concentrations of CLR01 needed for inhibition depended on the concentrations of the protein used, and generally were in the high nM to low μ M range. As mentioned above, in one case, inhibition of the toxicity of endogenously expressed α -synuclein was tested in HEK 293 cells and it was found to be inhibited completely by 1 μ M CLR01.³⁷ Remarkably, the toxicity of CLR01 itself in cell culture was only observed at 1–3 orders of magnitude higher concentrations and appeared to depend on the cell type used. In differentiated PC-12 cells, no toxicity was observed up to 200 μ M and \sim 15% reduced viability (MTT assay) occurred at 400 μ M.³⁶ No reduction in viability (XTT assay) was found up to 100 μ M in human H1299 non-small cell lung carcinoma cells⁸² and up to 500 μ M in the HIV reporter cell line TZM-bl.⁴⁰

CLR01 also was found to be safe *in vivo* and did not show any signs of toxicity, such as weight loss, behavioral changes, morbidity or mortality in any of the animal experiments described above.



Moreover, in the experiments using the $3 \times$ Tg mouse model of AD, despite the proximity of Lys residues to the α - and β -secretase cleavage sites in APP, CLR01 did not affect APP processing and there was no difference in the levels of the soluble N-terminal domain (sAPP) or the C-terminal fragments, CTF- α and CTF- β .³⁸

To further test the safety of CLR01 in mice, two studies were conducted in which CLR01 was administered intraperitoneally to 2-months-old wild-type mice either as an acute bolus at 10 or 100 mg kg⁻¹ with subsequent analysis at 24 h, or chronically for 30 days.¹²⁵ In the acute setting, administration of 100 mg kg⁻¹ CLR01 (2500-fold above the dose used in the $3 \times$ Tg mice, 83-fold above the dose in the TTR mice) initially caused obvious signs of distress to the mice (mainly freezing and hunching), which began to resolve after 30 min and resolved completely within 2 h. No mouse died until the time point of euthanasia at 24 h. Histological and serological analyses showed liver injury (Fig. 9), which would be expected for such a high dose, but no damage to other tissues, including the heart, lungs, kidneys, or brain.¹²⁵ In the 10 mg kg⁻¹ group, there were no signs of distress and no significant serological findings. In one out of the 8 mice in this group there were mild signs of liver degeneration. Therefore, 10 mg kg⁻¹ per day was used as the high dose in the subsequent chronic administration experiment, whereas the low dose was 3 mg kg⁻¹ per day. There were no behavioral or histological findings in either of the chronic-treatment groups. The only significant serological change was \sim 40% decrease in blood cholesterol in the 10 mg kg⁻¹ per day group.¹²⁵ These findings suggest that CLR01 has a high safety margin and support its further development towards therapy for proteinopathies in humans.

3.6 Pharmacokinetics of CLR01

In view of the promising efficacy and safety data of CLR01, pharmacokinetic characterization of the compound has begun with the purview of generating a clinical candidate based on CLR01 itself or on a close derivative thereof.

The solubility of CLR01 in different aqueous buffers is 10–15 mM and it fulfills all but one of Lipinski's recommendations for drug-like molecules.¹²⁶ The molecular weight of CLR01 is 722, clearly above the recommended 500 Da cut-off. Evidently, this violation did not prevent the therapeutic effects observed in the animal studies conducted to date. The size is essential for the unique mechanism of action of CLR01 and cannot be reduced meaningfully without loss of activity. Notably, despite the negative charge of the two phosphate groups, CLR01 complies with the other Lipinski rules. It contains two H-bond donors, eight H-bond acceptors, its $\text{clog}P = 2.64$, and its $\text{PSA} = 139.18$, supporting the compound's "drugability."

Characterization of CLR01 in standard *in vitro* experiments yielded the following results:¹²⁵

- CLR01 was stable in human ($99 \pm 9\%$) and mouse ($97 \pm 2\%$) liver microsomes for 60 min, whereas testosterone, which was used as a positive control, was degraded down to 29% and 1%, respectively.
- CLR01 was stable ($100 \pm 5\%$) in both human and mouse plasma for 60 min at 37 °C.
- As would be expected based on its mechanism of action, CLR01 was $96 \pm 3\%$ and $99.0 \pm 0.2\%$ bound to human and mouse plasma proteins, respectively. These results were comparable to testosterone, ($98.6 \pm 0.2\%$ and $94 \pm 2\%$, respectively).



Fig. 9 Liver histopathological analysis of mice 24 h following a single intraperitoneal injection of CLR01. Hepatocytes from (A) vehicle-treated, and (B) mice treated with 10 mg kg⁻¹ CLR01 show moderate amounts of glycogen vacuolation. (C) Zone-1 hepatocytes from mice treated with 100 mg kg⁻¹ CLR01 show glycogen vacuolation. Zone-2 hepatocytes are normal sized. Zone-3 hepatocytes are pale with a granular eosinophilic cytoplasm and some nuclei show pyknosis. Reprinted with permission from *BMC Pharmacol. Toxicol.*, 2014, **15**, 23. Copyright 2014 BioMed Central.



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