

Synthesis of cyclic peptide-based [2]rotaxanes *via* copper-catalyzed azide–alkyne cycloaddition†Taichi Kurita ^a and Keiji Numata ^{*a,b,c}Cite this: *Polym. Chem.*, 2025, **16**, 409Received 19th October 2024,
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Cyclic peptide-based [2]rotaxanes were synthesized from cyclo(PG)₄ and monocationic ammonium threads *via* copper-catalyzed azide–alkyne cycloaddition (CuAAC), achieving relatively high yields of up to 36%. Cyclic peptides that do not contain bulky side chains or amino acids that induce the formation of *cis*-amide bonds were found to be unsuitable for rotaxane synthesis. This innovative synthetic approach advances the development of multi-functional rotaxanes and opens new avenues for their applications in various fields.

Peptide-based materials are of great interest due to their exceptional biodegradability and biocompatibility because their degradation products are readily metabolized.^{1–6} In particular, cyclic peptides have attracted substantial attention owing to their unique cyclic topology, which offers greater stability than their linear counterparts.^{7–10} This distinctive cyclic structure enables the formation of complex structures, leading to the ability to develop advanced functionalities that are not achievable with linear or monocyclic peptides.^{11–16} Preorganized cyclic peptides serve as superior host compounds owing to their minimized entropy loss during complex formation.^{17–22} Thus, interlocked structures such as rotaxanes can be formed by utilizing the receptor ability of cyclic peptides.²³ Peptides and proteins with mechanically interlocked structures, whether naturally occurring or artificially synthesized, exhibit unique properties,²⁴ featuring attributes such as enhanced thermal, mechanical, and chemical stability,^{25,26} proteolytic resistance²⁷ and dynamic behaviors such as sliding and switching.^{28,29} Furthermore, peptide-based rotaxanes have the

potential to act as cross-linking points, effectively enhancing the toughness of the resulting polymer materials without compromising their biodegradability or compatibility with polypeptides and proteins.^{30,31} Thus, peptide-based rotaxanes enable the development of peptide- or protein-based materials with unprecedented functions, and these peptide- and protein-based materials are anticipated to be applied in a variety of fields. As a first step in this direction, we successfully synthesized an all-peptide-based rotaxane in 1.2% yield *via* the active template method.³² Enhancing the yield of peptide-based rotaxanes is essential for establishing them as practical tools for a wide range of applications. However, synthesizing rotaxanes from peptides is challenging because the hydrogen bonds between cyclic and axial peptides are too weak for the axial peptide to penetrate the cyclic peptide. To address this issue, we propose that the yield of rotaxanes can be improved by using stronger interactions, such as electrostatic interactions, to drive rotaxane formation. A cyclic octapeptide with an alternating sequence of proline and glycine, cyclo(PG)₄, was reported to efficiently bind cations because this peptide adopts a γ -turn structure with a glycine carbonyl pointing toward the center of the cyclic peptide.²² By leveraging this receptor ability, rotaxanes were formed from cyclo(PG)₄ and diammonium threads in good yields (56–63%).²³ However, this process took 7 days because of the poor reactivity of capping with cationic diol threads and bulky acid chlorides during rotaxane formation. Furthermore, when a monocationic ammonium thread was used without detailed molecular design, only trace amounts of rotaxane were obtained.

In this study, we employed copper-catalyzed azide–alkyne cycloaddition (CuAAC),^{33–36} a rapid and bioorthogonal reaction, to cap the pseudorotaxane synthesized *in situ* by the complexation of a monoammonium thread and cyclo(PG)₄ to form a rotaxane structure. This approach minimized the dissociation of the preformed pseudorotaxane structure, enabling rotaxanes to be synthesized in moderate yields, even when a monoammonium thread was used.

Cyclo(PG)₄ and a *sec*-ammonium thread with both bulky and alkyne termini (Scheme 1, thread 1) were synthesized,

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Scheme 1 Scheme of cyclic peptide-based [2]rotaxane synthesis.

which was confirmed by ^1H NMR (Fig. S11 and S15[†]). The CuAAC-mediated strategy for the synthesis of peptide-based [2] rotaxanes is shown in Scheme 1. Cyclo(PG)₄ (3 equiv.) and thread 1 (1 equiv.) were mixed in dichloromethane (DCM). The resulting mixture was then subjected to sonication for 1 min. Sonication enhanced the solubility of the monocationic ammonium thread in DCM and promoted its threading through the cyclic peptide, which is essential for the formation of the pseudorotaxane structure. To this solution, an azide compound with bulky termini (Scheme 1, compound 5 and Fig. S14,[†] 3 equiv.), a copper catalyst (1.2 equiv.), and lutidine (0.05 equiv.) were added, and the mixture was left to stand for 1 h at 25 °C. Rotaxane formation was confirmed by high-performance liquid chromatography (HPLC). The molecular weights of the eluates corresponding to each peak were determined, and the peak at 31.5 min was identified as the rotaxane. The rotaxane yield was determined to be 4.1% based on the HPLC peak areas (Fig. S1[†] and Table 1, entry 1).

We investigated the effect of the molecular structure of the *sec*-ammonium thread with both bulky and alkyne termini on rotaxane formation. Given that the close proximity between the alkyne and NH_2 groups results in reduced rotaxane yields due to steric hindrance in the CuAAC reaction and the tendency of the complex to dissociate, we synthesized a new monoammonium thread, thread 2 (Scheme 1), in which the alkyne and NH_2 groups were positioned further apart (Scheme S1, Fig. S9, and S12[†]). As anticipated, the rotaxane yield increased to 36.1% when monoammonium thread 2 was used (Fig. 1 and Table 1, entry 2). To further enhance the stability of the pseudorotaxane structure, we synthesized monocationic ammonium thread 3 (Scheme 1), which contains a

Table 1 Effect of the molecular structure of *sec*-ammonium thread on rotaxane formation

Entry	Cyclic peptide	Ammonium thread	Yield/%
1	Cyclo(PG) ₄	1 ($n = 1$)	4.1
2	Cyclo(PG) ₄	2 ($n = 4$)	36.1
3	Cyclo(PG) ₄	3 ($n = 9$)	3.0



Fig. 1 (a) HPLC chromatogram of the crude reaction mixture. The inner box shows an enlarged view of the area within the red dashes. Red peak: rotaxane; blue peak: free thread; and green peak: free cyclic peptide. (b) MALDI-TOF MS spectrum of the fraction containing the red peak from the chromatogram in (a).

longer CH_2 linkage than that of thread 2 (Scheme S1, Fig. S10, and S13[†]). This modification was expected to render the pseudorotaxane structure more resistant to dissociation, thereby improving the rotaxane yield. However, the yield of rotaxane was 3.0%, which was lower than that obtained with thread 2 (Fig. S2[†] and Table 1, entry 3). This is likely due to the increased distance between the alkyne and NH_2 moiety, which hindered the formation of the pseudorotaxane structure. To further optimize the reaction conditions, we investigated the effect of reaction temperature. As the temperature increases,

the pseudorotaxane becomes more susceptible to dissociation, leading to a decrease in rotaxane yield. Conversely, at lower temperatures, pseudorotaxane is more stable; nevertheless, the solubility of the starting materials and the efficiency of the capping reaction are decreased, meaning that the rotaxane yield is system-dependent.³⁷ To investigate the effect of lower temperature on our rotaxane synthesis method, we conducted the rotaxane-forming reaction at 0 °C. The rotaxane yield was 30%, which was slightly lower than that obtained at 25 °C (Fig. S3† and Table 2, entry 4). Thus, in our rotaxane synthesis method, the decreased solubility and reduced efficiency of the capping reaction at lower temperatures have a greater impact than the stabilization of the pseudorotaxane. Consequently, synthesizing rotaxanes at lower temperatures is unsuitable for our synthetic system.

The chemical structure of the rotaxane was determined by ¹H NMR spectroscopy (Fig. 2). Eight glycine C_α proton resonances were split, with four shifting upfield and the other four shifting downfield. This phenomenon occurred because two of the four carbonyl oxygens on the glycine residues within cyclo(PG)₄ formed hydrogen bonds with -H₂N⁺, whereas the remaining two carbonyl groups experienced disruption of their internal amide–amide hydrogen bonds owing to the formation of an interlocked structure. Although the internal hydrogen bonds in cyclo(PG)₄ were lost within the rotaxane, the amide proton resonances shifted downfield, which was attributed to inductive effects resulting from strong electrostatic interactions between the glycine carbonyl groups and the ammonium cation. This result is consistent with previous research on cyclo(PG)₄-ammonium thread rotaxanes.²³ Additionally, the formation of hydrogen bonds between the carbonyl oxygens in the thread and the amide protons in cyclo(PG)₄ further enhanced this shift. Compared with that in the free thread, the proton H₁ in the rotaxane was shielded, whereas the proton H_n remained unchanged, indicating that cyclo(PG)₄ was positioned over H₁ on the thread. The 2D diffusion ordered spectroscopy (DOSY) NMR spectrum of rotaxane 7 revealed that every peak had the same diffusion coefficient, which also indicated the formation of a rotaxane structure (Fig. 3).

Cyclo(PG)₄ does not contain any residues with reactive side chains, which significantly limits its functionality owing to its inability to bind to other molecules. Incorporating reactive side chains into cyclic peptides is crucial to enhance the versatility of peptide-based rotaxanes for diverse applications. To assess the applicability of this rotaxane synthesis method to other cyclic peptides, we designed and synthesized cyclo[(PG)₃PC(*StBu*)], in which one glycine residue was substituted with *S*-*tert*-butylthio-cysteine (C(*StBu*)). Compared with cyclo



Fig. 2 ¹H NMR spectra (400 MHz, CDCl₃, 298.15 K) of (a) cyclo(PG)₄, (b) [2]rotaxane 7, and (c) monocationic ammonium thread 10. The peaks are labeled according to Scheme 1. The complete attribution of each NMR spectrum is detailed in the ESI (Fig. S15–S17†).

(PG)₄, cyclo[(PG)₃PC(*StBu*)] afforded rotaxanes in lower yield (Fig. S4† and Table 3, entry 5). As demonstrated in a previous study, cyclo[(PG)₃PC(*StBu*)] adopts a planar structure with a relatively large inner cavity in two of its five metastable conformations.³² Several structures in which the cysteine side chain was present near the center of the cyclic peptide were identified. This steric hindrance caused the yield of the rotaxanes to be lower than the rotaxanes prepared with cyclo(PG)₄.

Glycine is a flexible amino acid that lacks a side chain; therefore, its impact on the structure of cyclo(PG)₄ is minimal. As a result, proline may have a major effect on the conformation of cyclo(PG)₄, which in turn affects the formation of rotaxanes. To examine the influence of proline, we synthesized a proline derivative, C(Ψ^{Me,Me}Pro) (Fig. S8†), to use in the synthesis of the analogous cyclic peptide cyclo[GC(Ψ^{Me,Me}Pro)(GP)₃] (Fig. S7†). Unfortunately, cyclo[GC(Ψ^{Me,Me}Pro)(GP)₃] did not afford a rotaxane (Fig. S5† and Table 3, entry 6). To determine why the rotaxane was not formed, we analyzed the solu-

Table 2 Effect of reaction temperature on rotaxane formation

Entry	Cyclic peptide	Reaction temperature/°C	Yield/%
2	Cyclo(PG) ₄	25	36.1
4	Cyclo(PG) ₄	0	30.1



Fig. 3 DOSY NMR spectrum of [2]rotaxane **7**. Compound **7** had a single diffusion coefficient, indicating the formation of a rotaxane.

Table 3 Effects of the cyclic peptide amino acid sequence on rotaxane formation

Entry	Cyclic peptide	Ammonium thread	Yield (%)
2	Cyclo(PG) ₄	2 (n = 4)	36.1
5	Cyclo[(PG) ₃ PC(S <i>t</i> Bu)]	2 (n = 4)	16.0
6	Cyclo[GC(Ψ ^{Me,Me} Pro)(GP) ₃]	2 (n = 4)	0.0

tion structure of cyclo[GC(Ψ^{Me,Me}Pro)(GP)₃]. The secondary structure of cyclo[GC(Ψ^{Me,Me}Pro)(GP)₃] was analyzed by circular dichroism (CD) in DCM. A negative Cotton effect was observed at 227 nm, which is characteristic of peptides with γ -turn structures (Fig. S6†).²² Moreover, a γ -turn structure with 1 \leftarrow 3 intramolecular C=O...H-N hydrogen bonds gives rise to a relatively planar structure in which the glycine carbonyls point toward the center. Compared with that of cyclo(PG)₄,³² the CD signal of cyclo[GC(Ψ^{Me,Me}Pro)(GP)₃] was less intense, indicating a reduced propensity to form γ -turn structures. We further investigated the effect of C(Ψ^{Me,Me}Pro) on the solution structures of cyclic peptides *via* replica exchange molecular dynamics (REMD) simulations (Fig. 4).³⁸ The characteristic feature of C(Ψ^{Me,Me}Pro) is its tendency to adopt a *cis* configuration at the amide bond preceding the C(Ψ^{Me,Me}Pro) residue.^{39–41} During the simulations, 55.2% of the amide bonds preceding the C(Ψ^{Me,Me}Pro) residue in cyclo[GC(Ψ^{Me,Me}Pro)(GP)₃] adopted a *cis* configuration (Table 4), which was more than two times that observed for the amide bond preceding the proline in cyclo(PG)₄ (25.1%). When a cyclic peptide interacts with a cation to form a rotaxane, the carbonyl oxygen at the point of interaction is typically directed toward the center of the cyclic peptide. With this in mind, if the amide bond before C(Ψ^{Me,Me}Pro) in cyclo[GC(Ψ^{Me,Me}Pro)(GP)₃] remains in a *cis*



Fig. 4 (a) Free energy landscape of cyclo[GC(Ψ^{Me,Me}Pro)(GP)₃] determined by PCA. (b) Molecular structures of the two main representative metastable states of cyclo[GC(Ψ^{Me,Me}Pro)(GP)₃]. (c) Schematic of proline *cis*–*trans* isomerization.

Table 4 Percentages of *cis* amide bonds preceding the Pro residue in cyclo(PG)₄ or the C(Ψ^{Me,Me}Pro) residue in cyclo[GC(Ψ^{Me,Me}Pro)(GP)₃]

Cyclic peptide	Percentages of <i>cis</i> amide bonds preceding the Pro or C(Ψ ^{Me,Me} Pro) residue
Cyclo(PG) ₄	25.1
Cyclo[GC(Ψ ^{Me,Me} Pro)(GP) ₃]	55.2

configuration during rotaxane formation, the carbonyl oxygen of glycine and the C_δ atom of C(Ψ^{Me,Me}Pro) both face the same direction. This means that the five-membered ring of C(Ψ^{Me,Me}Pro) is oriented toward the center of cyclo[GC(Ψ^{Me,Me}Pro)(GP)₃], which increases steric hindrance. Transitioning from the *cis* conformation to the *trans* conformation would avoid such steric hindrance, but this conversion

requires energy. In other words, the entropy loss of cyclo[GC($\Psi^{\text{Me,Me}}\text{Pro}$)(GP)₃] during complex formation is expected to be even greater than that of cyclic peptides that do not adopt a *cis* configuration. Owing to the steric hindrance or increased entropy loss induced by C($\Psi^{\text{Me,Me}}\text{Pro}$), cyclo[GC($\Psi^{\text{Me,Me}}\text{Pro}$)(GP)₃] would not have formed a rotaxane.

In summary, we herein successfully synthesized peptide-based [2]rotaxanes *via* a CuAAC capping reaction following the pseudorotaxane formation of cyclo(PG)₄ with monocationic ammonium threads. Rapid execution of the capping reaction was found to prevent dissociation of the pseudorotaxane intermediate, resulting in the synthesis of rotaxanes in up to 36% yield. We further explored how the cyclic peptide sequence affects rotaxane formation. Rotaxane formation was inhibited by specific amino acid residues in the cyclic peptide sequence, highlighting the critical roles of the peptide primary structure on the molecular structure and rotaxane formation. Although numerous studies have explored the interactions between cyclic peptides and cations, comprehensive design guidelines for these systems have not yet been established.^{17–22} Consequently, we continue to focus on identifying the optimal cyclic peptides for enhanced rotaxane synthesis (Fig. 5). This

study bridges the gap between peptide chemistry and supramolecular chemistry, paving the way for the development of multifunctional rotaxanes by exploiting the broad chemical diversity of natural and nonnatural amino acids. The development of peptide-based rotaxanes has enabled the creation of innovative materials for a broad range of applications. This research lays the groundwork for the advancement of these innovative materials.

Author contributions

T. K. and K. N. conceived and designed the research. T. K. performed all the experiments and analyzed the data. T. K. and K. N. wrote the manuscript.

Data availability

All the data in the current study is included in the main text and ESI.†

Conflicts of interest

There are no conflicts of interest to declare.

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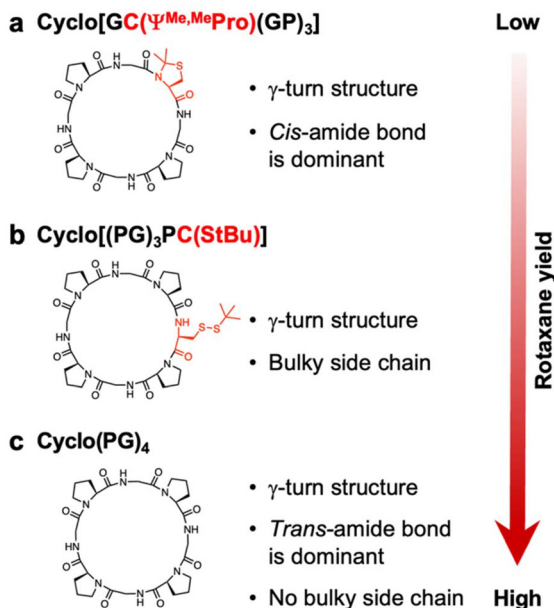


Fig. 5 Summary diagram of the effects of the cyclic peptide amino acid sequence on rotaxane formation in this study. (a) Cyclo[GC($\Psi^{\text{Me,Me}}\text{Pro}$)(GP)₃], which adopts a γ -turn structure with predominantly *cis*-amide bonds (Table 2), was unable to form a rotaxane. (b) Cyclo[(PG)₃PC(StBu)], which adopts γ -turn structure with bulky side chains, formed a rotaxane in a low yield. (c) Cyclo(PG)₄, which adopts a γ -turn structure with predominantly *trans*-amide bonds (Table 2) and lacks bulky side chains, demonstrated the highest produced a rotaxane in the highest yield to date. This figure underscores how strategically modifying the amino acid sequence modifications in of a cyclic peptide can significantly enhance rotaxane yield. Moreover, continued optimization of these cyclic peptides has the potential to achieve even greater improvements in could lead to further improvements in rotaxane yield.

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