



Cite this: *Mater. Adv.*, 2023,
4, 5998

Received 28th July 2023,
Accepted 12th October 2023

DOI: 10.1039/d3ma00479a

rsc.li/materials-advances

A comprehensive insight into deep-level defect engineering in antimony chalcogenide solar cells†

Swapnil Barthwal, Siddhant Singh, Abhishek K. Chauhan, Nimitha S. Prabhu,
Akila G. Prabhudessai and K. Ramesh *

Antimony chalcogenides (Sb_2X_3 , $\text{X} = \text{S}$ and Se) are intriguing materials for the fabrication of next-generation, flexible/wearable, lightweight, and tandem photovoltaic (PV) devices. Recently, the power conversion efficiency (PCE) of 10.75% and 11.66% has been demonstrated in (single junction) Sb_2X_3 and $\text{Sb}_2\text{X}_3/\text{Si}$ (tandem) solar cells, respectively. However, the inevitable presence ($>10^{16} \text{ cm}^{-3}$) of deep-level defects (especially Sb_S and Sb_Se antisites) induces Fermi-level (E_F) pinning, accelerates Shockley–Read–Hall (SRH) recombination, and shortens the carrier lifetime. Unambiguously, these defects result in sluggish charge transport and high open-circuit voltage (V_OC) deficits in the corresponding Sb_2X_3 solar cells. Therefore, a comprehensive understanding of the deep-level defects and their passivation strategies can be instrumental in reducing the V_OC deficits and boosting the PCE values. In this regard, the present review highlights the expanding toolbox of defect-engineering strategies for Sb_2X_3 films, laying a solid foundation for improving the PCE of Sb_2X_3 solar cells.

1. Introduction

The unique quasi-one-dimensional (Q-1D) crystal structure, economical fabrication, and power conversion efficiency (PCE) evolution have stimulated significant scientific and industrial interest towards antimony chalcogenide (Sb_2X_3 , $\text{X} = \text{S}, \text{Se}$)

photovoltaics (PV). Furthermore, Sb_2X_3 has the advantages of earth-abundance, low eco-toxicity, high absorption coefficient ($>10^5 \text{ cm}^{-1}$), low Urbach energy ($\sim 30 \text{ meV}$), low exciton binding energy, readily tunable bandgap ($E_\text{g} \sim 1.1\text{--}1.7 \text{ eV}$, depending on the Se/S ratio), superior physicochemical stability, balanced (ambipolar) charge transport, and ultra-flexibility, satisfying most of the requirements of an ideal PV material.^{1–7} Due to the rapid progress in film deposition strategies and composition and device engineering, PCE values of 8.00%,⁸ 10.57%,⁹ and 10.75%¹⁰ have been reported for Sb_2S_3 , Sb_2Se_3 ,

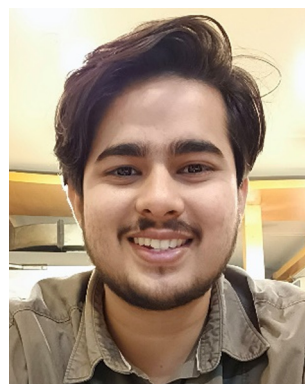
Department of Physics, Indian Institute of Science, Bengaluru-560012, Karnataka, India. E-mail: kramesh@iisc.ac.in

† Electronic supplementary information (ESI) available. See DOI: <https://doi.org/10.1039/d3ma00479a>



Swapnil Barthwal

Swapnil Barthwal completed his MTech (Solid State Materials) from the Indian Institute of Technology, Delhi, in 2019. Currently, he is working as a Project Fellow in the Department of Physics, Indian Institute of Science, Bengaluru. His research work is focused on understanding and regulating the point defects in Antimony Chalcogenide (Sb_2X_3) thin films to exercise greater control of the carrier-transport dynamics and PV performance in Sb_2X_3 solar cells.



Siddhant Singh

Siddhant Singh graduated in Physical Sciences from University of Delhi, in 2018. He completed his Master's in Physics, from the National Institute of Technology Surathkal, Karnataka in 2021. Subsequently, he joined the Photovoltaics Group for his doctoral research under the supervision of Dr K. Ramesh (Principal Research Scientist) at the Indian Institute of Science, Bengaluru. His research interests include the fabrication and investigation of optoelectronic devices based on solution-processed perovskite single crystals and thin films.

and $\text{Sb}_2(\text{S,Se})_3$ solar cells, respectively. Recently, a PCE of 11.66% was demonstrated in an $\text{Sb}_2(\text{S,Se})_3/\text{Si}$ tandem solar cell.¹¹ Sb_2S_3 ($E_g \sim 1.7$ eV) and Sb_2Se_3 ($E_g \sim 1.1$ eV) are promising materials for the fabrication of the top-cell and bottom-cell in tandem solar cells, respectively.^{12–15} In addition to generic power production (terawatt levels), Sb_2X_3 solar cells are well suited for integration in futuristic, lightweight, flexible, and wearable electronic devices.^{15–18}

A comprehensive understanding of the defect-formation mechanism and control of defects *via* passivation strategies has been a cornerstone in the successful technological deployment of established semiconductor technologies. However, this profound understanding is limited in the case of Sb_2X_3 solar cells, which severely suffer from large open circuit voltage (V_{OC}) deficits (>0.6 eV) owing to their high density of (electrically active) deep defects. These defects are localized in real space, dictate the carrier transport, and restrict the PCE of the corresponding devices from reaching the theoretical limit ($\sim 30\%$).^{18,19}

To date, various reviews have covered different critical aspects of Sb_2X_3 PV, with particular emphasis on presenting either a generic overview (of anisotropy, crystal-structure, band-structure, photophysical properties, deposition techniques, and recent advances),^{18,20–26} doping,²⁷ device and interfacial engineering,^{19,28,29} or commercialization prospects,³⁰ while the defect engineering aspect has largely remained unexplored. Recently, Wijesinghe *et al.*³¹ published a review emphasizing defect engineering in Sb_2Se_3 solar cells. However, to the best of our knowledge, the present review is unique, highlighting the origin of deep-level defects in Sb_2X_3 (covering Sb_2S_3 , Sb_2Se_3 , and $\text{Sb}_2(\text{S,Se})_3$), their passivation strategies, and strategies for boosting their PCE beyond the state-of-the-art. This work is organized into five main sections. Firstly, we briefly elucidate the charge transport properties of Sb_2X_3 materials. Next, we summarize the defect engineering investigations, discussing the origin of deep-level defects and their influence on the performance of Sb_2X_3 solar cells. Subsequently, we present the engineering strategies for defect passivation and PCE



Abhishek K. Chauhan

Abhishek Kumar Chauhan is currently working as a Research Associate at the Department of Physics, Indian Institute of Science, Bengaluru, India. He earned his PhD in 2022 under the guidance of Dr Pankaj Kumar (Principal Scientist, National Physical Laboratory, New Delhi, India). His doctoral work was focused on the development of perovskite-based solar cells and involved an in-depth study of their degradation mechanisms. In his current role, he continues to explore and advance the field of perovskite-chalcogenide-based solar cells.



Nimitha S. Prabhu

Nimitha S. Prabhu gained her PhD at the Manipal Institute of Technology, Manipal, India in 2023, working on the effects of gamma-irradiation on rare-earth-doped oxide glasses. Subsequently, he joined the Amorphous Semiconductors Group of Dr K. Ramesh (Principal Research Scientist) at the Indian Institute of Science, Bengaluru, India as a Research Associate. Her research interests include rare-earth-doped oxide and chalcogenide glasses, gamma dosimetry, and thin-film solar cells.



Akila G. Prabhudessai

Akila G. Prabhudessai earned her PhD from Jadavpur University, Kolkata in 2022, working on the synthesis of multi-component chalcogenide glasses for mid-infrared and electrical applications. Currently, she is working as a Research Associate in Dr K. Ramesh's group, Department of Physics, Indian Institute of Science, Bengaluru. Her research interests include the synthesis, purification and impedance and optical spectroscopy of chalcogenide glasses.



K. Ramesh

Dr Ramesh Karuppannan is working as a Principal Research Scientist at the Indian Institute of Science, Bangalore, India. He completed his BSc (Physics) and MSc (Physics) at the Bharathidasan University, Trichy, India. He obtained his Doctorate in 1999 from the Indian Institute of Science, Bengaluru, India. His main research areas are structural relaxation in chalcogenide glasses, IR-transmitting materials, phase-change memory materials, carbon nitrides, pressure-induced phase transitions, and photovoltaic materials based on SnS and perovskites.



improvement in Sb_2X_3 solar cells. Finally, we present a summary and technological outlook, outlining potential challenges and future research directions. We anticipate that this work will inspire the development of novel strategies for defect regulation in Sb_2X_3 films and solar cells, laying a solid foundation for improving their PCE.

2. Charge carrier dynamics in Sb_2X_3

Although the anisotropic structural and optoelectronic properties of Sb_2X_3 have been investigated comprehensively, there is no consensus on its charge-carrier dynamics, which critically affects the performance of Sb_2X_3 solar cells. Specifically, the nature of the carrier transport remains ambiguous, regarding if it is band-like or thermally activated hopping. Moon's group performed several time-resolved studies to understand the carrier dynamics involved in Sb_2Se_3 nanostructures.³² Time-resolved terahertz (tr-THz) spectroscopy revealed that the photoexcited carriers in Sb_2Se_3 undergo effective mobility loss within 30 ps owing to the carrier localization, while time-resolved photoluminescence (TRPL) suggested the recombination of photogenerated carriers in a lengthier (ns) time scale. Furthermore, the carrier localization was attributed to the soft/deformable and anharmonic crystal structure, strong electron–phonon coupling and carrier interaction with crystal distortions in Sb_2Se_3 .³³ Zhu's group conducted an in-depth investigation on the carrier dynamics in Sb_2S_3 single crystals and thin films *via* transient absorption spectroscopy (TAS).³⁴ They concluded that the red-shifted PL (Stokes shift of 0.6 eV), picosecond carrier trapping, polarized trap emission, and hopping transport were strongly correlated with the intrinsic self-trapping of photogenerated carriers by lattice deformations in Sb_2S_3 . The self-trapping model rationalizes the high V_{OC} -deficits in Sb_2S_3 solar cells, pinning the upper limit of the V_{OC} at 0.8 V and PCE at 16%. Grad *et al.*³⁵ performed time-resolved two-photon photoemission experiments to study the photoexcited carrier dynamics in single-crystal Sb_2S_3 . They proposed a model of self-trapping of free charge carriers by optical phonons and the formation of intrinsic traps. Consistent with the above-mentioned hypothesis, Tao *et al.* reported a Stokes-shifted (≈ 0.5 eV) broadband emission and (barrierless) ultrafast (≈ 20 ps) carrier self-trapping in Sb_2Se_3 and $\text{Sb}_2(\text{S},\text{Se})_3$, regardless of their crystallinity and stoichiometry.³⁶

To counter the proposed intrinsic self-trapping model, various studies revealed that carriers are localized by extrinsic (bulk and interface) defects. Yang's group investigated the anisotropic photoconductivity in Sb_2Se_3 single crystals *via* tr-THz spectroscopy.³⁷ The temporal evolution of photoconductivity revealed that electron trapping resulted in a variation in photoconductivity anisotropy, which was accompanied by a decline in the photoconductivity magnitude, while electron–hole recombination only reduced the magnitude but did not affect the anisotropy. Photoconductivity decay and the accompanying anisotropy reversal revealed that at low pump intensities (relevant to the solar insolation), electron trapping outpaced charge recombination and dictated the photoconductivity dynamics. Leng's group investigated the charge carrier

transfer and trap state localization process in Sb_2Se_3 films and heterostructures ($\text{CdS}/\text{Sb}_2\text{Se}_3$ and $\text{SnO}_2/\text{Sb}_2\text{Se}_3$) *via* visible-pump terahertz-probe spectroscopy (VPTPS).³⁸ In the case of the $\text{CdS}/\text{Sb}_2\text{Se}_3$ heterostructure, the band edge electron transfer time (~ 50 ps) was almost double that for the trapping process (~ 30 ps), revealing that the intrinsic trap-assisted carrier recombination dominates the loss mechanisms.

Walsh's group investigated the tendency of polaron-trapping and its effect on the charge-carrier transport in Sb_2X_3 using density functional theory (DFT) and Boltzmann transport calculations.⁶ The modeling of electron and hole polarons in Sb_2X_3 indicated the intrinsic formation of large polarons, in contrast to prior suggestions of small polarons (*i.e.*, self-trapped carriers). As illustrated in Fig. 1(a), the isotopically averaged mobilities (for both electrons and holes) exceeded $10 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ at room temperature and declined with an increase in temperature, confirming the band-like transport in Sb_2S_3 and Sb_2Se_3 . It was also revealed that the intrinsic mobility is limited by scattering from polar optical phonons at low and moderate defect densities. In the case of high charge defect concentrations ($> 10^{18} \text{ cm}^{-3}$), impurity scattering dominated. Yang's group employed Tr-THz and TAS to investigate both the free and trapped carrier dynamics in Sb_2Se_3 films.³⁹ The results revealed that the trapped carriers remain mobile and reach charge-collecting interfaces prior to recombination. Interestingly, in addition to free carriers, trapped carriers were also found to contribute to the photocurrent in Sb_2Se_3 solar cells, as schematically depicted in Fig. 1(d).

Although Sb_2S_3 and Sb_2Se_3 are isomorphous and exhibit similar material properties, they exhibit minor differences in their electronic properties. Generally, Sb_2S_3 exhibits intrinsic to weakly n-type conductivity, in contrast to weakly p-type conductivity in Sb_2Se_3 . Strong electron–phonon coupling-induced (intrinsic) self-trapping is prominent in Sb_2S_3 , limiting the V_{OC} and FF values in Sb_2S_3 solar cells. However, it is conceivable that there is ambiguity regarding the self-trapping in Sb_2Se_3 and $\text{Sb}_2(\text{S},\text{Se})_3$ and the extent to which it impedes carrier transport in these materials.^{35,40} The suppressed or non-existent self-trapping in Sb_2Se_3 and $\text{Sb}_2(\text{S},\text{Se})_3$ facilitates improved charge transport, which is reflected in their better device performance than their Sb_2S_3 counterparts (Table S1, ESI†). Notably, the upper limit of the V_{OC} (~ 0.8 eV) projected by the self-trapping model for Sb_2S_3 solar cells was experimentally reported by Maity *et al.*⁴¹ and Peng *et al.*⁴² In this regard, any further improvement in V_{OC} will provide evidence for the validity and efficacy of this model. Engineering strategies to suppress electron–phonon coupling (such as stiffening the elastic properties by strain) can counter the ultrafast self-trapping in Sb_2X_3 films.

3. Defects in Sb_2X_3 materials and their implication in the performance of solar cells

Computational and experimental studies on Sb_2X_3 thin films revealed the highly complicated and sensitive (to film deposition



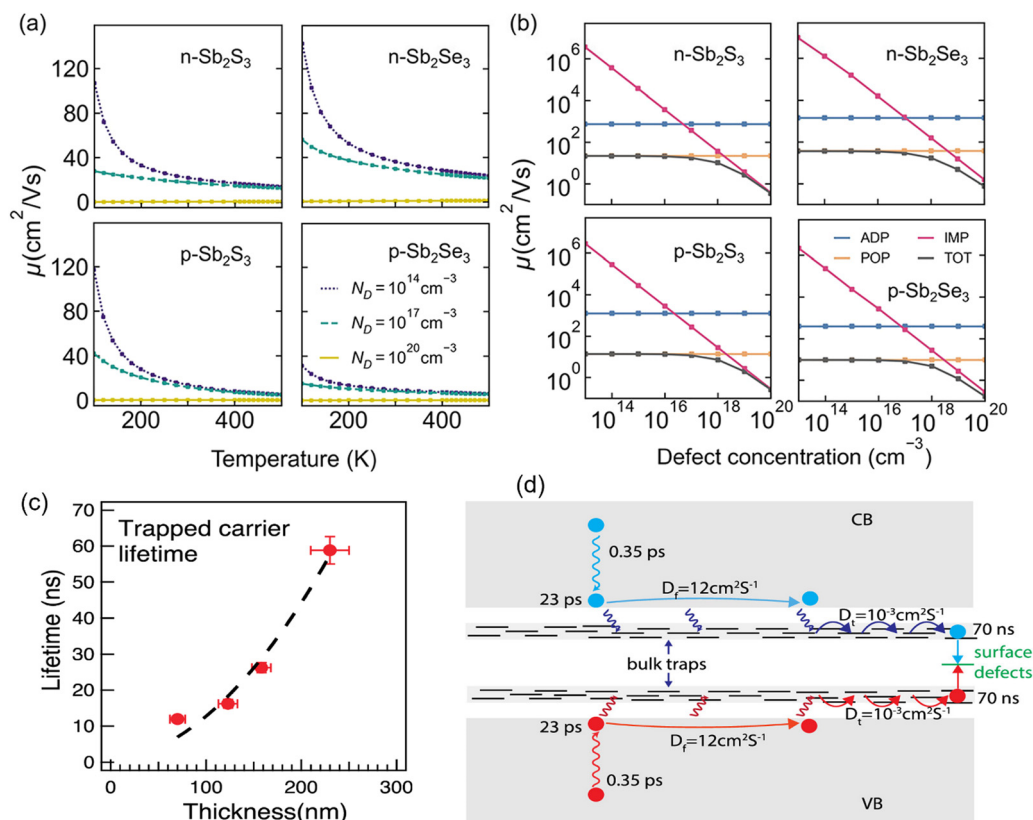


Fig. 1 Calculated average mobility (μ) values for electrons and holes in Sb₂S₃ and Sb₂Se₃ as a function of (a) temperature and (b) defect concentration (N_D). Calculated total (TOT) and component mobilities as a function of bulk defect concentration at 300 K. ADP, acoustic deformation potential; POP, polar optical phonon; and IMP, ionized impurity. Adapted under the guidelines of the Creative Commons CC BY license from ref. 6 Copyright 2022, the American Chemical Society. (c) Dependence of trapped carrier lifetime (τ_t) on film thickness. (d) Schematic illustration of the free and trapped charge carrier transport. The free carrier cooling (0.35 ps) and subsequent trapping (23 ps) time constants are shown. The trapped carriers hop among the bulk trap sites with a narrow energy distribution. Surface recombination leads to the depopulation of the trapped carriers. Adapted with permission from ref. 39 Copyright 2019, the American Chemical Society.

conditions) nature of defects. The following defects in Sb₂X₃ films dictate the performance of Sb₂X₃ solar cells: (1) intrinsic zero-dimensional (0D) defects, including vacancies, interstitials and antisites; (2) 0D defects caused by impurities (doped or permeable ions); and (3) 2D defects (grain boundaries, interfaces, and surface defects). In addition, defects in the transport layer and poor contact between the transport layer and electrodes also affect charge extraction, resulting in a higher series resistance (R_s). Moreover, severe defects such as microcracks and scratches on the surface cause a rough surface topography, lowering the FF in devices. However, in this review, we focus on the point (0D) defects in the absorber layers. Point defects can be classified as deep or shallow, depending on whether the thermal activation energies for the electrons (E_{th}) are higher or lower than $k_B T$ (k_B is the Boltzmann constant and T is the absolute temperature). Point defects with deep-energy levels are prone to tightly trapping free electrons and holes, resulting in defect-mediated Shockley–Read–Hall (SRH) recombination, and thus curtaining the average lifetime (τ_{eff}) of the charge carriers and V_{OC} of PV devices. In contrast, shallow defects are benign and instrumental tools for regulating the carrier density and electrical conductivity. Solar energy conversion requires the

photoinduced generation of long-lived charge carriers, which necessitate their separation and collection at the respective electrodes to generate a discernible V_{OC} and J_{SC} . Thus, mitigating all the possible charge carrier loss channels is indispensable for attaining excellent PCE values.³⁸

Suppressing the rate of trap-assisted SRH recombination enables devices to achieve higher V_{OC} values before the J_{SC} is canceled completely by recombination. The V_{OC} can be formulated in terms of non-radiative voltage loss (ΔV_{OC}^{NR}) from the maximum achievable voltage (ΔV_{OC}^{NR}),⁴³ as follows:

$$V_{OC} = V_{OC}^{\max} - \Delta V_{OC}^{NR} \quad (1)$$

$$= V_{OC}^{\max} - \frac{k_B T}{e} \log(EQE_{EL}) \quad (2)$$

where EQE_{EL} is the external electroluminescence quantum efficiency, T is the absolute temperature, and e is the elementary charge. Naturally, reducing the defect density and the non-radiative recombination in solar cells is the key to reducing EQE_{EL} .

V_{OC} and FF are closely related to the defect-assisted recombination losses caused by bulk traps and interface defects (improper energy-level alignment, lattice-mismatching, and

dangling bonds). The FF of a solar cell is defined as the product of its current and voltage values at the maximum power output relative to the product of J_{SC} and V_{OC} . Therefore, the FF is strongly affected not only by the series and shunt resistance but also by carrier recombination (at the maximum power point conditions). The latter effect is well illustrated by the semiempirical expression, showing the dependency of FF on the diode ideality factor (η) and V_{OC} ,⁴⁴ as follows:

$$FF = \frac{v_m}{v_m + 1} \frac{v_{oc} - \ln(v_m + 1)}{v_{oc}(1 - e^{-v_{oc}})} \quad (3)$$

$$v_{oc} = \frac{V_{OC}}{\eta k_B T} \quad (4)$$

$$v_m = v_{oc} - \ln(v_{oc} + 1 - \ln v_{oc}) \quad (5)$$

The V_{OC} of a solar cell is directly related to the splitting of the (electron and hole) quasi-Fermi levels in its semiconducting absorber (and thus excess-charge carrier densities, Δn and Δp , excited under specific illumination conditions, usually at one sun). In turn, Δn ($\approx \Delta p$) directly depends on the effective free-carrier lifetime (τ_{eff}) through $\tau_{eff} = \Delta n / u_{eff}$, where u_{eff} is the effective recombination rate.^{45,46} Notably, τ_{eff} is often used to assess the electronic quality of semiconductors and is usually extracted experimentally by TRPL, TAS or transient photovoltage (TPV) studies. Given that the recombination rates are additive in nature, τ_{eff} depends on the bulk (τ_{bulk}) and surface ($\tau_{surface}$) recombination lifetimes, as follows:⁴⁷

$$\frac{1}{\tau_{eff}} = \frac{1}{\tau_{bulk}} + \frac{1}{\tau_{surface}} \quad (6)$$

The bulk carrier lifetime (τ_{bulk}) depends on the defect-induced (SRH) recombination lifetime (τ_{SRH}), band-to-band radiative recombination lifetime (τ_{rad}) and Auger recombination lifetime (τ_{Auger}), as follows:⁴⁸

$$\frac{1}{\tau_{bulk}} = \frac{1}{\tau_{rad}} + \frac{1}{\tau_{SRH}} + \frac{1}{\tau_{Auger}} \quad (7)$$

In Sb_2X_3 solar cells, the rate of interface recombination is faster ($\tau_{surface} \sim 0.1 - 1$ ns) than that at the bulk ($\tau_{bulk} \sim 5 - 60$ ns). However, biexponential fitting of the decay curve (TRPL, TAS, and TPV) to get individual values of longer and shorter lifetimes and correlate the values with the τ_{bulk} and $\tau_{surface}$ is challenging.^{18,31}

To intuitively assess the influence of individual types of deep-level defects on the device performance, the $(\sigma N_T)^{-1}$ values are evaluated and compared. According to the trap-assisted-SRH recombination model, the τ_{SRH} value associated with a specific defect depends on the carrier thermal velocity (v_{th}), capture cross section (σ), and trap density (N_T), as follows:^{49,50}

$$\tau_{SRH} = \frac{1}{v_{th} \sigma N_T} \quad (8)$$

Deep-level transient spectroscopy (DLTS), thermally stimulated current (TSC), and admittance spectroscopy are the most frequently used techniques for characterizing defects in

semiconductors.⁵¹ In general, TSC is limited by its low sensitivity, shallow detection depth, and complicated operation.^{52,53} Alternatively, admittance spectroscopy can analyze faster emission processes, and thus is effective in probing shallow defects and dopant energy levels. However, although this technique facilitates the detection of single trap species (majority-carrier trap only), its sensitivity decreases for deeper trap levels.^{54,55} Compared with the two above-mentioned methods, DLTS is a powerful tool to probe (bulk) deep-level defects (located >0.3 eV from the band-edges) in semiconductors with higher sensitivity, wider observation range of trap depth, and more versatile and convenient operation and analysis. It employs the transient capacitance of the p-n junction (during emission of carriers from the traps) at different temperatures as the probe to monitor the changes in the charge state of deep-level traps. This technique has been widely explored for the characterization of defects in mature PV technologies (e.g., Si,⁵⁶ GaAs,^{57,58} CIGS,⁵⁹ and CdTe^{60,61}), and emerging perovskite solar cells.⁶²⁻⁶⁴

However, conventional DLTS has the major limitation of unreliable minority-carrier trap detection. In this regard, a novel optical-DLTS (O-DLTS) technique has emerged, which can effectively probe the majority and minority-carrier traps simultaneously. Deconvolution of DLTS spectra reveals distinctive (positive) peaks and (negative) valleys, which correspond to the majority and minority carrier traps, respectively. As a standard procedure, the defect activation energy (E_a) and capture cross-section (σ) are calculated using the Arrhenius plot line-fitting (APL) method. The Shockley-Read-Hall emission of carriers from the trap states is a thermal activation process. The emission rate (v) of carriers from the defect is essentially the Arrhenius equation, as follows:

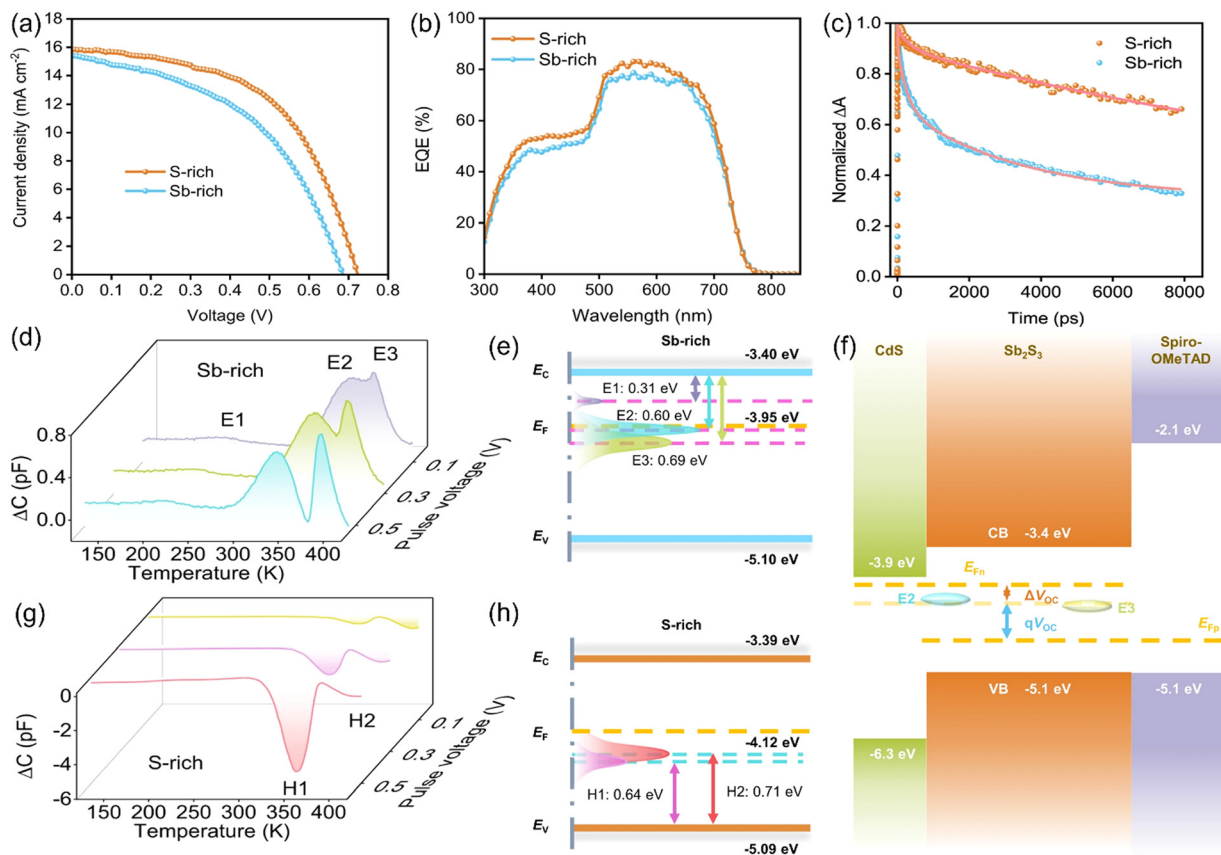
$$v = v_0 \exp\left(-\frac{E_a}{k_B T}\right) \quad (9)$$

where v_0 is the attempt-to-escape frequency (enveloping information of the carrier capture cross section), k_B is the Boltzmann constant, and T is the absolute temperature. In the case where v_0 and E_a are not dependent on the temperature, the Arrhenius plot, i.e., $\log(v)$ vs. T^{-1} converges to a straight line. The values of v_0 and E_a can be calculated from the Y-intercept, and slope of the plot respectively. In the case of a non-Arrhenius process, v_0 and E_a are dependent on the temperature, and consequently the Arrhenius plot is curved. An in-depth understanding of the DLTS instrumentation, operation, and data interpretation can be obtained in the literature.⁶⁵⁻⁶⁷ Notably, DLTS measurements reveal a lower number of defect types than that predicted by theoretical calculations. Fundamentally, this inconsistency arises because all possible defect types are considered in theoretical calculations, but most defects are unstable (with high formation energies), and thus cannot be probed experimentally. Moreover, DLTS is effective in detecting only the deep-level defects in the depletion region and insensitive to shallow-level defects or interface defects.

The distinctive Q-1D crystal structure and low structural symmetry in Sb_2X_3 induce unconventional and complicated defect physics. When defects are formed on non-equivalent



Lian *et al.*⁷⁴ conducted an O-DLTS study to probe the deep-level defects in Sb-rich and S-rich Sb₂S₃ films and solar cells. The results showed that the Sb-rich film displayed three donor defects (E1, E2 and E3, acting as electron traps), with the energy level of 0.31, 0.60, and 0.69 eV below the CBM, respectively (Fig. 2e). In contrast, the S-rich film exhibited only two acceptor defects (H1 and H2, acting as hole traps) with the energy levels of 0.64 and 0.71 eV above the VBM, respectively (Fig. 2h). The E1, E2, E3, H1, and H2 carrier traps were attributed to the Sb-interstitial (Sb_i), S-vacancy (V_S), Sb_S antisite, Sb-vacancy (V_{Sb}), and S_{Sb} antisite defects, respectively. The E2 (V_S) and E3 (Sb_S) traps in the Sb-rich Sb₂S₃ films had a larger capture cross-section, higher trap density, and located closer to the Fermi energy level (E_F) compared to H1(V_{Sb}) and H2 (S_{Sb}) in the S-rich Sb₂S₃. There is a high possibility that the electron quasi- E_F is pinned near the E2 and E3 traps in Sb-rich Sb₂S₃. In contrast, S-rich Sb₂S₃ displayed a reduced capture cross section and defect density, resulting in suppressed recombination and



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Table 1 Defect state, energy level (E_T), cross-section (σ), defect density (N_T) and carrier density (N_S) of the detected defects in Sb_2X_3 thin-films and solar cells. Capture cross-sections of $\sim 10^{-16}$ – 10^{-17} cm² indicate that defects may act as effective trapping or recombination centers

Material	Deposition technique	Defect characterization technique	Trap	Type	E_T (eV)	σ (cm ²)	N_T (cm ⁻³)	Ref.
Sb_2S_3	Chemical bath deposition (CBD) Hydrothermal	O-DLTS	H1	S _{Sb2}	$E_V + 0.500$	1.24×10^{-17}	1.85×10^{13}	8
			H3	S _{Sb1}	$E_V + 0.776$	6.59×10^{-16}	1.57×10^{14}	
			H1	V _{Sb}	$E_C - 0.572$	2.98×10^{-17}	4.22×10^{13}	82
			H2	S _{Sb2}	$E_C - 0.671$	3.81×10^{-16}	2.69×10^{14}	
			H1	V _{Sb}	$E_V + 0.576$	2.52×10^{-17}	6.67×10^{13}	81
		DLTS	H2	S _{Sb}	$E_V + 0.701$	4.37×10^{-16}	1.08×10^{14}	
			H1	V _{Sb}	$E_V + 0.536$	2.39×10^{-16}	3.71×10^{15}	5
			H2	S _{Sb}	$E_V + 0.709$	4.49×10^{-14}	3.67×10^{15}	
			H1	V _{Sb}	$E_V + 0.537$	1.68×10^{-16}	3.52×10^{15}	
			H2	S _{Sb}	$E_V + 0.697$	2.62×10^{-14}	4.50×10^{14}	
Thiourea-treated Sb_2S_3			E1	S _i	$E_C - 0.325$	1.12×10^{-17}	1.16×10^{14}	
Sb_2S_3			H1	S _{Sb}	$E_V + 0.445$	6.14×10^{-15}	2.16×10^{13}	77
			H2		$E_V + 0.698$	2.31×10^{-16}	4.25×10^{14}	
(NH ₄) ₂ S-treated Sb_2S_3			H2		$E_V + 0.708$	6.85×10^{-16}	6.29×10^{13}	
$Sb_2(S,Se)_3$		O-DLTS	E1	V _S	$E_C - 0.325$	6.90×10^{-17}	6.57×10^{14}	83
			H1	S _{Sb}	$E_V + 0.546$	8.33×10^{-17}	1.20×10^{15}	
			H2		$E_V + 0.673$	1.14×10^{-16}	2.26×10^{15}	
			E1	V _S	$E_C - 0.255$	6.39×10^{-18}	1.02×10^{16}	84
			H1	V _{Sb}	$E_V + 0.321$	2.15×10^{-16}	1.15×10^{14}	
			H2	S _{Sb2}	$E_V + 0.467$	5.08×10^{-16}	1.55×10^{14}	
			H3	S _{Sb1}	$E_V + 0.579$	7.15×10^{-17}	3.14×10^{16}	
			H4	S _{Sb3}	$E_V + 0.781$	8.42×10^{-15}	2.10×10^{16}	
			H1	S _{Sb}	$E_V + 0.664$	4.05×10^{-16}	1.26×10^{14}	76
			H2		$E_V + 0.713$	4.47×10^{-15}	1.19×10^{14}	
$Sb_2(S,Se)_3$		DLTS O-DLTS	H3		$E_V + 0.741$	2.39×10^{-14}	7.30×10^{13}	
			H1	S _{Sb2}	$E_V + 0.507$	1.27×10^{-15}	1.46×10^{15}	80
			H2	S _{Sb1}	$E_V + 0.689$	4.95×10^{-17}	3.42×10^{15}	
			H3	S _{Sb3}	$E_V + 0.762$	4.45×10^{-14}	7.62×10^{15}	
			H1	S _{Sb2}	$E_V + 0.502$	1.05×10^{-17}	2.24×10^{14}	
			H3	S _{Sb3}	$E_V + 0.766$	1.28×10^{-15}	1.21×10^{15}	
			E1	S _{Sb2}	$E_C - 0.57$	2.48×10^{-12}	1.45×10^{15}	85
			E2	S _{Sb1}	$E_C - 0.71$	4.39×10^{-12}	4.78×10^{15}	
			H1	S _{Sb}	$E_V + 0.761$	3.97×10^{-15}	6.45×10^{15}	
			E1	S _{Sb2}	$E_C - 0.584$	1.99×10^{-13}	6.49×10^{14}	
$Sb_2(S,Se)_3$		DLTS	H1	S _{Sb}	$E_V + 0.784$	1.6×10^{-14}	2.97×10^{15}	
			H1	S _{Sb1}	$E_V + 0.500$	1.99×10^{-17}	6.28×10^{12}	10
			H2	S _{Sb2}	$E_V + 0.671$	5.91×10^{-17}	1.88×10^{13}	
			E1	V _{S2}	$E_C - 0.747$	1.60×10^{-16}	2.47×10^{13}	49
			H1	S _{Sb}	$E_V + 0.290$	6.61×10^{-17}	6.55×10^{12}	
			H2	S _{Sb1}	$E_V + 0.638$	3.18×10^{-15}	4.15×10^{12}	
			H3	S _{Sb2}	$E_V + 0.483$	1.48×10^{-16}	4.64×10^{14}	
			H4	S _{Sb2}	$E_V + 0.682$	3.67×10^{-14}	8.63×10^{14}	
			H5	S _{Sb3}	$E_V + 0.790$	4.87×10^{-14}	9.75×10^{14}	
			H1	S _{Sb}	$E_V + 0.560$	5.98×10^{-18}	4.97×10^{15}	86
Sb_2Se_3	CBD	Admittance Spectroscopy	H2		$E_V + 0.770$	2.68×10^{-16}	6.40×10^{15}	
			E1	S _{Sb}	$E_C - 0.563$	1.40×10^{-16}	1.97×10^{15}	
		O-DLTS	H1	V _{Se}	$E_V + 0.203$	2.43×10^{-19}	5.37×10^{14}	87
			H2	S _{Se}	$E_V + 0.364$	3.85×10^{-16}	1.27×10^{15}	
		Admittance spectroscopy	H1	S _{Sb1}	$E_V + 0.609$	3.23×10^{-17}	1.01×10^{13}	9
			H2	S _{Sb2}	$E_V + 0.691$	3.30×10^{-15}	4.27×10^{12}	
		Temperature-dependent (dark) conductivity and admittance spectroscopy	H1	Bulk trap	$E_V + 0.286$	3.2×10^{-17}	2.46×10^{14}	88
			H2		$E_V + 0.188$	9.9×10^{-21}	1.36×10^{15}	
		DLTS	H3	Interface trap	$E_V + 0.570$	4.1×10^{-16}	1.15×10^{16}	
			H1	S _{Se}	$E_V + 0.111$	—	1.3×10^{15}	89
Sb_2Se_3	VTD	Admittance spectroscopy	H2	—	$E_V + 0.578$	—	—	
			H1	V _{Sb}	$E_V + 0.48$	1.5×10^{-17}	1.2×10^{15}	90
		Temperature-dependent (dark) conductivity and admittance spectroscopy	H2	S _{Se}	$E_V + 0.71$	4.9×10^{-13}	1.1×10^{14}	
			E1	S _{Se}	$E_C - 0.61$	4.0×10^{-13}	2.6×10^{14}	
		DLTS	E1	V _{Se}	$E_C - 0.206$	5.34×10^{-21}	2.74×10^{14}	91
			E2	S _{Se}	$E_C - 0.569$	1.24×10^{-16}	8.02×10^{14}	
		Injection vapor deposition (IVD)	H1	S _{Sb2}	$E_V + 0.531$	3.79×10^{-18}	3.48×10^{14}	
			E1	S _{Se}	$E_C - 0.359$	1.03×10^{-18}	2.70×10^{14}	15
		Closed-space sublimation (CSS)	E2	S _{Sb2}	$E_C - 0.609$	1.54×10^{-16}	1.73×10^{15}	
			H1	S _{Sb2}	$E_V + 0.691$	1.37×10^{-16}	8.76×10^{14}	
Sb_2Se_3	Spin coating	DLTS	H1	V _{Sb}	$E_V + 0.479$	2.07×10^{-16}	6.10×10^{14}	92
			H2	S _{Se}	$E_V + 0.713$	1.53×10^{-14}	1.00×10^{15}	



Table 1 (continued)

Material	Deposition technique	Defect characterization technique	Trap	Type	E_T (eV)	σ (cm ²)	N_T (cm ⁻³)	Ref.
(2.21%) Te-doped Sb ₂ S ₃ (5.23%) S-doped Sb ₂ S ₃			E1	Sb _{Se}	$E_c - 0.628$	7.05×10^{-17}	6.49×10^{14}	
			H1	V _{sb}	$E_v + 478$	2.71×10^{-15}	5.34×10^{13}	
			E1	Sb _{Se}	$E_c - 0.637$	9.48×10^{-16}	3.32×10^{14}	
			H1	V _{sb}	$E_v + 0.500$	6.80×10^{-14}	6.93×10^{14}	
			H2	Se _{Sb}	$E_v + 0.721$	8.19×10^{-14}	1.43×10^{15}	
			E1	Sb _{Se}	$E_c - 0.633$	2.54×10^{-16}	6.60×10^{14}	

prolonged carrier lifetimes. The trap state distribution is schematically shown in Fig. 2d–h and the parameters are listed in Table 1. The TAS decay profile (Fig. 2c) revealed that the S-rich Sb₂S₃ films exhibit much longer carrier lifetimes (18.7 ns) than the Sb-rich Sb₂S₃ films (3.8 ns). The reduced defect type (and density) contributed to the prolonged carrier lifetimes, improved V_{OC} and PCE. The S-deficit in the Sb-rich Sb₂S₃ resulted in the inevitable formation of V_S . Subsequently, the excess Sb preferentially filled the V_S , rather than entering the interstitial site, given that the formation energy for Sb_S was lower than that for Sb_i. Therefore, the E2 (V_S) and E3 (Sb_S) defects were predominant in the Sb-rich Sb₂S₃. However, in the S-rich Sb₂S₃, initially, the S atoms entered the crystal structure to passivate V_S . Under the S-rich conditions, the formation of Sb_S and Sb_i defects was suppressed due to their increased formation energy, while the formation of a large amount of H1 (V_{Sb}) was induced due to its low formation energy. Furthermore, some S atoms may even occupy the V_{Sb} and form the H2 (S_{Sb}) antisite to maintain structural stability. It was also revealed that the Sb_i defect did not have a critical influence on the carrier lifetimes, indicating the high tolerance of the Q-1D crystal structure. The space in (Sb₄S₆)_n ribbons can easily accommodate impurities/defects to a certain extent. Cai *et al.*⁷⁵ performed a first principles study on Sb₂S₃ and concluded that the formation energies of the dominant acceptor defects (V_{Sb2} , Sb_{S2}, and S_{Sb2}) and donor defect (V_{S2}) in intrinsic Sb₂S₃ are similar. This results in E_F level pinning near the middle of the band gap. These results are consistent with the experimentally observed high resistivity values ($\sim 10^8 \Omega \text{ cm}$) in undoped Sb₂S₃ thin films. It is widely accepted that the high resistivity in Sb₂S₃ originates from the compensation between its intrinsic donor (V_S) and acceptors (V_{Sb} , Sb_S, and S_{Sb}), which have comparably high densities and low formation energies. This compensation also limits the improvement in conductivity through direct extrinsic doping.

Wang *et al.*⁸ fabricated Sb₂S₃ solar cells *via* chemical bath deposition (CBD) employing six different routes. It was demonstrated that using multiple S-sources (sodium thiosulfate and thioacetamide) accelerated the release of S²⁻ ions, leading to the formation of S-rich Sb₂S₃ films with better morphology (average grain size $\sim 2.8 \mu\text{m}$), crystallographic orientation, prolonged carrier lifetimes and less defects. Energy dispersive X-ray (EDX) analysis revealed atomic ratios of S/Sb of >1.5 for all the Sb₂S₃ films, indicating the (desirable) S-richness in the films. The defects in the n-Sb₂S₃ films were characterized *via*

O-DLTS. The O-DLTS signal revealed only negative peaks, suggesting the presence of minority traps (holes), *i.e.*, H1, H2 and H3, with E_a of ≈ 0.51 , 0.66, and 0.77 eV, respectively (Fig. 3). H1 and H3 were attributed to the antisite defects (S_{Sb2} and S_{Sb1}, respectively), while H2 to V_{Sb2} . All three traps were found to be located below the intrinsic E_F . Compared to the H2 and H3 traps, the H1 trap was located further away from E_F , which was generally submerged in electrons and remained passive. The H1 trap exhibited a lower trap density and smaller capture cross-section, and thus a less detrimental effect on carrier trapping. On the contrary, the deep-level H3 (S_{Sb1}) trap was located closer to E_F and exhibited a larger capture cross-section than the other traps, and thus was the most active in charge trapping. The devices fabricated using the modified recipe were free from the H2 deep-level defect (V_{Sb2}) and demonstrated a record PCE of 8.0% in Sb₂S₃ solar cells. Furthermore, bi-exponential fitting of the TAS curve revealed a prolonged carrier lifetime of 9298 ps in the FTO/CdS/Sb₂S₃ stack, indicating efficient transport and reduced recombination. Furthermore, an excellent diode ideality factor of 1.31 and low reverse saturation current were obtained, suggesting the substantial suppression of non-radiative (SRH) recombination in the device.

Huang *et al.*⁷⁶ demonstrated *in situ* sulfurization by introducing tartaric acid (TTA) additive in the hydrothermal deposition process of Sb₂S₃. The consensus is that reducing the density of Sb_S defect is one key aspect to enhance the PCE of Sb₂S₃ solar cells. S atoms easily volatilized from the Sb₂S₃ thin films during annealing to form V_S . Given that the formation energy of Sb_i is much higher than that of Sb_S, excess Sb preferentially filled the V_S voids, thus forming Sb_S antisite defects. *In situ* sulfurization induced S-richness in the annealed Sb₂S₃ films. Consequently, this increased the formation energy of Sb_S defects. To accommodate the stability of the structure in S-rich Sb₂S₃ films, some of the S atoms occupied V_{Sb} to form S_{Sb} defects. *In situ* sulfurization successfully compensated the S-loss occurring during annealing and suppressed the formation of Sb_S. Three hole traps (H1, H2 and H3) were obtained for the control Sb₂S₃ sample, which are attributed to Sb_S defects. In the case of the sulfurized sample, only H1 and H3 traps were obtained. The passivation of the Sb_S defects and improvement in crystallinity led to an improved PCE (6.31%) in the *in situ*-sulfurized device, outperforming the control device (PCE of 5.46%).

Huang *et al.*⁷⁷ demonstrated a novel (NH₄)₂S-induced hydrothermal sulfurization process for the fabrication of Sb₂S₃ solar cells. (NH₄)₂S undergoes hydrolysis to produce H₂S, a strong



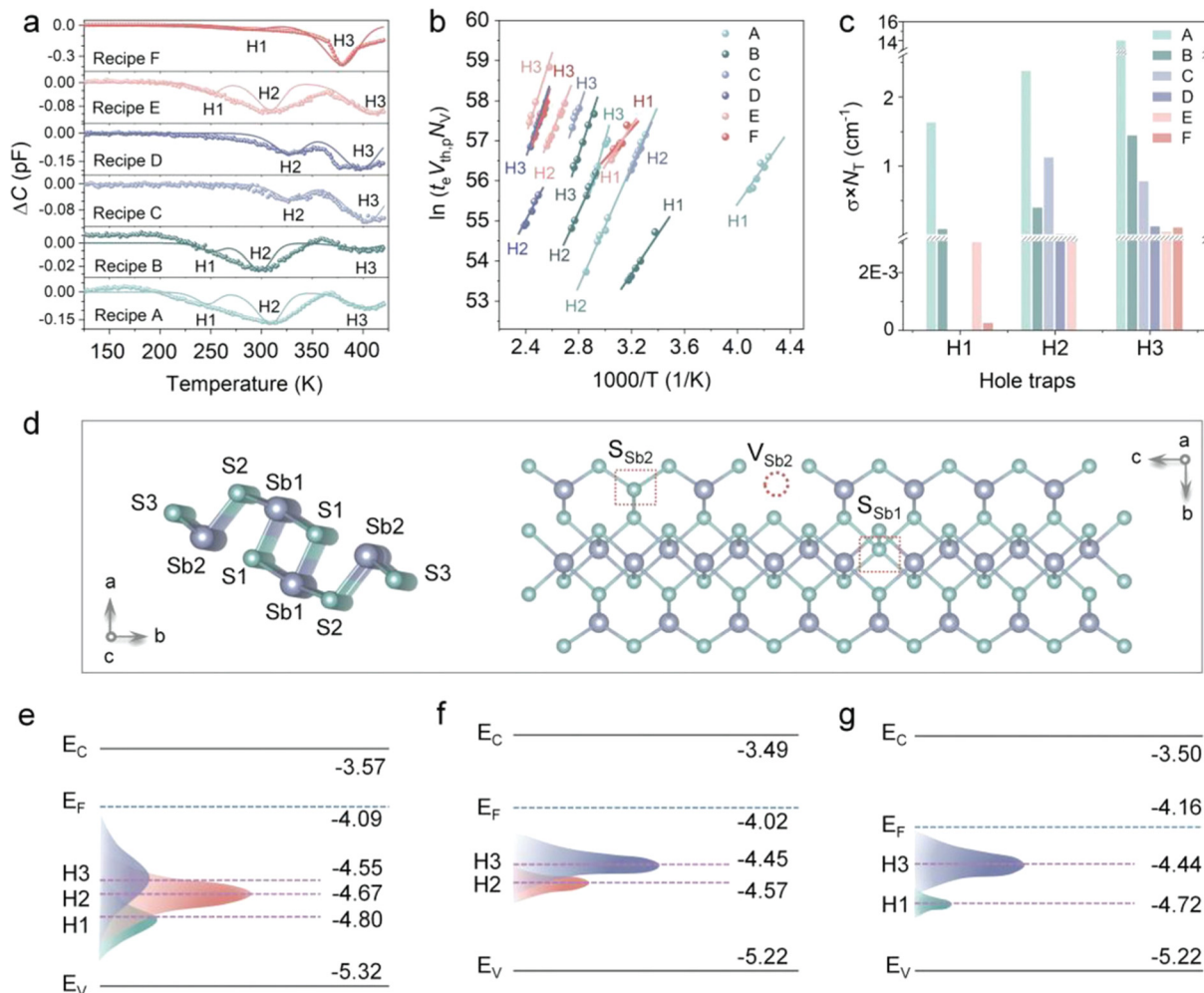


Fig. 3 (a) O-DLTS signals and high-resolution evaluation simulations for Sb₂S₃ solar cells based on recipes A–F. (b) Corresponding Arrhenius plots obtained from the O-DLTS signals. (c) Histogram of the calculated $\sigma \times N_T$ values of different hole traps in Sb₂S₃ solar cells based on recipes A–F. (d) Schematic diagram of an Sb₄S₆ ribbon with five nonequivalent atomic sites and S_{Sb1}, S_{Sb2}, and V_{Sb2} defects in the Sb₂S₃ lattice. (e)–(g) Energy levels and defect levels of the devices based on recipes B, D, and F, respectively. Adapted with permission from ref. 8 Copyright 2022, Wiley-VCH.

sulfurization agent. The XRD patterns revealed improvements in crystallinity, while the SEM micrographs suggested no improvement in morphology on adopting the sulfurization strategy. DLTS analysis revealed the presence of two minority carrier (hole) traps, *i.e.*, H1 and H2, in the control films, with the activation energy of 445 and 698 meV, respectively, which were both attributed to Sb_s antisite defects. The sulfurization process annihilated H1, given that only H2 was detected in the sulfurized films. The PCE of the solar cell (FTO/CdS/Sb₂S₃/spiro-OMeTAD/Au) improved from 6.01% to 6.92% on adopting the sulfurization strategy. The reverse (leakage) current density (*J*₀) value decreased from 4.85×10^{-2} mA cm⁻² to 2.07×10^{-5} mA cm⁻² in the respective devices, indicating a significant improvement in diode quality and rectification characteristics. Choi *et al.*⁷⁸ investigated the trap-state distribution in CBD-deposited and post-deposition thioacetamide (TA, CH₃CSNH₂)-treated Sb₂S₃ films using capacitance transient-based DLTS, where Sb₂S₃/Au-based Schottky diodes (500 mm diameter) were fabricated for the measurement. TA decomposed into volatile

acetonitrile (CH₃CN) and hydrogen sulfide (H₂S) gas on annealing at temperatures exceeding 150 °C in an inert atmosphere. The released H₂S readily reacted with the surface of the Sb₂S₃ film, passivating the V_S defects and reducing the oxide phase (oxidized sulfur, sulfates, and Sb₂O₃). Interestingly, the untreated sample demonstrated a single hole trap with an activation energy of $E_v + 0.52$ eV, capture cross-section of 1.34×10^{-17} cm² and density of $(2-5) \times 10^{14}$ cm⁻³, while no trap was found for the TA-treated sample. TA treatment was found to be instrumental in boosting the *V*_{OC} and PCE values from 570.5 meV and 5.5% (in the control device) to 645.7 meV and 7.5% (in champion device), respectively. This spectacular improvement was attributed to the annihilation of V_S defects (near the Sb₂S₃/HTL) by H₂S-assisted sulfurization. H₂S-assisted sulfurization has also been found to be instrumental in passivating V_{S/Se} defects, reducing the (detrimental) Sb₂O₃ phase (at the surface) and boosting the carrier lifetimes and mobility in Sb₂Se₃ films.⁷⁹

Similar to TA, thiourea (TU, SC(NH₂)₂) treatment has also been used as an effective sulfurization strategy (by releasing



H_2S during decomposition at temperatures of $>150^\circ\text{C}$) for passivating $\text{V}_{\text{S/Se}}$ defects in Sb_2X_3 films. Qi *et al.*⁵ performed an investigation on the trap physics in hydrothermally deposited Sb_2S_3 films using DLTS. The as-deposited films exhibited two minority (hole) traps, *i.e.*, H1 and H2 (attributed to V_{Sb} and Sb_{S} , respectively). These defects possessed high ionization energies of 0.536 and 0.709 eV, respectively, and served as severe recombination centers. H2 was highly effective in charge trapping and V_{OC} loss (owing to its high density and large capture cross-section), while H1 was less effective (low capture cross-section of $\sim 10^{-16} \text{ cm}^2$). TU treatment on Sb_2S_3 films led to the suppression of the H2 (Sb_{S}) defect density by one order, suggesting the alleviation of S-deficiency at the surface.

Liang *et al.*¹⁵ fabricated flexible Sb_2Se_3 solar cells ($\text{Mo}/\text{Sb}_2\text{Se}_3/\text{CdS}/\text{ZnO}/\text{AZO}/\text{Ag}$) on a polyimide (PI) substrate and investigated the influence of an ultra-thin PbSe layer (at the $\text{Mo}/\text{Sb}_2\text{Se}_3$ interface) on the device performance. The control device

(without a PbSe interlayer), *i.e.*, $\text{C-Sb}_2\text{Se}_3$, was found to underperform (PCE of 3.04%) compared to the device with a PbSe interlayer, *i.e.*, $\text{Pb-Sb}_2\text{Se}_3$ (PCE of 8.43%). The improved performance of the latter was attributed to the synergistic influence of the PbSe layer in suppressing the unfavorable $[hk0]$ -orientated grains, alleviating the Schottky barrier height (at the $\text{Mo}/\text{Sb}_2\text{Se}_3$ interface) and mitigating the bulk-defects in the absorber layer. The TPV study revealed longer carrier lifetimes ($\sim 0.78 \text{ ms}$) in the $\text{Pb-Sb}_2\text{Se}_3$ devices in comparison to the $\text{C-Sb}_2\text{Se}_3$ devices ($\sim 0.02 \text{ ms}$). The defect dynamics in both devices were investigated using DLTS (Fig. 4c–f). The Arrhenius plots (Fig. 4d) were found to be straight lines, suggesting that the activation energy E_a (slope of the plots) and capture cross-sections (calculated from the Y-intercept) were strictly independent of temperature. Two electron traps (E1 and E2) and one hole trap (H2) were identified from the DLTS signal in both devices (Fig. 4c). Trap E1 was attributed to the V_{Se2} vacancy

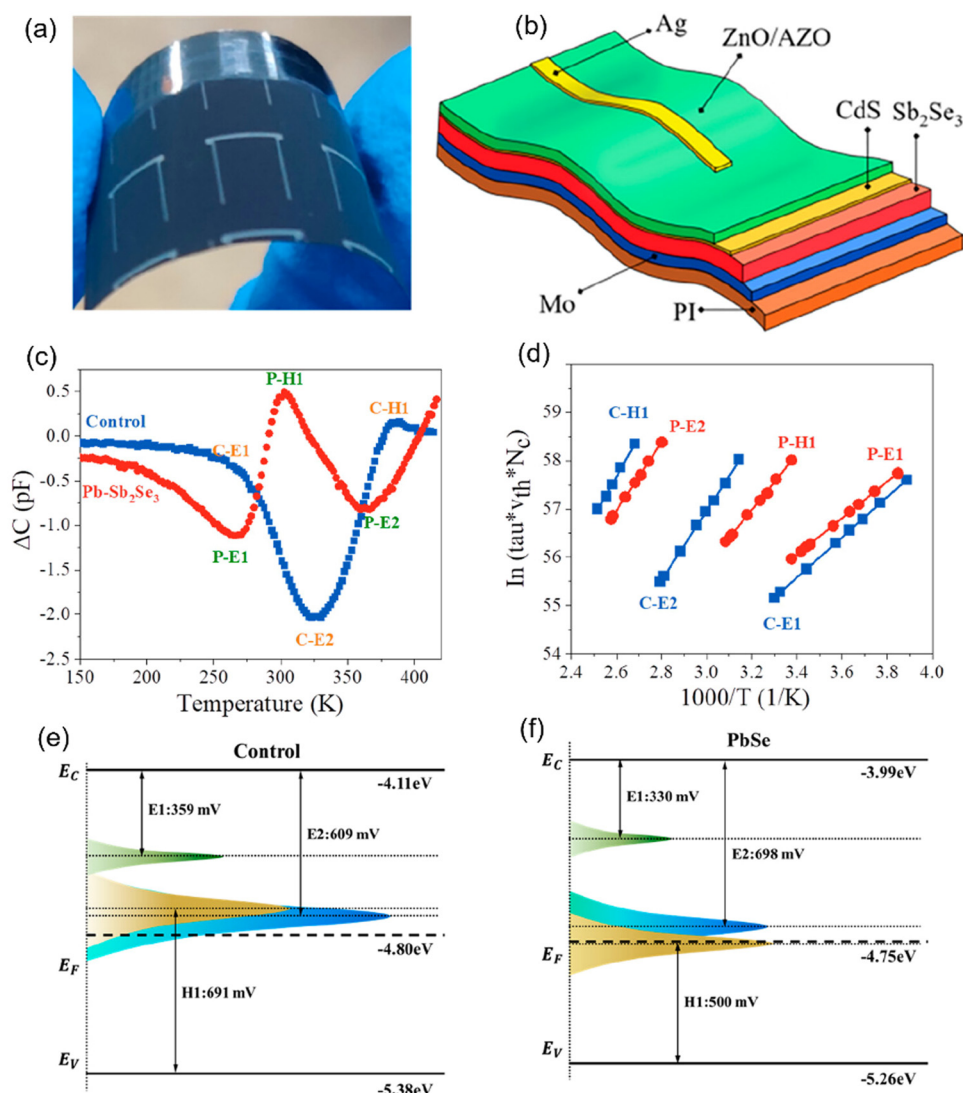


Fig. 4 (a) Photograph and (b) schematic device structure of flexible Sb_2Se_3 solar cells fabricated on PI in a substrate configuration of $\text{PI}/\text{Mo}/\text{Sb}_2\text{Se}_3(\text{Pb-Sb}_2\text{Se}_3)/\text{CdS}/\text{ZnO}/\text{AZO}/\text{Ag}$. (c) DLTS signals of $\text{Pb-Sb}_2\text{Se}_3$ and the control devices. (d) Arrhenius plots obtained from DLTS signals. (e) and (f) Energy states and defect levels of the control device and $\text{Pb-Sb}_2\text{Se}_3$ solar cells. Adapted with permission from ref. 15 Copyright 2023, the American Chemical Society.

defect. V_{Se} exhibited a low formation energy under both Se-rich and Se-poor conditions and acted as shallow-level electron traps. Also, V_{Se} exhibited a lower density and capture cross-section (listed in Table 1), and thus ruled out as a stringent recombination center. E2 and H1 were found to be located close and deeper in the forbidden gap, resulting in ambiguous interpretations. These traps could be either attributed to the donor-acceptor pair of the same (amphoteric) defect (Sb_{Se}) or [$Sb_{Se} + Se_{Sb}$] antisite complexes. The E2 and H1 defects in the Pb-Sb₂Se₃ solar cells were attributed to V_{Se3} and Pb_{Sb} , respectively. H1 was found to be located much shallower and with (one order) lower capture cross-section in Pb-Sb₂Se₃ than in C-Sb₂Se₃, suggesting its impact in alleviating the carrier lifetimes, and the V_{OC} was limited in the Pb-Sb₂Se₃ device.

Che *et al.*⁴⁹ investigated the influence of the annealing process on the defect formation mechanism in hydrothermally deposited n-type Sb₂(S,Se)₃ films. High-temperature annealing (200–425 °C) led to a two-step defect transformation process, *i.e.*, the formation of V_{Se}/V_S vacancy defects (with high formation energies), followed by the migration of Sb ions to fill the vacancy defects (forming antisite defects with low formation energies). O-DLTS analysis revealed the presence of one electron trap (E1) and five-hole traps (H1, H2, H3, H4, and H5) in the samples. The former was assigned to S-vacancy (V_{S2}), while the latter to antisite defects (Se_{Sb} , Sb_{S1} , Sb_{S2} , Sb_{Se2} , and Sb_{S3} , respectively). H2–H5 acted as amphoteric defects. E1 (V_{S2}), H1

(Se_{Sb}) and H2 (Sb_{S1}) defects with high formation energies appeared in the as-deposited (unannealed) film (Fig. 5c). After post-annealing, these traps gradually decreased and transformed into H3–H5 antisite defects (*i.e.*, Sb_{S2} , Sb_{Se2} , and Sb_{S3}), respectively, with lower formation energies. It was concluded that post-annealing provided a driving force for the formation of relatively low-energy defects. S-volatilization left sulfur vacancies ($E1$, V_S), which were subsequently occupied by the Sb atoms, as depicted by the P3 process (Fig. 5e), thus creating Sb-substituted S (H3, Sb_{S2}) anti-site defect. Furthermore, owing to the instability (high formation energy) of the Se_{Sb} (H1) defect, the Se atoms (in Se_{Sb}) migrate to occupy neighboring $V_{S(e)}$ under the thermal driving force, leading to the mitigation of H1, as shown by the P1 process. Se-volatilization forms V_{Se} , which gets occupied by the neighboring Sb atoms, forming Sb-antisite defect (H4, Sb_{Se2}), as shown by the P4 process (Fig. 5e). Concurrently, the further loss of S (during annealing) creates more V_S , which prompts the Sb atoms to occupy these unstable V_{S3} (P5 process in Fig. 5e), leading to the creation of a new low-formation energy defect, *i.e.*, Sb_{S3} (H5). Interestingly, the elevated annealing temperature propelled the Sb atoms in the high-formation energy defect H2 (Sb_{S1}) to migrate to the surrounding $V_{S(e)}$ and form defects $Sb_{S(e)}$ (depicted by the P2 process). V_{S2} , Sb_{S1} , Sb_{Se2} , and Sb_{S3} are all located near the E_F -level, which significantly influenced the carrier lifetimes and V_{OC} . The transient absorption spectroscopy (TAS) results revealed that the Sb₂(S,Se)₃ film

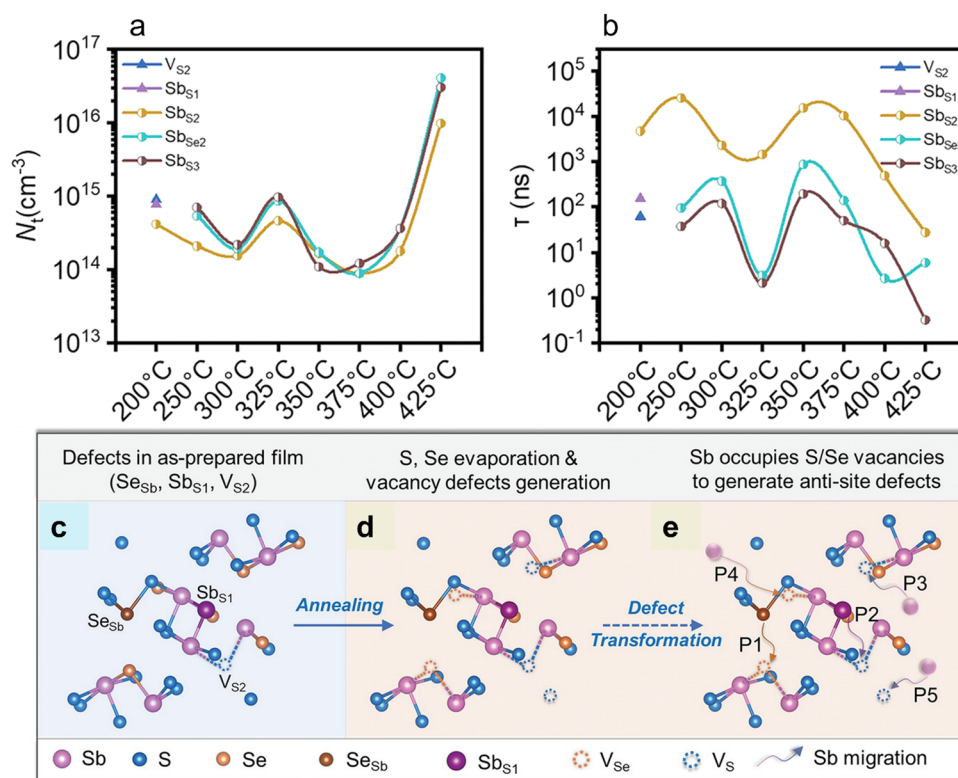


Fig. 5 (a) Dependence of defect density (N_T) on annealing temperature and (b) lifetime associated with specific defects obtained from the O-DLTS signals of the devices. Schematic of (c) three types of point defects in the as-deposited films (without post-annealing). (d) Loss of S and Se atoms to generate vacancy defects during annealing and (e) formation mechanism of antisite defects in the Sb₂(S,Se)₃ films. Adapted with permission from ref. 49 Copyright 2022, Wiley-VCH.

annealed at 350 °C for 10 min exhibited the longest carrier lifetime (7.0 ns) compared to the films annealed at other temperatures and for higher durations. It was concluded that the $\text{Sb}_2(\text{S,Se})_3$ films annealed at 350 °C exhibited the lowest defect concentrations and longest carrier lifetimes, thus leading to a high photovoltaic performance (PCE of 9.7%).

Tang *et al.*⁸⁰ investigated the defect properties in hydrothermally deposited Sb_2S_3 and $\text{Sb}_2(\text{S,Se})_3$ films using O-DLTS. Three-hole traps (H1, H2 and H3) were detected in all the samples. Also, similar E_a values were obtained for the traps in all the samples, suggesting similar origins for each type of defect. Specifically, H1, H2 and H3 were located at about 0.50 eV, 0.68 eV and 0.76 eV above the valence band and assigned to antisite defects ($\text{Sb}_{\text{Sb}2}$, $\text{Sb}_{\text{Sb}1}$, and $\text{Sb}_{\text{Sb}3}$), respectively. Notably, the capture cross section exhibited a decreasing trend (up to two orders) with an increase in the Se-content in the films. Also, the H2 defect disappeared in the films with a higher Se-content, indicating that the defect properties are strongly correlated with the elemental composition and stoichiometry.

An increase in Se-content not only induced preferred $[hk1]$ -oriented growth, but also favored efficient carrier transport by alleviating the defect density and capture cross-section, leading to a PCE of 10.10% in the device employing the $\text{Sb}_2(\text{S,Se})_3$ thin-film (with 29% Se-content), outperforming the Sb_2S_3 -based device (PCE of 6.02%).

Zhao *et al.*⁹ conducted O-DLTS measurements in Se-rich Sb_2Se_3 films, which were synthesized using the CBD technique. Antimony potassium tartrate (APT) and sodium selenosulfate (SSS) were used as the Sb and Se sources, respectively, while thiourea (TU) and selenourea (SU) were used as additives. The films were synthesized *via* three routes, *i.e.*, APT + SSS (no additive), APT + SSS + TU (additive) and APT + SSS + SU (additive). The additives were found to be instrumental in regulating the reaction kinetics and defect properties of the Sb_2Se_3 films (Fig. 6d). The detailed defect parameters extracted from the Arrhenius curves (Fig. 6e) and DLTS signals are summarized in Table 1. Two types of hole traps (H1 and H2) were detected in all the samples, which are attributed to

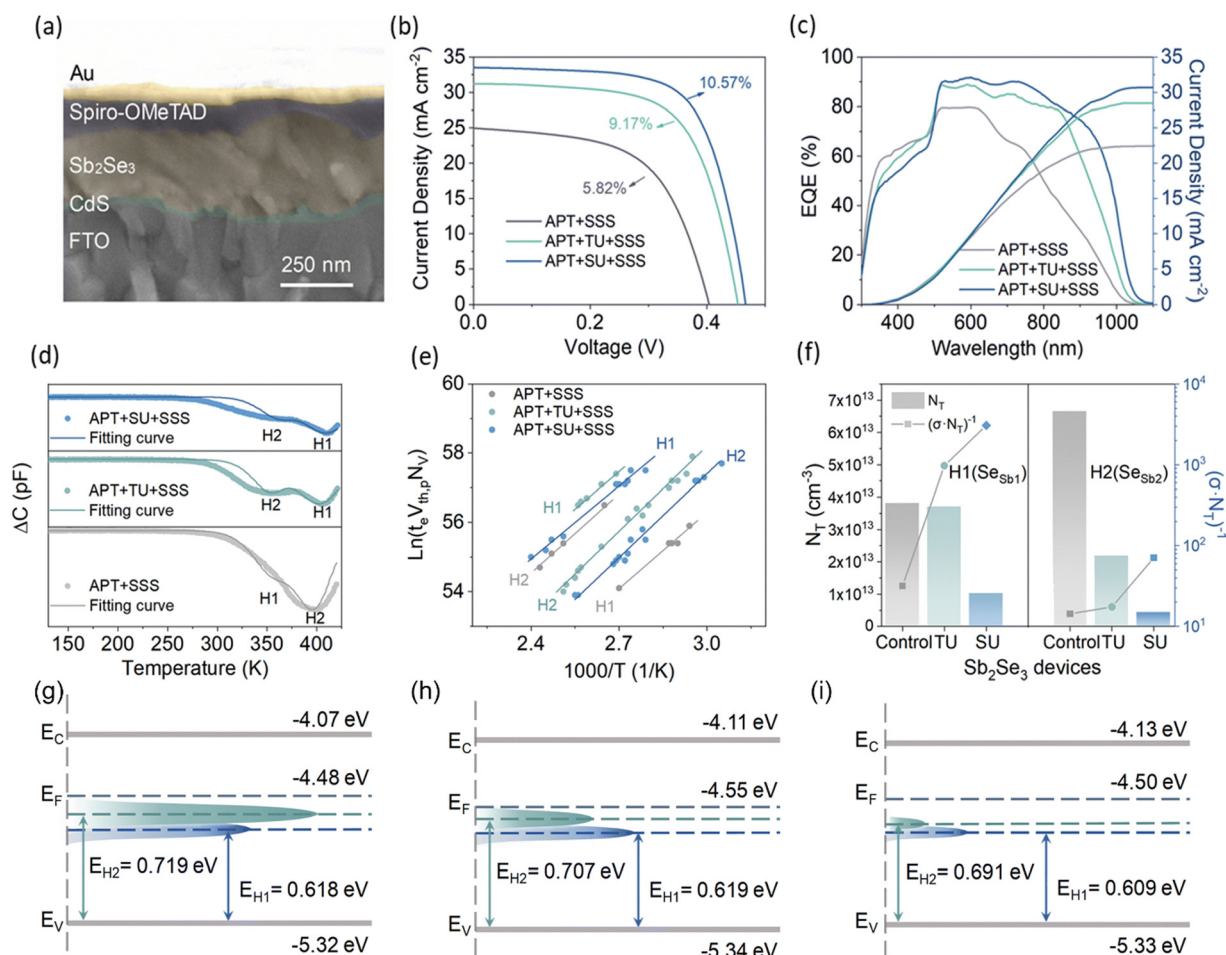


Fig. 6 (a) Cross-sectional SEM image of Sb_2Se_3 planar heterojunction solar cell. (b) J - V curves and (c) EQE spectra of the Sb_2Se_3 solar cells fabricated via three different routes. Plots (d)–(i) show the deep-level defect profile in the Sb_2Se_3 films. (d) O-DLTS signals from Sb_2Se_3 devices. (e) Arrhenius plots obtained from O-DLTS signals. (f) Values of N_T (left) and $(\sigma N_T)^{-1}$ (right) for all the Sb_2Se_3 samples at the H1 ($\text{Se}_{\text{Sb}1}$) and H2 ($\text{Se}_{\text{Sb}2}$) defects. Deep-level defect profile of (g) control- Sb_2Se_3 film, (h) TU- Sb_2Se_3 film and (i) SU- Sb_2Se_3 film. Adapted with permission from ref. 9 Copyright 2022, The Royal Society of Chemistry Publishing.

Se-antisites (Se_{Sb1} and Se_{Sb2} , respectively). Under Se-rich conditions, the Se_{Sb1} and Se_{Sb2} antisite defects have relatively low formation energies (< 1 eV), making the replacement of Sb with Se feasible. The Se-antisite defects form deep-level defects,

inducing E_{F} -level pinning and serving as proactive SRH-recombination centers. Furthermore, the Se-antisite defects are specifically amphoteric defects with similar carrier capture cross-sections for both electrons and holes, thus severely

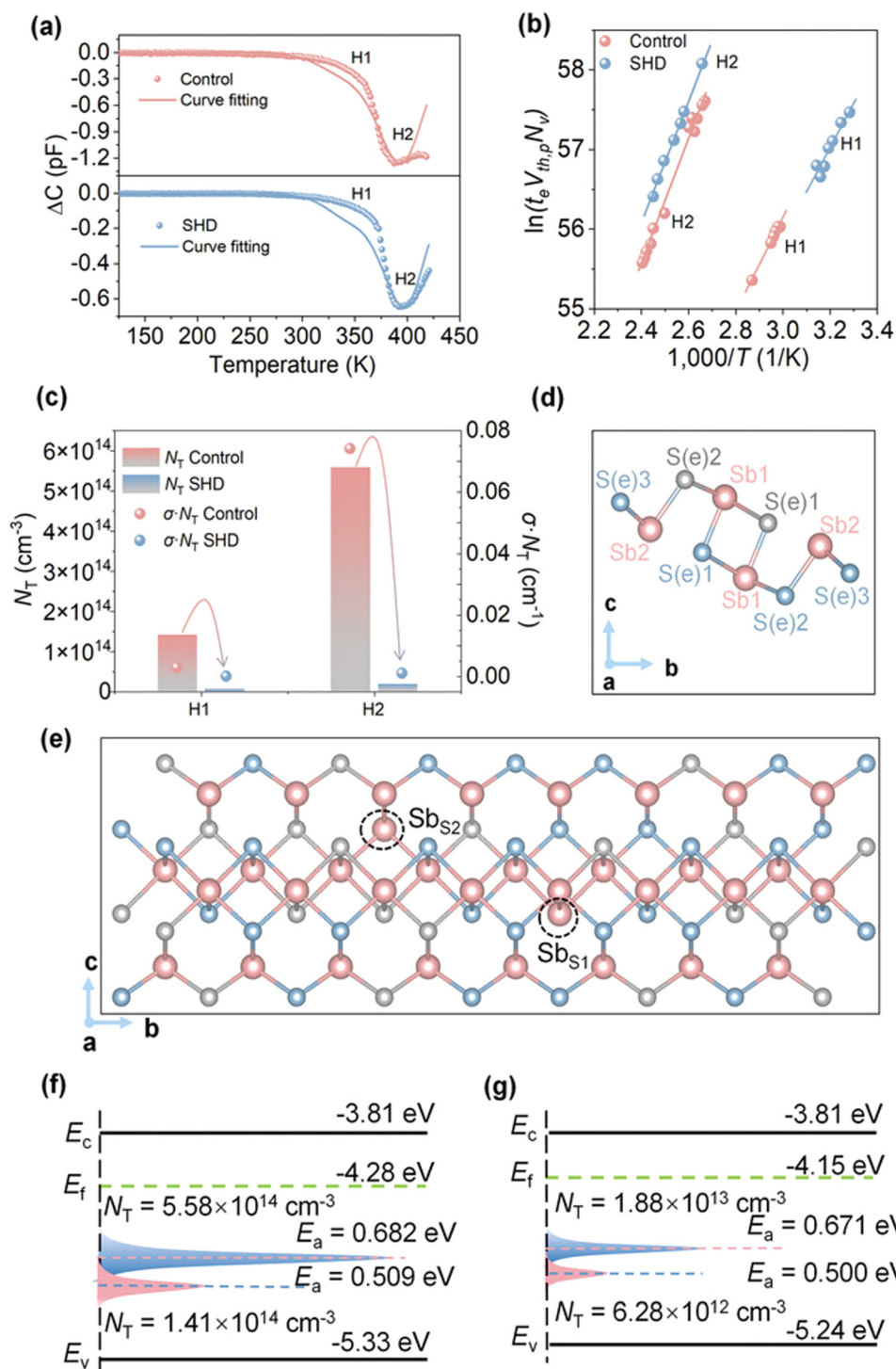


Fig. 7 Deep-level defect analysis. (a) O-DLTS signals and high-resolution evaluation simulations for $\text{Sb}_2(\text{S,Se})_3$ solar cells without and with ethanol additive and (b) corresponding Arrhenius plots. (c) Values of N_T and $(\sigma \cdot N_T)$ at the H1 (Sb_{S2}) and H2 (Sb_{S1}) defects. (d) Schematic diagram of an Sb_4S_6 ribbon with five non-equivalent atomic sites. (e) Sb_{S2} and Sb_{S1} defects in $\text{Sb}_2(\text{S,Se})_3$ lattice. Energy states and defect levels of the devices (f) without and (g) with ethanol. Adapted with permission from ref. 10 Copyright 2023, Wiley-VCH.



impeding the carrier transport and limiting the final PV performance. The H2 trap level is located deeper in the band gap than H1, implying that H2 is the dominant defect in these films. The control Sb_2Se_3 device had a higher trap density ($6.65 \times 10^{13} \text{ cm}^{-3}$) of H2, which is consistent with its poor film quality. After regulating the CBD reaction in the deposition processes, the values of N_T significantly decreased. Particularly, the H2 defect density of the SU- Sb_2Se_3 device was reduced by one order of magnitude, alleviating the SRH recombination. The mitigation of the non-radiative recombination pathways is consistent with the J - V measurements in the dark and at different light intensities. It was concluded that the sensitive manipulation of the reaction kinetics *via* the additive-assisted CBD technique offers an effective route for the fabrication of high-quality Sb_2Se_3 films and high-PCE (10.57%) solar cells.

Wu *et al.*⁸¹ investigated the structure–property–performance relationship in Sb_2S_3 thin-film solar cells *via* the in-depth characterization of the crystal orientation, carrier transport and device performance. The study revealed that by merely controlling the post-annealing process, the grain growth, orientation and defect physics can be regulated. Normal grain growth occurred when the Sb_2S_3 film was subjected to a low-temperature treatment process, yielding $[hk1]$ -oriented films. Alternatively, direct annealing at high temperature promoted abnormal grain growth and favored $[hk0]$ -oriented Sb_2S_3 film growth. The device using the $[hk1]$ -oriented Sb_2S_3 thin-film demonstrated a PCE of 6.82%, outperforming the devices based on an $[hk0]$ -oriented absorber (6.27%). The O-DLTS results suggested that the $[hk1]$ -oriented Sb_2S_3 film (with normal grain growth) exhibited only one type of hole trap (S_{sb}), while the $[hk0]$ -oriented film (with abnormal grain growth) demonstrated two types of hole traps (S_{sb} and V_{sb}). The dark J - V measurements revealed a higher leakage current in the latter device. Furthermore, the TAS analysis suggested a shorter average lifetime (t_{avg} of ~ 3924 ps) in the device with $[hk1]$ -oriented Sb_2S_3 films than that with the $[hk0]$ -oriented films (t_{avg} of ~ 4818 ps). The shorter lifetime and better performance were attributed to the rapid electron extraction by the ETL (CdS) layer and lower density of deep traps in the devices with the $[hk1]$ -oriented Sb_2S_3 films than their $[hk0]$ counterparts.

Chen *et al.*¹⁰ demonstrated a solvent-assisted hydrothermal deposition (SHD) technique for the deposition of high-quality $\text{Sb}_2(\text{S,Se})_3$ films. Ethanol was used as an additive and found to be instrumental in regulating the reaction kinetics during the deposition procedure and stoichiometry in $\text{Sb}_2(\text{S,Se})_3$ films. The devices fabricated using the ethanol-SHD technique demonstrated a substantial reduction in trap density and dark current density and higher recombination resistance (R_{rec}) than the control devices (Fig. 7c). The FTO/ $\text{Sb}_2(\text{S,Se})_3$ /Au structure was adopted to measure the conductivity of the $\text{Sb}_2(\text{S,Se})_3$ films, revealing an improvement in conductivity from $1.65 \times 10^{-4} \text{ S cm}^{-1}$ to $4.07 \times 10^{-4} \text{ S cm}^{-1}$ on adopting the novel route. The deep level defects in the films were probed using O-DLTS. Two-hole traps (H1 and H2) were obtained in the control films, which were attributed to Sb_{S2} and Sb_{S1} defects, respectively (Fig. 7a). The films synthesized using the SHD protocol exhibited one order of

suppression in trap density of H1 and H2 defects, greatly benefiting the carrier transport. Consequently, the fabricated device (FTO/CdS/ $\text{Sb}_2(\text{S,Se})_3$ /spiro-OMeTAD/Au) delivered a PCE of 10.75%, a record for Sb_2X_3 solar cells.

Li *et al.*⁸⁵ investigated the role of potassium iodide (KI) surface treatment on $\text{Sb}_2(\text{S,Se})_3$ thin films and solar cells. KI treatment was found to successfully improve the crystallinity and morphology of the $\text{Sb}_2(\text{S,Se})_3$ films, inhibit the deep-level defects and improve the band alignment for efficient charge transport. It was revealed that I diffused into the $\text{Sb}_2(\text{S,Se})_3$ crystal and induced the benign $[211]$ -crystal orientation. The as-deposited films were Sb-rich, with Sb_{S} and Sb_{Se} antisites as the two dominant defects. The diffused I interacted strongly with the Sb atoms, forming Sb–I bonding. Therefore, I passivated the $V_{\text{S/Se}}$ defects, and thus inhibited the formation of the detrimental Sb_{S} and Sb_{Se} antisite defects. Fig. 8(a) illustrates the DLTS signal from the control and KI-treated device. Two majority (electron) traps and one minority (hole) trap were identified for the control device, which were denoted as E1 (Sb_{Se2}) and E2 (Sb_{Se1}) and H1 (Sb_{S}), respectively. KI treatment suppressed the density of E1 and H1, while annihilating the E2 defect (defect parameters summarized in Table 1). Furthermore, the KI-treated device exhibited a lower dark (reverse) current density and higher PCE (9.22%) than its untreated counterpart (PCE of 8.19%).

Wen *et al.*⁹⁰ demonstrated a vapor transport deposition (VTD) technique to deposit Sb_2Se_3 thin films with improved film crystallinity, lengthier carrier lifetimes, and reduced bulk and interfacial defects. The champion device (ITO/CdS/ Sb_2Se_3 /Au) demonstrated a PCE of 7.6%, outperforming the device fabricated *via* RTE (PCE of 5.6%). As envisaged in Fig. 9(c), the power value (α) for both the devices was ~ 0.9 , *i.e.*, closer to unity (first-order), suggesting that trap-assisted SRH recombination is the dominant loss mechanism. The diode ideality factor (η) decreased from 1.51 (for RTE-fabricated device) to 1.23 (for VTD-fabricated device), suggesting the mitigation of SRH recombination on switching the film deposition route (Fig. 9d). The CdS/ Sb_2Se_3 interfacial defect density was quantitatively characterized *via* the capacitance–voltage (C - V) profiling and deep-level capacitance profiling (DLCP) techniques. The interfacial defect density of the RTE-fabricated and VTD-fabricated devices was calculated to be $2.1 \times 10^{11} \text{ cm}^{-2}$ and $2.8 \times 10^{10} \text{ cm}^{-2}$, respectively. Interestingly, during VTD deposition, Cd diffusion into the Sb_2Se_3 film transformed p-type Sb_2Se_3 into n-type, forming a buried homojunction at the CdS/ Sb_2Se_3 interface. This led to suppressed interfacial defects, benefiting the device performance. The DLTS study revealed one electron trap (E1) and two hole traps (H1 and H2) in the Sb_2Se_3 films. The E1, H1 and H2 defects were attributed to the Sb_{Se} , V_{Sb} and Se_{Sb} defects, respectively. The VTD deposition process suppressed the density of the $[\text{Sb}_{\text{Se}} + \text{Se}_{\text{Sb}}]$ antisite defect pair by more than an order of magnitude. As envisaged in Fig. 9(h), the H1 state was under E_F and submerged in electrons, and thus the H1 defects always remained inert. In contrast, the H2 and E1 traps were above the E_F and active in trapping holes and electrons, respectively. Moreover, owing



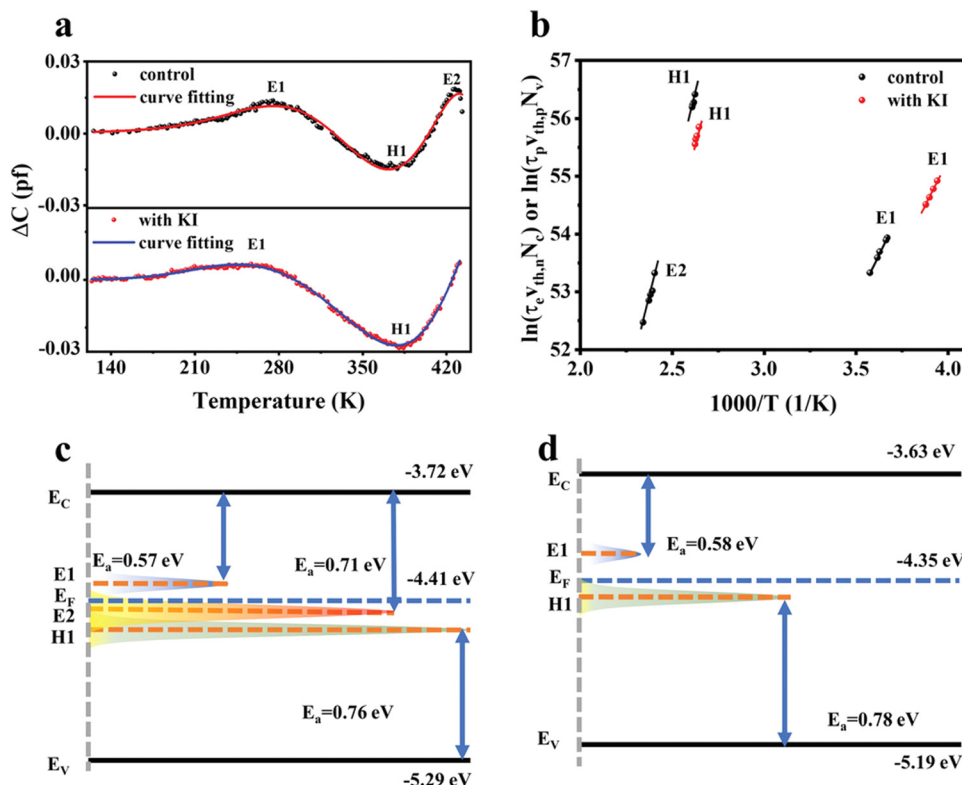


Fig. 8 (a) DLTS signals for the device without and with KI post-treatment. (b) Corresponding Arrhenius plots obtained from the DLTS signals. Position distribution of CBM (E_C), VBM (E_V), Fermi level (E_F) and defect energy level for $Sb_2(S,Se)_3$ films (c) without and (d) with KI post-treatment. Adapted with permission from ref. 85 Copyright 2022, Wiley-VCH.

to the higher defect density of H2 and E1 than the carrier concentration ($\sim 10^{13} \text{ cm}^{-3}$) in the Sb_2Se_3 layer, the (hole) quasi E_F -level (E_{FP}) would be more likely to be pinned near the E1 and H2 levels.

Ma *et al.*⁹² investigated the influence of (0–4%) Te and S doping on Sb_2Se_3 films and investigated their deep-defect profiles using DLTS. It was revealed that the incorporation of Te was decisive in regulating the atomic ratio of Se/Sb, given that a sustained decline in Se content was observed with an increase in Te doping. Different crystal structures of Sb_2Se_3 and Sb_2Te_3 inhibited the formation of the alloy- $Sb_2(Se,Te)_3$ film, and therefore instead of going into the lattice, Te settled at the grain boundaries and surface. Se-rich Sb_2Se_3 favored the formation of Se_{Sb} and V_{Sb} defects, while the Sb-rich films benefited the formation of Sb_{Se} and V_{Se} defects. The undoped Sb_2Se_3 demonstrated two-hole traps (H1 and H2) and one-electron trap (E1), acting as acceptor and donor defects, respectively. These defects were attributed to the antimony vacancy (V_{Sb}), selenium antisite (Se_{Sb}) and antimony antisite (Sb_{Se}) defects, respectively. Te-doping suppressed the hole-trap densities by eliminating the Se_{Sb} defects (H2) and mitigating the V_{Sb} defects (H1). However, for a high Te doping ($\sim 4\%$), Sb_{Se} (E1) exhibited an order of increment in magnitude, and a new electron trap (E2, V_{Se}) also started to appear in the DLTS signal. Interestingly, S-doping was found to have detrimental effects on the Sb_2Se_3 film and solar cell performance, given that S-incorporation could not emulate Te in suppressing the defect-formation process.

Duan *et al.*⁹¹ demonstrated a novel injection vapor deposition (IVD) technique for the deposition of high crystallinity, [001]-oriented Sb_2Se_3 films, with a minimal deep-level defect density. The Sb_2Se_3 -based devices fabricated *via* IVD demonstrated a lower trap density, suppressed recombination losses and better performance (PCE of 10.12%) than the devices fabricated *via* close-spaced sublimation (CSS) and co-evaporation (CoE) method (PCE of 9.31% and 3.96%, respectively). Capacitance–voltage ($C-V$) profiling, deep-level capacitance profiling (DLCP) measurements, and minority carrier injection DLTS measurements were carried out to probe the interface and deep traps in the Sb_2Se_3 solar cells. Subsequently, bulk trap density (N_T) values of 2.3×10^{16} , 1.5×10^{16} , and $5 \times 10^{16} \text{ cm}^{-3}$ were obtained for the devices with IVD- Sb_2Se_3 , CSS- Sb_2Se_3 and CoE- Sb_2Se_3 films, respectively. The corresponding devices exhibited an interface defect density of 1.32×10^{12} , 9.30×10^{12} and $1.20 \times 10^{12} \text{ cm}^{-2}$, respectively. Furthermore, the DLTS analysis revealed one (type) electron trap (E1), two electron traps (E1 and E2), and two electron traps and one hole trap (E1, E2, and H1) in the CSS- Sb_2Se_3 , IVD- Sb_2Se_3 , and CoE- Sb_2Se_3 films (Fig. 10a–c), respectively. The IVD-E2, CSS-E1, and CoE-E2 defects were attributed to Se_{Sb2} , while CoE-H1 to the Se_{Sb1} antisite traps. The capture cross section for the IVD-E1 trap was only $\sim 10^{-21} \text{ cm}^2$, which was more than two orders of magnitude smaller than that for the other traps. It also exhibited a lower trap density of $2.74 \times 10^{14} \text{ cm}^{-3}$, thus having a less detrimental effect on the charge transport and device



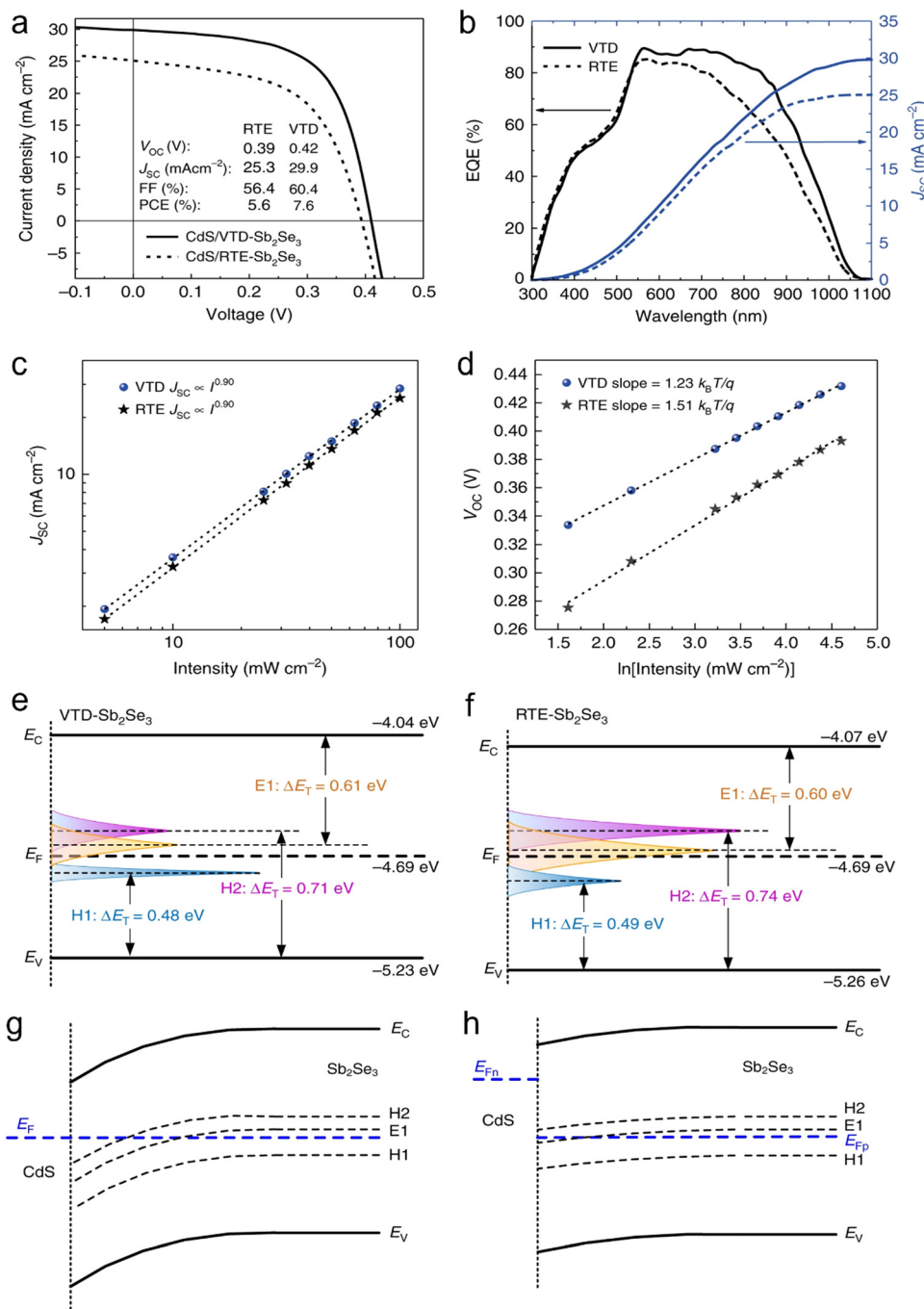


Fig. 9 (a) J - V characteristics and (b) EQE plots of the VTD- and RTE-fabricated Sb_2Se_3 solar cells. Light intensity-dependent (c) J_{SC} and (d) V_{OC} of the devices. Energy states and defect level of (e) VTD-fabricated and (f) RTE-fabricated devices. Energy band diagrams at CdS/ Sb_2Se_3 interface (g) in the dark and (h) under illumination. Reproduced under the guidelines of the Creative Commons CC-BY license from ref. 90 Copyright 2018, Springer Nature.

parameters. Interestingly, it was observed that during the CSS deposition of the Sb_2Se_3 absorber layers, the actual temperature of the substrate reached as high as 370–380 °C. The contribution of source radiative heating to the surface thermal energy became significant and [001]-oriented Sb_2Se_3 nanorods could be obtained in tens of seconds owing to the strong anisotropy in chemical-bonding. In contrast to CSS, the surface thermal energy in IVD is mainly attributed to the heating of the substrate. According to the terrace-ledge-kink (TLK) growth

model, an increase in the growth temperature and surface thermal energy increases the critical radius for crystal growth and mitigates the density of stable nuclei, resulting in the formation of compact, [001]-oriented Sb_2Se_3 films with reduced donor-acceptor defect complexes.

Liu *et al.*⁸⁶ employed the controlled co-sublimation of mixed Sb_2Se_3 and Sb_2S_3 powders to deposit $\text{Sb}_2(\text{S,Se})_3$ films, with a V-shape (graded) bandgap. In contrast to the most widely used hydrothermal deposition method for Sb_2X_3 films, CSS

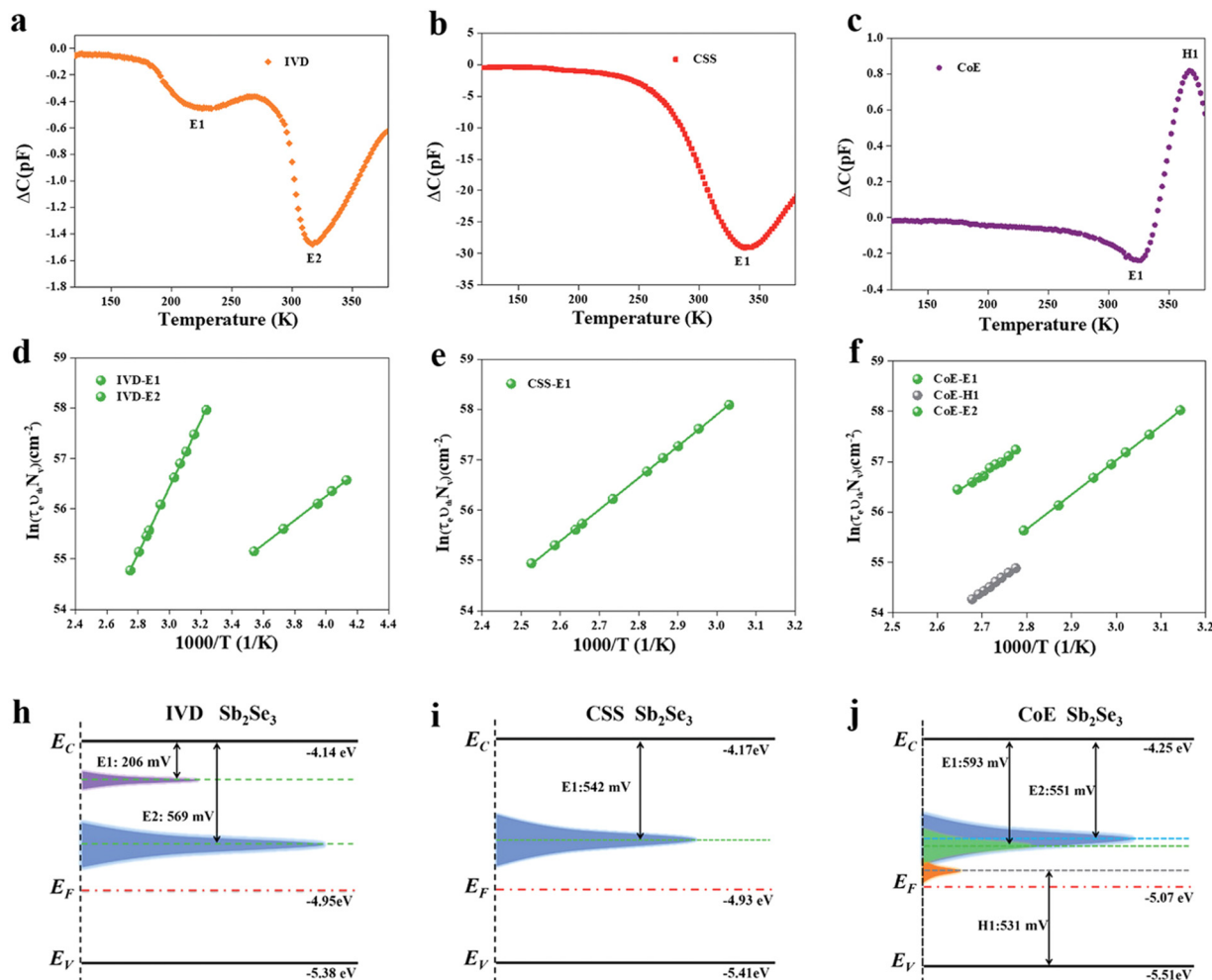


Fig. 10 DLTS analysis of solar cells based on IVD-, CSS-, and CoE-Sb₂Se₃ absorbers. DLTS signals of (a) IVD-Sb₂Se₃, (b) CSS-Sb₂Se₃, and (c) CoE-Sb₂Se₃ solar cells. Arrhenius plots obtained from DLTS signals for (d) IVD-Sb₂Se₃, (e) CSS-Sb₂Se₃, and (f) CoE-Sb₂Se₃ solar cells. Deep-level defect profile of (g) IVD-Sb₂Se₃, (h) CSS-Sb₂Se₃, and (i) CoE-Sb₂Se₃ solar cells. Adapted with permission from ref. 91 Copyright 2022, Wiley-VCH.

deposition offers the key advantages of fast deposition rates ($\approx \mu\text{m min}^{-1}$), large-area film uniformity, high throughput, and scalability for commercial manufacturing. In this work, instead of the toxic CdS, compact TiO₂ was used as the ETL and record PCE value of 9.02% was obtained for the CdS-free Sb₂X₃ solar cells. An increment in the S/Se ratio was found to be successful in improving the grain size and favorable $[hk1]$ -orientations, simultaneously suppressing the detrimental bulk defects in the Sb₂(S,Se)₃ films. The incorporation of S in Sb₂(S,Se)₃ enlarged the band gap and V_{bi} , both contributing to the enhancement in V_{OC} . The TPV decay measurement revealed carrier recombination lifetimes of 5.47 ms, 6.24 ms, and 3.27 ms in the devices employing Sb₂Se₃, Sb₂(S,Se)₃-47%, and Sb₂(S,Se)₃-76% films, respectively. The electron-only devices were fabricated with the architecture of FTO/TiO₂/Sb₂(S,Se)₃/PCBM/Ag, and lower V_{TFL} (and N_{T}) was obtained in the Sb₂(S,Se)₃-47% based device than its counterparts. The capacitance-frequency analysis revealed N_{T} values of 8.49×10^{15} and $1.08 \times 10^{16} \text{ cm}^{-3}$ in the Sb₂(S,Se)₃-47% and Sb₂(S,Se)₃-76% films, respectively. The DLTS signal for the Sb₂(S,Se)₃-47% device revealed two negative peaks, which

were attributed to two minority (hole) traps, i.e., H1 and H2 (both assigned to Sb_s antisite defects) (Fig. 11d). Alternatively, the Sb₂(S,Se)₃-76% device exhibited one electron trap (E1, Sb_s) and one hole trap (H2, Sb_s) (Fig. 11g). Particularly, the E1 and H2 traps were located close to the E_{F} -level, and thus highly effective in E_{F} -level pinning and concomitant V_{OC} deficit. It was speculated that an increase in S-content induced Sb_s antisite defects. Furthermore, a lower S-content passivated the V_{Se} defects and inhibited the Sb_s defect density in the Sb₂(S,Se)₃ absorber films.

Han *et al.*⁹³ investigated the role of post-annealing SbCl₃ treatment on Sb₂S₃ thin films and the corresponding solar cells (FTO/TiO₂/Sb₂S₃/spiro-OMeTAD/Au). The hole trap density was investigated by analyzing the dark J - V characteristics of the hole-only device (FTO/PEDOT:PSS/Sb₂S₃/spiro-OMeTAD/Au). Fig. 12(e) indicates the linear response of all the hole-only devices at low voltages treated with different concentrations of SbCl₃. On exceeding the kink point (referred to as trap field limit voltage, V_{TFL}), the current demonstrated a non-linear increase, suggesting that the trap states were filled by the



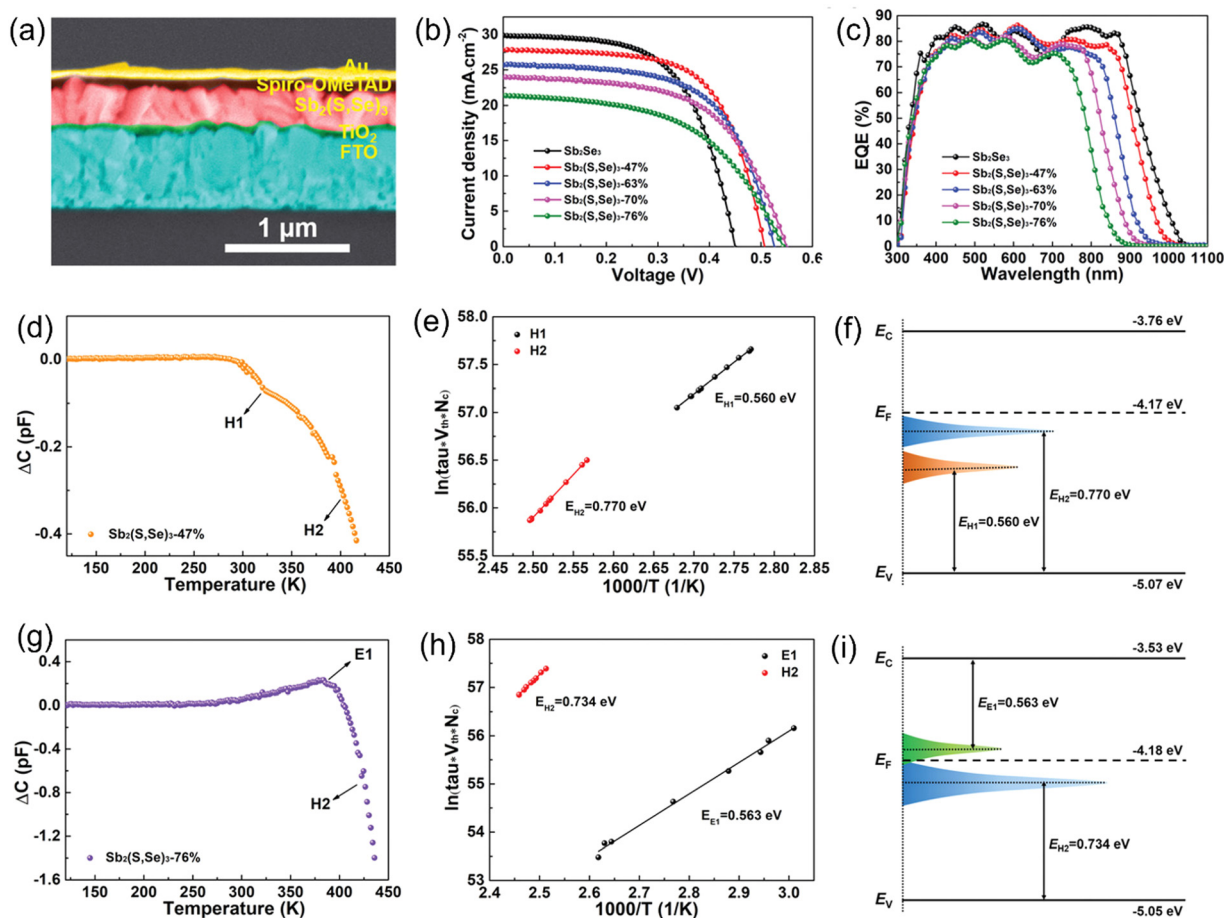


Fig. 11 (a) Cross-sectional SEM image of the $\text{Sb}_2(\text{S,Se})_3$ solar cells. (b) Current density–voltage (J – V) curves and (c) external quantum efficiency (EQE) spectra of the $\text{Sb}_2(\text{S,Se})_3$ solar cells with different S-contents. (d) and (g) DLTS signals and (e) and (h) Arrhenius plots obtained from the DLTS signals. (f) and (i) Conduction (E_C), valence (E_V) band edges, Fermi (E_F), and defect energy levels of the $\text{Sb}_2(\text{S,Se})_3$ films with 47% and 76% S-content, respectively. Adapted with permission from ref. 86 Copyright 2022, Wiley-VCH.

injected carriers. The trap density (N_T) depends linearly on V_{TFL} ($N_T = \frac{2\epsilon\epsilon_0 V_{\text{TFL}}}{eL^2}$, where e is the elementary charge of the electron, L is the Sb_2S_3 film thickness, ϵ ($= 6.67$) is the relative permittivity of Sb_2S_3 , and ϵ_0 is the vacuum permittivity). The V_{TFL} of the control device was 0.75 V, corresponding to a trap-state density of $2.81 \times 10^{16} \text{ cm}^{-3}$. An increase in the SbCl_3 concentration from 15 to 30 mg mL^{-1} led to a decrease in V_{TFL} from 0.57 to 0.40 V, and N_T from 2.13×10^{16} to $1.50 \times 10^{16} \text{ cm}^{-3}$. A further increase in SbCl_3 concentration to 60 mg mL^{-1} led to an increase in V_{TFL} to 0.65 V and N_T to $2.43 \times 10^{16} \text{ cm}^{-3}$. The TPC and TPV decay were analyzed under short-circuit conditions and open-circuit voltage to reveal the influence of SbCl_3 treatment on the charge transfer and recombination lifetimes, respectively. Compared to the control device (1.03 μs), the devices treated with 30 mg mL^{-1} SbCl_3 demonstrated the most efficient charge transfer (0.31 μs). The same device also exhibited a lengthier carrier recombination lifetime (89.34 μs) than the control devices (28.35 μs). The ideality factor (η) for the control device (1.71) dropped to the lowest value of 1.59 in the case of 30 mg mL^{-1} SbCl_3 treatment. All these results unambiguously suggest inhibited SRH recombination in the device with

30 mg mL^{-1} SbCl_3 treatment, delivering a PCE of 7.10% (outperforming the control device with a PCE of 4.37%). Li *et al.*⁹⁴ fabricated electron-only devices (FTO/buffer layer/ $\text{CdS}/\text{Sb}_2\text{S}_3/\text{PCBM}/\text{Ag}$) to estimate the (electron) trap density in the Sb_2S_3 layer. V_{TFL} values of 0.69, 0.39, and 0.29 V were calculated for the devices without, with SnO_2 , and with Mg-doped SnO_2 as the buffer layers, respectively. Estimated trap densities of 5.66×10^{15} , 3.2×10^{15} and $2.38 \times 10^{15} \text{ cm}^{-3}$ were obtained in the corresponding devices, respectively. The reduction in trap states was attributed to the lowering of the lattice mismatch at $\text{CdS}/\text{Sb}_2\text{S}_3$ on introducing the buffer layers and suppression of the grain boundary defects.

Hu *et al.*⁸⁸ systematically identified and characterized the defects in Sb_2Se_3 solar cells using temperature-dependent admittance spectroscopy measurements. DC reverse bias-dependent admittance measurements (in the frequency range 20–10⁵ Hz) revealed the presence of three types of defects with energy levels varying in the range of 0.3–0.4, 0.2–0.6 and 0.5–0.6 eV above the VBM, which were denoted as D1, D2, and D3, respectively. D1 and D2 were identified as bulk defects, while D3 as an interface or near-interface defect. D1 exhibited a large cross-section, but low trap density, leading to moderate ($\sim \mu\text{s}$)

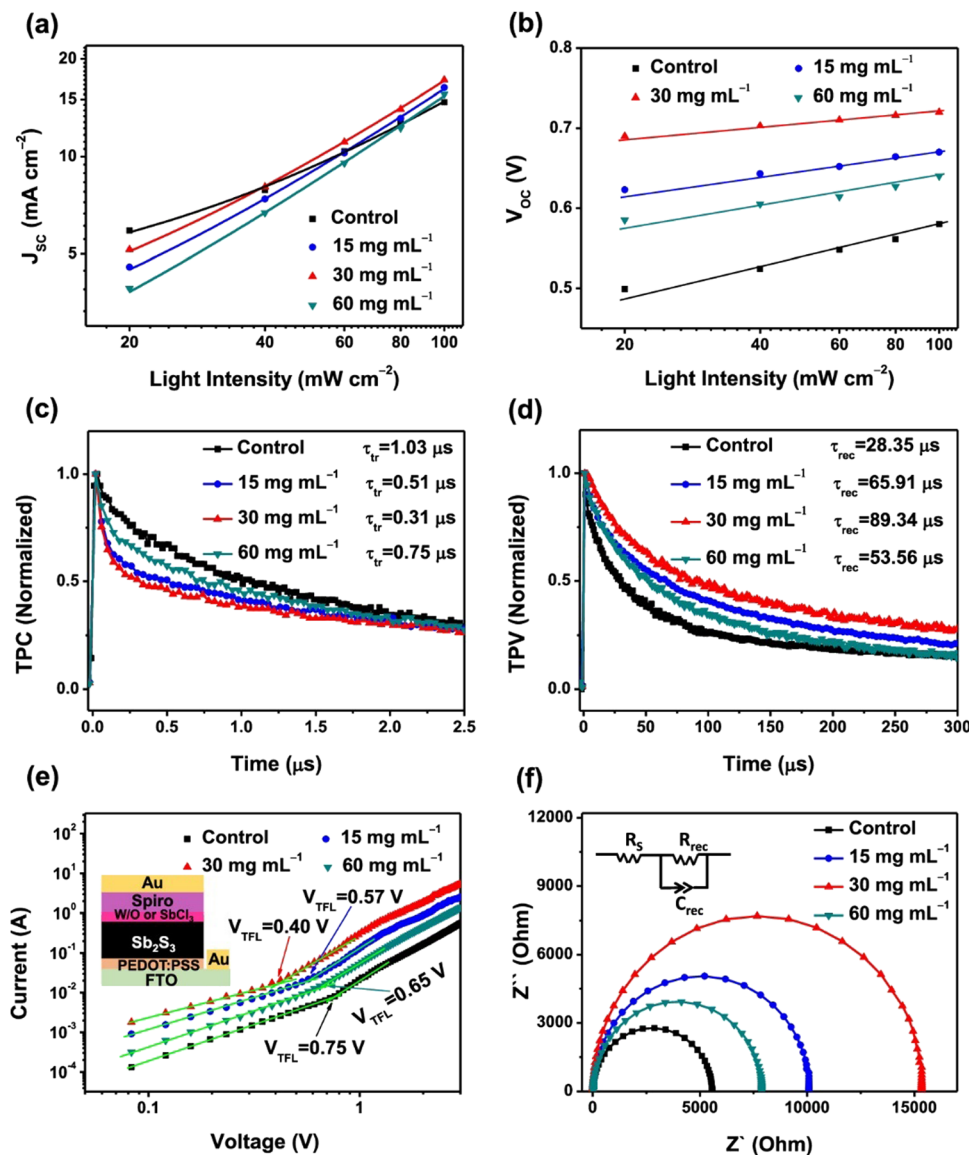


Fig. 12 (a) J_{sc} and (b) V_{oc} as a function of light intensity in the control Sb_2S_3 and SbCl_3 -treated Sb_2S_3 devices with different SbCl_3 concentrations. (c) TPC and (d) TPV decay characteristics of the control Sb_2S_3 and SbCl_3 -treated Sb_2S_3 devices. (e) Dark J - V measurements of the hole-only devices, revealing V_{TFL} kink point behavior. (f) Nyquist plots for the devices measured in the dark at an applied voltage close to the V_{oc} (inset: equivalent circuit diagram employed to fit the Nyquist plots). Adapted with permission from ref. 93 Copyright 2020, the American Chemical Society.

carrier lifetimes for holes. D2 was assigned as a shallow acceptor level, with an insignificant capture cross-section (10^{-20} cm^2) and lengthier ($\sim \text{ms}$) carrier-lifetimes for holes. D3 exhibited a large cross-section for holes, in conjugation with high defect density, leading to short lifetimes for holes ($\sim \text{ns}$), thus serving as a prominent recombination center. Pan *et al.*⁸⁷ performed quantitative investigation of the majority carrier defect density in all vacuum-deposited $\text{Sb}_2(\text{S,Se})_3$ solar cells *via* the admittance spectroscopy technique. The E_a values of the devices with CSS- and CBD-CdS were 203 and 364 meV, respectively. Two-hole traps (H1 and H2) were identified, which were attributed to V_{Se} and Sb_{Se} defects, respectively. The $\text{Sb}_2(\text{S,Se})_3$ device with CBD-CdS (PCE of 5.05%) exhibited a higher defect density, capture cross-section and shorter capture lifetime than its CSS-CdS counterpart (PCE of 7.12%). According to this study,

it was concluded that the PCE of state-of-the-art Sb_2X_3 solar cells can be improved by adopting higher crystallinity CSS-CdS films as the ETL instead of the widely used CBD-CdS films.

Li *et al.*⁹⁵ fabricated an $\text{Sb}_2\text{Se}_3/\text{CdS}$ core-shell structured nano-array solar cell, with a record FF of 70.3% and PCE of 9.2% (Fig. 13d). Briefly, [001]-oriented Sb_2Se_3 nano-arrays were deposited using CSS and a four-step model was proposed for the growth of Sb_2Se_3 nanorods on an Mo/MoSe₂ substrate including surface absorption, film growth, splitting, and nanorod array growth stage. DLCP measurements suggested that the Sb_2Se_3 films deposited using CSS had a lower bulk-defect density (N_d of $\sim 2 \times 10^{15} \text{ cm}^{-3}$) than the thermally evaporated films (N_d of $\sim 2 \times 10^{17} \text{ cm}^{-3}$). Capacitance-voltage (C - V) profiling suggested that the $\text{Sb}_2\text{Se}_3/\text{CdS}$ core-shell interface was much more defective (interface defect density of



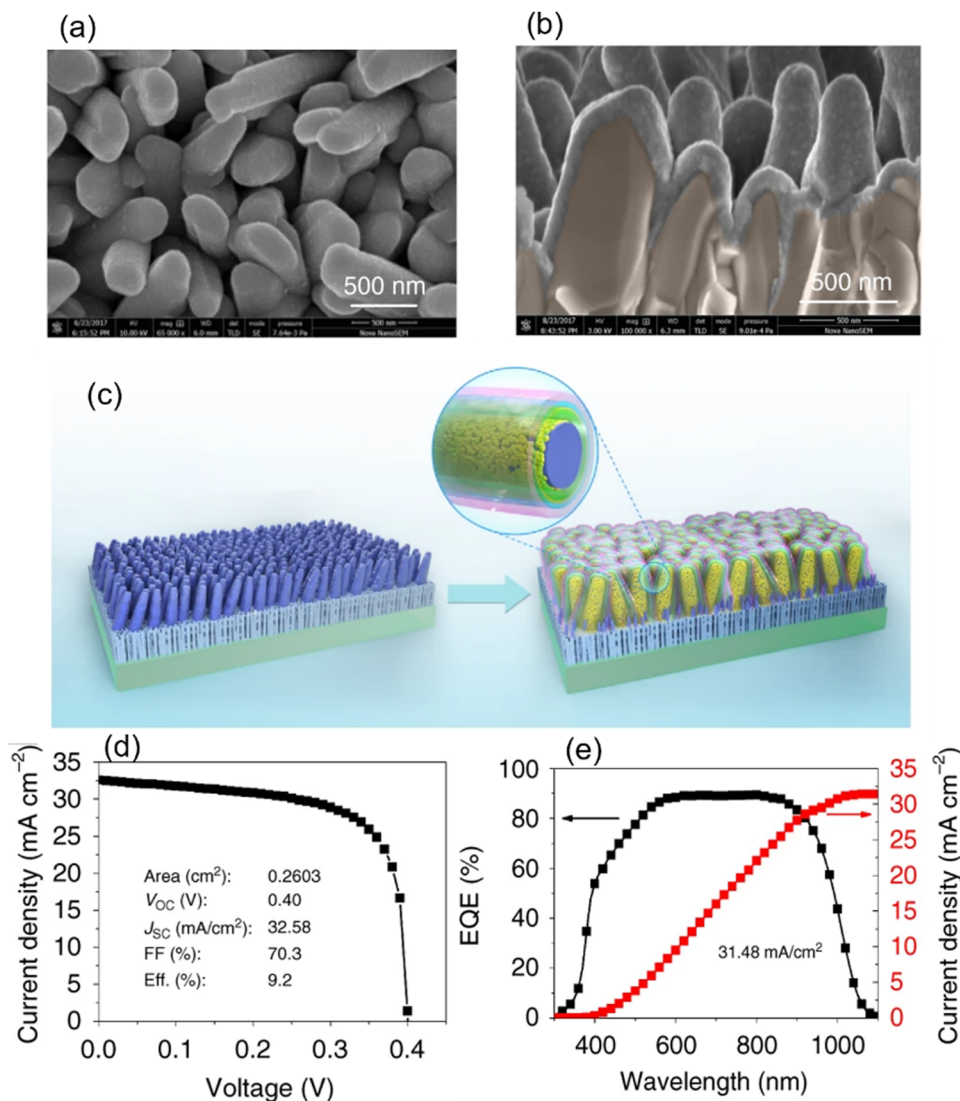


Fig. 13 (a) Top-view and (b) cross-sectional SEM images of CdS buffer deposited on Sb₂Se₃ nanorod arrays. (c) Schematic of the Sb₂Se₃ nanorod arrays on Mo-coated glass and fabricated Sb₂Se₃/CdS (core/shell) nanorod array solar cells. (d) *J*-*V* curve and (e) EQE spectrum and integrated *J*_{SC} curve of the champion device. Adapted under the guidelines of the Creative Commons BB CY license from ref. 95 Copyright 2019, Springer Nature.

$\sim 3 \times 10^{12} \text{ cm}^{-2}$) than the planar CdS/Sb₂Se₃, ZnO/Sb₂Se₃ or TiO₂/Sb₂Se₃ interfaces in the superstrate configurations. An ultrathin ALD-grown TiO₂ layer was used at the Sb₂Se₃/CdS interface to passivate the surface defects in the Sb₂Se₃ layer and suppress the detrimental current-leakage paths. Furthermore, as illustrated in Fig. 13(e), the champion device exhibited an excellent EQE (>85%, between 550 and 900 nm), suggesting efficient long-range carrier transport along the [001]-direction.

4. Strategies toward high PCE Sb₂X₃ solar cells

4.1. Deep-level defect passivation and *E_F*-level depinning in photoactive Sb₂X₃ films

Mitigating deep-defects (particularly that with a large capture cross section) is a crucial strategy to elevate the minority carrier

lifetime and boost the *V*_{OC} (and PCE) of fabricated Sb₂X₃ solar cells. A short lifetime (~ 0.01 –1 ns) and long lifetime (~ 5 –60 ns) are commonly observed in Sb₂X₃ solar cells.²⁹ The former is attributed to interface recombination, while the latter to bulk recombination. Yang *et al.*³⁴ studied the (longer) carrier lifetimes in an Sb₂S₃ thin film and single crystal using TAS and concluded that the longer lifetime originates from the self-trapped exciton (STE) owing to the soft lattice in Q-1D-structured Sb₂X₃. The device PCE (and *V*_{OC}) was constrained by the shortest lifetimes. The simulation results revealed that 2 orders of magnitude improvement in carrier lifetime can gain an ~ 150 mV increase in *V*_{OC} in Sb₂X₃ solar cells, as illustrated in Fig. 14.²⁹

As shown in Fig. 15(a), a high density of multiple types of deep traps exists in Sb₂X₃ thin films. These traps serve as scavengers for photogenerated carriers and accelerate trap-assisted SRH recombination, and thus detrimental to the





Fig. 14 Simulated V_{OC} of Sb_2S_3 and Sb_2Se_3 solar cells as a function of carrier lifetime by SCAPS. The shadow area exhibits the generally accepted lifetimes and V_{OC} of the Sb_2S_3 and Sb_2Se_3 solar cells. Adapted with permission from ref. 29 Copyright 2020, the American Chemical Society.

performance of Sb_2X_3 solar cells. Solution-processed Sb_2X_3 films usually contain a minuscule amount of oxide impurities (mainly Sb_2O_3). These oxide impurities form deep traps, and thus limit the V_{OC} values. In this case, given that Sb_2O_3 is recognized as a center of electron-hole pair recombination, Sb_2O_3 -free, Sb_2X_3 films are advantageous for achieving high-performance Sb_2X_3 solar cells. The Sb_2X_3 films synthesized using vacuum-assisted techniques are relatively oxide free and exhibit a higher degree of phase purity.⁹⁶ However, recent studies suggest that controlled O_2 -incorporation can help in passivating bulk and interface defects, improving the device performance.⁹⁷ In addition, *in situ* sulfurization,^{98,99} post-deposition thioacetamide (TA) treatment,⁷⁸ ammonium sulfide $((NH_4)_2S)$ treatment,⁷⁷ thiourea (TU) treatment,⁵ selenization,^{100–102} alkali-metal doping,^{103–105} and alcohol-vapor annealing¹⁰⁶ are key strategies to passivate deep-defects and boost the PCE of Sb_2X_3 solar cells.

Another issue with Sb_2X_3 solar cells is Fermi level (E_F) pinning by the traps located near the mid-bandgap. Lian *et al.*⁷⁴ investigated the deep-level defects in Sb-rich and S-rich Sb_2S_3 films *via* O-DLTS. It was revealed that S-vacancy (V_S) and Sb-antisite (Sb_S) are the two main electron traps in S-deficient films. These traps have a high density and capture cross-section and contribute to E_F -level pinning. The electron quasi- E_F level is pinned by these traps, resulting in the inefficient extraction of trapped photo-excited carriers. S-rich films have two main hole traps, which have been identified as Sb-vacancy (V_{Sb}) and S-antisite (S_{Sb}). These traps are not electrically active compared to that in S-deficient films. Based on a first principles study, Cai *et al.*⁷⁵ and Jiang *et al.*¹⁰⁷ concluded that the formation energies of the dominant acceptor defects (V_{Sb2} , Sb_{Sb2} , and S_{Sb2}) and donor defect (V_{S2}) in intrinsic Sb_2S_3 are similar. This results in E_F -level pinning at the near-middle of the band gap. These results are in agreement with the experimentally observed high resistivity in undoped Sb_2S_3 thin films.¹⁰⁸ Wen *et al.*⁹⁰ attributed the hole quasi E_F -level pinning in Sb_2Se_3 to the $[Sb_{Se} + Se_{Sb}]$ anti-site complex. In general, these

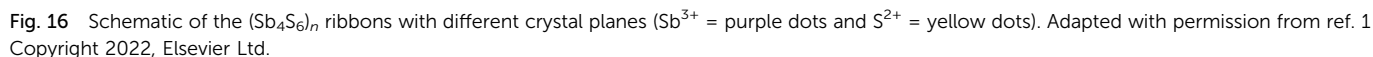
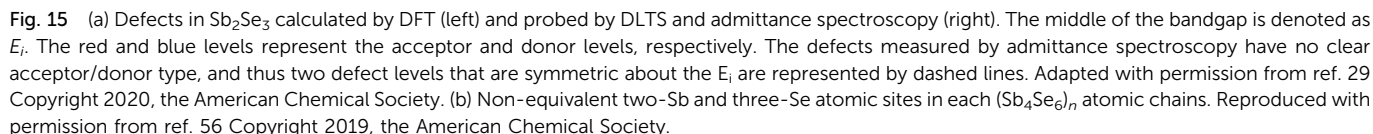
defects exhibit a higher density than the carrier density ($\sim 10^{13} \text{ cm}^{-3}$) in Sb_2Se_3 films. These defects are located slightly above the intrinsic E_F -level, and thus highly active trap centers; in contrast, the traps below the intrinsic E_F -level are occupied, and thus inactive. The VTD process has been found to be more effective in depositing Sb_2X_3 films with an improved density of $[Sb_{Se} + Se_{Sb}]$ anti-site pairs, thus depinning the hole quasi E_F -level and inducing greater splitting of the quasi- E_F -levels during illumination. Sb_2X_3 films should be made anion (S,Se) rich to passivate the bulk defects and promote the charge transport efficiency.

4.2. Crystallographic orientation engineering

Owing to their unique quasi-1D crystal structure and the associated anisotropy in carrier mobility, the orientation of crystallites/ribbons (schematically illustrated in Fig. 16) plays a decisive role in the device performance. In this case, $[hk1]$ -oriented Sb_2X_3 films offer excellent carrier transport, suppressed bulk recombination, and trap-free grain boundaries, facilitating high-PCE devices. In this regard, the growth of Sb_2X_3 should be regulated from the 2D (layer-like) mode to 3D (island-like) mode. Liang *et al.*¹⁰⁹ demonstrated the growth of highly $[hk1]$ -oriented Sb_2Se_3 nanorod arrays perpendicular to a selenized tungsten (W) back-contact in substrate-structured solar cells. The resulting ultrathin film of tungsten selenide (WSe_2) also acted as the HTL, promoting efficient hole extraction, and consequently leading to a PCE of 8.46%. Wang *et al.*¹¹⁰ reported the preparation of highly $[221]$ -oriented Sb_2Se_3 films on a randomly oriented ZnO buffer layer. The ZnO layer was deposited *via* a green and scalable spray pyrolysis technique, and the device demonstrated a low density of interface defects and highly improved efficiency and stability. Zhou *et al.*¹¹¹ employed a simple and fast ($\sim 1 \mu\text{m min}^{-1}$) RTE process to orient the crystal growth perpendicular to the substrate and produced an Sb_2Se_3 thin-film solar with a certified PCE of 5.6%. Li *et al.*¹¹² introduced a seed screening strategy to deposit $[211]$ - and $[221]$ -oriented Sb_2Se_3 films on a TiO_2 film. It was revealed that lying seeds (with $[hk0]$ -orientation) bonded with the substrate through weak vdW forces, while the standing seeds ($[hk1]$ -orientation) bonded with the substrate by covalent bonds. The $[hk0]$ -oriented seeds easily re-evaporated from the TiO_2 surface at high substrate temperature, leaving tightly bonded $[hk1]$ -oriented seeds and assisting the growth of $[221]$ - and $[211]$ -oriented Sb_2Se_3 grains. Liu *et al.*¹¹³ revealed that devices based on thermally deposited hexagonal-CdS outperformed that with solution-processed cubic-CdS. The former was found to be more conducive to the growth of $[221]$ -oriented, compact, and less-defective Sb_2X_3 films.

Park *et al.*¹¹⁴ manipulated the microstructure (morphology and crystallite orientation) of the Sb_2Se_3 absorber layer by regulating the substrate temperature during co-evaporation. Consequently, $[hk1]$ -oriented Sb_2Se_3 nanopillar arrays were obtained at 315 °C. According to this study, it was concluded that a narrow window exists for the substrate temperature, where vertical $[hk1]$ -oriented Sb_2Se_3 rod arrays can be grown. The $[hk1]$ -oriented crystallites assist in maximizing the carrier





carrier transport from the inter-ribbon (hopping) to intra-ribbon (band-like) mode, improving the carrier collection efficiency. Notably, the $[hk1]$ -oriented Sb_2X_3 films not only ensured better charge transport, but also bonded strongly with the substrate below (*via* covalent bonds), in contrast to the $[hk0]$ -oriented films (where ribbons lie flat on the substrate surface and weak van der Waals bonding links the substrate and Sb_2X_3).

Zhao *et al.*⁹ demonstrated an outstanding improvement in average grain size in CBD Sb₂Se₃ films (from 170 to 435 nm) by using additives to manipulate the reaction kinetics and deposition process. Wang *et al.*¹¹⁷ developed a fast chemical approach (FCA) for spin coating Sb₂S₃ films with an average grain size exceeding 12 μm. Han *et al.*¹⁰⁶ revealed that alcohol vapor (ethanol, methanol, and isopropanol) post-annealing

induces a significant enhancement in the crystallinity, morphology and carrier lifetimes of Sb_2S_3 films (as illustrated in Fig. 17). This annealing strategy improved the charge collection efficiency and PCE of Sb_2S_3 solar cells. Alcohol vapor induced solvent stitching *via* partial dissolution of the GBs, creating a melt phase, which acted as a “stitching adhesive” for enhanced grain growth.

4.4. Selection of ETL and HTL materials and (ETL/ Sb_2X_3 , Sb_2X_3 /HTL) interface engineering

All the high-PCE- Sb_2X_3 solar cells exclusively use the toxic CdS as the ETL and expensive and long-term unstable Spiro-OMeTAD as the HTL (Table S1, ESI†). In this regard, there have been serious attempts to either substitute CdS and Spiro-OMeTAD completely or reduce their usage. The devices based on an oxide ETL (TiO_2 , SnO_2 , and ZnO) underperform (showing an inferior PCE) than their CdS-based counterparts. Doping O^{118} and Al^{92} in the CdS layers effectively modifies the band alignment at CdS/ Sb_2S_3 from a “cliff-like” to “spike-like” alignment, which is well suited for efficient electron extraction. Double buffer layers, *e.g.*, TiO_2/CdS ,^{119,120} SnO_2/CdS ,^{120,121}

$\text{Zn(O,S)}/\text{CdS}$,^{103,122,123} $\text{ZnSnO}_3/\text{CdS}$,⁵ $\text{Mg:SnO}_2/\text{CdS}$,⁹⁴ and ZnO/TiO_2 ,¹²⁴ successfully suppresses the shunt paths and improve the cascaded band-alignment for efficient carrier transport. Han *et al.*¹²⁵ demonstrated the synergistic role of zinc halide (ZnCl_2 , ZnBr_2 , and ZnI_2) treatment of TiO_2 in modifying the TiO_2 layer and $\text{TiO}_2/\text{Sb}_2\text{S}_3$ interface. In particular, ZnCl_2 treatment curtailed the roughness and trap density in a TiO_2 film, simultaneously increasing the electron concentration and mobility in the layer. The improvement in the $\text{TiO}_2/\text{Sb}_2\text{S}_3$ interface quality and device performance on ZnCl_2 treatment were mainly attributed to the passivation of undercoordinated Ti^{4+} and O^{2-} (on TiO_2 surface) by coordinating with Cl^- and Zn^{2+} , respectively, and improved crystallinity of Sb_2S_3 film (with enlarged grains and diminished trap states). Zn(O,S) has emerged as a promising ETL for Sb_2X_3 solar cells, given that the S-atoms (at the surface of Zn(O,S)) serve as chemical bridge to facilitate the quasi-epitaxial growth of Sb_2X_3 films.^{50,126} The quasi-vertical growth of [211]- and [121]- oriented Sb_2S_3 films has been found to be conducive on CdS substrates, whereas the S-deficit on the CdS surface creates a beneficial bonding environment between the exposed undercoordinated Cd^{2+}

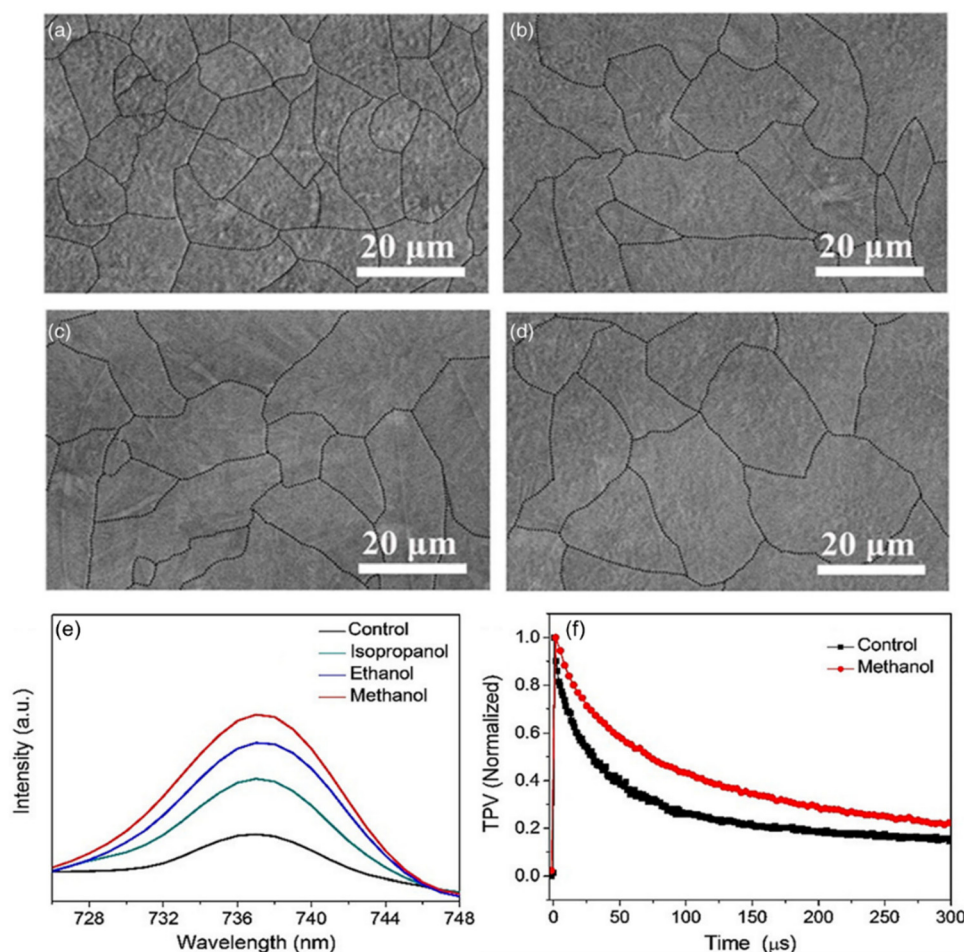
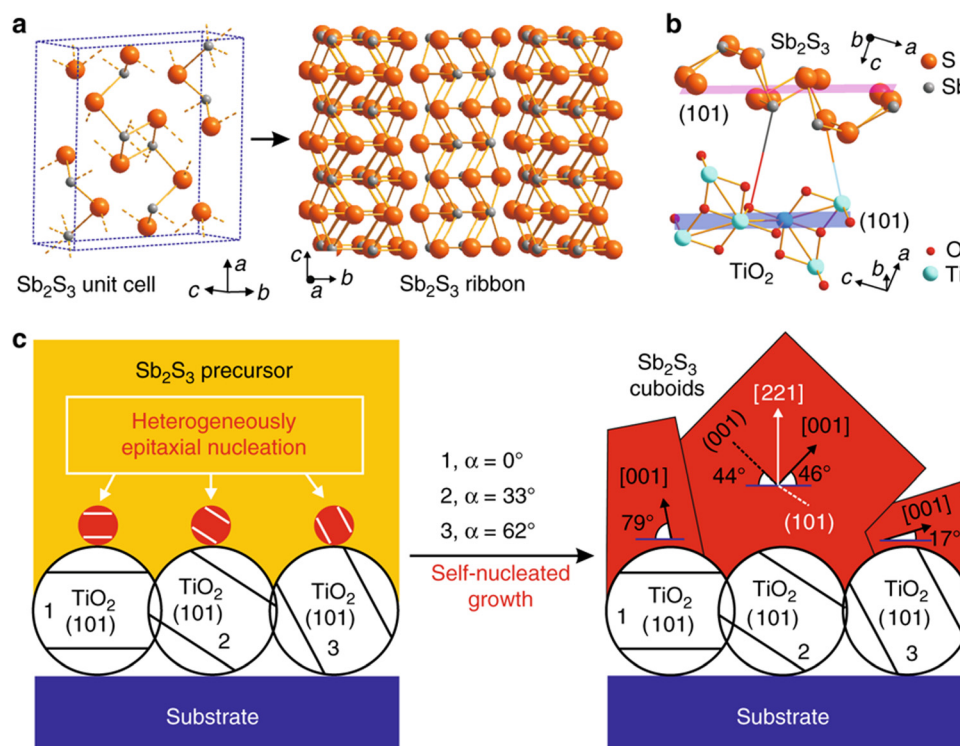


Fig. 17 SEM micrographs of (a) control Sb_2S_3 film, (b) iso-annealed Sb_2S_3 film, (c) eth-annealed Sb_2S_3 film, and (d) meth-annealed Sb_2S_3 film. (e) PL spectra of the control, iso-annealed, eth-annealed, and meth-annealed Sb_2S_3 films and (f) TPV decay characteristics of the control and meth-annealed Sb_2S_3 solar cells. Adapted with permission from ref. 106 Copyright 2019, Wiley-VCH.



tunneling layer to modify the $\text{Sb}_2\text{S}_3/\text{spiro-OMeTAD}$ interface in Sb_2S_3 -based nano-array solar cells. As illustrated in Fig. 19, the PMMA layer was found to be effective in blocking the interfacial recombination channels and boosting the overall device performance.

SbCl₃ treatment has been used in the fabrication of Sb₂S₃⁹³ and Sb₂Se₃¹³³ solar cells. SbCl₃ treatment of Sb₂S₃ films was found to be highly effective in mitigating the trap states (at the interface and grain boundaries) and suppressing the non-radiative recombination. This strategy was successful in simultaneously improving the PCE and stability of Sb₂S₃ solar cells. In the case of Sb₂Se₃ solar cells, SbCl₃ treatment (on the CdS layer) improved the morphology of the CdS layer, transformed the band-offset at CdS/Sb₂Se₃ from cliff-like to spike-like, and induced $[hk1]$ -oriented growth in Sb₂Se₃ films. It was found that 1 nm thick (and sputtered) Si₃N₄¹³⁴ and 2 nm thick (and evaporated) CeO₂¹³⁵ ultrathin interface layers (sandwiched between CdS and Sb₂Se₃) showed promising results in inducing columnar $[hk1]$ -oriented growth, ameliorating the crystallinity and surface evenness of Sb₂Se₃ thin films. Controlled oxygen doping in CdS (O:CdS) has been found to be successful in suppressing parasitic absorption (by CdS layer), improving the CdS/Sb₂Se₃ band-alignment, mitigating the interface recombination and improving electron transport.¹³⁶ Potassium doping in CdS (K:CdS) has been reported to simultaneously improve



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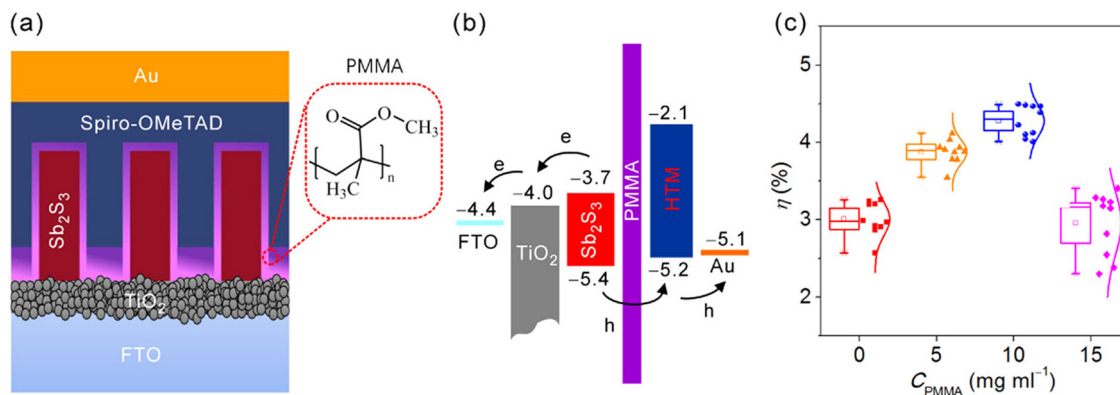


Fig. 19 Schematic of (a) configuration of the $\text{Sb}_2\text{S}_3/\text{TiO}_2$ nano-array solar cell with PMMA interfacial layer and (b) its energy level diagram. (c) Statistical distribution of PCE (η) without ($C_{\text{PMMA}} = 0 \text{ mg mL}^{-1}$) and with ($C_{\text{PMMA}} = 5, 10$ and 15 mg mL^{-1}) PMMA interfacial layer, illustrating the dependence of the device performance on PMMA concentration. Adapted with permission from ref. 132 Copyright 2023, the American Chemical Society.

the crystallinity of CdS and Sb_2S_3 films, decreasing the surface roughness of Sb_2S_3 films and improving all the device parameters of Sb_2S_3 solar cells. An ultrathin PbS interface layer successfully formed an Sb-S-Pb bonding bridge and cascaded band alignment, accelerating the hole transport and inhibiting carrier recombination at the $\text{Sb}_2(\text{S,Se})_3/\text{carbon}$ interface.¹³⁷

4.5. Doping strategies

The low built-in potential caused by a low intrinsic doping density ($\sim 10^{12} \text{ cm}^{-3}$) and poor carrier collection efficiency due to sluggish carrier mobility lead to a mediocre performance in Sb_2X_3 solar cells. However, efficient doping in Sb_2X_3 is very challenging. Owing to the distinctive Q-1D structure, the dopant atoms commonly settle in the inter-ribbon space or at the GBs and become electrically inactive. Ti ¹³⁸ and Zn ¹³⁹ doping has been shown to be successful in improving the crystallinity and absorbance of Sb_2S_3 thin films, leading to a higher J_{sc} in Sb_2S_3 solar cells. Particularly, Zn doping was found to successfully boost the carrier (electron) concentration in Sb_2S_3 films and solar cell performance. Zn was found to coordinate with S at the grain boundaries. The low hole mobility in the pristine Sb_2S_3 film was elevated from $1.0 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ to $205 \text{ cm}^2 \text{ V}^{-1} \text{ s}^{-1}$ on Sn -doping.¹⁴⁰ Ni -doped Sb_2S_3 films demonstrated better photon harvesting than pristine Sb_2S_3 films. Ag ,¹⁴¹ Co ,¹⁴² Mn ,¹⁴³ Sm ,¹⁴⁴ Fe ,¹⁴² Cu ,¹⁴⁵ Sn ,¹⁴⁶ Bi ,¹⁴⁷ Se ,¹⁴⁸ and alkali metal (Li , Na , K , Rb , and Cs)¹⁴⁹ doping has also been found to successfully enhance the carrier density and conductivity in Sb_2S_3 films.^{150–152} Ni settled at the grain boundaries and formed Ni_xS_y at lower temperatures, which provided nucleation centers for the $[hk1]$ -oriented growth of Sb_2S_3 ribbons.¹⁵³ An improvement of up to six orders in p-type conductivity (from 10^{-8} to $10^{-2} \text{ S cm}^{-1}$) was demonstrated in Sb_2S_3 films on C doping.¹⁵⁴ The increased electrical conductivity was attributed to the formation of C_S substitutional defects in the Sb_2S_3 crystal structure. C doping has also been used to implement p^+ doping in $\text{Sb}_2(\text{S,Se})_3$ films.¹⁵⁵

Pb doping has been shown to successfully enhance the carrier (hole) concentration and conductivity of Sb_2S_3 films by four and three orders, respectively.¹⁵⁶ The Sb^{3+} in the Sb_2S_3 crystal was partially replaced by Pb^{2+} , forming acceptor energy

levels for effective hole-doping. I doping induced n-doping in Sb_2S_3 via substitution of Se by the I atoms, leading to a one order increase in conductivity.¹⁵⁷ An improvement of three orders in p-type conductivity and four orders in hole density in Sb_2S_3 films was obtained on Sn doping.¹⁵⁷ The conductivity of Sb_2S_3 films slightly improved upon doping with transition metals and lanthanides (Lu^{3+} , Ho^{3+} , Nd^{3+} , Sm^{3+} , and Gd^{3+}) and co-doping with $\text{Lu}^{3+}/\text{Yb}^{3+}$ and $\text{Lu}^{3+}/\text{Er}^{3+}$.^{158,159} Fe doping in Sb_2S_3 was found to improve the carrier concentrations and mobility of the majority carriers. Costa *et al.*¹⁶⁰ reported that $\text{Fe}:\text{Sb}_2\text{S}_3$ films exhibit p-type conductivity, while Li *et al.*¹⁶¹ reported that these films have n-type conductivity. Te doping has been implemented to introduce n-type doping in Sb_2S_3 films. $\text{Te}:\text{Sb}_2\text{S}_3$ films were deposited via thermal evaporation,¹⁶² sputtering,¹⁶³ and spin coating.⁹² However, three independent studies suggested the Te -dopant settled at the lattice in the inter ribbon spacing and in the grain boundaries, respectively. S ,⁹² Na ¹⁶⁴ and Mg ¹⁶¹ doping has also been implemented in Sb_2S_3 thin films. S substitutes Se in the lattice, while Na and Mg prefer to settle in the grain boundaries or inter-ribbon space (where they are electrically inert).

5. Concluding remarks

Considering the overall assessment, the expanding toolbox of defect-engineering strategies is anticipated to play a pivotal role in the foreseeable development of Sb_2X_3 PV. The dominant deep-level defects in Sb_2X_3 solar cells are anion-vacancies (V_S and V_{Se}) and cation anti-sites (Sb_S and Sb_{Se}). It seems conceivable that the regulation of S/Se volatilization (especially during the annealing step) is the key to controlling the deep defects. The high formation energy of $\text{V}_{\text{S/Se}}$ induces (thermally driven) the migration of neighboring Sb atoms to form $\text{Sb}_{\text{S/Se}}$ defects. Therefore, controlled annealing of as-deposited (amorphous) films under an S/Se -rich environment or in an $\text{H}_2\text{S}/\text{CS}_2$ environment can be a straightforward strategy to passivate $\text{V}_{\text{S/Se}}$ before they transform into detrimental $\text{Sb}_{\text{S/Se}}$ defects. Exploring novel low-temperature synthesis protocols for the synthesis of Sb_2X_3 films can also be instrumental in curtaining the anti-site



defects. Nanocrystal seed- and seed-layer assisted growth has also been found to successfully deposit Sb_2X_3 films with desirable characteristics. Vapor transport deposition techniques have been found to be more effective in depositing Sb_2X_3 films with diminished anti-site defects compared to the conventional thermal evaporation and solution processing methods. A slight S/Se-rich stoichiometry and $[hk1]$ -oriented growth are crucial for obtaining Sb_2X_3 films with a low-defect density, better photoconductivity and adhesion with the substrates than S/Se-deficient and $[hk0]$ -oriented films. In addition, doping strategies, and halide salt treatment of ETL/ Sb_2X_3 and Sb_2X_3 /HTL interfaces have also been found to be instrumental in regulating the defect dynamics and PV performance. This work is anticipated to spur greater control of the engineering of deep defects in Sb_2X_3 thin films, eliminating their adverse effects on the device performance and fabrication of efficient Sb_2X_3 solar cells.

Author contributions

S. B. – conceptualization, drafting original manuscript. S. S. – visualization, methodology. A. K. C. – writing, editing. N. S. P. – reviewing, editing, formatting. A. G. P. – methodology, editing. K. R. – funding acquisition, supervision.

Conflicts of interest

There are no conflicts to declare.

Acknowledgements

S. B. acknowledges Dr Ramashanker Gupta for his valuable insights and constructive feedback that led to the drafting of the final manuscript. This work received no specific grant from any funding agency in the public, commercial, or not-for-profit sectors.

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