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## Non-toxic, non-biocide-release antifouling coatings based on molecular structure design for marine applications

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Marine biofouling generally refers to the undesirable accumulation of biological organisms on surfaces in contact with seawater. This natural phenomenon represents a major economic concern for marine industries, e.g. for ships and vessels, oil and wind-turbine sea-platforms, pipelines, water valves and filters, as it limits the performance of devices, materials and underwater structures and increases the costs related to transport delays, hull maintenance and repair, cleaning and desalination units, corrosion and structure break-down. In the last few decades, many efforts have been spent into developing efficient antifouling (AF) surfaces (coatings) combining advances in materials science and recent knowledge of marine chemistry and biology. However, the extensive use of toxic and harmful compounds in the formulations raised increasing health and environmental concerns leading to stricter regulations which pushed marine industries to search for new AF strategies. This review presents the recent research progress made in green strategies for AF coatings using non-toxic, non-biocide-release based principles for marine applications. The two main approaches, detachment of biofoulants or preventing biofoulants attachment, are reviewed in detail and new promising routes based on amphiphilic, (super)hydrophilic, and topographic (structured) surfaces are highlighted. The chemical and physical aspects of the AF mechanisms behind the AF strategies reviewed are emphasized, with special attention to the early stages of biofoulant adhesion, keeping the focus on the materials' molecular structure and properties which allow obtaining the final desired antifouling behaviour.

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# 1. Marine biofouling

Marine biofouling can be defined as the undesirable accumulation of microorganisms, algae and animals on artificial surfaces immersed in seawater. The fouling process starts from the moment the surface is immersed in water and takes place in three main stages:<sup>1</sup> formation of a conditioning film, microfouling and macrofouling (Fig. 1). The conditioning film, comprised of organic molecules (*e.g.* proteins) attached to the surface, forms within the first minutes and sets the scene for further attachments. In the next hours bacteria settle in, in two phases: first, by an instantaneous ( $\sim 1$  minute) and reversible attachment *via* hydrodynamic and electrostatic interactions and second, *via* an irreversible attachment which occurs in the time scale of a few hours and involves covalent bonding between the bacteria and the substrate.<sup>1,2</sup>

The combination of the conditioning film and the slime of living and dead bacteria cells generates the first stage of microfouling, so-called the primary film. Further on, diatoms colonies, macroalgae and protozoa spores settlement increases the microfouling extent within the first 2–3 weeks, originating the secondary film. Finally, this microfouling stimulates the settlement of algae, spores and animal larvae, followed by the attachment of an adult marine organism, which is called macrofouling. Biofouling in marine environments is therefore, a relatively fast, dynamic and cumulative process which spans over several size and time scales and constitutes a complex problem with several forefronts.

Even though the aforementioned timeline is an acceptable conspectus, there is neither a well-defined, nor a universal agreement on the type of species attached and the precise timeline of the settlement. First, the species and their attachment time vary significantly depending on the location, as the environmental conditions such as salinity (pH), temperature, nutrient levels and solar irradiation are different. Even if the location is fixed, the fouling behavior can change with season due to the differences in seawater temperature and day light irradiation.<sup>3</sup> Second, for fixed locations biodiversity is another problem since more than 4000 different fouling species co-exist in the oceans. This significant variation of species, together

with the variation of the local conditions and the different attachment mechanisms involved, makes it impossible to create a single (universal) strategy to avoid marine biofouling.

Independent of these variations, biofouling has been and currently is globally important due to the environmental and significant economic impact; the estimated cost for transport delays, hull repair, cleaning and general maintenance is 150 billion USD per year.<sup>3,4</sup> The most undesirable effect of biofouling is the high frictional resistance for ship hulls due to the roughness generated by the attachment of marine organisms to the surface. Microfoulants cause an increase of 1–2% in the frictional resistance ( $\sim 100\ \mu\text{m}$  range), whereas macrofoulants may cause an increase between 10–40%<sup>4</sup> ( $\sim 1\ \text{mm}$  range), as shown in Fig. 1.

This considerable augmentation of frictional resistance, together with the weight increase by the fouled organisms, leads to significant speed reduction and loss of manoeuvrability of ships and vessels. Therefore, maintaining the required speed and navigation settings requires higher fuel consumption which increases the financial costs and the emission of harmful compounds into the environment. The United States (US) Naval Sea Systems Command estimates that biofouling on ship hulls results on average in a speed loss of approximately 2% and can increase fuel costs from 6 to 45%, depending on the size of the ship.<sup>5</sup> In order to avoid increasing the fuel consumption, the frequency of dry-docking operations for fouling removal and ships hull repairs has to be decreased.<sup>6</sup> This entails personnel resources, machinery efforts, loss of time and the generation of waste potentially harmful for health and environment. Furthermore, the abrasion of the ship hull and its protective coatings generates other problems like discoloration, corrosion and the potential release of its products into the seawater. Finally, fouled ships also consist of a source of cross-bio-contamination since they can carry marine species into environments where they are not naturally present. In summary, marine biofouling leads to high fuel consumption, generation of chemical waste, general increased costs and dispersal of invasive marine species. The search for solutions to these problems stimulated extensive research on coatings which prevent marine fouling, *i.e.*, antifouling (AF) coatings. In this paper we discuss the most recent green strategies for AF coatings using non-toxic, non-biocide-release based approaches for marine applications.

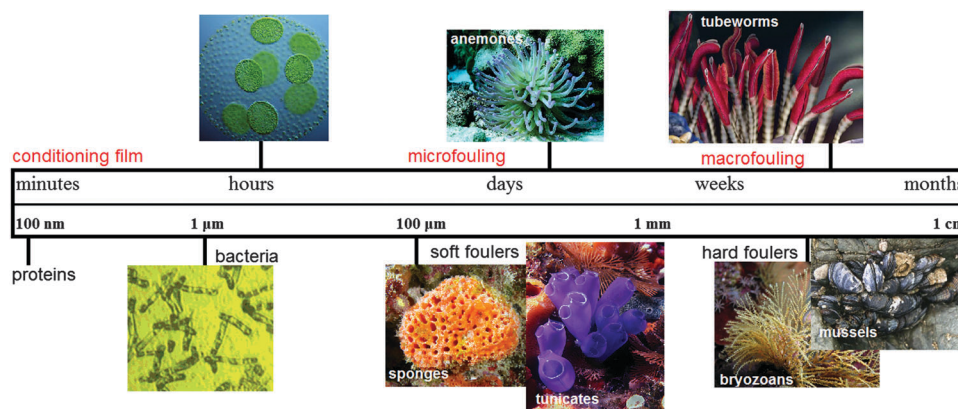


Fig. 1 Different phases of marine biofouling: Time-line evolution and respective roughness increase.



## 2. Evolution of marine coatings towards 'green' antifouling approaches

Coating technology has been applied to ships and vessels since very ancient times, either to protect the wood from shipworms or to prevent fouling. The first materials to be used were natural products like waxes, tar or asphalt. Later on, copper and lead sheathings were introduced by the Phoenicians and Carthaginians. Although lead sheathing could not prevent fouling, it successfully protected the wooden ships from shipworms; hence, it remained the most widespread material for marine coatings nearly until the end of the 17th century. In the meantime different alternatives were tried, such as coatings containing arsenic and sulphur mixed with oils (5th century) or pitch blended with oils, resins and tallow (13–15th century). Lead sheathing was finally abandoned in the late 17th century, as it caused severe corrosion of the iron components of the ships. Contemporaneously, the underwater use of copper was introduced in the beginning of 17th century for wooden ships, and a first patent on antifoulants based on copper was already registered in 1625, by William Beale. However, the reason for the antifouling effect of copper was not known until the beginning of the 19th century, when Sir Humphrey Davy finally discovered that it was in fact due to copper dissolution into seawater.

In the late 18th century, the development of iron ships really boosted the search for new AF coatings. Various materials were investigated, namely non-metallic sheathings such as felt, canvas, rubber, ebonite, cork, paper, glass, enamel, glaze and tiles. The insertion of a layer between iron and copper, to isolate the copper sheathing from the iron hull and eventually prevent corrosion, was also attempted. Cork, rubber, plain brown paper and felt soaked in tar were used for isolation purposes. One of the new approaches tried in the 19th century was wooden sheathing, which was compatible with copper sheathing but not cost-efficient. Since no candidate seemed to provide an ideal replacement to the former metal sheathings, with reduced corrosion effects, the interest in the antifouling (AF) coatings continued growing. In the middle 19th century, paints with dispersed biocides – toxic compounds for the marine biological organisms – became the main focus for marine AF applications. The systems were composed of at least three main elements: antifoulants (*e.g.* copper oxide, arsenic and mercury oxide), solvents (mostly turpentine oil, naphtha and benzene) and binders (*e.g.* linseed oil, shellac varnish and tar). Although the idea of applying slightly soluble coatings containing toxic materials over a varnish primer seemed to be reasonable, the abrasion and uncontrollable dissolution rate impeded these systems to be successful. Following up, two types of paints were concurrently developed to solve these problems: the 'Italian Moravian', a mixture of rosin (a natural resin extracted from plants) and a copper compound, and the 'hot-plastic paint' composed of copper sulphate with a metallic soap composition. These AF paints still needed, however, to be applied over shellac or varnish primer to prevent corrosion. These systems were widespread in the late 19th century, but they were too expensive and had short life span. Several improved versions were developed

in the early 20th century by varying the antifoulants, the solvents or inserting other biocide-additives. Further improvements were able to increase the lifetime of these coatings up to 18 months. Advances on the application process were also achieved and the replacement of the increasingly expensive shellac by rosin reduced significantly the costs.

The real revolution in AF systems started, however, in the 1940s when new synthetic petroleum-based resins were developed, yielding polymer-based coatings with improved mechanical properties. Concurrently, the growing safety and health concerns prompted the use of airless spraying techniques and banned the use of organo-mercury and organo-arsenic compounds. In the mid 1950s, tributyltin (TBT) moieties were first introduced as antifouling agents. The use of triorganotin derivatives increased in a short time due their wide-range activity, absence of corrosion effects and of colour. Also, since a change of the anion seems to have no significant effect on the biological activity of triorganotin compounds, variation of different parameters such as solubility and leaching, made variations of the formulations possible. Taken all together, these properties seemed to be the answer to a number of problems of the previous AF systems. In the late 80s, environmental concerns with TBT started to be raised; oysters showed a significant shell-thickening, some marine organisms became locally extinct (*e.g.* *Nucella*) due to an inability of reproduction<sup>7</sup> and bioaccumulation of tin was detected for fish, seals and even ducks.<sup>5,6</sup> TBT-based AF coatings on ships and vessels were then restricted in most European countries and finally banned worldwide in 2008, as a follow up from an International Convention which was held in 2001.<sup>8</sup>

With new and strict environmental and health restrictions imposed to materials for marine applications, it became imperative to find alternative materials and strategies for AF coatings. The current research on this subject may be divided in two main approaches: biocide-release and non-biocide-release based AF coatings (Fig. 2).

Biocide-release based AF coatings apply the same principle as the TBT-based systems, but with non-toxic components. These coatings are based on the dispersion of additives in different types of polymeric binders which release the pigments into the seawater over time. Depending on the release mechanism, it is possible to differentiate the release based AF coatings into two main categories, insoluble or soluble matrix coatings (Fig. 2a and c, respectively).

Insoluble matrix coatings – often also referred to as contact leaching – contain AF pigments embedded in a polymer matrix that does not dissolve, polish or erode after immersion in water (Fig. 2a). Typically they are mechanically robust, not susceptible to cracking and generally resistant to atmospheric exposure in non-aqueous environments (*i.e.*, stable to oxidation and photo-degradation) because a water-insoluble matrix is used. However, after a certain service time, the pigments dispersed in the matrix have to diffuse through such a thick leached layer that the rate of release becomes lower than the minimum value required to prevent fouling, which causes short lifetimes of around 12 to 18 months. Due to this short lifetime, insoluble matrix coatings are currently less commonly used.



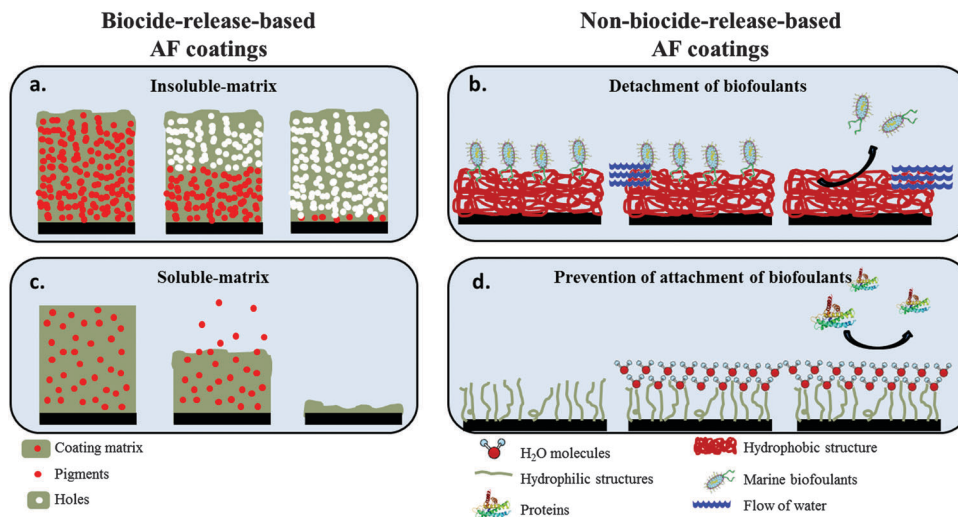


Fig. 2 Schematic of marine AF coating approaches: (a and c) Biocide-release based strategies; (b and d) non-biocide-release based strategies.

The soluble matrix coatings (Fig. 2c) typically contain high proportions of rosin with a binder incorporated which can be dissolved in seawater.<sup>9,10</sup> More recently, tin-free organically synthesized resins, commonly addressed as controlled depletion paints (CDPs), also started to be used. Other independent categories, such as self-polishing copolymers (SPC),<sup>9</sup> have been proposed as well. In both cases, the balance between good AF characteristics (high resin content) and good mechanical properties (higher co-binder and plasticizer content) is important. As this balance is achieved, these paints are less expensive as compared to insoluble matrix coatings, have a good roughness control and have a lifetime longer than 3 years, and therefore nowadays they are preferred. For further details of the biocide-release based AF coatings approaches, we refer the reader to several other reviews available.<sup>11</sup>

The current review focuses on non-biocide-release based AF coatings approaches which are highly preferred since they are the greener alternatives, compliant with the current environmental and health regulations. Within the non-biocide-release approach there are two main strategies based on their working principle: 'detachment of settled biofoulants' by the water flow upon ship navigation (Fig. 2b) and 'prevention of attachment' of biofoulants (Fig. 2d). In the next section the important principles to be taken in consideration to design non-biocide-release AF approaches for polymer coatings will be discussed in detail.

### 3. Principles for preventing biofoulant adhesion or promoting biofoulant detachment

The non-biocide-release based AF coatings discussed here can be divided into two main strategies according to their action mechanism: 'detachment of biofoulants' and 'prevention of attachment' of biofoulants (Fig. 2b and d, respectively). In order to apprehend the differences between these strategies, two

important concepts should be described, settlement and adhesion strength. Settlement is defined as the irreversible attachment of biofoulants at the surface, followed by the secretion of an adhesive and consequent loss of motility.<sup>12</sup> In relation to settlement, adhesion strength refers to the ease with which settled biofoulants are removed from a surface when exposed to a hydrodynamic shear stress. The strategy of 'prevention of attachment' aims to avoid settlement, while the 'detachment of biofoulants' seeks to reduce the adhesion strength as much as possible for an efficient removal of the settled organisms. To design such AF strategies it is essential to have fundamental knowledge on the phases of the fouling formation and on the theoretical and practical aspects which lead to adhesion of biological organisms on surfaces. The different phases of biofouling formation were investigated from different technological perspectives, namely biological<sup>13</sup> and chemical-physical<sup>14,15</sup> which are described in detail in several other reviews.<sup>8,11,16,17</sup> According to Delauney *et al.*<sup>18</sup> five main phases are involved in the biofouling process:

- (1) Adsorption of organic and inorganic macromolecules (mostly proteins) immediately after immersion, forming the conditioning film;
- (2) Transport of microbial cells to the surface and immobilization of bacteria;
- (3) Bacterial attachment to the substrate consolidated through extracellular polymer production (secretion of a natural adhesive), forming a microbial film on the surface;
- (4) Development of a more complex community of multicellular species, microalgae, debris, sediments, *etc.* on the surface;
- (5) Attachment of larger marine invertebrates such as barnacles, mussels and macro-algae.

The biofouling phases and adhesion mechanisms may differ for different marine species and there is no universal consensus. The debate is still ongoing – some macro-organisms may not need the presence of the conditioning film to start the settlement process, or the five stages can actually occur in parallel.



Nevertheless, some principles for antifouling based on these five main phases have been established. One of the most critical points is to avoid the formation of a conditioning film, *i.e.*, to prevent the adhesion of proteins which will trigger the settlement process. The ‘prevention of attachment’ strategies focus on this principle (Fig. 2c). On the other hand, the focus of the ‘detachment of biofoulants’ strategies is on the release of the settled biofoulants before the secretion and differentiation of the natural adhesives takes place, *i.e.*, to keep the adhesion strength at a minimum value (Fig. 2d).

The adhesion of marine organisms has been reported to be strongly related to the surface energy of materials. A correlation between relative adhesion of biofouling organisms and the critical surface tension of the substrate  $\gamma_c$  – numerically equivalent to the surface tension of a liquid  $\gamma_L$  which perfectly wets the surface, *i.e.*, a liquid with  $\theta = 0^\circ$  – was proposed by Baier.<sup>19</sup>

Generally, the Baier curve,<sup>19</sup> displaying the degree of biological fouling retention  $D$  as a function of the critical surface tension  $\gamma_c$  (Fig. 3), exhibits a minimum in  $D$  for  $\gamma_c$  in the range of 20 to 30  $\text{mJ m}^{-2}$ . It also possibly shows a maximum at about 60  $\text{mJ m}^{-2}$ ,<sup>19–22</sup> † reproduced without comment by others.<sup>16</sup> Other researchers<sup>24–27</sup> have confirmed the minimum but do not show the maximum, nor comment on it. Still others find a response to surface energy opposite of that predicted by the Baier curve, *i.e.*, attachment density increased with decreasing surface energy.<sup>28–30</sup> With respect to the Baier curve, another remark must be made. Not all authors use the critical surface tension but some are using just the (regular) surface tension to assess the adhesion. Since critical surface tension and surface tension are not identical, this leads to some confusion.

Meyer *et al.*<sup>31</sup> have tested a set of silicone elastomer coatings designed for use as fouling-release paints in seawater environments. The low critical surface tension data did not discriminate sufficiently the tested coatings, and the authors attributed the organism-specific, improved fouling-release performance of the silicon-based coatings to the surface-active eluates, resulting from hydrolysis and fragmentation of the superficial silicone polymer, which inhibit cross-linking of the natural adhesives of fouling organisms. However, this cannot fully explain the presence of a minimum in the Baier curve.

A possible explanation is given by Dexter<sup>24,32</sup> on the basis of the Girifalco–Good theory.<sup>33</sup> This explanation critically depends on the balance between the interaction factors for (sea)water–substrate and fouling agent–substrate rendering a minimum in  $\gamma_c$  of about 10  $\text{mJ m}^{-2}$ . An alternative explanation is provided by Schrader<sup>34</sup> on the basis of Fowkes theory.<sup>35</sup> Here the explanation is that, at the minimum, the dispersion forces of liquid and substrate are similar, while both excess dispersion interaction of liquid or substrate results in an increase of overall interaction. This minimum interaction is approximately equal to the dispersive component of the surface tension of liquid water,  $\gamma_L^D$ , which is about 22  $\text{mN m}^{-1}$ , as estimated

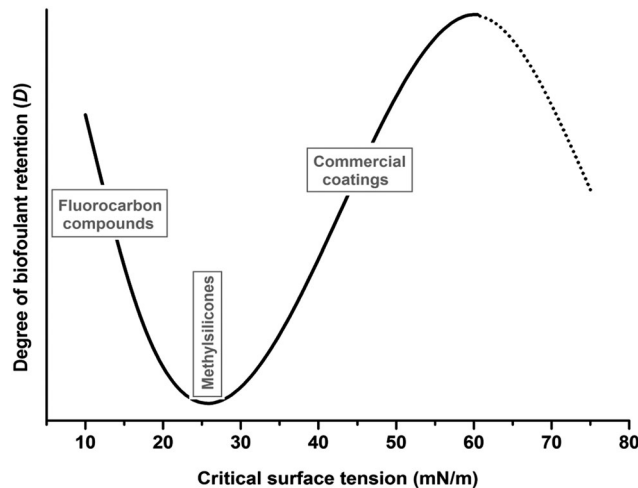


Fig. 3 The Baier curve demonstrating the relative amount of biofouling versus the critical surface tension of various chemical substrates. Adapted from ref. 19.

by Zisman.<sup>36</sup> In aqueous systems, water must rewet the surface when proteins and cells are removed, and for surfaces with a surface energy of 22  $\text{mN m}^{-1}$  the ‘thermodynamic cost’ for water is minimized. This means that for surfaces having this minimum critical surface tension, the effort to detach the settled biofoulants is minimal since we are at the minimum of adhesion strength. While Dexter’s<sup>24</sup> explanation has been generally ignored, Schrader accepts that the critical surface tension represents the surface energy. This is, however, true in the presence of dispersive interactions only. Recently more or less straightforward applications of the van Oss–Good–Chaudry theory<sup>37</sup> have been used as well<sup>38–40</sup> but without referring to earlier explanations. However, these theories of components-of-surface-tension have been seriously criticized by Neumann *et al.*,<sup>41,42</sup> summarized by ‘for a given solid, the contact angle depends only on the liquid surface tension, not directly on the intermolecular forces which give rise to these surface tensions’. These authors showed that the components-of-surface-tension approach can lead to unexpected classifications (*e.g.*, methanol being classified non-apolar‡) and claim that the information on intermolecular interactions that can be obtained from this approach, determinant for adhesion, is rather meager. However, in defense to the surface-tension-components approach one should refer to Lyklema<sup>43</sup> who provides arguments for the validity of this approach if exercised with sufficient care. Also DLVO theory<sup>44</sup> has been used to explain biofouling phenomena, without comparing to other approaches. However, since bacteria cannot be entirely represented as hard spheres, one might expect a further influence of flexibility upon the adhesion behavior. For a brief revision of each of theories involved, which falls out of the scope of the current review, we refer to Hiemenz *et al.*<sup>45</sup>

Altogether, this boils down to that the Baier curve minimum is reasonably well established experimentally but that an unequivocal explanation for this minimum is absent while an

† The existence of the maximum is much less supported than that of the minimum, although high energy surfaces such as that of Pyrex glass can show a considerable reduced interaction, see *e.g.* ref. 23.

‡ See page 239 of ref. 41.



explanation for the (possibly present) maximum has not been given. Hence, if we want to design AF coatings *via* the 'detachment of biofoulants' strategy (Fig. 2b), we should seek for surfaces within this range of critical surface energy values, typically hydrophobic materials such as fluorocarbons, hydrocarbons and poly(dimethylsiloxanes) (PDMS).

For surfaces with much higher critical surface tension, some other AF principle can be explored. These surfaces typically have a very strong hydrophilic character and retain a permanent hydration layer. The attachment of biofoulants to the surface is therefore thermodynamically unfavourable as it would require dehydration and confinement of a system which previously had high conformational entropy. Hence, to design coatings *via* the 'prevention of attachment' strategy (Fig. 2d) it is preferred to use materials which are in the maximum critical surface tension range of the Baier curve. Most of the current commercial coatings use materials which fall within this description, amongst which poly(ethylene glycol) (PEG) is the most common.

Several other important aspects related to the materials characteristics that can be used to optimize the AF strategies play a role in the biofouling process. The surface chemical and physical properties, the surface and bulk mechanical and structural properties (elastic modulus, coating thickness, *etc.*) and the topography (*i.e.* 'physical' constraints) are equally important parameters which will also determine the AF character and ultimately the life-span of the material as well. These aspects are discussed in detail in the following sections, according to their relevance to each of the individual non-biocide-release based AF strategies.

## 4. Non-toxic, non-biocide-release antifouling coatings

The main idea of non-toxic, non-biocide-release based approaches for AF coatings is to provide moieties with the desired functionality at the surface, considering the aforementioned principles of adhesion. The two main strategies within this approach are (i) 'detachment of biofoulants' mostly from 'hydrophobic surfaces' using the hydrodynamic shear flow caused by the movement of the ship (Fig. 2b) and (ii) 'preventing the attachment' of biofoulants by applying a 'hydrophilic coating' which retains a hydration layer (Fig. 2d). In the following sections we describe the most recent works reported for both strategies and the principles behind it.

### 4.1. Detachment of biofoulants

Coatings applying the 'detachment of biofoulants' strategy started to be explored within the same time-span as self-polishing copolymers (SPC); however, the efficiency and economic benefits of TBT-based containing coatings delayed the improvements in this field. After the prohibition of organotin derivatives, research on the 'detachment of biofoulants' coatings was accelerated. The main objective for these AF coatings is to create only weak connection points between the surface and the biofoulant, so that it will easily fail due to the stress caused by the weight of

fouling or the hydrodynamic flow caused by the ship's navigation. As discussed before, a minimum of adhesion strength is targeted and detachment should occur before the settlement is consolidated by the organic adhesives segregated by the bio-organisms, *e.g.* bacteria and microbes, leading to the formation of a stable biological film.

Brady *et al.*<sup>46</sup> investigated the structural and mechanical requirements for polymers to be resistant towards strong chemical bonds with bio-organisms.

In what concerns the structural requirements, four principal adhesion mechanisms can be considered to avoid the adsorption or wetting of the surface by organic adhesives. A first mechanism deals with the chemical interactions. Although dispersive interactions cannot be avoided, dipolar, ionic and covalent bonds can be excluded by using nonpolar and nonreactive moieties in the surface. Preferably, these moieties should be conformationally mobile. A second possible mechanism is based on electrostatic interactions. These can be eliminated by avoiding the presence of heteroatoms, polar and ionic groups at the surface. A third adhesion mechanism occurs by mechanical interlocking. Even if chemical bonding and electrostatic interactions are hindered, biofoulants may still be able to penetrate into surface cavities and adhere to the inner surfaces. Hence, rough and porous surfaces should be avoided. Finally, the fourth mechanism concerns adhesion on unstable surfaces which can rapidly rearrange in the presence of the biological adhesives, creating temporary microvoids and enabling inward diffusion of the biofoulants. In this case, rearrangements and mobility of chemical groups should be prevented by using closely-packed functional groups and cross-linking them in order to hinder diffusion. Ideally, these four requirements should be combined in a single material-surface. Although the fulfilment of these structural requirements will reduce the adhesion strength, it will still not completely avoid adhesion.<sup>46</sup>

The mechanical properties of the coatings should also be considered when targeting weak adhesion facilitating the detachment of biofoulants. In fact, Brady<sup>46</sup> reported that in addition to the critical surface energy of the substrates, as previously reported by Baier<sup>19,24</sup> and others, two additional properties are important in breaking adhesive joints: the elastic modulus and thickness of the coatings. Brady *et al.*<sup>46</sup> worked with several polymers (as listed in Table 1) and came to the conclusion that there is a linear relationship between relative adhesion and the square root of the product of critical surface free energy and elastic modulus of the polymers (Fig. 4). These results can also be found in the revision by Townsin *et al.*<sup>47</sup>

Although several studies have been conducted to interpret the materials properties necessary to design an efficient AF coating, the most acknowledged aspects were summarized by Brady.<sup>48</sup> The polymers should have:

- A flexible, linear backbone which introduces no undesirable interactions;
- A sufficient number of surface-active groups which are free to move to the surface and impart a surface energy in the desired range;
- A low elastic modulus;



Table 1 Physical properties of polymers investigated for AF surfaces. Adapted from ref. 46

#	Polymer	Relative adhesion	Critical surface free energy ( $\gamma_c$ ) mN m <sup>-1</sup>	Elastic modulus ( $E$ ) GPa
1	Poly(dimethylsiloxane)	6	23.0	0.002
2	Poly(hexafluoropropylene)	21	16.2	0.5
3	Poly(tetrafluoroethylene)	16	18.6	0.5
4	Poly(vinylidene fluoride)	18	25.0	1.2
5	Poly(ethylene)	30	33.7	2.1
6	Poly(methyl methacrylate)	48	41.2	2.8
7	Poly(styrene)	40	40.0	2.9
8	Nylon 66	52	45.9	3.1

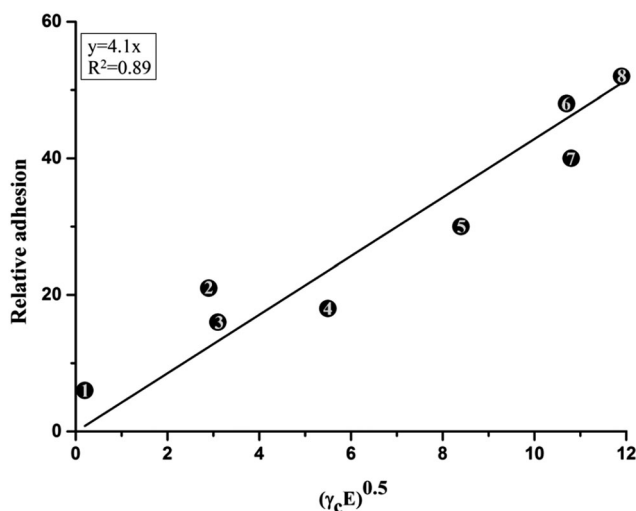


Fig. 4 The relationship between relative adhesion and the square root of the product of critical surface energy  $\gamma_c$  and  $E$  modulus for polymers to be used in AF surfaces. Redrawn from data in ref. 46. The inset numbers correspond to the polymers listed in Table 1.

- A surface which is smooth at the molecular level to avoid infiltration of a biological adhesive leading to mechanical interlocking;
- High molecular mobility in the backbone and surface-active side chains;
- A thickness which should be optimized with respect to the fracture mechanics of the interface;
- Molecules which combine all of the above factors and are physically and chemically stable for prolonged periods in the marine environment.

Considering the aspects summarized above and the properties described in Table 1, poly(siloxanes) and fluoropolymers are naturally the best candidates to obtain low adhesion strength and good mechanical properties, hence to be used in 'prevention of attachment' AF strategies (Fig. 2d). The following sections are therefore dedicated to the recent results reported for these polymer categories and their use in marine AF applications.

**4.1.1. Silicone-based materials.** Poly(dimethylsiloxane) (PDMS) based coatings are largely used for AF applications mainly due to their low critical surface energy, nearly absent micro-roughness and low glass transition temperature  $T_g$ . These properties arise from a particular chemical structure which combines a flexible

backbone based on Si–O–Si bonds, 'long' and 'straight' (1.65 Å and 159°, respectively) as compared with hydrocarbon C–C–C bonds (1.54 Å and 112°, respectively),<sup>48</sup> and the low surface energy side groups (typically, –CH<sub>3</sub>). Additionally, the partially ionic (non-directional) nature of the Si–O bonds and the alternating divalent groups in the backbone, result in an increased spacing between the corresponding substituent methyl groups (2.99 Å).<sup>48</sup> Hence, PDMS surfaces show a conformational mobility which allows a close packing of the pendant methyl groups at the interface but are also highly mobile, which reduces the adhesion of marine organisms.<sup>48</sup>

Many types of silicone compounds and formulations are currently commercially available, as comprehensively reviewed by Lejars *et al.*<sup>49</sup> The downside of poly(siloxane)-based coatings has been all along their poor adhesion and mechanical properties, which result in easy damaging during routine handling and navigation of ships and vessels, thereby reducing its performance and service lifetime. To improve the adhesion and durability (*i.e.* mechanical properties) of silicone-based coatings while retaining their exceptional AF properties, many synthetic pathways have been explored, namely, the application of primers to improve adhesion, the incorporation of inorganic fillers and additional antifoulants and the introduction of poly(urethane) (PU) and/or epoxy segments.<sup>49</sup>

Like the majority of coatings, PDMS based formulations have been prepared with fillers, typically inorganic compounds like silica (SiO<sub>2</sub>), calcium carbonate (CaCO<sub>3</sub>), titanium dioxide (TiO<sub>2</sub>), iron oxides or carbon black. These fillers can improve the mechanical properties by providing reinforcement to the elastomeric material. However, most of them also constitute a weak point as they reduce the intrinsic hydrophobicity of the PDMS matrix, leading to biofouling which grows proportionally to the amount of fillers in the formulation.<sup>50,51</sup> Some interesting results have been achieved by incorporating natural sepiolite nanofibers (Mg<sub>4</sub>Si<sub>6</sub>O<sub>15</sub>(OH)<sub>2</sub>·6H<sub>2</sub>O) in a commercial formulation (Sylgard 184), which increased the tensile modulus of the material. The AF properties of the reinforced coating are, however, only efficient towards detachment of specific bio-organisms, *e.g.* towards *Ulva* zoospores, and less active than the unloaded PDMS formulation towards such as *A. amphirite* larvae.<sup>49</sup> Low concentration multi-wall carbon nanotube (MWCNT) dispersions were also used. Although they did not improve the mechanical properties of the PDMS bulk material, the initial hydrophobicity was changed.<sup>52</sup> Surprisingly, an addition of



only 0.05% MWCNTs to unfilled PDMS about halved the critical removal stress of adult barnacles for this modified elastomer,<sup>52</sup> which was explained based on CH- $\pi$  electronic interactions involving methyl groups of the PDMS, that render the PDMS chains less mobile and reduce the extent of surface reorganization. Although raw materials availability and production costs may be crucial when aiming for large-scale industrial production of AF coatings containing these speciality fillers, the use of these and other potential nanofillers with intrinsic hydrophobicity, such as SWCNT, modified graphite or even graphene,<sup>53</sup> open new possibilities for extremely efficient and durable silicon-based reinforced AF coatings aiming to employ the 'detachment of biofoulants' strategy.

Another strategy that has been intensively pursued to improve the AF efficiency of silicone-based coatings is the impregnation with fluid low surface energy additives, *i.e.* oils, which migrate to the air interface and eventually leach, thereby creating weak surface layers that contribute to the detachment of the biofouling.<sup>54–56</sup> However, in many cases these additives limit even further the service lifetime of the materials, as they weaken the mechanical properties of the coatings by making them more brittle, leading to easy cracking and fouling, as the additives deplete.<sup>57</sup> Additionally, leaching of silicone-based fluids may not be an elegant, and moreover environmentally questionable, way of providing AF protection in marine environments.

The design of cross-linked systems based on urethane and epoxy chemistries with a self-stratifying ability, constitutes one of the most promising approaches to develop AF silicon-based coatings with improved mechanical and adhesion properties. In self-stratifying coatings a low surface energy component segregates to the air interface during film formation before it becomes a constituent part of the polymer network (Fig. 5).

Self-stratified cross-linked poly(siloxane)-poly(urethane) (PU) coatings were extensively investigated by Webster and co-workers.<sup>58–63</sup> The first systems reported by Majundar and Webster<sup>58</sup> consisted of cross-linked PDMS-PU coatings showing microtopographical surface domains of PDMS on a PU matrix background, spontaneously formed by phase separation during film formation. These coatings showed a significant decrease of the adhesive strength of *A. amphitrite* barnacles<sup>59</sup> and prompted further studies on a variety of PDMS-PU systems. The best candidates for marine applications were screened by a combinatorial high-throughput approach that investigated the synthesis, formulation and characterization parameters.<sup>61</sup> For a large set of coatings containing a PDMS backbone and poly(caprolactone) (PCL) blocks (Fig. 6a), Ekin *et al.*<sup>61</sup> reported that the surface energy decreased as the percentage of siloxane

polymer was increased (from  $\sim 21$  to  $\sim 16$  mN m<sup>-1</sup>); consequently the pseudo-barnacle adhesion also increased. These results are in accordance with the minimum of the Baier curve.<sup>19</sup> The increase of the  $M_w$  of the PDMS component also reduces the surface energy, rendering the coatings more hydrophobic. This lowered hydrophobicity was maintained even after 30 days of immersion in water.<sup>61</sup> When di-functional siloxanes were used, the addition of PCL blocks to the siloxane-based backbone increased the adhesion of pseudo-barnacles. Interestingly, the adhesion decreases with tetra-functional siloxane groups.

More recently, Bodkhe *et al.*<sup>63</sup> investigated the effect of formulation variables, such as the nature and amount of solvent (*e.g.* MAK, EEP and butyl acetate), type of polyol and coating application method, on the surface properties of the self-stratified siloxane-PU coatings. Under the optimal conditions, these coatings showed a better biofouling detachment performance when compared to the industrial-reference silicone based coatings, such as Intersleek<sup>®</sup> and Silastic<sup>®</sup> T2.<sup>64</sup> However, the formulation parameters can have a strong influence on the final chemical surface composition, hence, on the fouling detachment ability. For example, the nature and content of solvent have a considerable effect on the solubility and self-stratifying behaviour of the PDMS component. A higher content of a "bad solvent" for PDMS, *e.g.* EEP, favours the H-bonding interaction with the PU and limits the self-stratification. This resulted in an increase of the coatings roughness which lead to a higher adhesion strength, *i.e.* lowered the fouling detachment performance of three of the marine organisms tested, namely macroalgae, bacteria and barnacles.

In order to improve the mechanical and adhesion properties of PDMS, the incorporation of poly(urea), epoxy and oxetane segments into the silicone material was also investigated (Fig. 6). Fang *et al.*<sup>65</sup> prepared coatings with a PDMS polymer containing polyurea linkages (Fig. 6b), consisting of an interconnected network with hydrogen bonded hard domains which resulted in increased mechanical rigidity. The authors showed that by fine-tuning the extent of phase segregation and the size of the micro- and nano-domains, which resulted in completely different topographic features, a good compromise could be obtained between good mechanical properties and AF detachment performance.

Rath *et al.*<sup>66</sup> modified an aliphatic epoxy resin with isocyanate-capped PDMS pre-polymers (Fig. 6c) and prepared coatings by reaction with a polyether diamine (Jeffamine-500) with about 15 to 30 wt% of PDMS content. The silicone-modified epoxy resins showed a bi-phase morphology and improved thermal stability, as compared to the unmodified resins as well as considerably lower surface energy and roughness due to surface enrichment with silicone moieties. The PDMS-epoxy coatings were immersed in seawater and cleaned with running-hose water to evaluate the biofouling detachment performance. The biofoulants grown after 60 days immersion (slime and settlement of barnacles, oysters, polychaetes and ascidines) were much more easily detached from the PDMS-modified coatings than from reference non-modified resins.<sup>67</sup> After 90 days immersion, however, a residual amount of biofoulants was left even after the washing procedure.

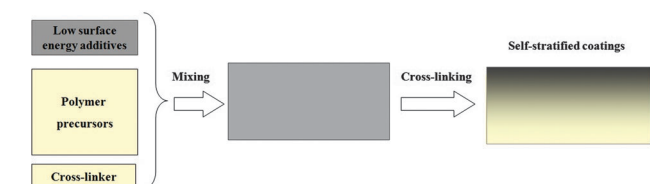


Fig. 5 Schematic of the formation of self-stratifying coatings.



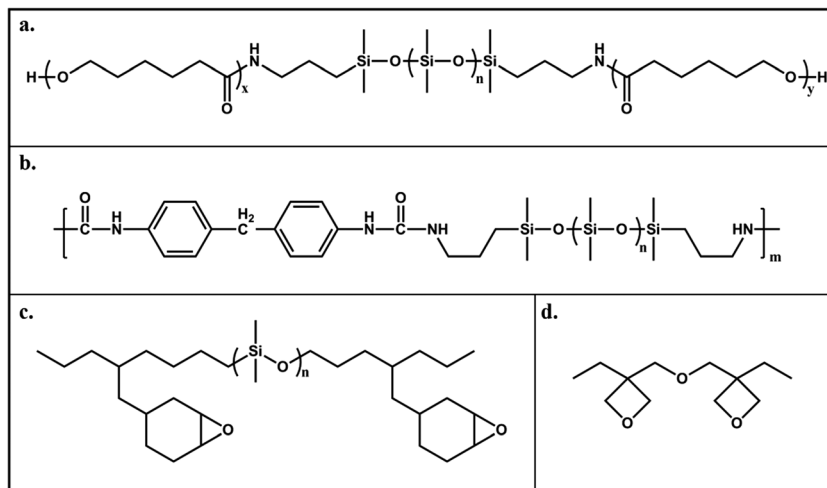


Fig. 6 Examples of typical chemical segments (chemical structures) introduced into PDMS-based formulations or pre-polymers, to improve its mechanical and adhesion properties: (a) Poly(urethane);<sup>61</sup> (b) poly(urea);<sup>65</sup> (c) epoxy;<sup>66</sup> (d) oxetane.<sup>68</sup>

This fact was attributed to the surface reorganization; as water penetrates through the PDMS layer, the hard segments of the ester and urethane moieties migrate to the water interface changing the polymer surface energy and heterogeneity, and consequently the adhesion strength of the bio-organisms increases.<sup>67</sup>

Finally, PDMS-epoxy pre-polymers were toughened by reacting with oxetane units (Fig. 6d) with ultra violet (UV)-initiated cationic photopolymerization.<sup>68</sup> The coatings containing 10 to 20% of oxetane showed a higher tensile modulus than the equivalent PDMS-epoxy resins, retained a low surface energy ( $< 15 \text{ mN m}^{-1}$ ), had a high CA and were stable upon immersion and water-jet washing with no signs of surface rearrangements. Accordingly, their biofoulants detachment performance (for the bacteria *H. pacifica*, alga *Ulva* and pseudo- and live *A. amphitrite* barnacles) was rather good, as compared to commercial silicone formulations.

In addition to the promising results obtained with these materials, UV-cured coatings present advantages such as, fast cure and low volatile organic content (VOC), which are certainly in line with the strict environmental regulations being implemented for marine applications. UV- and LED-photopolymerization technology is experiencing fast developments and adaptations, and it is expected that also in AF marine coating applications, it will become an extremely favorable method as it may result in shorter application times, lower maintenance costs and much lower environmental impact.

Although silicon-based coatings are amongst the most successful AF coatings in the “prevention of attachment” approach, for soft and hard macrofoulants so far, slimes dominated by diatoms are not readily detached from PDMS-based AF surfaces even at high operating speeds, and in this case underwater cleaning is still required. Holland *et al.*<sup>69</sup> showed that three different diatom species adhere strongly, as compared to glass, to a hydrophobic PDMS elastomer surface prepared from a silicone-based commercial formulation (Silastic® T2) resulting in an extensive colonization of the surfaces which were initially designed for easy detachment of biofoulants.

It has been reported that diatoms secrete different types of macromolecules (adhesives), but little is known about the nature of the forces between these adhesives and the surfaces, how they vary between species, and if diatoms can adapt to different surfaces. These facts clearly indicate that an input from biology is necessary if we want to avoid adhesion of specific bio-organisms on synthetic surfaces. However, it seems that the more we know about their complex and adaptable operating modes, the further away is the dream of finding a universal AF material for marine bio-organisms.

As reviewed above, a number of silicone-based systems with improved mechanical and adhesion properties, thus extended durability, have been investigated. However, the majority of these systems rely on the high concentration of silicon-based components in the top layers of the coatings, formed by self-stratification or by phase segregation upon film formation. A final consideration to be made concerns the depletion and leaching of these silicon-based species as the surface wears out, leading to changes in the surface chemical composition (eventually also topography) and lowering of the AF performance. Researchers need to tackle this problem as well, to achieve long durability and high AF performance levels all through the materials life-cycle. One possible solution can be to introduce self-healing strategies in the polymeric materials, such as a self-replenishing principle,<sup>70</sup> which will allow to recover the surface chemical functionality by replenishing the damaged surfaces with new silicon-based species.

**4.1.2. Fluorine-based materials.** Fluoropolymers can be used to form non-porous, low critical surface energy films (in the range of  $10\text{--}20 \text{ mN m}^{-1}$ ) with non-sticking characteristics. This low adhesion on fluoropolymers originates from the exposed  $\text{CF}_3$  moieties at the interface. The critical surface energy depends strongly on the surface chemical groups and decreases in the following order,  $-\text{CH}_2$  ( $36 \text{ mN m}^{-1}$ )  $>$   $-\text{CH}_3$  ( $30 \text{ mN m}^{-1}$ )  $>$   $-\text{CF}_2$  ( $23 \text{ mN m}^{-1}$ )  $>$   $-\text{CF}_3$  ( $15 \text{ mN m}^{-1}$ ).<sup>36</sup> Hence, the assembly of the perfluoroalkyl groups closely packed on the surface and their permanent cross-linking in



this arrangement minimizes the surface energy, the surface molecular diffusion and also possible rearrangements when exposed to marine adhesives. The stiffness added by the fluorine atoms results in limited mobility which hinders the rotation around the backbone bonds, hence, molecular rearrangements at the surface are restricted and this leads to less fouling. A higher critical stress is also needed to make the adhesive–substrate joints fail due to a higher bulk modulus as compared to silicon elastomers. Thus, the biofouling, which does accumulate on the surface, is not easily detached.

Poly(tetrafluoro ethylene) (PTFE) or fluorinated ethylene–propylene copolymers would be the best candidates for AF applications due their very good resistance towards pH, salinity, UV, temperature and organics (solvents and oils) exposure, but their insolubility in common organic solvents raises many limitations with respect to processing and application on coatings. Moreover, it accumulates very rapidly biofouling due to heterogeneities which enclose microcavities where bioadhesives can penetrate and attach *via* mechanical interlocking. PTFE–fluorinated epoxy and poly(urethane) formulations containing PTFE particulates were investigated as AF materials,<sup>71</sup> however, the irregularities on the surface prompted the adhesion of barnacles in time, which strongly attached to the surface due to association of the polar groups of the barnacle adhesives to the highly polar carbon–fluorine bond. Very recently, Aizenberg *et al.*<sup>72</sup> reported a new approach which takes advantage of the porosity of PTFE substrates to design AF surfaces. The slippery liquid-infused porous surfaces (SLIPS) consist of PTFE porous membranes or microstructured fluoro-silanized substrates, show an outstanding AF performance towards common bacteria when tested for a 7-day period in a continuous flow environment, as compared to current state-of-the-art poly(ethylene glycol) (PEG)-modified AF surfaces. This behavior was attributed to the high mobility on the slippery interface, which presents the bacteria with a “smooth and liquid” low energy surface, provided by the infused liquid which is immiscible with the aqueous medium and diffuses out the pores. Although issues with processing PTFE and possible restrictions with respect to the use of free-standing fluorinated-lubricants in marine environments are still a concern, the SLIPS principle remains an interesting approach.

Several other fluorinated polymers have been investigated for marine AF applications and an extensive revision has been provided by Lejars *et al.*<sup>49</sup> Amongst these, the most common are fluorinated (meth)acrylates, perfluoropolyethers (PFPE) and poly(ethylene glycol) (PEG) fluoropolymers. The most recent reports in these categories are briefly described here.

Poly(perfluoro(meth)acrylates) were investigated for AF purposes by Tsibouklis and co-workers.<sup>73–75</sup> The first films were composed from simple perfluorinated poly(methacrylates) with perfluorinated chains of different lengths, and presented low surface energy ( $<10 \text{ mN m}^{-1}$ )<sup>73</sup> and low settlement of bacteria *Pseudomonas*, alga *Ulva*, and barnacle *A. amphitrite*.<sup>74</sup> Analogous polymers with two pendant fluoroalkyl-chains also exhibited a low attachment of biofoulants.<sup>75</sup> Nevertheless, a heavy biofouling (fouling resistance of  $-5\%$ ) was observed on these films after long periods of immersion (over 7 months), which was attributed

to the extensive surface reconstruction *via* reorganization of the surface chemical groups and contributions from the electron-donor (Lewis-base) components.<sup>75</sup> Schmidt *et al.*<sup>76</sup> were able to avoid significantly such surface rearrangements by using a perfluoro(methacrylate)–acrylic acid copolymer cross-linked with a (2-isopropenyl-2-oxazoline)–methacrylate copolymer which also showed a low surface energy ( $12\text{--}17 \text{ mN m}^{-1}$ ) (Fig. 7a). The  $-\text{CF}_3$  moieties assembled at the interface from the tightly packed perfluoroalkyl groups were immobilized due to the high cross-linking density and tend to resist to induced surface reorientation or biofoulants adhesives infiltration, hence biofoulants could be easily detached.

More recently, Gao *et al.*<sup>77</sup> investigated poly(*n*-alkyl methacrylates) end-capped with 2-perfluorooctyl methacrylates (F-MA), exhibiting ordered structures of the fluorinated-chains which results in different  $\text{CF}_3/\text{CF}_2$  ratios at the top-surface (Fig. 7b). The adsorption of proteins (fibrinogen) at the surface decreased linearly with increasing  $\text{CF}_3/\text{CF}_2$  ratio which was also attributed to the very-close packed array of the  $-\text{CF}_3$  groups resulting in very low surface energy, hence an extremely high resistance to protein adhesion. One other way to immobilize the perfluoroalkyl groups is to make use of liquid crystalline alkyl spacers to achieve a better orientation and packing of the fluorinated groups, which can be reached with block copolymers modified with semi-fluorinated side chains linked through an ester<sup>78,79</sup> (Fig. 7c) or ether bond<sup>80</sup> (Fig. 7d).

Finally, perfluoro(polyether) (PFPE) polymers have also been intensively investigated for AF coatings using the ‘detachment of biofoulants’ approach. PFPEs are generally considered to be non-toxic under normal operating conditions and demonstrate a backbone rotation flexibility similar to that of PDMS due to the C–O backbone bonds, while offering the low surface energy and a high chemical resistance typical of fluoropolymers.

DeSimone *et al.*<sup>81</sup> investigated a series of PFPE-based cross-linkable random terpolymers, obtained *via* the combination of three monomers: an alkyl (meth)acrylate, a glycidyl methacrylate and a methacryldiamide perfluoropolyether (PFPE) macromonomer. By varying the alkyl moieties, the  $T_g$  of the materials could be tuned from  $-6^\circ\text{C}$  to  $125^\circ\text{C}$ , which allowed to study independently the effect of modulus and  $T_g$  on the AF performance. The higher the  $T_g$  and the cross-linking of the polymers, the lower the CAH and the extension of surface reconstruction, due to more restricted segmental mobility of the polymer chains, which results in better AF performance. It was also shown that the incorporation of more polar monomers promoted the intermolecular interactions with the aqueous medium, enhancing the driving force for surface segregation of the PFPE domains. The number of spores settled on these cross-linked PFPE-based coatings was less than on PDMS-based standards, and the percentage of removal was greater than for the reference Silastic® T-2 material, showing their high potential for the detachment of biofouling under suitable hydrodynamic flow conditions.

More recently, UV irradiation has been used to prepare cross-linked coatings from fluorinated polyurethanes<sup>82</sup> and PFPE-copolymers.<sup>83</sup> The latter were prepared from di-functional PFPE macromonomers with methacrylate and styrene end-groups



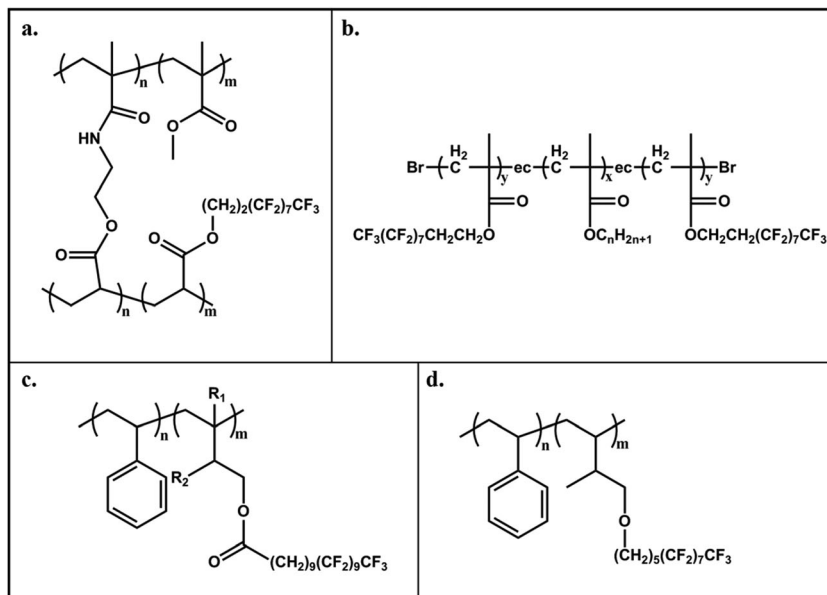


Fig. 7 Examples of perfluoropolymers used for AF surfaces with improved immobilization of the perfluoroalkyl groups, *i.e.* decreased chances of surface reorganization: (a) Perfluoro(methacrylate)-acrylic acid copolymer cross-linked with (2-isopropenyl-2-oxazoline)-methacrylate copolymer;<sup>76</sup> (b) poly(*n*-alkyl methacrylates) end-capped with 2-perfluorooctyl methacrylates<sup>77</sup> and (c) and (d) fluorinated-*block*-copolymers with semi-fluorinated liquid crystalline side-chains connected by an ester<sup>79</sup> or ether bond,<sup>80</sup> respectively.

and exhibited clustered domains of nanometer size of the respective end-groups within the PFPE matrix. By varying the cross-linking density, the Young's modulus of the fully cross-linked PFPE elastomeric film could be tuned from 1.5 to 90 MPa with a critical surface tension of 8.6–16 mN m<sup>-1</sup>. The films with the lowest surface tension, Young's modulus and contact angle hysteresis, showed decreased zoospore settlement and spores removal performance comparable to PDMS elastomers standard materials.

The ease of fabrication *via* the (UV) photo-curing process, combined with the ability to tune the bulk and surface properties, and the inert and non-toxic properties of PFPEs, present these systems as highly promising candidates for future applications on fouling-detachment coatings in marine environments.

**4.1.3. Combined fluorine-silicon based materials.** Within the 'detachment of biofoulants' approach for AF coatings, a remaining category to be mentioned combines the best of "two worlds", *i.e.* the low critical surface tension from silicon-based materials and the chain "rigidity" and "dense-packing-ability" of the fluorinated compounds, which reduces surface rearrangement events.

Perfluoro(polyether) (PFPE) unreactive fluorinated additives or liquid oils (Fomblin Y, Fig. 8a and Fomblin Z, Fig. 8b) were added to standard condensation-curable PDMS formulations, in percentages varying from 2 to 10% wt, to enhance the AF properties and stability in water. After 3 months of immersion, the coatings were significantly less fouled than the reference

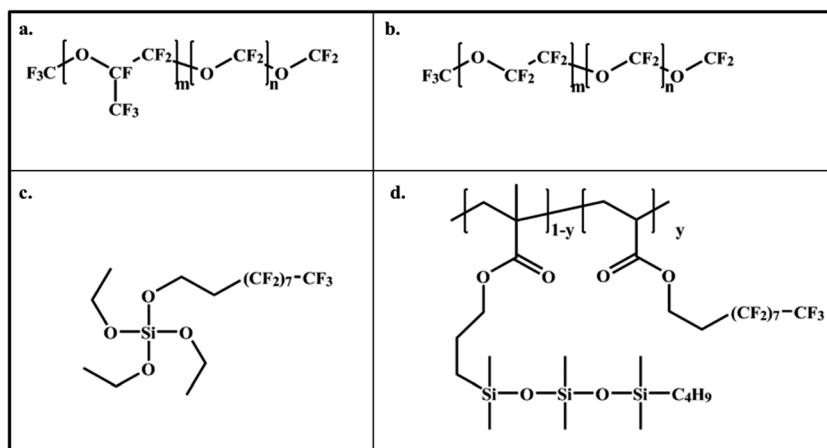


Fig. 8 Examples of silicone-fluorine components for AF coatings: (a) Trifluoromethyl-branched fluorine end-capped PFPE (Fomblin Y); (b) linear diorgano end-capped PFPE (Fomblin Z) (c) (heptadecafluoro-1,1,2,2-tetrahydrodecyl)triethoxysilane (fluorinated-TEOS) and (d) copolymer of perfluorinated- and polysiloxane-modified acrylates.<sup>84</sup>



silicone formulation. Blends of fluorinated-siloxane acrylic copolymers with a PDMS matrix were also investigated for AF purposes.<sup>84</sup> The fluorinated copolymers (Fig. 8d) naturally segregated towards the air-coating interface reducing the surface energy of the system without changing significantly its mechanical properties. The copolymers were able to saturate the surface of PDMS blends even at very low loading (0.3 wt%) and showed a peculiar surface composition with two distinct species: (i) hydrocarbon ( $-\text{CH}_3$ ) terminals of siloxane chains and (ii) and fluorinated side chains. This composition was explained by the presence of anchoring loops of the flexible and mobile siloxane chains (exposing the alkyl groups at the interface) and the co-existence of a liquid-crystal like rigid structure of the perfluorinated chain-segments at the top ( $\sim 5$  nm) surface.<sup>84</sup> This combination resulted in blended-films with extremely good AF properties with a higher performance for the detachment of *Uva* sporelings and barnacles than pure PDMS, and reduced settlement of cyprid barnacles.<sup>85</sup>

In addition to additives and blends, silicon formulations with reactive fluorinated compounds have also been investigated. A fluorinated cross-linker with reactive siloxane-end groups (fluorinated-TEOS) (Fig. 8c) was used to cure a  $\alpha,\omega$ -dihydroxy PDMS formulation.<sup>86</sup> The surface of the fluorinated coatings was formed by phase-segregated domains with a fluorinated-siliceous composition that prevented surface reconstruction, water penetration and hydrolysis of the films when immersed in water.<sup>86,87</sup> In comparison, the smooth reference PDMS films cured by the typical tetraethylorthosilicate (TEOS) cross-linker showed an increased roughness with cracks and erosion pits on the surface after immersion in water.

In a different approach, Thünemann *et al.*<sup>88</sup> used a commercial amino-functionalized polysiloxane mixed with perfluorinated dodecanoic acid which forms complexes with the amine moieties. The rubber-like materials exhibited high repellence to water and oil, due to their extremely low critical surface tension (as low as  $6 \text{ mN m}^{-1}$ ), attributed to the enrichment of the surfaces with  $-\text{CF}_3$  groups. Furthermore, the cross-linking of the formulation is accelerated in the presence of the complex without the need of an additional catalyst. These materials have been suggested as potential candidates for non-toxic AF coatings.

More recently, Martinelli and co-workers<sup>89–91</sup> reported a series of amphiphilic copolymers which were tested for their AF properties, either as single components or blended with commercial silicon formulations. Block copolymers of polystyrene-*b*-polystyrene carrying an amphiphilic polyoxyethylene-polytetrafluoroethylene chain side-group<sup>89</sup> and poly(dimethylsiloxane)-*b*-poly(ethyleneglycol)-fluoroalkyl modified polystyrene<sup>90</sup> were prepared with various degrees of polymerization by atom transfer radical polymerization (ATRP). Random copolymers of poly(ethyleneglycol)-fluoroalkylacrylate-*r*-polysiloxane methacrylate were synthesized by radical polymerization.<sup>92</sup> Amphiphilic systems with block-copolymers rely on the intrinsic tendency of the block to phase-segregate in a controlled morphology at the film surface and typically end up with a predominance of one of the blocks at the top surface, while with random-copolymers the surface may be composed by chemical components of both polymers.

An example of the latter case was reported by Martinelli *et al.*<sup>92</sup> The surface segregation of the fluoroalkyl segments of the amphiphilic copolymers was responsible for the enrichment in fluorine within 10 nm of the coating surface, but also that poly(ethylene glycol) (PEG) segments were concentrated at the polymer air interface.<sup>92</sup>

The presence of different chemistries at the top surface of coatings, *i.e.*, molecular heterogeneity, may be played as an advantage to combine the two approaches “detachment of the biofoulants” and “prevention of attachment” and design new high performance AF surfaces.<sup>93</sup> Following this idea, amphiphilic materials and PEG-modified compounds will be further discussed in the following section.

## 4.2. Prevention of attachment of biofoulants

Although polysiloxanes and fluoropolymers provide good biofoulant detachment properties and are widely used for marine applications, their disadvantages lead researchers to develop other strategies to prevent the adhesion of marine biofoulants. The most important challenge for the antifouling technology is the diversity of adhesives secreted by sea organisms, as they adhere to the surface with different mechanisms, thus requiring different solutions. However, fouling invariably starts with the formation of the conditioning film – adhesion of proteins –, as described in the introductory section. Surface coverage by both macro- and micro-biofoulants depends on the initial ability of single cells to settle and adhere to the surface.<sup>29</sup> Hence, developing marine AF coatings applicable to all kinds of adhesive organisms is theoretically possible by preventing adhesion of proteins. Within this line of thought, several AF strategies have been reported following the ‘prevention of attachment’ of biofoulants approach (Fig. 2d). Hydrophilic compounds have been widely used for this purpose, not only in marine applications but also, and even more commonly, in the biomedical and biotechnology fields. More recently, the use of amphiphilic compounds has also been reported and new strategies based on zwitterionic<sup>94</sup> and self-assembled monolayers have been explored. In the following sections, the most recent results reported for AF coatings using these materials are discussed.

**4.2.1. PEG-based materials.** To date, one of the most common approaches to prevent proteins adhesion and biofoulants settlement *via* hydrophilic interactions has been the use of poly(ethylene glycol) (PEG) chains attached on chemically modified surfaces.<sup>95–99</sup> Despite a relatively high surface energy ( $> 43 \text{ mN m}^{-1}$ ), PEG-coated surfaces are known for their resistance to protein adsorption and cell adhesion, and they have also demonstrated resistance to settlement of marine biofouling organisms.<sup>78</sup> Although the reasons for the resistance to proteins adhesion are not well-proven, there are widely recognized theoretical explanations for this phenomenon. One of these explanations is based on the importance of the interfacial energy between a surface and water. As discussed in the previous section, one of the most commonly used polymers in the “detachment of biofoulants” approach is poly(dimethylsiloxane) (PDMS). PDMS has an interfacial energy with water of about  $52 \text{ mN m}^{-1}$ ,<sup>100</sup> which is rather high and therefore favors adsorption on the



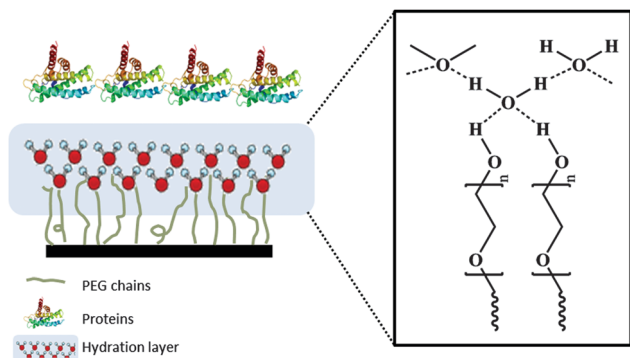


Fig. 9 Schematic representation of preventing the attachment of proteins via the hydration layer derived on PEG chains.

PDMS surface to minimize the interfacial energy. However, the adsorption on hydrophilic surfaces is not easily realized as the interfacial energy with water is quite low. For example, the water interfacial energy of PEG is below  $5 \text{ mN m}^{-1}$ .<sup>101</sup>

The second and most accepted explanation for low proteins adhesion is based on the study performed by Jeon *et al.*<sup>95</sup> who used hydrophobic surfaces functionalized with PEG-chains to understand the interactions between these surface and proteins. The proteins were modeled as a block of infinite length placed parallel to the surface – perpendicular to the PEG chains (Fig. 9). In this study, two main reasons were put forward to explain the low adhesion of proteins to the PEG-functionalized surface. The first is the repulsive elastic force resulting from the compression of the PEG chains when a protein moves towards the surface while the second is related to the thermodynamically osmotic stress developed, which makes the removal of the water molecules from the strongly hydrated PEG chains very unfavorable.

Heuberger *et al.*<sup>102</sup> supported this explanation by showing that the water content inside surface-grafted PEG chains is higher than 80 vol%. This large amount of water leads to a high degree of organization in the PEG–water complex, which is energetically and kinetically unfavorable to disrupt. This study also considered the parameters affecting the magnitude of the elastic and osmotic stresses leading to repulsion of proteins. It was found that the surface density of grafted PEG chains and the PEG chain length have a significant effect on the protein attachment resistance. However, the precise influence of the PEG-grafts molecular weight still finds some controversy amongst researches in the field since in a number of cases, a ‘protein-attractive’ instead of a ‘protein-repulsive’ state has been reported for PEG chains.<sup>103,104</sup>

Many different methods have been developed for the immobilization of PEG chains on different substrates to optimize the surface chains density, thus reducing protein adsorption to a minimum and prevent biofoulants attachment. Physisorption, chemisorption and covalent grafting of PEG chains from solution onto different surfaces have been investigated and were able to reduce protein adsorption below the nominal limit of several  $\text{mg cm}^{-2}$  – which is considered to be the approximate limit of detection (LOD) of most label-free interfacial detection

techniques. Nevertheless, the immobilization of PEG chains with either of the methods could still not yield the desired high surface chains density, due to the excluded volume effect.

Extensive research has been performed to enhance the surface chain density. Theoretically, a complete coverage of the surface can be achieved if the attachment sites of each PEG chain are at a distance lower than the radius of gyration of PEG in solution.<sup>105,106</sup> This desired high surface chains density, and thus the formation of a dense and defect-free grafted layer with EG moieties, was investigated by using longer PEG chains, comb-like polymers with PEG-side chains,<sup>78</sup> PEG-polymer brushes and dendrimers. Benhabbour *et al.*,<sup>107</sup> for example, used a thiol- and hydroxyl-terminated PEG (SH-PEG650-OH) post-functionalized with aliphatic polyester dendrons of the first to fourth generations.<sup>107</sup> The resulting surfaces were tested for both hydrophilicity and protein resistance and showed that, although the dendronization increased the surface hydrophilicity, it also increases protein adsorption. This paradox was explained by means of the chain flexibility. The introduction of dendrons with multiple peripheral –OH groups hinders the conformational flexibility due to the strong interactions between the terminal –OH groups and the underlying PEG moieties. The interpretation of these findings discloses that chain flexibility is another important parameter to be considered when designing hydrophilic, protein resistant surfaces for AF purposes.

In summary, several parameters can be tuned to reduce protein adhesion and settlement *via* using PEG-modified surfaces: (i) the PEG chain length and molecular architecture; (ii) chain density (grafting) and (iii) chain flexibility. It should be noticed, however, that this approach relies very much on the possibility and the efficiency of the surface chemical modification, which on its turn depends strongly on the nature and chemical composition of the substrate to be modified (*e.g.* reactive groups or initiating sites available at the surface) as well as on the chemical grafting process itself, *e.g.* specific solvents and temperature conditions required. For many AF applications, such in water membranes, filters and biomedical devices, these surface modification strategies may prove feasible, however, for coatings on vessels or large marine structures this is certainly a limitation. Moreover, such chemically modified surfaces offer little or no robustness with respect to mechanical damage and wear, which is certainly a requirement for most of the marine applications. Hence, several scientific and technological challenges remain to be overcome, in order to fabricate suitable polymer grafts which can resist protein adsorption: (a) producing strongly adherent end-tethered hydrophilic (PEG) polymer grafts, (b) produce grafts that can be tethered to a variety of homogeneous and heterogeneous surfaces and (c) acquiring accurate control and knowledge over the grafting density and molecular weight of grafted polymers.

**4.2.2. Self-assembled monolayers (SAM).** Another approach widely investigated in the literature for AF purposes and prevention of ‘attachment of biofoulants’ is the use of self-assembled monolayers (SAMs) with ethylene glycol (EG) units. Prime *et al.*<sup>108</sup> showed that SAMs with only a few EG units per molecule have shown remarkable resistance to protein adsorption.



Schilp *et al.*<sup>109</sup> examined the relation between selectivity of settlement and surface wettability by investigating the resistance to protein adsorption and the adhesion of *Ulva* spores and diatom cells on a range of hexa(ethylene glycols) (EG<sub>6</sub>) containing alkenethiols with different end-group terminations (–OH and –C<sub>3</sub>H<sub>7</sub>). The study indicated that the number of attached spores increased with an increase in water contact angle from EG<sub>6</sub>–OH to EG<sub>6</sub>–C<sub>3</sub>H<sub>7</sub>. However, the percentage of spores removed decreased with increasing hydrophobicity from EG<sub>6</sub>–OH (57%) to EG<sub>6</sub>–C<sub>3</sub>H<sub>7</sub> (32%). From this study, two facets of the overall process of adhesion were assessed. First, in order to colonize a surface, swimming spores have to recognize that surface as being suitable; a consequence of this is selective settlement on surfaces, namely with specific wettability. Second, having settled on a surface and made the transition to a permanently attached state, the strength of adhesion is also determined by the interfacial properties. Surface wettability affects both of these processes. Hence, the low settlement observed with the SAMs used in these experiments was a consequence of the swimming spores avoiding the hydrophilic surfaces. However, once the biofoulants settle, the hydrophilic surfaces showed increased adhesion strength, thus making their detachment more difficult.

Schilp and co-workers<sup>110</sup> also investigated the effect of chain length and the amount of bound water in the ethylene glycol moieties on the adhesion of proteins to the EG-based SAMs. Oligo(ethylene glycol) (OEG<sub>x</sub>;  $x = 1-6$  EG units) and poly(ethylene glycol) (PEG;  $\bar{M}_w = 2000, 5000$ ) were investigated. The results showed that the CAs of OEG SAMs were in the same hydrophilic range (28°–34°). However, OEG<sub>1</sub>–OH showed adhesion of both protein and algal cell, whereas OEG<sub>2-6</sub>–OH showed almost no adhesion. Lowering the number of EG units caused a decrease in hydration of the SAMs, while the wettability was kept constant. If the number of EG units in the SAM is reduced to one, the hydration energy is no longer strong enough to prevent displacement of hydration water molecules, with the result that adhesion becomes irreversible, *i.e.* settlement takes place. The authors denote that hydrophilicity alone cannot account for the failure of the OEG<sub>1</sub>–OH SAMs, since they are more hydrophilic than the EG<sub>2-6</sub>–OH SAMs (CA = 28° and 33–34°, respectively). These authors suggest that there should be at least two proton-acceptor oxygen atoms available in the OEG chain, allowing strong hydration bonds in a double hydrogen bridge bonding configuration, leading to the formation of a strong hydration layer.

While an increasing number of EG units improves the surface resistance to settlement for both, SAMs and PEG-modified chains, the influence of the hydroxyl- and the methoxyl-terminated PEG chains plays a more important role in the adhesion of proteins or algae cell onto SAMs, as compared with PEG-grafted surfaces.<sup>109</sup> The authors explained this by the high degree of conformational freedom of PEG chains, which allows their end-groups to be buried in the film, in contrast with the crystalline and densely packed OEG SAMs. Together with the favorable protein resistance obtained by using SAMs, these results reinforced the idea that the main reason of the protein

resistance, *i.e.* prevention of attachment, obtained by PEG-chains is the formation of a hydration layer at the hydrophilic surface rather than the steric repulsion mechanism, caused by the extension of the PEG chains.

**4.2.3. Other approaches.** Despite the fact that PEGylated polymers are amongst the best protein resistant surfaces, PEG-based materials have tendency to auto-oxidize (to form aldehydes and ethers) in the presence of oxygen, are easily damaged and can lose their function upon minor mechanical impacts. Hence, different polymer categories have been investigated for AF purposes. These alternative systems are all based on four important parameters to be considered while designing the AF coatings within the “prevention of attachment” strategy (Fig. 2d), as stated by Chapman *et al.*<sup>111</sup>

- The presence of polar functional groups;
- The absence of any net charge;
- The presence of H-bond acceptor groups;
- The absence of H-bond donor groups.

According to the aforementioned criteria, zwitterionic polymers and SAMs were investigated due to their protein resistance.<sup>94,112–114</sup> Tegoulia *et al.*<sup>115</sup> showed that phosphorylcholine zwitterions bind strongly to water, creating a hydration layer that does not allow proteins to adhere to the surface. However, they could not acquire results that are universally acceptable to all the zwitterionic SAMs tested. Matsuura *et al.*<sup>116</sup> reported the use of zwitterionic brushes based on carboxybetain possessing AF properties. Even though there are several examples of zwitterionic compounds used to prevent protein adhesion, they have been mostly explored for biomedical and biotechnological applications, while only more recently they have been potentially devised for marine applications.<sup>94,117–119</sup> A recent study by Yang *et al.*,<sup>118</sup> with pyridine-based zwitterionic surfaces showed significantly improved resistance against a variety of molecular foulants, namely marine bacteria, and improved tolerance to chlorine exposure as compared to acrylate-based analogues. Also Quintana *et al.*,<sup>94</sup> investigated recently zwitterionic polymeric brushes based on polysulfobetaines with monoblock and diblock architectures for AF purposes in marine environments. The authors showed that “protected” zwitterionic brushes, constructed with a hydrophobic anchoring segment (PS, PMMA), may be used in order to increase the brush anchoring point stability and avoid the unwanted enhancement of osmotic stress along the brush backbone, one of the weaknesses of the homopolymeric zwitterionic brush when exposed to marine environments.

Zhang *et al.*<sup>120</sup> grafted poly(sulfobetainmethacrylate) (PSBMA) (Fig. 10a) brushes onto glass surfaces which were tested for prevention of adhesion of *Ulva* spores and sporelings. Small amounts of both were attached to the surface with very low adhesion strength. Even though not common, polysaccharides have also been investigated for marine AF due to their high water affinity. Cao *et al.*<sup>121</sup> used three acidic polysaccharides, hyaluronic acid (HA), alginic acid (AA) and pectic acid (PA) (Fig. 10b–d, respectively), to investigate the settlement and adhesion of *Ulva* spores and barnacles (*Balanus Amphitrite*). The results showed that the settlement of spores on the AA and HA surfaces was



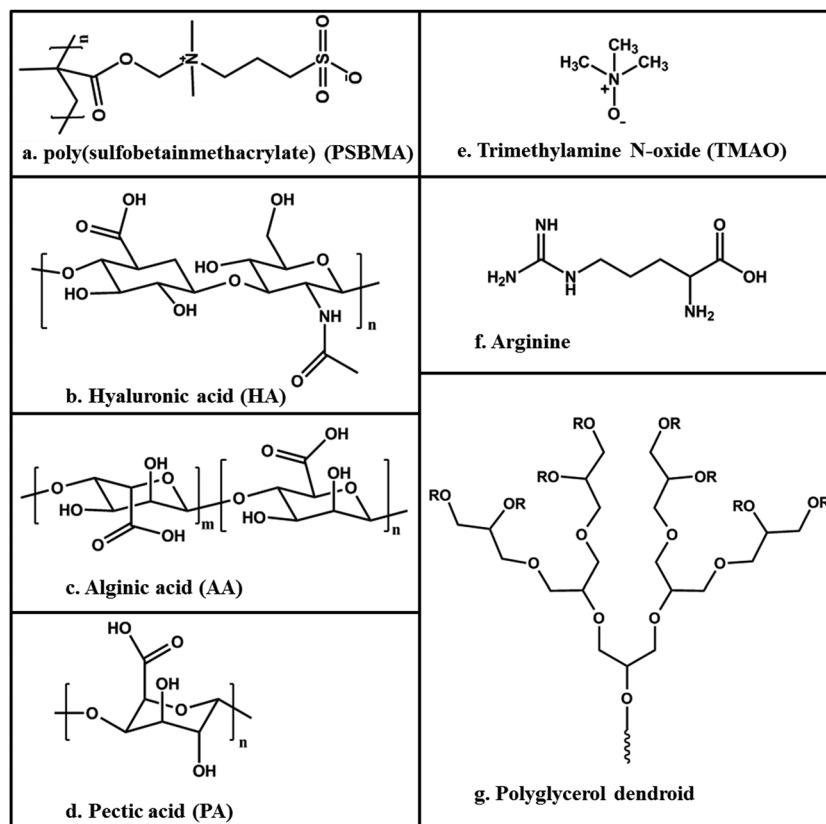


Fig. 10 Chemical structures of: (a) Poly(sulfobetainmethacrylate) (PSBMA); (b) hyaluronic acid (HA); (c) alginic acid (AA); (d) pectic acid (PA); (e) triethylamine *N*-oxide (TMAO); (f) arginine and (g) polyglycerol dendroid.

only about 10% as compared to an acid-washed glass surface; however, for the PA treated surface the reduction was to about 75%, still considerably less than for the acid-washed glass surface reference. The adhesion of the barnacles on the HA treated surface was also minimal, hence, the HA modified surfaces showed the best performance for low settlement and easy detachment of both biofoulant species.

The most intriguing question was, however, the contrast between the AF capabilities of the three polysaccharide treated surfaces, as there is no substantial difference between them in hydration ability. Polysaccharide structures are known to bind easily to bivalent ions (like calcium). The authors investigated further the effect of ions on the surfaces by using artificial seawater (salinity of 35‰ and total concentration of bivalent cations of  $-0.06 \text{ mol L}^{-1}$ ).

XPS results showed a reduced tendency of the HA-modified surfaces to complex calcium. The authors state that a lower amount of calcium in the films leads to a reduced adhesion strength, thus a higher rate of detachment of biofoulants. This means that bivalent ions could be the reason for the lower AF performance of the other AA and PA polysaccharide coatings, when used in marine environment. Kerchova *et al.*<sup>122</sup> also reported that calcium and magnesium present in alginate films support the growth of a bacterial biofilm. Summing up, this makes polysaccharides poor candidate for AF marine coatings, in spite of their good protein-resistant properties.

Another approach is using nonionic kosmotropes, as they have no net charge but are very soluble and become highly hydrated. Kane *et al.*<sup>123</sup> suggested that kosmotropicity has a strong correlation with the protein resistance property of a substrate. This hypothesis was reinforced by Dilly *et al.*<sup>124</sup> who reported surfaces that resist to nonspecific protein adsorption by using trimethylamine *N*-oxide (TMAO) (Fig. 10e) grafted to a polymeric support. The authors argue that the reason for the protein resistance is that TMAO forms strong hydrogen bonds with water, leading to the ordering of the water molecules near the substrate. Peptide and peptoid based surfaces, glycerol and carbohydrate derivatives have also been used to design protein-resistance surfaces based on this same principle.<sup>125</sup>

Ederth *et al.*<sup>126</sup> investigated the interactions of *Ulva* spores with Arginine-rich (Fig. 10f) oligopeptide monolayers, as the peptide chemistry allows great flexibility and simple production processes. The structures used in the study – surface tethered peptides – are inspired by antimicrobial peptides of the innate immune system of both, animals and plants, which typically have cationic lysine or arginine residues. The purpose of this study was to test whether the thickness of a SAM or the number of arginine residues on each peptide was relevant, thus understanding if the interaction between the biofoulants and the surface is a nonspecific interaction with a SAM of a particular chemistry, and if the primary structure of the peptide is critical. The study revealed that the position and configuration as well as



the number of arginine residues in the peptide significantly influence the settlement density of *Ulva* spores. The simple presence of arginine amino acids in the peptides induces the adhesion of spores and an increased length of the peptide induces a higher number of attached spores, irrespectively of the peptide primary structure.

Finally, Wyszogrodzka and Haag<sup>127</sup> used polyglycerol dendroids (Fig. 10g) in designing a protein-resistant surface. They worked with both, hydroxyl-terminated and methylated-polyglycols. They concluded that methylation of all-terminal hydroxyl groups resulted in a significant improvement in protein resistance. This deduction is compatible with the parameters stated above by Chapman *et al.*<sup>111</sup> as the methylation dissipates the H-bond donor groups. Furthermore, they suggested that the elimination of hydrogen bond donors by methylation increased the mobility and flexibility of individual molecules on the surface.

Although the alternative approaches reported in this section seem rather attractive and promising, mainly in terms of resistance to protein adhesion, most of them have yet to be further and fully investigated for AF marine applications, especially in the context of change in surface properties when in contact for prolonged time with artificial – and eventually natural – seawater and diverse biofoulants.

### 4.3. Recent and future approaches

**4.3.1. Amphiphilic approach.** Amphiphilic coatings having both, hydrophobic and hydrophilic domains, have been explored as having a combination of both, ‘fouling detachment’ and protein resistance (‘prevention of attachment’) approaches, in one single material with enhanced AF properties.<sup>128</sup>

FreijLarson *et al.*<sup>129</sup> investigated the adsorption behavior of amphiphilic polymers on a model surface and the effects of these polymers on the adsorption of some proteins. The study involves four different amphiphilic graft and block copolymers containing PEO as the hydrophilic component, adsorbed on a hydrophobic methylated silica surface (HMS). The hydrophobic components comprise: (i) block copolymers containing polyethylenoxide (PEO) of different molecular weights, (ii) a graft copolymer with a backbone of poly(methyl methacrylate-*co*-ethylhexyl methacrylate) (Acry) and (iii) two graft copolymers of poly(styrene-*co*-acrylamide) (Sty1 and Sty2).<sup>129</sup> The wettability of the surfaces was evaluated by water contact angle (CA) measurements and the results are shown in Table 2.

Both, the advancing and receding water contact angles decreased approximately to the same extent for all the modified surfaces. More importantly, significant higher hysteresis values were obtained for the modified surfaces in contrast to the unmodified HMS surface. This is believed to be due to the relaxation of the hydrophilic and hydrophobic groups or segments at the surface in wet and dry states, respectively. The protein resistance was evaluated on surfaces directly exposed to solutions of the serum proteins fibrinogen (Fg) and human serum albumin (HSA) using ellipsometry to study the adsorption and desorption processes.

A significant difference was observed for the adsorption values of proteins on the Acry-, PE94-modified surfaces as

**Table 2** Results of water contact angle and protein resistance measurements on hydrophobic methylated silica surfaces (HMS) modified with different end-groups

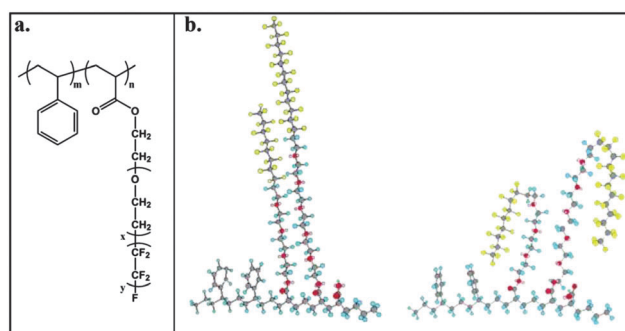
Polymer	$\theta_{adv}/\theta_{rec}$ (°)	Hysteresis (°)	Fg adsorbed (mg m <sup>-2</sup> )	HSA adsorbed (mg m <sup>-2</sup> )
HMS	100/97	4	4.33	1.54
HMS/Acry <sup>a</sup>	90/55	35	0.14	0.14
HMS/PE94 <sup>a</sup>	95/65	30	0.12	0.05
HMS/Sty1 <sup>a</sup>	95/56	39	1.09	0.65
HMS/Sty2 <sup>a</sup>	95/61	35	1.63	0.69

<sup>a</sup> HMS modifications: acry = graft copolymer with a backbone of poly(methyl methacrylate-*co*-ethylhexyl methacrylate); PE49 = block copolymer containing polyethylenoxide; Sty1 and Sty2 = two graft copolymers with a poly(styrene-*co*-acrylamide)styrene; Fg = serum proteins fibrinogen; HAS = human serum albumin.<sup>129</sup>

compared with the Sty-modified HMS (see Table 2). The low protein adsorption on the Acry-modified surface was attributed to the higher amount of PEO grafts exposed at the surface. In an aqueous medium these PEO segments become highly hydrated extending into the solution and sterically preventing the proteins from closely approaching the hydrophobic surface.

Krishnan *et al.*<sup>130</sup> investigated the AF properties of comblike block copolymers with amphiphilic side chains (Fig. 11a) on the surface of a polystyrene base. The combination of PEG (hydrophilic) and fluoroalkyl units (hydrophobic) for the amphiphilic side chains was motivated by the initial experiments with two biofoulant species: diatoms showed a higher detachment from surfaces of polymers with PEG side chains as compared to that with semi-fluorinated side chains whereas *Ulva* sporelings showed the opposite behavior.

The advancing and receding water contact angles reported for these materials were 94° and 34°, respectively. Also in this case a large hysteresis value was reported, which is consistent with the surface rearrangements argument by FreijLarsson *et al.*<sup>129</sup> The surface reconstruction was further investigated by immersing the surfaces in water and performing captive-air-bubble contact angle (CA) measurements immediately after 1 day and after 3 days immersion. The CA decreased from 55° to 46° (after 1 day) and 41° (after 3 days), reaching an



**Fig. 11** (a) Chemical structure of comblike block copolymer with amphiphilic side chains and (b) proposed mechanism for surface reorganization of the ethoxylated fluoroalkyl side chains upon immersion of the surface in water. Reproduced with permission from ref. 130. Copyright 2006, American Chemical Society.



equilibrium value of  $31^\circ$  after 2 weeks. This decrease in the water CA was attributed to the migration of the PEG segments to the water–polymer interface. Krishnan *et al.*<sup>130</sup> pointed out that the surface reorganization corresponding to the rate of addition and retraction of the water droplet in the dynamic CA measurements (typically some 30–60 seconds) and the rearrangements identified by the drop of the CA after 2 weeks immersion time are not related, thus should have different mechanisms. The faster process is possibly due to reorientation of the side chains, as shown in (Fig. 11b), whereas the slower process is due to the diffusion of the polystyrene block towards the interior of the polymer coating, and the surface-active block towards the polymer–water interface.

The copolymers reported by Krishnan *et al.*<sup>130</sup> were also tested for marine AF properties by using *Ulva* spores and *Navicula* (diatom). The values of settlement and percent detachment after exposure to a shear stress of 53 Pa in a water channel were compared with a reference PDMS surface. The settlement and strengths of attachment of *Ulva* spores on the amphiphilic surfaces was comparable to that on PDMS. This led the authors to conclude that the surface became hydrophobic by exposing the fluoroalkyl groups when in contact with *Ulva*, thus the surface acts as a fouling-detachment coating. On the other hand, the density of the *Navicula* diatom attached to the amphiphilic surface was around 80% of the settlement density on the PDMS surface. The attachment strength was also lower on the amphiphilic surface compared to PDMS, with 81% and 11% removal, respectively. The high removal of *Navicula* from the amphiphilic surface was explained by the surface rearrangement which exposes the hydrophilic PEGylated segments when immersed in water, resulting in weak adhesion of the *Navicula* species. This study proved that the surface rearrangement of amphiphilic surfaces may improve the AF capability of coatings by enhancing the applicability to various species. The additional advantage of this specific system is that any change in surface polarity would occur uniformly throughout the surface, as the surface is covered with a thin layer of the ethoxylated fluoroalkyl side chains.

Surface reorganization is, in fact, a very well known and extensively studied phenomenon in functional polymer surfaces,<sup>131,132</sup> which may have direct consequences, and not necessarily negative, on the AF properties of the polymer surfaces. Recently, we have also reported a simple method,<sup>133</sup> DRCA – dynamic recovery contact angle measurements – to determine the time-frames involved in the surface rearrangements on different polymeric surfaces with low surface energy, which can indeed occur at very different time-frames (from a few minutes to hours), depending on the chemical nature and molecular characteristics of the polymer segments at the film surface. This method is rather simple and versatile and may help other researchers to assess the effect of surface rearrangements on a variety of surfaces and eventually take advantage of it to improve the AF performance.

**4.3.2. Topographic approach.** Topography has been shown to be determinant in the marine fouling area since the surface roughness has a pronounced effect on the wettability of

surfaces. Roughness can be defined in different scales: macro- (around 10  $\mu\text{m}$ ), micro- (around 1  $\mu\text{m}$ ) and nano-roughness ( $<1 \mu\text{m}$ ).<sup>134</sup> Macro-roughness is relatively unimportant for AF surfaces, as the scale is much larger than the typical size of the microorganism cells. However, microtopography plays an important role on biofouling. Surface roughness is considered to enhance adhesive contact, provide protection from hydrodynamic forces, grazing activity and desiccation.<sup>135</sup>

Callow *et al.*<sup>136</sup> published a study that quantifies the attachment and spatial relationship of *Enteromorpha* zoospores with polydimethylsiloxane elastomer (PDMS) surfaces with defined microtopographies. The topographic features in this study were based on two designs: (1) a series of 5 or 1.5 mm deep valleys with valley floors and ridges varying between 5 and 20  $\mu\text{m}$  and (2) pillars of 5  $\mu\text{m}$  diameter and 5 or 1.5  $\mu\text{m}$  height, spaced 5–20  $\mu\text{m}$  apart.

These designs were selected to demonstrate a spacing that would challenge the settlement of the spores, both physically and chemically. For that purpose, an un-patterned PDMS elastomer was used as a control surface. The authors observed that the spores settled preferentially in valleys and against pillars, especially when the features were down to 5  $\mu\text{m}$  deep (the same dimension as the diameter of the settled spore). Moreover, spores favorably settled on the pillars sides. This behavior was explained by the use of work of adhesion. The authors<sup>136</sup> explained the relation between the change in surface area and adhesion using a simple model of a flat and a grooved surface, as shown in Fig. 12. Upon attachment of a 'sphere' to the flat portion of a substratum, the increase in surface area is equal to the area of the sphere minus the area of contact between the sphere and the surface. Hence, the expansion of the total surface area requires an increase in work or energy exerted on the total system. The sphere, which settles into the valley, has more contact points with the walls and floor of the valley and therefore, requires less energy to settle. In this case the radius of the sphere is equal to the radius of the valley and there are at least two points of contact between the sphere and the surface. Regarding this concept, Callow *et al.*<sup>136</sup> concluded that adhesion of 'spheres' can be reduced if the width of the valley is less than twice the radius of the sphere, as the contacts with the surface become point contacts and hence require the most energy/work to settle.

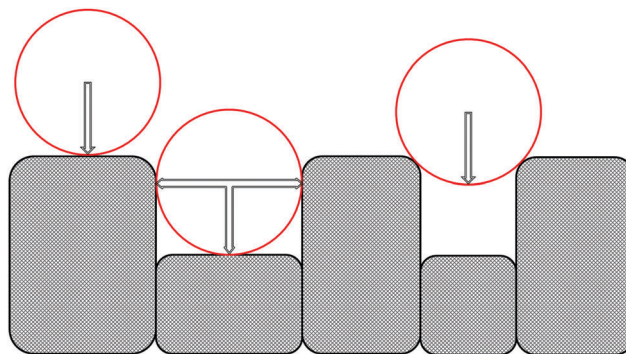


Fig. 12 Schematic 'model' representing the spore adhesion to different surface features. Adapted from ref. 136.



Carman *et al.*<sup>137</sup> used engineered pillars, ridges and a biomimetic topography inspired by the skin of fast moving sharks, replicated in PDMS. The features tested in this study were inspired by the slippery and immaculate un-fouled skin of the shark and included: (a) channels, ridges, pillars, and pits of 5  $\mu\text{m}$  wide and spaced 5, 10 and 20  $\mu\text{m}$  apart; and (b) ribs of 2  $\mu\text{m}$  wide, lengths ranging from 4–16  $\mu\text{m}$ , spaced 2  $\mu\text{m}$  apart. The topographical surfaces were evaluated for wettability and settlement of *Ulva* spores. The researchers reported that topography increased the water contact angles up to 20% for rib patterns, whereas the 20  $\mu\text{m}$  spaced ridges and pits behaved the most like the smooth PDMS elastomer. They also concluded that the ridge patterns enhanced spore settlement up to 150% for the 5 mm spaced and 5 mm high ridges, which is roughly equivalent to the diameter of the 'pear-shaped' swimming *Ulva* spore. Further in agreement with the results of Callow *et al.*,<sup>136</sup> the density of settled spores approached that of smooth PDMS elastomers as the spacing increased.

In addition to these studies, Carman *et al.*<sup>137</sup> proved that with feature dimensions smaller than the spore body, the settlement can be reduced with the rib patterns. The authors reported that the spores avoided the 2  $\mu\text{m}$  wide channels and were largely confined to defects and slightly wider spaces located between adjacent "sharklet diamonds" which reduced the settlement density by approximately 85%, in relation to smooth PDMS elastomers.

Recently, superhydrophobic surfaces with water contact angle values larger than 150° have been investigated for potential AF properties by combining hydrophobic materials and nanoscale roughness.<sup>138,139</sup> Scardino *et al.*<sup>140</sup> tested three superhydrophobic coatings, differing in their chemical composition and architecture, against major fouling species in settlement assays. The coatings were prepared by chemically bonding fumed silica nanoparticles to polysiloxane derivatives. The root mean square roughness (RMS) values recorded with AFM and contact angle values for the coatings are shown in Table 3.

Considering that all the three coatings had very similar CA values, this study made it possible to assess whether superhydrophobicity and roughness ensures AF characteristics to the surface. The settlement assays were performed with different biofoulants: (i) being motile and actively selecting preferred sites for attachment (*e.g.* *Ulva* spores); (ii) being subsequently motile and settling passively to preferred settlement sites (*e.g.* *Diatoms*) and (iii) being non-motile and having no mechanism to select preferred attachment sites (*e.g.* *Polysiphonia* spores).

The resulting settlement proportions differed significantly according to the biofoulant for coating 1 and 2 with nano-roughness superimposed on microroughness. It was seen that some biofoulants even preferred the coated surfaces over glass. Hence, no generalization could be made for the superhydrophobic surfaces due to this variation of settlement. However, all the biofoulant species including the ones that prefer to attach to hydrophobic surfaces avoided coating 3 (with only nanoroughness) at high levels of significance. From these outcomes, the authors concluded that with respect to roughness and topography there are factors, other than superhydrophobicity, which play a significant role in the AF performance of surfaces. The important parameters responsible for a broad-spectrum fouling resistance were proposed to be as follows:

- The length scale of the coating roughness;
- The percentage of air incursions entrapped at the interface;
- The capability of the coating to hold such entrapped air.

Even though some insight about the effect of topography on the settlement of biofoulants has been devised,<sup>141–143</sup> much research is needed to combine different chemistries and topographical features to derive AF principles. Gudipati *et al.*<sup>144,145</sup> for instance, incorporated a topographical complexity into amphiphilic coatings based on the hypothesis that, if the surface presents optimal nanoscale heterogeneity in terms of composition, morphology and topography, it becomes energetically unfavorable for the biofoulants to adhere *via* either hydrophobic or hydrophilic interactions, thereby weakening the interactions of the organism with the surface. Glass substrates were functionalized with silane to attach cross-linked hyperbranched fluoropolymer (HBFP)–PEG amphiphilic network coatings. The HBFP–PEG surfaces prepared with different PEG content were investigated for their surface energies, water CA values and protein resistance and compared with control surfaces, functionalized with either HBFP or PEG only. The increasing surface energies proportional to the change in PEG content and high hysteresis of the CA values showed that the PEG chains are mobile in the network and move towards water. The amphiphilic character of the coatings enabled both 'prevention of attachment' (Fig. 2c) and the 'detachment of biofoulants' (Fig. 2c). Furthermore, this study pointed that the compositional and morphological heterogeneity is the key to achieving surfaces that can prevent biofouling.

## 5. Final remarks

AF technologies for marine applications are of large interest mainly due to the economical and environmental benefits. As recent research carried out in the field of non-toxic, non-biocide-release based AF coatings became more within reach, the future focus is more likely to be on the time-span of the newly developed technologies. While many new coating technologies are still at the proof-of-principle or optimization towards the-utmost-performance level, assessing their performance in real seawater (chemical, temperature and pressure) conditions and marine usage time-frames will clearly define the

**Table 3** Results of water contact angle (CA) and root mean square roughness (RMS) measurements of superhydrophobic surfaces with single (nano) and dual (nano and micron) scale roughness. Adapted from ref. 140

	Coating 1 <sup>a</sup>	Coating 2 <sup>a</sup>	Coating 3 <sup>a</sup>
CA (advancing/receding)	169°/160°	155°/145°	169°/160°
RMS (scan rate 5 $\mu\text{m}$ )	309 nm	482 nm	374 nm
RMS (scan rate 20 $\mu\text{m}$ )	1117 nm	1463 nm	607 nm

<sup>a</sup> Coatings 1 and 2 had dual-scale roughness: nanoscale superimposed on microscale roughness, while Coating 3 had only nanoscale roughness.



most promising “green” candidates amongst the exciting range of possibilities for AF coatings as described in this review.

Furthermore, the use of new technologies which can provide fast and efficient curing procedures for marine coatings, such as the latest developments on photo-curing (UV-LED or even day-light curing), will allow us to work with low volatile organic content (VOC) formulations, which are in line with the current strict marine policies, with the additional benefit of shorter application times, lower maintenance costs, hence, a much lower environmental impact.

Besides the utmost performance of the AF functionalities, the reduction of the frequency of dry-docking maintenance and repair processes, which are time-consuming and costly, should certainly be addressed as well. For the purpose of increasing the life-span and enhancing the AF functionality *versus* the unavoidable occurrence of abrasion and wear of the coated surfaces, the most desired breakthrough may come from the combination of AF functionality with self-healing properties, which can automatically self-repair the AF character.<sup>146,147</sup> The contribution of self-healing mechanisms will bring high performance levels with a longer lifetime to the AF coatings, which will be inevitably exposed to damage.

## Abbreviations

AA	Alginic acid
AF	Antifouling
CA	Contact angle
CAH	Contact angle hysteresis
CDP	Controlled depletion paint
$\gamma_c$	Critical surface free energy
<i>E</i>	Elastic modulus
EEP	Ethyl ethoxy propionate
Fg	Fibrinogen
FMA	2-Perfluorooctyl methacrylate
HA	Hyaluronic acid
HMS	Hydrophobic methylated silica
HSA	Human serum albumin
LOD	Limit of detection
MAK	Methyl <i>N</i> -amyl ketone
$M_w$	Average molecular weight
PA	Pectic acid
PCL	Polycaprolactone
PDMS	Polydimethylsiloxane
PEG	Poly(ethylene glycol)
PTFE	Poly(tetrafluoroethylene)
PFPE	Poly(fluoropolyether)
PU	Poly(urethane)
PSBMA	Poly(sulfobetainmethacrylate)
SAM	Self-assembled monolayers
SPC	Self-polishing copolymers
TBT	Tributyltin
TEOS	Tetraethyl orthosilicate
TMAO	Trimethylamine <i>N</i> -oxide
XPS	X-ray photoelectron spectroscopy

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