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Uptake and Release of Gaseous Species Accompanying the Reactions of Isoprene Photo-Oxidation Products with Sulfate Particles

by

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1 Abstract

2 Gaseous species produced via the HO₂ reaction pathways of isoprene photo-oxidation
3 were reacted with liquid, partially neutralized sulfate aerosol particles at 293 ± 1 K and <5%
4 relative humidity. Isoprene-derived epoxydiols (IEPOX) were taken up for all neutralizations so
5 long as the liquid phase was maintained. By comparison, isoprene-derived hydroperoxides
6 (ISOPOOH) were taken up only for low neutralization (i.e., high acidity). The release of product
7 molecules to the gas phase increased for low neutralization, corresponding to the release of at
8 least 60 product molecules for the uptake of 100 reactant molecules at the lowest neutralization.
9 A major reaction pathway was hydroperoxide cleavage in the particle phase to produce volatile
10 products. Product species larger than the C₅ chain of isoprene were also released to the gas
11 phase, implying that some accretion products in the particle phase were sufficiently volatile to
12 partition to the gas phase. The study results show that the dependence of reactive uptake on
13 neutralization varies by species. Furthermore, in addition to functionalization and accretion,
14 decomposition and re-volatilization should be considered in mass balance formulations of
15 reactive uptake by atmospheric particles.

16 1. Introduction

17 Secondary organic material (SOM) produced from the atmospheric oxidation of volatile
18 organic compounds constitutes a large fraction of the mass concentration of atmospheric
19 particles.¹ Negative bias in model predictions of SOM concentrations has been a topic of
20 investigation.^{2,3} Underprediction might arise in part from chemical and physical processes not
21 included in the models.^{4,5} The reactive uptake of gaseous species, particularly as catalyzed by
22 the proton acidity of liquid sulfate particles, is considered one possible omitted process.⁶⁻⁹
23 Organic species undergoing reactive uptake can include carbonyls,¹⁰ hydroperoxides,¹¹
24 carboxylic acids,¹² epoxides,¹³ and some hydrocarbons.¹⁴⁻¹⁶ The reactions at least in part have an
25 accretion characteristic, thereby leading to products of increased molecular weight and hence
26 decreased volatility.^{5, 8, 17}

27 Related mechanistic studies have largely focused on particle-phase products to infer the
28 chemical mechanism. By comparison, changes of gaseous species in consequence to these
29 reactions are less investigated. Surratt *et al.*¹³ observed a significant decrease of gas-phase
30 epoxides (IEPOX) upon mixing acidic sulfate particles with gas-phase products generated from
31 isoprene oxidation. Iinuma *et al.*¹⁸ reported the degassing of reaction products after the uptake of
32 α - and β -pinene oxides to acidic sulfate particles. Herein, observations of the uptake and release
33 of gaseous species are employed to study the mechanistic processes of the reactions of isoprene
34 photo-oxidation products with sulfate aerosol particles. The first- and second-generation gas-
35 phase oxidation products of isoprene via the OH/HO₂ reaction pathway are presented in Fig. 1.
36 ¹⁹⁻²² The effect of the extent of sulfate neutralization on gas-particle exchange of these
37 compounds and associated reactions is the focus of the present study.

38 2. Experimental

39 The experimental approach and collected data sets are described in Liu *et al.*²³ and
40 Kuwata *et al.*⁹ In brief, two continuously mixed flow reactors were connected in series and
41 operated at steady state so as to separate the production of gaseous oxidation products of
42 isoprene from the subsequent production of particulate SOM. In Reactor 1 (viz. the Harvard
43 Environmental Chamber; HEC), isoprene photo-oxidation products were produced continuously.
44 Photolysis of hydrogen peroxide was the OH source. The inflow and outflow isoprene
45 concentrations were 120 ± 5 ppb and 36 ± 1 ppb, respectively. Reactor 1 had a mean residence
46 time of 3.7 ± 0.3 h, a temperature of 293 ± 1 K, and a relative humidity of $< 5\%$. The conditions
47 were such that peroxy radicals $\text{ROO}\cdot$, which were produced from OH/O_2 addition across the
48 double bonds of isoprene, predominantly reacted with HO_2 rather than NO .²⁰ The outflow of
49 Reactor 1 was mixed in Reactor 2 with either a flow of sulfate particles for reaction or zero air
50 for reference. Reactor 2 had a mean residence time of 1.4 ± 0.1 h, a temperature of 293 ± 1 K,
51 and a relative humidity of $< 5\%$. Light was excluded from Reactor 2.

52 Sulfate particles were produced using two methods so as to achieve variable extents of
53 neutralization.⁹ Extent X of neutralization, defined as $n(\text{NH}_4^+)/2n(\text{SO}_4^{2-})$ for ion mole
54 concentrations $n(\text{ion})$ (mol m^{-3}) of ions ammonium NH_4^+ and sulfate SO_4^{2-} in the particles,
55 ranged from 0.0 for sulfuric acid to 1.0 for ammonium sulfate. Lower neutralization corresponds
56 to higher acidity. In the first method, ammonium sulfate particles were exposed to sulfuric acid
57 vapor to yield partially neutralized particles (i.e., $0.4 < X < 1.0$). The mass of the deposited vapor
58 and hence the extent of neutralization were regulated by heating a reservoir of liquid sulfuric
59 acid (96% w/w) to between 20 and 60 °C. In the second method, the vapor released from a
60 reservoir of sulfuric acid at 67 °C was nucleated into new particles in the absence of ammonium

61 sulfate particles. Adventitious NH_3 , however, somewhat neutralized the particles ($X = 0.02$). For
62 $X < 0.7$, the sulfate particles were liquid, and significant SOM production was observed.⁹

63 Gaseous species in the outflow from Reactor 2 were sampled by a selective-reagent-
64 ionization time-of-flight mass spectrometer (SRI-TOF-MS; NO^+ reagent; Ionicon Analytik
65 GmbH).^{24, 25} Exposure to sulfate particles (0.8 to $8.2 \mu\text{g m}^{-3}$) led to changes in some gas-phase
66 species concentrations in the outflow. These changes arose from the uptake or release of the
67 gaseous species from the particles. Species concentrations came to steady state after 8 to 16 h.

68 A sensitivity factor was used to relate ion signal intensity after adjustment for ion
69 transmission to species concentration in the gas phase. A factor of 22 ncps ppb^{-1} was used, as
70 obtained by IEPOX calibration.²³ This quantification assumed that the reaction rate coefficient
71 with NO^+ was the same as that of IEPOX for all other studied species. The uncertainty associated
72 with this assumption led to an uncertainty of $\pm 50\%$ (two-sigma) in measured species
73 concentrations.²³ The unit “ncps” represents normalized counts per second, where the
74 normalization was with respect to an NO^+ ion signal of 10^6 cps .

75 Particles in the outflow from Reactor 2 were sampled by a high-resolution time-of-flight
76 Aerosol Mass Spectrometer (HR-TOF-AMS; Aerodyne Research Inc.).²⁶ Methods of data
77 analysis were as described in Kuwata *et al.*⁹ The extent of neutralization of the generated sulfate
78 particles was determined from the ammonium and sulfate concentrations measured by the HR-
79 TOF-AMS using constant relative ionization efficiencies.²⁷

80 **3. Results and Discussion**

81 **3.1 Families of reactants and products**

82 Examples of unit-mass-resolution spectra obtained by sampling the outflow from Reactor
83 2 with the SRI-TOF-MS are shown in Fig. 2. The two spectra correspond to the absence

84 compared to the presence of sulfate particles ($X = 0.02$). The ratio I'/I of signal intensity at each
85 m/z value of the two spectra is also plotted in Fig. 2. The notation used here shows quantities
86 without prime as recorded in the absence of sulfate particles and quantities with prime as
87 recorded in the presence of sulfate particles. The signal intensities for many m/z values decrease
88 following the injection of liquid, partially neutralized sulfate particles (i.e., $I'/I < 1$). The signal
89 changes arise from the loss of gaseous species to uptake by aerosol particles. There are also some
90 signal intensities that do not change following particle injection (i.e., $I'/I = 1$ within uncertainty),
91 such as those of $C_5H_8^+$ (m/z 68) from isoprene and $H_2O_2 \cdot NO^+$ (m/z 64) from hydrogen peroxide.
92 Finally, signal intensities at several m/z values increase following particle injection (i.e., $I'/I > 1$)
93 (Fig. 2).

94 A ratio of $I'/I > 1$ indicates the release of a species from the particles, implying a
95 sequence of events starting with the uptake of a gaseous reactant species, continuing by reactive
96 transformation of this species inside the particle, and ending with the degassing of the product
97 species. A control experiment using the SRI-TOF-MS to sample the particle flow upstream of
98 Reactor 2, meaning before exposure to isoprene oxidation products, confirms that the ions
99 characterized by $I'/I > 1$ are obtained only downstream of Reactor 2.

100 The high-mass-resolution counterpart of Fig. 2 shows that 43 carbonaceous ions have
101 intensities above the background level (cf. Table S1). Each high-resolution ion is categorized
102 into one of six characteristic families based on I'/I for intermediate ($0.4 < X < 0.7$) and low ($X =$
103 0.02) neutralization. Although no data are available for $0.02 < X < 0.4$ because of methods
104 employed for particle generation (cf. Section 2), trends in I'/I in this range are not expected to
105 alter the family designations. The complete set of ions for each family is listed in Table S1. The
106 functional forms of $I'(X)$ and $I'/I(X)$ of one representative ion of each family are plotted in Figs.

107 3 and 4, respectively. Figure S1 presents additional examples of $I(X)$ for more ions of individual
108 families.

109 For ions of Family N (“null change for all studied neutralizations”; cf. Figs. 3 and 4), I/I
110 is unity within measurement uncertainty and does not vary with X . The conclusion is that no
111 observable uptake occurs for species of Family N . For ions of Family L_{low} (“loss only for low
112 neutralization”), I/I is unity for intermediate neutralization but less than unity for particles of
113 low neutralization (i.e., $X = 0.02$), meaning that the uptake of species of Family L_{low} is kinetically
114 favorable only for low neutralization. For ions of Family L (“loss for both low and intermediate
115 neutralizations”), I/I progressively decreases from unity to below unity for decreasing
116 neutralization. The ratio from X of 0.7 to 1.0 does not change, which is consistent with the
117 observation of negligible production of SOM in the presence of solid sulfate particles (i.e., $X >$
118 0.7).⁹ The uptake of species of Family L is therefore kinetically favorable across the full range of
119 neutralization for which the sulfate particles are liquid. For ions of Family P_{low} (“production only
120 for low neutralization”), I/I is unity for intermediate neutralization but greater than unity for the
121 low neutralization (i.e., $X = 0.02$). The release of gaseous species occurs only for the low
122 neutralization. For ions of Family P (“production for both low and intermediate neutralizations”),
123 I/I progressively increases from unity to above unity with decreasing neutralization for $X < 0.7$
124 (i.e., liquid particles), meaning that reaction products are increasingly released to the gas phase.
125 For ions of Family LP (“loss or production dependent on neutralization”), I/I progressively
126 decreases for particles of intermediate neutralization (i.e., $0.4 < X < 0.7$) followed by an increase
127 at low neutralization (i.e., $X < 0.4$). The implication for Family LP is that uptake and release
128 processes contribute to a net change in the observed ion signal, meaning that net uptake occurs
129 for intermediate neutralization but release becomes important for low neutralization.

130 With respect to further analysis and discussion, the decrease of I to I' representing uptake
131 is assumed to result exclusively from reactants in the case of Families L_{low} and L . Likewise, the
132 increase of I to I' representing release is assumed to result exclusively from products in the case
133 of Families P_{low} and P . Even so, a net process resulting in overall uptake or release, though not
134 considered further herein, cannot be ruled out for these families. Family LP is taken to represent
135 a group of species having net processes due to both reactants and products. With respect to
136 quantitatively accounting for all species as well as tracking release and uptake of species of all
137 the families during reactive uptake, Liu *et al.*²³ showed that the SRI-TOF-MS observations
138 account for the carbon balance within uncertainty between the loss of isoprene and the
139 appearance of oxidation products, at least for the studied experimental conditions.

140 Based on the foregoing observations, for intermediate neutralization Families L , P , and
141 LP encompass the species undergoing uptake and release. Figure 5a shows the signal changes ΔI
142 of species in these families. The summed signal changes for each of Families L , P , and LP
143 are -116 ± 4 ncps, $+27 \pm 4$ ncps, and -13 ± 2 ncps, respectively (cf. Table S2). The implication is
144 that the uptake of 100 reactant molecules to the particle phase leads after reaction to the release
145 of an upper limit of 30 molecules to the gas phase (95% confidence interval; cf. Table S3).

146 By comparison, for low neutralization the kinetically favorable processes expand and
147 encompass the full range of species represented by Families L_{low} , L , P_{low} , P , and LP . Figures 5b
148 and 5c show the signal changes ΔI . The summed changes for each of the five Families are $-130 \pm$
149 7 ncps, -207 ± 4 ncps, $+125 \pm 5$ ncps, $+179 \pm 6$ ncps, and -2 ± 2 ncps, respectively (cf. Table S2).
150 In this case, the uptake of 100 molecules to the particle phase after reaction leads to the release
151 of a lower limit of 60 molecules. The implication is that the molecular yield of volatile products
152 is greater for low compared to intermediate neutralization, suggesting that decomposition in

153 addition to functionalization and accretion becomes progressively more favorable for low
154 neutralization.

155 **3.2 Epoxides**

156 For intermediate neutralization, the IEPOX pathway of isoprene oxidation is estimated to
157 contribute half of the mass of isoprene-derived SOM.²³ The $C_5H_6O^+$ ion is the dominant ion
158 produced by β -IEPOX isomers sampled by the SRI-TOF-MS, and this ion dominates the
159 response of Family *L* for both low and intermediate neutralization (Figs. 5a and 5b). Major
160 particle-phase reaction products of IEPOX isomers include methyl-butane-tetrols, C_5 -alkene
161 triols, organosulfates, and various oligomers.¹³ These products have low vapor pressures as well
162 as high water solubility and thus remain in the particle phase, contributing substantially to SOM
163 production.

164 Lower limits can be obtained of the neutralization-dependent reactive uptake coefficient
165 γ_{IEPOX} . The lower limit for initial uptake ranges from 0.08 for intermediate neutralization to 0.20
166 for low neutralization (cf. Supplementary Material; Fig. S2a). For comparison, Gaston *et al.*²⁸
167 report $\gamma_{IEPOX} = 0.10 \pm 0.01$ for $X = 0.5$ and 30% RH. The results of the two studies are in
168 agreement within the uncertainties.

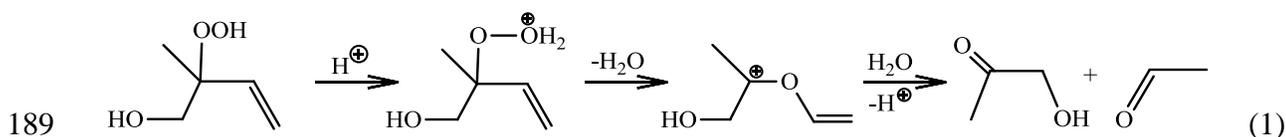
169 **3.3 Hydroperoxides**

170 Among ions of Family *L_{low}*, the signals for $C_4H_6NO_2^+$ and $C_4H_5O^+$ have the largest
171 decreases, accounting for 81 ± 3 % of the total decrease of the family (Fig. 5c). These two ions
172 originate mainly from isoprene-derived hydroperoxides (ISOPOOH). Methyl vinyl ketone
173 (MVK) and methacrolein (MACR) make a significant but minor contribution of <20% to the
174 intensities of these ions under the experimental conditions.²⁰ The ISOPOOH species are taken up

175 only for low neutralization ($X = 0.02$) (Fig. 5c). Surratt *et al.* [2010] also reported insignificant
 176 uptake of ISOPOOH for intermediate neutralization.

177 Section 3.1 concluded that decomposition is a favorable reaction pathway at low
 178 neutralization. Given that ISOPOOHs are the dominant species of Family L_{low} , the implication is
 179 that ISOPOOH species should follow decomposition pathways, at least in part. The
 180 decomposition of organic hydroperoxides at low neutralization originates by acid cleavage of the
 181 oxygen-oxygen bond, followed by 1,2-alkyl shift of the resultant RO^+ .²⁹⁻³¹ Among ISOPOOH
 182 isomers of isoprene oxidation (cf. Fig. 1), ISOPBOOH is the most important because it is at once
 183 the most abundant and the most reactive.²² Reactivity decreases stepwise in the series of tertiary
 184 (ISOPBOOH), secondary (ISOPDOOH), and primary (ISOPAOOH and ISOPCOOH)
 185 hydroperoxides because the progressive substitution of the α -carbon makes the O-O bond more
 186 vulnerable to electrophilic attack.²⁹

187 Major expected products from the acid cleavage of ISOPBOOH include hydroxyacetone
 188 ($C_3H_6O_2$) and acetaldehyde (C_2H_4O), as follows:



190 Standard addition shows that hydroxyacetone reacts with NO^+ to dominantly produce the
 191 $C_3H_6NO_3^+$ ion in the SRI-TOF-MS. The experimental results of Fig. 5c show that the $C_3H_6NO_3^+$
 192 ion accounts for $83 \pm 2\%$ of the total increase across all ions of Family P_{low} upon exposure to
 193 particles of low neutralization. The increase occurs only for low neutralization. The decrease in
 194 signal intensity for the $C_4H_6NO_2^+$ and $C_4H_5O^+$ ions of the ISOPOOH reactants in Family L_{low} is
 195 approximately equal to the increase in the signal intensity for the $C_3H_6NO_3^+$ ion of the
 196 hydroxyacetone product in Family P_{low} . Given the uncertainty of $\pm 50\%$ in concentrations

197 estimated from signal intensities, the molecular ratio of hydroxyacetone release to ISOPOOH
198 uptake has a lower limit of 0.5 (95% confidence interval).

199 The signal intensity of the major ion ($C_2H_3O^+$) of the other product acetaldehyde also
200 increases for low neutralization, although to a lesser extent than for the $C_3H_6NO_3^+$ ion (Fig. S2b).
201 The $C_2H_3O^+$ ion belongs to Family *LP* and is affected by both uptake and release processes. In
202 addition to acetaldehyde, the $C_2H_3O^+$ ion can arise from larger compounds that fragment upon
203 reaction with NO^+ .³²

204 3.4 Other reactants and products

205 Hydroxyl aldehydic epoxides ($C_5H_8O_3$; Fig. 1) have been proposed as C_5 gas-phase OH-
206 oxidation products of IEPOX compounds.^{21,33} In this light, reactions of $C_5H_8O_3$ epoxides with
207 NO^+ could give rise to an $C_5H_7O_2^+$ ion by abstraction of a hydroxide ion (i.e., $C_5H_8O_3 - OH^-$),
208 which is a common reaction pathway with NO^+ for compounds having a hydroxyl group.³⁴ The
209 $C_5H_7O_2^+$ ion is observed as second largest contributor to Family *L* (Figs. 5a and 5b) for both low
210 and intermediate neutralization.

211 For Family *P*, $C_5H_8NO_4^+$ is the major ion (Figs. 5a and 5b). It can be regarded as a cluster
212 ion of $C_5H_8O_3$ with the NO^+ reagent ion. Its precursor molecule is produced in the particle phase
213 and then released to the gas phase. The molecule might be produced by the acid-catalyzed
214 isomerization of isoprene photo-oxidation products. The transformation of epoxides³⁵ or 1,4-
215 hydroxylcarbonyls³⁶ by this mechanism to produce hydrofurans is one possibility. Hydrofurans
216 of $C_5H_8O_3$ are sufficiently volatile for release to the gas phase³⁷ and are expected to cluster with
217 NO^+ in SRI-TOF-MS.

218 There is evidence for particle-phase reactions that link smaller chain reactants together
219 and release longer-chain volatile products to the gas phase. In the absence of particle exposure,

220 there are no ions larger than C_5 detected by the SRI-TOF-MS. This result is expected given that
221 isoprene is a C_5 compound and that photo-oxidation reactions typically do not increase carbon
222 chain length. By comparison, after particle exposure highly oxygenated C_6 - C_7 ions, such as
223 $C_6H_8NO_5^+$, $C_7H_{12}NO_5^+$, $C_6H_{10}NO_5^+$, and $C_6H_8NO_6^+$, contribute to Family P_{low} (Fig. 5c). The
224 detection of ions larger than C_5^+ implies that accretion reactions take place in the particle phase
225 and that some of these products are sufficiently volatile to partition to the gas phase. Associated
226 reaction mechanisms can include peroxyhemiacetal and peroxyacetal pathways of
227 hydroperoxides in reaction with aldehydes.^{11, 38}

228 4. Conclusions

229 Of 43 analyzed ions, six ions account for 66 ± 4 % of the total decrease and 61 ± 14 % of
230 the total increase in signal intensities for low neutralization. For intermediate neutralization, the
231 respective quantities are 71 ± 2 % and 76 ± 2 %. The four major ions contributing to signal
232 decrease (i.e., uptake) include $C_5H_6O^+$ as IEPOX, $C_4H_6NO_2^+$ and $C_4H_5O^+$ as ISOPOOH, and
233 $C_5H_7O_2^+$ possibly as hydroxyl aldehydic epoxides. The two major ions associated with signal
234 increase (i.e., release) include $C_3H_6NO_3^+$ as hydroxyacetone and $C_5H_8NO_4^+$ from undetermined
235 compounds, possibly hydrofurans.

236 The results show that at least 50% of the ISOPOOH molecules taken up into the particle
237 phase react to lead to volatile products that evaporate to the gas phase. The implication is that the
238 contribution of ISOPOOH isomers to SOM production might be small, even for low
239 neutralization. The degassing products could, however, undergo further photo-oxidation and
240 contribute to further-generation SOM production.¹⁸ By comparison, IEPOX uptake appears to
241 lead to less-volatile products that remain in the particle phase and contribute to SOM production.
242 The results presented herein call attention to the idea that not just functionalization and accretion

243 but also decomposition, isomerization, and re-volatilization should be considered when
244 formulating the mass balance of reactive uptake processes of atmospheric particles.

245

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Figure 1. Mechanism of isoprene oxidation via the HO₂ pathway to produce ISOPOOH isomers as major first-generation products and IEPOX isomers as major second-generation products. Abbreviations: ISOP (isoprene); ISOPOOH (isoprene hydroxyl hydroperoxide, C₅H₁₀O₃); MACR (methacrolein, C₄H₆O); MVK (methyl vinyl ketone, C₄H₆O); IEPOX (isoprene-derived hydroxyl epoxides, C₅H₁₀O₃).

Figure 2. Unit-mass-resolution spectra collected by SRI-NO⁺-TOF-MS of the outflow of Reactor 2 in the absence (*I*; purple) and the presence (*I'*; orange) of liquid, partially neutralized sulfate aerosol particles (*X* = 0.02). Also shown in gray is the ratio of the two spectra (*I'/I*). The red solid line represents a ratio of unity. The dashed red lines represent confidence intervals of 99.7% based on measurement uncertainty. Gray bars outside of the confidence intervals represent values of *I'/I* that are statistically significant different from unity.

Figure 3. Dependence of signal intensity *I'* on the extent *X* of neutralization in presence of particles for ions representative of the six general families of behavior: (*N*) C₅H₈⁺, *m/z* 68.062; (*L_{low}*) C₄H₆NO₂⁺, *m/z* 100.039; (*L*) C₅H₆O⁺, *m/z* 82.041; (*P_{low}*) C₃H₆NO₃⁺, *m/z* 104.034; (*P*) C₅H₈NO₄⁺, *m/z* 146.045; and (*LP*) C₅H₉O₃⁺, *m/z* 117.055. The shaded areas represent confidence intervals of 99.7% of signal intensity *I* in the absence of sulfate particles. For many values of *X*, the signal intensity does not change in the presence of particles (i.e., data points overlying the shaded areas). The dotted lines represent a threshold of *X* = 0.7, which is the transition point of the sulfate particles from aqueous to solid for the conducted experiments.

Figure 4. Dependence on the extent X of neutralization of the ratio I'/I of signal intensity in presence compared to the absence of particles for ions representative of the six general families of behavior (cf. caption to Fig. 3). For many values of X , the signal intensity does not change in the presence of particles (i.e., the ratios are close to unity). The dotted lines represent a threshold of $X = 0.7$, which is the transition point of the sulfate particles from aqueous to solid for the conducted experiments.

Figure 5. Change ΔI in signal intensity after the introduction of sulfate particles (i.e., $\Delta I = I' - I$). (a) Families L , P , and LP for intermediate neutralization. (b) Families L , P , and LP for low neutralization. (c) Families L_{low} and P_{low} for low neutralization.

