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REVIEW

Diels–Alder “click” reactions: recent applications in polymer and material science

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The “click” chemistry concept is based on utilizing rapid reactions which are efficient, versatile, and selective. Indeed, Diels–Alder (DA) reactions fulfill most of the requirements for the “click” chemistry concept. In this review, we discuss the recent reports concerned with the use of DA “click” reactions in the synthesis of various macromolecular architectures, bioconjugates and hybrid materials.

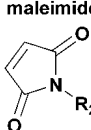
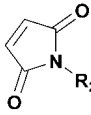
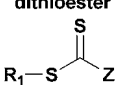
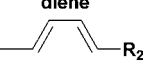
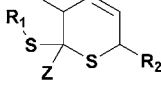
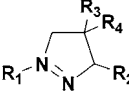
1 Introduction

Diels–Alder (DA) reaction is one of the most common reactions used in organic chemistry and invented by Otto Diels and Kurt Alder who received the Nobel Prize in 1950 for their discovery.¹ DA reaction involves a straightforward [4 + 2] cycloaddition reaction between an electron-rich diene (furan and its derivatives, 1,3 cyclopentadiene and its derivatives, *etc.*) and an electron-poor dienophile (maleic acid and its derivatives, vinyl ketone, *etc.*) to form a stable cyclohexene adduct as illustrated in Fig. 1.^{2,3} This reaction requires a very low energy to form a cyclohexene ring and it allows the formation and functionalization of numerous molecules. DA cycloaddition reaction forms not only carbon–carbon bonds but also heteroatom–heteroatom bonds (hetero-Diels–Alder, HDA) and it is widely used synthetically to prepare six-membered rings.⁴ Some attractive features of DA

reactions (retro-Diels–Alder, rDA) are thermal reversibility and the decomposition reaction of the cyclic system that can be controlled by temperature.⁵

Over the last decade, increasing attention has been devoted to the use of rapid reactions that meet the three main criteria of an ideal synthesis: efficiency, versatility, and selectivity. The extensively studied reactions that have been adapted to fulfill these criteria are known as “click” reactions. They are classified into four categories: (i) cycloaddition reactions (most commonly Huisgen 1,3-dipolar cycloaddition,^{6–9} but also Diels–Alder reaction), (ii) nucleophilic ring-opening reactions of strained heterocyclic electrophiles (epoxides, azirid

Table 1 Selected well-known Diels–Alder reactions in polymer and material science

Reagent A	Reagent B	Mechanism	Adduct	Conditions
 furan	 maleimide	[4 + 2] rDA cycloaddition reaction ^{5,20–23}		Between 25 and 120 °C without a catalyst reversible at temperatures higher than 120 °C
 anthracene	 maleimide	[4 + 2] DA cycloaddition reaction ^{24,25}		2 days under reflux in toluene without a catalyst
 dithioester	 diene	[4 + 2] HDA cycloaddition reaction ²⁶		10 min at r.t. catalyzed by trifluoroacetic acid
 tetrazine	 cyclooctene	[4 + 2] DA cycloaddition reaction inverse ^{27–30}		40 min at 25 °C (100% yield) N ₂ is the only by-product

of reaction conditions. Therefore, it is more appropriate to call some Diels–Alder reactions as “click-type” or simply “efficient conjugation” methods.

A large number of specialized reviews have been published during the last decade on both copper-catalyzed azide–alkyne cycloaddition (CuAAC) and thiol–ene “click” reactions, however, only limited literature address the use of Diels–Alder (DA) cycloaddition reactions as “click” or “click-type” reactions in polymer and material science.^{9,18,31–35} From this point, this review summarizes the potential use of DA “click” reactions in these fields and presents the recent examples to demonstrate the current state-of-the-art.

2 Macromolecular architectures via DA “click” chemistry

Synthesis

retro-Diels–Alder reaction. Well-defined telechelic poly-methacrylates can be prepared by this strategy, which avoided the potential cross-linking side-reactions.⁶⁹

Similarly, bismaleimide end-functionalized poly(ethylene oxide) (PEO) or poly(propylene oxide) (PPO) was reacted with an anthracene molecule having 2,2,6,6-tetramethylpiperidin-1-oxy (TEMPO) and *tert*-bromide groups *via* DA cycloaddition reaction. The obtained telechelic polymer with tertiary bromide and TEMPO functional groups was subsequently used for ATRP of *tert*-butyl acrylate (*t*BA) and for NMRP of styrene (St) to produce the heteroarm H-shaped terpolymers.⁷⁰ DA “click” reaction was also successfully combined with RAFT polymerization for the preparation of telechelic polymers. Sumerlin *et al.* presented a general strategy for end group functionalization of RAFT-generated polymers by DA “click” reaction.⁷¹ Thiol terminated poly(*N*-isopropylacrylamide) (PNIPAM), prepared by RAFT/aminolysis, was reacted with excess of 1,8-bismaleimide derivatives to yield maleimido terminated PNIPAM. The maleimide dienophile allowed efficient coupling with 9-anthracene methanol by DA “click” reaction to yield a hydroxy monotelechelic polymer (Fig. 3).

In another study, thioxanthone end-functionalized PEO was successfully synthesized by using the DA “click” chemistry strategy. The final polymer could be used as photoinitiator for water-borne photopolymerization of several hydrophilic vinyl monomers, such as acrylic acid, acrylamide, 2-hydroxyethyl acrylate, and 1-vinyl-2-pyrrolidone.⁷²

2.3 Block copolymers

As a model case, the preparation of block copolymers would be a suitable platform to show that DA cycloaddition reactions allow a facile access to more complex macromolecular architectures. For example, DA “click” reaction, [4 + 2] system, based on the coupling of furan protected maleimide- and anthracene-end functionalized polymers has been successfully used to generate numerous block copolymers.^{24,73} Well-defined functional precursors, PEO, polystyrene (PSt) and poly(methyl methacrylate) (PMMA), were prepared by anionic ROP, NRMP and ATRP techniques, respectively.^{24,25,70} The overall strategy is represented in Fig. 4 by the preparation of PEO-*b*-PSt and PEO-*b*-PMMA.

Recently, Kimura and co-workers prepared an anthracene-terminated poly(L-lactide) and a maleimide-terminated poly(D-lactide) by tin-catalyzed ROP of the corresponding lactide with 2-hydroxy-methylantracene or *N*-(2-hydroxyethyl)maleimide as the initiator. Simple heating of these polymers could generate the poly(L-lactide)-*b*-poly(D-lactide) copolymer through anthracene–maleimide type DA “click” reaction.⁷⁴

The HDA reactions between heterodienophiles such as imines, aldehydes or thiocarbonyl compounds with suitable dienes are

complete conversion of macromolecular coupling just by shaking the reaction flask at room temperature.^{26,79,80} Furthermore, this “click” reaction can also provide an access to high molecular weight block copolymers ranging from 34 000 to over 100 000 and with low polydispersities (PDI < 1.2).⁸¹

Durmaz *et al.*⁸² elegantly achieved a one-pot “click” construction of an ABC triblock copolymers such as PEO-*b*-PSt-*b*-PMMA and PCL-*b*-PSt-*b*-PMMA by utilizing tandem CuAAC and DA “click” reactions (Fig. 6). Well-defined polymeric precursors were obtained by either ROP of EO and CL or ATRP of St and MMA. The middle block was heterobifunctional PSt containing anthracene at the initiator end and azide at the termination end. Copper catalytic system was used to catalyze both CuAAC and DA “click” reactions at high temperature, and the triblock copolymer was obtained with high coupling efficiencies in a one-pot strategy.

2.4 Graft copolymers

Yagci, Tunca and Hizal *et al.* reported on the preparation of well-defined graft copolymers on the basis of the DA “click” chemistry between polymer backbone bearing anthryl pendant groups and maleimide end-functionalized polymers.²⁵ The “grafting onto” processes were carried out at the reflux temperature of toluene with a quantitative yield and without need for an additional purification step. Two series of well-defined PSt-*g*-PEO and PSt-*g*-PMMA copolymers were successfully prepared through the DA “click” reaction.

Later on, Barner-Kowollik and Stenzel demonstrated the synthesis of a graft copolymer *via* a combination of RAFT and HDA “click” methods.^{83,84} The diene functionalized poly(*trans*-hexa-2,4-dienylacrylate-*r*-styrene) backbone and various dienophile functionalized polymers were prepared *via* RAFT polymerization of corresponding monomers, independently. Then, the HDA “click” reaction of these two polymers led to the formation of graft copolymer in 12 to 24 hours at 50 °C.

The orthogonality of DA and CuAAC “click” reactions was also demonstrated by preparing modular hetero-graft copolymers from maleimide-terminated P*t*BA, alkyne-terminated PEO, and anthracene- and azide-functionalized PSt backbone in a one-pot strategy (Fig. 7).³⁹ The graft copolymers were obtained with high grafting efficiency in the range from about 90% to nearly 100% showing low polydispersity indices (1.15–1.17). The use of consecutive DA and CuAAC “click” chemistries in a one-pot reaction was a versatile and straightforward strategy for the preparation of well-defined heterograft copolymer which cannot be obtained by using only one of the “click” reactions.

In another study, graft and graft-block copolymers were synthesized by either combination of ring-opening metathesis polymerization/Diels–Alder (ROMP/DA) or CuAAC/ROMP/DA strategies. First, anthracene end-functionalized polystyrene macromonomer was synthesized through CuAAC “click” reaction of an oxanorbornenyl al

accessible by DA “click” reactions, sometimes in combination with CuAAC, thus representing the wide scope and modularity. For example, several A₃ type star polymers containing PEO, PMMA and *Pt*BA arms were efficiently synthesized by DA “click” reactions using furan protected maleimide end-functional polymers and a trianthracene core.⁸⁷ ABC type miktoarm star polymers were prepared by combination of DA “click”, ATRP and NMRP processes.⁸⁸ In this case, DA cycloaddition was performed with a maleimide-terminated PEO and an anthracene functionalized dual initiator which is capable to initiate both NMRP and ATRP of St and *t*-BA, respectively (Table 2).

The orthogonality of DA and CuAAC “click” reactions was demonstrated by preparing modular three-arm star block copolymers ((PSt-*b*-PMMA)₃ and (PSt-*b*-PEO)₃) from maleimide-terminated PMMA or PEO and α -anthracene- ω -azide-terminated polystyrene.⁹² Linear PEO, PSt, and PMMA precursors were prepared *via* ATRP of related monomers, except a commercially available PEO. Similarly, a one-pot “double-click” approach was employed to prepare H-shaped ABCDE quinto-polymers.⁹⁰

Barner-Kowollik and co-workers recently reported synthesis of star-shaped polymers by combination of RAFT/HDA “click”, RAFT and ATRP/HDA “click” or ROP and RAFT/CuAAC and HDA “click” processes. In the first example, RAFT polymerization of styrene was followed by a HDA “click” reaction to yield star polymers with up to four arms.³⁸ In general, the coupling efficiencies were found to decrease with increasing number of arms. When a diethoxyphosphoryldithioformate terminated polymer was used the yields of 2-arm star, 3-arm star, and 4-arm star polymers were 81%, 77% and 65%, respectively, and when a pyridin-2-yl dithioformate terminated polymer was used, the yields of 2-arm star, 3-arm star and 4-arm star polymers were 91%, 86% and 82% respectively.

The synthesis of three-arm (PSt-*b*-PCL)₃ star-block copolymers by a sequential combination of the CuAAC and HDA “click” reactions was successfully performed through an arm-first and core-first strategy.⁹⁵ The strategy involves the preparation of an α -diene- ω -alkyne functionalized PCL by AROP, a PSt equipped with an electron-deficient dithioester end group by RAFT, and a triazide coupling agent as the corresponding building blocks. In addition, a twelve-armed star-block copolymer composed of *Pt*BorA internal and PSt external blocks was synthesized by combination of ATRP, RAFT and HDA “click” reactions.⁹⁸ In this system, bromine functionality of an ATRP polymerized multi-functional (*Pt*BorA-Br)₁₂ was converted into diene end groups and subsequently coupled with dithioester functionality of a RAFT-polymerized PSt *via* HDA “click” chemistry.

In a recent study, Tunca *et al.* synthesized cyclic homo and block copolymers by combination of double Cu

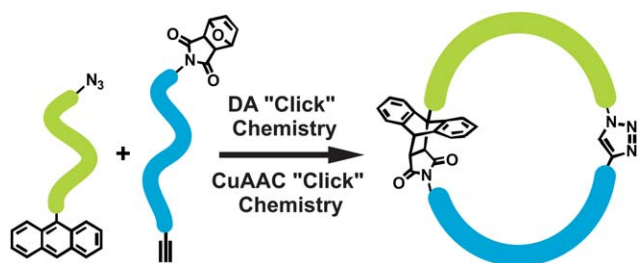


Fig. 8 Synthesis of cyclic block copolymers *via* tandem CuAAC and DA “click” reactions.

cycloaddition reactions for a convergent approach towards benzyl aryl ether dendrimers up to the third generation (Fig. 9).²² The system required a disubstituted furan that could serve as an AB₂ monomer and an *N*-substituted maleimide with a reactive hydroxyl focal point, which was finally coupled with the 1,3,5-benzenetricarbonyl trichloride central linker in the presence of triethylamine in tetrahydrofuran. This work was the first example of a new class of thermally reversible dendrimer taking advantage of the DA/rDA approach. Later on, the same group²⁰ reported a similar system with a bismaleimide core and fourth generation benzyl aryl ether based dendrons that contained furan moieties at their focal point to access first through fourth generation Diels–Alder dendrimers. The DA cycloaddition reactions have also been used in peripheral modification of carbosilane dendrimers and represent a facile example of covalent structural modification.^{100–103}

Sanyal's group¹⁰⁴ recently reported the synthesis of unsymmetrical (AB type) dendrimers by DA “click” reaction of furan functionalized polyaryl ether dendrons and maleimide functionalized polyester dendrons. Precursor dendrons were prepared by coupling of acid-functionalized Frechet dendrons with furfuryl alcohol and divergently starting with a furan-protected *N*-hydroxypropylmaleimide. The DA cycloaddition reaction of dendrons in benzene at 85 °C for 24 h led to the three different generations of unsymmetrical dendrimers (G1, G2 and G3) with 98%, 76%, and 79%, respectively.

Similarly, dendronized polymers (linear polymer chains with bulky side dendrons) have been prepared by DA “click” reaction using an anthracene-bearing PSt backbone and third-generation polyester dendrons containing reactive maleimide at the focal point.¹⁰⁵ Kakkar *et al.* have designed appropriate building blocks

to construct thermoresponsive dendrimers by combination of CuAAC and furan–maleimide type DA “click” reactions, in a sequential manner.¹⁰⁶ These thermally labile dendrimers, which can undergo facile retro-DA disassembly, led to a suite of new nanoscopic materials for a variety of applications. McElhanon and co-workers also reported the synthesis of a linear dendronized step polymer utilizing sequential “double-click” reactions.¹⁰⁷ First, third generation of dendritic bisfuran monomers could be prepared by CuAAC “click” reaction, followed by thermally reversible polymerization by DA “click” reaction between the bisfurans and bismaleimides.



Fig. 10 General strategies for the synthesis of polymer network *via* DA cycloaddition reactions.

PMMA and trifunctional pyridinyldithioformate cross-linker.¹³⁹ The reversibility of rapid HDA reactions allows regeneration of the highly reversibly stimuli-responsive cross-linked polymer network.

It is clear that DA “click” chemistry is the most frequently studied reaction for the synthesis of thermoreversible cross-linked networks including polymer gels and thermoset networks. This ‘thermoreversibility’ enables the fabrication of re-mendable polymers carrying diene and dienophile functionalities, either as the polymer chain-end or in the repeating units.¹⁴⁰ In these polymers, when the fractured polymers are reheated, first DA linkages should disconnect but then the diene and dienophile moieties should reconnect upon cooling and the cracks, or fractures, should repair. This fracture/repair cycle can be repeated multiple times, and it does not require additional chemicals such as a catalyst, monomer, or special surface treatment of the fractured interface. There are a number of Diels–Alder systems based on furan–maleimide,^{23,124,132,135,141–147} cyclopentadiene^{148–155} and anthracene–maleimide^{134,156} couples for re-mendable polymers (Fig. 11). Recent reviews on re-mendable polymers are available in the literature.^{140,157–160}

2.8 Side-chain functionalization

Side-chain functionalization is the process by which desired groups are introduced into the side chain unit of the polymer backbone. Typically side chain functional polymers can be simply prepared by DA “click” chemistry. In this context, Jen and co-workers have used the DA “click” reaction between polymer backbone bearing side-chain anthracene molecules and maleimide-containing nonlinear optical (NLO) chromophores to

yield high-performance NLO polymers.¹⁶¹ DA “click” reaction was also applied using the opposite end functional (side-chain maleimide) polymer backbone and anthryl-containing chromophores.¹⁶² The resulting polymers exhibited high dielectric strength and thermal stability, excellent optical and poling efficiencies, and good processability. The facile access of these polymers highlighted the importance of the reagent-free DA “click” reactions. Moreover, DA click reactions have a significant advantage over the CuAAC “click” reaction because of the high reactivity of azides, towards cyano-containing acceptors that are the most used NLO chromophores.

Another example of side chain functionalization was the incorporation of photoactive groups onto polystyrene backbone by using a “double-click” chemistry strategy (Fig. 12).¹⁶³ For this

mono- and multi-functional monomers *via type II* photo-initiation mechanism.

3 Bioconjugates and modification of biomolecules *via* DA “click” chemistry

3.1 Modification of nucleic acids and oligonucleotides

The modification of biomolecules with synthetic polymers offers many advantages over unmodified counterparts, including increased stability and solubility, the ability to modulate protein activity, and increased bioavailability for therapeutic applications.^{164–166} The DA cycloaddition reactions are a promising tool for the bioconjugation of biomolecules since it occurs within a short period (hours), with very high yields and under mild conditions (at room temperature in aqueous solution). The high compatibility of DA cycloaddition reactions with biomolecules has been operated elegantly in the bioconjugation or immobilization of oligonucleotides, protein, peptides, carbohydrates and antibodies. For example, a number of studies described the use of DA “click” chemistry with oligonucleotides¹⁶⁷ bearing a diene or dienophile moiety with small molecules (carboxylic acid, activated ester, benzophenone, pyrene, fluorescent dye, TEMPO, biotin, *etc.*),^{168–180} polymers,^{170,171,181} gold nanoparticles,^{171,182} and glass surfaces.^{183–187} The reactions were carried out without interference of the many functional groups presented in RNA and DNA. The yields were greater than 80% and often as much as 90–95% within several hours at room or slightly higher temperature (*e.g.*, 30 °C).

The conjugation of diene-modified oligonucleotides with maleimide derivatives of peptides could also be done using DA cycloaddition reaction under mild conditions without additional catalysts (Fig. 13). The reaction was strongly affected by the size of the reagents and nature of dienes and dienophiles, but it was completed in 8–10 h with high yield.^{176,188,189} The DA cycloaddition reactions allowed the straightforward synthesis of large peptide–oligonucleotide conjugates, however, maleimide was prone to Michael addition reactions with other nucleophilic centers on peptides or oligonucleotides and may lead to side reactions.¹⁹⁰

3.2 Modification of amino acids, peptides, and proteins

The DA cycloaddition reactions can also offer many possibilities for the modification of peptides and proteins,^{191–194} due to the compatibility with all amino acid side groups except for the thiol group on cysteine.¹⁹⁵ To prove the applicability of DA “click” chemistry, protein–cyclopentadiene conjugates were prepared and subsequently grafted onto maleimide modified glass,^{196,197} self-assembled monolayer,^{198–206} dendrimer²⁰⁷ or hydrophilic

resin²⁰⁸ to give the cycloaddition product in high yield. The DA cycloaddition reactions have also been recognized as a promising chemoselective methodology to introduce fluorescent labels on proteins,^{28,29,197,209–212} to prepare neo-glycoproteins,²¹³ or to chemically activated proteins.^{27,214–216}

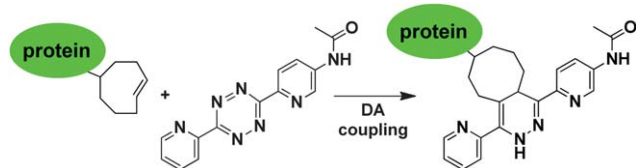


Fig. 15 Functionalization of protein with tetrazole-based diene *via* inverse-electron demand Diels–Alder reaction.

irreversible derivatization of various molecules such as peptides,²⁷ oligonucleotides²²² and small-molecule drugs.^{29,220,223}

3.3 Modification of sugars, polysaccharides, and glycoconjugates

Other biomaterials including carbohydrates and derivatives have been immobilized onto various substrates (such as SAM,^{224,225} protein,^{213,226} human serum albumin²²⁷ and glass²²⁸) by DA cycloaddition reactions.^{229,230} Chaikof and co-workers reported on a protocol using sequential double “click” reactions (DA followed by CuAAC) for the immobilization of carbohydrates and proteins onto a solid surface. First, a PEO linker carrying alkyne and cycloaddition end groups was synthesized and attached onto an *N*-(ϵ -maleimidocaproyl)-functionalized (EMC) glass plate *via* an aqueous DA reaction. Subsequently, an alkyne-functionalized surface was provided for the conjugation of azide-containing biomolecules (proteins, biotin, and sugars) *via* CuAAC “click” chemistry, which proceeded to completion at low temperature and in aqueous solvent. The reactants, azide, alkyne, cycloaddition, and EMC groups were independently stable under bioconjugation conditions and do not react with common organic reagents or with themselves. Thus the potential of this strategy to immobilize a wide range of functionally complex substances onto solid surfaces has been significant.²³¹ Later on, the same group has extended to use of aqueous DA “click” chemistry for the immobilization of diverse biomolecules such as biotin, lactose and protein A onto EMC glass plate.¹⁸³

3.4 Modification of antibodies

DA “click” chemistry has been recently employed for bioconjugation of maleimide-functional antibodies onto furan-functional polymeric nanoparticle surfaces.^{232–235} The

self-assembled polymeric nanoparticles were designed from poly (2-methyl, 2-carboxy trimethylene carbonate-*co*-D,L-lactide)-*graft*-poly(ethylene glycol) with furan groups located on the surface of the PEO corona. Coupling of maleimide-functional antibodies on the nanoparticle surface *via* DA chemistry led to the immuno-nanoparticles, which are promising tools for antibody-mediated targeted drug delivery. The DA “

any catalyst (Fig. 17).²⁵⁹ In another case, DA “click” reaction was applied to synthesis of polymer hybrid materials from organic molecules onto silicon wafers,^{261,262} gold nanoparticles^{263–265} or tetraethoxysilanes.^{147,266}

5 Conclusions and perspectives

In conclusion, DA “click” reactions show great potential for the construction of tailor-made functional materials such as telechelic polymers, block, graft, star, star-block, H-shaped polymers, dendrimers, bioconjugates and hybrid materials. DA “click” chemistry features several outstanding advantages including water-solubility, high reaction yields, no detectable side-reactions and no requirement for additional catalysts. Moreover, the reactive moieties of this method are inert for most functionalities such as –COOH, –OH and –NH₂ groups and biomolecules. It can be applied under particularly mild conditions (aqueous solution without solvents, neutral pH and moderate temperature). Another considerable advantage of these reactions is commercial availability of the suitable dienophiles in the form of maleimide-derivatives.

Overall, the DA “click” chemistry is a simple synthetic route to access organic and hybrid materials. While maleimides are used as dienophiles in most of the DA reactions developing new dienes and dienophiles should upgrade its ability in novel applications. On the other hand, sometimes longer reaction times are required for the complete conversion, which may be overcome by addition of suitable catalysts.

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