

Quantum batteries evolving from solid-state in a perspective from the Materials for Energy Research (MatER) laboratory led by Professor Maria Helena Braga, Engineering Physics Department, University of Porto, Portugal.

A perspective on the building blocks of a solid-state battery: from solid electrolytes to quantum power harvesting and storage

Findings emphasize the quest for understanding what confers effectiveness to solid electrolytes. The study examines ferroelectrics, topological insulators, and investigates quantum batteries, and ends with quantum thermal machines. The goal is to present a future, enfolding from the present, through macro coherent phenomena leading to quantum power harvesting and storage.





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# A perspective on the building blocks of a solid-state battery: from solid electrolytes to quantum power harvesting and storage

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We present a comprehensive perspective on the fundamental components of a solid-state battery, starting from all-solid-state electrolytes and extending to quantum power harvesting and storage. First, we delve into the key characteristics that define an effective electrolyte. It is confirmed that the most promising electrolytes are semiconductors with a low work function, displaying behavior akin to anodes. Moreover, electrolyte classes composed of multiple dominant elements S, O, and Cl, such as argyrodites and oxyhalide electrolytes, show more significant potential than those with only one dominant element, such as sulfide, oxide, and halide electrolytes. We also explore ferroelectricelectrolyte-based devices capable of both energy harvesting and storage. Ferroelectric materials possess exceptional properties that hold the promise to revolutionize the next generation of electronic devices. Likewise, we explore the realm of ferroelectric topological insulators (FETIs), which open up a new world of possibilities. Pioneering studies in this area are reported herein. Continuing our assessment, we investigate the domain of the small and provide an overview of the principles behind quantum batteries. We explain the general quantum model and present three different types of quantum batteries: the Dicke model, the spin-chain model, and the quantum thermal machines, whose function mechanism is based on Maxwell's demons (isothermal baths) and quantum refrigerators. By presenting these insights, we hope to contribute to advancing solid-state batteries and quantum power harvesting and storage technologies that maintain coherence even at macrosizes, paving the way for more efficient and sustainable electronic devices.

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## Introduction

As we enter a new era, fossil fuels are no longer considered the forthcoming primary means of powering society. It has become imperative to seek sustainable technologies capable of producing and storing green energy.1 Over time, batteries have undergone tremendous advancements and have now become the leading technology for energy storage. The most significant breakthrough in this field came with the development of lithium-ion batteries (LIBs), which have revolutionized energy storage, from portable charging devices to electric vehicles.2

Liquid or gel electrolytes form the fundamental building blocks of LIBs, enabling Li<sup>+</sup>-ions to be transported from one electrode toward the other while cycling a battery. However, in some cases, when the charging process is too rapid, for example, due to low temperatures, cations cannot be inserted into the negative electrode. As a result, lithium accumulates at the surface, leading to the formation of dendrites. These dendrites are fractals and may eventually penetrate the separator, connecting both electrodes and creating a short circuit. The formation of dendrites poses significant safety risks due to the flammable character of liquid and gel electrolytes, the likelihood of thermal runways, and cathodes' oxygen release.3 To overcome the challenges associated with traditional liquid and gel electrolytes, researchers have developed non-flammable solid-state electrolytes that exhibit cationic conduction, such as Li<sup>+</sup>, Na<sup>+</sup>, and K<sup>+</sup>.<sup>4,5</sup> Solid-state electrolytes are generally not flammable, offer increased stability to dendrite formation, and are compatible with high-potential electrodes, allowing for higher energy-density batteries as they permit using electrodes with higher theoretical capacity, such as lithium (3860 mA  $g^{-1}$ ).

This perspective will start by looking into the driving forces of a solid-state battery, which are unified with other energy harvesting, storage and switching devices such as photovoltaics, thermoelectrics, capacitors, diodes, and transistors, among others, some of them punctually chosen for comparison here. A solid-state electrolyte is not just a variety of its liquid sibling; in solid electrolytes, usually, there is a main mobile species that leaves behind opposite sign-charging regions. The latter has implications for the velocity of these charge carriers and their position about the mobile ion, which affects how an electrical impedance spectroscopy (EIS) plot is analyzed, resulting in very different determinations of the ionic conductivity for similar data.

On the other hand, the polarization that has traditionally been tried to be avoided is not worth the effort, as polarization arises spontaneously from the difference in Fermi levels of the electrode/electrolyte that may not be overcome by the tunneling of electrons from the electrode to the electrolyte, as the electrolyte is an insulator with a specific relative position of its lower energy of the conduction band in regard to the Fermi level or electrochemical potential of the conductor-electrode. Therefore, it is paramount to remember these principles, which are shared across solid-state devices. Lately, it has been shown<sup>6</sup> that electrolytes demonstrate themselves p-type semiconductors.

The analysis of the electrolytes will be based on the type of elements they contain and the impact on their properties. Their crystalline structures or other properties, such as ferroelectricity, will also distinguish them for the same reasons pointed out before, similar to what happens in the photovoltaics world.

Quantum batteries will be discussed in all their known variations. Their macro-size versions are already working prototypes and may harvest thermal energy besides electrical energy storage through coherent properties.

#### 1.1. Features enabling energy storage

Ionic conductivity in solid electrolytes depends on parameters such as the mobile ions' concentration, size and mobility, lattice structure and defects, and conduction mechanisms. Solid electrolytes, like liquid electrolytes, display mass and charge transport. The solid electrolytes herein typically exhibit cation conductivity as opposed to liquid electrolytes, which rely on both ion mobilities, thus creating the need for intensive research on ionic transport in solid electrolytes to obtain fast ionic conductivity at room temperature (RT).

In solid electrolytes, ions move through disordered sublattices within an enclosed, cage-like framework. The equation expresses the ion conduction in solid-state electrolytes as  $\sigma_i$  $n_i q \theta_i$  where  $n_i$ , q, and  $\theta_i$  are the mobile ion concentration, the elementary charge, and the ionic mobility. These parameters are dependent on the temperature following an Arrhenius-type behavior. The ionic conductivity is related to the diffusivity of mobile ions - which is given by the Nernst-Einstein equation,

 $D_i = rac{\sigma_i k_{
m B} T}{n_i z_i^2 q^2}$ , where  $k_{
m B}$  and T are the Boltzmann's constant and the absolute temperature,  $z_i$  is the number of transferred charges per ion, and e is the unitary charge of the electron.

Ionic diffusion in inorganic materials consists of ions hopping between adjacent available sites, overcoming a higher energy barrier, the activation energy, due to geometry constraints and electrostatic interactions. Generally, two different hopping mechanisms can be distinguished: direct and knock-off. In the direct hopping mechanism, an individual ion hops from one lattice site to its adjacent vacant site. In contrast, knock-off-hopping is due to an indirect interstitial mechanism where an interstitial atom pushes the matrix atom toward another interstitial site and realizes ionic transport.

In solid electrolytes, creating highly disordered defects for ions to move, designated Schottky and Frenkel point defects, is necessary to enhance the ionic conductivity. According to the Arrhenius model, ionic conductivity in crystalline solids is given

by the equation  $\sigma_i = rac{A}{T} e^{-rac{E_A}{k_{
m B}T}}$ , where A is a pre-exponential factor and  $E_A$  is the activation energy of diffusion. The predominant carriers for ion conduction are point defects in solids; this characteristic excludes most inorganic materials due to the lack of functional defects for ion migration or high activation energy. Thanks to advances in crystal structure engineering, it was discovered that only a limited number of materials sharing particular types of structures could diffuse Li<sup>+</sup> and Na<sup>+</sup> ions efficiently. However, minor concentration substitutions of the

monovalent mobile cation by multivalent cations with a high ionic radius may tailor new solid electrolyte solutions.

However, the fundamentals of solid-state batteries surpass the properties associated with the internal impedance associated with ionic transport, which is a consequence, not the driving force for the ionic and electric mechanisms within the cell.

The driving force in a battery is associated with the necessity of aligning the electrochemical potentials, according to the equation

$$\bar{\mu_i} - \bar{\mu_i} = \bar{\mu_i} - \bar{\mu_i} + z_i e(\phi_i - \phi_i)$$
 (1)

where j and i are different species in electrical contact, as in a heterojunction;  $\mu_i$ ,  $\mu_i$ , and  $\phi_i$  are the electrochemical potential or Fermi level for the solid species, chemical potential, and surface potential of species j;  $z_i e \phi_i$  is an electrical work performed by i on the surface of j;  $z_i$  is the valence of the mobile charge (e.g.,  $z_{Li} = +1$ ). When the electrochemical potentials are equalized,  $\mu_i - \mu_i = 0 = \mu_i - \mu_i + z_i e(\phi_i - \phi_i) \Rightarrow \mu_i - \mu_i = z_i e(\phi_i - \phi_i)$  $\phi_i$ ) =  $z_i e \Delta V_{ii}$ . If both i and j are conductors, such as the electrodes, they may equalize their chemical potentials by exchanging electrons upon electrical contact. Nonetheless, if one of the species is an insulator or semiconductor, when possible, electrical double-layer capacitors (EDLCs) of potential difference  $\Delta V_{ii}$  will form at the interfaces to equalize the difference in chemical potentials. In a solid-state device such as a battery, EDLCs store the energy. Therefore, for energy storage to happen, an interface involving at least one semiconductor or insulator must be present. A battery cell's asymmetry relies on one or two double-layer capacitors formed at the interface of the negative electrode/electrolyte or electrolyte/positive electrode.

If the negative electrode is lithiated graphite, the battery cell may discharge without any change in the electrochemical potential of the negative electrode. As to the equilibrium  $\text{LiC}_6 \Leftrightarrow (1-2x)\text{LiC}_6 + x\text{LiC}_{12} + x(\text{Li}^+ + \bar{e}), 0 \le x \le 0.5$  corresponds to a capacity of 177 mA h g<sup>-1</sup>, which is usually enough to discharge a traditional cathode with an experimental capacity inferior to 170 mA h g<sup>-1</sup>. At equilibrium,  $x\text{LiC}_{12}$  and  $x(\text{Li}^+ + \bar{e})$  form from  $\text{LiC}_6$ , while the chemical potentials of the species are kept constant. Therefore, if the anode is lithiated graphite ( $\text{LiC}_6$ ), while discharging, the voltage *versus* time/capacity curve reflects the equilibria at the cathode side. The cathode's chemical potential increases while the electrons are transported to the cathode through an external circuit from the anode. Then, a new phase rich in mobile ions  $\text{Li}^+$  will start to form, and while the initial/charged cathode phase does not run out, the discharge curve is at a plateau because the chemical potential of both phases is equal (Fig. 1b), as pointed out earlier.

Here, we have considered  $\mu_{\rm C} \leq \mu_{\rm E} \leq \mu_{\rm A}$  for  $E_0=0$  for electrons at rest in a vacuum at the surface of the correspondent material (Fig. 1a); in fact, the chemical potential of the electrolyte may also assume different relative values,  $\mu_{\rm E} \leq \mu_{\rm C} \leq \mu_{\rm A}$  and  $\mu_{\rm C} \leq \mu_{\rm A} \leq \mu_{\rm E}$ , depending on the electrolyte, while the cathode and anode must have chemical potentials  $\mu_{\rm C} \leq \mu_{\rm A}$  per definition of the cathode and anode. If the electrolyte's potential  $\mu_{\rm C} \leq \mu_{\rm A} \leq \mu_{\rm E}$ , the quantum well in Fig. 1 becomes a quantum barrier.

When the Li<sup>+</sup>-poor phase runs out, the Li<sup>+</sup>-rich phase is the only one present in the cathode, and its chemical potential will increase with more electrons and Li<sup>+</sup> transported to the cathode. The cations Li<sup>+</sup> move by the action of coulombic forces as the electric field points to the cathode within the electrolyte (Fig. 1a).

While Gauss's law determines that the electric field outside an EDLC is null, as the cathode's chemical potential increases, the equilibrium between the electrolyte's electrochemical potentials and the cathode is dynamic. Fewer negative charges

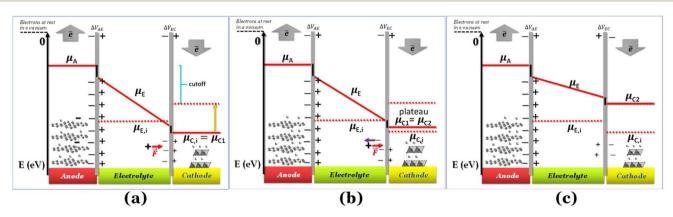


Fig. 1 Schematic discharge of a battery as a function of the chemical potentials of the electrodes and electrolyte; (a) cell was charged and started to discharge;  $\Delta V_{\text{battery cell}} = \Delta V_{\text{AE}} + \Delta V_{\text{EC}}$ ; (b) a new phase is formed at the cathode, and the two phases become at equilibrium ( $\mu_{C1} = \mu_{C2}$ ), corresponding to the plateau voltage;  $A^+ + \bar{e}$  (e.g.  $A^+ = \text{Li}^+$ ) forms more  $\mu_{C_2}$ ;  $\Delta V_{\text{battery cell}} = \Delta V_{\text{AE}} + \Delta V_{\text{EC}}$ ; (c) as the chemical potential overcomes the intrinsic chemical potential of the electrolyte, both surfaces of the electrolyte are charged positively;  $\Delta V_{\text{battery cell}} = \Delta V_{\text{AE}} - \Delta V_{\text{EC}}$ . The black lines at the interfaces are the walls of the potential quantum well/barrier related to interfacial impedances/resistances. Note: for simplification, no band gap is represented here for the electrolyte; however  $\mu_{\text{E,i}} = -(E_{\text{C}} + E_{\text{V}})/2 = -E_{\text{g}}/2$ , where  $E_{\text{c}}$ ,  $E_{\text{v}}$ , and  $E_{\text{g}}$  are the minimum energy of the conduction band, the maximum energy of the valence band, and the band gap, respectively;  $\mu_{\text{E,i}}$  is the chemical potential of the electrically insulated electrolyte, and  $\mu_{\text{A}}$ ,  $\mu_{\text{E}}$ , and  $\mu_{\text{C}_1}$  are the chemical potentials of the anode, electrolyte, and cathode (phase 1).  $\vec{F}$  is coulombic force. Schematics with the band gap of the electrolyte are shown in Fig. 4.

must accumulate at the electrolyte's surface on the cathode side. Therefore, the Li<sup>+</sup>-ion diffuses towards the cathode. In most electrolytes, the negative charges are negatively charged vacancies, Va<sub>Li</sub><sup>+</sup>. Therefore, neutrality is restored when a Li<sup>+</sup>ion occupies a Va<sub>Li</sub><sup>+</sup> -.

The potential difference between the two EDLCs in series sum is equal to the battery's voltage,  $\Delta V_{\text{battery cell}} = \Delta V_{\text{AE}} + \Delta V_{\text{EC}}$ . As the chemical potential of the cathode increases due to electron transport from the anode, the voltage of the battery decreases,  $\Delta V_{\rm battery\ cell}$ . When the cathode's chemical potential surpasses the electrolyte's intrinsic chemical potential, then the cell's potential difference is  $\Delta V_{\rm battery\ cell} = \Delta V_{\rm AE} - \Delta V_{\rm EC}$ . The voltage will be zero when  $\Delta V_{\rm AE} = \Delta V_{\rm EC}$ . No EDLC forms when  $\mu_{\rm E}$  $=\mu_{\rm C}$ . At this point, the Li<sup>+</sup> is free to diffuse under Fick's law. From the EDLCs, electrons may tunnel to the electrolyte's surface, reducing the Li<sup>+</sup> to Li<sup>0</sup>, which then diffuses to the cathode under Fick's law of diffusion. The latter mechanism is more likely if the electrolytes' surface states are dense enough,  $\geq 10^{12}$  states per cm<sup>2</sup>, to hold a specific concentration of electrons. The EDLC is a triangular quantum well or barrier, depending on the electrolyte/cathode relative chemical potentials. However, the anode/electrolyte/cathode will represent a quantum well or barrier themselves depending on the relative positions of the chemical potentials, as described previously.

When the electrolyte is ferroelectric, the difference in chemical potentials at the interfaces is minimized<sup>6</sup> and the internal resistance is reduced to the activation energy associated with the mobility of the ions.

## 1.2. Solid electrolytes: Li/Na-SICON, argyrodite, garnet, perovskites, double perovskites, antiperovskites, halides, and oxyhalides

One key component of a battery is the electrolyte, making it one of the essential factors for battery performance. An ideal solidstate electrolyte is said to possess the following properties: (1) high ionic conductivity (Fig. 2); (2) low electrical conductivity; (3) a wide electrochemical window of stability to match with high-voltage cathodes (Fig. 3); (4) a high A<sup>+</sup>-ion transference number for inhibiting the formation of negative space charge near the anode and promoting uniform ion deposition; (5) sufficient mechanical strength to inhibit ion growth; (6) good electrode wettability and low interfacial resistance with electrodes; (7) good flexibility to accommodate the change of the electrodes' volume during battery charge and discharge; (8) good thermal stability to ensure safe and stable operation of batteries at lower and higher temperatures; (9) easy fabrication and low cost.

It is possible to distinguish three types of solid electrolytes: inorganic electrolytes (ISEs),7 polymer electrolytes (SPEs),8 and organic and inorganic composite electrolytes (O-ICSEs). O-ICSE is the most recent class of electrolyte, as its composition combines ISE and SPE characteristics. However, studying these composites is a relatively recent subject, and much research still needs to be carried out. SPEs consist of a polymer matrix and Li salts without any liquid solvents. These electrolytes are light weight and easy to shape, therefore capable of adapting to different battery structures. They also have good electrode wettability and flexibility that reduces the impact of electrode

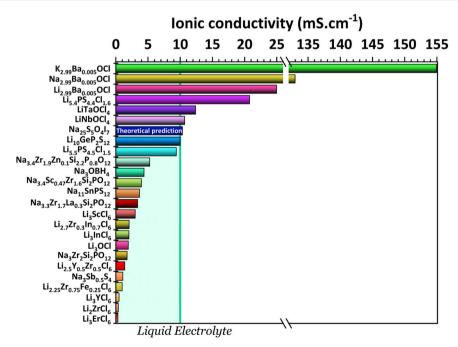


Fig. 2 Ionic conductivities for the electrolytes:  $K_{2.99}Ba_{0.005}OCl$  and  $Na_{2.99}Ba_{0.005}OCl$ ;  $^{28}$   $Li_{2.99}Ba_{0.005}OCl$ ;  $^{29}$   $Li_{5.4}PS_{4.4}Cl_{1.6}$ ;  $^{30}$   $LiTaOCl_4$  and  $LiNbOCl_{4}; {}^{31}Na_{25}S_{5}O_{4}I_{7} \ (theoretical\ study); {}^{32}Li_{10}GeP_{2}S_{12}; {}^{11}Li_{5.5}PS_{4.5}Cl_{1.5} {}^{33}\ and\ Na_{3.4}Zr_{1.9}Zn_{0.1}Si_{2.2}P_{0.8}O_{12}; {}^{34}Na_{3}OBH_{4}; {}^{35}Na_{3.4}Sc_{0.47}Zr_{1.6}Si_{2}PO_{12}; {}^{36}Na_{3.4}Sc_{0.47}Zr_{1.6}Si_{2}PO_{12}; {}^{36}Na_{3.4}Sc_{0.47}Zr_{1.6}Si_{2}PO_{12}; {}^{36}Na_{3.4}Sc_{0.47}Zr_{1.6}Si_{2}PO_{12}; {}^{36}Na_{3.4}Sc_{0.47}Zr_{1.6}Si_{2}PO_{12}; {}^{36}Na_{3.4}Zr_{1.9}Zr_{1.6}Si_{2}PO_{12}; {}^{36}Na_{3.4}Zr_{1.6}Zr_$  $Na_{11}Sn_{2}PS_{12};^{37} \quad Na_{3.3}Zr_{1.7}La_{0.3}Si_{2}PO_{12};^{38} \quad Li_{3}ScCl_{6};^{39} \quad Li_{2.7}Zr_{0.3}ln_{0.7}Cl_{6},^{40} \quad Li_{3}lnCl_{6};^{41} \quad Li_{3}OCl_{7};^{29} \quad Na_{3}Zr_{2}Si_{2}PO_{12};^{42} \quad Li_{2.5}Y_{0.5}Zr_{0.5}Cl_{6};^{43} \quad Na_{3}Sb_{0.5}Sa_{1};^{44} \quad Na_{11}Sc_{11}Sc_{12}Sc_{$ Li<sub>2.25</sub>Zr<sub>0.75</sub>Fe<sub>0.25</sub>Cl<sub>6</sub>; 45 Li<sub>3</sub>YCl<sub>6</sub>; 46 Li<sub>2</sub>ZrCl<sub>6</sub>; 45 and Li<sub>3</sub>ErCl<sub>6</sub>.

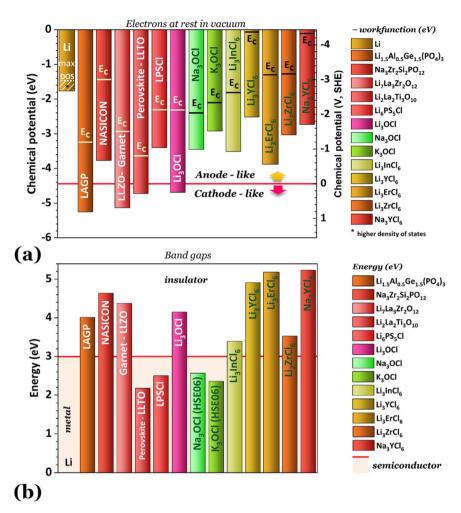


Fig. 3 Original data: (a) chemical potentials for electrically insulated materials and the lowest energy in the conduction band; (b) band gap reflecting the insulator or semiconductor character of the electrolytes. Note: the energy of the band gaps in (a) is  $E_0 = 2 \times (E_C - \mu_{\text{electrolyte}})$  eV.

volume change during the charge–discharge process. However, they cannot always suppress the formation of Li dendritic structures, and the ionic conductivity of SPEs is very low,  $10^{-4}$  – 0.01 mS cm $^{-1}$ , representing a significant drawback for application in all-solid-state batteries. On the other hand, inorganic solid electrolytes possess high ionic conductivities capable of competing even with liquid electrolytes >10 mS cm $^{-1}$  (Fig. 2). ISE is also associated with higher mechanical modules; they inhibit the growth of Li dendrites, are non-flammable, and are therefore associated with a higher safety level. Inorganic solid-state electrolytes can be divided into different types of structures or element-containing compounds that summarize the characteristics of the most studied families of electrolytes, such as Li/Na-SICON, argyrodite, garnet, and perovskite structures.

The general structure of LISICON (lithium superionic conductor) materials is based on the NASICON (sodium superionic conductor) crystal structure. LISICON belongs to a broader family of solid-state materials with the general chemical formula A<sub>11</sub>B<sub>2</sub>Ch<sub>12</sub>, where A represents alkali metal cations (*e.g.*, Li, Na, and K), B represents metal cations (*e.g.*, Ge, Ti, and Zr), and Ch denotes chalcogen anions (*e.g.*, O, S, and Se). The crystal structure of LISICON can be described as a three-

dimensional framework of corner-sharing polyhedral units. The B cations are surrounded by oxygen anions or other chalcogens, forming a B-centered polyhedron. These polyhedra are connected by shared oxygen (or chalcogen) ions to create a 3D network. Within this framework, the A cations (e.g., Li) occupy the interstitial spaces between the B-centered polyhedra. Lithium ions in these interstitial sites allow for efficient lithium-ion transport, making LISICON materials candidates for solid-state electrolytes.

LISICON and argyrodite structures are usually found in sulfide-and-oxide-based electrolytes. The first LISICON electrolyte report can be traced back to 1980 when Li $_{3.6}$ Ge $_{0.6}$ V $_{0.4}$ O $_4$  was successfully synthesized, resulting in an ionic conductivity of approximately 0.04 mS cm $^{-1}$  at room temperature (RT). The crystalline structure of oxide-based LISICON electrolytes commonly resembles Li $_4$ SiO $_4$  (monoclinic,  $P2_1/m$  space group) or  $\gamma$ -Li $_3$ PO $_4$  (orthorhombic, Pnma space group) structures. These structures consist of tetrahedral units based on XO $_4$  (where X can be P, Al, Si, Ge, S, or Ti) and Li–O polyhedral units. For LISICON-oxide-based electrolytes, the highest reported value of ionic conductivity is approximately 0.9 mS cm $^{-1}$  at 27 °C, for the Li $_4$ Al $_{1/3}$ Si $_{1/6}$ Ge $_{1/6}$ P $_{1/3}$ O $_4$  electrolyte. LISICON-

oxide-based electrolytes are associated with relatively low ionic conductivity at RT, a significant limitation for employing these electrolytes in all-solid-state batteries.

Thio-LISICON solid-state electrolytes are derived from LISICON-oxide electrolytes by substituting the O<sup>2-</sup> anion with the S<sup>2-</sup>. This substitution improved the ionic conductivity of LISICON electrolytes up to 12 mS cm<sup>-1</sup> at RT for Li<sub>10</sub>GeP<sub>2</sub>S<sub>12</sub> LGPS,11 which meets the demands of commercial all-solid-statebatteries (ASSBs). Although thio-LISICON presents higher ionic conductivities due to the presence of sulfur in the backbone, this same element leads to a decrease in the overall stability of the electrolyte towards moisture.

Argyrodites are a group of compounds with the general chemical formula A<sub>7-x</sub>BCh<sub>6-x</sub>Hal<sub>x</sub>, where A represents alkali metal cations (e.g., Li, Na, and K), B is P or As, Ch is a chalcogen (e.g., S and Se) and Hal is Cl, Br, or I. In the argyrodite structure, Ch chalcogens share the corners of the cube with Hal halides; the P or As form a B-centered polyhedron with Ch at the corners. A cations are positioned at the cube's center, and the tetrahedral voids are within the B-centered polyhedron. This crystal structure creates a three-dimensional network of corner-sharing polyhedra, providing pathways for efficient ion transport, particularly for lithium ions. Argyrodites are known for their high lithium-ion conductivity, making them promising candidates for solid-state electrolytes in advanced lithium-ion batteries and other electrochemical devices.

Among argyrodite-type sulfur electrolytes, Li<sub>6</sub>PS<sub>5</sub>Hal (Hal = Cl, Br, I) stands out as the most extensively studied and promising.12 Substituting the halogen element between Cl, Br, and I leads to an evident variation in lattice site disorder, increasing the unit cell volume and the intra-cage and inter-cage jump distance.13

In this perspective, our primary focus is on chloride anionbased electrolytes. This choice is based on the following reasons: (1) fluorine is the most electronegative element, resulting in a strong bond with Li ions and further impeding fast Li-ion conduction; at the same time, as they have a small radius, the lattice volume is smaller, further hindering the Li<sup>+</sup> conductivity; (2) bromine is toxic, and as the world seeks safer and more environmentally friendly options, it has been disregarded; (3) iodine, while expected to yield better results, has limitations due to its photosensitivity, which poses challenges for mass-scale production applicability. Considering these factors, chloride anion-based electrolytes are a more favorable option, as they offer better Li-ion conduction while addressing the concerns associated with fluorine, bromine, and iodine.

Regarding Li<sub>6</sub>PS<sub>5</sub>Cl, the highest value of ionic conductivity reported for this electrolyte is 4.96 mS cm<sup>-1</sup> at 26 °C when prepared via the solid-state reaction.14 The most common preparation method for this electrolyte is ball-milling;15 however, several groups adopted a multiple-step synthesis that included both annealing and ball-milling to improve the crystallinity and ionic conductivity. Argyrodite-sulfur electrolytes display high ionic conductivity. However, the presence of sulfur in the backbone leads to decreased stability toward moisture.16 In 2010, the first synthesis of oxide-based argyrodite Li<sub>6</sub>PO<sub>5</sub>Hal was reported.17 The ionic conductivity of the oxygen-based

Li<sub>6</sub>PO<sub>5</sub>Cl electrolyte reported at RT was 10<sup>-6</sup> mS cm<sup>-1</sup>, a value quite inferior to its sulfide-argyrodite counterpart.

The garnet structure is observed in oxide-based electrolytes, exhibiting exceptional stability when in contact with lithium metal and demonstrating satisfactory ionic conductivity. Garnet structures are commonly found in orthosilicates, characterized by the general formula,  $A_3^{II}B_2^{III}(SiO_4)_3$  (A = Ca, Mg; B = Al, Cr, Fe) where A, B, and Si cations occupy the eight, six, and four coordination sites, respectively. These structures typically crystallize in a cubic arrangement with an  $Ia\bar{3}d$  space group. Among garnet-type electrolytes, there are four main subtypes: Li<sub>3</sub>, Li<sub>5</sub>, Li<sub>6</sub>, and Li<sub>7</sub>. Notably, the ionic conductivity of garnets containing lithium demonstrates substantial exponential growth as the Li content expands throughout a wide range. Among all the famous garnet-type electrolytes, the one that has emerged as the most promising is LLZO, Li<sub>7</sub>La<sub>3</sub>Zr<sub>2</sub>O<sub>12</sub>. <sup>18</sup> This electrolyte has an ionic conductivity of 0.3-0.5 mS cm<sup>-1</sup> at 25 °C, <sup>19</sup> good thermal and chemical stability against reactions with prospective electrode materials, low cost of production, and environmental availability. On the downside, the total ionic conductivity in this electrolyte is highly sensitive to grain boundaries. These structures tend to reduce the ionic conductivity of the electrolyte, introducing the need for careful control over a hightemperature sintering process to reduce grain boundaries therefore, achieve higher ionic densities conductivities.

The general structure of NASICON is based on a threedimensional framework of corner-sharing polyhedral units. NASICON is a family of solid-state materials with the general chemical formula AM<sub>2</sub>(PO<sub>4</sub>)<sub>3</sub>, where A represents monovalent cations (e.g., Li and Na) and M represents a combination of divalent and trivalent cations (e.g., Sn, Ti, and Zr).

In the NASICON crystal structure, the M cations are located at the corners of a distorted octahedron, forming an M-centered polyhedron. The PO<sub>4</sub> tetrahedral units (phosphate anions) are connected to these M-centered polyhedra, creating a threedimensional network. The A cations are positioned in the interstitial sites between these polyhedral units.

The general structure of perovskites is based on a threedimensional framework of corner-sharing polyhedral units. Perovskites are a family of materials with the general chemical formula ABX<sub>3</sub>, where A (e.g., Ba) and B (e.g., Ti) are cations and X is an anion, for example, oxygen; the structure is cubic and  $Pm\bar{3}m$ . In the perovskite crystal structure, the X atoms (e.g., O) are located at the corners of an octahedron, forming a BO<sub>6</sub> polyhedral unit. The B cations are located at the center of the octahedron. The A cations are positioned in the center of the cube. The arrangement of these polyhedral units creates a threedimensional network with a cubic symmetry. The general formula ABX<sub>3</sub> signifies that six X anions surround each B cation and each A cation is coordinated to six X anions in an octahedral arrangement.

Perovskites exhibit many properties and have applications in various fields, including solid-state materials, electronics, energy conversion and storage (e.g., photovoltaics and fuel cells), and catalysis. The versatility of perovskite materials stems from the ability to modify the A, B, and X elements, resulting in diverse electronic, magnetic, and optical properties.

The NASICON and the perovskite structures have lower ionic conductivity than previously mentioned electrolyte types and are still highly subjective to grain boundary issues. NASICON electrolytes, discovered in 1976 by Goodenough et al.,20 have a general formula of  $AM_2(PO_4)_3$ , where A = Li, Na and M = Ge, Zr, Ti, and may be doped with Al. Generally, the ionic conductivity is higher when the A element is sodium Na rather than lithium (Li). For instance, LiGe<sub>2</sub>(PO<sub>4</sub>)<sub>3</sub> - LGP exhibits an ionic conductivity of approximately 10<sup>-4</sup> mS cm<sup>-1</sup>. Adding aluminum as a dopant in Li<sub>1.5</sub>Al<sub>0.5</sub>Ge<sub>1.5</sub>(PO<sub>4</sub>)<sub>3</sub> - LAGP significantly improves the ionic conductivity of this electrolyte, with a maximum achieved value of 0.58 mS cm<sup>-1</sup> at RT.<sup>21</sup> Comparatively, the LAGP electrolyte showed a remarkable enhancement in ionic conductivity, surpassing LGP by approximately four orders of magnitude. Considering the sodium ion version,  $Na_{1+x}Zr_2Si_xP_{3-x}O_{12}$ , the highest ionic conductivity obtained is for x = 2, Na<sub>3</sub>Zr<sub>2</sub>PSi<sub>2</sub>O<sub>12</sub> with an ionic conductivity of approximately 1.13 mS cm<sup>-1</sup> at RT.<sup>22</sup> However, several doping strategies have been employed to achieve higher ionic conductivities: (1) doping with potassium ions, which demonstrated for Na2 303- $K_{0.099}Zr_2Si_{1.880}P_{1.106}O_{12}$  an ionic conductivity of 0.8 mS cm<sup>-1</sup> at RT;<sup>23</sup> (2) doping with Ge,  $Na_{3.125}Zr_{1.75}Sc_{0.125}Ge_{0.125}Si_2PO_{12}$ , resulted in total ionic conductivity 4.6 mS cm<sup>-1</sup>;<sup>24</sup> (3) doping with Sc, Na<sub>3.4</sub>Zr<sub>1.6</sub>Sc<sub>0.4</sub>Si<sub>2</sub>PO<sub>12</sub> provides an RT ionic conductivity of 4.0 mS cm<sup>-1</sup>.<sup>22</sup>

As for perovskite electrolytes, one notable example is Li<sub>3x</sub>La<sub>(2/3)-x</sub>TiO<sub>3</sub> LLTO. In 1987, Belous et al.<sup>25</sup> synthesized the LLTO perovskite for  $0.04 \le x \le 0.17$  for the first time. In the LLTO solid electrolytes' structure, the Li-ion occupies the A-site, resulting in a lower activation energy. This electrolyte shows several advantages: (1) large ionic transference numbers, (2) superior chemical and (3) thermal stabilities in air, and (4) environmentally friendly character without any release of toxic gases during decomposition reactions. However, the significant grain boundary resistance reduces the total ionic conductivity of this electrolyte to <0.01 mS cm<sup>-1</sup> at RT. LLTO is also very unstable in the presence of Li metal. For potentials below -1.7 V vs. Li<sup>0</sup> (-1.39 eV per e for E=0 eV, electrons at rest in a vacuum), LLTO is reduced, and Li can be intercalated in it, which causes the reduction of Ti<sup>4+</sup> and enhances the electrical conductivity of Li<sub>0,29</sub>La<sub>0,57</sub>TiO<sub>3</sub> LLTO.<sup>26</sup> The latter observation indicates that the lowest energy of the conduction band is approximately -3.1 eV (E=0 eV, electrons at rest in a vacuum). The original calculations hereafter demonstrate that the lowest energy of the conduction band for LLTO is -3.6 eV, which is in good agreement with the experimental result, considering that this value is the result of two different simulations: (1) the average potentials from a simulated surface; (2) the band structure from the bulk electrolyte.27 Note that herein, the simulated LLTO was Li<sub>2</sub>La<sub>2</sub>Ti<sub>3</sub>O<sub>10</sub>.

Fig. 2 shows the highest ionic conductivities in the literature, and Fig. 3 shows our data on the simulated chemical potentials and band gaps for a selection of solid electrolytes.

## 1.3. What makes a good solid electrolyte?

Our perspective showed that every electrolyte class has advantages and disadvantages. This realization highlights the necessity of identifying a set of parameters to answer the question, what truly defines a GOOD electrolyte?

To address this question, we have conducted ab initio simulations using density functional theory (DFT) and exchange-correlation GGA-PBE or, when doubts arise concerning the electronic properties of the semiconductors, a hybrid functional HS06 was employed. These simulations allowed us to determine band structures and electronic properties (Fig. 3b and 4)48,49 and the chemical potentials (Fig. 3a)50,51 and to compare them with their respective ionic conductivity and the performance of the electrolytes in general. The crystal structures used are described in Table 1. The surfaces were simulated with a minimum of a 10 Å vacuum layer to break the periodicity. The planewave cutoff was 500 eV, and the projection was in the reciprocal space. The spacing of k-points was 0.2-0.3  $\text{Å}^{-1}$ . The cell contained the minimum number of atoms, allowing for a better approach to stoichiometry and experimental occupancy. The chemical potentials were obtained from the average potentials integrated over 10 Å.

Solely relying on ionic conductivity (Fig. 2) to enumerate the characteristics of a suitable electrolyte proves to be complex since it merely represents a property and is likely not a driving force of good performance. To progress with this discussion, the energy width of the band gaps has been calculated for various electrolytes representing the previously mentioned categories in Fig. 3b. It is anticipated that, in general, solid electrolytes exhibit insulator behavior, characterized by a band gap greater than 3 eV, indicating poor electrical conductivity. The band gap values may be distinguished into three different categories: (1) conductors or metals,  $E_{\rm g}=0$  eV; (2) semiconductors,  $E_{\rm g}\leq 3$  eV, and (3) insulators,  $E_{\rm g}>3$  eV. In Fig. 3b, the semiconductor/insulator barrier is represented by a red horizontal line marking 3 eV.

Based on this perspective, it can be inferred that LAGP, NASICON, garnet, Li<sub>3</sub>OCl, Li<sub>3</sub>YCl<sub>6</sub>, Li<sub>3</sub>ErCl<sub>6</sub>, and Na<sub>3</sub>YCl<sub>6</sub> exhibit insulating behavior. However, Li<sub>3</sub>YCl<sub>6</sub> and Na<sub>3</sub>YCl<sub>6</sub> are not stable against Li<sup>0</sup> and Na<sup>0</sup>. The latter is understandable as, in contrast to most insulator materials, there is a considerable density of states (DOS) at the lower energy of the conduction band corresponding to the orbitals d of Y<sup>3+</sup> (Fig. 4). As this energy corresponds to an almost null electron affinity, however entirely above the chemical potential of the lithium  $\mu(\text{Lio}) = -1.39 \text{ eV}$ , the reduction of Y<sup>3+</sup> may occur from the surface exposure directly. The Li<sup>+</sup> required to form Y is  $3\text{Li}^+ + 3\bar{e} + \text{Li}_3 \text{YCl}_6 \rightarrow 6\text{LiCl} + \text{Y}$ , which may also be obtained within the electrolyte while charging the cell, as Li<sup>+</sup> will move toward the negative electrode to accommodate the crescent bias between electrodes' voltages.

An equivalent process should drive the reactive character of  $Na_3YCl_6$  and likely  $Li_3ErCl_6$ , although the latter has a higher electron affinity but similar DOS at  $E_c$  (Fig. 4).

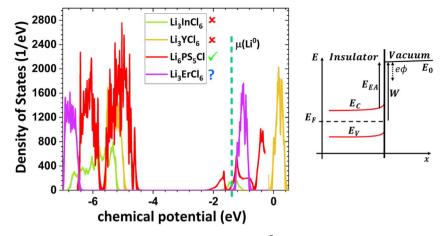


Fig. 4 The density of states (DOS) compared with the chemical potential of  $\mu(Li^0)$  for Li<sub>3</sub>InCl<sub>6</sub>, Li<sub>3</sub>YCl<sub>6</sub>, Li<sub>6</sub>PS<sub>5</sub>Cl, and Li<sub>3</sub>ErCl<sub>6</sub>. The first two are unstable against lithium.  $-W = E_F = \mu_i + z_i e \phi$ ; where W is the work function;  $E_{FA}$  is the electron affinity;  $E_F$  the Fermi level or electrochemical potential  $u_i$ ;  $u_i$  is the chemical potential;  $z_i e \phi$  is the surface chemical potential;  $z_i$  is the valence of the mobile ion; e is the electron charge;  $\phi$  is the surface potential that is majority produced by the materials in electrical contact with the material. Phenomena at the surface of the material may also create surface chemical potential. When the insulator is in equilibria with the materials in electrical contact  $\mu_i - \mu_i = 0 = \mu_i - \mu_i + z_i e(\phi_i - \phi_i)$  $\Leftrightarrow \mu_i - \mu_i = -z_i e(\phi_i - \phi_i) \Leftrightarrow \mu_i - \mu_i = z_i e \Delta V$ . If  $\mu_i = E_0$  is the chemical potential or energy of the electrons at rest in a vacuum on the surface of the material i,  $\mu_i$  is its chemical potential.

The cathode-like solid electrolytes (Fig. 3) have low DOS on the conduction band, which prevents the drift of electrons from the alkali metal to the conduction band of the electrolytes.

The ferroelectric character of the perovskites, antiperovskites, and glass electrolytes promotes stability against alkali metals. In the electrolytes of the family  $A_{3-2x}M_xOHal$ , with A = Li, Na, K, M = Mg, Ca, Sr, Ba, Hal = Cl, Br, I, and  $x \le$ 0.5, a ferroelectric topologic insulator character was found to protect alkali metals as self-charge happens with electrons tunneling from the electrolytes' surface to the negative

electrode. Like the latter, other perovskites were found to manifest the phenomenon discussed hereafter. This phenomenon may also protect the perovskite Li<sub>2</sub>La<sub>2</sub>Ti<sub>3</sub>O<sub>10</sub> LLTO, also used as a cathode. Zhang et al. 52 reported Li<sub>2</sub>La<sub>2</sub>Ti<sub>3</sub>O<sub>10</sub> electrolytes as displaying ferroelectric behavior.

The stability of Li<sub>6</sub>PS<sub>5</sub>Cl LPSCl toward Li<sup>0</sup> is not expected because of its semiconductor character and the position of its lower conduction band energy level, allowing the drift of electrons from the alkali metal. We have detected a reversible reaction with Cu,  $\mu(\text{Cu}^0) \approx -4.6 \text{ eV}$ , which may indicate

Table 1 Solid electrolytes in Fig. 3; their crystal structure and their stability against the correspondent alkali metal

Solid electrolyte	Crystal structure	Stable against the alkali metal?
Li <sub>1.5</sub> Al <sub>0.5</sub> Ge <sub>1.5</sub> (PO <sub>4</sub> ) <sub>3</sub> LAGP	Rhombohedral, $R\bar{3}c$ , NASICON	<b>√</b>
$Na_3Zr_2Si_2PO_{12}NASICON$	Rhombohedral, $R\bar{3}c$ , NASICON, monoclinic, $C2/c$ , disordered	✓
$\mathrm{Li}_{7}\mathrm{La}_{3}\mathrm{Zr}_{2}\mathrm{O}_{12}\mathrm{LLZO}$	Cubic, $Ia\bar{3}d$	✓
Li <sub>2</sub> La <sub>2</sub> Ti <sub>3</sub> O <sub>10</sub> LLTO-also used as cathode-ferroelectric	Tetragonal layered perovskite P4/mmm	✓
Li <sub>6</sub> PS <sub>5</sub> ClLPSCl	Trigonal, $P\bar{3}m1$ , disordered	✓
Glassy Li <sub>3</sub> OCl ferroelectric	Cubic, $Pm\bar{3}m$ , disordered obtained from antiperovskite	<b>√</b>
Glassy Na <sub>3</sub> OCl ferroelectric	Cubic, $Pm\bar{3}m$ , disordered obtained from antiperovskite	✓
Glassy K <sub>3</sub> OCl ferroelectric	Cubic, $Pm\bar{3}m$ , disordered obtained from antiperovskite	✓
$Li_3InCl_6$	Monoclinic, C2/m	×
$\text{Li}_3\text{YCl}_6$	Trigonal, $P\bar{3}$ m1, disordered	×
$\mathrm{Li}_{3}\mathrm{ErCl}_{6}$	Trigonal, $P\bar{3}m1$ , disordered	?
$\rm Li_2ZrCl_6$	Monoclinic, C2/m	<b>√</b>
Na <sub>3</sub> YCl <sub>6</sub>	Monoclinic, P2 <sub>1</sub> /c	×

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Table 2 Synthesis methods for the electrolytes LPSCI, LLZO, and NASICON and their possible influence on their ionic conductivity. CIF files: ref. 53-66

Electrolyte	Fabrication method	Parameters	Ionic conductivity $(mS cm^{-1})$	Crystal structure	Ref.
	Ball milling Solid state reaction	10 h - 600 rpm (1) LiCl + $L_{12}S + P_{2}S_{5}$ 100 rpm for 1 h	1.3 (25 °C) 5.0 (26 °C)	9 a 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9 9	67
	Wet phase Wet phase (LiCl + $\text{Li}_2\text{S}$ + $\text{Py}_2\text{S}_5$ + pyridine)	(2) 10 n of anneaning Mixture with ethanol solution (1) P <sub>2</sub> S <sub>5</sub> + pyridine 400 rpm, RT (2) Add LiCl + Li <sub>2</sub> S to 400 rpm at 70 °C	1.4 $\times$ 10 <sup>-2</sup> (RT) 4.3 (RT)		69
Li <sub>6</sub> P9 <sub>5</sub> Ci – L <i>P</i> 9Ci		(3) Removing pyridine in a vacuum at 120°C			
	Call attach manation	(1) 200 rpm 12 h in 2-propanol		<b>N O O O O O O O O O O</b>	6
	Radio frequency magnetron sputtering	(2) 200 C + 11 (3) 200 rpm 12 h RF power of 40, 60, and 80 W at a working	$4 \times 10^{-4} (25 ^{\circ}\text{C})$		70
On 1:1	Pulsed laser deposition – laser annealed	pressure of 7 MPa under an Ar atmosphere $f_{\rm laser\ pulse} = 10\ {\rm Hz}; 7.5\ {\rm J\ cm^{-2}}; 7700\ {\rm shots};$	$7.4\times10^{-4}~\mathrm{(RT)}$		71
Li7La3Li2O12 - LLLO	Pulsed laser deposition – annealed at 800 °C	thickness $\sim$ 1 µm; laser annealing $f_{\rm laser\ pulse} = 10\ Hz$ ; 7.5 J cm $^{-2}$ ; 7700 shots; thickness $\sim$ 1 µm; annealing 800 °C	$1.8\times10^{-4}~\mathrm{(RT)}$		71
	Solid-state reaction	(1) Calcined at 1100 °C for 12 h (2) Ball milling at 150 rom for 18 h	0.2 (50 °C)		72
	Solid-state reaction	1230 °C for 40 h	1.2 (RT)		73
	(namphredusors) Co-precipitation	• Solution 1: NaOH + NH <sub>4</sub> H <sub>2</sub> PO <sub>4</sub> stirred with deionized water for 1 h at 70 $^{\circ}$ C	1.7 (25 °C)		74
$Na_3Zr_2Si_2PO_{12} - NASICON$		• Solution 2: Zr(OC <sub>3</sub> H <sub>7</sub> ) <sub>4</sub> diluted with 1-propanol; s olution 1 dipped in solution 2, forming a precipitate			
	Spark plasma sintering	Powders were placed into a 10 mm graphite die; 1000 A; 1.8 (RT) 62 MPa; 100 °C min <sup>-1</sup> ; 900–1200 °C – 30 min	1.8 (RT)		75

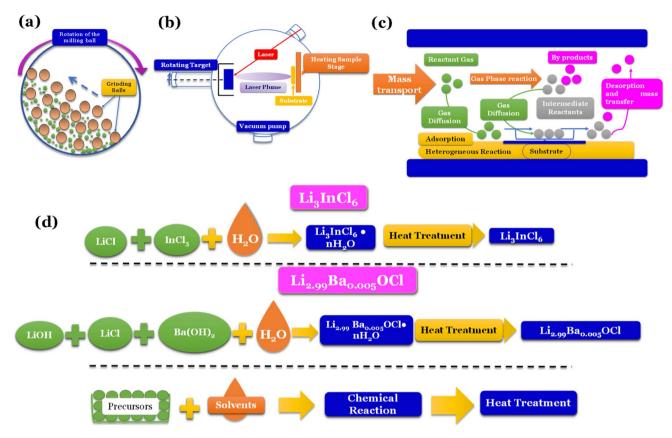


Fig. 5 Synthesis methods: (a) high energy density ball milling;<sup>76</sup> (b) pulsed laser deposition (PLD);<sup>77</sup> (c) chemical vapor deposition (CVD);<sup>78</sup> (d) water mediated synthesis of Li<sub>3</sub>InCl<sub>6</sub>, 41 Li<sub>2.99</sub>Ba<sub>0.005</sub>OCl, 29 K<sub>2.99</sub>Ba<sub>0.005</sub>OCl and Na<sub>2.99</sub>Ba<sub>0.005</sub>OCl. 28

electronic surface conduction in the electrolyte and tunneling from its surface to the negative electrode. However, this result requires further confirmation.

From the analysis with different electrolytes, it is possible to establish a pattern for the best-performing electrolytes (Fig. 2, 3, and 4): (1) display semiconductor behavior instead of insulator behavior (e.g., LPSCl, LLTO, Na<sub>3</sub>OCl, K<sub>3</sub>OCl, and A<sub>2.99</sub>Ba<sub>0.005</sub>OCl - glass with A = Li, Na, K); (2) possess a low energy work function W, i.e., anode-like behavior; but not as small as to have a null, or almost null, electron affinity energy (Fig. 4); (3) show a ferroelectric behavior where the ionic movement establishes the polarization; (4) display topologic electronic emergent phenomena. These latter phenomena require further demonstrations in different electrolytes.

Details on the properties and behavior of all-solid-state electrolytes and all-solid devices, including synthesis methods, electrolytes showing ferroelectric and topologic insulator features, and quantum thermal batteries, will be given hereafter.

## 1.4. Synthesis methods

When different methods prepare an electrolyte, the final characteristics of the electrolyte differ; this occurs because the preparation methods influence the crystal structure of the solid, the structural disorder, and mobile ion distributions, resulting

in significantly different values of ionic conductivities as shown in Table 2.

Mechanochemical methods, specifically ball-milling (Fig. 5a), are commonly linked to forming distinct phases, such as amorphous and/or nanocrystalline structures. On the other hand, when solid-state electrolytes (SSEs) are annealed at elevated temperatures, they tend to exhibit thermodynamically stable phases.

Vapor-phase deposition is widely used to prepare inorganic SSE membranes for thin-film batteries. These methods involve the formation of the vapor phases of the reactants, subsequent nucleation, and product growth on substrates, allowing the achievement of a homogeneous mix of reactants on a molecular or atomic level. Gas-phase techniques involve deposition methods such as pulse laser deposition (PLD) (Fig. 5b), atomic layer deposition (ALD), and chemical vapor deposition (CVD) (Fig. 5c).

To secure a dominant role in industry, SSEs must be easily reproducible, and the cost of fabrication must be low. Techniques such as ball milling don't allow reproducibility, and vapor-phase deposition techniques are usually expensive. Recently, a new technique only compatible with some types of electrolytes has been developed; the latter is a water-mediated synthesis (Fig. 5d) with great potential for mass production due to the relatively low cost of implementation.

**1.4.1 Ball-milling.** High-energy methods are among the favorite synthesis methods to produce inorganic solid electrolytes. This technique generates chemical reactions through a force caused by the collision between milling balls and reactants, Fig. 5a. During this process, reagents are pulverized and mixed, with point and lattice defects forming. The mechanochemical method's main advantage is its ability to overcome the diffusion limitation in the solid-state reaction without the necessity of adding bulk solvents or exposure to heat. This technique was introduced by Hayashi *et al.*<sup>79</sup> in 2001 for electrolytes and has since been a promising technique to achieve improved ionic conductivity; the strain during ball-milling increases the activation volume, allowing ion hopping.

Additionally, ball-milling can induce defects in inorganic solid electrolytes, enhancing their ionic transport. However, the results obtained by this technique don't show satisfactory reproducibility. Even when using the same equipment, the ball milling process is influenced by chamber atmosphere, ball milling time, rotation speed, and ball size; this represents a significant setback for using this technique for mass-scale production.

1.4.2 Pulsed laser deposition. Pulse laser deposition (PLD) is a process where a high-energy laser beam is used to ablate a target material onto a substrate inside a vacuum chamber. The target is heated and vaporized by the energy of the laser. The vapor is energized and is transformed into plasma with high energy. The atoms in the plasma collide and recondense on the substrate and form a thin film, Fig. 5b. The main advantage of this technique is that the highly focused laser can effectively deliver energy to a localized area and ensure the evaporation of target materials even with very low vapor pressure. Additionally, the PLD technique exhibits a high deposition rate and accurate control of the film growth precursor. In contrast to conventional evaporation techniques, it is hard to control the chemical composition of the thin film because of possible precursor loss due to volatilization.

1.4.3 Chemical vapor deposition. Chemical vapor deposition (CVD) is a process that involves the reaction of a volatile precursor previously injected into a chamber, typically under vacuum. The chamber is heated to a temperature that causes the precursor gas to react or break down into the desired coating and bond to the material surface. The process begins with the introduction of reactants to the deposit region. After this, the reactants are transported by diffusion from the main gas stream through the boundary layer to the substrate surface, where the absorption of the reactants will occur. When this process starts, surface reactions and decomposition take place. The CVD process ends with the forced convection transport of the by-products away from the deposition region, Fig. 5c. The CVD process involves highly complex flow dynamics since gases flow into the reactor, react, and then the by-products are exhausted.

The CVD technique is relatively new for fabricating thin film batteries.<sup>80</sup> The main advantage of CVD is its dependence on relatively low kinetic energy particles and the multitude of chemistries available to perform the deposition.

1.4.4 Water-mediated synthesis. To achieve mass-scale production of solid-state electrolytes, it is essential to utilize cost-effective synthesis methods. However, a few previously discussed methods are expensive and impractical for large-scale manufacturing. A recent liquid or wet-phase synthesis development provides a potential solution. When applied to sulfidebased electrolytes, this method, also known as wet chemical synthesis, can be executed in two ways: (1) generating a precipitate by mixing a precursor with a solvent or (2) dissolving the precursor to create a homogeneous solution.81 Both approaches are followed by solvent evaporation to obtain the final solidstate electrolyte. However, these methods have limitations in terms of time consumption and solvent compatibility. Considering environmental concerns, water seems to be the ideal solvent due to its favorable properties. Unfortunately, it can only be employed in moisture-stable precursors, which excludes most sulfide electrolytes.

Nevertheless, despite the incompatibility of sulfide-electrolytes with water-mediated synthesis, various oxyhalides and halide electrolytes have demonstrated compatibility with this method, as shown in Fig. 5d. Moreover, these electrolytes exhibit exceptional ionic conductivities, further emphasizing their potential for practical applications. For example, Li<sub>3</sub>InCl<sub>6</sub>, when synthesized *via* solid-state synthesis, shows an ionic conductivity of 1.49 mS cm<sup>-1</sup>.82 When prepared *via* water-mediated synthesis, the ionic conductivity is 2.04 mS cm<sup>-1</sup>.41 However, the most significant advantage of this method is the increased humidity resistance when prepared by water-mediated synthesis.

## 1.5. Argyrodite electrolytes

Argyrodite electrolytes are derived from the Ag<sub>8</sub>GeS<sub>6</sub> mineral and are reported in sulfide and oxide-based electrolytes. Considering the great interest in the mobility of Li<sup>+</sup> ions in solids, it was surprising that the argyrodite structure had not been reported more in association with electrolytes until the beginning of the 21st century. In the Li-argyrodite family, compounds are described by the general formula,  $\text{Li}_{7+x-y}M_x^{\text{IV}}M_{1-x}^{\text{V}}\text{Ch}_{6-y}\text{Hal}_y$  (M<sup>IV</sup> = Si, Ge, Sn; M<sup>V</sup> = P, Sb; Ch = O, S, Se; Hal = Cl, Br, I, BH<sub>4</sub>;  $0 \le x \le 1$ ;  $0 \le y \le 2$ ).87 In 2008, Deiseroth et al.88 reported the first Li<sub>6</sub>PS<sub>5</sub>Hal, Hal = Cl, Br, I, argyrodite electrolyte, showcasing its ionic conductivity in the 1 to 10 mS cm<sup>-1</sup> range at RT. Since then, numerous reports have emerged regarding this stoichiometry, with the highest ionic conductivity obtained for Li<sub>6</sub>PS<sub>5</sub>Cl (LPSCl) being 4.96 mS cm<sup>-1</sup> at 26.2 °C when prepared via the solid-state reaction.14 However, this is not the only stoichiometry configuration possible for a lithium argyrodite (Fig. 6).

In a recent study<sup>30</sup> the Li-argyrodite electrolyte Li<sub>5.4</sub>PS<sub>4.4</sub>Cl<sub>1.6</sub> was synthesized using high-speed mixing. This electrolyte demonstrated an astonishingly high ionic conductivity of 20.2 mS cm<sup>-1</sup> at 28 °C, Fig. 2 and 6, the highest reported value for a lithium argyrodite. The highest reported ionic conductivity for the standard high-energy ball milling, Fig. 6, is 9.4 mS cm<sup>-1</sup>.<sup>33</sup> The study by Chen *et al.*<sup>30</sup> introduced a cost-effective and efficient method known as high-speed mixing, which utilizes an

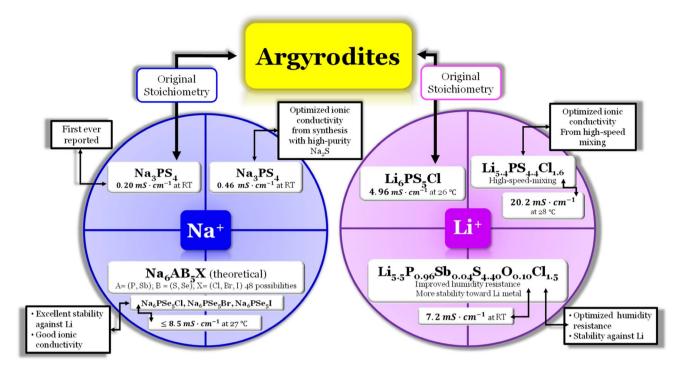


Fig. 6 Argyrodite summary scheme,  $\text{Li}_6\text{PS}_5\text{Cl}$ ;  $^{14}\text{Li}_{5.4}\text{PS}_{4.4}\text{Cl}_{16}$ ;  $^{30}\text{Li}_{5.5}\text{P}_{0.96}\text{Sb}_{0.04}\text{S}_{4.40}\text{O}_{0.10}\text{Cl}_{1.5}$ ;  $^{83}\text{Na}_3\text{PS}_4$ ;  $^{84.85}$  and  $\text{Na}_6\text{AB}_5\text{X}$ , A = (P, Sb),  $\text{B} = =(\text{S, Arg}_5)$ ;  $\text{Na}_3\text{PS}_4$ ; Se), and  $X = (Cl, Br, I).^{86}$ 

affordable consumer-grade commercial mixer. The high-speed mixing process enables rapid material mixing, producing more than 500 g per batch of LSPCl solid electrolyte. In contrast, the traditional high-energy ball-milling method is limited to 50 g per batch. Notably, the high-speed mixing technique is both energy-efficient and highly effective.

But how does this high-speed mixing technique affect the observed high ionic conductivity?

Using the ultra-high speed mixing preparation process, no obvious grain boundary was observed in the cross-sectional microstructure, which is one of the main factors for the reported high ionic conduction. This presents an effective mixing method for the mass production of Li<sub>5.4</sub>PS<sub>4.4</sub>Cl<sub>1.6</sub> solid electrolyte. The authors also noted that an all-solid-state electrolyte cell containing graphite/LPSC-mixing/NMC622 delivered an outstanding discharge capacity of 165 mA h g<sup>-1</sup> at C/10 and a coulombic efficiency of 82.4%.

Despite the numerous advantages offered by the conventional argyrodite electrolyte, concerns have been raised due to its vulnerability to atmospheric air and its limited structural and electrochemical stabilities. Nevertheless, completely replacing sulfur with oxygen in the argyrodite structure has significantly reduced ionic conductivity,17 indicating that this approach may not be the ideal solution. However, an alternative worth exploring involves doping the argyrodite structure with oxygen (Fig. 6). This approach combines the best attributes of sulfur and oxygen, leading to meaningful results.89

Hwang et al.89 investigated the substitution of oxygen (O) by sulfur (S) in  $\text{Li}_6\text{PO}_x\text{S}_{5-x}\text{Br}_{0.5}\text{Cl}_{0.5}$  SEs to comprehend the underlying mechanisms and improve the stability of these

materials. In his study, the author combined first-principles calculations and electrochemical experiments, spanning from bulk to surface models. Moreover, the author developed systematic experimental investigations to explore the impact of oxygen substitution on suppressing/reducing structural decomposition. The materials were synthesized and thoroughly characterized. The goal of doping the sulfide electrolyte with oxygen is to increase the resistance to moisture absorption, delaying phase decomposition. However, what is the mechanism underlying this effect? To answer this question, these electrolytes' atomic and electronic structures were calculated from bulk to surface. The theoretical analysis determined that the introduction of oxygen causes a more noticeable contraction of the lattice constants compared to the substitution of halogen elements. This suggests that replacing sulfur (S) with oxygen (O) significantly impacts the chemical bonding between isovalent chalcogens, leading to stronger electrostatic bonding between O and Li ions. This strong bonding is closely associated with structural stability. Following this hypothesis, the authors studied the effect of the introduction of oxygen in the electrochemical environment of the electrolyte. The latter realization was made by considering that oxygen has a superior electronegativity to sulfur.

A new study by Wei et al.83 described the synthesis of a chlorine-rich lithium argyrodite,  $\text{Li}_{5.5}\text{P}_{0.96}\text{Sb}_{0.04}\text{S}_{4.40}\text{O}_{0.10}\text{Cl}_{1.5}$ , which demonstrated enhanced stability in the presence of air and lithium-ion stability (Fig. 2 and 6). The reported ionic conductivity of this compound is 7.2 mS cm<sup>-1</sup> at RT, slightly lower than that of the Li<sub>5.5</sub>PS<sub>4.5</sub>Cl<sub>1.5</sub> electrolyte that exhibits 9.4 mS cm<sup>-1</sup> at RT. However, it was observed that when both

electrolytes were exposed to moisture, the ionic conductivity of Li $_{5.5}$ PS $_{4.5}$ Cl $_{1.5}$  decreased significantly to 1.1 mS cm $^{-1}$ , while the Li $_{5.5}$ PO $_{9.96}$ Sb $_{0.04}$ S $_{4.40}$ OO $_{0.10}$ Cl $_{1.5}$  electrolyte showed a comparatively higher ionic conductivity of 3.6 mS cm $^{-1}$ . Furthermore, a comparison of the X-ray diffraction (XRD) spectra revealed that the Li $_{5.5}$ PS $_{4.5}$ Cl $_{1.5}$  electrolyte exhibited weakened diffraction peaks and the presence of various impurity phases when exposed to open air for 20 minutes. In contrast, the Li $_{5.5}$ PO $_{9.96}$ Sb $_{0.04}$ Sa $_{4.40}$ OO $_{0.10}$ Cl $_{1.5}$  electrolyte displayed improved stability with fewer impurity phases, indicating its superior moisture resistance compared to the bare Li $_{5.5}$ PSa $_{4.5}$ Cl $_{1.5}$  electrolyte. The introduction of the Sb element helped enhance the stability of the electrolyte towards lithium metal.

The compound known as sulfur argyrodite is commonly found in Li<sup>+</sup>-ion conducting electrolytes. However, there have been reports of Na<sup>+</sup>-ion argyrodite electrolytes as well (Fig. 6). In 2012, Hayashi et al.84 reported the synthesis of Na<sub>3</sub>PS<sub>4</sub> as a superionic glass-ceramic electrolyte for the first time, displaying an ionic conductivity of 0.2 mS cm<sup>-1</sup> at RT. In 2014, the same author reported the synthesis of the same electrolyte with an improvement in the ionic conductivity of 0.46 mS cm<sup>-1</sup> at RT.85 The improvement was a result of an optimization during the synthesis mode. The author synthesized Na<sub>3</sub>PS<sub>4</sub> in the cubic phase directly via mechanical milling using a highly pure Na<sub>2</sub>S reagent; with this, the authors could reduce the reaction time necessary to produce the glass ceramic effectively. This glassceramic electrolyte was synthesized by milling 75 mol% Na<sub>2</sub>S and 25 mol% P<sub>2</sub>S<sub>5</sub> for 1.5 hours and subsequent heat treatment at 270 °C for 1 hour, as reported in ref. 84. This method reduces the reaction time and facilitates the production of glass ceramics. Moreover, in this study, the author also synthesized an all-solid-state cell containing Na<sub>15</sub>Sn<sub>4</sub>/Na<sub>3</sub>PS<sub>4</sub>/NaCrO<sub>2</sub>. The cell was tested electrochemically at RT and displayed an average capacity of 60 mA h g<sup>-1</sup> of NaCrO<sub>2</sub> for 15 cycles.

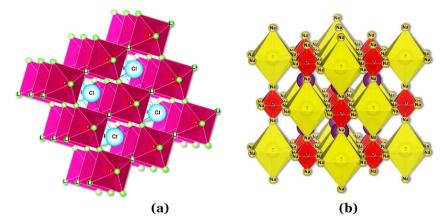
Following the previous reports, in 2016 De Klerk and Wagemaker90 conducted a study to comprehend the diffusion mechanism in Na<sub>3</sub>PS<sub>4</sub> and explore potential ways to enhance Na<sup>+</sup>-ion conductivity. Na<sub>3</sub>PS<sub>4</sub> is known to exist in two distinct phases, cubic and tetragonal. However, the differences between these phases are small; the tetragonal phase is tetragonally distorted from the cubic phase.90 The main difference between these two structures lies in the positioning of sodium within the crystal. Regardless of whether both phases are synthesized similarly, they display similar ionic conductivity. A significant boost in ionic conductivity is observed when transitioning from the crystalline to the glass-ceramic form of the cubic phase, increasing 30 times the ionic conductivity. The introduction of halogen dopants plays a crucial role in enhancing ion conductivity. This effect is attributed to a significant increase in the concentration of Na<sup>+</sup> vacancies, which is vital to microscopic diffusion. The role of temperature is also an essential factor in Na<sup>+</sup>-ion diffusion. In this study, the authors<sup>90</sup> conducted molecular dynamics simulations to investigate the effects of the lattice parameter increase on the conductivity of cubic Na<sub>2.50</sub>PS<sub>4</sub> and Na<sub>2,94</sub>PS<sub>4</sub> at 252 °C. Considering a 1% expansion in lattice parameters, corresponding to a 3% volume expansion, the conductivity increased by 10% for Na<sub>2,94</sub>PS<sub>4</sub> and 17% for

Na<sub>2.50</sub>PS<sub>4</sub>, highlighting the significant impact of lattice expansion on conductivity when the temperature increases.

In 2020, Ouyang et al.,86 conducted research utilizing computational simulations to explore the discovery of halogensubstituted Na<sup>+</sup>-argyrodites. In this study, the author gained insights into the necessary conditions to achieve high ionic conductivity in Na<sup>+</sup> argyrodites, Fig. 6. This investigation focused on the phase stability, electrochemical stability, and ionic conductivity of 48 potential Na-based argyrodites. In the Na<sup>+</sup>-based argyrodite Na<sub>6</sub>ACh<sub>5</sub>Hal, A represents a pnictogen atom P or Sb, Ch is typically a chalcogenide atom such as S or Se, and Hal is a halogen element, similar to its Li<sup>+</sup> counterpart (Fig. 6). Regarding the phase stability, the authors concluded that the formation of the SbS<sub>4</sub> polyanion in Na<sup>+</sup> argyrodites occurs more favorably compared to the PS<sub>4</sub> polyanion. The halogen element Hal had minimal impact on the electrochemical stability or the decomposition phases, considering the established limits imposed by the polyanion and chalcogen elements. Na<sup>+</sup> argyrodites are generally electrochemically stable within the potential range of 1-2 V vs. Na/Na<sup>+</sup>, which means that the lower energy of the conduction band of Na<sup>+</sup> argyrodites varies from  $-1.73 \le E_c$  (eV)  $\le -2.73$  where  $E_0 = 0$  eV is the energy of the electrons at rest in a vacuum. The latter value for the lowest energy of the conduction band is shown to agree with the equivalent value for the Li<sup>+</sup> argyrodite, LPSCl, calculated herein and shown in Fig. 3a. The previous limits, as already mentioned, are imposed by the pnictogen element, which reduces from +5 to +3 for potentials above the stability window for  $E > E_C$  and by the chalcogen element since it oxidizes for values of potentials lower than the argyrodite stability window E  $< E_V^{86}$  (Fig. 4). Furthermore, a comparison of stability windows revealed that Na-Sb-S(Se)-Hal systems have a narrow electrochemical window, whereas Na-P-S(Se)-Hal systems generally possess a wider electrochemical window.86 When considering the ionic conductivity, the halogen element has a dominant role since the occupancy of the halogen, especially in a sulfide-based system, deeply affects the ionic conductivity. In contrast, the ionic conductivity in selenide-based systems remains similar, regardless of the halogen occupancy. The compression effect generated by halogen substitution is less significant in selenide systems, which generally leads to good ionic conductivity.86 Ouyang et al. 86 concluded that among the predicted Na argyrodites, the compositions within the Na-P-Se-Hal (Hal = Cl, Br, or I) system demonstrated excellent stability and ionic conductivity.

## 1.6. Antiperovskites

Recently, a new type of structure called antiperovskites has been receiving increased attention in inorganic material research. Antiperovskites have the same structure as perovskites. However, they adopt a reversed ionic arrangement, which means unique anion-centered cubic units in antiperovskites compared to the conventional cation-centered ones in perovskites. The cation position in the antiperovskite causes significant differences in these structures, providing a distinct local environment for mobile ions and altering their mobility. The



Antiperovskite electrolytes structures: (a) Li<sub>3</sub>OCl;<sup>29</sup> (b) Li<sub>6</sub>SO(BH<sub>4</sub>)<sub>2</sub>,<sup>91</sup> CIF files: ref. 53–66

first time ionic conductive antiperovskites with X<sub>3</sub>BA structures were reported dates to 1960 when Reuter et al.92 reported the Ag<sub>3</sub>SI compound with Ag<sup>+</sup> as a mobile cation. In 2012, Zhao et al. synthesized and designed the first Li<sup>+</sup> conducting X<sub>3</sub>BA shape antiperovskites.93 In the antiperovskite formula X3BA, X is a cation and A and B are different-sized anions. The hightemperature superionic conductivity of NaMgF3 perovskites inspired the discovery of the ionic conductivity of Li-rich antiperovskites (LIRAP). The electronically inverted antiperovskite Li<sub>3</sub>OHal (Hal = Cl, Br, I) allowed Li<sup>+</sup> ionic conductivity like the F superionic conductivity in NaMgF<sub>3</sub>. However, perfect X<sub>3</sub>BA antiperovskite structures are considered a disadvantage for ionic conduction due to the lack of intrinsic defects that would work as charge carriers in ionic conduction.94 A variety of methods for defect engineering, such as mixing, doping, and depletion by doping, for example, with divalent ions such as Ba<sup>2+</sup>, were proposed theoretically in ref. 94. Following this study, Braga et al.29 also reported a series of divalent cationdoped Li<sub>3</sub>OCl glass electrolytes, Li<sub>3-2x</sub> $M_x$ OCl with M = Mg, Ca, Sr, Ba, synthesized by water-mediated synthesis followed by a heat treatment to remove the solvent. These latter electrolytes are not antiperovskites anymore as they are glassy-amorphous, disordered materials.

In solids, as explained before, ion conduction occurs by ion hopping from one lattice site to another by vacancy mechanisms. The rate of ion transport in a lattice is dictated by diffusivity and concentration of vacancies mediating transport. Glassy materials are usually associated with high ionic conductivity because their open structures facilitate the hoping process.

In the Li<sub>3</sub>OCl crystalline allotrope, the antiperovskite structure, the pattern followed is the following: (1) the Cl<sup>-</sup>occupies the center atom of the cube $^-$ ; (2) the  $O^{2-}$  occupies the center of the octahedron; (3) the Li<sup>+</sup> occupies the vertices of the octahedron, making it a Li<sup>+</sup>-rich structure, Fig. 7. Doping this structure with higher valence cations such as Ba2+ and Ca2+ creates vacancies in the cation sublattice, one per each Ba<sup>2+</sup> that replaces two Li<sup>+</sup>. This substitution lowers the activation energy for Li<sup>+</sup>-ion diffusion as the formation of vacancies is unnecessary or assured at synthesis.

Of all the reported electrolytes,29 the one that displayed better results was the one doped with the Ba<sup>2+</sup> cation; the barium ion has a larger doping ion radius, resulting in a higher anisotropy of the lattice, which favors a higher ionic conductivity. The glassy electrolyte Li<sub>2.99</sub>Ba<sub>0.005</sub>OCl obtained a groundbreaking ionic conductivity of 25 mS cm<sup>-1</sup> at 25 °C. After this study, Braga et al. synthesized analogous Na+ and K+ electrolytes.28 The Na<sub>2.99</sub>Ba<sub>0.005</sub>OCl and K<sub>2.99</sub>Ba<sub>0.005</sub>OCl electrolytes, synthesized as glass materials, displayed an astonishing ionic conductivity of 133 mS cm<sup>-1</sup> and 155 mS cm<sup>-1</sup> at RT. The high ionic conductivity reported in these electrolytes is attributed to their ferroelectricity driven by the mobility of the ions and the electrolytes' semiconductor nature with surface-protected states as in higher-order topological insulators that contribute to the fast polarization of the electrolytes. These concepts will be further discussed in detail in Section 3.1.

Recently, a structural modification of Li<sup>+</sup>/Na<sup>+</sup> antiperovskite electrolytes has been proposed with the introduction of large polyatomic units to replace single ions on crystallographic sites. In 2017, Fang et al.95 developed a simulation that allowed them to propose a new Li<sup>+</sup> antiperovskite structure composed of BH<sub>4</sub><sup>-</sup> polyatomic ions in A-sites (i.e., Li<sub>3</sub>O(BH<sub>4</sub>)). BH<sub>4</sub><sup>-</sup> is commonly known as a super-halogen, which has been suggested to replace halogen ions due to their similar chemistry but stronger electron affinity when compared to halogens. In 2019, Sun et al.35 synthesized a sodium electrolyte containing BH<sub>4</sub> polyatomic ions into an X<sub>3</sub>BA-type antiperovskite structure for the first time, Na<sub>3</sub>O(BH<sub>4</sub>), from a solid reaction between Na<sub>2</sub>O and NaBH4 mixtures. This material was identified as having an antiperovskite structure and exhibiting an ionic conductivity of 4.4 mS cm<sup>-1</sup> at RT and additionally displayed a low activation energy of 0.25 eV. Since the first synthesis reported for this electrolyte, other attempts to synthesize this double antiperovskite have been performed. In 2020, Ahiavi et al. 96 reported the mechanochemical synthesis of the Na<sub>3</sub>O(BH<sub>4</sub>) electrolyte; however, the reported ionic conductivity was seven orders of magnitude inferior to that of the one proposed by Sun et al.35 The author explained that the hot-pressing pellet method used in ref. 35 could alleviate the effects of blocking grain boundaries, improving the ionic conductivity. Further research is still

necessary to determine which synthesis method enhances the characteristics and nature of this electrolyte.

Recently Tsai et al. reported the synthesis of double paddlewheel sodium amide borohydride Na<sub>3-x</sub>O<sub>1-x</sub>(NH<sub>2</sub>)<sub>x</sub>(BH<sub>4</sub>).97 The electrolyte contains two clusters of anions, the super halogen BH<sub>4</sub> and the amide cluster anion NH<sub>2</sub>. They can incorporate various ionic substitutions, including multiple cluster anions. This characteristic makes them highly versatile for investigating and understanding the paddle-wheel phenomenon. The paddlewheel effect refers to the correlated rotations of cluster anions, enabling more accessible cation translation with reduced energy barriers and thereby enhancing cation mobility. Antiperovskites are appealing for studying paddle-wheel effects due to their ability to accommodate a wide range of ionic substitutions, including multiple cluster anions. The Na<sub>3</sub>O(BH<sub>4</sub>) electrolyte already discussed corresponds to a single paddle wheel system, whereas  $Na_{3-x}O_{1-x}(NH_2)_x(BH_4)$  corresponds to a double paddle wheel system. The authors verified that for x = 1Na<sub>2</sub>(NH<sub>2</sub>)BH<sub>4</sub> has a low activation energy of 0.268 eV, and when x = 0.5, the ionic conductivity is a hundred times greater than the extrapolated conductivity at x = 1.

Another common structural modification performed on  $X_3BA$  antiperovskite is the formation of double antiperovskites. Islam *et al.*<sup>91</sup> reported the first synthesis of a super-halogen Lirich double antiperovskite  $\text{Li}_6OS(BH_4)_2$  as a solid electrolyte. The synthesis consisted of a 3-step process starting with ball milling and liquid phase processes, followed by heat treatment at 380–400 °C and water removal under high vacuum to

promote the creation of the Li<sub>6</sub>OS(BH<sub>4</sub>)<sub>2</sub> product, Li<sub>2</sub>O + Li<sub>2</sub>S +  $2\text{Li}(BH_4) \rightarrow \text{Li}_6OS(BH_4)_2$ . 91,98 In the study, 91 the band gap of the electrolyte was determined to be 4.03 eV. Furthermore, a symmetric cell was fabricated as Li/Li<sub>6</sub>OS(BH<sub>4</sub>)<sub>2</sub>/Li; this cell is reported to have a long cycling stability of about 3000 h at 60 μA cm<sup>-2</sup> current density, even though the increment of the current density seems to reduce the cycling stability. The crystal structure of Li<sub>6</sub>SO(BH<sub>4</sub>) has been found to stabilize in the cubic  $Fm\bar{3}m$  phase<sup>91</sup> which is consistent with the antiperovskite structures of Li<sub>3</sub>O(BH<sub>4</sub>) and Li<sub>3</sub>S(BH<sub>4</sub>) but different from that of Li<sub>3</sub>OCl whose cubic crystalline structure belongs to the perovskite conventional  $Pm\bar{3}m$  space group (Table 1). Moreover, this electrolyte exhibits exceptional mechanical stability, with a bulk modulus of 128.5 GPa. The combination of these properties renders this double perovskite suitable for ensuring a safe operation in all-solid-state ASS technologies.

## 1.7. Halide electrolytes

Classifying inorganic electrolytes considering the dominant element is also possible. Sulfide and oxide electrolytes are the most studied; however, several challenges must be considered before their employment in ASSBs. The stability of oxide electrolytes in the presence of air and their excellent electrochemical and oxidation stability are noteworthy. However, they suffer from poor ionic contact with electrode materials and cannot prevent the infiltration of liquids or polymer electrolytes. <sup>104</sup> On the other hand, sulfide electrolytes offer a significant advantage related to their high Li<sup>+</sup>-ion conductivity, surpassing

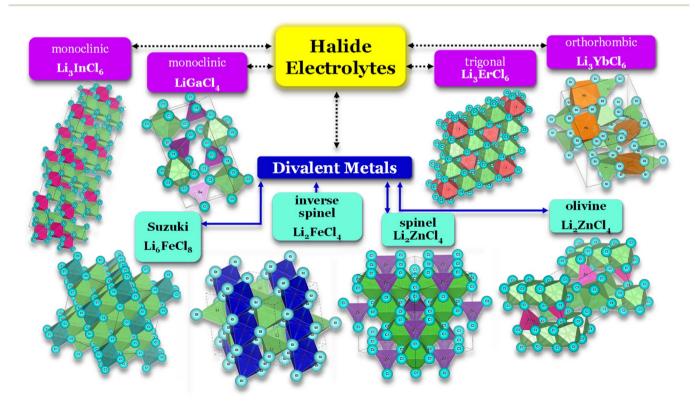


Fig. 8 Halide electrolytes  $Li_x$ MHal<sub>y</sub>, where M = Ga, In, Er, Yb or divalent metals Fe and Zn and Hal is a halogen atom. Hal = F, Cl, Br, I.  $Li_3$ InCl<sub>6</sub>, <sup>41</sup>  $Li_3$ CIF files: ref. 53–66.

even that of liquid electrolytes (Fig. 2). Nevertheless, these electrolytes are highly susceptible to moisture, leading to detrimental hydrolysis and the release of toxic H2S. This drawback poses a significant obstacle to the widespread use of sulfide electrolytes in large-scale applications. In addition to these problems, sulfide electrolytes are usually expensive.

To address these challenges, a new class of solid electrolytes containing halogen elements has emerged as a promising alternative. Halide electrolytes capitalize on the unique properties of halogen elements compared to elements such as S and O. The monovalent halogen anion exhibits a weaker interaction with Li ions than the divalent S and O, resulting in faster Li-ion conduction. Moreover, the larger radius of halogen anions leads to longer ionic bonds and increased polarizability in the electrolytes, facilitating the migration and plasticity of Li<sup>+</sup>-ions. 91,105

Most halide electrolytes show a general formula, Li<sub>a</sub>MHal<sub>b</sub>, where M = Y, Er, Yb, In,..., Hal = F, Cl, Br, and I. In this subsection, the analysis is mainly focused on electrolytes based on chloride ions as the halogen element. This path was chosen considering several factors already explained in this section's introduction.

Selecting the proper central element M is fundamental to designing halide SSEs successfully. Halide electrolytes can be divided into three classes, considering the central element: (1) group 3, Li<sub>3</sub>YCl<sub>6</sub>, 46 Li<sub>3</sub>ErCl<sub>6</sub>, 47 and Li<sub>3</sub>YbCl<sub>6</sub>; 100 (2) group 13,  $LiAlCl_4,^{106}\ LiGaCl_4,^{99}$  and  $Li_3InCl_6;^{41}$  (3) divalent metals: (1)  $Li_2$ ZnCl<sub>4</sub> standard spinel structure at RT;<sup>103</sup> (2) Li<sub>2</sub>ZnCl<sub>4</sub> olivine structures; 103 (3) Li<sub>2</sub>FeCl<sub>4</sub> inverse spinel structure 102 and (4) Li<sub>6</sub>FeCl<sub>8</sub> Suzuki structure, <sup>101</sup> Fig. 8. Considering the group 3 and 13 of halogen electrolytes, it is possible to highlight three structures: (1) orthorhombic  $(Pna2_1)$ , (2) monoclinic  $(P12_1/c1)$ , and (3) trigonal (P321). The trigonal and orthorhombic structures are based on the hexagonal closed-packed (hcp) anion arrangement, and the monoclinic structure is based on the cubic closed-packed (ccp) anion arrangement, respectively. The ccp structures are usually found in relatively large anionic compounds, such as Cl and Br, or relatively smaller metal cations. Regarding divalent metal electrolytes, the reported structures are (1) olivine, (2) spinel, (3) spinel inverse, and (4) Suzuki; however, these structures are usually associated with lower ionic conductivity electrolytes.

The first ionic conduction was detected on lithium halide electrolytes in 1930 with the synthesis of LiHal (Hal = F, Cl, Br, I).107 However, the electrolytes had low ionic conductivities, with the maximum being 0.001 mS cm<sup>-1</sup> at RT.<sup>107</sup> Only in 2018, this class of electrolytes gained the interest of the scientific community when, for the first time, Tetsuya Asano et al.46 mechanochemically synthesized Li<sub>3</sub>YCl<sub>6</sub> LYC using a stoichiometric mixture of LiCl and YCl3. LYC electrolyte consists of a hexagonal close-packed (hcp)-like anion arrangement structure, where yttrium resides at the octahedral sites, and yttrium halides display high stability octahedra YX<sub>6</sub><sup>3-</sup>. The ionic conductivity of the LYC electrolyte is 0.51 mS cm<sup>-1</sup> at RT. The previous conductivity was found to be a revelation since it was observed in a close-packed anion sublattice material; the latter varies from the source of high Li<sup>+</sup>-ion conductivity found for sulfide or oxide lithium-ion conductors. The electronic

conductivity of LYC is 2.8 × 10<sup>-6</sup> mS cm<sup>-1</sup> which corresponds to the upper characteristic values of electronic conductivities of an insulator46 and agrees with our data for the band gap and DOS in Fig. 3 and 4. The activation energy was determined to be 0.40 eV.46 In 2021, Roman Schlem et al.108 developed a study to assess the lithium sub-structure in lithium conducting halides via temperature-dependent neutron diffraction of the LYC electrolyte. In this study, the author considered two different synthesis methods: ball milling and ampule synthesis. It was verified that for the LYC, the mechanochemical synthesis led to a local distortion of the structure, therefore improving the ionic conductivity. Large disorders motivated by a frustrated energy landscape enhance ionic transport. The disorder in the LYC electrolyte affects the polyhedral volumes of Li<sup>+</sup> and their directional thermal amplitude. Sendek et al. 109 performed a DFT simulation guided by a machine learning-based approach to find new fast ionic conductors. From this study, it was concluded that Li3ErCl6 was an acceptable candidate to achieve good ionic conductivity and was expected to demonstrate an ionic conductivity of 3 mS cm<sup>-1</sup> at 25 °C. However, when synthesized via ball milling and annealing, this electrolyte only displayed an RT ionic conductivity of 0.3 and 0.02 mS cm<sup>-1</sup>, respectively.47 This electrolyte shows a trigonal structure  $P\bar{3}m1$ , <sup>109</sup> Table 1, Fig. 2–4. Similarly to what was reported for the LYC electrolyte, the ionic conductivity is reduced with increasing crystallinity during subsequent processes.109

Of all the halide electrolytes, the one that showed a higher conductivity at RT was Li<sub>3</sub>InCl<sub>6</sub>. This electrolyte was first synthesized by a mechanochemical method in 2019 by Li et al.,82 which obtained an ionic conductivity of 1.49 mS cm<sup>-1</sup>, surpassing all its predecessors. Additionally, this electrolyte exhibited good stability toward conventional oxide cathode materials and good stability in air. The breakthrough of this electrolyte study arose when Li et al.82 proposed a watermediated synthesis technique to prepare Li<sub>3</sub>InCl<sub>6</sub> (ref. 41) (Fig. 5d). The obtained RT ionic conductivity was 2.04 mS cm<sup>-1</sup> (Fig. 2).

All the previously reported halide electrolytes have a setback to mass production; the middle element is usually a rare earth metal, leading to high production costs and compromising the commercialization of halide-based solid-state batteries.

In 2021, Kwak et al. reported the first cost-effective halide SSE, Li<sub>2</sub>ZrCl<sub>6</sub>.<sup>45</sup> This electrolyte was prepared via ball milling and heat treatment (Fig. 5a). The use of different synthesis methods introduced considerable variations in the crystal structure and ionic conductivity. When prepared by heat treatment, the electrolyte presented a monoclinic structure with poor Li<sup>+</sup> conduction 0.006 mS cm<sup>-1</sup> at 30 °C. When it was synthesized by ball milling, it showed a hcp structure with a Liion conduction of 0.4 mS cm<sup>-1</sup> at 30 °C. These results are atypical in the halide electrolyte world; the monoclinic structure is usually associated with fast ionic conduction, for example, in the Li<sub>3</sub>InCl<sub>6</sub> electrolyte. Zirconium is an abundant metal on Earth, approximately an order of magnitude greater than yttrium or scandium and more than three orders of magnitude greater than indium.110 The cost to produce most no-Licontaining chlorides needed to synthesize  $\text{Li}_3\text{MCl}_6$  is usually above \$1000 per kg, completely offsetting the cost-effectiveness of the LiCl precursor is approximately (\$5.88 per kg). \text{110} Kai Wang et al. \text{110} reported the synthesis of Li\_2\text{ZrCl}\_6 electrolyte, using LiCl and ZrCl4 as precursors. The cost of the raw material for Li\_2\text{ZrCl}\_6 at a thickness of 50  $\mu$ m is approximately \$1.38 per m², which is significantly lower than the cost of Li\_3\text{YCl}\_6 (\$23.05 per m²). \text{110} Li\_2\text{ZrCl}\_6 is considered the most economical chloride system based on the existing literature. Moreover, its cost is well below the \$10 per m² threshold required for cost-competitive all-solid-state batteries. Additionally, the electrolyte was reported to be moisture-resistant at 5% relative humidity and displayed an ionic conductivity at room temperature of 0.81 mS cm^{-1}.

Until now, solely lithium halide electrolytes have been introduced. Nevertheless, some sodium halide analogues have already been synthesized. The primary challenge encountered in synthesizing sodium-based halides lies in achieving a significant ionic conductivity while preserving the thermal stability of the electrolyte.

In 2020, Schelm *et al.*<sup>111</sup> reported successfully synthesizing Na<sub>2.4</sub>Er<sub>0.4</sub>Zr<sub>0.6</sub>Cl<sub>6</sub> with an ionic conductivity of  $\sim$ 0.035 mS cm<sup>-1</sup> at RT. The research centered around synthesizing the Na<sub>3-x</sub>-Er<sub>1-x</sub>Zr<sub>x</sub>Cl<sub>6</sub> compound series, exploring different values of  $0 \le x \le 1$  to identify the optimal stoichiometry that maximizes the electrolyte properties. The study investigated the case where x = 0 corresponds to Na<sub>3</sub>ErCl<sub>6</sub>. The analysis revealed that Na<sub>3</sub>ErCl<sub>6</sub> crystallizes with a monoclinic structure containing ErCl<sub>6</sub><sup>3--</sup> octahedral frameworks at the corners of the unit cell. Each NaCl<sub>6</sub><sup>5-</sup> prism is connected by an edge or corner sharing arrangement with another NaCl<sub>6</sub><sup>5-</sup> octahedron. The obtained

ionic conductivity for the Na<sub>3</sub>ErCl<sub>6</sub> electrolyte at approximately 25 °C was  $10^{-6}$  mS cm<sup>-1</sup>. In the case where x=1 corresponds to the electrolyte Na<sub>2</sub>ZrCl<sub>6</sub> displaying a trigonal crystal structure, the maximum reported ionic conductivity is  $3.5 \times 10^{-7}$  mS cm<sup>-1</sup>. When the incorporation of Zr<sup>4+</sup> occurs, the structure adopted by Na<sub>3-x</sub>Er<sub>1-x</sub>Zr<sub>x</sub>Cl<sub>6</sub> is monoclinic, as already discussed. Introducing smaller Zr<sup>4+</sup> ions reduces lattice parameters. Additionally, the substitution of Er<sup>3+</sup> by Zr<sup>4+</sup> introduces sodium vacancies, leading to a tuneable ionic conductivity in the series of solid solutions Na<sub>3-x</sub>Er<sub>1-x</sub>Zr<sub>x</sub>Cl<sub>6</sub>, which in turn provides a promising step toward halide-based sodium-ion conductors.

In the realm of yttrium metal sodium halide electrolytes, a recent contribution by Xu et al. 112 presented a new electrolyte, Na<sub>3</sub>Y<sub>2</sub>Cl<sub>9</sub>, designed using a building block method. A 3-step structure was proposed to create new structures incorporating Na<sup>+</sup> ions and (Y<sub>2</sub>Cl<sub>9</sub>)<sup>3-</sup> units. Theoretical analysis conducted in the study demonstrates that this electrolyte exhibits a low energy barrier for ionic diffusion, leading to an ionic conductivity of 0.1 mS cm<sup>-1</sup> at RT. Although the ionic conductivity may not be considered exceptional, the electrolyte demonstrates excellent chemical and electrochemical stability. Additionally, the calculated band gap for this electrolyte was determined to be 7.34 eV. Both agree with the wide herein simulated band gap of 5.2 eV and lower energy value for the conduction band  $E_{\rm C} =$ -0.11 eV (for E=0, the electrons at rest in a vacuum) for  $Na_3YCl_6$  (Fig. 3a and b). The lowest energy for the  $E_C$  of  $Na_3YCl_6$ is 1.62 eV higher than Na<sup>0</sup>; therefore, Na<sub>3</sub>YCl<sub>6</sub> is supposedly very stable against Na-metal, although with such a reduced electron affinity energy that it may decompose by being reduced from the surface in contact with a reductant.

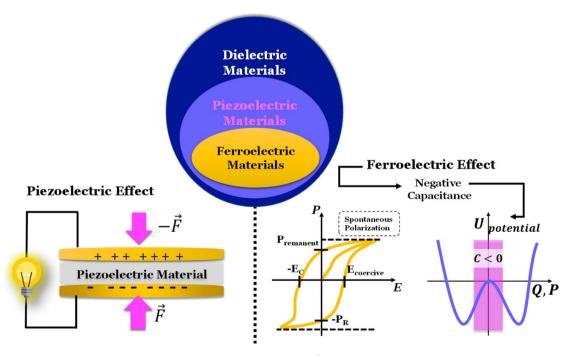


Fig. 9 Dielectric, piezoelectric, and ferroelectric materials and devices. Force  $\vec{F}$ , polarization P, capacity Q, electric field E, potential energy U, and capacitance C, remanent polarization  $P_{\text{remanent}}$ , and coercive electric field  $E_{\text{coercive}}$ .

Using DFT simulations, Liu et al. 113 proposed a new spinel chloride sodium electrolyte, Na<sub>2</sub>Y<sub>2/3</sub>Cl<sub>4</sub>. This electrolyte is expected to exhibit a high ionic conductivity of 0.94 mS cm<sup>-1</sup> at RT with a low activation energy barrier for Na<sup>+</sup> ion diffusion of 0.29 eV while possessing a semiconductor energy gap<sup>113</sup> from -3.85 to -0.68 eV,  $E^0 = 0$  electrons at rest in a vacuum,  $E_{\alpha} =$ 3.17 eV; Fig. 4 shows the energy concepts.

Changing to indium, in April 2023, Zhao et al. 114 reported the controlled synthesis of Na<sub>2+x</sub>Zr<sub>1-x</sub>In<sub>x</sub>Cl<sub>6</sub> electrolyte. The study was motivated by the limited number of reports on sodium halides, none of which discussed sodium halides containing indium. The authors investigated a series of solid solutions of  $Na_{2+x}Zr_{1-x}In_xCl_6$  (0  $\leq x \leq 1$ ). The most promising candidate from this study was the electrolyte for which x = 0.5,  $N_{2.5}Zr_{0.5}$ In<sub>0.5</sub>Cl<sub>6</sub>, with an ionic conductivity of 0.066 mS cm<sup>-1</sup> at RT.

Even though some promising improvements have been made in the production of halide electrolytes, the ionic conductivities remain considerably low when compared to other electrolytes, such as sulfide electrolytes. The advantages of doping existing electrolytes with halide elements such as chlorine are clear. A new class of electrolytes called oxyhalides seems to be the most promising. The previously discussed electrolytes and two recently reported ones, LiTaOCl4 and LiNbOCl<sub>4</sub>,<sup>31</sup> are plotted considering their optimized stoichiometry and reported ionic conductivities (Fig. 2).

Both oxide and halide electrolytes show considerably lower ionic conductivities than sulfide-based electrolytes. Overall, oxyhalides display groundbreaking ionic conductivities, highlighting the Na<sup>+</sup> and K<sup>+</sup>-glass electrolytes that have been reported to display self-charging behavior due to their ferroelectric nature<sup>28,115,116</sup> (Fig. 9).

# Towards the future: quantum power harvesting and storage

Although perhaps the future will bring diversity into energy harvesting and storage-tailored devices, expensive solutions, such as the current rule in the switching (transistor) world, must be much superior to others to compensate for the extra cost. In the battery field, this means being safe, having high energy and power densities, and having cycle and shelf lives. Solid electrolytes potentially better cover the first two, but being sustainable, permitting high cycle and shelf life, and being affordable are attributes just for a few chosen electrolytes.

Ferroelectrics are complex systems based on quantum mechanics principles; they may be protagonists of a disruption in the energy storage realm. Notably, halide-perovskites are being successfully used as photoelectric semiconductors in solar cells and may achieve ~35% cell efficiency today, 117 some with ferroelectric heterojunctions with BaTiO<sub>3</sub>. 118 Ferroelectrics, for example, HfO2, may also recently be found in field effect transistors (FETs) for a more efficient switch at RT.<sup>119</sup>

Until now, to the best of our knowledge, the only electrolytes displaying a ferroelectric character, having Li<sup>+</sup>, Na<sup>+</sup>, or K<sup>+</sup> mobile ions, are LLTO, Na<sub>3</sub>OCl, K<sub>3</sub>OCl, and A<sub>2.99</sub>Ba<sub>0.005</sub>OCl glass with A = Li, Na, K with LLTO sub-performing when

compared to the others. These ferroelectric electrolytes are glassy-oxyhalides, uniting the best properties previously discussed. They are also inexpensive, especially the sodium-based versions, and are eco-friendly. However, they are hygroscopic, but from our experience, promising sulfides such as LPSCl, compared with A<sub>2.99</sub>Ba<sub>0.005</sub>OCl - electrolytes, are slightly more hygroscopic but, worse, release hazardous vapors (e.g., H<sub>2</sub>S).

As reported hereafter, not only are A<sub>2.99</sub>Ba<sub>0.005</sub>OCl - glass with A = Li, Na, K ferroelectric, but they also show other properties such as self-charging and self-cycling based on coherent complex dynamics<sup>28,115,116</sup> sustained by internal feedback, picturing Otto cycles in quantum thermal machines such as described hereafter. Therefore, quantum battery solutions are a reality, and they are based on spontaneous polarization of the material driven by the difference in Fermi levels of the electrodes; polarization that, in traditional batteries, was seen as an obstacle.

The caveat of these neophytes is the difficulty in taming their properties driven by what they have best, their complex behavior, and their vast possibility of increasing their energy information even while set to discharge with a load.

Hereafter, the working principles of quantum batteries in their panoply of possibilities will be discussed.

## 2.1. Ferroelectric devices for harvesting and storing energy

The development of self-charging systems is fundamental to elevating the next generation of electronic devices. These systems will simultaneously display the ability to store energy as well as the ability to harvest energy. Piezoelectric materials are an option to transition the concept of self-charging to reality, specifically when these piezoelectric materials are also ferroelectric materials. The piezoelectric phenomenon occurs in dielectric materials that, when subjected to mechanical stress, can generate charge carriers and polarize (Fig. 9). Under an electrical field, the piezoelectric material undergoes a mechanical deformation or strain.

Ferroelectric materials have awakened the scientific community's interest due to their ability to display negative capacitance and, therefore, are expected to overcome fundamental limits of power dissipation in integrated circuits. 120 Ferroelectric materials can polarize spontaneously below the Curie temperature, forming permanent dipoles, even when no electric field is applied. However, above the Curie temperature, ferroelectric materials transition into a paraelectric phase with no spontaneous polarization. The negative capacitance (NC) was predicted using the Landau model for ferroelectricity. 120 From this model, it is possible to define capacitance as the reciprocal of the second derivative of the potential energy U with respect to

the electric polarization charge, 
$$Q_{\rm F}$$
:  $C_{\rm F} = \left(\frac{{
m d}^2 U}{{
m d}{Q_{\rm F}}^2}\right)^{-1}$ . <sup>121</sup> These

phenomena have been studied and observed in different harvesting and storage devices. In 2014, Salvatore et al. 122 verified experimentally that the negative capacitance phase stability in a field transistor (FET) varies with temperature. The NC region narrows down at high temperatures when approaching the Curie temperature of the ferroelectric material, validating

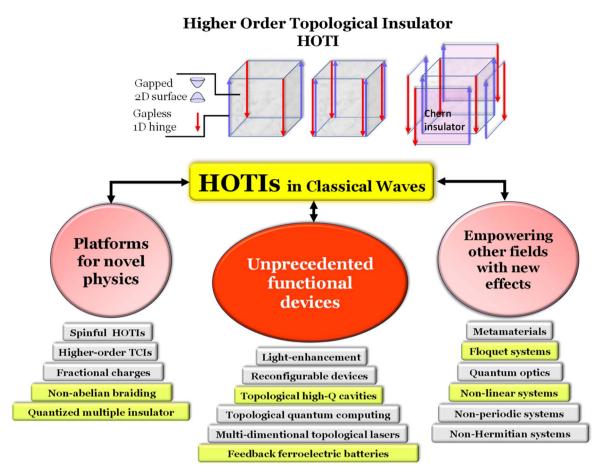


Fig. 10 Higher order topologic insulators, HOTIs. From left to right, the arrows in HOTIs indicate chiral hinge currents, pairs of hinge modes running along the corners, and a Chern insulator with Hall conductivity. Below are possible future applications of HOTIs<sup>124–126</sup> highlighting possible harvesting and storage coherent systems.

experimentally Landau's theory. In 2015, Khan *et al.*<sup>123</sup> first synthesized a thin epitaxial ferroelectric film displaying negative capacitance. When the applied potential difference is inferior to the coercive voltage (Fig. 9), the capacity is positive, and therefore, the ferroelectric transistor behaves as a simple transistor. However, the authors verified that the ferroelectric switched to negative capacitance when the applied potential difference was superior to the coercive voltage (Fig. 9).

The negative capacitance phenomenon is not limited to transistor technology alone; it has also been observed in the field of batteries. In 2020, Braga *et al.*<sup>116</sup> reported the discovery of negative capacitance in an electrochemical cell that demonstrated the ability to self-charge. The researchers conducted the study using a cell containing two electrodes separated by a ferroelectric oxyhalide glass-electrolyte, previously investigated by the author in ref. 28 and 29. The study established a clear linear relationship between negative capacitance double-layer capacitors and the system's remarkable capacity for self-charging, even when initially configured to discharge with a material load; the cells were set to discharge while maintaining a fixed external resistance. Although the current was set to be negative (discharge direction), the recorded voltage increased and the current consistently remained above zero,

indicating the presence of negative resistance alongside a negative capacitance regime. During this period of negative resistance, the battery exhibited self-oscillations, entering a selfcycling regime.

# 2.2. Topologic insulators for harvesting and storing energy

The theoretical underpinnings of solid-state physics rest on two fundamental pillars: electromagnetism and quantum mechanics. One fascinating concept built on these two pillars is quantum topological materials, highlighting topological insulators (TIs). Studying topological insulators without referring to the quantum anomalous Hall effect is impossible.

What are, in fact, topological insulators? A topological insulator is a material whose bulk behaves as an electrical insulator. In contrast, its surface or edges behave as an electrical conductor due to protected surface states, Fig. 10. The scientific community's interest and potential applications of TIs in electronics, spintronics, and quantum information have driven tremendous efforts to find robust material realizations of TIs. The TI state has already been reported and observed in some materials. Some examples of topological insulator behavior have already been reported: halide perovskites displaying a nontrivial topological insulator phase, 127 oxide topological

insulators based on the superconductor BaBiO3,128 and the existence of a topological phase in the non-centrosymmetric NaSnBi material.129

A prominent area of research involves the search for materials displaying ferroelectricity and a topological insulator phase. A ferroelectric topological insulator (FETI) is expected to combine switchable polarization and robust topological surface states. In 2016, Liu et al. 130 performed a theoretical study on the cubic halide perovskite CsPbI<sub>3</sub> to understand the consequences of the coexistence of ferroelectricity and the nontrivial topology. The cubic halide perovskite under study experiences a paraelectric-ferroelectric structural phase transition under strain. Pressure can also induce a topological phase transition from a standard insulator to a topological insulator in CsPbI<sub>3</sub>. One of the clear advantages of FETIs over ferroelectric normal insulators (FENIs) is the guaranteed presence of metallic surface states, which can serve as metallic electrodes to create intrinsic short circuit conditions, allowing automatic surface charge dissipation and internal device self-charging feedback. An FETI may, therefore, work as a dual tandem dielectric-electrode with the advantage of reducing the interfacial resistance to the minimum and configuring a one-electrode battery or capacitor where the electrode performs to maximize the potential bias in the cell.

Another interesting study was in 2017 by Monserrat et al. in 2017. They reported antiferroelectric topological insulators (AFTIs) in an orthorhombic AMgBi compound where A = Li, Na, K. From this study, the authors started to distinguish three types of AFTIs, depending on which states are topological insulators: (1) type-I AFTIs with an antipolar normal state and polar topological state, (2) type-II AFTIs with an antipolar

topological state and polar normal states, and (3) type-III AFTIs with antipolar and polar topological states. From the first principles calculations, the authors determined the conditions under which each material behaves as the most promising candidate. LiMgBi performs better under epitaxial strain, whereas KMgBi performs better under hydrostatic pressure. In the LiMgBi and KMgBi AFTI phases of type I, an electric field could induce a topological phase transition by switching between the antipolar and polar states. The author concluded the crucial necessity of undertaking experimental investigations to explore these systems, particularly studying KMgBi under standard or moderate pressure and examining LiMgBi under epitaxial strain. Such experimental exploration may contribute valuable insights to our understanding of these materials.

High-order topological insulators (HOTIs) (Fig. 10) are a type of topological insulator that exhibits topologically protected states on their surfaces and on their boundaries in higher dimensions. This means that in addition to surface states, HOTIs possess robust and protected states along their hinges, corners, or other high-dimensional boundaries.

The unique properties of HOTIs make them attractive for potential applications in energy harvesting and storage devices. Here are a few ways HOTIs can be related to such devices:<sup>121</sup> (1) HOTIs can be utilized for efficient energy harvesting due to their topologically protected edge or boundary states. For example, hinge states in 3D HOTIs can carry current and be engineered to exhibit specific energy-momentum dispersion relations. By properly designing the device geometry and connecting appropriate electrodes, energy can be extracted from external sources, such as heat or mechanical vibrations, by converting these topologically protected states; (2) energy storage: HOTIs can also

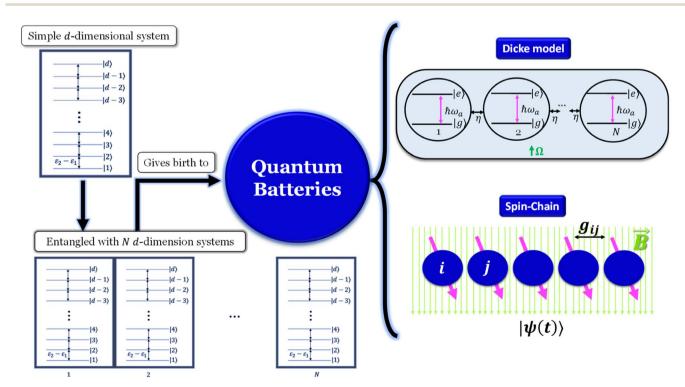


Fig. 11 Quantum battery sum-up schematics.

be relevant for energy storage applications. Their topologically protected states can facilitate the efficient transport and storage of charge carriers, enhancing the performance of energy storage devices such as batteries or supercapacitors. By leveraging the unique electronic properties of HOTIs, it may be possible to design more efficient and stable energy storage systems.

#### 2.3. Quantum or condensed matter batteries

The primary function of a battery is to be a reservoir of energy. Currently, technologies are evolving towards smaller devices, and batteries power these devices and, therefore, are needed to follow this tendency. However, as the unit cell of a battery approaches the size of a molecule or atom, quantum effects must be considered. The study of quantum batteries was first introduced in ref. 129 in 2013 as a small quantum mechanism that temporarily stores energy. These batteries are described as d-dimensional quantum systems without degenerated energy levels, as demonstrated in Fig. 11. Work can be reversely extracted and deposited at energy levels. As a result, the time evolution of the battery will be described by unitary operations.

**2.3.1 General modulation.** The Hilbert space,  $\mathbb{H}$ , of the wave function of the battery can be chosen to be d-dimensional, <sup>132</sup> with a Hamiltonian,  $\mathcal{H}_0$ , written in its base  $|j\rangle$  as

$$\mathcal{H}_0 = \sum_{j=1}^d \epsilon_j |j\rangle\langle j| \tag{2}$$

where  $\epsilon_j < \epsilon_{j+1}$  are the eigenvalues of  $|j\rangle$  and  $|j+1\rangle$ , respectively. This condition assumes no degeneracy.

Considering a Hermitian operator field  $\nu(t) = \nu^{\dagger}(t)$ , which is used to extract energy and is only different from zero for time t, so that  $0 < t < \tau_c$ , where  $\tau_c$  is the charging time. This field allows for a temporal evolution of the system, which is described by the Schrödinger equation, where the Hamiltonian,  $\mathcal{H}$ , will be  $\mathcal{H} = \mathcal{H}_0 + \nu(t)$ . The general solution for this equation can be given by the unitary operator  $\mathcal{U}(t_1, t_2)$ , where a given state  $|\psi(t_1)\rangle$  evolves to the state  $|\psi(t_2)\rangle$ , mathematically represented as  $|\psi(t_2)\rangle = \mathcal{U}(t_1, t_2)|\psi(t_1)\rangle$ . This operator is the solution for the Schrödinger equation when defined as the time-ordered exponential, with  $\hbar$  being the reduced Planck constant,

$$\mathcal{U}(t_1, t_2) = \mathcal{T}\left\{ e^{-\frac{i}{\hbar} \int_{t_1}^{t_2} \mathcal{H}(t') dt'} \right\}$$
 (3)

The state  $|\psi(\tau_c)|$  is then written as,

$$|\psi(\tau_{\rm c})\rangle = \mathcal{T}\left\{e^{-\frac{1}{\hbar}\int_{t_1}^{t_2}\mathcal{H}(t')dt'}\right\}|\psi(0)\rangle.$$
 (4)

It is possible to describe a state as its density matrix  $\rho(t) = |\psi(t)\rangle\langle\psi(t)|$ , making it clear that the value of  $\rho(\tau_c)$  is given by

$$\rho(\tau_{c}) = \mathcal{U}(0, \tau_{c}) |\psi(0)\rangle \langle \psi(0)| \mathcal{U}^{\dagger}(0, \tau_{c}) 
= \mathcal{U}(0, \tau_{c}) \rho(0) \mathcal{U}^{\dagger}(0, \tau_{c}).$$
(5)

The work extracted from the state is W.

$$W = \operatorname{Tr}\{\rho(0)\mathcal{H}_0\} - \operatorname{Tr}\{\mathcal{U}(0,\tau_c)\rho(0)\mathcal{U}^{\dagger}(0,\tau_c)\mathcal{H}_0\}$$
 (6)

where Tr is the trace of the matrix. As  $\mathcal U$  depends on  $\nu$ , a controllable variable, it is possible to control  $\mathcal U$  by choosing  $\nu$ , allowing for the work extracted to be maximized (ergotropy) and defined as

$$W_{\text{max}} = \text{Tr}\{\rho(0)\mathcal{H}_0\} - \min\{\text{Tr}\{\mathcal{U}(0,\tau_c)\rho(0)\mathcal{U}^{\dagger}(0,\tau_c)\mathcal{H}_0\}\}$$
 (7)

where the minimum is taken over all unitary transformations.

**2.3.2** Charging the quantum battery. Charging a battery consists of varying its state  $\rho(t_0)$  to a state  $\rho(\tau_c)$ , which gives  $W = \text{Tr}\{\rho(\tau_c)\mathcal{H}_0\} - \text{Tr}\{\rho(t_0)\mathcal{H}_0\} \geq 0$ . Conversely, discharging will take the state  $\rho(t_0)$  to a lower energy state  $\rho(\tau_d)$ , where  $\tau_d$  is the discharging time,  $W = \text{Tr}\{\rho(t_0 + \tau_d)\mathcal{H}_0\} - \text{Tr}\{\rho(t_0)\mathcal{H}_0\} \leq 0$ . As shown in the previous section, this can be achieved by applying a  $\nu(t)$  field.

In practice, it is generally preferable to charge a battery quickly, which mathematically means maximizing the power  $P \propto 1/t$ . However, the average work must be considered, as it also needs to be maximized. The way to ensure both P and W are maximized without one being a detriment to the other is by maximizing the function  $\mathcal{F}$ ,  $\mathcal{F} = P^{\alpha}W^{1-\alpha}$ , where  $\alpha$  is a free parameter  $0 \leq \alpha \leq 1$ . Note that for  $\alpha = 0$ ,  $\mathcal{F} = W$  and for  $\alpha = 1$ ,  $\mathcal{F} = P$ .

In ref. 133 some remarks are made: (1) the cyclicity of the process is an important requirement since we are interested in the power; (2) if  $t \to 0$ , the quantum speed limits, which bond the time evolution by the inverse of the mean energy, would be violated; (3) there are natural constraints to the Hamiltonian that must be incorporated into the analysis. In particular, ref. 133 restricts the trace norm of the Hamiltonian  $\text{Tr}\{\mathcal{H}\} \leq E_{\text{max}}$  with the gauge convention that states that the lowest eigenvalue of the Hamiltonian is zero.

**2.3.3 The Dicke quantum-battery.** The Dicke model in Fig. 11 consists of a collection of N two-level systems that interact with a single-photon cavity model with an external driving field. Ferraro  $et~al.^{134}$  proposed a model with a Hamiltonian  $\mathcal{H}=\hbar(\omega_a J_z+\omega_c a^\dagger a+\lambda(t)\omega_c J_x(a^\dagger+a))$ , where parameter  $\hbar\omega_a$  is the energy between the ground state  $|g\rangle$  and the excited state  $|e\rangle$ , a and  $a^\dagger$  annihilates and creates a cavity photon

with frequency 
$$\omega_c$$
,  $J_{\alpha} = \sum_{i=1}^{N} \sigma_i^{\alpha}$ , for  $\alpha = \{x, y, z\}$ , and  $\sigma_i^{\alpha} = \mathbb{I}^{\otimes i-1} \sigma_{\alpha} \mathbb{I}^{\otimes N-i}$  is the Pauli matrix of the *i*-th term, and  $\mathbb{I}$  is the identity matrix;  $\lambda(t)$  is the step function,  $\lambda(t) = \begin{cases} 1, \text{ for } 0 \leq t \leq \tau_c \\ 0, \text{ for all others} \end{cases}$ , where  $\tau_c$  is the charging time.

However, this model does not take into account the interatomic interactions and the driving field. To include these aspects, ref. 135 proposes a model with new terms in the Hamiltonian that considers those interactions. The two-level systems will be regarded as having infinite-range interactions and are coupled to the single cavity mode via single-photon coupling. The Hamiltonian can be divided into two parts: (1)  $\mathcal{H}_0$  the Hamiltonian of the battery and (2)  $\mathcal{H}_1$  the charging Hamiltonian  $\mathcal{H}(t) = \mathcal{H}_0 + \lambda(t)\mathcal{H}_1$ . The two Hamiltonian parts are given by

Perspective

$$\mathcal{H}_0 = \hbar \omega_a J_z; \tag{8}$$

$$\mathcal{H}_{1} = \mathcal{H}_{c} + \mathcal{H}_{a-c} + \mathcal{H}_{a-a} + \mathcal{H}_{field}$$

$$= \hbar \left( \omega_{c} a^{\dagger} a + 2\omega_{c} \gamma J_{x} (a^{\dagger} + a) + \frac{\eta}{N} J_{z}^{2} + \Omega J_{x} \right)$$
(9)

In eqn (9)  $\mathcal{H}_c = \hbar \omega_c a^{\dagger} a$  is the Hamiltonian of the cavity,  $\mathcal{H}_{a-c}=2\hbar\omega_c\gamma J_x(a^\dagger+a)$  describes the interaction between the atom and the cavity,  $\mathcal{H}_{a-a} = \hbar \frac{\eta}{N} J_z^2$  is the interaction between atoms and  $\mathcal{H}_{\text{field}} = \hbar \Omega J_x$  is the Hamiltonian due to the applied magnetic field along the x axis. The parameter  $\hbar\omega_a$  is the energy difference between the ground state  $|g\rangle$  and the excited state  $|e\rangle$ ,  $\gamma$  is the two-level system cavity coupling strength,  $\eta$  is the atomic interaction strength, and  $\Omega$  is the driving field strength.

Considering a close quantum system where the N two-level systems are in the ground state and coupled to the singlemode cavity in the N photon Fock state. The initial state of the total system is  $|\psi(0)\rangle = |N\rangle \otimes |g, g, ..., g\rangle$ .

The battery will start to charge when  $\lambda(t) \neq 0$ . By defining the density matrix  $\rho(t) = |\psi(t)\rangle\langle\psi(t)|$ , the total energy storage at a time t is obtained using  $W(t) = \text{Tr}\{\rho(t)\mathcal{H}_0\} - \text{Tr}\{\rho(0)\mathcal{H}_0\}$ . In these operators' basis, the initial state  $|\psi(0)\rangle$ , can be written as  $|\psi(0)
angle=\left|N,\,rac{N}{2},-\,rac{N}{2}
ight>,$  giving all the two-level systems in the ground state (state of minimal energy).

The matrix element of eqn (9) can be obtained by proceeding step by step and fixing  $j = \frac{N}{2}$ , so  $m = -\frac{N}{2}, ..., \frac{N}{2}$ . Summing all components, it is possible to obtain the Hamiltonian matrix. It is important to note that the number of photons is neither conserved nor bounded by the Dicke Hamiltonian; thus, it can take an arbitrarily large integer value. In practice, it is necessary to design a cut-off so that  $N_{\rm ph} > N$  on the maximum number of photons  $N_{\rm ph}$ . In studies 136, 134 the authors show that excellent numerical convergence can be achieved by selecting the maximum number of photons as  $N_{\rm ph} = 4N$ .

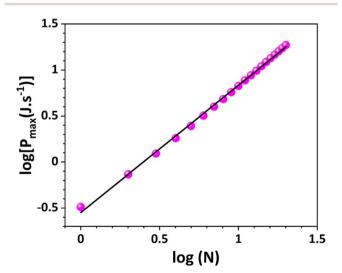


Fig. 12 Maximum power vs. N two-level systems. By linear fitting, we have obtained  $\alpha = 1.4$ 

2.3.4 Charging properties. First, considering charging without driving field, the Hamiltonian  $\mathcal{H} = \omega_c a^{\dagger} a + \omega_a J_z + 2\omega_c \gamma J_x (a^{\dagger} + a) + \frac{\eta}{N} J_z^2$ . If  $\eta < 0$ , attractive interactions are being considered, whereas if  $\eta > 0$ , these interactions are repulsive. Additionally, in ref. 135  $\eta = 0$  means no interactions.

When the battery system is in a weak ultra-strong coupling regime, the light-matter interaction energy is a comparable fraction of the bare frequencies of the uncoupled systems, and the atomic interaction, whether repulsive or attractive, always has a negative impact on the energy stored by the quantum battery. However, the repulsive interaction increases the charging power while attractive interaction decreases it. It is also possible to notice that the atomic interaction grows weaker as the coupling strength increases. When the coupling strength increases to the deep-strong coupling regime, characterized by interaction strengths surpassing the bare frequencies, the energy and charging power of the battery remain largely unaffected.135 The latter shows an interesting feature from the atomatom and atom-cavity field interactions. It is also shown that the maximum energy stored always has a critical behavior. The critical atomic interaction  $\eta_{crit}$  was calculated in ref. 135 as  $\eta_{\rm crit} = \omega_a - \frac{4\gamma^2 N}{\omega_c}$  and at the critical point  $\gamma = \frac{\sqrt{\omega_a \omega_c}}{2}$ , where

the system is in its normal-super radiant phase.

2.3.5 Advantage of collective charging. Ferraro et al. 134 showed that the energy in a single-photon Dicke model scales with N and the charging power with  $N^{\frac{3}{2}}$  for large N. Therefore, ref. 135 assumes that it is reasonable to consider  $P_{max} \propto \beta N^{\alpha}$ , for this extensive Dicke model. Then,  $\log(P_{\text{max}}) \propto \alpha \log(N) + \log(\beta)$ , which by linear fitting allows us to obtain  $\alpha$ .

2.3.6 Numerical simulations. To verify the benefits of collective charging, we generated a plot highlighting the relation between the maximum power and different numbers of two-level states. These simulations were conducted in the subspace of j, Fig. 12, and were developed using the programming language Python<sup>137</sup> through Jupyter Notebook<sup>138</sup>, <sup>139</sup>. As anticipated, the maximum power and energy of the battery increase with the number of two-level systems, N. Furthermore, our simulation data exhibited a linear relationship when plotting the logarithm of the maximum power against the logarithm of N. The linear fit yielded an R-squared value of 0.9987, indicating a strong correlation consistent with the findings reported in the previous paper.135

In the ultra-strong coupling regime, the scaling exponent can be approximately  $\alpha = 1.8 > 3/2$ . On the other hand, in the deepstrong coupling regime, the scaling exponent is approximately  $\alpha = 1.5 = 3/2$ . Regardless of the coupling regime, the external driving field strength increases the maximum charging power of the quantum battery. However, as the driving field strength increases, the advantage of the system's maximum charging power capabilities weakens.

2.3.7 Application of the Dicke quantum-battery. This model can be demonstrated experimentally by choosing an experimental set-up using a Bose-Einstein condensate, BEC, of

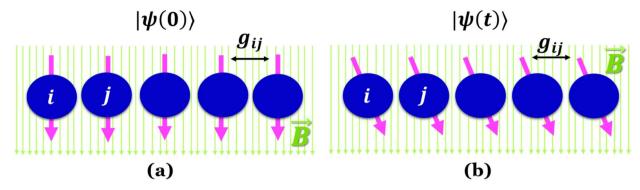


Fig. 13 Initial and t state for the spin-chain battery. (a)  $|\psi(0)\rangle$ ; (b)  $|\psi(t)\rangle$ .

 $^{87}\text{Rb}$  atoms coupled to a quantum electrodynamical QED cavity<sup>140</sup>, <sup>141</sup>. The BEC with two levels  $|1\rangle$  and  $|2\rangle$  is prepared in a time-averaged, orbiting potential magnetic trap. <sup>135</sup> After moving the BEC into an ultra-high-finesse optical cavity, an external controllable classical laser is applied to produce various transitions of the atoms between  $|1\rangle$  and  $|2\rangle$  states, thus charging the battery. <sup>135</sup>

**2.3.8 Spin chain quantum battery.** Le *et al.*<sup>142</sup> proposed another type of quantum battery, considering a one-dimensional *XXZ* Heisenberg spin-chain. This type of spin-chain has a coupling constant different in the *z* direction than the other directions, Fig. 11 and 13. In the absence of charging operations, the Hamiltonian is assumed to be static and represented by  $\mathcal{H}_0$ , so that,  $\mathcal{H}_0 = \mathcal{H}_B + \mathcal{H}_g$ .  $\mathcal{H}_B$  is the Hamiltonian due to the magnetic field  $\vec{B}$  and is defined as  $\mathcal{H}_B = -\vec{B} \cdot \sum_{l=1}^N \vec{\mu_l}$ , where  $\vec{\mu_l}$  is the magnetic momentum. Assuming  $\vec{B}$  in the -z direction (Fig. 13) and assuming that the magnetic moment of the *i*-th member of the chain is given by  $\vec{\mu_l} = \frac{1}{2}g_s\mu_B \vec{\sigma_l}$ , where  $g_s \approx 2$ ,  $\mu_B$  is the Bohr magneton and  $\vec{\sigma}$  is the vector of Pauli matrices,

$$\mathcal{H}_B = B\mu_B \sum_{i=1}^N \sigma_i^z,\tag{10}$$

 $\sigma_i$ a, for  $a=\{x,y,z\}$ , is the Pauli matrix for the a coordinate and the i-th member of the spin-chain, and it is obtained using  $\sigma_i{}^{\alpha}=\mathbb{I}^{\otimes i-1}\sigma_{\alpha}\mathbb{I}^{\otimes N-i}$ .

The second term of the Hamiltonian,  $\mathcal{H}_g$ , defines the interaction between different spins,

$$\mathcal{H}_{g} = -\frac{\hbar^{2}}{4} \sum_{i < j} g_{ij} \left[ \sigma_{i}^{z} \cdot \sigma_{j}^{z} + \alpha \left( \sigma_{i}^{x} \cdot \sigma_{j}^{x} + \sigma_{i}^{y} \cdot \sigma_{j}^{y} \right) \right]$$
(11)

where  $g_{ij}$  is the interaction between spins i and j and  $\alpha$  ensures that the interaction between the z direction and the other two directions is different,  $|\alpha| \leq 1$ . Lee  $et\ al.^{142}$  considered two types of interactions: (1) the nearest neighbors  $g_{ij}^{NN}$  and (2) the longrange interaction  $g_{ij}^{LR}$  given by  $g_{ij}^{NN} = g\delta_{ij}$  and  $g_{ij}^{LR} = \frac{g}{|i-j|^p}$ , respectively, where g is the interaction strength and assuming that the spin-chain is ferromagnetic  $g \geq 0$ , p is the decaying power of the long-range interaction.

Considering a ferromagnetic spin-chain, the ground state  $|GS\rangle$  can be written as  $|GS\rangle = |\downarrow\rangle \otimes |\downarrow\rangle \otimes ... \otimes |\downarrow\rangle$  the tensorial product is obtained N times. All spins are, then, aligned with the  $\vec{B}$  field. In this way, the density matrix of the ground state  $\rho_{\downarrow}$  is  $\rho_{\downarrow} = |GS\rangle\langle GS|$ .

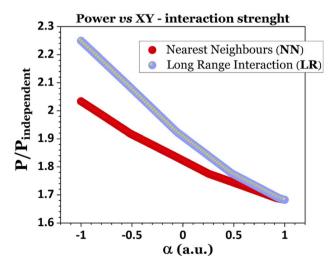
Therefore, the initial energy will be given by  $\operatorname{Tr}\{\mathcal{H}_0\rho_\downarrow\}=\operatorname{Tr}\{\mathcal{H}_B\rho_\downarrow\}+\operatorname{Tr}\{\mathcal{H}_g\rho_\downarrow\}$  and using  $\sigma^a|\downarrow\rangle=-\delta_{za}|\downarrow\rangle$  having into account that  $\sigma^a$  is the Pauli matrix for the a coordinate, and  $\delta_{za}$  is only one when a=z and zero in all the other cases.

$$\operatorname{Tr}\left\{\mathcal{H}_{0}\rho_{\downarrow}\right\} = -NB\mu_{B} - \frac{\hbar^{2}}{4} \sum_{i < i} g_{ij} \tag{12}$$

is the energy of the ground state (Fig. 13).

To import energy into the system<sup>142</sup> a charging Hamiltonian  $\nu$  was considered, eqn (13). This Hamiltonian is obtained by varying  $\mathcal{H}_B$  the energy splitting Hamiltonian, eqn (10),

$$\nu = \hbar\omega \sum_{i=1}^{N} \sigma_i^{x} \tag{13}$$



**Fig. 14** Charging power of a spin-chain quantum battery as a function of the anisotropy factor: N=4 and B=3 a.u.,  $\omega=4B$ , g=B and p=1.

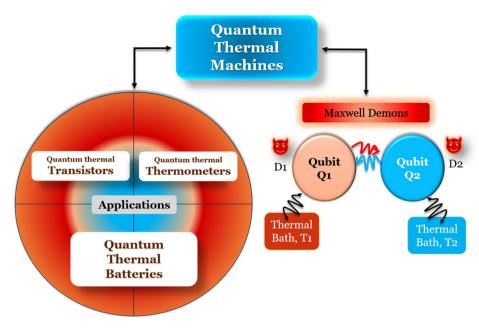


Fig. 15 Quantum feedback thermal machines and their applications. Qubits  $Q_1$  and  $Q_2$  are monitored by  $D_1$  and  $D_2$ , connected to thermal baths  $T_1$  and  $T_2$ . In the case of Maxwell's demons,  $T_1 = T_2 = T$ . The two baths can also have different temperatures <sup>148</sup> and work as refrigerators.

which is perpendicular to the original Zeeman splitting and uniform in space, Fig. 13. This can be obtained by applying another external magnetic field or simply rotating the system relative to the existing field.

Charging can be time-dependent; despite that, it is possible to consider the simpler case where  $\nu$  is constant,  $0 < t < \tau_c$ . From eqn (3) yet considering that  $\mathcal{H} = \nu + \mathcal{H}_g$  is constant with time, it is only necessary to use the exponential definition.

$$\mathcal{U}(0,t) = e^{\left\{-\frac{i}{\hbar}\left(\mathcal{H}_g + \nu\right)t\right\}} \tag{14}$$

where for t, the battery will be in the  $\rho(t)$  state, obtained using  $\rho(t) = \mathcal{U}(0,t)\rho_{\perp}\mathcal{U}^{\dagger}(0,t)$ .. The work is then obtained using  $W(t) = \text{Tr}\{\mathcal{H}_0 \rho(t)\} - \text{Tr}\{\mathcal{H}_0 \rho_{\perp}\}$  and the charging power using  $P(t) = \frac{W(t)}{}.$ 

2.3.9 **Numerical simulations.** To better understand how this model works and how its parameters affect the performance of the spin-chain battery, a series of numerical simulations were developed. The simulations were written in the coding language Python<sup>137</sup> through Jupyter Notebook<sup>138,139</sup> using the Quspin library recently developed by two faculty members of the Department of Physics at Boston University, Phillip Weinbe and Marin Bukov. 143 The battery's power was calculated and normalized with the power associated with a battery with isotropic interactions  $\alpha = 1$  corresponding to that of four independent pins. In Fig. 14, it is demonstrated that independent of the type of interaction used, the power obtained will always be smaller when  $\alpha = 1$ , proving that a spin-chain without anisotropy provides less power. Furthermore, as the power increases with the anisotropy, the rotation or translation symmetries must be broken for a more powerful battery.

### 2.4. Quantum thermal machines

Quantum thermal machines (QTMs) are advanced devices that operate on nanoscale systems, utilizing small two-level system quasi-particles known as qubits. These machines perform thermodynamic cycles and have various applications (Fig. 15). For example, they can function as nano-heat engines, 144 leveraging the AC Josephson effect, or serve as quantum thermal thermometers as shown by Hofer et al. 145 and transistors by Joulain et al.146

One of the most significant challenges in quantum thermal machines is achieving optimal control of open quantum systems; therefore, improving this aspect has a crucial role in developing quantum information technologies. Erdman et al. 147 proposed an innovative approach that utilizes reinforcement learning (RL) to optimize the performance of QTMs. RL is a framework in which a computer agent learns and masters tasks through repeated interactions with an environment. The developed study focused on finite-time thermodynamics of QTMs within the Markovian regime, employing a commonly used master equation. The case studies considered were (1) a heat engine based on a two-level system, (2) a refrigerator based on a superconductor, and (3) a heat engine based on a quantum harmonic oscillator.

In the first case, the authors observed that initially, when the agent had no prior experience, it performed random actions, dissipating work into heat baths instead of harvesting. However, the agent learned to control the heat engine over time, resulting in the average power saturating at a maximum positive value. Moving forward, the author considered a second system, which involved a refrigerator based on a superconducting qubit coupled to two resonant circuits acting as heat baths. In this case, unlike the previous scenario,

the existence of coherence between instantaneous eigenstates needed to be considered. Similarly to the first case, in the beginning, the agent performs random actions; however, as time moves forward, the agent gains experience saturating the value of the average cooling power to a positive value. The study of the qubit-based refrigerator ended by evaluating the coefficient of performance (COP) at maximum power. From this, it was possible to conclude that the COP at maximum power is 6%; even though this value seems low, on-chip cooling applications typically aim to maximize the cooling power regardless of the efficiency of such a process. To end the study, the authors147 considered a heat engine based on a collection of non-interacting particles confined in a harmonic potential. As in the first case, the agent makes the decision of which bath to couple with the oscillator. As it was observed in the first case, the power is negative for small steps, while it converges to a positive value as the agent gains experience. In this study, the cycle discovered by the agent is similar to the Otto cycle (Fig. 16); however, it possesses some additional features. The crucial difference regarding the Otto cycle is the ramping up of (t) while in contact with the baths, benefiting simultaneously from exchanging heat and modulating instead of achieving this in two separate systems.

Manzano<sup>150</sup> conducted a study to comprehend the influence of quantum effects on the operation and characteristics of thermal machines. Specifically, they focused on analyzing the

entropy production and fluctuations in Maxwell's refrigerator with squeezing (Fig. 15). This study holds significant importance as it addresses a key challenge in quantum thermodynamics, which is to determine the impact of genuine quantum effects on the thermodynamic behavior and properties of small systems subjected to fluctuations. The study involved a thermal device between two resonant bosonic reservoirs at different inverse temperatures and an external memory system capable of erasing or storing information when in contact with the device. In this study, the authors verified that in scenarios of squeezed thermal reservoirs, the changes in entropy within the environment result from the exchange of energy and coherence between nonequilibrium reservoirs. This exchange mechanism also led to the induction of squeezing in the memory system at the steady state. While the fluctuation theorem for total entropy production remained valid in this case, the fundamental processes associated with its increase were no longer straightforward jumps linked to the exchange of energy quanta between reservoirs.

How are quantum thermal machines related to quantum batteries? A recent study conducted by Tacchino et al. 151 aimed to prove that a battery can be charged when traversed by an incoherent heat flow. This heat flow arises from the weak interaction between a quantum system and two thermal baths at different temperatures. The process of charging the battery is initiated by applying a thermal gradient, which drives the

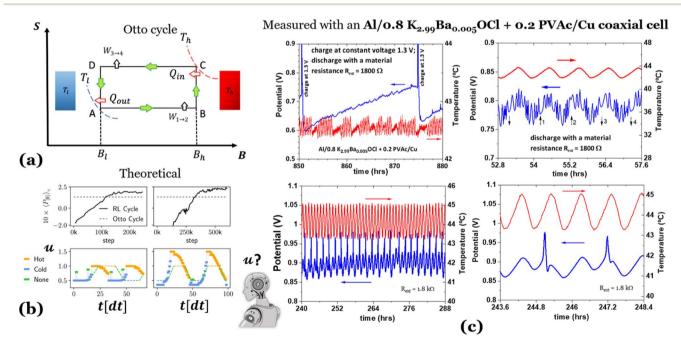


Fig. 16 Otto cycle. (a) Entropy S vs. applied magnetic field B.  $T_l$  – low reservoir temperature;  $T_h$  –high reservoir temperature; W – work; Q – heat. The system contacts the baths in the isomagnetic portions of the cycle (i.e.,  $A \to D$  and  $A \to C$ ). At A,  $T = T_l$ , and at C, the system's temperature reaches  $T = T_h$ . For the quantum version, the entropy  $S_B$  and  $S_D$  are calculated using the same thermal probabilities in A and C to ensure the quantum adiabatic portions  $A \to B$  and  $C \to D$  of the two-level quantum system. Reproduced from ref. 149 CC BY 4.0; (b) results of training the agent on the quantum harmonic oscillator heat engine model; the dashed lines represent the Otto cycle; actions chosen by the agent, represented by the value of U, as a function of time. Reproduced from ref. 147 CC BY 4.0; (c) the quantum thermal battery is a reality and shows spontaneous coherence obtained with a FETI in a double layer or, in this case, in a  $Al/0.8K_{2.99}Ba_{0.005}OCl + 0.2$  PVAc/Cu coaxial cell when connected to a material resistor of 1.8 k $\Omega$ ; the Otto field is an internal spontaneous electric field established to equilibrate the Fermi levels between the materials in electrical contact; the self-cycling drives the oscillation of the temperature of the cell. <sup>28</sup>

transfer of energy and results in the battery being charged. The authors studied this subject by analyzing a model of two coupled qubits as the working fluid. The most important concepts to be taken into account were (1) the Hamiltonian of the two coupled qubits, eqn (15), and (2) the heat absorbed from the hot bath.

$$H = \hbar\omega_0(\sigma_+^{(1)}\sigma_-^{(1)} + \sigma_+^{(2)}\sigma_-^{(2)}) - \lambda(\sigma_+^{(1)}\sigma_-^{(2)} + \text{h.c.})$$
 (15)

where  $2\sigma_{\pm} = \sigma_x \pm i\sigma_y$  and  $\{\sigma_j\}$  for j = x, y, z are the Pauli matrices,  $\hbar\omega_0$  corresponds to the energy gap,  $\lambda$  is the coupling strength, and h.c. is the Hermitian conjugation. With this study, the authors verified that efficiency and output power are maximized in the short-limit cycle.

A study from October 2022 by Santos et al.152 aimed to investigate the thermodynamic costs and limitations in the simplest case of optically pumping energy into an effective twolevel quantum battery, as analyzed herein with the Dicke model (Fig. 11 and 12). The author started the study by analyzing a single quantum battery, highlighting the relationship between the pump system and efficiency and the dependence of the charging efficiency and the frequency of the pumping field. From this, it was possible to conclude that there is no optimal set of parameters to charge the battery. The process is more efficient for shorter times. For this time scale, the power storage in the battery is maximized; however, the efficiency decreases due to heat loss. The charging process is not affected by temperature if adiabatic elimination is verified. This condition is not verified unless the dissipative thermal reservoir temperature is high enough compared to the energy gap at the eliminated level. Charging is most efficient at resonance, making this the optimal charging scenario.

Following, the author studied a second situation considering a quantum engine. It was verified that the best performance is achieved at low temperatures and short cycles. The maximum efficiency obtained is equivalent to a machine operating on an Otto cycle between two different reservoirs (Fig. 16). However, the efficiency of the Otto cycle is reduced due to energy dissipation in creating an effective high-temperature reservoir through optical pumping. This, however, can be minimized if the energy difference between the adiabatically eliminated level and the optically pumped level is not significant (Fig. 16). The highest efficiency in a short cycle situation is achieved when the pumping frequency matches the Bohr frequency of the optically pumped transition. The two-stroke machine cannot work at every pump frequency and temperature combination; if the temperature of the cold reservoir is higher, then the machine needs to be closer to resonance. However, when the machine operates at resonance, its efficiency is not affected by the temperature of the cold reservoir in a significant way.

Bhandari et al. 148 conducted a study in May 2023 to explore using quantum measurements and feedback in coupled-qubitbased thermal machines. The researchers examined two configurations of these devices: (1) Maxwell's demon and (2) measurement-assisted refrigerator (Fig. 15). Before reviewing the results reported by the authors, it's fundamental to understand the model underlying both experiments. In their

investigation, the authors employed a setup consisting of two coupled qubits, denoted as  $Q_1$  and  $Q_2$ . These qubits were connected to two thermal baths at temperatures  $T_1$  and  $T_2$ , respectively. Additionally, two measurement apparatuses,  $D_1$ and  $D_2$ , enabled local quantum measurements on the respective qubits. The total Hamiltonian for the system was the sum of three components: (1)  $\mathcal{H}_Q$  describing the coupled-qubit system, (2)  $\mathcal{H}_b$  representing the Hamiltonian of the heat baths, and (3)  $\mathcal{H}_{c}$ , characterizing the tunnel-like coupling between the baths and the system. Hence, the total Hamiltonian can be expressed as  $\mathcal{H} = \mathcal{H}_{O} + \mathcal{H}_{b} + \mathcal{H}_{c}$ .

In the case of Maxwell's demons, the environment has a uniform temperature, denoted as  $T_1 = T_2 = T$ . This configuration aimed to extract heat from a single-temperature bath by leveraging invasive quantum measurements and feedback. On the other hand, the measurement-assisted refrigerator involved two distinct temperatures,  $T_1$  and  $T_2$ , to maximize the heat extracted from the cold bath. The authors studied continuous and discrete measurements for Maxwell's demon scenario. In the case of one qubit measurement and considering a feedbackfavorable situation, it was observed that the heat extraction from the thermal bath increases monotonously as a function of the coupling strength between the two qubits  $\sigma_Z - \sigma_Z$ . By comparing the heat extracted from a single setup when both qubits were measured together to the heat extracted from two parallel setups where only individual qubits were measured, the authors concluded that the former outperforms the latter due to a collective effect. The distribution of heat extraction for both one and two-qubit measurements was considered for continuous measurement. The combined measurement of two qubits has a superior average heat extraction compared to individually measuring each qubit in two parallel setups. However, it is essential to note that higher fluctuations consistently accompanied this enhanced average heat extraction. In the study's second phase, the researchers examined the application of measurement-assisted refrigeration in a system consisting of coupled gubits connected to two thermal baths with different temperatures. It was demonstrated that while refrigeration can be achieved by using either measurement or swap operations independently, combining them leads to even more effective refrigeration.

#### 3. Conclusion

All-solid-state batteries are expected to be widely adopted once they become cost-effective or significantly more efficient than their liquid counterparts. Sustainability is a driving force for their adoption, as it requires promoting renewable energy sources, implementing energy-efficient practices, reducing greenhouse gas emissions, and promoting waste reduction and recycling. Consequently, the materials used must be nonhazardous. An exemplary achievement would be converting a portion of the wasted heat and thermal energy into electrical energy.

The best-performing solid electrolytes currently being adopted by industry include argyrodites, oxyhalides, and combinations of argyrodites with oxides and halides and

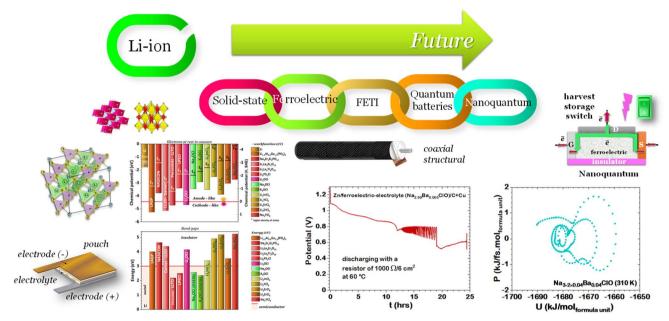


Fig. 17 A perspective journey into the present and future of all-solid-state batteries. Note: for more information on the graphs on the right reproduced under CC BY 4.0.

oxyhalides with sulfur. These solid electrolytes can be enhanced by doping them with higher valence cations to create vacancies that decrease the activation energy.

The synthesis method is also crucial as it relates to crystallinity and defects. Wet chemistry synthesis has proven to be the best method for obtaining higher ionic conductivity and even lower hygroscopicity. Being nanocrystalline or glass-amorphous has also been shown to improve cationic mobility within the solid electrolyte.

In addition to being an electrolyte, being a ferroelectric (e.g., perovskite LLTO and  $A_{3-2x}M_x$ OCl where A = Li, Na, K and M = Mg, Ca, Sr, Ba) enhances ionic performance due to the spontaneous polarization of the ferroelectric driving ionic mobility, and *vice versa*.

Another intriguing characteristic, second only to the ferroelectricity dynamics described above, is the semiconductor anode-like behavior of the best-performing electrolytes, including Li<sub>6</sub>PS<sub>5</sub>Cl, Na<sub>3</sub>OCl, K<sub>3</sub>OCl, and Li<sub>3</sub>InCl<sub>6</sub>. These electrolytes are semiconductors or have a band gap energy slightly above 3 eV, but their conduction band is placed at a similar energy level to the well-performing electrolytes. This characteristic suggests that electrons likely play a role in the excellent performance of an electrolyte, even though an SEI layer forms as Li<sup>+</sup>-ions, Li-batteries, and Na<sup>+</sup>-ion traditional anodes have chemical potentials well above the conduction band of these electrolytes, facilitating the tunneling and drift of the electrons to the electrolyte's surface.

The ferroelectric electrolytes of the  $A_{3-2x}M_x$ OCl family, where A=Li, Na, K, and M=Mg, Ca, Sr, Ba, are FETIs (ferroelectric topological insulators) and, as previously mentioned, they have the guaranteed presence of metallically protected surface states that can serve as metallic electrodes. FETIs can, therefore, function as a tandem dielectric-electrode, reducing

interfacial resistance and configuring a one-electrode-battery or capacitor, where the electrode raises the bias between the chemical potential of the ferroelectrics' surfaces. Other ferroelectrics that have been proven to be topological insulators, although not electrolytes, are BaBiO<sub>3</sub>, NaSnBi, and the cubic halide perovskite CsPbI<sub>3</sub>, which has applications in photovoltaics and optoelectronics.

FETI electrolytes used in energy storage devices exhibit features of quantum thermal batteries, such as the possibility of establishing positive feedback from the positive to the negative electrode through their surface, leading to stability and self-charge even when the battery is set to discharge with a resistive material load.

Whether an FETI is used in batteries or not, the future lies in carefully tailoring electrolytes and devices, utilizing quantum mechanics to our advantage. The future may be centered on quantum batteries with harvesting capabilities, which may exhibit coherent states even at macroscopic dimensions and room temperature. Combining energy harvesting and storage in one cell is environmentally advisable, especially if no additional efforts are required for their fabrication and use; the materials used are non-hazardous and are recyclable, safe, and readily available. These efforts and tendencies are not limited to batteries and energy storage devices but extend to photovoltaics, memories, optoelectronics, and transistors (Fig. 17).

## Author contributions

Original draft, visualization, and quantum battery simulation analysis: BMG; original draft on quantum batteries and simulations' code: JFM; original draft on main perspectives, conceptualization, visualization, *ab initio* simulations, and

supervision: MHB; review and editing: all. All authors have read and agreed to the published version of the manuscript.

# Conflicts of interest

There are no conflicts to declare.

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