



Statistical Errors in Reduced Density Matrices Sampled from Quantum Circuit Simulation and the Impact on Multireference Perturbation Theory

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- Statistical Errors in Reduced Density Matrices Sampled from Quantum
- 2 Circuit Simulation and the Impact on Multireference Perturbation

Theory

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8 Abstract

In this work, we present a detailed analysis of statistical errors in reduced density matrices (RDMs) of active space wavefunctions sampled from quantum circuit simulation and the impact on results obtained by the multireference theories. From the sampling experiments, it is shown that the errors in sampled RDMs have larger value for higher-order RDMs, and that the errors in sampled RDMs for excited states are larger than those for the ground state. We analytically derive the expected value of the sum of squared errors between the true distribution and sample distribution of weights of the electron configurations based on a multinomial distribution model, with which we present an assessment of the dependency of RDM errors on the number of shots for the observation (N_{shot}) and on the character of the target electronic state. With the benchmark calculations of short

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polyenes, C_4H_6 and C_6H_8 , we report the statistical errors in CASCI and complete active space second-order perturbation theory (CASPT2) energies obtained with the sampled 1,2-RDMs and 1,2,3,4-RDMs, respectively. It was found that the standard deviation (SD) of the sampled CASCI energies are proportional to $1/\sqrt{N_{\rm shot}}$ as predicted. It was also found that the dependence of the SD of the second-order correction energies are somewhat different but the errors in the reference CASCI energies are dominant as compared with the corrections and the SD of the resulting CASPT2 energies are proportional to $1/\sqrt{N_{\rm shot}}$. It suggests that the required $N_{\rm shot}$ for 3,4-RDMs used only in the second-order perturbative corrections is smaller than that for 1,2-RDM used to calculate the reference CASCI energies. It was also suggested from the analysis of the errors in the sampled energies that the required $N_{\rm shot}$ for 3-RDM, which is used to calculate the perturbative correction energies, can be smaller than that for 2-RDM to calculate the CASCI energies. In fact, it was shown that the potential energy curve along the isomerization reaction of the $\{[Cu(NH_3)_3]_2O_2\}^{2+}$ complex as an archetype of metalloenzyme, in which static and dynamical electron correlation are both important, can be reasonably reproduced with $N_{\rm shot}=10^6$ for 1,2-RDMs but $N_{\rm shot}=10^5$ for 3-RDM by the sampling simulation.

1 Introduction

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Within the framework of molecular orbital theory, the exact solution of the time-independent Schrödinger equation
with a fixed basis set is provided by the Full Configuration Interaction (Full-CI) method. The Full-CI wavefunction
is expanded by all possible electron configurations, the number of which increases with the number of combinations between orbitals and electrons. This leads to a complete consideration of electron correlation, however, the
computational costs increase exponentially with the size of the system. Application of the Full-CI wavefunction is
limited to small systems only. In order to efficiently account for the electron correlation while keeping the computational cost affordable, various wavefunction theories have been developed; [1–3] the Complete active space (CAS)
wavefunction is one of the most commonly used wavefunction methods. [4] In the CAS wavefunction, the molecular
orbitals are divided into three sets: The inactive orbitals, which are always doubly occupied by electrons; the virtual

orbitals, which are not occupied by electrons; and the active orbitals, which can be either occupied or unoccupied in the CI expansion. By limiting the number of active orbitals and active electrons and performing the Full-CI calculation within this reduced Hilbert space (which is called an active space), an efficient approximation to the Full-CI wavefunction is obtained; this is called the CASCI (CAS Configuration Interaction) method. In the CASCI calculation, the strong electron correlation of nearly degenerate electron configurations within the active space, known as the static electron correlation, can be efficiently described. However, in many cases, it is known that considering only the static electron correlation is insufficient for quantitative prediction of molecular energies. To account for the electron correlation that is neglected in CASCI, i.e., the correlation between the electron configurations inside and outside the active space, a second-order perturbation theory based on the CAS wavefunction, called CASPT2 (CAS second-order perturbation theory), has been developed. [5] This additional correlation is often referred to as the dynamical electron correlation. In many cases, accounting both of statical and dynamical correlation energy is necessary to obtain results with chemical accuracy, that is, 1.0 kcal/mol or 0.05 eV for a single molecule. It should be noted that although the CAS wavefunction is an efficient approximation to Full CI, the dimensionality of the active space still increases exponentially with the combinations of active orbitals and active electrons; the curse of dimensionality is again an obstacle to the application of the CAS wavefunction to large systems. With the advent of the Density Matrix Renormalization Group (DMRG) combined with the CAS wavefunction, [6-9] the computationally feasible size of CAS space has significantly improved. However, the computational cost for the DMRG-CAS wavefunction scales exponentially for two- (or more) dimentional systems, because efficient trancation of the number of the renormalized many-body basis states is difficult in these cases. 58

Simulation of the Hamiltonian for strongly entangled quantum systems is widely regarded as a promising application [10–18] in both Noisy Intermediate-Scale Quantum (NISQ) devices [19–24] and Fault-Tolerant Quantum Computers (FTQC), [25–28] which has the potential to enable calculations for large CAS wavefunctions that are difficult to achieve with classical computers. By associating an electronic configuration in the active space with an

eigenstate of qubits, it is possible to map a CAS wavefunction onto a superposition state of qubits; the required
number of qubits is equal to the number of spin-orbitals in the active space. For the implementation of quantum
circuits, the reduction of Hilbert space by the CAS wavefunction is desirable because the number of required qubits
is reduced. [29] As a result, it is expected that calculations of large CAS wavefunctions can be efficiently performed
by using a linearly scalable number of qubits.

As will be mentioned in detail in the subsequent section, the electronic energies of target molecules are calculated by sampling of reduced density matrices (RDMs) for the electrons in the active space orbitals from quantum circuits and subsequently parsing the RDMs to classical computers, [30–33] which is often called as a quantum-classical hybrid algorithm. For the CAS wavefunctions represented on qubits, these active-space RDMs are obtained by repeatedly observing the superposition states on the qubits and statistical estimation; if an infinite number of observations is performed, an exact RDM will be obtained. In this RDM sampling, errors are expected to occur due to two main factors; the first is hardware errors, such as thermal fluctuations and the fidelity of quantum gate operations; the second is the statistical error that results from estimating quantum superposition states by a finite number of observations. There are a couple of previous studies on these problems of errors which are closely related to the work of the present paper; in ref. [34], a robust algorithm using the Tensor Product Basis (TPB) representation [35] of Pauli operators, and sampling simulation of 1- and 2-RDMs are proposed; in ref. [36], demonstration of NEVPT2 [37, 38] calculations using the RDM obtained by simulating the observation of the wavefunction on qubits is conducted, aiming to evaluate the impact of RDM observation errors on the NEVPT2 energy; also in ref. [32] a calculation with the NEVPT2 method is presented, with remarkable demonstration with 81 quantum devices. In this work of ours, we focus on two unresolved aspects among these previous studies; one is the dependency of the statistical errors in sampled RDM on the number of shots in the measurement, as well as on the character of electronic state such as the ground state and the excited states; the other is the evaluation of nontrivial energy errors when sampled RDMs are applied to the subsequent CASPT2 method. The strongly-contracted 86 (SC-)NEVPT2, which is used in ref. [36], and CASPT2 have different constructions of the internal contracted basis

(ICB), and therefore, it is expected that the errors in the reduced density matrix (RDM) will have different impacts

on the N-representability and the correction energy. [39–41] In particular, for the dissociation of a nitrogen molecule

or for the excited energies of short polyenes, the effect of cumulant approximation for the higher order RDMs cause

a significant error in NEVPT2 energy, [36, 39] while the error in the CASPT2 energy is not significant. [42]

These two points should be important aspects when addressing problems in chemistry. It should be mentioned

that in most of the previous studies the target systems are the ground state of small molecules consisting of

the second-row atoms. Although the results of simple systems provide valuable insights, demonstration on more

challenging systems or chemically interesting molecules should be pursued to investigate the possibility of application

to more realistic problems in chemistry.

In the present paper, we performed sampling simulation of the active-space RDMs from the CAS wafefunction

which is mapped on qubits. We analytically derived the expectation value of the sum of squared errors (SSE)

between the sampling results and the corresponding exact solution as a function of the CI coefficients and the

number of shots in the measurement, with which we assessed the statistical errors on the sampled RDMs, and

analyzed the resulting CASCI energies and CASPT2 energies for the ground state and the excited states of the

target molecules. In addition, we discuss the number of shots required to perform CAS calculations on a quantum

computer within the range of chemical accuracy. We demonstrated the calculation of the potential energy curve for

the isomerisation of the $\{[Cu(NH_3)_3]_2O_2\}^{2+}$ molecule shown in the section 3.3, a challenging molecule with strong

entanglement that has rarely been addressed in previous quantum chemistry studies using quantum computers.

$_{\scriptscriptstyle 55}$ 2 Method

$_{6}$ 2.1 Active-space RDMs and CASCI / CASPT2 energies

In the CAS method, the active space Hamiltonian $\hat{\mathcal{H}}_{\mathrm{CAS}}$ is defined as

$$\hat{\mathcal{H}}_{CAS} = \sum_{pq} h_{pq,\sigma} a^{\dagger}_{p\sigma} a_{q\sigma} + \frac{1}{2} \sum_{pqrs,\sigma\tau} h_{pqrs} a^{\dagger}_{p\sigma} a^{\dagger}_{r\tau} a_{s\tau} a_{q\sigma} + E_0.$$
 (1)

Here, h_{pq} and h_{pqrs} represent one-electron and two-electron integrals respectively, while a_p^{\dagger} and a_p represent a creation and an annihilation operator for a spatial orbital p. σ and τ represent spin label, and E_0 is a constant energy. The CASCI energy E_{CASCI} for a CAS wavefunction $|\Psi_{\text{CAS}}\rangle$ is written as

$$E_{\text{CASCI}} = \langle \Psi_{\text{CAS}} | \hat{\mathcal{H}}_{\text{CAS}} | \Psi_{\text{CAS}} \rangle \tag{2}$$

$$= \sum_{pq} h_{pq,\sigma} \langle \Psi_{\text{CAS}} | a_{p\sigma}^{\dagger} a_{q\sigma} | \Psi_{\text{CAS}} \rangle + \frac{1}{2} \sum_{pqrs,\sigma\tau} h_{pqrs} \langle \Psi_{\text{CAS}} | a_{p\sigma}^{\dagger} a_{r\tau}^{\dagger} a_{s\tau} a_{q\sigma} | \Psi_{\text{CAS}} \rangle + E_0$$
 (3)

$$= \sum_{pq} h_{pq}(\Gamma^1)_{pq} + \frac{1}{2} \sum_{pqrs} h_{pqrs}(\Gamma^2)_{pqrs} + E_0.$$
 (4)

Here, Γ^1 and Γ^2 are one-particle and two-particle reduced density matrices (1-RDM, 2-RDM) for the active space, respectively. The one-particle reduced density operator and the two-particle reduced density operator are defined as

$$\hat{\Gamma}^{1}_{pq} \equiv \sum_{\sigma} a^{\dagger}_{p\sigma} a_{q\sigma},\tag{5}$$

$$\hat{\Gamma}_{pqrs}^2 \equiv \sum_{\sigma\tau} a_{p\sigma}^{\dagger} a_{r\tau}^{\dagger} a_{s\tau} a_{q\sigma}. \tag{6}$$

We note that the effect of sampling error in RDMs on the CASCI energy can be estimated by using the formulation
below for the sampled RDMs,

$$\Gamma_{\text{sample}}^n = \Gamma^n + \delta \Gamma^n. \tag{7}$$

The error in the resulting CASCI energy $\delta E_{\rm CASCI}$ is written as

$$\delta E_{\text{CASCI}} = E_{\text{CASCI}}(\mathbf{\Gamma}_{\text{sample}}^{1}, \mathbf{\Gamma}_{\text{sample}}^{2}) - E_{\text{CASCI}}(\mathbf{\Gamma}^{1}, \mathbf{\Gamma}^{2})$$

$$= \sum_{pq} h_{pq} (\delta \mathbf{\Gamma}^{1})_{pq} + \frac{1}{2} \sum_{pqrs} h_{pqrs} (\delta \mathbf{\Gamma}^{2})_{pqrs}$$
(8)

The CASPT2 energy is calculated as

$$E_{\text{CASPT2}} = E_{\text{CASCI}} + E_2, \tag{9}$$

where E_2 represents the second-order perturbation energy obtaind by solving the CASPT2 linear equation. [42] For this method, not only 1- and 2-RDMs, but 3-RDMs Γ^3 and contracted 4-RDMs Γ^4 are required as well. The number of elements in the n-RDM is equal to 2n raised to the power of the number of active orbitals, and

the computational cost for 3- and 4-RDMs can be highly expensive for a large CAS space. To perform CASPT2 calculations with an affordable cost one can use cumulant approximation for 3- and 4-RDMs, [42, 43] which is employed in the present work. It should be noted that unlike CASCI energy, CASPT2 energy is not calculated as an expectation value; therefore the effect of statistical errors in RDM on CASPT2 energy is not as obvious as eq.8. In fact, in multireference perturbation theory calculations it is known that errors in higher order RDMs caused by cumulant approximation can break the N-representability condition and cause large errors in the resulting energy. [36, 39, 42]

2.2 Sampling of active-space RDMs from quantum circuit simulation

To perform sampling of active-space RDMs from quantum circuits simulation, the reduced density operators are transformed into a sum of products of Pauli operators by using either the Jordan-Wigner (JW) or Bravyi-Kitaev

(BK) transformation. We note that hereinafter the indices p, q, ... represent the spin-orbitals or the corresponding qubits. The JW transformation is written as

$$a_p^{\dagger} = P_1^Z \cdots P_{p-1}^Z \frac{1}{2} (P_p^X - jP_p^Y),$$
 (10)

$$a_p = P_1^Z \cdots P_{p-1}^Z \frac{1}{2} (P_p^X + j P_p^Y),$$
 (11)

where j is an imaginary unit, P_p^X , P_p^Y , and P_p^Z are Pauli operators acting on the p-th qubit. This allows us to evaluate each element of RDMs using expectation value of the Pauli operators. Furthermore, to make those terms suitable for measurement in the P_p^Z basis, we use the Hadamard matrix H_p and the X-axis rotation matrix on the Bloch sphere at angle θ , $R_p^X(\theta)$, to transform P_p^X and P_p^Y as follows:

$$P_p^X = H_p P_p^Z H_p, (12)$$

$$P_p^Y = R_p^X [-\pi/2] P_p^Z R_p^X [\pi/2]. \tag{13}$$

 H_p , and $R_p^X(\theta)$.

In the following, we show a transformation of a term in one-particle reduced density operator by using eq.(10), (11), (12), and (13) in the case of $a_p^{\dagger}a_q$ such that p>q for simplicity. By using the commutation relation, the reduced density operator $a_p^{\dagger}a_q$ is further transformed as follows:

From eq. (12) and eq. (13), reduced density operators are transformed into linear combinations of products of P_p^Z ,

$$a_{p}^{\dagger}a_{q} = \frac{1}{4}H_{q}H_{p}\left[\prod_{l=q+1}^{p}P_{l}^{Z}\right]H_{p}H_{q}$$

$$+ \frac{j}{4}R_{q}^{X}[-\pi/2]H_{p}\left[\prod_{l=q+1}^{p}P_{l}^{Z}\right]H_{p}R_{q}^{X}[\pi/2]$$

$$- \frac{j}{4}H_{q}R_{p}^{X}[-\pi/2]\left[\prod_{l=q+1}^{p}P_{l}^{Z}\right]R_{p}^{X}[\pi/2]H_{q}$$

$$+ \frac{1}{4}R_{q}^{X}[-\pi/2]R_{p}^{X}[-\pi/2]\left[\prod_{l=q+1}^{p}P_{l}^{Z}\right]R_{p}^{X}[\pi/2]R_{q}^{X}[\pi/2]. \tag{14}$$

- 141 See Supporting Information for detailed derivation.
- In quantum circuits, an occupation number vector $|\mathbf{k}\rangle = |k_1, \dots, k_p, \dots, k_N\rangle$, where k_p is equal to 1 if the p-th spin-orbital is occupied and otherwise 0, is mapped to a direct product of eigenstates of P^Z operators:

$$|\mathbf{k}\rangle = |k_1, \cdots, k_v, \cdots, k_N\rangle \tag{15}$$

$$= |k_1\rangle \otimes \cdots \otimes |k_p\rangle \otimes \cdots \otimes |k_N\rangle. \tag{16}$$

Here, the number of spin-orbital N is equal to the number of qubits. k_p is equal to 1 if the p-th spin-orbital is occupied; otherwise k_p is equal to 0. $|0\rangle$ and $|1\rangle$ correspond to the eigenstates of P^Z for a qubit, that is,

$$P^{Z}\left|0\right\rangle = \left|0\right\rangle,\tag{17}$$

$$P^{Z} |1\rangle = -|1\rangle. \tag{18}$$

By associating the CI coefficient $c_{\mathbf{k}}$ with the probability to observe the state $|\mathbf{k}\rangle$, we obtain a representation of the

CAS wavefunction in a quantum circuit,

$$\Psi_{\text{CAS}} = \sum_{\mathbf{k}} c_{\mathbf{k}} |\mathbf{k}\rangle. \tag{19}$$

By denotating the unitary operators in eq.(14), i.e. H_pH_q , $H_pR_q^X[\pi/2]$, $R_p^X[\pi/2]H_q$, or $R_p^X[\pi/2]R_q^X[\pi/2]$, by U_i ,
the matrix element for eq.(14) can be transformed as

$$\langle \Psi_{\text{CAS}} | a_p^{\dagger} a_q | \Psi_{\text{CAS}} \rangle$$

$$= \sum_{i} A_{U_i} \langle \Psi_{\text{CAS}} | U_i^{\dagger} \left[\prod_{l=q+1}^{p} P_l^Z \right] U_i | \Psi_{\text{CAS}} \rangle$$

$$= \sum_{i} A_{U_i} \langle \tilde{\Psi}^{U_i} | \left[\prod_{l=q+1}^{p} P_l^Z \right] | \tilde{\Psi}^{U_i} \rangle$$

$$= \sum_{i} A_{U_i} \langle \tilde{\Psi}^{U_i} | (-1)^{\sum_{l=q+1}^{p} k_l} | \tilde{\Psi}^{U_i} \rangle, \qquad (20)$$

where $|\tilde{\Psi}\rangle$ is a CAS wavefunction transformed by a unitary operator U_i ,

$$|\tilde{\Psi}^{U_i}\rangle = U_i |\Psi_{\text{CAS}}\rangle$$

$$= U_i \sum_{\mathbf{k}} c_{\mathbf{k}} |\mathbf{k}\rangle = \sum_{\mathbf{k}} \tilde{c}_{\mathbf{k}}^{U_i} |\mathbf{k}\rangle, \qquad (21)$$

and A_{U_i} is a coefficient in eq.(14). In the case of eq.(14), A_{U_i} is either of $\frac{1}{4}$, $\frac{i}{4}$, $-\frac{j}{4}$. As a result of the above formula transformation, it is shown that each term of active-space RDMs can be calculated by applying H_p and R_p^X gates to a quantum circuit and measuring it in the P_p^Z basis. We note that each term in eq.(20) can not be simultaneously observed because different U_i yields different $|\tilde{\Psi}^{U_i}\rangle$.

In the sampling simulation of the present work, a CAS wavefunction obtained with classical algorithm is used as the initial state of the quantum circuit simulator, and subsequently transformed into $|\tilde{\Psi}\rangle$ by applying H gates and R^X gates. Subsequently $|\mathbf{k}\rangle$ were sampled from the probability distribution given by $\{|c_{\mathbf{k}}^{\tilde{U}_i}|^2\}$. We note that this sampling of $|\mathbf{k}\rangle$ is equivalent to measurements of CAS wavefunction by the eigenstates of P_p^Z in a real quantum computer with the observation result $|\mathbf{k}\rangle$.

After N_{shot} times of sampling $|\mathbf{k}\rangle$, the CI coefficient for the ON vector $|\mathbf{k}\rangle$ is estimated as

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$$|\tilde{c}_{\mathbf{k}}^{U_i}|^2 \approx N_{\mathbf{k}}^i / N_{\text{shot}},\tag{22}$$

where $N_{\bf k}^i$ is the number of shots in which $|{\bf k}\rangle$ is observed. With eq.(22), and with the occupation of $|{\bf k}\rangle$, the resulting matrix element of eq.(20) is estimated,

$$\langle \Psi_{\text{CAS}} | a_p^{\dagger} a_q | \Psi_{\text{CAS}} \rangle$$

$$= \sum_{i} A_{U_i} \left(\sum_{\mathbf{k}} (-1)^{\sum_{l=q+1}^{p} k_l} |\tilde{c}_{\mathbf{k}}^{U_i}|^2 \right)$$

$$\approx \sum_{i} A_{U_i} \left(\sum_{\mathbf{k}} (-1)^{\sum_{l=q+1}^{p} k_l} (N_{\mathbf{k}}^i / N_{\text{shot}}) \right). \tag{23}$$

We note that higher order RDMs were estimated in the similar way. As the order of the RDM increases, U_i contains more number of H_p and R_p^X ; this results in the decrease of the sparsity of $|\tilde{c}_{\mathbf{k}}^{U_i}|^2$. It should be noted that an infinite number of observation gives the exact RDMs for a given CAS wavefunction; with a finite N_{shot} , statistical errors occur in the sampled RDMs obtained. To perform this sampling simulation, we used a in-house code with the PySCF(v2.0.0) package [44], the OpenFermion package(v1.0.1) [45], and the quantum simulator Qulacs(v0.3.0) [46].

2.3 Statistical errors in the sampled RDMs, CASCI energies, and CASPT2 energies

In order to estimate the statistical errors in the sampled RDMs, we defined the absolute error from the exact n-RDMs as

$$\Delta_{\mathbf{\Gamma}^n} \equiv \frac{\|\mathbf{\Gamma}_{\text{exact}}^n - \mathbf{\Gamma}_{\text{sample}}^n\|_{\text{F}}}{\|\mathbf{\Gamma}_{\text{exact}}^n\|_{\text{F}}} \times 100, \tag{24}$$

where Γ_{exact}^n is the exact n-RDM, Γ_{sample}^n is a sampled RDM with N_{shot} fixed, and $||\cdot||_{\text{F}}$ indicates Frobenius norm.

From the sampled 1-, 2-, and 3-RDMs, each of Δ_{Γ^n} , CASCI energy, and CASPT2 energy is calculated for N_{sample}

times, from which we estimate statistical errors by calculating sample mean \bar{x} and standard deviation (SD) σ for each sampled quantitiy. In the present work, the CASPT2 energy with cumulant approximation for 4-RDMs (cu(4)-CASPT2), and that with cumulant approximation for 3- and 4-RDMs (cu(3,4)-CASPT2) are calculated with sampled 1-, 2-, and 3-RDMs and the CASPT2 module of a modified version of the ORZ package. [47]

177 3 Results

3.1 The distribution of the absolute error in sampled RDMs

For the assessment of errors in the RDMs obtained by quantum circuit sampling simulator of this work, Δ_{Γ^n} defined 179 in eq.(24), and errors in the corresponding CASCI, cu(4)-CASPT2, and cu(3,4)-CASPT2 energies, we performed benchmark calculations for the ground state and low-lying excited state of all-trans short polyenes, C_4H_6 and C_6H_8 181 systems. Here the full valence- π active space consists of four electrons in four π -orbitals for C_4H_6 , and six electrons 182 in six π -orbitals for C_6H_8 ; the cc-pVDZ basis set was used. The number of required qubits in the simulations is equal to the number of spin-orbitals in the active space, that is, eight qubits for C_4H_6 and twelve qubits for C_6H_8 . 184 We first investigated the convergence behaviour of sampled CASCI energy for the ground state $(1A_q^-)$ of the 185 polyenes with fixed N_{shot} with respect to N_{sample} . The value of N_{sample} that is sufficient for the sample-mean energy to converge within the chemical accuracy (1.0 kcal/mol) to the energies with the exact RDMs is determined to be 187 100 for $N_{\rm shot}=10^3$ and $N_{\rm shot}=10^4$, and 20 for $N_{\rm shot}=10^5, 10^6$. In the following calculations, $N_{\rm sample}$ are fixed 188 to these values according to the corresponding $N_{\rm shot}$. See Supporting Information for detail. Note that the mean of the sampled RDMs will coincide with the exact RDM if an infinite number of samples are taken. For both C_4H_6 and C_6H_8 molecules, Δ_{Γ^n} for n=1,2,3 are calculated for $1A_g^-$, $2A_g^-$ (two electrons excitation 191 from HOMO to LUMO), and $1B_u^+$ (one electron excitation from HOMO to LUMO) states. Fig. 1 shows the sample 192

mean, the SD, and the frequencies of Δ_{Γ^n} in the form of a violin plot. Comparison between the electronic states

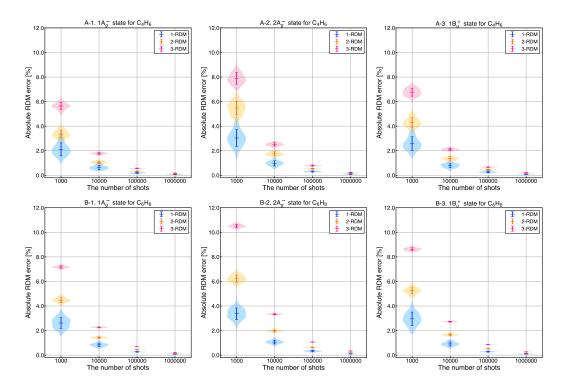


Figure 1: The absolute RDM error Δ_{Γ^n} for C_4H_6 and C_6H_8 . The error bars indicate 1σ in Δ_{Γ^n} .

shows that the Δ_{Γ^n} for excited states $2A_g^-$ and $1B_u^+$ are larger than those for $1A_g^-$. To investigate the origin of this relationship, we derived the expectation value of the SSE between $|\tilde{c}_{\mathbf{k}}^{U_i}|^2$ and $N_{\mathbf{k}}/N_{\mathrm{shot}}$ in eq.(22). The expectation value of the SSE is given in the form as

$$\mathbb{E}[SSE] = \frac{1 - \sum_{\mathbf{k}} |\tilde{c}_{\mathbf{k}}^{U_i}|^4}{N_{\text{shot}}}.$$
 (25)

See Supporting Information for detailed derivation. From this equation, we can evaluate the efficiency at which
the observed values $\{N_{\mathbf{k}}^i/N_{\mathrm{shot}}\}$ converge to the target probability distribution $\{|\tilde{c}_{\mathbf{k}}^{U_i}|^2\}$. The convergence is slow
if the value of eq.(25) is large, which means that the expectation value of error in sampling of eq.(22) is large;
it is also expected from eq.(25) that as the size of the numerator increases, the value of N_{shot} required to obtain
sampled RDMs within a given $\Delta_{\mathbf{\Gamma}^n}$ will also increase. It is obvious that $\mathbb{E}[\mathrm{SSE}]$ takes the minimum value 0 when
a single component of $\{|\tilde{c}_{\mathbf{k}}^{U_i}|^2\}$ is 1 and the others are 0; $\mathbb{E}[\mathrm{SSE}]$ takes the maximum value $\frac{1-2^{-N}}{N_{\mathrm{shot}}}$ (see eq.(16))

when each component of $\{|\tilde{c}_{\mathbf{k}}^{U_i}|^2\}$ has the same value. From this point it suggests that Δ_{Γ^n} has greater value for a CAS wavefunction that contains greater number of $|\mathbf{k}\rangle$ with a large weight $|\tilde{c}_{\mathbf{k}}^{U_i}|^2$, i.e., "multi-configurational", because of slow convergence of the observed $\{N_{\mathbf{k}}^i/N_{\mathrm{shot}}\}$ vector to the true $\{|\tilde{c}_{\mathbf{k}}^{U_i}|^2\}$. Considering that the number of electron configurations with large weight in the excited state CAS wavefunction is greater than that for the ground state, the electronic state dependence of Δ_{Γ^n} in Fig.2, i.e., excited states have larger Δ_{Γ^n} than the ground states, is reasonably explained from eq.(25).

From Fig. 1, it is also seen that the higher order RDMs have larger Δ_{Γ^n} , that is, $\Delta_{\Gamma^3} > \Delta_{\Gamma^2} > \Delta_{\Gamma^1}$. This can
be explained with eq.(25) as well. As the number of creation / annihilation operators in the RDM grows, more Hand $R_X[-\pi/2]$ gates are applied to $|\Psi_{\text{CAS}}\rangle$ with CI coefficients $\{c_{\mathbf{k}}^{U_i}\}$ to obtain $|\tilde{\Psi}^{U_i}\rangle$; by definition, one-qubit gates
act to split a single CI coefficient into two configurations; this causes broadening of CI coefficient distribution and
results in a greater value of $\mathbb{E}[\text{SSE}]$ in eq.(25).

The expectation value of squared error for a single $|\mathbf{k}\rangle$ is written as

$$\mathbb{E}\left[\left(|\tilde{c}_{\mathbf{k}}^{U_i}|^2 - \frac{N_{\mathbf{k}}}{N_{\text{shot}}}\right)^2\right] = \frac{|\tilde{c}_{\mathbf{k}}^{U_i}|^2 - |\tilde{c}_{\mathbf{k}}^{U_i}|^4}{N_{\text{shot}}}.$$
(26)

Assuming that the expectation value for $|\tilde{c}_{\mathbf{k}}^{U_i}|^2 - \frac{N_{\mathbf{k}}}{N_{\mathrm{shot}}}$ is approximately $(\pm)\sqrt{\frac{|\tilde{c}_{\mathbf{k}}^{U_i}|^2 - |\tilde{c}_{\mathbf{k}}^{U_i}|^4}{N_{\mathrm{shot}}}}$, the error in the expectation value of eq.(23) is estimated as

$$\mathbb{E}\left[\left\langle\Psi_{\text{CAS}}|a_{p}^{\dagger}a_{q}|\Psi_{\text{CAS}}\right\rangle - \left\langle\Psi_{\text{CAS}}|a_{p}^{\dagger}a_{q}|\Psi_{\text{CAS}}\right\rangle_{\text{sample}}\right]$$

$$\approx \sum_{i} a_{U_{i}} \left(\sum_{\mathbf{k}} (-1)^{\sum_{l=q+1}^{p} k_{l}} \sqrt{\frac{|\tilde{c}_{\mathbf{k}}^{U_{i}}|^{2} - |\tilde{c}_{\mathbf{k}}^{U_{i}}|^{4}}{N_{\text{shot}}}}\right)$$

$$= \frac{1}{\sqrt{N_{\text{shot}}}} \sum_{i} a_{U_{i}} \left(\sum_{\mathbf{k}} (-1)^{\sum_{l=q+1}^{p} k_{l}} \sqrt{|\tilde{c}_{\mathbf{k}}^{U_{i}}|^{2} - |\tilde{c}_{\mathbf{k}}^{U_{i}}|^{4}}}\right), \tag{27}$$

thus the Δ_{Γ^n} dependence on N_{shot} is

$$\Delta_{\Gamma^n} \propto \frac{1}{\sqrt{N_{\text{shot}}}}.$$
(28)

In the next subsection we show the effect of eq.(28) in the resulting CASCI energies and CASPT2 energies.

$_{^{218}}$ 3.2 The CASCI energy and the CASPT2 energy dependence on $N_{ m shot}$

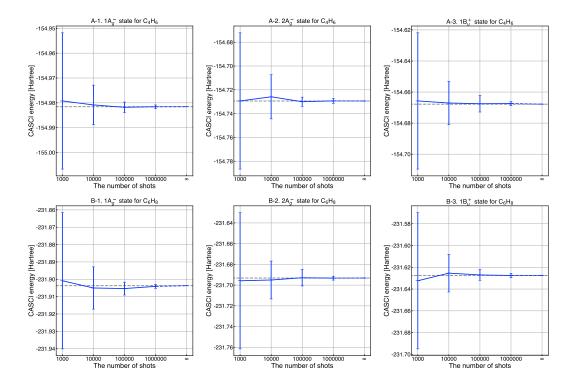


Figure 2: Means and SDs of E_{CASCI} obtained from the sampled RDMs. The exact E_{CASCI} are plotted with dashed lines. The error bars indicate 1σ in the sampled energies.

By using the sampled RDMs, we estimated the errors in the resulting energy from CASCI, cu(4)-CASPT2, and cu(3,4)-CASPT2 methods. Fig. 2 shows the $N_{\rm shot}$ dependence of the CASCI energies for each electronic state of the molecules. The energies obtained from the exact RDMs are plotted with dashed lines. It is shown that the SD of the sampled CASCI energies decreases as $N_{\rm shot}$ increase. By performing least squares fitting on the SD of CASCI energies it was found that this SD is proportional to $1/\sqrt{N_{\rm shot}}$ with $R^2 > 0.997$, which is consitent with

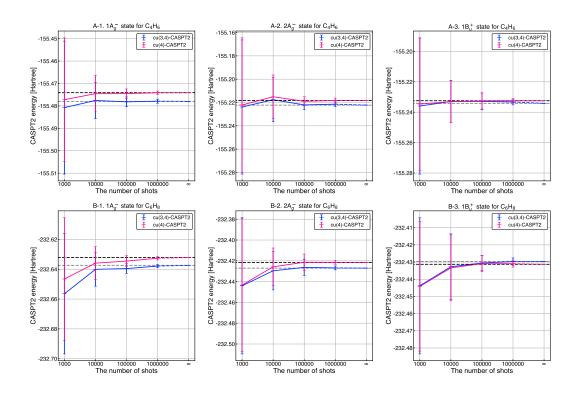


Figure 3: Means and SDs of cu(4)- and cu(3,4)-CASPT2 energies obtained from the sampled RDMs. The exact energies are plotted with dashed lines. The error bars indicate 1σ in the sampled energies.

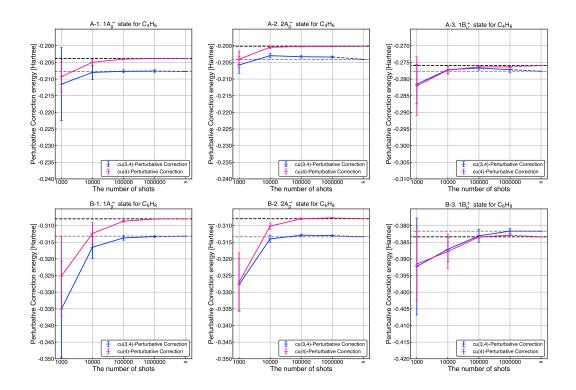


Figure 4: Means and SDs of perturbative correction E_2 obtained with the sampled RDMs. The exact E_2 are plotted with dashed lines. The error bars indicate 1σ in the sampled energies. The contribution from (c, c, v, v) subspace, which is constant with respect to the sampled RDMs, is omitted.

the prediction in eq.(8) eq.(27); we can see that $1/\sqrt{N_{\rm shot}}$ in the error on a single element in Γ^n can be factored out of the summation over the spin-orbital indices in eq.(8).

Fig. 3 shows the $N_{\rm shot}$ dependence of the cu(4)-CASPT2 (megenta) and cu(3,4)-CASPT2(blue) energies. The energies obtained with the exact RDMs are plotted with dashed lines as well. We note that these energies are obtained with (non-variational) single-state CASPT2-D (SS-CASPT2-D) method with the imaginary shift at 0.10 i a.u. and the IPEA shift at 0.25 a.u. applied. It should also be mentioned that the non-variational energies are plotted in order to reduce the instability caused by the level shift and to focus the discussion on the issue of statistical errors.

From Fig. 3, it can be seen that the variation in size of the SDs in both of cu(4)- and cu(3,4)-CASPT2 energies are similar to those of CASCI energies; from Fig. 4, however, it can be seen that the variation in size of the SDs of E_2 is not similar to that of the sampled CASCI energies. This suggests that the errors in the perturbative correction energy is small enough compared to the errors in the CASCI energy and the total CASPT2 energy,

$$\sigma(E_{\text{CASCI}}) > \sigma(E_2),$$
 (29)

$$E_{\text{CASPT2}} \gg \sigma(E_2).$$
 (30)

Here we used a notation $\sigma(X)$ here to represent the SD of quantitiy X. From eq.(29) and eq.(30), it is predicted that the required $N_{\rm shot}$ to achieve chemical accuracy can be smaller for $\sigma(E_2)$ than that of $E_{\rm CASPT2}$; in other words, the effect of the errors in E_2 on $E_{\rm CASPT2}$ is relatively small. By performing least squares fitting, we found that the SDs for sampled cu(4)- and cu(3,4)-CASPT2 energies is proportional to $1/\sqrt{N_{\rm shot}}$, with the minimum $R^2 = 0.9593$ for the case of cu(4)-CASPT2 for the $1B_u^+$ state of C_6H_8 . In Table 1, estimated $N_{\rm shot}$ to achieve the SD less than 0.1 eV for the CASCI, cu(4)-CASPT2, cu(3,4)-CASPT2, cu(4)- E_2 , and cu(3,4)- E_2 energies are tabulated. In almost all cases, the required numbers of $N_{\rm shot}$ for cu(4)- E_2 and cu(3,4)- E_2 to converge within 0.1 eV to the exact value

are less than those for cu(4)-CASPT2, cu(3,4)-CASPT2, and cu(4)- E_2 energies, which supports the prediction from eq.(29) and eq.(30).

Table 1: Estimated N_{shots} to achieve the SD less than 0.1 eV for the CASCI, cu(4)-CASPT2, cu(3,4)-CASPT2, cu(4)- E_2 , and cu(3,4)- E_2 energies. The values for the CASCI, cu(4)-CASPT2, and cu(3,4)-CASPT2 are obtained from the least squares fitting. The values for cu(4)- E_2 and cu(3,4)- E_2 are estimated from the magnitude of the SDs. Note that cu(4)- $E_{\text{CASPT2}} = E_{\text{CASCI}} + \text{cu}(4) - E_2$.

		E_{CASCI}	$cu(4)$ - E_{CASPT2}	$cu(3,4)$ - E_{CASPT2}	$cu(4)-E_2$	$cu(3,4)-E_2$
$\mathrm{C_4H_6}$	$1A_g^-$	5.74×10^4	5.81×10^4	6.71×10^4	$< 10^{4}$	$< 10^4$
	$2A_{\rm g}^-$	2.48×10^5	2.52×10^5	2.50×10^5	$< 10^{3}$	$< 10^{3}$
	1B _u ⁺	1.40×10^5	1.38×10^5	1.44×10^5	$< 10^{4}$	$< 10^{4}$
$\mathrm{C_6H_8}$	$1A_g^-$	1.15×10^5	1.28×10^5	1.24×10^5	$< 10^{4}$	$< 10^4$
	$2 { m A_g^-}$	3.17×10^5	2.97×10^5	3.15×10^5	$< 10^{4}$	$< 10^{4}$
	1B _u ⁺	2.97×10^5	9.56×10^4	1.09×10^5	$< 10^{5}$	$< 10^4$

3.3 Estimation of the potential energy curves along the reaction coordinate for the isomarization of $\{[Cu(NH_3)_3]_2O_2\}^{2+}$ molecule

In order to investigate the effect of dynamical correlation on the chemical energy obtained with the quantum circuit sampling simulation of this work, we plotted the ground state energy for $\{[Cu(NH_3)_3]_2O_2\}^{2+}$ complex along the reaction coordinate of the isomerization as shown in Fig. 5. Because of the strongly correlated electrons in this complex, an accurate estimation of the (monomeric) energy difference between A: $bis(\mu-oxo)$ and B: $\mu-\eta^2:\eta^2$ -peroxo form has been a challenging problem for electronic structure methods. [42, 48–52]

In our calculations, the CAS space is constructed from eight electrons in six orbitals, including two $2p_x$ orbitals of

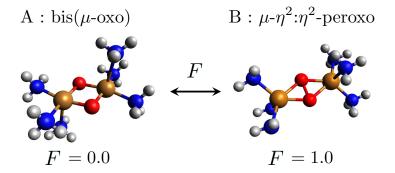


Figure 5: The isomarization scheme of $\{[Cu(NH_3)_3]_2O_2\}^{2+}$ molecule. A: bis $(\mu$ -oxo) form is a dimeric Cu complex bridged by two O atoms, where Cu-Cu distance is 2.80 Åand O-O distance is 2.30 Å. B: μ - η^2 : η^2 -peroxo form is a dimeric Cu complex bridged by a peroxo legand that forms η^2 bonds with each Cu atoms, where Cu-Cu distance is 3.71 Åand O-O distance is 1.46 Å. The coordinate F is defined by interpolating between A form and B form.

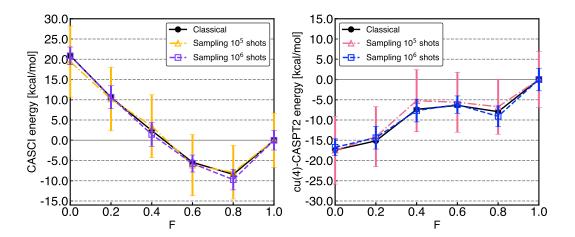


Figure 6: The potential energy curves along the reaction coordinate F for the isomarization of $\{[Cu(NH_3)_3]_2O_2\}^{2+}$ molecule. The error bars indicate 1σ in the sampled energies.

O atoms, two $2p_y$ orbitals of O atoms, and two $3d_{xy}$ orbitals of Cu atoms; N_{shot} is fixed to 10^5 and 10^6 , and N_{sample} is fixed to 20; with the cc-pVDZ basis sets, we performed the sampling of 1-, 2-, and 3-RDMs and the corresponding CASCI energy and cu(4)-CASPT2 energy. The CASPT2 energies are obtained with (non-variational) single-state CASPT2-D (SS-CASPT2-D) method with the imaginary shift at 0.10 i a.u. and the IPEA shift at 0.25 a.u. applied. This procedure is performed for the six structures along the reaction coordinates with F = 0.0 (the isomer A in Fig.5), 0.2, 0.4, 0.6, 0.8, and 1.0(the isomer B in Fig.5), which are defined in ref. [51].

Fig. 6 shows the potential energy curves (PECs) from CASCI energy and cu(4)-CASPT2 energy. Compared to the CASCI and CASPT2 calculations with CAS(16e,14o) in ref. [42], it is shown that both of our CASCI and CASPT2 results reproduce qualitatively the relative energy between the isomer A and the isomer B even with the small active space. However, it should be noted that the PEC from DMRG-CASPT2 calculation with CAS(24e,28o) in ref. [42], which is the most reliable data with the largest CAS space, is significantly different from the CASPT2 calculations with CAS(16e,14o) and our results. This suggests that a larger CAS space, i.e. more number of qubits in quantum circuit, is necessary to predict qualitatively the PEC of this reaction.

The SD of energies with sampled-RDM is shown in Fig.6 as error bars. Averaged SD of the sampled CASCI energies at each F is 7.54 kcal/mol for $N_{\rm shot} = 10^5$ and 2.50 kcal/mol for $N_{\rm shot} = 10^6$; that of the sampled cu(4)CASPT2 energies is 7.43 kcal/mol for $N_{\rm shot} = 10^5$ and 2.53 kcal/mol for $N_{\rm shot} = 10^6$. Considering that the errors in CASPT2 energies are proportional to $1/\sqrt{N_{\rm shot}}$ as discussed in section 3.2, the minimum $N_{\rm shot}$ required to achieve the chemical accuracy (1.0 kcal/mol $\simeq 0.05$ eV for a single molecule) in cu(4)-CASPT2 energy averaged for each F is estimated to be 9.6×10^6 shots. We note that the averaged SD of the sampled cu(4)- E_2 at each F is 1.22 kcal/mol for $N_{\rm shot} = 10^5$ and 0.37 kcal/mol for $N_{\rm shot} = 10^6$, which suggests that the required $N_{\rm shot}$ for 3-RDM with the chemical accuracy can be smaller one order of magnitude than that of 2-RDM though Δ_{Γ^3} is larger than Δ_{Γ^2} as mentioned in Section 3.1.

The required $N_{\rm shot}$ for $E_{\rm CASPT2}$ is larger than that for the calculations of C_6H_8 , 1.6×10^6 , due to the difference

in the strength of the entanglements in the system molecules. It is interesting that although the required $N_{\rm shot}$ for the chemical accuracy is greater for the Cu complex, the length of the CI vector for the calculation for C₆H₈ (the 277 length is 400) is greater than that for the Cu complex (225). This suggests that depending on the choice of a target 278 system, the contribution of the CAS size to the required $N_{\rm shot}$ for the chemical accuracy can be smaller than that of the distribution of the CI coefficients. A clear explanation is given by comparing the value of numerator in $\mathbb{E}[SSE]$ in eq.(25); it can be expected that as this value increases, the expected error between $\{|\tilde{c}_{\mathbf{k}}^{U_i}|^2\}$ and $\{N_{\mathbf{k}}^i/N_{\mathrm{shot}}\}$ will 281 also increase, and the required value of $N_{\rm shot}$ for convergence will become larger. The value of numerator is 0.694 282 for the ground state of the Cu complex at F = 0.0 while 0.281 for the ground state of the C_6H_8 , which reproduces the relative sizes of the $N_{\rm shot}$ for the chemical accuracy. 284 It should be mentioned that the PECs may show bumps if the data from the worst sample energy within 1σ 285 at each coordinate are selected; however, by averaging the samples, the mean energies converge to the classical energies, resulting in smooth PECs. Large errors from the break of N-representability condition or from the errors

in sampled RMDs are not observed in this simulation.

3 4 Conclusions

In the present study, we implemented a quantum circuit simulator to observe the RDMs from a CAS wavefunction mapped on qubits. From the sampling experiment, it was shown that the errors in sampled RDMs have larger value 291 for higher-order RDMs, and that errors in RDMs for excited states are larger than those for the ground states. We 292 analytically derived the expectation value of the sum of squared errors between the observation results $\{N_{\bf k}^i/N_{\rm shot}\}$ and the exact CI weights distribution $\{|\tilde{c}_{\mathbf{k}}^{U_i}|^2\}$ using a multinomial distribution model, that is, eq.(25), with which we assessed the dependency of RDM errors on $N_{\rm shot}$ and on the character of the target electronic state. As a result 295 of this novel investigation, it was revealed that the larger errors in the excited states and in higher-order RDMs are both explained by the increase in the numerator of eq.(25), $1 - \sum_{\mathbf{k}} |\tilde{c}_{\mathbf{k}}^{U_i}|^4$; as the multireference character of 297 the CAS wavefunction increases, the sparsity of the quantum state for the observation decreases, resulting in a larger expectation value of the squared sum of errors; even when the CAS wavefunction has less multireference character, by applying a number of H and R^X operators to obtain the quantum state for the observation with the P^Z eigenstates, the sparsity also decreases. 301 With the benchmark calculations of C₄H₆ and C₆H₈, we reported that the statistical errors in CASCI energies 302 are proportional to $1/\sqrt{N_{\rm shot}}$, which is consistent with the prediction from eq.(25) and eq.(26). The convergence of the SD of sampled CASCI and CASPT2 energies and the required $N_{\rm shot}$ to achieve the chemical accuracy are also 304 discussed; in cases where the numerator of eq.(25) has a larger value, i.e. in cases where the target electronic state has more multireference character, a larger N_{shot} is required; from the results shown in Table 1, it is suggested that the required $N_{\rm shot}$ for 3-RDM, which is used to calculate the perturbative correction energies, can be smaller than 307 that for 2-RDM to calculate the E_{CASCI} , though Δ_{Γ^3} is larger than Δ_{Γ^2} as mentioned in Section 3.1. It should be emphasized that, to the best of our knowledge, the present study is the first to evaluate the statistical error in the sampled energy for chemically important transition metal complex molecule, where both static and dynamical

electon correlation are important. By demonstrating that the exact PEC of the isomerization of $\{[Cu(NH_3)_3]_2O_2\}^{2+1}$ with the same CAS space is reasonably reproduced by the sampling simulation with $N_{\text{shot}} = 10^6$, and by estimating the required N_{shot} to reduce the SD of the sampled CASPT2 energy lower than 3 kcal/mol for 1,2-RDM to be 10^6 and for 3-RDM to be 10^5 , our research has made a significant contribution to the future application of similar methods. The CASPT2 energies with sampled RDMs in our simulations did not show significant errors that derive from the break of the N-representability.

Conflict of Interests

318 The authors declare no conflict of interests.

Data Availability

The data that support the findings of this study are available from the corresponding author upon reasonable request.

322 Author Contributions

S.N. played a central role in the analysis and interpretation of the data, and also took the lead in the preparation of
the manuscript. Y.O. and Y.K. primarily handled the implementation of the simulation code, and Y.O. executed
the calculations. Y.K. designed and supervised the work.

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