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Complete List of Authors:	Dhingra, Archit; University of Nebraska-Lincoln, Physics and Astronomy Lipatov, Alexey; University of Nebraska-Lincoln, Chemistry; South Dakota School of Mines and Technology, Chemical and Biological Engineering Loes, Michael J.; University of Nebraska-Lincoln, Chemistry Abourahma, Jehad; University of Nebraska-Lincoln, Chemistry Pink, Maren; Indiana University Bloomington, Chemistry Sinitskii, Alexander; University of Nebraska-Lincoln, Chemistry Dowben, Peter; University of Nebraska-Lincoln, Physics and Astronomy



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Effect of Au/HfS₃ interfacial interactions on properties of HfS₃based devices

Archit Dhingra,*^a Alexey Lipatov,^{b,c} Michael J. Loes,^b Jehad Abourahma,^b Maren Pink,^d Alexander Sinitskii,^b and Peter A. Dowben^a

X-ray photoemission spectroscopy (XPS) has been used to examine the interaction between Au and HfS₃ at the Au/HfS₃ interface. XPS measurements reveal the dissociative chemisorption of O₂, leading to the formation of an oxide of Hf at the surface of HfS₃. This surface hafnium oxide along with the weakly chemisorbed molecular species, such as O₂ and H₂O, are likely responsible for the observed p-type characteristics of HfS₃ reported elsewhere. HfS₃ devices exhibit p-type behavior if measured in air but turn n-type in vacuum. Au thickness-dependent XPS measurements provide clear evidence of band bending as the S 2p and Hf 4f core-level peak binding energies for Au/HfS₃ are found to be shifted to higher binding energies. This band bending implies formation of a Schottky-barrier at the Au/HfS₃ interface, which explains the low measured charge carrier mobilities of HfS₃-based devices. The transistor measurements presented herein also indicate the existence of a Schottky barrier, consistent with the XPS core-level binding energy shifts, and show that the bulk of HfS₃ is n-type.

Introduction

HfS₃ belongs to the family of transition metal trichalcogenides (TMTs) of the form MX₃ (where M = Ti, Zr, Hf, Ta, etc.; and X = S, Se, Te),¹⁻⁶ which are two-dimensional (2D) van der Waals materials having quasi-one-dimensional (quasi-1D) chains. Their peculiar quasi-1D chains make them attractive candidates for a myriad of nanodevice applications,^{7–14} as they are free from undesirable edge disorders that have afflicted other 2D materials like graphene,^{15,16} its derivatives,¹⁷ and transition metal dichalcogenides.^{18–23}

What makes HfS₃ stand out among its titanium and zirconium counterparts is the high Z of hafnium, which would result in appreciable intrinsic spin–orbit coupling (SOC) in HfS₃. The enhanced intrinsic SOC in HfS₃ may be exploited for potential spintronics applications.²⁴ Besides, HfS₃ also has the potential for optoelectronic applications in the ultraviolet-visible range.^{14,25} However, as is the case with most 2D materials, the interactions at the metal–TMT interface need to be thoroughly investigated before TMT-based devices can be successfully fabricated; and in the case of the TMT semiconductors, band alignments can be quite complex.²⁶ This is because contact related problems are among the

^{b.} Department of Chemistry, Hamilton Hall, 639 North 12th Street, University of Nebraska–Lincoln, Lincoln, NE 68588-0304, U.S.A. fundamental challenges that limit the reliable determination of key performance indicators of 2D devices,^{27,28} like the charge carrier mobility. For example, the formation of a Schottky barrier²⁹ at the interface between Au and In_4Se_3 ,³⁰ which is another TMT, has resulted in low carrier mobilities. In other words, establishing the exact nature of electrical contacts at the metal(Au)–TMT(HfS₃) interface is crucial.

It cannot be assumed that the different TMTs behave in a similar fashion as there is a recent experimental demonstration that the mobility of ZrS_3 is orders of magnitude smaller than TiS_3 .³¹ In the case of TiS_3 , Au forms largely an Ohmic contact,³¹ while for ZrS_3 , Au forms a non-Ohmic contact.³² This is a little unexpected since both TiS_3 and ZrS_3 are n-type semiconductors^{33,34} and gold has a large work function.^{35–37} Moreover, further evidence is needed to ascertain the true semiconducting character of HfS₃, since Xiong *et al*.²⁵ claim that HfS₃ is a p-type semiconductor while Flores *et al*.³⁸ showed that it is an n-type semiconducting material.

In this work, we have used Au thickness-dependent X-ray photoemission spectroscopy (XPS) to probe the interaction at the Au/HfS₃ interface to determine whether the Au contacts are Ohmic. We have also performed electrical transport measurements on HfS₃ transistors to elucidate its semiconducting behaviour.

Experimental details

The HfS₃ crystals were synthesized through a reaction between metallic hafnium and sulfur vapor in vacuum-sealed quartz ampules at 600 °C, as has been described previously.³⁹ After two weeks of annealing at 600 °C, numerous 1-2 mm long HfS₃ crystals were formed. The powder X-ray diffraction (XRD) pattern of HfS₃ was recorded using a PANalytical Empyrean X-

^a Department of Physics and Astronomy, Theodore Jorgensen Hall, 855 N 16th Street, University of Nebraska–Lincoln, Lincoln, Nebraska 68588-0299, U.S.A.

^c Department of Chemistry, Biology & Health Sciences and Karen M. Swindler Department of Chemical and Biological Engineering, 501 E. Saint Joseph St., South Dakota School of Mines and Technology, Rapid City, SD 57701, U.S.A.

^{d.} Department of Chemistry, Indiana University, 800 E. Kirkwood Ave., Bloomington, IN 47405-7102, U.S.A.



20 (degrees)

Fig. 1 The powder XRD pattern of HfS₃ accompanied by the Rietveld analysis. Here, peak positions are matched with the prior XRD (ICSD-42074).¹

ray diffractometer with a 1.4 kW copper K_B source ($\lambda = 1.54187$ Å). The powder diffraction patterns were collected from 5° to 150° 2 θ using a step size of 0.02° 2 θ . HfS₃ is generally isostructural with TiS₃ and ZrS₃,^{40,41} and belongs to the *P*2₁/*m* space group with the unit cell parameters *a* = 5.123(2) Å, *b* = 3.624(1) Å, *c* = 8.991(1) Å, and the cant angle θ = 97.69(2)°, as derived from the powder XRD pattern of HfS₃ shown in Fig. 1. The obtained XRD pattern corresponds to that of pure monoclinic HfS₃, and is consistent with the literature.^{25,42}

XPS measurements were used to characterize the HfS₃ crystal surfaces and interfaces with Au. All the XPS measurements were carried out using an aluminum SPECS X-ray anode (with E(Al K_a) = 1486.6 eV) and a hemispherical electron analyzer (PHI Model: 10-360) in an ultra-high vacuum chamber with a base pressure better than 2×10^{-10} Torr, as mentioned elsewhere.³⁰ A tungsten wire basket was used to thermally evaporate Au adlayers onto the HfS₃ crystals and a thickness monitor was used to determine their thicknesses, as is described in previous work.³⁰

The HfS₃ field-effect transistor (FET) was fabricated using a heavily p-doped Si as the substrate, with a 300 nm thick SiO₂ layer, as the substrate dielectric, and 45 nm thick and 1.5 μ m wide pure Au as the source and drain electrodes. The atomic force microscopy (AFM) image of the HfS₃ FET was obtained using a Bruker Dimension Icon atomic force microscope.

For the transport measurements, the HfS₃-based devices were prepared by the standard electron beam lithography using a Zeiss Supra 40 field-emission scanning electron microscope and a Raith pattern generator. The evaporation of Au electrodes was performed using an AJA electron beam evaporation system at the base pressure of $^{8}\times10^{-9}$ Torr. For in vacuo measurements, the transport data for the HfS₃ FET was measured in a Lake Shore TTPX cryogenic probe station at a base pressure of about 2×10^{-6} Torr; the device was kept in vacuum for several days before the measurements to minimize the effect of surface adsorbates, such as water and oxygen molecules, on the electronic characteristics.⁴³ All the electrical transport measurements for the HfS₃ transistor were carried out under illumination by a halogen lamp to maximize conductance, as indicated by prior work.²⁵

Results and discussion

The survey XPS spectrum of bare HfS₃ single crystals showing all the major peaks is presented in Fig. 2a. The S 2p and Hf 4f core-level peaks belong to the HfS₃ crystals, whereas the Mo 3d and Cu LMM (Auger electron transition) peaks are attributed to the sample holder. The C 1s peak is characteristic of the conductive carbon tape on which the HfS₃ crystals were mounted and the O 1s peak has to do with the surface oxidation of these crystals (this is discussed in more detail below). Further analysis of the raw S 2p core-level XPS spectrum (Fig. 2b) indicates that it includes four S 2p components: $S^{2-} 2p_{3/2}$ (161 ± 0.1 eV), $S^{2-} 2p_{1/2}$ (162.2 ± 0.1 eV), $S_2^{2-} 2p_{3/2}$ (162.2 ± 0.1 eV) and $S_2^{2-} 2p_{1/2}$ (163.4 ± 0.1 eV). The binding energy values of these four S 2p core-level components are in agreement with the binding energies of the four S 2p core-level components of TiS₃ and ZrS₃, which is to be expected since HfS₃ is isostructural with TiS₃ and ZrS₃.⁴¹ Fig. 2c shows the raw (red triangles) and fitted (solid black) XPS spectrum of the Hf 4f core-level. The fit results disclose that the Hf 4f core-level XPS spectrum contains two Hf 4f_{7/2} peaks and two Hf 4f_{5/2} peaks, with the spin-orbit splitting between each doublet being ~1.7 eV. The Hf $4f_{7/2}$ core-level peak observed at 16.0 \pm 0.1 eV and the Hf 4f_{5/2} core-level peak observed at 17.7 ± 0.1 eV are attributed to the Hf-S bonding



Fig. 2 XPS of bare HfS₃. (a) Survey XPS of bare HfS₃ with S 2p and Hf 4f core-level peaks of HfS₃. The Mo 3d core-level peak and Cu LMM (Auger electron transition) peak are due to the sample holder, while the C 1s and O 1s core-level peaks are attributed to adventitious contamination. (b) The raw XPS spectrum of the S 2p core-level (red triangles) along with the fits (shaded in red) showing the S^2 2p_{3/2} (161 eV), S^2 2p_{1/2} (162.2 eV), S_2^2 2p_{3/2} (162.2 eV) and S_2^2 2p_{3/2} (163.2 eV) core-level (red triangles) along with the total fit to the raw XPS spectrum of the S 2p core-level is showing in the S 2p core-level is shown in solid black. (c) Raw photoemission spectrum of the Hf 4f core-level (red triangles) along with the total fit to the raw spectrum (solid black). The Hf 4f_{7/2} (16.0 eV) and Hf 4f_{5/2} (17.7 eV) core-level peaks (in red) are attributed to the Hf–S bonding environment, while the Hf 4f_{7/2} (16.7 eV) and Hf 4f_{5/2} (18.4 eV) core-level peaks (in blue) are attributed to the Hf–O bonding environment.

Journal Name





environment, whereas the Hf 4f_{7/2} core-level peak observed at 16.7 ± 0.1 eV and the Hf 4f_{5/2} core-level peak observed at 18.4 ± 0.1 eV are attributed to the Hf–O bonding environment. The Hf 4f_{7/2} peak observed at 16.7 ± 0.1 eV is consistent with the binding energy of Hf 4f_{7/2} core-level of HfO₂,^{44–48} implying chemisorption of O₂ at the HfS₃ surface. This result is noteworthy in that even though the dissociative adsorption of O₂ on HfS₃ was already implied by Xiong *et al.*,²⁵ its precise nature was not clarified.

Fig. 3a shows the survey XPS of HfS_3 with 18 Å of Au at its surface. On comparing this survey XPS with the survey XPS of bare HfS₃ (shown in Fig. 2a), it can be inferred that the XPS signal intensity for Au(18Å)/HfS₃ is dominated by Au. This, however, is not surprising as XPS is a surface sensitive technique with sampling depths of only a few nanometres.^{49,50} Fig. 3b and 3c show the Au thickness-dependent representative photoemission spectra of the S 2p and Hf 4f core-levels, respectively. In these figures, a clear shift of ~0.8 eV to higher binding energies for both the core-levels is observed upon interfacing HfS₃ with 6 Å of Au (blue). No further shift in the binding energies of the XPS core levels of HfS₃ is observed upon increasing the Au adlayer thickness. That is to say, the XPS peaks of the S 2p and Hf 4f core levels of Au/HfS₃ are shifted by ~0.8 eV towards higher binding energies for all coverages of Au [i.e., 6 Å (blue), 12 Å (green), and 18 Å (purple)] in comparison with their peaks for the bare HfS₃. Therefore, this shift to the higher binding energies for both the core levels is independent of the Au adlayer thickness (unlike what was recently observed when different thicknesses of Au adlayers were deposited on ZrS₃³²), indicating this is an interface effect associated with some band bending.

Such a shift, towards higher binding energies (or lower electron energies), implies downward bending of the conduction and valence bands of HfS_3 at the surface. And it is well-known that downward band bending is indicative of a Schottky-barrier formation at the metal/p-type semiconductor interface.^{29,51–53} Therefore, our Au thickness-dependent XPS measurements confirm the existence of a potential (Schottky) barrier at the Au/HfS₃ interface.²⁵ Existence of a Schottky barrier at the Au/HfS₃ interface, as opposed to its absence at

the Au/ZrS₃³² and Au/TiS₃³¹ interfaces, can be explained in terms of varying metal-sulphur interactions among the family of TMTs. In other words, the stronger the TMT metal-sulfur interaction, the weaker the Au-S interaction. And since the electronegativity of Hf is lower than that of both Zr and Ti, the Au-S interaction at the Au/HfS₃ interface is weaker than it is at either the Au/ZrS₃ or Au/TiS₃ interface. Thus, the absence of a strong Au–S interfacial interaction (presence of which suppresses Schottky-barrier formation at the Au/ZrS3 and Au/TiS₃ interfaces) results in the formation of a Schottky barrier at the Au/HfS₃ interface. Additionally, these measurements suggest that the work function of the HfS₃ surface with chemisorbed oxygen (see Fig. S1, ESI) may be higher than that of Au; otherwise, formation of a p-type Schottky barrier at the Au/HfS₃ interface would not be possible.^{54–56} This is consistent with theory,²⁶ which indicates that the work function of HfS₃ (~5.5 eV) is actually higher than that of Au (~5.1 eV).³⁷

ARTICLE

Journal Name



Fig. 4 Electrical transport measurements of the HfS₃ FET. (a) Schematic of the HfS₃ FET. In addition to the geometry of the electrodes and the device channel, it also (rather exaggeratively) shows a relatively thin p-type surface of a material whose bulk is n-type. The p-type surface is formed because of chemisorption of oxygen on the n-type HfS₃, as is evident from Fig. 2c. (b) AFM image of the HfS₃ FET and (c) its corresponding height profile. (d) The l_{0S} - V_{0S} curves of the HfS₃ FET measured in vacuum at the gate voltages (VG) varied from -80 V to +80 V. (e) Transfer characteristics of the same device measured in air (black) and in vacuum (red). $V_{DS} = 5$ V.

The HfS₃ FET is schematically shown in Fig. 4a, which shows a relatively thin p-type surface of HfS_3 that forms due to dissociative chemisorption of oxygen species on n-type HfS₃. The AFM image of the HfS₃ FET with pure Au electrodes that are 45 nm thick is shown in Fig. 4b. Its height profile (Fig. 4c) shows that the HfS₃ semiconductor channel is about 20 nm high and 0.2 µm thick. Fig. 4d shows the dependence of the drain-source current (I_{DS}) on the drain-source bias (V_{DS}) for gate voltage (V_G) ranging from -80 V to +80 V. The negligible drain-source current, at all the gate voltages, for drain-source voltages between (approximately) -0.3 V to +0.3 V is consistent with the Schottkybarrier formation, as unveiled by our XPS measurements; changes in the slopes of the I_{DS} - V_{DS} plots are indicated by the vertical arrows. The electronic properties of a HfS₃ device depend on the background ambience. In vacuum, from the direct relationship between I_{DS} and $V_{G},$ it is evident from the increasing I_{DS} , with increasing V_G , at a given V_{DS} (see the red curve in Figure 4e), that in spite of a surface p-type surface layer HfS₃ is an n-type semiconducting material similar to TiS₃.^{57,58} When the same device is measured in air, HfS₃ exhibits p-type behavior, as shown by the black curve in Figure 4e. As placing

the HfS_3 FET device in vacuo, at about $2{\times}10^{\text{-}6}$ Torr, for 24 h results in the restoration the n-type electronic behavior (again, see the red curve in Figure 4e), much of the p-type doping has to be the result of weaking bound adsorbate species, such as H_2O and O_2 , on the surface of HfS_3 . Adsorbates like H_2O and O_2 are known for their p-doping effect on other 2D materials, such as graphene oxide.⁴³ The Schottky-barrier formation seen in XPS (Fig. 3c), in combination with the p-n junction formed between the p-type surface and the n-type bulk, and the overall transport characteristics at large source drain voltages (Fig. 4d) are also consistent with the very low currents (~10⁻¹¹ A) measured here. Even though the I-V characteristics were measured in the presence of illumination, they are still far less than what is seen for similar ZrS_3 (~10⁻⁷ A) and TiS_3 (~10⁻⁶ A) FET devices in the absence of illumination.⁴⁰ A comparison of the conductivity for the HfS₃ devices, in absence of irradiation, would be even more invidious.

Although, to date, HfS₃ is the first TMT whose surface semiconducting character is shown to be different from its bulk semiconducting behaviour, there have been precedents of other semiconductors where surfaces were found to differ from

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their bulk.^{59–63} The above discussions are summarized in Fig. 4a. Again, we note that the p-type behaviour at surface of HfS_3 can become more significant because of the further weak chemisorption due to the ambient air.

Conclusions

In conclusion, facile chemisorption of oxygen is manifested in our XPS measurements on bare HfS₃, which renders its surface p-type. Our XPS data also confirms the formation of a Schottky barrier at the Au/HfS₃ interface, which is independent of the Au adlayer thickness. This barrier, in combination with the p-n junction formed between the p-type surface and the ntype bulk, is responsible for the poor I-V characteristics of a HfS₃-based device fabricated with Au contacts. This is, indeed, in agreement with the observed low source-drain current reported herein (and elsewhere²⁵). Our in vacuo electrical transport measurements demonstrate n-type semiconducting behaviour for the as-synthesized HfS₃, indicative of sulphur vacancies.^{3,4} Therefore, our study shows clear evidence for the existence of a Schottky barrier at the Au/HfS₃ interface, in addition to conveying that the surface of HfS₃ is p-type while its bulk is n-type. The intriguing presence of a p-type surface and an n-type bulk reconciles a prior experimental study reporting the p-type characteristics of HfS₃ being a p-type semiconductor²⁵ with another experimental report on the intrinsic n-type semiconducting nature of this material.³⁸

Data availability

The data that support the findings of this study are available from the corresponding author upon reasonable request.

Author contributions

A.D. and P.A.D conceived the idea and coordinated this work. A.S. supervised the sample preparation and structural characterization. A.L. and J.A. prepared the HfS₃ crystals. A.L. fabricated the HfS₃ devices, wrote a software code and performed electrical measurements on the devices, and analyzed the results. M.J.L. performed AFM characterization and analysis. M.P. performed XRD measurements and analysis. A.D. performed XPS measurements and analysis. A.D., A.S. and P.A.D. co-wrote the manuscript. A.D. and A.L. prepared the figures. All authors have given approval to the final version of the manuscript.

Conflicts of interest

There are no conflicts to declare.

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