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Encapsulation of Lipophilic Polyphenols in Plant-based Nanoemulsions: Impact of Carrier Oil on Lipid Digestion and Curcumin, Resveratrol and Quercetin Bioaccessibility

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1 **Encapsulation of Lipophilic Polyphenols in Plant-based**
2 **Nanoemulsions: Impact of Carrier Oil on Lipid Digestion and**
3 **Curcumin, Resveratrol and Quercetin Bioaccessibility**

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21

22 **Abstract**

23 Lipophilic polyphenol compounds (LPCs) are claimed to exhibit a broad spectrum of
24 biological activities that may improve human health and wellbeing, including antioxidant, anti-
25 inflammatory, and anti-cancer properties. Nanoemulsion-based delivery systems have been
26 developed to encapsulate LPCs so as to increase their food matrix compatibility,
27 physicochemical stability, and bioavailability. LPCs vary in their structural features, including
28 the number and position of phenolic hydroxyl, ketone, and aliphatic groups, which results in
29 different molecular, physicochemical, and gastrointestinal properties. In this study, we examined
30 the impact of plant-based carrier oils (coconut, sunflower, and flaxseed oils) and LPC type
31 (curcumin, resveratrol, and quercetin) on the *in vitro* gastrointestinal fate of polyphenols loaded
32 into quillaja saponin-stabilized nanoemulsions. Coconut oil contains high levels of medium-
33 chain saturated fatty acids (MC-SFAs), sunflower oil contains high levels of long-chain
34 monounsaturated fatty acids (LC-MUFAs), and flaxseed oil contains high levels of long-chain
35 polyunsaturated fatty acids (LC-PUFAs). The encapsulation efficiency and gastrointestinal
36 stability of the LPCs were slightly lower in the MC than the LC oils. Differences in the
37 gastrointestinal stability of the three LPCs were linked to differences in their oil-water partition
38 coefficients. Some of the LPCs inhibited lipid digestion for certain oil types. In particular,
39 resveratrol retarded the digestion of all three oils, but it still had the highest GIT stability and
40 bioaccessibility. This study provides valuable information about the gastrointestinal fate of LPC-
41 loaded nanoemulsions and highlights important differences in the behavior of LPCs with
42 different characteristics. This knowledge may facilitate the design of more effective plant-based
43 delivery systems for bioactive lipophilic polyphenols.

44 *Keywords:* polyphenols, plant-based carrier oils; lipid digestion; bioaccessibility.

45

46 **1. Introduction**

47 Polyphenols are found in many commonly consumed foods and beverages, including fruits,
48 vegetables, tea, and coffee ¹. Epidemiological evidence suggests that polyphenol-rich diets are
49 linked to lower incidences of various human diseases, including heart diseases, diabetes, cancer,
50 inflammation, and neurodegenerative diseases ¹⁻³. There may therefore be benefits to public
51 health in fortifying foods with polyphenols so as to reduce the levels of these chronic diseases in
52 the general population. There are, however, several challenges to incorporating polyphenols into
53 foods and beverages, especially lipophilic polyphenol compounds (LPCs). LPCs have poor
54 compatibility with most food matrices, they are prone to chemical degradation during storage,
55 and they have exhibit poor bioavailability after ingestion ^{4,5}.

56 One of the most effective means of overcoming these challenges is to use modern
57 encapsulation technologies ^{6,7}. In particular, nanoemulsion-based delivery systems have been
58 shown to be particularly effective at improving the matrix compatibility, stability, and
59 bioavailability of polyphenols ^{8,9}. Oil-in-water nanoemulsions are able to encapsulate LPCs
60 within the hydrophobic interior of the emulsifier-coated oil droplets, thereby facilitating their
61 introduction into aqueous food matrices, as well as protecting them from components in the
62 aqueous phase that might promote their degradation (such as acids, bases, transition metals, or
63 enzymes). Moreover, the digestion of the oil phase within the gastrointestinal tract produces free
64 fatty acids (FFAs) and monoacylglycerols (MAGs) that are incorporated into mixed micelles,
65 thereby increasing the solubilization of the LPCs in the intestinal fluids. Researchers are
66 therefore examining the impact of the composition and structure of edible nanoemulsions on
67 their ability to facilitate the utilization of LPCs within functional foods and beverages.

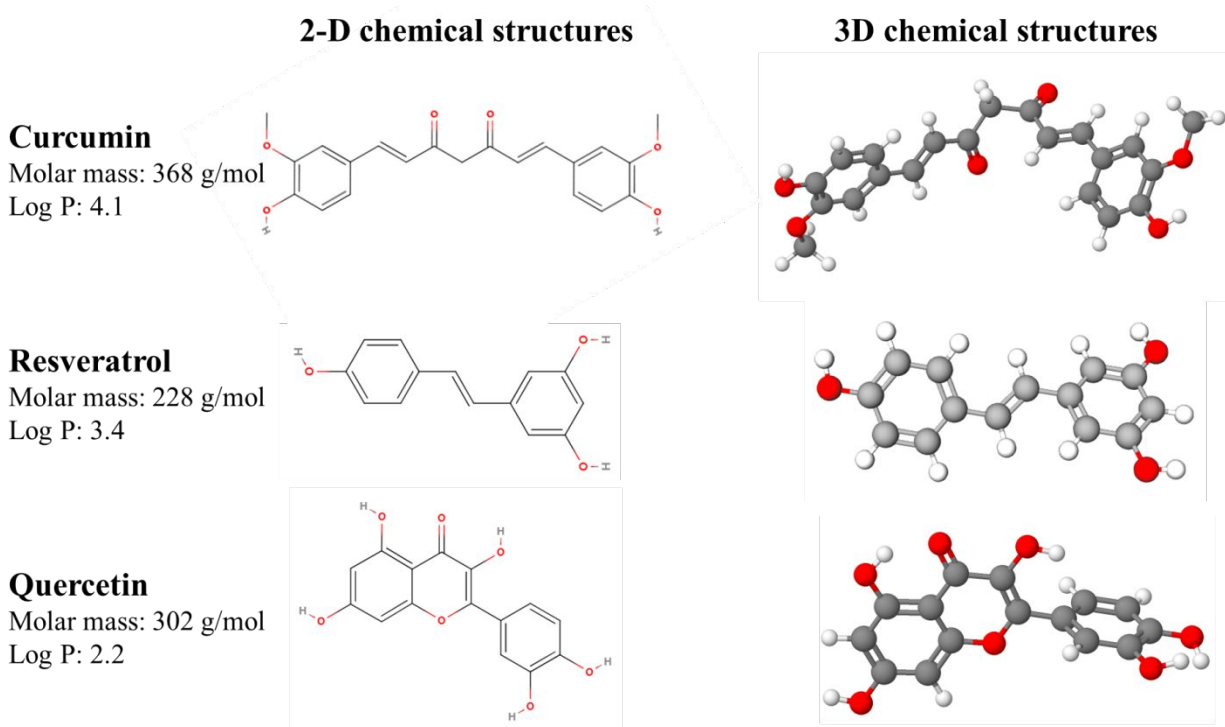
68 A particularly promising approach of introducing LPCs into nanoemulsions is the pH-driven
69 method, which is based on the change in the water-solubility of certain polyphenols with pH ¹⁰,
70 ¹¹. In particular, many polyphenols are hydrophilic under strongly alkaline conditions because
71 they are negatively charged but are hydrophobic under neutral or acidic conditions because they
72 lose their charge. As a result, the LPCs can be dissolved in an alkaline solution that is then
73 added to an acidified nanoemulsion, causing the polyphenols to move from the hydrophilic
74 aqueous-phase into the hydrophobic droplet interiors ¹². Numerous researchers have investigated
75 the formation and behavior of polyphenol-loaded nanoemulsion- and emulsion-based delivery
76 systems, which have shown that they can be designed to have a high encapsulation efficiency,

77 stability and bioaccessibility¹³⁻¹⁹. Many factors have been identified as playing a critical role in
78 determining the bioaccessibility or bioavailability of hydrophobic bioactives, including oil-to-
79 water ratio, droplet size, oil type, and interfacial properties^{9, 20-22}.

80 The nature of the carrier oil used to formulate the oil droplets in nanoemulsions has been
81 shown to be particularly important for strongly hydrophobic bioactives with relatively large
82 molecular dimensions. For example, β -carotene has a very low bioaccessibility when the oil
83 droplets are comprised of medium chain triglycerides (MCT), because this long hydrophobic
84 molecule is too large to be incorporated into the hydrophobic domains in the mixed micelles
85 formed after lipid digestion^{23, 24}. Similar observations have been reported for other relatively
86 large hydrophobic bioactives, including vitamin D₃²⁵ and vitamin E²⁶. Conversely, relatively
87 small hydrophobic bioactives can be incorporated into the mixed micelles formed after digestion
88 of MCT because they can fit into the hydrophobic domains. For example, the bioaccessibility of
89 5-demethylnobiletin, a polyphenol, was recently reported to be relatively high when delivered in
90 emulsified MCT oils²⁷. Another important factor to consider is that some polyphenols can
91 interact with digestive enzymes *via* noncovalent interactions (*e.g.*, hydrogen bonding or
92 hydrophobic interactions), thereby interfering with the activity^{28, 29}. In the case of lipases, this
93 may decrease lipid digestion, and therefore alter the release of the polyphenols from the oil
94 droplets and their solubilization within mixed micelles. LPCs may therefore behave differently in
95 the gastrointestinal tract (GIT) than lipophilic bioactives that do not interact with lipases.

96 In this work, we focused on the impact of carrier oil type on the gastrointestinal fate of
97 several LPCs encapsulated within plant-based nanoemulsions. These plant-based delivery
98 systems were developed because of the growing interest amongst consumers for more ethical,
99 healthy, and sustainable food products, such as meat, fish, egg and milk analogs^{30, 31}. The
100 nanoemulsions were therefore fabricated from plant-based oils (coconut, sunflower, or flaxseed
101 oil) and surfactants (quillaja saponin). The impact of oil and polyphenol type on the
102 gastrointestinal fate of the polyphenol-loaded nanoemulsions was examined, including lipid
103 digestion, LPC stability, and LPC bioaccessibility. Based on previous studies, we hypothesized
104 that: (1) oil type would have little impact on the gastrointestinal fate of the LPCs because they
105 are relatively small molecules that could easily be solubilized within mixed micelles formed
106 from different fatty acids; (2) some of the polyphenols (curcumin, resveratrol and quercetin in
107 **Figure 1**) may inhibit lipid digestion by binding to lipase, which could then impact their

108 bioaccessibility. This study may therefore have important implications for designing effective
 109 LPC-enriched nanoemulsions for encapsulating, protecting, and delivering polyphenols. In
 110 particular, these delivery systems may be useful for application within plant-based food products,
 111 such as meat, fish, egg, or milk analogs.



112
 113 **Figure 1.** The 2-D and 3-D structures of curcumin, resveratrol and quercetin predicted by an
 114 online program (MolView: molview.org). All logP values were calculated from an online
 115 database (chemicalize.com).

116 2. Materials and Methods

117 2.1. Materials

118 Resveratrol, curcumin, and quercetin were purchased from Tokyo Chemical Industry
 119 (America Division, Portland, USA), who reported their purity to be > 99%, 97%, and 96 %,
 120 respectively. Coconut oil (Nature's Way, Pure oil, 10 oz), sunflower seed oil (365, Whole Foods
 121 Market, 16.9 oz), and flaxseed oil (365, Whole Foods Market, 8 oz) were obtained from a local
 122 supermarket. Based on their nutrition labels, the sunflower seed oil was reported to contain
 123 around 7% saturated fat, 79% monounsaturated fat, and 14% polyunsaturated fat. The flaxseed
 124 oil was reported to contain around 7% saturated fat, 18% monounsaturated fat, and 64%
 125 polyunsaturated fat. The coconut oil was reported to contain around 93% saturated fat, <3.5%

126 monounsaturated fat, and <3.5% polyunsaturated fat. Quillaja saponin was kindly provided by
127 Ingredion Inc. (Westchester, IL, USA). The reagents used in the *in vitro* digestion model
128 included: potassium chloride (KCl), potassium dihydrogen phosphate (KH₂PO₄), sodium
129 chloride (NaCl), magnesium chloride (MgCl₂), calcium chloride (CaCl₂), hydrogen chloride
130 (HCl), mucin (M2378), pepsin (P7000), pancreatin (P7545), lipase (L3126) and bile extract
131 (B8631), which were also obtained from the Sigma-Aldrich Co. (St. Louis, MO, USA). Double
132 distilled (DD) water was used to prepare the various aqueous solutions used in this study.

133 **2.2. LPC-loaded nanoemulsions**

134 Nanoemulsions formulated using different carrier oils (coconut, sunflower, or flaxseed oil)
135 were fabricated by blending an aqueous phase (90 wt%) and oil phase (10 wt%) together and
136 then passing the resulting emulsion pre-mix through a microfluidizer (M110P, Newton, MA) for
137 3 times at 12,000 psi. Quillaja saponin was dissolved in the aqueous phase prior to
138 homogenization and was used at a concentration of 1 wt% in the final nanoemulsions. The
139 aqueous phase of the nanoemulsions prepared was measured as pH 5.

140 The pH-driven method was used to load the LPCs into the nanoemulsions, which has been
141 described in detail in our previous studies¹³. Briefly, polyphenols were dissolved in an alkaline
142 solution (0.1 N NaOH) and then quickly added to the nanoemulsions at room temperature. After
143 that, one drop of HCl (1 N) was used to adjust the pH of the nanoemulsions back to their initial
144 values (pH 5). The final concentrations of curcumin, resveratrol, and quercetin were 450, 300
145 and 300 µg/g, respectively.

146 **2.3. *In vitro* gastrointestinal digestion**

147 After preparation, LPC-loaded nanoemulsions were all diluted so they contained the same
148 oil concentration (5 wt%) before passing them through the *in vitro* digestion model, which
149 followed the protocols described in the standardized INFOGEST method³². Briefly, the
150 following steps were used to monitor the gastrointestinal fate of the nanoemulsions:

151 **Mouth phase:** 5 mL of samples were poured into 50 mL test tubes and then incubated with
152 5 mL of simulated saliva fluid (SSF), which contained 15 mg of mucin. The samples were
153 maintained in the mouth phase for 2 mins at 37°C with constant swirling to mimic oral
154 processing.

155 **Stomach phase:** After the mouth phase was completed, the oral fluids (10 mL) were
156 collected and then an equal volume (10 mL) of simulated gastric fluid (SSF) containing HCl,
157 pepsin (activity 2000 U/mL) and DD water were added. The pH was then adjusted to 3.0 and the
158 samples were maintained in the stomach phase for 2 h at 37°C with constant swirling to mimic
159 gastric processing.

160 **Small intestine phase:** After the stomach phase was completed, the resulting gastric fluids
161 (20 mL) were collected and then poured into a 100 mL beaker. An equal volume (20 mL) of
162 small intestinal fluids (SIF) containing DD water, bile extract (10 mM), CaCl₂ and pancreatin
163 (lipase activity 2000 U/mL and trypsin activity 100 U/mL) were added. The pH was then
164 adjusted to 7.0 and the samples were kept for 2 h at 37°C to simulate small intestine conditions.
165 The samples were maintained at pH 7.0 throughout the entire small intestine phase by titrating in
166 small aliquots of NaOH solutions using an automatic pH stat method (Metrohm, FL, USA). At
167 the completion of the small intestine phase, 10 mL of the resulting sample was collected for
168 analysis, which was referred to as the “digesta”. Another 10 mL of the sample was collected and
169 then centrifuged (18,000 rpm) for 50 mins at 4 °C, and the middle clear layer was collected,
170 which was referred to as the “mixed micelle” phase.

171 **Lipid digestion:** The percentage of free fatty acids (FFAs) released from the nanoemulsions
172 in the small intestine phase was monitored using the pH-state method. Briefly, the volume of
173 NaOH solution required to maintain the sample at pH 7.0 throughout the small intestine phase
174 was measured using the pH-stat method. However, this method is only sensitive to FFAs whose
175 carboxyl groups are fully ionized (-COO⁻). Many long chain FFAs are only partially ionized
176 under neutral pH conditions. For this reason, a back-titration was performed at the end of the
177 small intestine phase, which involved measuring the volume of NaOH solution required to reach
178 pH 9.0. This back-titration therefore measures the remainder of the FFAs in the sample. This
179 approach enabled us to calculate the total FFAs that were released by the end of the small
180 intestine phase. A correction factor was then established: $X = \text{FFA}(\text{pH } 7 + \text{pH } 9) / \text{FFA}(\text{pH } 7)$,
181 where the two terms in this equation are the FFAs measured at the end of the small intestine
182 phase with and without the back titration, respectively. This correction factor was then applied
183 to the FFA measurements made at pH 7 to compensate for their partial ionization under neutral
184 conditions. The volume of NaOH solution consumed (V_{NaOH}) for blank samples was subtracted
185 from all the measurements made on the test samples. In this case, the blanks were the same as

186 the test samples, but they did not contain lipids. The molarity of the NaOH solution (C_{NaOH}) used
187 to carry out the titrations was 0.25 M. The molar masses of the oil phases (M_{lipid}) were 554.8,
188 876.2 and 868 g/mol for coconut, sunflower and flaxseed oils, respectively. The total weight of
189 the oil phase (W_{lipid}) in the small intestine phase was 0.25 g for all samples. The FFAs released
190 from the samples was then estimated using the following expression ³³:

$$191 \quad \text{FFA (\%)} = \frac{V_{\text{NaOH}} C_{\text{NaOH}} M_{\text{lipid}}}{2 W_{\text{lipid}}} \times 100 \quad (1)$$

192 This equation assumes that 2 FFAs are released per triacylglycerol (TAG) molecule. As will be
193 shown later, this assumption may not be true for all systems.

194 **2.4. Physicochemical and structural properties: Color, size and charge**

195 A colorimeter (ColorFlex EZ 45/0-LAV, Hunter Associates Laboratory Inc., Virginia, USA)
196 was used to quantify the color of the LPC-loaded nanoemulsions. The L^* value of the samples
197 was measured to provide insights into their opacity or lightness, the a^* value was measured to
198 provide information about their redness/greenness (+/-), and the b^* value was measured to
199 provide information about their yellowness/blueness (+/-). A laser diffraction instrument
200 (Mastersizer 2000, Malvern Instruments, Worcestershire, United Kingdom) was used to measure
201 the dimensions of the particles within the LPC-loaded nanoemulsions before and after exposure
202 to the simulated gastrointestinal fluids (*e.g.*, saliva, gastric, intestinal). The average size of the
203 particles in the mixed micelle phase was measured by dynamic light scattering (Nano-ZS,
204 Malvern Instruments). The surface potential of the particles in all the samples was measured by
205 microelectrophoresis (Nano-ZS, Malvern Instruments). Each sample was diluted with DD water
206 (adjusted to the same pH as sample) prior to light scattering or microelectrophoresis
207 measurements to obtain a sufficiently strong signal and avoid multiple scattering.

208 **2.5. LPC concentration determination**

209 High-performance liquid chromatography (HPLC, Agilent 1100 series, Agilent
210 Technologies, CA) with a UV-visible detector was used to measure the LPC concentration in the
211 samples before and after digestion. LPC was extracted from the samples using an acidified
212 ethanol solution (1% acetic acid in ethanol). The samples were then injected into the HPLC
213 instrument. The mobile phase used depended on LPC type and consisted of 30:70, 55:45, and
214 30:70 of acetonitrile and 1% acetic acid for resveratrol, curcumin, and quercetin, respectively.
215 The concentration of each LPC was determined by measuring the absorbance at an appropriate

216 wavelength using a UV-visible detector: 307 nm for resveratrol; 420 nm for curcumin; and, 370
217 nm quercetin. Standard curves were prepared for each LPC, which had R^2 values of > 0.999 .

218 **Encapsulation efficiency:** The encapsulation efficiency of the LPC-loaded nanoemulsions
219 is a measure of the percentage of the polyphenols added that actually ends up within the final
220 delivery system:

$$221 \quad EE (\%) = 100 \times \frac{C_{NE}}{C_0} \quad (2)$$

222 Here, C_{NE} is the concentration of LPC measured in the initial LPC-loaded nanoemulsions soon
223 after they were prepared, while C_0 is the known LPC concentration actually added to the
224 nanoemulsions.

225 **GIT stability:** The gastrointestinal tract (GIT) stability of the LPCs is the percentage of
226 polyphenol that remains after they have passed through the entire digestion model:

$$227 \quad GIT \text{ stability } (\%) = 100 \times \frac{C_D * 8}{C_{NE}} \quad (3)$$

228 Here, C_D is the concentration of LPC measured in the digesta and the numeral “8” is the dilution
229 factor, which takes into account the fact that the samples were diluted as they passed through the
230 GIT model (5 mL of initial sample was diluted to a final volume of 40 mL in the digesta).

231 **Bioaccessibility:** The bioaccessibility of the LPCs is the percentage of polyphenol that is
232 solubilized within the mixed micelle phase in the digesta:

$$233 \quad Bioaccessibility (\%) = 100 \times \frac{C_M}{C_D} \quad (4)$$

234 Here, C_M and C_D are the concentrations of a particular type of LPC measured in the mixed
235 micelle and digesta phases after the small intestine phase was completed, respectively.

236 2.6. Statistical analysis

237 A full experiment involved preparing a new sample and passing it through the GIT model.
238 This procedure was carried out twice for each system examined. The physicochemical properties,
239 structural attributes, and LPC concentrations were measured at least three times for each
240 procedure. The mean and standard deviation were calculated from these results and ANOVA
241 (post-hoc Tukey HSD Test) was used to ascertain significance differences between results ($p <$
242 0.05).

243 3. Results and Discussion

244 3.1. Physicochemical and structural properties under simulated GIT conditions

245 3.1.1. Initial properties

246 Initially, we characterized the optical properties of the LPC-loaded nanoemulsions before
247 they were exposed to the digestion model (**Table 1**). All the original samples had a relatively
248 high L^* -value ($> 83\%$), which is a result of strong scattering of light waves by the nanoemulsion
249 droplets. It is sometimes assumed that nanoemulsions are optically clear but this is only true
250 when the droplet diameter is below about 50 nm³⁴. In this study, the average diameter of the
251 droplets in the initial nanoemulsions was around 130 to 170 nm. Moreover, the nanoemulsions
252 were polydisperse so an appreciable fraction of the droplets would be larger than these average
253 values, thereby falling in the region where strong light scattering occurs. The lightness of the
254 LPC-loaded nanoemulsions was appreciably lower than that of the equivalent control
255 nanoemulsions (LPC-free). This effect arises because some of the light that was incident upon
256 the surfaces of the nanoemulsions was absorbed by the LPCs, thereby reducing the fraction
257 reflected back to the detector. Carrier oil type did not have a strong impact on the lightness of
258 the nanoemulsions, which can be attributed to the fact that they were not intensely colored, and
259 they were only present at a relatively low concentration (5%).

260 The addition of the polyphenols had a pronounced impact on the color of the nanoemulsions.
261 In the absence of LPCs, the nanoemulsions appeared white, which is consistent with their high
262 L^* values and low a^* and b^* values. In contrast, the curcumin-loaded nanoemulsions had an
263 intense yellow color ($\Delta b^* = 81-85$), the quercetin-loaded ones had a slight yellow color ($\Delta b^* =$
264 11-14), and the resveratrol-loaded ones were the least yellow ($\Delta b^* = 5-9$). Indeed, the optical
265 properties of the resveratrol-loaded nanoemulsions were fairly similar to those of the equivalent
266 control nanoemulsions (**Table 1**). The color of the nanoemulsions followed a similar trend to that
267 of the powdered polyphenols they were prepared from: curcumin (orangey-yellow), quercetin
268 (light yellow), and resveratrol (white)³⁵.

269 The average diameters (d_{32}) of the emulsifier-coated droplets in the initial nanoemulsions
270 were around 130 to 170 nm (0.13 to 0.17 μm) (**Figure 2**). These measurements indicate that the
271 emulsifier used (quillaja saponin) and homogenization method employed (microfluidization)
272 were efficient at creating small oil droplets in the samples. The surface potential of the saponin-
273 coated lipid droplets in the initial nanoemulsions was strongly anionic, ranging from -60 to -70
274 mV for the different nanoemulsions (**Figure 3**). Nevertheless, carrier oil and polyphenol type
275 did not have an appreciable effect on the surface potential of the droplets, which suggests that

276 their electrical characteristics were dominated by the saponin molecules adsorbed to their
 277 surfaces. Previous studies have indicated that saponin-coated oil droplets with these small
 278 dimensions and high charges have good resistance to aggregation and creaming, thereby leading
 279 to nanoemulsions with extended shelf lives, *i.e.*, > 2 months^{36,37}.

280

281 **Table 1.** Impact of carrier oil and polyphenol type on the tristimulus color coordinates of pure
 282 nanoemulsions and LPC-loaded ones.

Control	Coconut		Sunflower		Flaxseed	
	Mean	SD	Mean	SD	Mean	SD
ΔL^*	89.49	0.12	89.81	0.10	87.73	0.10
Δa^*	-0.42	0.03	-0.57	0.02	-0.97	0.01
Δb^*	9.15	0.04	5.59	0.07	5.42	0.04
Curcumin	Coconut		Sunflower		Flaxseed	
	Mean	SD	Mean	SD	Mean	SD
ΔL^*	83.80	0.13	83.58	0.03	84.42	0.21
Δa^*	-10.98	0.22	-5.35	0.05	-6.68	0.07
Δb^*	81.49	0.29	85.44	0.34	82.87	0.51
Resveratrol	Coconut		Sunflower		Flaxseed	
	Mean	SD	Mean	SD	Mean	SD
ΔL^*	86.57	0.23	88.43	0.01	88.51	0.05
Δa^*	-0.15	0.22	0.01	0.03	0.02	0.09
Δb^*	5.14	0.12	5.36	0.00	8.58	0.05
Quercetin	Coconut		Sunflower		Flaxseed	
	Mean	SD	Mean	SD	Mean	SD
ΔL^*	86.46	0.13	88.23	0.07	88.17	0.18
Δa^*	-2.94	0.01	-2.99	0.20	-2.61	0.12
Δb^*	11.53	0.49	11.42	0.27	14.35	0.27

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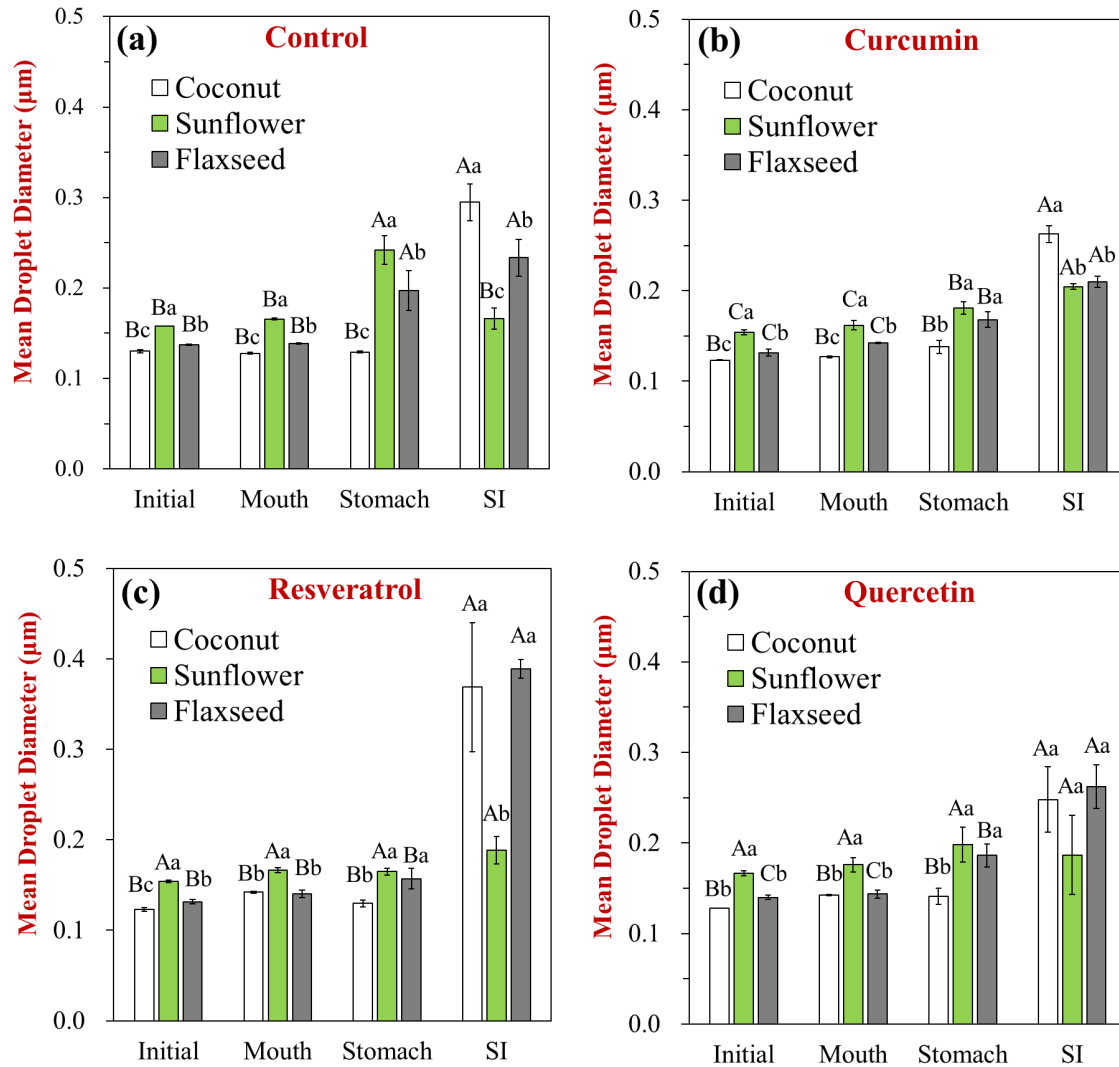
284 3.1.2. Mouth and stomach phases

285 The light scattering data indicated that all the nanoemulsions were relatively resistant to
 286 droplet aggregation within the mouth and stomach stages, with mean particle diameters ranging
 287 from 0.1 to 0.2 μm (**Figure 2**). Moreover, the particle size distributions of all the
 288 nanoemulsions remained monomodal within these GIT stages (data not shown). The good
 289 aggregation stability of the droplets under these conditions can be attributed to the presence of
 290 saponin molecules at their surfaces, which protect the droplets by generating a strong steric
 291 repulsion. Indeed, previous studies with nanoemulsions have shown that quillaja saponins can

292 protect oil droplets from aggregation over a wide range of pH conditions (pH = 2 - 8) and salt
293 concentrations (0 - 500 mM) due to this effect ³⁶.

294 Changes in the electrical characteristics of the droplets in the nanoemulsions were monitored
295 using particle electrophoresis (**Figure 3**). The magnitude of the surface potential of all
296 nanoemulsions decreased appreciably when they moved from the initial stage (-54 to -65 mV) to
297 the mouth stage (-36 to -49 mV), which was mainly attributed to adsorption of mucin molecules
298 to the oil droplets surfaces, as well as electrostatic screening effects associated with mineral ions
299 dissolved in the simulated saliva. There was a further decrease in the magnitude of the surface
300 potential after the nanoemulsions were incubated in the stomach stage (-8 to -16 mV), which was
301 mainly attributed to partial protonation of the carboxyl groups on the adsorbed saponin
302 molecules.

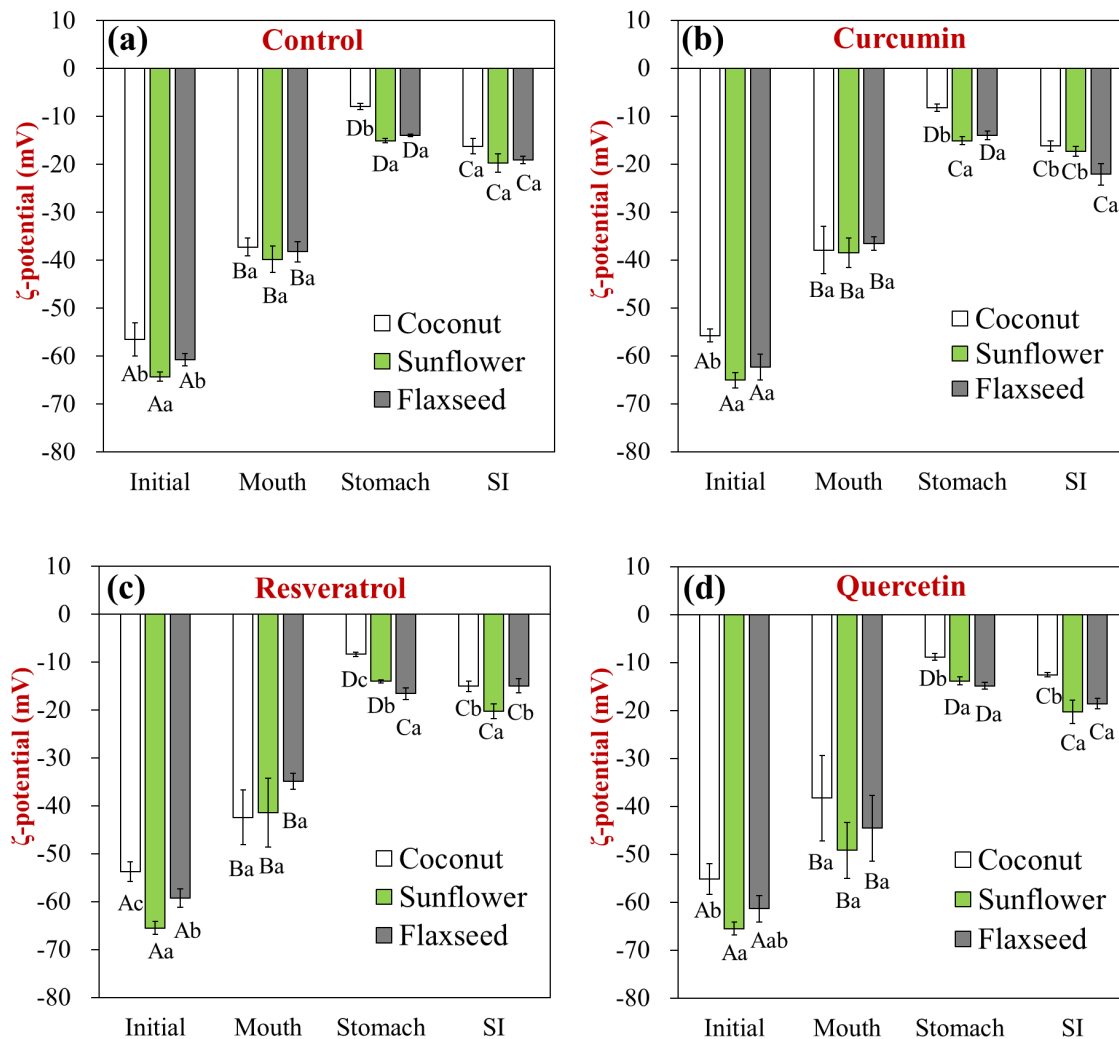
303 Overall, our results indicate that carrier oil and polyphenol type did not have a major impact
304 on the size or charge of the oil droplets within the early stages of the GIT model. It should be
305 noted that we did not observe any evidence of crystalized polyphenols in the samples collected
306 from the mouth and stomach phases, *i.e.*, no sediment was visible at the bottom of the test tubes.
307 This result suggests that the polyphenols remained inside the hydrophobic interiors of the oil
308 droplets, rather than moving into the water phase and precipitating.



309

310 **Figure 2.** Impact of carrier oil and polyphenol type on the mean droplet diameter (d_{32}) of LPC-
 311 loaded nanoemulsions under simulated gastrointestinal conditions. The upper-case letters (A, B,
 312 C) and (a, b, c) represent significant differences among different digestion stages and oil types,
 313 respectively.

314



315
 316 **Figure 3.** Impact of carrier oil and polyphenol type on the surface potential of LPC-loaded
 317 nanoemulsions under simulated gastrointestinal conditions. The upper-case letters (A, B, C) and
 318 (a, b, c) represent significant differences among different digestion stages and oil types,
 319 respectively.

320 3.1.3. Small intestine phase

321 There was an increase in mean particle diameter after the nanoemulsions were exposed to
 322 the small intestine phase for most of the samples (**Figure 2**), which can be attributed to digestion
 323 of the oil droplets and the formation of new types of colloidal structures³⁸. However, the
 324 increase in mean particle size in the small intestine phase observed in this study was
 325 considerably smaller than that observed in some other *in vitro* studies on related systems^{25,26}.
 326 For example, more than 1.0 μm value was found in particle size of previous systems with

327 medium- or long-chain triacylglycerols ²⁶. This effect can mainly be attributed to differences in
328 the *in vitro* digestion models used. These other studies used a simulated GIT that had relatively
329 high calcium levels in the small intestine phase, whereas the INFOGEST model used in the
330 current study contains much lower calcium levels ³⁹. Calcium ions are known to promote
331 aggregation of long-chain ionized free fatty acids, thereby forming insoluble calcium soaps that
332 contribute to the light scattering signal ³⁸.

333 After lipid digestion, all the digested solution (pH = 7) contained colloidal particles with
334 high negative surface potentials (~ -20 mV), which is due to the presence of anionic species at
335 their surfaces, such as free fatty acids, bile acids, and saponins. Polyphenol type did not
336 significantly affect the measured mean particle diameters or surface potentials, but carrier oil
337 type did. In particular, the average particle diameters in the digested coconut oil nanoemulsions
338 were higher than those in the sunflower or flaxseed oil nanoemulsions, while their surface
339 potentials were less negative. These results suggest that the medium chain FFAs and MAGs
340 released after digestion of the coconut oil impacted the nature of the colloidal particles formed in
341 the mixed micelle phase.

342 3.1.4 Mixed micelle phase

343 There were appreciable differences in the properties of the mixed micelle phase collected
344 from the different nanoemulsions (**Table 2**). Visually, the mixed micelle phases appeared
345 optically transparent for coconut oil but cloudy for sunflower and flaxseed oil, which suggested
346 they contained different kinds of colloidal particles. Moreover, three peaks were observed in the
347 particle size distributions of the digested coconut oil samples, but only one broad peak was
348 observed in the digested sunflower and flaxseed oil samples (**Figure 4**). The three peaks in the
349 coconut oil samples probably represent micelles (4-10 nm), liposomes (20-90 nm), and calcium
350 soaps (300-800 nm), respectively. In contrast, the single broad peaks observed in the other two
351 samples probably represented a mixture of liposomes, non-digested fat droplets, and calcium
352 soaps. Despite being optically clear, the mean particle diameters of the coconut oil mixed
353 micelles were appreciably greater than those of the sunflower and flaxseed oil ones (**Table 2**),
354 which suggests that they contain a few large particles (probably calcium soaps) that dominated
355 the light scattering signal. Indeed, a sediment layer was observed at the bottom of the coconut
356 oil samples (**Figure 4**), which may have been formed because the saturated fatty acids had a
357 greater tendency to precipitate in the presence of calcium ions.

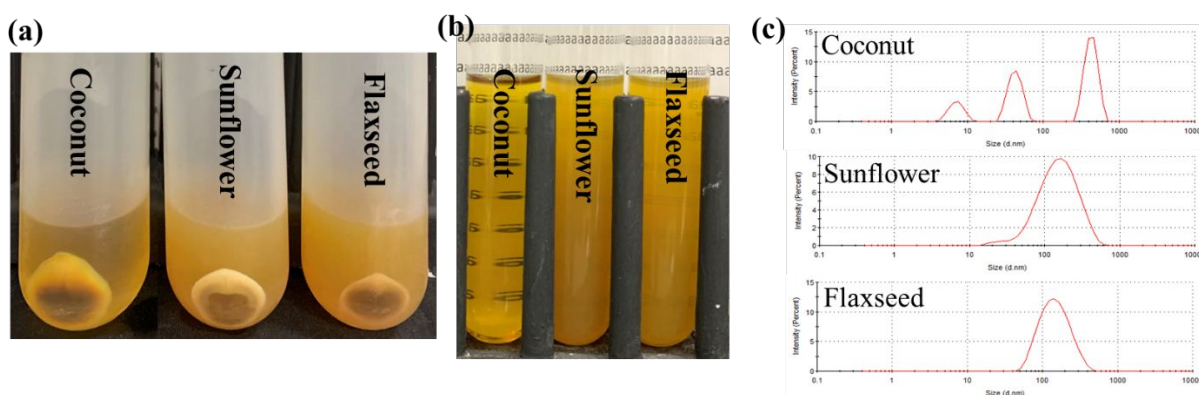
358 The impact of oil type on the appearance and structure of the mixed micelle samples was
 359 largely independent of LPC type, which suggested that the polyphenols did not strongly
 360 influence the nature of the colloidal particles formed after digestion. The only exception was for
 361 the coconut oil, where the presence of the polyphenols appeared to decrease the size of the
 362 particles present in the mixed micelle phase (**Table 2**). This may have been because they
 363 interfered with the formation of calcium salts from medium chain FFAs.

364

365 **Table 2.** Average particle sizes and surface potentials of the colloidal particles in the mixed
 366 micelle phase collected after digestion of nanoemulsions formulated using different carrier oil
 367 and polyphenol types.

Samples	Coconut			Sunflower			Flaxseed		
	Z-average (nm)	PDI	ζ-potential (mV)	Z-average (nm)	PDI	ζ-potential (mV)	Z-average (nm)	PDI	ζ-potential (mV)
Control	649.1 ± 19.9	0.81 ± 0.02	-14.7 ± 1.2	142.2 ± 9.9	0.25 ± 0	-29.0 ± 1.9	132.9 ± 5.6	0.24 ± 0	-27.5 ± 2.6
Curcumin	287.3 ± 13.0	0.65 ± 0.2	-15.6 ± 1.8	129.1 ± 1.8	0.26 ± 0.02	-28.1 ± 0.9	129.4 ± 3.5	0.24 ± 0.01	-30.4 ± 1.9
Resveratrol	224.9 ± 39.0	0.49 ± 0.08	-12.5 ± 1.3	124.1 ± 19.7	0.26 ± 0	-30.1 ± 1.2	122.3 ± 6.5	0.25 ± 0.01	-23.4 ± 1.3
Quercetin	161.6 ± 22.5	0.48 ± 0.08	-14.7 ± 0.9	126.0 ± 20.9	0.29 ± 0.07	-29.9 ± 1.2	132.3 ± 6.5	0.24 ± 0	-26.6 ± 0.9

368



369 **Figure 4.** Photographs of quercetin-loaded samples: (a) appearance after centrifugation and (b)
 370 appearance of mixed micelle phase. The other LPCs exhibited similar appearances. (c) The
 371 particle size distributions of mixed micelles formed from different oil phases. In this case, the
 372 curcumin-loaded samples are shown as examples. Again, the other LPCs exhibited similar
 373 behaviors.

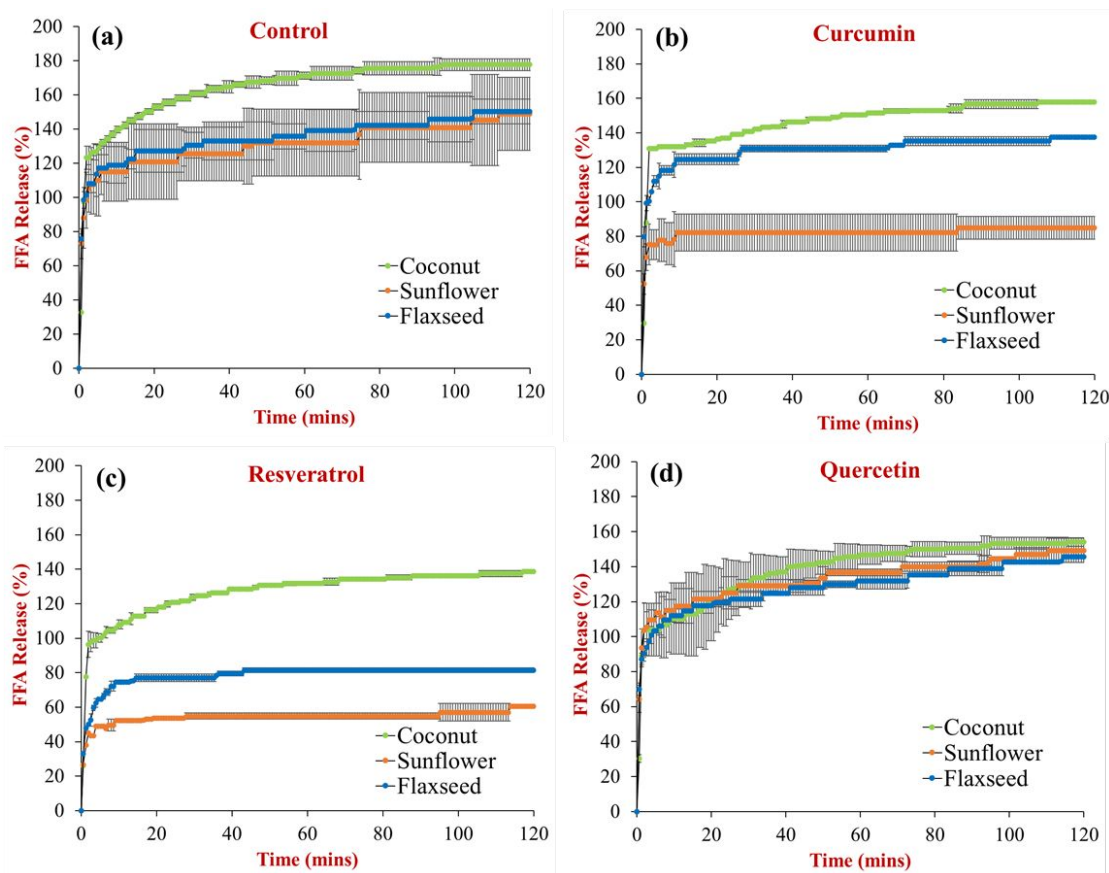
375 3.2. Lipid digestion

376 **Impact of carrier oil type:** It has been reported that the length of the fatty acid chains
377 within TAGs can significantly affect the overall kinetics and degree of digestion. In particular,
378 TAGs mainly comprised of medium-chain fatty acids (*e.g.*, coconut oil or MCT) are digested
379 more rapidly and extensively than those comprised of long-chain fatty acids (*e.g.*, corn and fish
380 oils)^{25,40}. A similar trend was also observed for the lipase hydrolysis of the LPC-loaded
381 nanoemulsions used in the current study. The corrected percentage of FFAs released from the
382 control and LPC-loaded nanoemulsions during the small intestine phase are shown in **Figure 5**.
383 These values were corrected by calculating a correction factor from the total amount of FFAs
384 released by the end of the digestion process in the absence and presence of a back-titration to pH
385 9 (**Figure 6**).

386 *3.2.1. Impact of oil type*

387 Initially, we focus on the impact of oil type on the digestion properties of the control
388 nanoemulsions that contained no LPCs (**Figures 5a and 6a**). Rapid hydrolysis of the
389 nanoemulsions occurred during the first 5 to 10 minutes followed by a slower hydrolysis for the
390 remainder of the digestion period. This was to be expected because the oil droplets in the
391 nanoemulsions were relatively small and so have large specific surface areas²². As a result, the
392 lipase molecules rapidly adsorb to the oil-water interfaces and break the ester bonds holding the
393 fatty acids to the glycerol backbone. Typically, the ester bonds in the sn-1 and sn-3 positions of
394 TAGs are rapidly hydrolyzed by pancreatic lipase, leading to two FFAs and one MAG³⁸. In our
395 study, much more than 100% FFAs were released from the control nanoemulsions formulated
396 using the different plant-based oils, with the coconut oil giving a higher amount (177%) than the
397 sunflower or flaxseed oils (around 150%) by the end of the small intestine phase. As mentioned
398 earlier, it was assumed that only two FFAs were released per TAG in the calculations of the
399 digestion profiles. However, some studies have shown that the ester bond holding the fatty acid
400 molecule to the sn-2 position of MAGs can also be slowly cleaved during digestion, and that
401 isomerization reactions can occur that cause fatty acids to move from the sn-2 position to other
402 positions, thereby making them more available for hydrolysis^{41,42}. Consequently, there may be
403 more than two FFAs released per TAG molecule. Indeed, our results suggest that close to three
404 of the FFAs were released by the end of digestion. This process may not be observed for the
405 digestion of bulk oils or coarse emulsions because the hydrolysis rate is much slower so that the
406 MAGs have less time in the small intestine phase.

407 In the absence of the back-titration step, the total extent of FFAs released from the control
 408 nanoemulsions formulated from long-chain TAGs (sunflower and flaxseed oils) was much less
 409 than that from the medium-chain ones (coconut oil) (**Figure 6a**). This effect can be attributed to
 410 the fact that the pK_a values of long-chain FFAs are higher than those of medium-chain ones, so
 411 only a fraction of them are ionized under neutral conditions³⁸. This result highlights the
 412 importance of carrying out a back-titration step when using the INFOGEST *in vitro* digestion
 413 method to determine the amount of FFAs released.



414
 415 **Figure 5.** Release of free fatty acids (FFAs) in the control and resveratrol-loaded nanoemulsions
 416 under a simulated small intestine condition, the plotted FFA(t) is a scaled FFA(t) curve at pH 7
 417 after multiplying by a ratio of final FFA amount at pH 9 to that at pH 7.

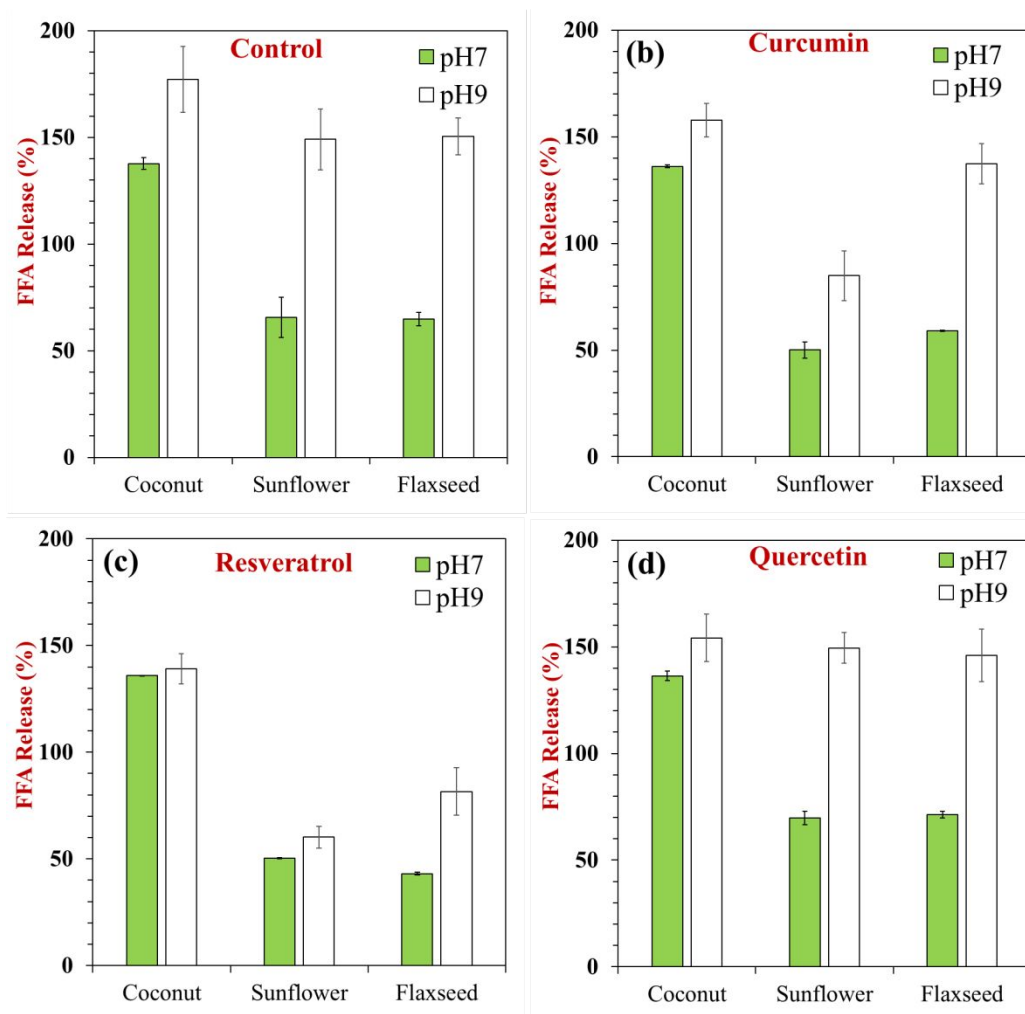
418 3.2.2. Impact of polyphenol type on lipid digestion

419 Some researchers have reported that certain types of LPCs inhibit lipid digestion, which has
 420 been attributed to non-covalent interactions between the polyphenols and digestive enzymes that
 421 reduced their activity²⁸. For this reason, we examined the impact of LPC type on the lipid
 422 digestion profiles of the different oils in the nanoemulsions (**Figure 5**). In general, the FFA

423 release profiles followed a similar trend as the controls: a rapid rate of lipid hydrolysis during the
424 first few minutes, then a slower rate later. However, there was clear evidence of inhibition of
425 lipid digestion in several of the nanoemulsions, with the final amount of FFAs generated being
426 considerably lower than the controls (**Figure 6**). The extent of this effect depended on both oil
427 and LPC type.

428 For the coconut oil nanoemulsions, there was only a slight reduction in the total amount of
429 FFAs released by the end of lipid digestion, decreasing from 177% for the control to 159, 139,
430 and 154% for the curcumin-, resveratrol-, and quercetin-loaded nanoemulsions, respectively.

431 This result suggests that the LPCs only had a small impact on the activity of the lipase when
432 coconut oil was used as the lipid phase. In contrast, they had a pronounced effect on the total
433 amount of FFAs released from the sunflower and flaxseed nanoemulsions, depending on LPC
434 type: resveratrol caused an appreciable decrease in both nanoemulsions; curcumin only caused
435 an appreciable decrease in the sunflower nanoemulsions; and quercetin did not greatly inhibit
436 digestion in either nanoemulsion (**Figure 6**). A previous study examining the effects of various
437 phenolic compounds on *in vitro* lipid digestion reported that the inhibition of lipolysis followed
438 the following trend: resveratrol > quercetin > curcumin⁴³. Thus, these authors also found that
439 resveratrol was the most potent lipase inhibitor, but they found that quercetin was more potential
440 than curcumin, which was opposite to our results. It should be noted that these researchers used
441 triolein as a model lipid compound, which consists of long-chain monounsaturated fatty acids.
442 The triolein was therefore most similar to the sunflower oil used in our study, where we still
443 found that quercetin had the least inhibitory effect. These differences may be a result of the
444 different kinds of delivery systems and *in vitro* digestion models used. In our study, the lipid
445 phase was converted into a nanoemulsion that was passed through mouth, stomach, and small
446 intestine phases. However, in the other study, the triolein was not emulsified and only a small
447 intestine phase was utilized.



448
 449 **Figure 6:** The total fraction of free fatty acids released under simulated small intestine conditions
 450 assuming two FFAs released per TAG molecule. Measurements were made at pH 7 after
 451 digestion and at pH 9 following a back titration.

452 3.3. Encapsulation efficiency and gastrointestinal tract stability

453 The three LPCs used in our study have previously been reported to be susceptible to
 454 chemical degradation under conditions they may experience during storage or during passage
 455 through the GIT³⁵. For this reason, we measured the impact of carrier oil and polyphenol type
 456 on the GIT stability of the LPCs after they had been exposed to the full simulated digestion
 457 model.

458 Initially, we measured the encapsulation efficiency of the three polyphenols after they were
 459 loaded into the nanoemulsions using the pH driven method. In all cases, the encapsulation
 460 efficiency was relatively high (70 - 90 %) but it did depend on oil type. For all LPCs, a higher

461 percentage of the polyphenols was successfully encapsulated for the long-chain TAGs (flaxseed
462 and sunflower oils) than the medium-chain ones (coconut oil). This effect suggests that the LPCs
463 were more readily solubilized inside the oil droplets when the oil phase contained larger TAG
464 molecules.

465 After passing through the *in vitro* digestion model, the GIT stability of the polyphenols in
466 the LPC-loaded nanoemulsions was measured and compared to that of LPC crystals dispersed in
467 water (**Figure 7**). The GIT stability of the curcumin and resveratrol was relatively high (85-
468 100%) in all nanoemulsions and crystals. In contrast, the GIT stability of the quercetin was
469 relatively low in the nanoemulsions (35 to 43%) and in the crystals (73%). These results show
470 that the quercetin is much more susceptible to chemical degradation under GIT conditions than
471 the other two polyphenols used. Oil type did not have a major impact on GIT stability, with the
472 exception of curcumin, which appeared to be more stable in sunflower oil than in coconut oil or
473 flaxseed oil.

474 The differences in the GIT stabilities of the LPCs was attributed to differences in their oil-
475 water partition coefficients and chemical reactivities (**Figure 1**). Curcumin (LogP = 4.1) and
476 resveratrol (LogP = 3.4) are more lipophilic than quercetin (logP = 2.2). Consequently, there
477 may have been a lower fraction of quercetin in the oil phase than for the other two polyphenols
478 ³⁵. Previous studies have shown that polyphenols tend to be more stable when located in an oil
479 phase than in an aqueous phase ⁴⁴.

480 **3.4. Bioaccessibility**

481 The bioaccessibility of LPCs provides a useful indication of the fraction that is available in a
482 form suitable for absorption by the epithelium cells. Experimentally, it is defined as the ratio of
483 LPCs solubilized within the mixed micelle phase relative to the total amount in the digesta. In
484 general, the bioaccessibility of lipophilic bioactive agents is known to depend on the type and
485 concentration of carrier oil used, as well as the molecular structure of the bioactives themselves
486 ²². For this reason, we examined the impact of carrier oil and bioactive type of LPC
487 bioaccessibility.

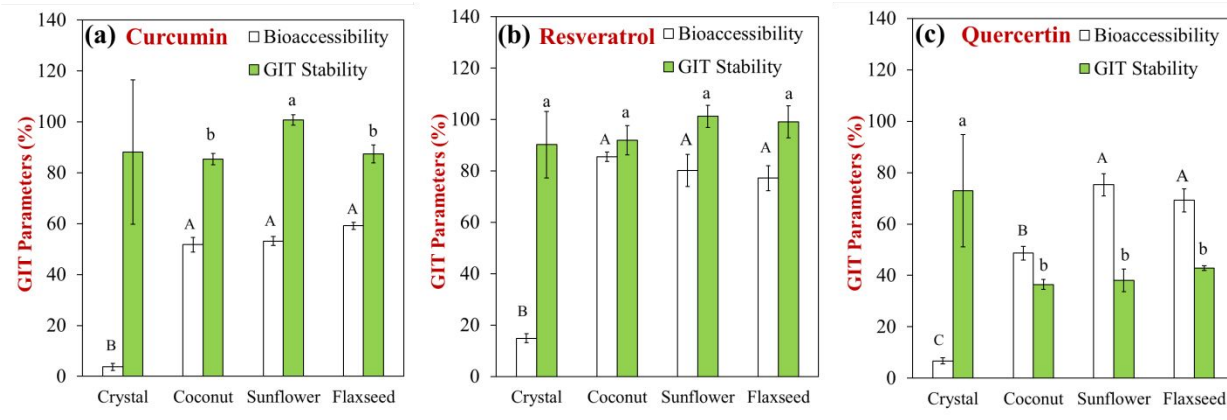
488 *3.4.1. Impact of carrier oil type*

489 The mean diameters of the colloidal particles remaining in the mixed micelle phase after
490 they were separated by centrifugation were less than 300 nm (**Table 2**), which means that they
491 would be small enough to move pass through the mucus layer covering the epithelium cells. The

492 bioaccessibility of the polyphenols did not depend strongly on carrier oil type (**Figure 7**), with
493 the exception of quercetin, which was less bioaccessible for coconut oil than for the other two
494 oils. A lower bioaccessibility in mixed micelles comprised of medium chain fatty acids has
495 previously been reported for strongly hydrophobic bioactive substances encapsulated within
496 nanoemulsions, such as carotenoids and oil-soluble vitamins ²⁴⁻²⁶. However, the quercetin (302
497 g/mol) had a molecular weight that was between those of resveratrol (228 g/mol) and curcumin
498 (368 g/mol). It is therefore possible that the molecular geometry and distribution of the polar
499 and non-polar groups on the quercetin molecule make it more difficult for it to easily fit within
500 the hydrophobic domains in smaller mixed micelles. Nevertheless, further research is required to
501 elucidate the relationship between the molecular structure of polyphenols and their incorporation
502 into mixed micelles comprised of different fatty acids. In general, both the length and
503 unsaturation of the fatty acids would be expected to impact lipid digestion and polyphenol
504 bioaccessibility, and so these factors should be the subject of future studies.

505 *3.4.2. Impact of polyphenol type*

506 The bioaccessibility of the LPCs clearly depended on the molecular structure of the
507 polyphenols. For resveratrol, curcumin and quercetin, the measured bioaccessibility values were
508 85.5, 51.8 and 48.7% for coconut oil, 80.2, 53.2, and 75.2% for sunflower oil, and 77.2, 59.1 and
509 69.2% for flaxseed oil, respectively. These results suggest that the resveratrol had the highest
510 bioaccessibility in all of the digested nanoemulsions. In the nanoemulsions formulated with
511 long-chain fatty acids, the bioaccessibility of the LPCs decreased in the following order:
512 resveratrol > quercetin > curcumin. But in the nanoemulsions formulated with medium-chain
513 fatty acids it decreased in the following order: resveratrol > quercetin » curcumin. A relatively
514 low bioaccessibility of curcumin has also been reported in other kinds of colloidal systems
515 containing lipid droplets, such as soymilk (59%) and bovine milk (41%) ^{45, 46}. The molecular
516 origin of the different bioaccessibilities of the different LPCs is still not clearly understood. As
517 discussed earlier, the molar masses of the three LPCs decrease in the following order:
518 curcumin > quercetin > resveratrol, whereas their hydrophobicities (logP) decrease in the
519 following order: curcumin > resveratrol > quercetin. This suggests that some other factors must
520 also influence the concentrations of LPCs incorporated into the mixed micelles, such as binding
521 to proteins or incorporation into calcium soaps. Again, it will be important in the future to
522 identify the molecular origin of these effects.



523
 524 **Figure 7.** The gastrointestinal stability and bioaccessibility of the polyphenols in LPC-loaded
 525 nanoemulsions formulated using different carrier oil types. The upper-case letters (A, B, C) and
 526 lower-cased letters (a, b, c) represent significant differences among the bioaccessibility and GIT
 527 stability values of the samples, respectively.

528 3.5. Relationship between digestion inhibition, stability, and bioaccessibility

529 As discussed in Section 3.2.2., resveratrol was the most effective polyphenol at inhibiting
 530 lipid digestion in the nanoemulsions containing long-chain fatty acids (**Figure 5**). However, it
 531 also had the highest bioaccessibility and GIT stability (**Figure 7**). One possibility is that the
 532 resveratrol was located near the surfaces of the lipid droplets during the digestion process, which
 533 inhibited the lipase molecules from interacting with the TAGs inside the droplets. Nevertheless,
 534 there was still sufficient digestion for most of the resveratrol to be released from the lipid
 535 droplets and solubilized within the mixed micelles formed. All the polyphenols have multiple
 536 polar hydroxyl groups, as well as multiple non-polar groups, which means that it may be possible
 537 for them to be located at the lipid droplet surfaces. The quercetin did not appear to strongly
 538 inhibit lipid digestion (**Figure 5**) but it had the lowest GIT stability and a moderate
 539 bioaccessibility. These results may be due to the more polar nature of this polyphenol, which
 540 reduced its binding to the lipase and increased its solubility in the aqueous phase, thereby leading
 541 to more chemical degradation. Overall, these results suggest that there are many different
 542 physicochemical phenomena occurring within the GIT that can influence the LPC bioavailability
 543 and lipid digestion and more detailed studies are still required.

544 4. Conclusion

545 This study systematically examined the gastrointestinal fate of LPC-loaded nanoemulsions
546 formulated from carrier oils containing fatty acids with different chain lengths and degrees of
547 unsaturation. In contrast to previous studies, we found that the bioaccessibility of LPCs
548 encapsulated in nanoemulsions formulated using medium-chain fatty acids (coconut oil) is not
549 always lower than in those formulated using long-chain fatty acids (sunflower and flaxseed oil).
550 In particular, there was only a modest decrease for quercetin and no difference for resveratrol
551 and curcumin. We also found that resveratrol and curcumin could substantially inhibit lipid
552 digestion in some of the nanoemulsions, which may be because they can interact with lipase and
553 decrease its activity. The bioaccessibility of the three LPCs was different but there was no strong
554 correlation to their molar masses or hydrophobicities. Clearly, further research is required to
555 establish the relationship between the molecular structure of polyphenols and their
556 bioaccessibility, GIT stability, and inhibitory effects on lipid digestion. The information gained
557 from this study will facilitate the development of more effective delivery systems for
558 polyphenols, especially those intended for applications in plant-based foods. In future studies, it
559 would be advantageous to establish whether similar results were found when LPC-loaded
560 nanoemulsions were exposed to real GIT conditions using animal and human studies. In
561 particular, there are a number of metabolic enzymes in real GITs that may promote the
562 metabolism of the polyphenols, which were not accounted for in this study.

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