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Evaluation procedure of photocatalysts for VOCs degradation from the view of density functional theory calculations: $g-C_3N_4$ dots/graphene as an example

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Various techniques have been utilized in experiments to evaluate the performance of photocatalysts and understand the corresponding catalytic mechanism. However, it is still challenging to demonstrate the phenomenon in detailed during the catalytic process in experiments to fundamentally understand the catalytic mechanism. Density function theory (DFT) is an excellent technique to solve this problem in the level of electrons and atoms. However, the evaluation procedure of photocatalysts based on DFT calculations was unclear. Thus, in this work, we propose the evaluation procedure of photocatalysts for volatile organic compounds (VOCs) degradation from the view of DFT calculations through following aspects: (i) band structure of photocatalysts, including the band gap, the capability of photo adsorption and the positions of conduction band (CB) and valence band (VB); (ii) adsorption of H₂O, O₂ or other oxidants; (iii) adsorption of pollutants; (iv) reaction pathway for pollutant degradation. To demonstrate the application of the proposed evaluation procedure, g-C₃N₄ dots/graphene is taken as an example to evaluate the photocatalytic performance. Results show that the g-C₃N₄ dots/graphene has an enhanced visible light absorption with significantly reduced height for photoelectron excitation and the photoelectron immigrates between the heterostructure, from the valence band of g-C₃N₄ dots to graphene layer, and then to the conduction band of g-C₃N₄ dots, which promotes light adsorption and induces the generation of electron-hole pairs with low recombination rate. In addition, both the adsorbed H₂O and O₂ have strong interaction with g-C₃N₄ dots/graphene, inducing the activation to form 'OH and 'O2' radicals to attack and degrade the adsorbed VOCs. Therefore, procedure to evaluate performance of photocatalyst for VOCs degradation based on DFT calculations is proposed in this work, and the evaluation procedure is successfully applied to predict the high photocatalytic performance of g-C₃N₄ dots/graphene, where unique photoelectron excitation pathway is also demonstrated between the heterostructure.

1. Introduction

Volatile organic compounds (VOCs) are one type of main air pollutants, ¹⁻⁴ and have adverse effect on atmosphere environment and health of human and animals. ⁵ For example, VOCs are the precursors for some environmental hazards, such as photochemical fumes, haze, pinkeye^{6, 7} and so on. Therefore, the release of VOCs should be strictly controlled. ² Photocatalytic degradation of VOCs is one of promising techniques for controlling VOCs emission. ⁸ Importantly, photocatalyst is critical for this technique. ⁹

To develop high performance photocatalysts and understand the corresponding catalytic mechanism, various techniques have been utilized in experiment. 10-13 For example, X-ray photoelectron spectroscope (XPS) can be used to detect the elemental composition of photocatalysts, Brunauer-Emmett-Teller (BET) can evaluate the specific surface area and pore size distribution of photocatalysts, Electron paramagnetic resonance (EPR) is applied to probe the reactive radicals generated, and photoluminescence (PL) is carried out to reveal the migration, transfer and recombination processes of electronhole pairs. Although above experimental techniques can test the photocatalytic performance directly, and the catalysis mechanism can be also interpreted correspondingly, it is challenging to demonstrate the process in the electronic and atomic level, and fundamentally understand the photocatalytic mechanism. However, the corresponding information can be directly provided by density functional theory (DFT) calculations.14 The self-consistent calculation determinates the active photocatalytic site and atomic structure of gas molecule on the active site;15 band structure and Highest Occupied Molecular Orbital - Lowest Unoccupied Molecular Orbital (HOMO-LUMO) can be used to estimate electron-hole pairs generation and recombination pathway;16 transition state search (TS) conformation is an excellent method to demonstrate the reaction pathway.17

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However, the evaluation procedure of the performance of photocatalytic pollutant degradation based on DFT calculations was not yet systematically studied. It is known that the main photocatalytic activity is originally from the photo excited electrons and holes, which can react with H₂O and O₂ molecules or other oxidants to generate reactive oxidation species (ROSs), such as ·OH and ·O₂-. The generated ROSs attack organic bonds in organic pollutants, which are finally mineralized into CO2 and H₂O.^{11, 18} Therefore, the effective generation of electrons–hole pairs and their utilization are the key factors. In addition, electrons in semiconductors can be exited from valence band (VB) to conduction band (CB) when the energy of light irradiation is higher than the band gap of semiconductor,19, 20 generating free electrons in CB while leaving holes in VB. However, the generated electrons and holes may be quickly recombined if they cannot be separated or utilized promptly.¹³ Furthermore, the positions of VB and CB determine the oxidative and reductive capability of photocatalysts, i.e. the capability of utilization of the generated electrons and holes to produce ROSs. Therefore, the band structure semiconductors, including the band gap and the positions of CB and VB, is another key factor. On the other hand, the adsorption of original molecules for ROSs generation, for example ·OH from H_2O and $\cdot O_2^-$ from O_2 , is also a very important factor for the utilization of excited electrons and holes.¹¹ Meanwhile, pollutants should be also properly adsorbed on the photocatalyst, and the generated ROSs should be utilized promptly due to their short life time to attack organic bonds in organic pollutants, i.e., the adsorption of pollutants on photocatalyst is also important to facilitate the interaction of ROSs and pollutants. Therefore, the evaluation procedure of photocatalyst's performance in DFT calculations are proposed in this work from the four aspects: (i) band structure of photocatalysts, including the band gap, the capability of photo adsorption and the positions of CB and VB; (ii) adsorption of H₂O, O₂ or other oxidants for the generation of ROSs; (iii) adsorption of pollutants; (iv) reaction pathway for pollutant degradation.

Graphitic carbon nitride (g- C_3N_4), a two dimensional (2D) nonmetal semiconductor, has a lot of advantages as a photocatalyst, such as unique electronic properties, ²¹⁻²⁴ high thermal conductivity, ¹⁰ porosity and large surface area, ^{25, 26} low cost ²⁷ and easy to synthesis. ¹¹ In addition, it is a green catalyst without any potential secondary contamination. 10, 11 However, the photocatalytic efficiency of pristine g-C₃N₄ is still not satisfactory due to the relatively large bandgap 3.02 eV and the high recombination rate of the photogenerated electron-hole pairs, ^{28, 29} limiting its visible-light absorption and utilization. ^{30,12} On the other hand, the energy level of valence band maximum (VBM) of $g-C_3N_4$ is at 1.4 V (vs. NHE at pH = 7), resulting in a weak oxidation ability (oxidative potential) to generate ·OH radicals.31, 32 In 2014, Xie et al. studied the performance of g-C₃N₄ dots and found the UV-vis spectrum of g-C₃N₄ dots show an absorption band at 350 nm (band gap is 4.170 eV).33 Wang et al. indicated that a wider band gap keeps a significant difference in the photophysical properties and photoexcited charge carriers for g-C₃N₄ dots to have excellent redox capability

to generate both \cdot OH and \cdot O₂⁻ radicals,³⁴ but the increase of band gap may inhibit the generation capacity of the electronhole pairs.³⁰

Creating heterostructure by using different semiconductors is an important way to improve the performance of photocatalysts. Tan et al. prepared polymer composites of carbon nitride and poly (3-hexylthiophene) with great photoabsorption performance, which inhibits the recombination of electron-hole pairs and improves photocatalytic activity. Sun et al. synthesized the layered graphene/g-C₃N₄ composites with improved conductivity and electrocatalytic performance. WO₃/g-C₃N₄ and TiO₂/g-C₃N₄ were also studied for degradation of acetaldehyde and formaldehyde in VOCs. Phetero-structure should be also a promising way to reduce the band gap of g-C₃N₄ dots and inhibit the recombination of electron-hole pairs, thus achieving excellent photocatalytic performance.

Considering the excellent potential of g- C_3N_4 dots on photocatalytic application and high electrical conductivity of graphene, which benefits the separation of electron-hole pairs, g- C_3N_4 dots/graphene photocatalytic system is proposed and taken as an example to demonstrate the application of the newly proposed evaluation procedure to predict the performance of photocatalysts based on DFT calculations. The band structure, optical properties, orbitals are calculated to understand the generation and recombination of electron-hole pairs. The calculations on work function, oxidation and reduction potential and adsorption to H_2O and O_2 are done to predict the generation efficacy of $\cdot OH$ and $\cdot O_2^{-1}$ radicals. In addition, the absorption performance of VOCs is also calculated to understand the possibility of degradation.

2. Calculation methods

All the calculations were performed based on DFT in this work, 41 and the band structure and photoadsorption spectrum calculations were implemented by CASTEP modulus, while DMOL3 modulus was adopted for the gas molecule absorption calculations. $^{23,\ 42}$ The exchange-correlation interactions were described by generalized gradient approximation (GGA) with the Perdew-Burke-Ernzerhof (PBE) functional. $^{43,\ 44}$ Spin-polarization was included in all calculations and a damped van der Waals correction was incorporated using Grimme's scheme to describe the non-bonding interactions. 45 0.005 Ha smearing was used in the calculations. The Brillouin zone was sampled with the Monkhorst-Pack mesh with K-points of $6\times6\times1$ grid in reciprocal space during electronic structure calculations. The HSE06 function was employed for band structure calculations, which is more accurate. 16

The graphene single atomic layer used in our simulation is $5\times5\times1$ supercell with a vacuum width of 23 Å along normal to layer direction, which ensures that the interaction between the layers in different supercell is negligible.^{23, 30, 46} All atoms are allowed to relax in all energy calculations. The adsorption energy E_{ads} of VOCs molecules on adsorbent is defined as²¹

$$E_{ads} = E_{VOCs + adsorbent} - (E_{adsorbent} + E_{VOCs})$$
 (1)

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where the subscripts VOCs+adsorbent, adsorbent, and VOCs denote the adsorbent with VOCs adsorbed, clean adsorbent, and the isolated VOCs molecules, respectively.

3. Results and discussion

g-C₃N₄ has two typical structures ⁴⁷ as shown in Fig. S1. The g- C_3N_4 dots (s-triazine and tri-s-triazine g- C_3N_4 dots in Fig. S2) were built by using the minimum repeat unit of each structure, while the graphene layer with 5×5×1 supercell is used to avoid the interaction between g-C₃N₄ dots in different supercells. Thus, considering the different stacking types, four adsorption models of g-C₃N₄ dots on graphene (g-C₃N₄ dots/graphene) were built as shown in Fig. 1. The trizine-ring of two typical g-C₃N₄ dots overlapped with graphene in Fig. 1a and 1c, while it is malposed in Fig. 1b and 1d. After the structure relaxation, it is found that the interaction between g-C₃N₄ dot and graphene is van der Waals force and the structure in Fig. 1d has the strongest interface interaction energy as listed in Table S1. In addition, the configuration of $g\text{-}C_3N_4$ dots in graphene with a $10\times10\times1$ supercell in Fig. S3 is considered to verify the size effect between g-C₃N₄ dots and graphene. Results from Fig. S4 and Text S1 show that the adsorption energies, bandgaps and optical adsorption spectrums of g-C₃N₄ dots in 5×5×1 and 10×10×1 graphene are consistent, indicating the little influence of the supercell size. Therefore, the configuration that tri-striazine g-C₃N₄ dots adsorption on graphene in Fig. 1d is adopted for the subsequent investigation on the photocatalytic performance for the potential application of VOCs photocatalytic degradation. The pristine tri-s-triazine g-C₃N₄ dots and tri-s-triazine g-C₃N₄ dots in g-C₃N₄ dots/graphene were respectively defined as p-CND and a-CND as show in Fig. S5(a) and S5(b).

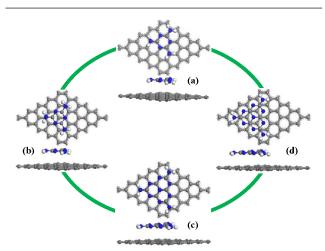


Fig. 1 The different optimized stacking configurations of $g-C_3N_4$ dots/graphene. Atom color code in this and following figures: carbon (grey), nitrogen (blue), hydrogen (white).

As introduced in the introduction section, photocatalytic performance of $g\text{-}C_3N_4$ dots/graphene system can be investigated from two aspects: band structure of $g\text{-}C_3N_4$ dots/graphene system for the evaluation of photo generation

and recombination of electron-hole pairs, adsorption of H_2O/O_2 and VOCs on $g-C_3N_4$ dots/graphene system for the generation of ROSs and the subsequent utilization for VOCs degradation, which are investigated below in details.

3.1 Efficiency of electron-hole pairs generation and recombination

After the atomic configuration of g-C₃N₄ dots/graphene system was determined, the electronic structure of this system is calculated to understand the photo response properties. The band structures and density of states (DOS) of related materials are shown in Fig. 2. We can see that the band gaps of p-CND, g-C₃N₄ and graphene are respectively 4.454, 3.000 and 0 eV, which are consistent with the reported results of 4.170,³³ 3.020^{16} and 0^{45} eV. More interestingly, the band gap of the g-C₃N₄ dots/graphene composite is sharply reduced to 47 meV as shown in Fig. 2c, which indicates the promising conductivity of the g-C₃N₄ dots/graphene system.

To understand the effect of the interface interaction between a-CND and graphene, band structures of both g-C₃N₄ dots/graphene composite (gray dashed line) and pristine graphene (black line) are shown in Fig. 2d. It shows that the curves near the Fermi level are almost overlapped, which confirms the significant improvement of the composite conductivity due to the presence of graphene. In addition, the van der Waals interaction at the interface does not change the band structure of graphene much, which is indicated by the fact that the band curves of graphene in the composite almost overlap with those of pristine graphene, but the interface interaction opens a band gap of 47 meV for graphene in the composite. Besides, the VB and CB of g-C₃N₄ dots/graphene are mainly contributed by a-CND and graphene respectively, while the bands near the Fermi level are mainly contributed by graphene. Our results suggest that the photo excited electrons may transfer from the valence band of g-C₃N₄ dots directly into the band of graphene at Fermi level, following by migrating to the conduction band of g-C₃N₄ dots.

In addition, we also calculated the density of states (DOS) and partial density of states (PDOS) of each system to better understand the photocatalytic mechanism and the role of each component for the photocatalysis. The detailed results are shown in Fig. 2e and 2f. For Fig. 2e, the band gap of p-CND is obviously larger than that of g-C $_3$ N $_4$, which is consistent with the band structure results. The a-CND band gap of 4.204 eV is slightly reduced relatively to p-CND, improving photocatalysis. Furthermore, near the Fermi level, both the a-CND and graphene contribute to the VB part, while only graphene locates on the CB part from Fig. 2f.

As described above, the photoadsorption performance of g- C_3N_4 is not ideal due to the limited visible light excitation ³⁰ and weak oxidation ability. ^{31, 32} Therefore, the optical properties for p-CND, g- C_3N_4 and g- C_3N_4 dots/graphene were calculated in this work and the results are shown in Fig. 3. Note that the optical absorption spectrum, dielectric functions, dispersion curve and reflectance spectrum in our work are calculated by hybrid functional methods (HSE06) ^{48, 49} to obtain the more accurate results. As shown in Fig. 3a and 3b, g- C_3N_4 dots/graphene has remarkable visible light response as well as improved ultraviolet

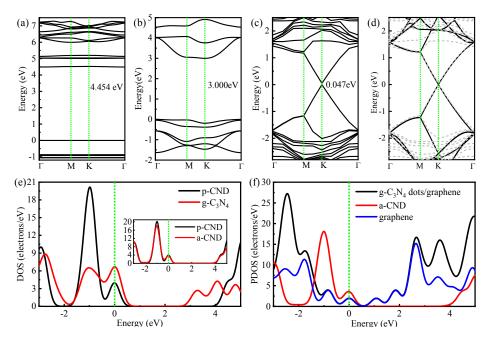


Fig. 2 The band structures of p-CND (a), g-C₃N₄ (b), g-C₃N₄ dots/graphene (c), graphene (d); the DOS and PDOS diagrams for several systems (e and f). The grad dashed line in (d) indicates the band structure of g-C₃N₄ dots/graphene.

(UV) response, whose energy is half of the sunlight energy. Meanwhile, the bulk g-C₃N₄ has weak visible light response and p-CND does not response under visible light response, but extending to the UV area due to the band gap increase when bulk $g\text{-}C_3N_4$ decreasing into dots. Therefore, the electron coupling at the g-C₃N₄ dots/graphene interface may induce a new optical transition and improve the visible light response property significantly, i.e. the g-C₃N₄ dots/graphene composite is expected to display obvious optical activity at the visible light range. In addition, p-CND and g-C₃N₄ can be excited at approximately 4.5 eV and 3 eV, which is also consistent with the results of corresponding band gaps of p-CND and g-C₃N₄. However, there is a noticeable adsorption peak at around 2 eV for the g-C₃N₄ dots/graphene system. This phenomenon shows that the graphene should be a bridge for the electron excitation from the VB of g-C₃N₄ dots to CB and the minimum energy of g-C₃N₄ dots/graphene photo-excitation can be reduced by nearly half, making the electron-hole pairs generation much easier. Therefore, the efficiency of electron excitation in the composite system can be greatly improved due to the reduced band gap and improved optical adsorption performance, especially under the visible light range. Noted that the energy of the adsorption peaks in UV range of g-C₃N₄, p-CND and g-C₃N₄ dots/graphene is in the order of $g-C_3N_4 < p-CND < g-C_3N_4$ dots/graphene as shown in Fig. 3b, which is consistent with the bandgap order g- $C_3N_4 < p$ -CND < a-CND in Fig. 2. In other words, electrons can be also immigrated from the VB directly to CB of a-CND under UV irradiation.

In addition, the dielectric function is an important indicator for photoadsorption property. In general, the maximum imaginary part of the dielectric function indicates the maximum

amount of photon adsorption.50 As shown in Fig. 3c, the imaginary part in dielectric function of g-C₃N₄ and p-CND within the visible wavelength is gradually reduced to 0, while g-C₃N₄ dots/graphene has strong peak in the visible light range, and even higher peak at infrared ray (IR) range. Therefore, the composite system has a better photo absorption effect than those of p-CND and g-C₃N₄, enhancing the utilization of visible light and IR and improving the yield of the photo generated electron-hole pairs. We also calculated the dispersion curve that is the relationship between refractive index and wavelength, shown as the black curve in Fig. 3d. The refractive index is the rate of the spread of light on vacuum conduction to that on other materials, and it is an important parameter for materials to apply as optoelectronic devices.⁵¹ Therefore, refractive index must be bigger than 1 because the spread of light on vacuum conduction is maximum. It can be found that refractive index of g-C₃N₄ dots/graphene reaches to its maximum in the infrared range, whereas the minimum has been achieved in UV range. In addition, the refractive index of g-C₃N₄ dots/graphene is more than 1 in entire infrared region and partial visible and UV region. Thus, in the visible region of 2.30 eV $^{3.11}$ eV (about 399 nm 540 nm), the g-C₃N₄ dots/graphene can refract light and shows a good photocatalytic performance. According to the red line of reflectance spectrum, g-C₃N₄ dots/graphene has a reflection peak in the infrared and UV regions, while a trough in the visible area. Those phenomenon in dispersion curve and reflectance spectrum are advantageous to the transfer of light in the material and beneficial to photocatalysis.

Another important factor limiting photocatalytic applications in $g-C_3N_4$ is the massive electron-hole recombination rate.¹²

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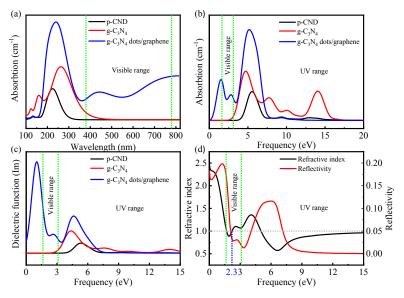


Fig. 3 Optical adsorption behaviors of p-CND, g-C₃N₄ and g-C₃N₄ dots/graphene with wavelength of nm (a) and frequency of eV (b); the imaginary parts of several dielectric functions (c); the dispersion curve (black) and reflectance spectrum (red) of g-C₃N₄ dots/graphene (d).

Thus, the orbital (HOMO-LUMO) of g-C₃N₄ dots/graphene was calculated by using DMOL3 modulus to explore the electron excitation pathway. HOMO is the electron distribution of the highest occupied molecular orbital, which corresponding to the curve of valence band maximum (VBM) in band structure as shown in Fig. 2, while LUMO is the electron distribution of the lowest unoccupied molecular orbital, corresponding to the curve of conductive band minimum (CBM). In other words, HOMO and LUMO are electron distribution states before and after the photo excitation. Fig. 4a is the HOMO and LUMO of g- C_3N_4 . It shows that the HOMO localizes all on the N atoms, while the LUMO mainly distributes on C atoms and partly on N atoms. However, no electrons would be excited from bridging N atoms under light irradiation, and the photogenerated electrons neither migrate to bridging N atoms nor transfer from one heptazine (C₆N₇) unit to the adjacent unit through bridging N atoms. This means that the excited electron-hole pairs are localized in each triazine unit, and indicating high electron-hole recombination possibility, which worsens the photocatalytic efficiency. This conclusion is also consistent with the reported DFT result.52

However, Fig. 4b clearly shows the HOMO in $g-C_3N_4$ dots/graphene locates on N atoms of the $g-C_3N_4$ dot, while the LUMO distributes on the graphene, which suggests that electrons are directly excited from the $g-C_3N_4$ dot to graphene for the photo excitation, separating electrons and holes. In addition, graphene has excellent conductivity to transfer the excited electrons away, further preventing the generated electron-hole recombination. Combining the analyses of band structures, optical property and HOMO-LUMO orbitals in Figs. 2-4, the electron photo excitation path in $g-C_3N_4$ dots/graphene is from the VB of $g-C_3N_4$ dots, to the band at the Fermi level of graphene, and then to the CB of $g-C_3N_4$ dots. The energy

required for the electron excitation in g- C_3N_4 dots/graphene system is half of that in g- C_3N_4 dots, which would facilitate the generation of electron-hole pairs dramatically. Meanwhile, g- C_3N_4 dots/graphene system has lower recombination rate because the excited electron and holes are respectively in graphene and g- C_3N_4 dots. In other words, g- C_3N_4 dots/graphene system can be easily photo excited and the electrons and holes can be utilized effectively for photocatalysis.

To better analyze the related influencing factors on $g-C_3N_4$ dots/graphene to photocatalytically degrade VOCs, elemental PDOS in different systems were also calculated. For $g-C_3N_4$ system (Fig. 5a), the VB is mainly dominated by N atoms, while the CB is contributed by both C and N atoms. This conclusion is consistent with the HOMO-LUMO results in Fig. 4a. In addition, the VB and CB of p-CND in Fig. 5b is similar with that of $g-C_3N_4$ except the effect of H atoms, which are not included in pristine $g-C_3N_4$. However, we can see from Fig. 5c that the C and N atoms play a significant role in VB of $g-C_3N_4$ dots/graphene, while C, N, H atoms are all of the same importance in CB part.

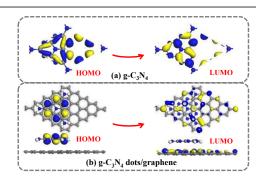


Fig.4 The HOMO-LUMO orbitals of g- C_3N_4 (a) and g- C_3N_4 dots/graphene (b).

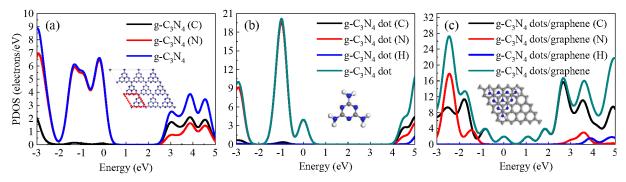


Fig. 5 The partial density of states (PDOS) of different elements for g-C₃N₄ (a), g-C₃N₄ dot (b) and g-C₃N₄ dots/graphene (c)

3.2 The adsorption of various VOCs

The g-C₃N₄ dots/graphene acts as a catalyst to play a significant effect only on its excellent performance to capture VOCs. Thus, the factor of VOCs adsorption is considered. There are different types of VOCs, such as alkanes, olefins, alcohols, ketones, aldehydes, aromatics, halogenated hydrocarbons, etc. Among them, the most common and toxic compounds are formaldehyde, benzene, toluene, propylene, phenol, acetone, and styrene. 1, 2, 41 Moreover, trichloroethylene (TCE) as an organochloride compound in gas phase is high toxicity and results in serious environmental and human health problems.⁵³, 54 Hence, the five typical VOCs gases, benzene, toluene, formaldehyde, styrene and TCE, were chosen as examples to study the universality of g-C₃N₄ dots/graphene in adsorption performance for VOCs gases. Then the adsorption of the five VOCs molecules on pristine g-C₃N₄ and graphene were also investigated, respectively. Through the DFT calculations on all the possible adsorption configurations and considering both horizontal and vertical directions, adsorption energy (Eads) of the most stable configuration of individual VOCs on different adsorbent is listed in Table 1. The corresponding configurations are shown in Table S2.

Table 1 Adsorption energy of VOCs on g-C $_3$ N $_4$, graphene and g-C $_3$ N $_4$ dots/graphene

	Benzene	Toluene	Formaldehyde	Styrene	TCE	
	(eV)	(eV)	(eV)	(eV)	(eV)	
CN	-0.568	-0.696	-0.531	-0.712	-0.610	
G	-0.655	-0.738	-0.317	-0.806	-0.745	
CNG	-0.920	-1.120	-0.872	-1.264	-1.090	

 $CN: g\text{-}C_3N_4; G: grapheme; CNG: g\text{-}C_3N_4 \ dots/graphene$

The adsorption energy values of benzene, toluene, formaldehyde, styrene and TCE on g-C $_3$ N $_4$ dots/graphene system are -0.920, -1.120, -0.872, -1.264 and -1.090 eV, respectively. Compared with that on g-C $_3$ N $_4$ and graphene, adsorption energy of the five VOCs molecules on g-C $_3$ N $_4$ dots/graphene is increased significantly. It is considered that the combination of graphene and g-C $_3$ N $_4$ has a significant effect on the enhanced adsorption of VOCs. In addition, this phenomenon also indicates g-C $_3$ N $_4$ dots/graphene as a photocatalyst can be widely applied in most VOCs. The good adsorption property of g-C $_3$ N $_4$

dots/graphene provides a promising precondition for the photocatalytic degradation of VOCs.

3.3 The formation of O2 and OH radicals

As described in the introduction section, the adsorption of H₂O and O2 molecules is also essential in photocatalysis for the generation of hydroxyl (·OH) and superoxide (·O₂-) radicals to degrade pollutants. Ji et al.55 reassessed the effect of OH to toluene; Yu et al.40 reported the relationship between •OH and formaldehyde; Chen et al.56 indicated OH determines photocatalytic degradation mechanisms of styrene; Gu et al.54 shown the importance of $\cdot OH$ and $\cdot O_2^-$ to TCE. In addition, the significant function of two free radicals had been exposed by other researchers. 39, 57 Therefore, the adsorption of H₂O and O₂ molecules on g-C₃N₄, graphene and g-C₃N₄ dots/graphene were calculated with considering all possible adsorption sites and orientations. After DFT calculations, the optimal configuration as well as the corresponding adsorption energy are summarized in Table S3 and Table 2. The adsorption energies of H₂O on g-C₃N₄, graphene and g-C₃N₄ dots/graphene are obtained as -0.692, -0.328, -0.849 eV, respectively (g-C₃N₄ dots/graphene > $g-C_3N_4$ > graphene), which shows that the adsorption energy of H₂O on the composite of g-C₃N₄ dots/graphene is significantly enhanced. Similarly, the O2 adsorption energy of 1.720 eV on g-C₃N₄ dots/graphene is also superior to others of g-C₃N₄ and graphene. These results provide a prerequisite for the generation of hydroxyl (\cdot OH) and superoxide (\cdot O₂ $^{-}$) radicals.

Table 2 The adsorption energy of g-C $_3$ N $_4$, graphene and g-C $_3$ N $_4$ dots/graphene to absorb H $_2$ O and O $_2$ molecules, respectively

	$g-C_3N_4$ (eV)	graphene (eV)	g-C ₃ N ₄ dots/graphene (eV)
H_2O	-0.692	-0.328	-0.849
O_2	-1.533	-1.630	-1.720

In addition, a suitable band edge positions to produce $\cdot O_2^{-1}$ and $\cdot OH$ radicals are crucially important for a photocatalyst for VOCs photodegradation. To determine the thermodynamic ability of these systems to generate the $\cdot O_2^{-1}$ and $\cdot OH$, the work function method^{52,58,59} calculated by HSE06 function on CASTEP model was used to determine the corresponding valence band

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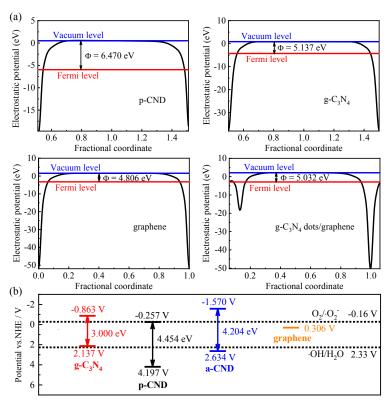


Fig. 6 Work functions for p-CND, g-C₃N₄, graphene and g-C₃N₄ dots/graphene (a); band edge position and oxidation reduction potential of several systems for O₂/·O₂⁻ and ·OH/H₂O potential (b).

maximum (VBM) and conduction band minimum (CBM) edge positions of g-C $_3$ N $_4$, p-CND and a-CND based on the following equations.

$$E_{VBM}(eV) = -\Phi + 0.5E_a \tag{2}$$

$$E_{CBM}(eV) = -\Phi - 0.5E_g \tag{3}$$

$$E'_{CBM/VBM}(V) = -E_{VBM/CBM}(pH = 7) - 4.5$$
 (4)

where Φ is work function, E_g is band gap, and $E'_{CBM/VBM}$ is potential versus NHE (pH = 7, 0 V vs. NHE $^{\sim}$ -4.5 eV). The work functions of p-CND, g-C₃N₄, graphene and g-C₃N₄ dots/graphene are 6.470, 5.137, 4.806 and 5.032 eV, respectively (Fig. 6a). Fig. 2 shows that the band gaps of g-C₃N₄, p-CND, graphene and a-CND are respectively 3.000, 4.454, 0 and 4.204 eV, while the reported chemical potential values for corresponding free radical generation are shown in the following:⁶⁰

$$E^{0}(O_{2}/\cdot O_{2}^{-}) = -0.16 \text{ V vs. NHE}$$

$$E^{0}(\cdot OH/H_{2}O) = 2.33 \text{ V vs. NHE}$$

According to the above formula, the CBM and VBM of different systems and relevant oxidation reduction potential are shown in Fig. 6b. As shown in Fig. 6b, the CBM of g-C₃N₄, p-CND and a-CND are respectively -0.863, -0.257 and -1.570 V, which are above the redox potential of $O_2/\cdot O_2^-$ of -0.16 V. The

result shows that all the three systems could generate •O₂-, and the efficiency of g-C₃N₄ dots/graphene is higher than that of g- C_3N_4 and pristine g- C_3N_4 dots. The VBM of p-CND and a-CND are respectively 4.197 and 2.634 V, higher than the 'OH/H2O chemical potential of 2.33 V, but the VBM of g-C₃N₄ is 2.137 V, lower than the $\cdot OH/H_2O$ chemical potential of 2.33 V. Therefore, g-C₃N₄ can only photoactivate O₂ into ·O₂-, while the ability to generate 'OH radical is weak. This is also consistent with reported result that g-C₃N₄ has a weak oxidative potential to produce 'OH radical.31 For p-CND and a-CND, the both reactions of H₂O to ·OH and O₂ to ·O₂ can proceed. Noted that the CBM of a-CND is more negative than the edge position of p-CND (a-CND: -1.570 V; p-CND: -0.257 V), and the VBM is more thermodynamically favorable than the reduction potential of \cdot OH/H₂O. Thus, the both photoelectrochemical process (O₂/ \cdot O₂ and ·OH/H₂O) could occur in the system of g-C₃N₄ dots/graphene or pristine g-C₃N₄ dots once the electron-hole pairs are photoactivated. However, the band gaps of g-C₃N₄ dots are 4.454 eV (p-CND) and 4.204 eV (a-CND), which is too large for visible light activation. The presence of graphene in g-C₃N₄ dots/graphene system can significantly reduce the photo activation energy, which will be explained in details in the section of photo activation mechanism. In addition, the spin density in Fig. S6 also directly confirms the generation of free radicals with lone pair electrons.

3.4 The photocatalytic degradation mechanism of VOCs on g- C_3N_4 dots/graphene

To better illustrate the mechanism of electron transfer and VOCs degradation, a scheme for the corresponding photocatalytic mechanism of the g-C₃N₄ dots/graphene system is shown in Fig. 7. For photo activation process, once the photo irradiation energy is higher than the excitation energy of nanostructured photocatalysts, photo excitation occurs with the generation of electron-hole pairs, where the electrons in the valence band jump to the conductive band and holes remain in the valence band. $^{30,\,61}$ For the g-C $_3N_4$ dots/graphene system, graphene has a tiny band gap of 47 meV and the corresponding CBM and VBM positions are also shown in Fig. 6b. In addition, the photoactivated electrons are from g-C₃N₄ dots to graphene from Figs. 4b and 6b, i.e. the photo activation is consistent by two steps: the electrons in valence band of g-C₃N₄ dots first jump to the band of graphene, which is located close to the Fermi level; then the electrons can continue to jump to the conductive band of g-C₃N₄ dots. The energy gap for each step is ~2 eV, which can be easily provided by visible light and even IR. This pathway can be confirmed by strong visible light adsorption ~2.5 eV, almost half of the energy of adsorption peak ~5 eV for g-C₃N₄ and p-CND as shown in Fig. 3b. In addition, as shown in Fig. 6b, the activated electrons and holes have strong capability to active both H2O and O2 molecules in air to effectively generate $\cdot O_2^-$ and $\cdot OH$ radicals, which can be used for VOCs degradation on the surface of photocatalysts. Fig. S7 is an example of the reaction pathway for formaldehyde degradation in the presence of ·OH and ·O₂- radicals. The corresponding explanation was described in Text S2. Besides the efficient generation of electron-hole pairs, the recombination of the generated electron-hole pairs can be also effectively restrained due to the separation of electron and hole respectively on graphene and g-C₃N₄ dots, and the electrons on graphene can be also transported to elsewhere due to the high conductivity of graphene.

This work aims to propose the evaluation procedure of photocatalysis from the view of DFT calculation, and confirm the effectiveness of this procedure by taking an example. For the chemical criteria, many researchers have indicated possible pathways of VOCs degradation by \cdot OH and \cdot O₂ radicals. 54-56 Hence, the reaction pathway of free radicals to degrade VOCs on the surface of catalysts was not calculated in this work, which will be discussed in detailed in our following work.

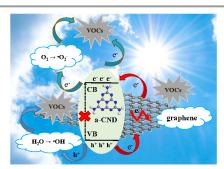


Fig. 7 The mechanism of electron transfer and VOCs degradation under visible light irradiation.

4. Conclusion

In this work, the evaluation procedure of the performance of photocatalysts from the view of density functional theory calculation was proposed: band structure of photocatalysts, including the band gap, the capability of photo adsorption and the positions of CB and VB; adsorption of H2O, O2 or other oxidants; adsorption of pollutants; reaction pathway of pollutant degradation. The g-C₃N₄ dots/graphene is taken as an example to demonstrate the application of the newly proposed evaluation procedure. We found that the g-C₃N₄ dots/graphene composite is an excellent photocatalyst based on following aspects: (i) Compared with g-C₃N₄ and g-C₃N₄ dots, the band gap and photo adsorption of g-C₃N₄ dots/graphene are more suitable, which are more prone to light excitation and generate electron-hole pairs; (ii) The g-C₃N₄ dots/graphene has lower electron recombination rate for perfect photocatalytic degradation with photoelectron being excited between the heterostructure from g-C₃N₄ dots to graphene layer, which prevents the recombination of electron-hole pairs, while electron migration in g-C₃N₄ is localized within each C₆N₇ unit; (iii) H₂O, O₂ and VOCs can be well adsorbed on g-C₃N₄ dots/graphene, effectively producing corresponding free radicals (\cdot OH, \cdot O₂ $^{-}$) to degrade VOCs due to the suitable CB and VB positions; (iv) Most importantly, a new electronic migration path of g-C₃N₄ dots/graphene is demonstrated from the valence band of $g\text{-}C_3N_4$ dots, leap to graphene, and then to the conduction band of g-C₃N₄ dots. This pathway could reduce nearly half of energy required for electronic excitation and is beneficial to photocatalysis under visible light and even IR light. Therefore, the evaluation procedure of high-performance photocatalysts based on DFT calculations is proposed systematically, which is adopted to guide and design highperformance photocatalyst g-C₃N₄ dots/graphene for VOCs degradation.

Conflicts of interest

There are no conflicts to declare.

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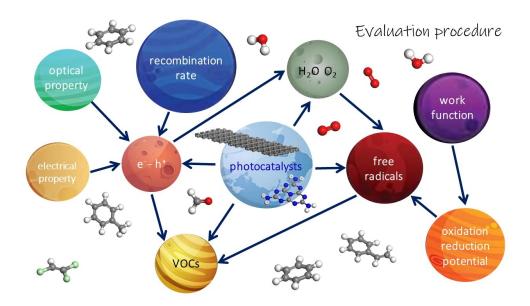
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