



Chemopreventive effect of 5-demethylnobiletin, a unique citrus flavonoid on colitis-driven colorectal carcinogenesis in mice is associated with its colonic metabolites

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1 **Chemopreventive effect of 5-demethylnobiletin, a unique citrus flavonoid on colitis-driven**
2 **colorectal carcinogenesis in mice is associated with its colonic metabolites**

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18 Abstract

19 5-Demethylnobiletin (5DN) is a unique flavonoid mainly found in citrus fruits. In this study, we
20 determined the chemopreventive effects of 5DN and its major colonic metabolites on both a
21 colitis-driven colon carcinogenesis mouse model and a human colon cancer cell model. In
22 azoxymethane/dextran sulfate sodium-treated mice, dietary 5DN (0.05% w/w in the diet)
23 significantly decreased the tumor incidence, multiplicity and burden, and showed potent
24 anti-proliferative, proapoptotic, and anti-inflammatory activities in mouse colon tissue. Three
25 major metabolites of 5DN, named 5,3'-didemethylnobiletin (M1), 5,4'-didemethylnobiletin (M2)
26 and 5,3',4'-tridemethylnobiletin (M3) were found in the colonic mucosa of 5DN-treated mice,
27 and the combined level of these metabolites in mouse colonic mucosa was 1.56-fold higher than
28 that of 5DN. Cell culture studies revealed that 5DN and its colonic metabolites profoundly
29 inhibited the growth of human colon cancer cells by inducing cell cycle arrest, triggering
30 apoptosis and modulating key signaling proteins related to cell proliferation and apoptosis.
31 Importantly, the colonic metabolites, especially M1 showed much stronger effects than those
32 produced by 5DN itself. Overall, our results demonstrated that dietary 5DN significantly
33 inhibited colitis-driven colon carcinogenesis in mice, and this chemopreventive effect was
34 associated with its metabolites in colon.

35 1. Introduction

36 Colorectal cancer (CRC) is a major health problem with the third highest rate of morbidity and
37 mortality in the United States.^{1,2} Chronic inflammation is implicated as a risk factor for
38 colorectal carcinogenesis as it involves the interaction of various immune and inflammatory
39 cells, chemokines, cytokines and pro-inflammatory mediators which can enhance the growth and
40 invasion of malignant cells, promote angiogenesis, boost tumor metastasis, and alter tumor
41 response to chemotherapeutic agents,³⁻⁷ therefore is considered to be a direct cause of
42 colitis-driven cancer in numerous experimental models and humans.⁸⁻¹⁰ The correlation between
43 colitis and CRC has been broadly accepted. Indeed, clinical research had showed that patients
44 with inflammatory bowel disease (IBD) such as Crohn's disease and ulcerative colitis had 2- to
45 3-fold higher risk of developing CRC compared to the general population,¹¹⁻¹³ creating an urgent
46 need for more efficacious chemoprevention strategies targeting colorectal carcinogenesis.^{14,15}

47 Epidemiological studies have indicated an inverse relationship between fruits, vegetables
48 and medicinal herbs intake and human colon cancer, which may be at least partially attributed to
49 the bioactive components existed in these bioactive foods.¹⁶⁻²¹ Citrus fruit contains several
50 chemopreventive compounds against cancers. Among them, 5-demethylated
51 polymethoxyflavones are a unique subclass of polymethoxyflavones (PMFs) that have been
52 recently isolated and documented to have numerous health-beneficial activities, including
53 anti-cancer, anti-oxidation and anti-inflammation ones.²²⁻²⁴ For example, 5-demethylnobiletin
54 (5-hydroxy-6,7,8,3',4'-pentamethoxyflavone, 5DN), which is one of the most abundant
55 5-demethylated PMFs in citrus fruits, has shown potent inhibitory effects against lipid
56 accumulation, multiple cancer cells and lung tumorigenesis.²⁵⁻²⁷

57 Biotransformation plays a critical role in the biological effects of orally administered
58 compounds. Because the metabolites generated in the body through biotransformation have
59 different chemical structures, which may result in stronger bioactivities in comparison with their
60 parent compounds.^{26,28-31} Therefore, to better understand the *in vivo* efficacy of dietary
61 compounds, it is important to investigate the biological activities of their metabolites generated
62 in body. Previously, we have demonstrated the inhibitory effect of 5DN on NNK-induced lung
63 tumorigenesis in mice, and this effect was associated with its two major metabolites in lung
64 tissue.³² We first reported the inhibitory effect of 5DN on colitis-driven colon carcinogenesis in
65 azoxymethane (AOM)/dextran sulfate sodium (DSS)-treated mice,³³ then other group confirmed

66 our findings that 5DN indeed showed potent inhibition on colorectal carcinogenesis.³⁴ However,
67 the potential contribution of biotransformation to the reported inhibitory effect of 5DN on colon
68 cancer still remains unclear. In this paper, we systematically investigated the inhibitory effect of
69 dietary 5DN on colitis-driven colon carcinogenesis in male CD-1 mice, identified and quantified
70 the major colonic metabolites of 5DN in mice, and demonstrated the superior inhibitory activities
71 of these metabolites on human colon cancer cells.

72 **2. Materials and methods**

73 **2.1. Animals, diets, and experimental design**

74 This experimental protocol was approved by Institutional Animal Care and Use Committee of
75 University of Massachusetts Amherst (#2014-0079). Approximately 5-week old male CD-1 mice
76 were obtained from Charles River Laboratory (Wilmington, MA). After one-week of
77 acclimation, mice were randomly assigned to three experimental groups (negative control group,
78 positive control group, and 5DN group, 20 mice each) and placed on an AIN-93G diet. Then the
79 animals in positive control group and 5DN group received a single intraperitoneal injection of
80 AOM (12 mg kg⁻¹ body weight) in saline, and animals in negative group received same volume
81 of saline. One week after AOM injection, 1.5% DSS (molecular weight: 36 000-50 000, MP
82 Biomedicals, Solon, OH) was administered in the drinking water for 4 days followed by one
83 week of regular water for recovery, and this cycle was repeated four times (negative control
84 group received regular drinking water). Starting one week after AOM injection, negative and
85 positive control groups were fed with AIN-93G diet, while 5DN group was fed with AIN-93G
86 diet containing 5DN (0.05% in diet, w/w) until the end of the experiment. The body weight was
87 recorded weekly. All mice were humanely sacrificed via CO₂ asphyxiation 20 weeks after AOM
88 injection. The liver and spleen were removed, rinsed with PBS (pH 7.4) and weighted. At
89 necropsy, after measuring the length, the colons were opened longitudinally, flushed with PBS
90 (pH 7.4) and weighted. The number of tumors was counted under a dissection microscope, and
91 the size of the tumor was measured using a caliper. The tumor volume was determined using the
92 formula $V \text{ (mm}^3\text{)} = 0.5 \times (\text{length} \times \text{width} \times \text{width})$ as reported³⁵⁻³⁷. Then the colons were cut
93 along the main axis. Half of the colon was fixed in 4% buffered formalin (pH 7.4) for 24 h for
94 histopathological and immunohistochemical analysis. The other half was stored at -80 °C for
95 ELISA, qRT-PCR, and HPLC analysis.

96 **2.2. Histopathological and immunohistochemical analysis**

97 The fixed colon tissue was dehydrated, embedded in paraffin, sectioned (5 μ m), mounted on
98 glass slides and stained with hematoxylin and eosin (H&E) as we previously described.³⁸ The
99 histological alterations such as mucosal dysplasia, and carcinoma were evaluated under a
100 microscope according to the criteria previously described by a trained pathologist blinded to the
101 study design.^{39,40} In brief, colonic mucosal dysplasia is characterized by elongated, crowded and
102 pseudostratified nuclei. Carcinoma was defined as a high-grade dysplasia of colonic mucosa that
103 had invaded beyond the muscularis mucosa and into the submucosa. Histological scores were
104 assigned following the chronic colitis scoring system by Chinen et al.⁴¹ Immunohistochemistry
105 staining was performed on the colon tissue sections as we previously described.^{38,42,43} Cell
106 proliferation in the colon tissue was determined by positive staining of proliferating cell nuclear
107 antigen (PCNA) and Ki-67 (1:1000, Dako, Denmark). Cellular apoptosis was determined by
108 staining with antibodies against cleaved caspase-3 (1:1000, Cell Signaling Technology, Beverly,
109 MA). Colonic inflammation was measured by staining with antibodies against inducible nitric
110 oxide synthase (iNOS, 1:200, Cell Signaling Technology, Danvers, MA, USA). Briefly, colon
111 tissue sections were deparaffinized in serial xylene, rehydrated through graded ethanol solutions.
112 Antigen retrieval was performed by heating the sections in 0.01 mol/L citrate buffer (pH 6.0) for
113 20 minutes in a PT Module antigen retrieval device (Thermo Fisher Scientific, Agawam, MA,
114 USA). Endogenous peroxidase was quenched in 0.3% hydrogen peroxide. Nonspecific binding
115 was blocked by incubating the sections with Odyssey blocking buffer (LI-COR Biosciences,
116 Lincoln, NE, USA) for 30 minutes. Then, primary antibodies were applied to the sections and
117 incubated overnight at 4 °C. After thorough washed with PBS, sections were incubated with a
118 biotinylated secondary antibody for 30 minutes at the room temperature and subsequently
119 incubated with the chromogen 3-diaminobenzidine (DAB). Sections were then counterstained
120 with hematoxylin for 3 minutes. Positive staining was observed using a Nikon E400 microscope.
121 Digital images were captured with a SPOT Idea 1.3 Mp camera.

122 **2.3. ELISA and real-time qRT-PCR analysis**

123 Colonic mucosa was scraped and homogenized in a phosphate buffer solution containing 0.4 M
124 NaCl, 0.05% Tween-20, 0.5% BSA, 0.1 mM benzethonium, and 1% protease inhibitor cocktail
125 (Boston Bioproducts, Ashland, MA). Then the homogenates were centrifuged at 10000 g for 30
126 min at 0 °C. The supernatant was collected and used for quantification of cytokines, i.e. IL-1 β ,
127 IL-6, and tumor necrosis factor- α (TNF- α) by ELISA kits (R&D System, Minneapolis, MN)

128 according to the manufacturer's instructions. Real Time qRT-PCR analysis was performed as
129 previously described.⁴⁴ The primer pairs were synthesized by Integrated DNA Technologies, Inc.
130 (Coralville, IA) with the following primers: IL-1 β F: 5'-ACCTGCTGGTGTGTGACGTT-3', R:
131 5'-TCGTTGCTTGGTTCTCCTTG-3'; IL-6 F: 5'-GAGGATACCACTCCCAACAGACC-3', R:
132 5'-AAGTGCATCATCGTT GTTCATACA-3'; TNF- α F: 5'-AGCACAGAAAGCATGATC
133 CG-3', R: 5'-CTGATGAGAGGGAGGCCATT-3'; β -actin F:
134 5'-AAGAGAGGCATCCTCACCT-3', R: 5'-TACATGGCTGGGGTGTGAA-3'.⁴⁵ The copy
135 number of each transcript was calculated with respect to the β -actin copy number, using the
136 $2^{-\Delta\Delta C_t}$ method.⁴⁶

137 **2.4. Quantification of colonic 5DN and its metabolites by HPLC**

138 Colonic mucosa samples were homogenized in methanol (50% in phosphate buffered saline,
139 pH=5.00) and then extracted with ethyl acetate for three times. Pooled ethyl acetate fractions
140 were dried under vacuum and reconstituted in 50% methanol. Identification and quantification of
141 5DN and its metabolites were performed using HPLC method as we previously described.^{47,48}
142 5DN, M1, M2, and M3, with purity greater than 98%, were used as external standards and
143 tangeretin (>98%) was used as an internal standard. Tangeretin was purchased from
144 Sigma-Aldrich (St. Louis, Mo). 5DN, M1, M2, and M3 were synthesized as we described
145 previously.^{47,48}

146 **2.5. Cell viability, cell cycle and cellular apoptosis analysis**

147 The analysis of cell viability, cell cycle and apoptosis were conducted as we previously
148 described.^{25,49} In brief, HCT116 (ATCC, Manassas, VA) human colorectal cancer cells were
149 seeded at a density of 2500 cells/well in 96-well plates. After 24 h incubation, cells were treated
150 with treatments in serum complete media for 72 h. The cell viability was then determined by
151 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT) assay. For cell cycle and
152 apoptosis assay, cells were seeded at a density of 5×10^4 cells/well in 6-well plates. After 24 h of
153 incubation for cell attachment, cells were treated with different treatments in serum complete
154 media. After 24h or 48h, floating cells in media and adherent cells were harvest and subjected to
155 cell cycle and apoptosis analysis by flow cytometry method.

156 **2.6. Immunoblotting**

157 Cells were seeded in 150mm culture dishes. After 24h of incubation for cell attachment, cells
158 were treated with different treatments. After another 24 or 48 h, cells were harvested, combined

159 with floating cells, if any. Whole cell lysates were prepared and then subjected to Western
160 blotting analysis as we previously described.^{25,49} For colon tissue, samples were homogenized
161 with RIPA lysis buffer (Tris-Hcl pH7.2, 25mM; SDS 0.1%; Triton X-100 1%; sodium
162 deoxycholate 1%; NaCl 0.15% M; ethylenediaminetetraacetic acid (EDTA) 1mM (Boston
163 Bioproducts, Ashland, MA) containing 1% protease inhibitor cocktail, then subjected to western
164 blotting analysis.

165 **2.7. Statistical analysis**

166 All data were presented as mean \pm SD or mean \pm SEM. Student's t-test was used to test the mean
167 difference between two groups, whereas analysis of variance (ANOVA) followed by Tukey's
168 HSD test was used for the comparison of difference among three or more groups. Tumor
169 incidence was analyzed by Fisher's exact probability test. Differences were considered
170 statistically significant when $p < 0.05$.

171 **3. Results and Discussion**

172 **3.1. General observation**

173 AOM/DSS-treated mice model was used in this study to determine the chemopreventive effect of
174 5DN on colitis-driven colorectal carcinogenesis. In this model, a single injection of a colon
175 carcinogen AOM in combination with cyclic administration of DSS in drinking water induced
176 the development of colitis, colorectal dysplasia, and cancer.^{50,51} The dose of 5DN (0.05%) in
177 mice treatment was equivalent to 250 mg per day in human approximately according to formula
178 emanated from Reagan-Shaw *et al*,⁵² which can be conveniently achievable through dietary
179 supplementation. All animals survived the experimental period. Bloody and soft stool was
180 observed in a few mice that received DSS treatment. In positive control group, anal prolapse due
181 to severe inflammation and tumor development in the distal colon was observed as well. As
182 shown in Figure 1, the mean body weight of mice in positive group was lower compared to other
183 groups at the end of the experiment ($p=0.29$). This might be caused by severe inflammation
184 induced by DSS treatment. Dietary 5DN, however, alleviated the body weight loss by DSS
185 treatment. Colonic weight/length ratio is correlated with the severity of colitis and therefore is a
186 indicative measurement of colonic wall thickening, severity of inflammation and neoplasia
187 development.^{53,54} Our results showed that dietary 5DN significantly prevented the shortening of
188 colon length and decreased the elevated weight/length ratio caused by AOM/DSS treatment
189 when compared to the positive control group (Table 1). These results together suggested the

190 protective effect of dietary 5DN against severe inflammation induced by AOM/DSS treatment.

191 We also examined the main organ weight of the animals, which have been widely accepted
192 as important markers and sensitive indicators of potential toxicities of test agents, as well as
193 treatment-related effects.^{55,56} As shown in Table 1, there was no significant difference in the
194 weight of liver and spleen among the groups. Histologically, no pathological alterations or
195 lesions in the main organs (liver, kidney and spleen) of mice were found (data not shown),
196 suggesting that dietary administration of 5DN did not cause noticeable toxicity in male CD-1
197 mice.

198 **3.2. Dietary 5DN suppressed AOM/DSS-induced colonic tumorigenesis**

199 At the end of the experiment (Table 1), all the mice in positive control group developed colonic
200 tumors with a multiplicity of 5.70 ± 1.10 (mice in negative control group showed no tumor).
201 Notably, the dietary administration of 0.05% 5DN for 20 weeks significantly decreased the
202 tumor incidence and multiplicity by 35% (100 versus 65%) and 56.14% (5.7 ± 1.1 versus $2.5 \pm$
203 0.5), respectively. Moreover, tumor burden was decreased from $13.48 \pm 2.32 \text{ mm}^3$ (positive
204 control group) to $6.69 \pm 1.82 \text{ mm}^3$ (mice fed 0.05% 5DN).

205 A trained histopathologist then examined and characterized the histological alterations of
206 colon samples by H&E staining. As shown in Figure 2, AOM/DSS treatment resulted in
207 significant alterations of colonic mucosa, including loss of crypts, surface erosion with exuberant
208 inflammatory exudate, infiltration of inflammatory cells in to the lamina propria, formation of
209 dysplasia, and tumor development. In contrast, dietary 5DN significantly attenuate histologic
210 damage (with maintenance of crypt organization in normal mucosa), decrease the chronic colitis
211 histologic score (from 12.38 ± 0.57 to 5.38 ± 0.60) and the occurrence of AOM/DSS-induced
212 tumor compared to positive control. Specifically, the colon of 5DN-treated mice appeared to
213 largely maintain the normal colon morphology or dysplasia with inflammation, the mucosa had
214 tightly packed glands with normal architecture of goblet cells. Together these findings
215 demonstrated that dietary administration of 5DN (0.05% in diet) effectively alleviate
216 colitis-driven colon carcinogenesis in male CD-1 mice.

217 **3.3. Dietary 5DN inhibited cell proliferation, induced apoptosis, and decreased the levels of** 218 **proinflammatory cytokines in the colon of AOM/DSS-treated mice**

219 Colorectal cancer initiation and progression are strong associated with enhanced cell
220 proliferation and evasion of apoptosis.⁵⁷ Therefore, if one could inhibit proliferation and induce

221 apoptosis, it could suppress the development of colorectal cancer.^{58–60} One of the most reliable
222 method to examine colorectal cell proliferation is the evaluation of Ki-67 and PCNA expression
223 through immunostaining⁶¹. Ki-67 and PCNA are closely correlated with somatic cell
224 proliferation. Thus, increased proliferation of colon epithelial cell, which was characterized as
225 hyperplasia, can be detected with the Ki-67 and PCNA proliferation markers.^{62,63} As shown in
226 Figure 3A and 3B, colonic sections from the positive control mice showed intense staining of
227 Ki-67 and PCNA, indicating a high cell proliferation rate. In contrast, the positive staining of
228 Ki-67 and PCNA was markedly decreased by 35.86% ($41.13 \pm 2.90\%$ versus $26.38 \pm 1.31\%$) and
229 61.81% ($47.13 \pm 3.53\%$ versus $18.00 \pm 1.60\%$) respectively in 5DN treatment group, suggesting
230 a significant inhibition in cell proliferation. It is well accepted that a reduction in tumor incidence
231 is generally correlated to a decrease in cellular proliferation and/or increase in apoptosis.⁶⁴ Thus,
232 the induction of apoptosis is an effective strategy in the chemoprevention of cancer.⁶⁵ By using
233 cleaved caspase-3, an activated regulator of apoptosis as a marker, we found that the number of
234 apoptotic cells in the colonic tumors was $10.00 \pm 1.05\%$ in 5DN treatment group. When
235 compared with the positive group ($3.38 \pm 0.89\%$), it was a 2.96-fold increase (Figure 3C),
236 indicating an intense apoptosis triggered by 5DN treatment.

237 It is well known that over-expression of pro-inflammatory cytokines and/or enzymes
238 amplifies inflammatory cascade signaling, causes intestinal tissue damage, and increases the risk
239 of colorectal carcinogenesis.^{6,66–68} Thus, management of cytokine equilibrium was considered to
240 be a promising strategy for both prevention and treatment of various malignancies including
241 colorectal cancer.^{69,70} Herein, we investigated the effects of dietary 5DN on the expression of
242 pro-inflammatory factors in colon by immunohistochemistry and ELISA analysis. As shown in
243 Figure 3D, an intense staining of iNOS was observed in the colon tissue of positive control mice,
244 indicating a high level of inflammation. Remarkably, dietary 5DN significantly decreased the
245 expression of iNOS by 39.95% ($50.38 \pm 2.75\%$ versus $30.25 \pm 1.88\%$). To further confirm the
246 effect of 5DN on AOM/DSS induced inflammation, ELISA analysis of colon mucosa samples
247 was performed. Our results (Figure 4A) showed that 5DN treatment significantly decreased the
248 levels of IL-1 β , IL-6 and TNF- α by 86.24% (53.79 ± 6.43 versus $7.40 \pm 1.74\%$), 73.69% (112.53
249 ± 32.09 versus 29.61 ± 7.57) and 57.23% (9.47 ± 1.66 versus 4.05 ± 0.80), respectively, when
250 compared to those of the positive control group. Then we determined the effect of dietary 5DN
251 on the mRNA expression of pro-inflammatory cytokines by real-time qRT-PCR analysis (Figure

252 4B). Our results revealed that the mRNA expressions of IL-1 β , IL-6 and TNF- α in the colon
253 mucosa of 5DN-treated mice were dramatically reduced by 93.79%, 95.95% and 63.63%,
254 respectively, compared with those found in the positive control group mice.

255 Together, these results demonstrated that dietary administration of 0.05% 5DN significantly
256 inhibited the colitis-driven colon carcinogenesis. And this effect was further evidenced by
257 reduced abnormal cell proliferation, elevated cellular apoptosis, and attenuated the mRNA and
258 protein expression of pro-inflammatory cytokines in the colonic mucosa of AOM/DSS-treated
259 mice.

260 **3.4. Identification and quantification of colonic metabolites of 5DN in mice**

261 The metabolic fate of dietary component is critical for their biological activities. We and others
262 have documented that orally administration of PMFs, including NBT, tangeretin, and 5DN,
263 resulted in the production of various metabolites via extensive biotransformation.^{47,48,71,72}
264 Particularly, we have identified three major metabolites of 5DN in the urine of 5DN-fed mice as:
265 5,3'-didemethylnobiletin (M1), 5,4'-didemethylnobiletin (M2) and 5,3',4'-tridemethylnobiletin
266 (M3) (Figure 5A).⁴⁷ Interestingly, all these metabolites exhibited similar, even stronger inhibition
267 against the growth of human lung cancer cells in comparison with their parent compound.²⁶ In
268 this study, to determine the role of biotransformation in the chemopreventive effect of 5DN
269 against colitis-driven colon carcinogenesis, the identity and abundance of the metabolites of 5DN
270 in the colonic mucosa need to be investigated. This is because the metabolites, rather than 5DN
271 itself, may be responsible for the biological activities we observed due to their potentially
272 stronger activities and greater abundance. We expected that the urinary metabolites of 5DN we
273 identified previously would be found in colonic mucosa of 5DN treated mice. By conducting
274 HPLC followed by mass spectroscopy analysis, we confirmed that oral administration of 5DN
275 resulted in the formation of three major metabolites (M1, M2, and M3) in colonic mucosa of
276 mice (Figure 5). The mechanism of the transformation from 5DN to these metabolites is not clear
277 yet. However, it is likely that phases I and II metabolism play an important role in their
278 formation as well as the biotransformation by gut microbiome.⁷³

279 The levels of a specific component and its metabolites in body after oral administration
280 greatly influence the overall bioactivity *in vivo*. Thus, we further quantified the levels of 5DN
281 and its metabolites in the mucosa of 5DN-fed mice by HPLC method we established
282 previously.⁴⁷ As shown in table 2, the colonic levels of 5DN, M1, M2, and M3 were $13.58 \pm$

283 2.68, 8.09 ± 2.27 , 10.16 ± 2.50 , and 2.92 ± 0.59 nmol/g, respectively. Specifically, the level of
284 M1 and M2 was similar to that of 5DN itself, and the level of M3 was relatively lower than
285 others. Importantly, the combined level of metabolites was 1.56-fold higher than that of 5DN in
286 the colonic mucosa. Considering the potential stronger activity of these metabolites, this
287 information suggested that the inhibitory effect of 5DN against colitis-driven colon
288 carcinogenesis we observed in the animal study might be at least partially attributed to its colonic
289 metabolites. Together for the first time, we successfully identified M1, M2, and M3 as three
290 major colonic metabolites of 5DN in mice after long-term oral administration of 5DN. Most
291 interestingly, the level of metabolites combined was much higher than 5DN itself in the colonic
292 mucosa, suggesting the importance of biotransformation in the biological effects of orally
293 administered 5DN.

294 **3.5. Colonic metabolites of 5DN showed stronger effects than 5DN on inhibiting the growth,** 295 **inducing cell cycle arrest and apoptosis of human colon cancer cells**

296 Due to the fact that long-term administration of 5DN resulted in the presence of 5DN and
297 abundant levels of its metabolites in the colonic mucosa, we hypothesized that these metabolites
298 might play an important role in the inhibitory effect of dietary 5DN on colitis-driven colon
299 carcinogenesis. To confirm our hypothesis, we investigated the effects of 5DN and its
300 metabolites (M1, M2, and M3) on the growth of human HCT116 colon cancer cells. HCT116
301 cells were treated with serial concentrations of 5DN (4-20 μM), M1 (0.1-0.5 μM), M2 (4-20
302 μM), and M3 (4-20 μM). As shown in figure 6A, all the compounds significantly inhibited the
303 growth of HCT116 cells in a dose-dependent manner after 72 h of incubation. Furthermore, all
304 three metabolites of 5DN showed more potent inhibition than their parent compound, 5DN.
305 Markedly, M1 showed the strongest inhibitory effect among all compounds. Specifically, M1 at
306 only 0.5 μM inhibited cell growth by 82%, which is much stronger than those produced by 5DN
307 at much higher concentration (20 μM). The estimated IC_{50} values of 5DN, M1, M2, and M3 were
308 13.5, 0.22, 11.5 and 7.0 μM , respectively. It is noteworthy that the IC_{50} values of all the
309 metabolites were lower than 5DN. Especially, the IC_{50} value of M1 was about 61-fold lower than
310 that of 5DN. To better understand the contribution of the metabolites to the inhibitory effect of
311 orally administrated 5DN, we defined a parameter as “inhibitory index” which equals to the level
312 of a specific compound found in colon mucosa (showed in Table 2) divided by its IC_{50} value.
313 According to this, the inhibitory index of 5DN, M1, M2, and M3 was 1.006, 36.770, 0.884, and

314 0.417, respectively. Considering that 5DN and its three major metabolites were co-existing in the
315 colon mucosa as a mixture, the combined inhibitory index of the mixture was 38.9-fold higher
316 than that of 5DN alone (Figure 6B), suggesting that the biotransformation of 5DN, which results
317 in the formation of M1, M2, and M3 in the mice, indeed elevates the overall inhibitory effect on
318 colon carcinogenesis by oral administration of 5DN. Together, our results suggested that the
319 higher potency of M1, M2, and M3 than 5DN might contribute to the inhibition of colon
320 carcinogenesis.

321 To further illustrate the mechanisms by which 5DN and its metabolites inhibit the
322 cancer cell growth, we studied their effects on cell cycle progression and apoptosis by flow
323 cytometry. As showed in Figure 7A, all the compounds were able to modulate cell population
324 distribution but in different manners and to different extents. Specifically, 5DN at 20 μ M and M2
325 at 10 μ M significantly increased cell population in G2/M phase, and decreased cell population in
326 S phase. Interestingly, M1 at a much lower dose (0.25 μ M) caused similar effect when compared
327 to 5DN and M2. Furthermore, M3 at 10 μ M showed same manner of cell cycle arrest as those
328 caused by 5DN, M1 and M2, however with much more potency. Overall, these results revealed
329 that 5DN, M1, M2, and M3 caused G2/M phase cell cycle arrest with different potency on
330 human HCT-116 colon cancer cells. Interestingly, the pattern and potency of cell cycle arrest
331 caused by 5DN and its metabolites on human lung and colon cancer cells were not always
332 consistent. For example, M2 significantly arrested human A549, H460 and H1299 lung cancer
333 cells in G0/G1 phase, however M1 and M3 caused G2/M phase arrest in those cells^{26,32}, which
334 was consistent with the results in this study. Together, these findings suggested that their
335 difference in chemical structures may lead to different molecular mechanisms that be involved in
336 their modulation on cell cycle progression.

337 Inducing apoptosis in cancer cells is one of the effective strategies in cancer
338 chemoprevention. To determine if triggering apoptosis contributed to the growth inhibition of
339 5DN and its metabolites on colon cells, the Annexin-V/PI double staining assay was conducted
340 by flow cytometry. As shown in Figure 7B, after 48 h of treatment, compared to control, both
341 early and late apoptotic cell populations were significantly increased by all the compounds on
342 HCT116 cells. Importantly, all three metabolites, especially M1 and M3 showed much stronger
343 effect than 5DN. For instance, treatment with 5DN at 20 μ M increased early apoptotic cell
344 population by 3.1-fold compared to the control. However, M1 at only 0.25 μ M increased early

345 apoptotic cell population by 7.6-fold, and M3 at 10 μ M, which is half dose of 5DN, increased
346 11.8-fold of early apoptotic cell population compared to control. These results demonstrated that
347 5DN and its metabolites induced significant apoptosis in human colon cancer cells. More
348 importantly, the metabolites, especially M1 and M3 showed much stronger effect, indicating
349 their important roles in inhibiting colon carcinogenesis.

350 **3.6. 5DN and its colonic metabolites modulated key signaling proteins related to cell** 351 **proliferation and apoptosis**

352 To illustrate the molecular mechanisms of the inhibition produced by 5DN and its colonic
353 metabolites, we investigated their effects on the expression of key signaling proteins related to
354 cell cycle progression and apoptosis pathways in HCT116 human colon cancer cells. Cell cycle
355 related proteins were analyzed after 24 h of treatment, and apoptosis related proteins were
356 analyzed after 48 h of treatment. We found that (Figure 8) 5DN and its three colonic metabolites
357 significantly increased p21^{Cip1/Waf1} and p27^{kip1} expression. Moreover, all the metabolites,
358 especially M3 at 10 μ M decreased the expression levels of cyclin A₂ and cyclin B₁. The loss of
359 normal cell proliferation caused by abnormal regulation of cell cycle is one of the hallmarks of
360 cancer. Cyclins, cyclin dependent kinases (CDKs), and CDK inhibitors play important roles in
361 regulating cell cycle progression. The formation of cyclin/CDK complexes drives the cell cycle
362 transition. The G2/M phase transition is driven by cyclin B-CDK1 complex. These cyclin-CDK
363 complexes often bind to the endogenous inhibitor proteins (CKIs) p21^{Cip1/Waf1} and p27^{kip1}, which
364 inhibit their kinase activities and prevent cell cycle progression.⁷⁴⁻⁷⁶ Our results showed that the
365 metabolites of 5DN significantly decreased the expressions of cyclin B₁, which at least in part,
366 down-regulated the level of cyclin B₁-CDK1 complex, and led to cell accumulation in G2/M
367 phase. Cyclin A is thought be involved in the activation and stabilization of cyclin B/CDK1
368 complex,^{77,78} the down-regulated cyclin A₂ expression caused by the metabolites of 5DN might
369 decrease the stability and activation of cyclin B/CDK1 complex, which further arrested cells in
370 G2/M phase. It is also well known that the activation of cyclin B₁-CDK1 complex by the
371 phosphorylation of cyclin B₁ are mandatory for a cell to enter into mitosis at G2/M transition.⁷⁹
372 Interestingly, 5DN and its metabolites showed different effects on the phosphorylation of cyclin
373 B₁^{Ser147}. Specifically, 5DN and M2 significantly increased the expression of phosphorylated
374 cyclin B₁^{Ser147}. In contrast, M3 at 10 μ M showed the opposite effect, which might decrease the
375 activation of cyclin B1-CDK1 complex. These findings might partially explain why M3 had the

376 strongest effect in inducing cell cycle arrest at G2/M phase in comparison with other compounds.
377 p21^{Cip1/Waf1} is a negative CDK regulator that can directly bind to the cyclin B₁/CDK1 complex
378 and inhibit its activity, which further block cells in G2/M phase.^{80,81} In addition, p21^{Cip1/Waf1} is
379 able to diminish CDK1 protein level by decreasing Cdc2 mRNA transcriptions and its promoter
380 activity.⁸² According to these, the increased p21/p27 expression could be another possible
381 mechanism by which HCT116 human colon cancer cells undergo cell cycle arrest during
382 exposure to 5DN and its metabolites.

383 The evasion of apoptosis is considered to facilitate the development of various cancer.⁸³ The
384 central engine of apoptosis is the caspases cascade that implement cell death by cleaving a
385 variety of intracellular substrate. The activation (cleavage) of caspase-9 results in the activation
386 its downstream effector caspase-3, which will trigger cellular apoptosis. The activation
387 (cleavage) of caspase-3 also leads to the activation of other key effectors, such like poly ADP
388 ribose polymerase (PARP), which ultimately promote apoptosis by interfering chromatin
389 condensation and DNA fragmentation.⁸⁴ We found that 5DN and its colonic metabolites were
390 able to active the caspase cascade by cleavage of caspase-9, caspase-7, caspase-3, and their final
391 protein target PARP in HCT116 cells. And these actions might be driven by the upregulation of
392 p53. Markedly, the metabolites, especially M1 at only 0.25 μ M and M3 at 10 μ M, showed
393 stronger effects than that of 5DN on the modulation of these apoptosis-related proteins, which is
394 consistent with previous annexin-V/propidium iodine double staining assay (Figure 7B).
395 Together our results revealed that the major colonic metabolites of 5DN had stronger effects on
396 the activation of caspase cascade for apoptosis in comparison with 5DN itself.

397 Markedly, the effects of 5DN and its major metabolites on p21^{Cip1/Waf1}, p53 and caspase
398 cascade were consistent with our previous findings in lung tumorigenesis, suggesting that these
399 proteins and related signal pathways might be the potential molecular targets for 5DN and its
400 major metabolites to modulate cell cycle progression and apoptosis of human cancer cells.

401 **4. Concluding remarks**

402 This study demonstrated that dietary 5DN (0.05% in diet, w/w) significantly inhibited
403 colitis-driven colon carcinogenesis in AOM/DSS-treated CD-1 mice. Specifically, 5DN
404 decreased the tumor incidence, multiplicity and tumor burden by 35%, 54.16% and 50.37% in
405 mice, respectively. For the first time, we identified and quantified three major metabolites of
406 5DN in the colonic mucosa of 5DN-fed tumor-bearing mice, namely 5,3'-didemethylnobiletin

407 (M1), 5,4'-didemethylnobiletin (M2) and 5,3',4'-tridemethylnobiletin (M3). The level of each
408 metabolite was similar to that of 5DN in the colonic mucosa, however the combined level of
409 these metabolites was about 1.5-fold higher than that of 5DN itself. We further demonstrated that
410 the colonic metabolites of 5DN had more potent anticancer activities than 5DN, which was
411 evidenced by their superior effects in inhibiting human colon cancer cell growth, inducing cell
412 cycle arrest, triggering apoptosis, and modulating key signaling proteins related to cell
413 proliferation and apoptosis, including cyclin A2, cyclin B1, p21^{Cip1/Waf1}, p27^{kip1}, cleaved
414 caspase-3, caspase-7, caspase-9, PARP and p53. In conclusion, our results suggested that the
415 chemopreventive effect of dietary 5DN against colitis-driven colon carcinogenesis were closely
416 associated with its colonic metabolites.

417

418

419 **Abbreviations**

420	5DN	5-demethylnobiletin
421	AOM	azoxymethane
422	DSS	dextran sulfate sodium
423	H&E	hematoxylin and eosin
424	PMFs	polymethoxyflavones
425	iNOS	inducible nitric oxide synthase
426	PCNA	proliferating cell nuclear antigen
427	CDKs	cyclin dependent kinases
428	PARP	poly ADP ribose polymerase

429

430 **Conflict of interest**

431 The authors have declared no conflict of interest.

432

433 **Author contribution**

434 M. S., X. W., and H. X. have conceived and designed experiments. M. S., X. W., Z. L., F. L.,
435 and J. Z. have performed the animal experiments. M. S. and Y. H. have performed the
436 histopathological and immunohistochemical analysis. X. W. have performed the ELISA and
437 qRT-PCR analysis. M. W. and J. Z. have performed the HPLC quantification. M. S. has
438 performed the cell culture experiments. M. S., Y. L., and J. X. have analyzed the data. M. S., Y.
439 C., and H. X. have written the manuscript. All authors read and approved the final manuscript.

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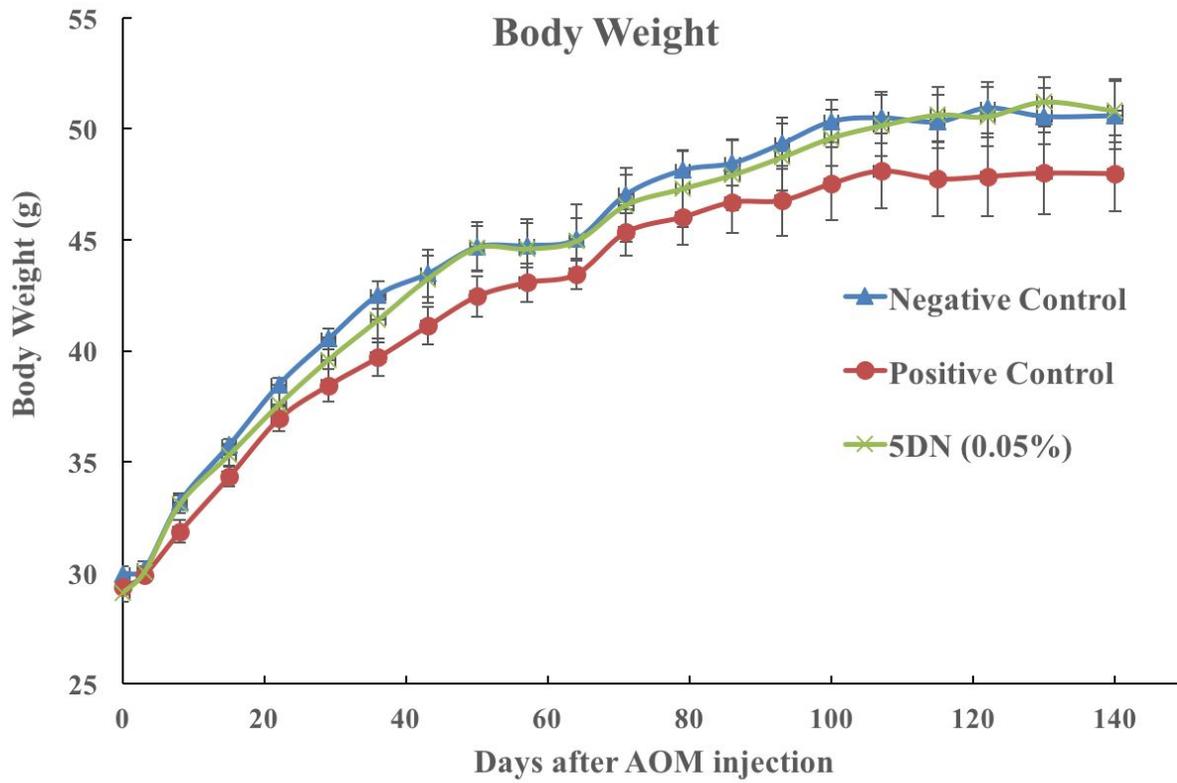
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585 Figure 1. Effect of 5DN on the weight changes during colon carcinogenesis.

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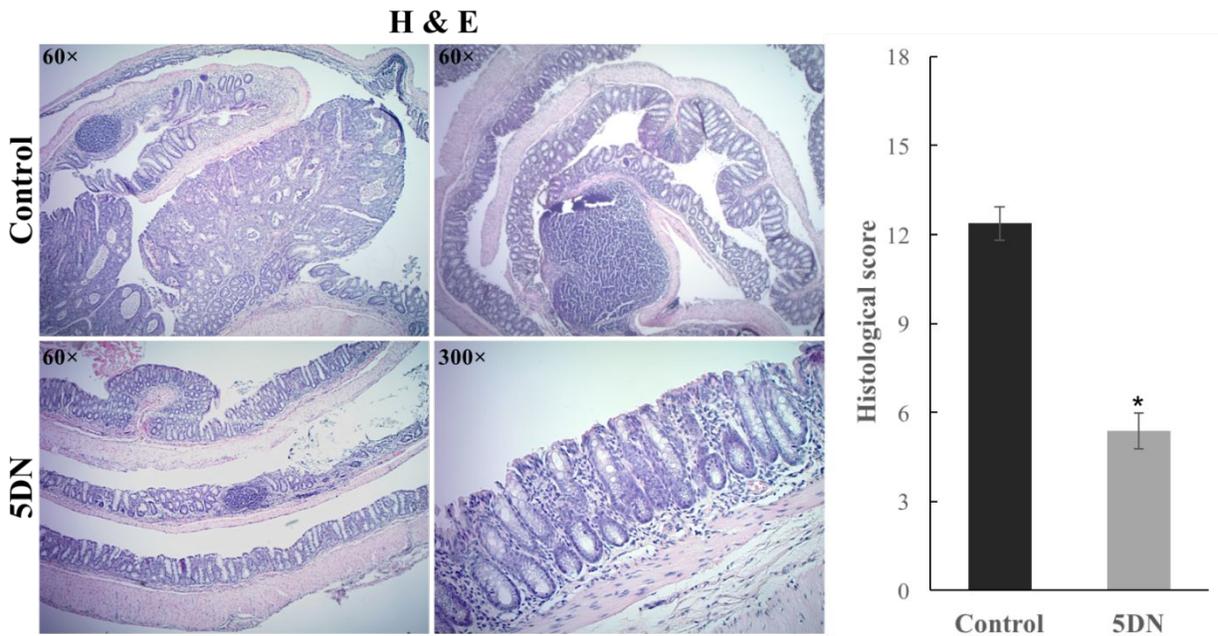
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589 Table 1. Final liver, spleen weights, and colon assessment of mice
 590

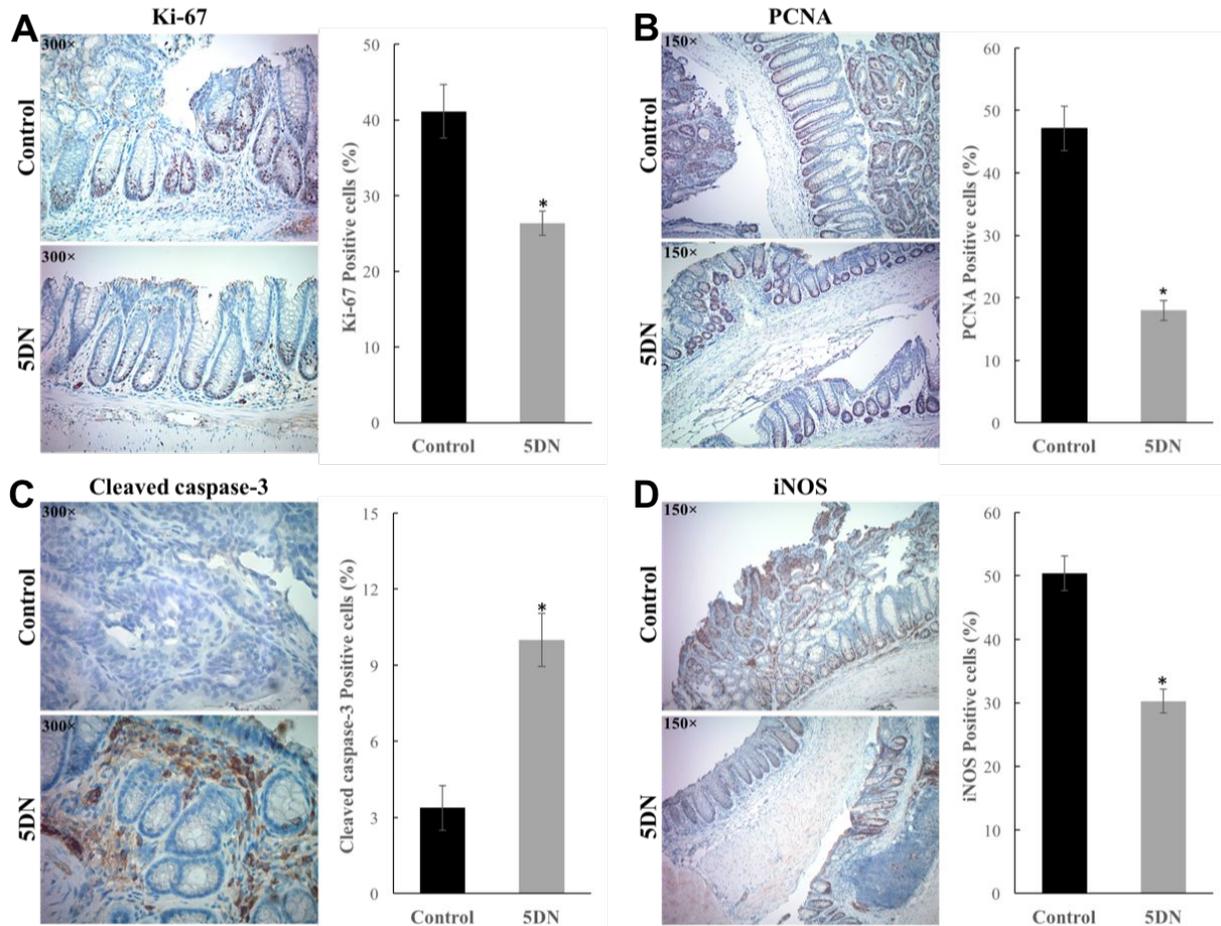
Group	Negative control	Positive control	5DN treated
Liver Weight (mg)	2376.34 ± 68.52	2262.86 ± 92.74	2278.04 ± 105.40
Spleen Weight (mg)	236.65 ± 33.56	230.60 ± 30.12	212.78 ± 26.20
Colon Length (mm)	96.82 ± 2.64 ^a	86.14 ± 3.72 ^b	95.98 ± 2.92 ^a
Colon W/L ratio (mg/mm)	3.68 ± 0.30 ^a	4.32 ± 0.50 ^b	3.76 ± 0.28 ^a
Tumor incidence	0 ^a	100% ^b (20/20)	65% ^c (13/20)
Tumor multiplicity	0 ^a	5.70 ± 1.10 ^b	2.50 ± 0.50 ^c
Tumor burden (mm ³)	0 ^a	13.48 ± 2.32 ^b	6.69 ± 1.82 ^c

591 All values are represented as mean ± SEM. Different notations indicate statistically significant difference (p < 0.05,
 592 n=20) according to ANOVA analysis followed by Tukey's HSD test. Tumor incidence is analyzed by Fisher's exact
 593 probability test.
 594
 595

596 Figure 2. Histological characterization of colonic mucosa and tumors of AOM/DSS-treated mice.
597 Representative H&E staining histological sections were showed. Overall histology scores were
598 calculated according the chronic colitis scoring system mentioned in materials and methods.
599 Data are presented as mean \pm SD. Asterisk indicates statistical significance in comparison with
600 control ($p < 0.01$, $n=8$) by Student's-t test.
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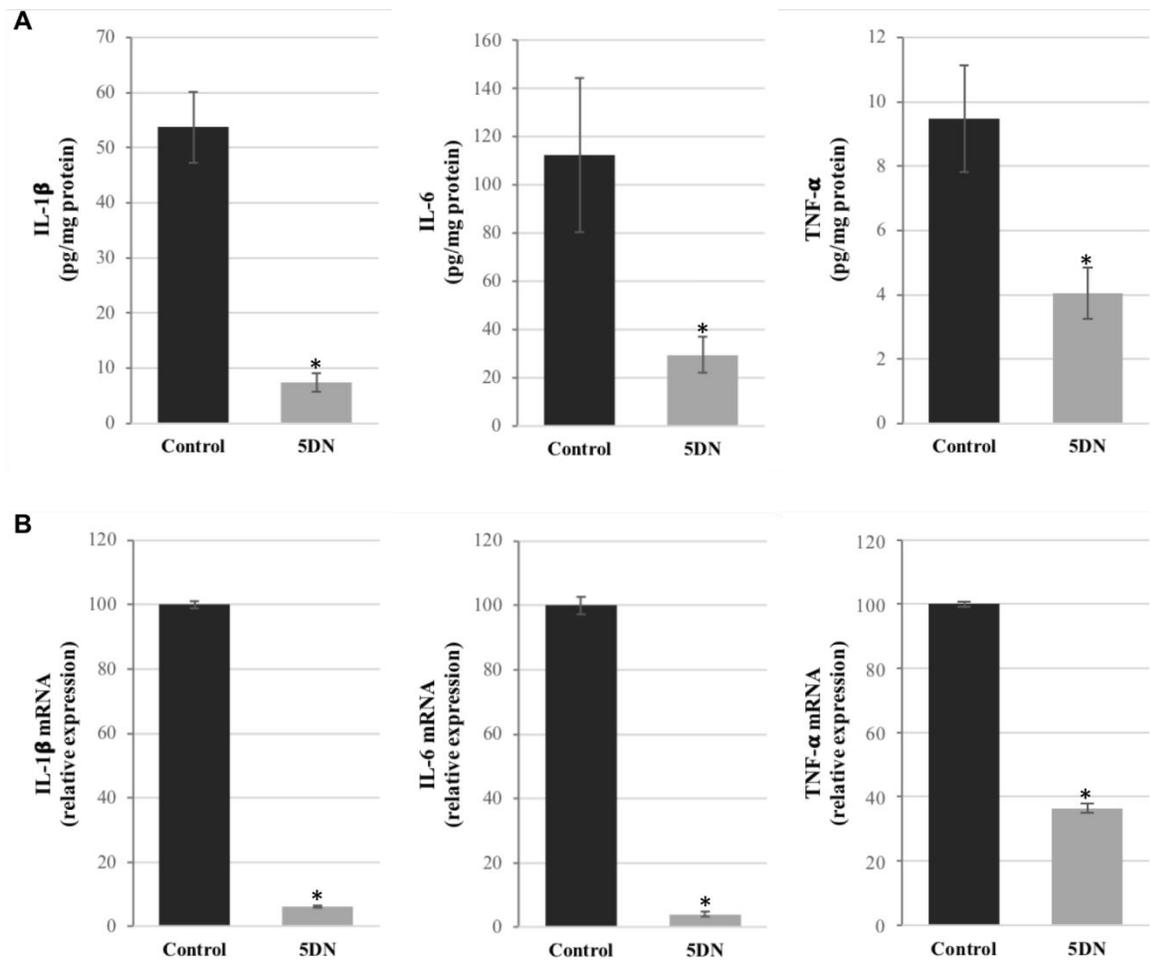


603 Figure 3. Effect of dietary 5DN on colonic immunohistochemical staining of (A) Ki-67, (B)
 604 PCNA, (C) Cleaved caspase-3 and (D) inducible nitric oxide synthase (iNOS) in
 605 AOM/DSS-treated mice. Representative colon sections from control and 5DN treatment groups
 606 are shown (Magnification 150× or 300×). Positive staining is brown colored. Data are presented
 607 as mean \pm SD and asterisks indicate statistical significance in comparison with control ($p < 0.01$,
 608 $n=8$) by Student's-t test.



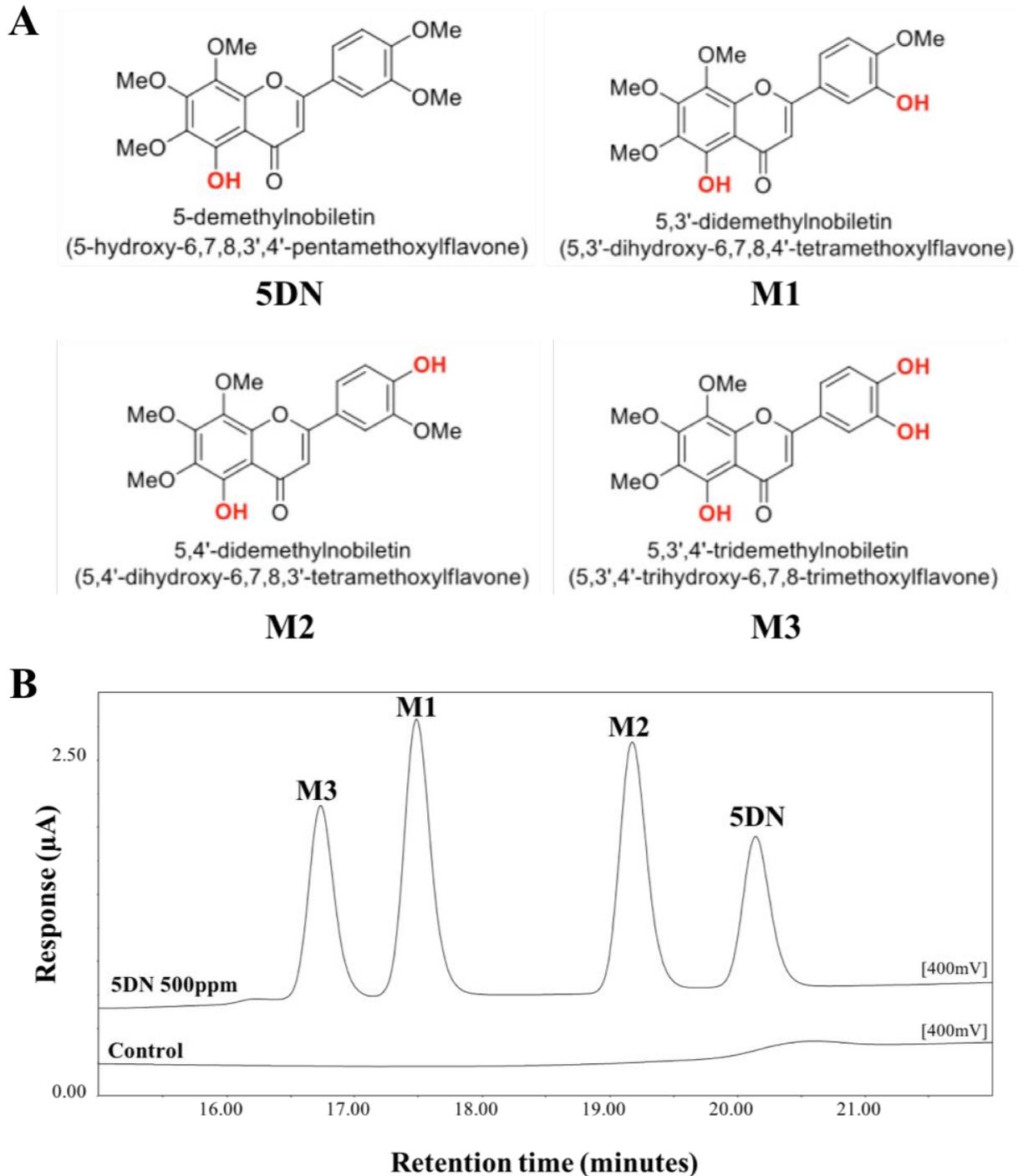
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610 Figure 4. Effects of 5DN treatment on (A) protein levels and (B) mRNA levels of IL-1 β , IL-6
611 and TNF- α in colonic mucosa of AOM/DSS-treated mice. Samples were randomly collected
612 from the middle and distal colon, and then subjected to ELISA or qRT-PCR analysis. Data are
613 presented as mean \pm SD of three independent experiments. The level of IL-1 β and IL-6, and
614 TNF- α mRNA expression was normalized to that of β -actin. Asterisks indicate statistically
615 significance in comparison with control ($p < 0.01$, $n=3$) by Student's-t test.
616



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618

619 Figure 5. (A) Chemical structure of 5DN and its metabolites M1, M2, and M3. (B)
 620 Representative HPLC profile of colonic mucosa samples from the 0.05% 5DN treated group.
 621 Samples were detected by using an electrochemical detector at 300 mV. Four major peaks in the
 622 chromatogram were identified as 5DN (retention time at 20.2 min), M1 (retention time at 17.5
 623 min), M2 (retention time at 19.2 min) and M4 (retention time at 16.7 min).
 624



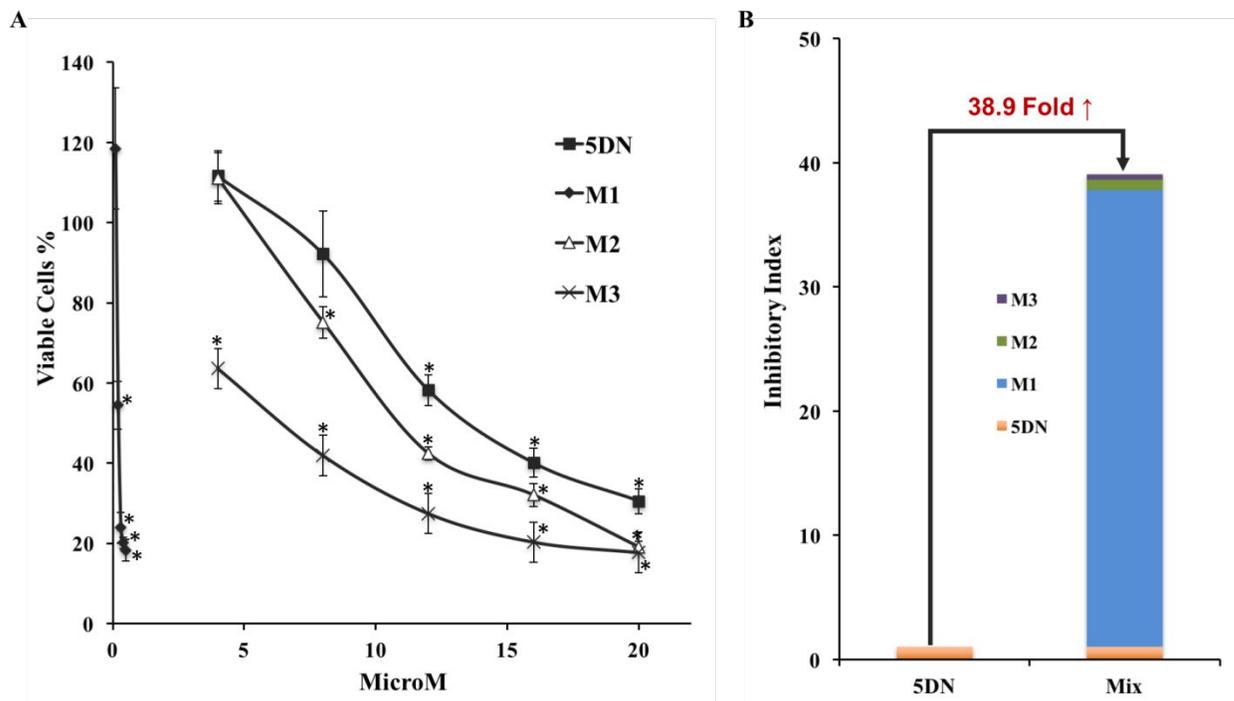
625

626 Table 2. Quantification of 5DN and its metabolites in the colonic mucosa of 5DN treated mice
627

Compound	Concentration (nmol per gram tissue)	Percentage among compounds (%)
5DN	13.58 ± 2.68	39.1
M1	8.09 ± 2.27	23.3
M2	10.16 ± 2.50	29.2
M3	2.92 ± 0.59	8.4

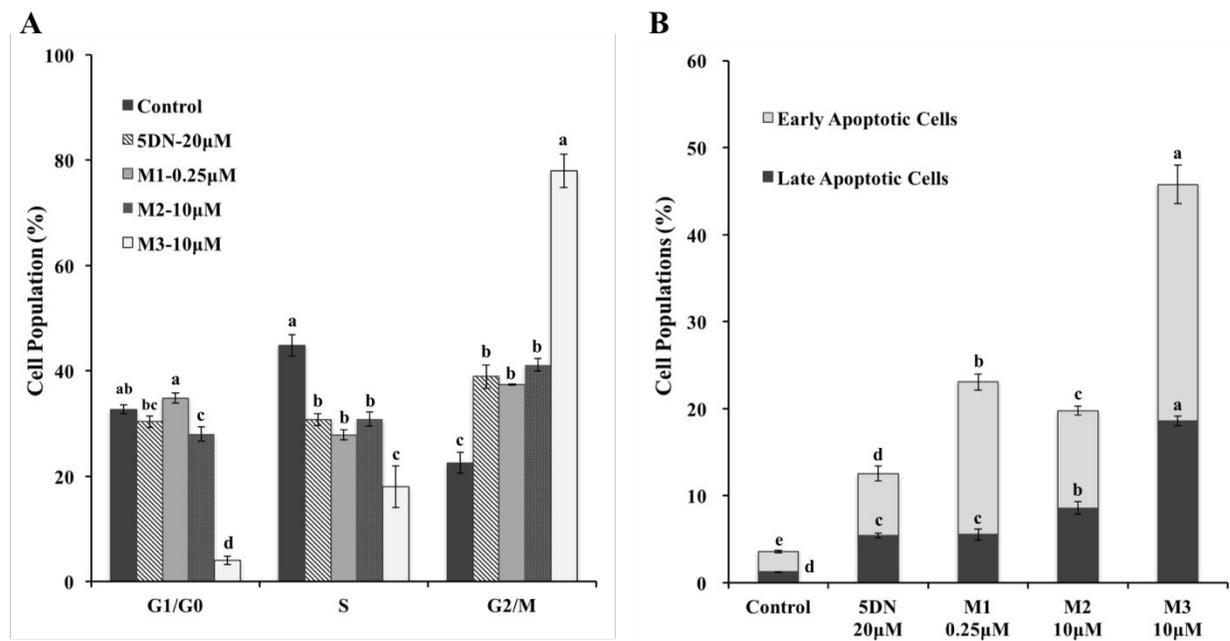
628
629 5DN and its metabolites were extracted three times with ethyl acetate from the colonic mucosa homogenate of 5DN
630 treated mice, and then quantified by HPLC. Values are presented as mean ± SD (n=6).

631 Figure 6. (A) Inhibitory effects of 5DN and its metabolite (M1, M2, and M3) on the
 632 growth of HCT116 human colon cancer cells. Cells were seeded in 96-well plates and
 633 treated with serial concentrations of 5DN, M1, M2, and M3. After 72 hours of
 634 incubation, cell viability was quantified by the MTT assay as described in the Materials
 635 and methods section. Data are represented as mean \pm SD (n=6), and the asterisks indicate
 636 the statistical significance in comparison with the control cells ($p < 0.01$). (B) Inhibitory
 637 Index of 5DN and the mixture of 5DN and its three metabolites. The inhibitory index
 638 equals to the level of a compound in colon mucosa divided by its IC_{50} value.



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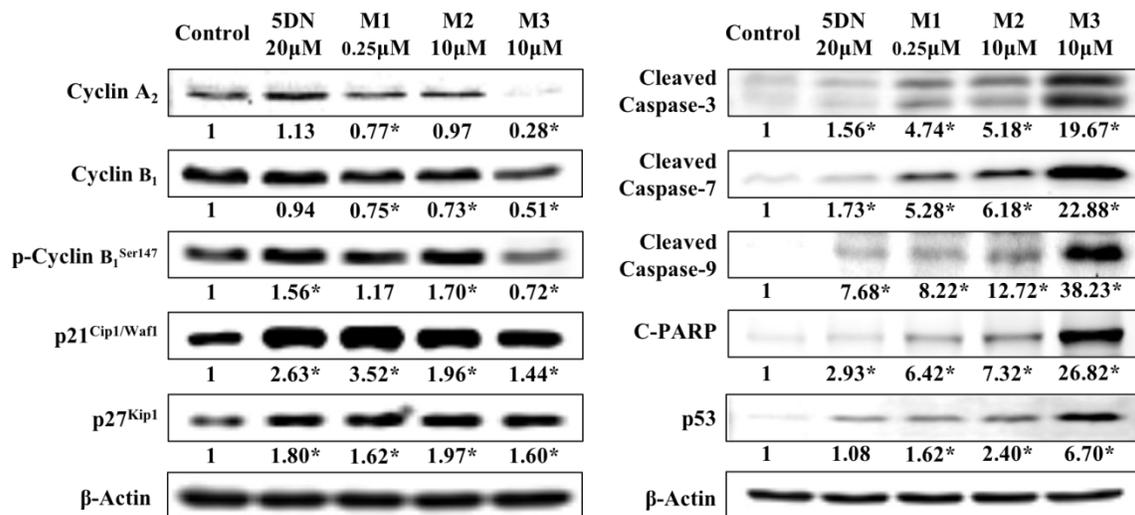
641 Figure 7. Effects of 5DN (20 μ M) and its colonic metabolites M1 (0.25 μ M), M2 (10 μ M)
 642 and M3 (10 μ M) on the cell cycle progression and apoptosis of HCT116 human colon
 643 cancer cells. Cells were seeded in 6-well plates and then treated with 5DN and its
 644 metabolites. After 24 or 48 h of treatments, cells were harvested and subject to cell cycle
 645 and apoptosis analysis as described in the Materials and methods section. All data are
 646 represented as mean \pm SD (n=3). Different notations in the bar charts indicate statistical
 647 significance ($p < 0.01$) according to ANOVA analysis followed by Tukeys's HSD test.



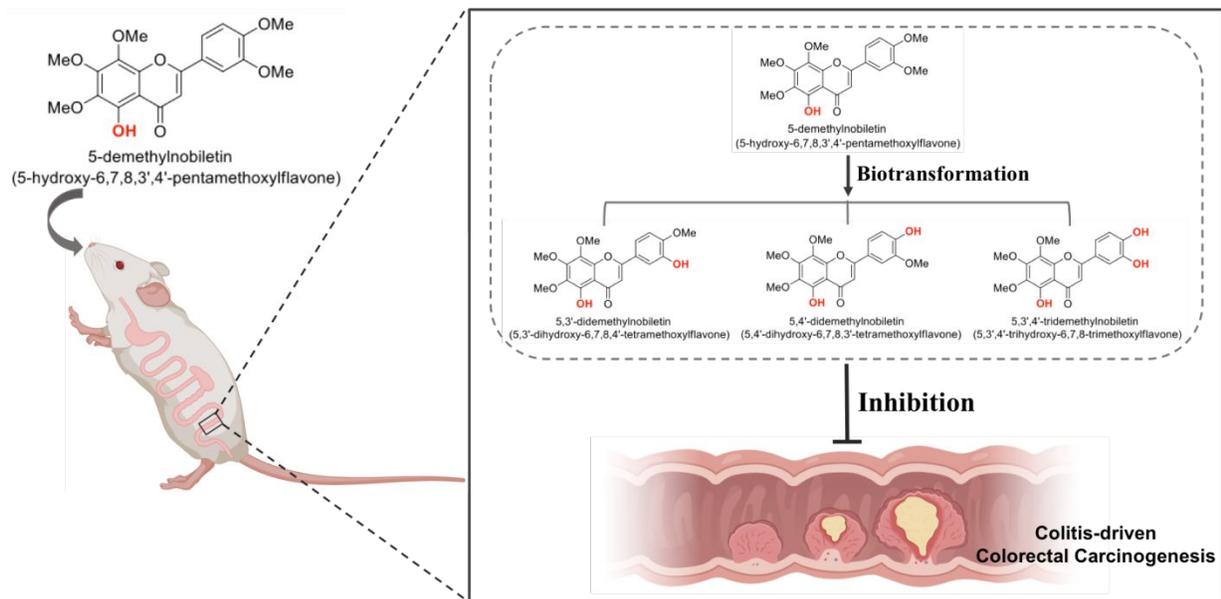
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650 Figure 8. Effects of 5DN (20 μ M), M1 (0.25 μ M), M2 (10 μ M) and M3 (10 μ M) on cell
 651 cycle and apoptosis related key proteins in HCT116 human cancer cells. Cells were
 652 seeded in 15 cm culture dishes for 24 hours and then treated with 5DN and its metabolites
 653 at different concentrations. After another 24 or 48 hours of incubation, cells were
 654 collected for immunoblotting as described in the Materials and methods section. The
 655 number underneath the blots represents the band intensity (normalized to β -actin, means
 656 of three independent experiments) measured by Image Studio software. The SDs (all
 657 within $\pm 15\%$ of the means) are not shown. β -Actin was served as an equal loading
 658 control. Asterisks indicate statistical significance in comparison with the control ($p <$
 659 0.05, $n = 3$).



660



The inhibitory effect of dietary 5-demethylnobiletin on colitis-driven colorectal carcinogenesis and the potential roles of its colonic metabolites were reported.