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Complete List of Authors:	s: Kang, Jiye; University of California, Nutrition Wang, Ziwei; University of California, Nutrition Oteiza, Patricia; University of California, Nutrition	
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(-)-Epicatechin mitigates high fat diet-induced neuroinflammation and altered behavior in mice

Jiye Kang, Ziwei Wang, and Patricia I. Oteiza

Department of Nutrition and Department of Environmental Toxicology, University of California, Davis, USA.

Running title: (-)-Epicatechin mitigates neuroinflammation

Corresponding author Patricia Oteiza, Ph.D. Departments of Nutrition/Environmental Toxicology University of California, Davis One Shields Avenue, Davis, CA 95616

Phone: 530-754-6074 Fax: 530-752-8966 E-mail: poteiza@ucdavis.edu

Abbreviations:

BDNF, brain-derived neurotrophic factor; CNS, central nervous system; EC, (-)-epicatechin; HFD, high fat diet; LPS, lipopolysaccharides; iNOS, inducible nitric oxide synthase; Iba-1, ionized calcium binding adaptor molecule 1; NOX, NADPH oxidase; NOR, novel object recognition; MWM, Morris water maze; OLM, object location memory; TLR4, Toll-like receptor 4; TNFα, tumor necrosis factor alpha;

Keywords: obesity, hippocampus, inflammation, memory, epicatechin, high fat diet

Abstract

Obesity is characterized by a condition of low-level chronic inflammation that can lead to altered cognition and behavior. The flavanol (-)-epicatechin (EC) has been shown to have antiinflammatory actions in mouse models of diet-induced obesity. This study investigated the capacity of dietary EC to mitigate hippocampal inflammation and impaired memory in high fat diet (HFD)-fed mice. Healthy 6 weeks old male C57BL/6J mice (10 mice/group) were fed for 13 weeks either: a control diet (10% total calories from fat), a high fat diet (60% total calories from fat), or the control and high fat diets supplemented with 20 mg EC/kg body weight. Short-term object recognition memory was evaluated by the novel object recognition (NOR) task and spatial memory by the object location memory (OLM) task and the Morris water maze (MWM). After 13 weeks on the dietary treatments, HFD-fed mice developed obesity, which was not affected by EC supplementation. HFD consumption caused metabolic endotoxemia, and increases in parameters of hippocampal inflammation, i.e. mRNA levels of TLR4, Iba-1, and NOX4. All these changes were mitigated by EC supplementation. EC supplementation also significantly improved recognition memory in HFD-fed mice while neither HFD consumption nor EC supplementation affected mouse spatial memory. Overall, EC supplementation prevented short-term recognition memory impairment in HFD-induced obese mice, which could be in part due to the capacity of EC to mitigate metabolic endotoxemia and associated hippocampal inflammation and oxidative stress.

Introduction

Obesity has become a worldwide epidemic, and its incidence is rising at an alarming rate ¹. Chronic low-grade inflammation is an important characteristic of obesity. This chronic inflammatory condition contributes to the development of obesity-associated comorbidities, including cardiovascular disease, type 2 diabetes, insulin resistance, and cancer, resulting in serious health burdens and incalculable social and medical costs ²⁻⁵. Moreover, in humans and rodents, obesity has been associated with increased occurrence of disorders in the central nervous system (CNS), such as mild cognitive impairment, dementia, and Alzheimer's disease ⁶⁻¹¹. Altered structure and function of the hippocampus, a region of the brain critical for learning and memory, is observed in obese humans and rodents ¹²⁻¹⁶. For instance, a higher body mass index (BMI) was linked to hippocampal atrophy in males and females (60-64 y age), with those with higher BMI experiencing greater hippocampal atrophy upon an 8 year follow up ¹⁴.

Obesity and consumption of high fat/high sugar diets are contributing factors for metabolic endotoxemia, which is defined as 0.5- to 2-fold increase in circulating bacterial lipopolysaccharides (LPS) in the circulation ^{17, 18}. Endotoxemia is a potent trigger of inflammation that can affect both the periphery and the CNS. LPS can induce neuroinflammation by compromising the function of the bloodbrain barrier, a barrier that tightly regulates exchanges of molecules between the peripheral blood and the CNS ^{19, 20}. In obesity, chronic inflammation and increased circulating levels of metabolites and proinflammatory molecules (e.g. fatty acids, glucose, cytokines and LPS) can affect the functioning of the barrier ^{13, 21-24}. In fact, the blood-brain barrier at the hippocampus is disrupted in mice chronically fed a high fat diet (HFD), which is proposed to cause neuroinflammation and impaired memory ^{13, 24}.

While consumption of HFD and obesity can have a detrimental impact on the brain, dietary components may be able to mitigate these harmful effects. Epidemiological evidence suggests that consumption of cocoa flavanols can improve cognitive function including hippocampal-dependent

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memory in humans ²⁵⁻²⁷. (-)-Epicatechin (EC) is one of the most abundant flavanols found in cocoa products and one of the most widely consumed flavanols by humans ²⁸. EC consumption mainly derives from tea and cocoa products, and fruits such as grapes, berries, and apples. In humans, the effect of pure EC on cognition has not yet been investigated. In mice, EC improved cerebrovascular function, hippocampal angiogenesis, neuronal spine density, and spatial memory ²⁹. Thus, EC emerges as a dietary bioactive that may have beneficial effects on behavior and cognition.

Although there are studies implicating a relationship between obesity and cognitive impairment; and indicating beneficial neuroprotective effects of EC, there are no previous studies investigating the neuroprotective actions of EC in both obese humans and animal models of obesity. In humans, improvements in cognition in an elderly population was attributed in part to an improvement in insulin sensitivity ³⁰. We previously showed that dietary EC supplementation mitigates obesity- and high fructose/high fat diet-induced inflammation and insulin resistance in mice ³¹⁻³⁵. However, the antiinflammatory capacity of EC in mitigating obesity-induced neuroinflammation in the hippocampus and in improving obesity-associated cognitive changes have not yet been characterized. This paper investigated if dietary supplementation with EC can mitigate hippocampal neuroinflammation and improve behavior in mice fed a HFD. EC supplementation prevented HFD-induced metabolic endotoxemia and increases in parameters of inflammation and oxidative stress, improving mouse performance on the novel object recognition task.

Materials and methods

Animals and animal care

All procedures were in agreement with standards for the care of laboratory animals as outlined in the NIH Guide for the Care and Use of Laboratory Animals. All procedures were administered under the

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auspices of the Animal Resource Services of the University of California, Davis. Experimental protocols were approved before implementation by the University of California, Davis Animal Use and Care Administrative Advisory Committee.

Healthy male C57BL/6J mice (20-25 g) (10 mice/group) were fed for 13 weeks either: A- a diet containing approximately 10% total calories from fat (C) (TD.06416, Envigo, Indianapolis, IN), B- a diet containing approximately 60% total calories from fat (lard) (HFD) (TD.06414, Envigo, Indianapolis, IN), C- the control diet supplemented with 20 mg EC/kg body weight (CE), and D- the HFD supplemented with 20 mg EC/kg body weight (CE), and D- the HFD supplemented with 20 mg EC/kg body weight (CE). The composition of the control and the high fat diets is listed in **Supplemental Table 1**. The EC-containing diet was prepared every two weeks to account for changes in body weight and food intake, and to prevent potential EC degradation. All diets were stored at -20°C until use. The highest amount of EC supplemented has been found to improve insulin resistance in rats fed high fructose levels ³⁴ and in mice fed a HFD ³¹. In comparison to EC intake in human populations ³⁶, the amount of EC supplementation is relatively high. However, it can be reached by supplementation or consumption of select EC-rich fruits/vegetables and derivatives ²⁸.

Body weight and food intake were measured weekly throughout the study as previously described ³¹. After 13 weeks on the dietary treatments, and after 4 h fasting, mice were euthanized by cervical dislocation. Blood was collected from the submandibular vein into tubes containing EDTA, and plasma collected after centrifugation at 3,000 x g for 10 min at room temperature. Tissues were dissected and flash frozen in liquid nitrogen and then stored at -80°C for further analysis.

Determination of plasma metabolic parameters.

Plasma LPS levels were determined using a kit from Abbexa (Abbexa, Cambridge, UK) and following the manufacturer's protocol. Triglyceride concentrations were determined using kits purchased from Wiener

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Lab Group (Rosario, Argentina) and glucose concentrations using a kit from Sigma-Aldrich Co (St. Louis, MO), following the manufacturer's protocols.

RNA isolation and real-time PCR (RT-PCR)

For quantitative RT-PCR studies, RNA was extracted from cells using TRIzol reagent (Invitrogen, Carlsbad, CA). cDNA was generated using high-capacity cDNA Reverse Transcriptase (Applied Biosystems, Grand Island, NY). Expressions of β -Actin, BDNF (brain-derived neurotrophic factor); Iba-1 (ionized calcium binding adaptor molecule 1); iNOS (inducible nitric oxide synthase), NOX (NADPH oxidase) 2 and 4; TLR4 (Toll-like receptor 4) and TNF α (tumor necrosis factor alpha) were assessed by quantitative real-time PCR (iCycler, Bio-Rad, Hercules, CA) with the primers listed in **Table 1**.

Cognitive Function Test

Behavioral tests were performed between week 10 and 12 of the dietary intervention. After being exposed to the diets for 10 weeks, each animal was habituated in a white, square arena (40x40 cm) where the animal was naïve to, for 15 minutes each day for two consecutive days. Next day, shortterm object recognition memory was evaluated using the novel object recognition (NOR) task. On the following day, short-term spatial memory was evaluated with the object location memory (OLM) task. At week 11, animals started training for the Morris water maze (MWM) to be evaluated for spatial learning and reference memory. Animals were acclimated to a behavioral testing room separate from the housing room at least 1 hour prior to all handlings and behavioral tests. All objects and arena were cleaned with 70% ethanol after each trial. The pool used for the MWM was emptied and cleaned daily.

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Novel object recognition (NOR) and object location memory (OLM) tasks. For both tasks, each animal was allowed to explore two identical unfamiliar objects (*A*, *A'*) in the square arena described above for 5 minutes (sample phase). After being placed in the home cage for 1 hour (retention phase), mice were reintroduced to the arena for 5 minutes (test phase). For NOR task, one of the objects was changed to a novel object during the test phase (*A*, *B*). For OLM task, location of one of the objects was changed to a novel location (*A*, *B*) and each arena had spatial cues made with construction papers mounted on the north and west side of walls. The time that each animal spent directly sniffing or whisking towards the familiar and the novel objects or locations was analyzed by blinded investigators. A preference index, a ratio of the amount of time spent exploring one of the identical object (*A'*) in the sample phase or the novel object/location (*B*) in the test phase over the total amount of time spent exploring both objects was used to determine preference for novelty (*A'*/(*A* + *A'*) x 100% or *B*/(*A* + *B*) x 100% respectively)^{37, 38}. A preference index above 50% indicates preference for novel object or location, below 50% for familiar object or location, and 50% null preference. Animals that did not spend more than 10 seconds total exploring both objects during the sample and the testing phases were excluded from analysis.

Morris water maze (MWM). Spatial learning and reference memory were assessed in a circular pool of 120 cm diameter containing water to a depth of 40 cm. The water temperature was controlled at 23±1°C. After every training and trial, each animal was gently scooped out of the pool, placed in a heated holding cage, and returned to the home cage. The pool was virtually divided into four quadrants: northeast (NE), northwest (NW), southeast (SE), and southwest (SW).

(1) Handling (MWM day 0): mice were introduced to water for the first time. Each animal was allowed to swim in a clear plastic cage ($23.5 \times 14 \times 13$ cm) containing water to a depth of 0.5 cm for 20 seconds. Afterwards, the animal was transferred to a cage filled with a depth of 1 cm water for 20 seconds and then to a cage filled with a depth of 2 cm water for 20 seconds.

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(2) Pre-training (MWM day 1): mice were introduced to the pool (diameter 120 cm) described above and a plexiglass platform (10 cm top diameter). Each animal was placed on the platform, which was located in the center of the pool and 1 cm above the surface of the water, for 15 seconds. Afterwards, the animal was allowed to swim freely for 30 seconds. Then, the animal was guided to climb on the platform and to stay there for 30 seconds.

(3) Visible platform task (MWM day 2-3): non-spatial training was conducted to ensure that noncognitive effects were not interfering with upcoming water maze performance. White curtains were hung around the pool to obscure any spatial cues in the room. Both locations of starting point of mice and platform were moved to new locations in each trial. The platform was 1 cm above the surface of the water and mounted with a flag that reached a height of 13 cm. Each animal was gently placed into the pool and allowed to swim freely for 60 seconds. Once the animal located the platform, the animal was allowed to stay on there for 20 seconds. If the animal failed to locate the platform within 60 seconds, experimenters gently scooped the animals with a net and placed the animal on the platform for 20 seconds. Visible platform task was conducted 5 times daily with a 1 hour intertrial interval.

(4) Hidden platform task (MWM days 4-7): large and high-contrast geometrical patterns made with construction papers were mounted on the walls of the testing room to serve as distant spatial landmarks. The platform was hidden from the mice; it was submerged 1 cm below the surface of the water, which was rendered opaque with non-toxic, white, powdered tempera paint. Starting point was moved to a new location for each trial while the location of the platform stayed in the center of the southwest (SW) quadrant throughout all trials. Hidden platform task was conducted 5 times daily with a 1 hour intertrial interval. Learning curves of the animals were analyzed by measuring time spent to reach the platform (escape latency) using EthoVision XT 13 (Noldus, Wageningen, The Netherlands).

(5) Probe trial (MWM day 8): the testing environment for probe trial was the same as the hidden platform task except there was no platform placed in the pool. For this one-time trial, each animal was allowed to swim freely for 60 seconds. Spatial memory was analyzed by measuring the time spent by the animals in the target quadrant (SW) using EthoVision XT 13.

Statistical analysis

Statistical analysis was performed using GraphPad Prism 7.04 (GraphPad Software, Inc., San Diego, CA). Pearson correlation analyses were conducted to assess relationships between plasma endotoxin concentration and a-TLR4 mRNA and b-TNFα mRNA levels, and between TLR4 mRNA and TNFα mRNA levels. Tests for interaction were performed by two-way analysis of variance (ANOVA) and post-tested using Fisher's Least Significant Difference (LSD). Data were subsequently analyzed by one-way analysis of variance (ANOVA), and the Fisher's LSD was used to examine differences between group means. Within group performance of NOR and OLM was evaluated with two-tailed paired t-test. Differences were considered statistically significant at p<0.05. Data are shown as mean ± SEM.

Results

Animal outcome

Daily food intake in the groups fed the HFD was significantly lower than in those fed the control diets (**Fig. 1A**) while the calorie intake was similar among groups (**Fig. 1C**). Compared to the control diet, consumption of the HFD caused a higher increase in body weight gain, which became significant after only one week on the diet (**Fig. 1B**). After 13 weeks on the HFD, body weight was 24% higher than in the controls. This was accompanied by a 2.3- and 2.6-fold increase in the visceral fat pad weight in mice fed

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the HFD or the HFD supplemented with EC, respectively (**Fig. 1C**). Supplementation with EC did not affect body weight gain neither in mice fed the control nor the HFD. Hippocampal tissue weights were similar among groups. Ratio of hippocampus weight to body weight was similar for the HF and HFE groups (0.50 ± 0.05 mg and 0.50 ± 0.03 mg respectively).

EC supplementation attenuates parameters of neuroinflammation in the hippocampus from HFD-fed mice

To evaluate neuroinflammation, we measured the mRNA levels of TLR4, Iba-1, and TNFα in the hippocampus by RT-PCR (**Fig. 2A**). Consumption of the HFD did not affect hippocampal TNFα mRNA content. On the other hand, Iba-1 mRNA levels were 16% higher in HF mice than in controls, and EC supplementation prevented these increases. A significant interaction between EC supplementation and diet on TLR4 mRNA levels was observed (interaction from two-way ANOVA: p<0.04). TLR4 mRNA levels were 52% higher in HF mice than in controls, and EC supplementation also fully prevented these increases.

We also measured enzymes involved in inflammation and reactive nitrogen/oxygen species (nitric oxide, superoxide anion, H_2O_2) production, i.e. NOX2, NOX4, and iNOS (**Fig. 2B**). NOX2 and iNOS mRNA levels were similar among groups, while 44% higher NOX4 mRNA levels were observed in the HF group compared to controls, which was prevented by EC supplementation.

EC supplementation prevents HFD-induced metabolic endotoxemia

Consumption of the HFD caused metabolic endotoxemia in mice. LPS concentration in plasma was 32% higher in HF than in control mice, and EC supplementation prevented this increase (**Fig. 3A**). There was a strong positive correlation (r: 0.57, p=0.001) between plasma endotoxin concentration and TLR4 mRNA levels in the hippocampus (**Fig. 3B**). Although hippocampal TNFα mRNA levels were similar among

groups, a significant correlation was observed between TNFα mRNA levels and i) plasma endotoxin (r: 0.41, p<0.03) (Fig. 3C) and ii) TLR4 (r: 0.58, p<0.0004) (Fig. 3D) mRNA levels.

EC supplementation improves novel object recognition memory in HFD-fed mice while did not affect spatial memory and learning

The NOR task was conducted to assess the short-term recognition memory of mice. During the sample phase, all groups spent a comparable amount of time exploring each of the two identical objects (**Fig. 4A**). Comparing within group preference index of the sample and test phase, all groups significantly preferred the novel object (**Supplemental Fig. 1A**). During the test phase, a significant interaction between EC supplementation and diet on NOR performance was observed (interaction from two-way ANOVA: p<0.03). EC supplemented HF group (HFE) exhibited greater novel object preference compared to the HF group as measured by the preference index (**Fig. 4A**). This demonstrates the greater ability of the EC supplemented group in recognizing the novel object from the familiar object.

EC supplementation did not affect spatial memory and learning. OLM task was conducted to assess short-term spatial memory of mice. All groups performed similarly in both sample and test phases as measured by the preference index (**Fig. 4B**). Interestingly, no significant within group changes in the preference index were observed in all C, CE, HF, HFE groups, indicating that there was no overall novel location preference (**Supplemental Fig. 1B**). Spatial learning was also evaluated with Morris water maze with the hidden platform task. Comparing the first (MWM day 4) and the last day (MWM day 7) of the hidden platform task, all groups found the hidden platform more quickly (**Fig. 5A**). On the last day of the hidden platform task, all groups had similar escape latencies exhibiting comparable spatial learning (**Fig. 5A**). Similarly, all groups spent a comparable amount of time in the target southwest quadrant zone during the probe trial, indicating no differences in spatial reference memory among groups (**Fig. 5B**).

EC supplementation increases the expression of BDNF

We next measured mRNA levels of BDNF, a promoter of neuronal differentiation and survival and important mediator of synaptic plasticity ³⁹, in the hippocampus. Consumption of the HFD did not affect hippocampal BDNF mRNA content. However, BDNF mRNA levels were 90 and 76% higher in the CE and HFE groups compared to the control group (**Fig. 5C**).

Discussion

This work showed that supplementation with EC improves parameters of neuroinflammation and impaired behavior in HFD-induced obese mice. Thus, consumption of the HFD caused metabolic endotoxemia and upregulation of hippocampal neuroinflammatory markers, i.e. TLR4, Iba-1, and NOX4, in association with impaired recognition memory. EC supplementation prevented all these changes, supporting a potential benefit of an EC-rich diet on obesity-induced inflammation and altered behavior.

The HFD induced significant increase in body weight and fat pads weight in mice after 13 weeks which was not prevented by EC supplementation. The increase in adiposity in the HF and HFE groups despite of the similar caloric intake among all four groups could be explained by the fact that different macronutrients exert differential effects on the thermic effects of food ⁴⁰. Fat is less thermogenic than carbohydrates and proteins and thus can prompt greater positive energy balance in the body compared to carbohydrates and proteins when consumed over time ⁴¹⁻⁴⁵.

EC supplementation prevented the metabolic endotoxemia associated with HFD consumption. This finding is in agreement with a similar observation in mice fed the HFD for 15 weeks ³³, in which the metabolic endotoxemia was associated with an increase in intestinal permeability. EC protects the intestinal barrier from permeabilization by inhibiting HFD-associated down regulation of tight junction

proteins and by modulating signaling pathways that promote tight junction opening. In this regard, EC prevents both TNFα and bile acid-induced Caco-2 monolayer permeabilization by inhibiting NF- κ B and ERK1/2 ^{33, 46, 47}. On the other hand, other mechanisms could be involved in HFD-induced metabolic endotoxemia. In this regard, LPS is also transported through intestinal epithelial cells and into the lymph, upon incorporation and secretion into chylomicrons ⁴⁸. Once in the circulation, endotoxins can reach different organs and initiate pro-inflammatory responses. Endotoxins bind to the TLR4 to initiate a cascade of events that leads to the activation of, among other signals, transcription factors NF- κ B and AP-1 that increase the expression of proinflammatory molecules ⁴⁹. The increased expression of TLR4 in the hippocampus of HFD-mice suggests the activation of this pathway. This is paralleled by a pro-inflammatory condition as evidenced by an increased expression. This is further supported by positive correlations among plasma endotoxin levels, TLR4 and TNFα expression. Overall, the capacity of EC to prevent metabolic endotoxemia and suppress TLR4 and Iba-1 upregulation supports a link between metabolic endotoxemia and neuroinflammation. It also underscores the health relevance of the actions of EC at the gastrointestinal tract, where it prevents endotoxin transport into the circulation ³³.

While EC-mediated decrease in metabolic endotoxemia can be a relevant mechanism in EC's capacity to mitigate high fat diet-induced neuroinflammation, other potential contributing mechanism is a direct action of EC and/or EC metabolites at the level of the brain. In humans, 95% of EC is absorbed either as EC, structurally related EC metabolites (SREM) (glucuronyl, methyl and/or sulphated EC derivatives) or as smaller metabolites generated after EC metabolism by the microbiota⁵⁰. SREM, mainly catechin and EC glucuronidated derivatives, were measured in the brain of Tg2576 AD transgenic mice consuming a flavan-3-ol-rich grape powder ⁵¹. When a synthetic SREM (3-O-Me-EC-5-O-β-glucuronide) was added to brain slices from Tg2576 AD mice, it improved basal synaptic transmission and long-term potentiation⁵¹. In mice fed EC for 13 days, both EC and 3'-O-methyl-(-) EC were detected in perfused

brains ⁵². This was associated to an improvement in the retention of spatial memory that was attributed to increased angiogenesis⁵². Recently, the main EC microbiota-derived metabolite 5-(hydroxyphenyl)-γ-valerolactone-sulfate was found in mouse brain after 5-(hydroxyphenyl)-γ-valerolactone i.p. injection, and in rat and pig brain upon consumption of EC-rich foods⁵³. Overall, although evidence is limited, EC and SREM can reach the brain where they could have direct protective effects against neuroinflammation.

NADPH oxidase is one of the key producers of cell reactive oxygen species, in particular of superoxide anion and H₂O₂. Although adequate amounts of select oxidants are critical for normal brain function such as hippocampal long-term potentiation ⁵⁴, excessive generation of oxidants can result in oxidative stress ⁵⁵. Oxidative damage to cellular components may play a role in the development of cognitive impairment associated with CNS disorders ⁵⁶⁻⁵⁸. For instance, postmortem brain tissue analysis of Alzheimer's disease patients showed an upregulation of the NOX cytosolic subunits p67phox, p47phox, and p40phox and an increase in NOX enzymatic activity ⁵⁶. In our study, EC mitigated HFD-induced increased expression of NOX4 in the hippocampus while neither HFD nor EC supplementation affected iNOS and NOX2 mRNA levels. Consumption of a HFD increases the expression of Iba-1 and NOX subunits and the activity of NOX in mouse brain compared to their age-matched controls ⁵⁹. Also, a HFD induces ⁵⁸. The observed capacity of EC to regulate hippocampal regulation of NOX4 expression is in agreement with its capacity to modulate the expression and activity of several NOX isoforms and mitigate oxidative stress ⁶⁰. Collectively, current evidence suggests a relationship between oxidative stress and neuroinflammatory status in obesity that can be mitigated by consumption of EC.

Growing evidence indicates that diet-induced obesity contributes to neuroinflammation, as well as to cognitive dysfunction in rodent models ^{13, 16, 24, 61-63}. Both NOR and OLM tasks are based on rodents' innate tendency to explore a novel stimulus. The increased time spent exploring the replaced or relocated

object during the test phase suggests their ability to remember what type of object they were previously exposed to or where the object was previously located during the sample phase. All C, CE, HF, HFE groups had no significant novel location preference shown in OLM task, while novel object preference was observed in NOR task in all groups. It is possible that the spatial cues chosen for OLM were not recognizable or distinguishable by mice to spatially differentiate the two identical objects or the animals were simply not interested in the chosen objects ^{38, 64}. It is also plausible that they needed a shorter retention phase or longer time to explore the objects in the arena⁶⁴. In the current study, consumption of the HFD did not cause large effects on learning and memory. In terms of HFD-mediated cognitive impairment, it is possible that in our study the HFD did not induce cognitive impairment due to its duration. Indeed, it is suggested that specific effects of a HFD on cognition are dependent on the duration of dietary exposure ²². For instance, while 5 weeks on a HFD (60% kcal from fat) did not impair object recognition memory in mice ⁶⁵, 21 weeks on a HFD (40% kcal from fat) impaired recognition memory ⁶². In terms of the MWM, we observed that HFD-fed mice performed similarly to the control group in both the hidden platform task and the probe trial. It has been reported that mice fed a HFD (60% kcal from fat) display impaired spatial memory compared to mice fed control diets, but the duration of the HFD was longer than in our study. For instance, impaired spatial memory was observed in mice after consumption of HFD for 19 weeks ⁶¹, 20 weeks ¹³, and 5 months ⁶⁶. Therefore, future studies with longer durations of HFD consumption are warranted to investigate the effects of EC on HFD-induced impaired learning and memory in mice.

EC supplementation significantly improved recognition memory in HFD-fed mice. Several studies have characterized the effects of EC-rich cocoa on cognition in humans ^{30, 67}. In elderly individuals with mild cognitive impairment, consumption of cocoa flavanols improved cognitive functions ^{30, 67}. So far, most of the effects of EC on cognition have been attributed to improvements in blood flow ⁶⁸. However, EC and/or its metabolites could also act through the mitigation of HFD/obesity-induced neuroinflammation

and through the observed increase in hippocampal BDNF. BDNF is a member of the neurotrophin family of growth factors crucial for the differentiation and survival of neurons. BDNF plays a critical role in the induction of hippocampal long-term potentiation, a form of synaptic plasticity considered to underlie learning and memory ³⁹. BDNF deletion within dorsal hippocampus of mouse impairs learning and memory in novel object recognition task and Morris water maze ⁶⁹. In humans, it has been proposed that circulating BDNF may be used as a biomarker for select psychiatric disorders ⁷⁰. Circulating and brain BDNF levels are found to be increased upon flavanol supplementation in rodents and humans. An effect of EC on BDNF metabolism is supported by findings in humans consuming EC-rich cocoa ⁷¹. High serum levels of BDNF were found in a population of males and females (62-75 y of age) consuming 494 mg flavanols/d for 12 weeks. The increase in serum BDNF was correlated with an improvement in global cognitive performance. Adult male mice fed a control diet and administered with 4 mg EC/day for 14 weeks show a decrease in anxiety assessed in open field and elevated plus maze tests, and an increase in BDNF hippocampal levels ⁷². Although we also observed an increase in hippocampal BDNF mRNA levels in the EC supplemented groups, we did not observe significant changes in the open field behavior among groups (data not shown). On the other hand, the 7-times lower EC intake in our experimental model compared to this previous study ⁷², can explain the differential response. Overall, given the relevant role of BDNF in neurogenesis and in supporting brain physiology, future studies investigating the mechanisms of brain BDNF increase by EC will be of outmost relevance. It is important to mention that other flavonoids can have neuroprotective actions. However, rather than on obesity-associated altered behavior, most studies were focused on ageing-related cognitive dysfunction⁷³. In this regard, high consumption of green tea, which contains catechin, epicatechin (EC), epicatechin-3-gallate (ECG), epigallocatechin (EGC), and epigallocatechin-3-gallate (EGCG), was associated with a lower prevalence of cognitive impairment in Japanese subjects aged \geq 70 y, as assessed by Mini-Mental State Examination^{74, 75}.

In summary, EC supplementation improved recognition memory and mitigated neuroinflammation in a model of diet (HFD)-induced obesity in mice. Among the underlying mechanisms, we provide evidence that EC can in part act by promoting BDNF upregulation and by preventing obesity-induced metabolic endotoxemia and the associated activation of pro-inflammatory responses and oxidative stress in the hippocampus. Further studies, particularly in obese humans, will be essential to support the concept that consumption of EC-rich foods could contribute to improve behavior and cognition in obesity.

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Author contributions

J.K. and Z.W. ran all experiments. P.I.O. designed the study. J.K. and P.I.O. wrote the manuscript. All authors revised the article, critically reviewed it for intellectual content, and approved the final version.

Legend to Figures

Figure 1. Effects of supplementation with EC on mice general outcome. A- Food intake and Bbody weight gain. Mice were fed a control diet (empty circles), the control diet supplemented with 20 mg EC/kg body weight (empty triangles), a HFD (black circles), or the HFD supplemented with 20 mg EC/kg body weight (blue triangles). Body weight was measured weekly. * Differences between the HF and control body weight gain values are significant between week 1 and 13 on the diets (p<0.05, two-way ANOVA with Fisher's LSD). **C**- Mice general outcome parameters after 13 weeks on the diets. Results are shown as means ± SEM and are the average of 6-10 animals/group. Values having different superscripts are significantly different (p<0.05, two-way ANOVA with Fisher's LSD).

Figure 2. EC supplementation improves parameters of inflammation and oxidant production in the hippocampus. A, B- TLR4, Iba-1, TNF α , iNOS, NOX2 and NOX4 mRNA levels in the hippocampus were determined by RT-PCR and the relative gene expression was normalized to β -actin as housekeeping gene. Determinations were done after 13 weeks on the respective diets. Results are shown as mean ± SEM of 6-10 animals/group Data were normalized to control values. Values having different superscripts are significantly different (p<0.05, two-way ANOVA with Fisher's LSD).

Figure 3. Effects of EC supplementation on HFD-induced endotoxemia. A- Plasma endotoxin concentration on week 13 on the respective diets. Results are shown as mean ± SEM of 6-10 animals/group. Values having different superscripts are significantly different (p<0.05, two-way ANOVA with Fisher's LSD). B-D- Correlations between **B, C**-plasma endotoxin concentration and **B**- TLR4 mRNA,

C-TNF α mRNA levels. **D**- Correlation between TLR4 and TNF α mRNA levels. Solid line represents the regression line and dashed lines delineate the 95% confidence band.

Figure 4. Effects of EC supplementation on short-term recognition memory and spatial

memory. For both novel object recognition and object location memory tasks, animals explored two identical unfamiliar objects for 5 minutes (sample phase). After being placed in the home cage for 1 hour (retention phase), they were reintroduced to the arena for 5 minutes (test phase). **A**- EC supplemented HF group (HFE) exhibited greater novel object preference compared to the HF group as measured by the preference index. **B**- All groups performed similarly in both sample and test phases as measured by the preference index. Neither HFD nor EC supplementation affected spatial memory in the object location memory task. Dashed lines delineate 50% null preference. Results are shown as mean ± SEM of 8-10 animals/group. Values having different superscripts are significantly different (p<0.05, two-way ANOVA with Fisher's LSD).

Figure 5. Effects of EC supplementation on spatial learning and memory and levels of BDNF in the hippocampus. A- Learning curves of mice in the hidden platform task and B- Time spent in each quadrant during the probe trial. C- BDNF mRNA levels were determined by RT-PCR and the relative gene expression was normalized to β -actin as housekeeping gene. Results are shown as mean ± SEM of 6-10 animals/group. Data were normalized to control values. Values having different superscripts are significantly different (p<0.05, two-way ANOVA with Fisher's LSD). **Supplemental Figure 1. Within group performance of NOR and OLM. A-** C, CE, HF, and HFE groups all significantly preferred the novel object during the testing phase. **B-** No significant within group changes in the preference index was observed in all groups, indicating no overall novel location preference. Dashed lines delineate 50% null preference. Results are shown as mean ± SEM of 8-10 animals/group (*p<0.05, paired t-test).

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Table 1. Primers used in the study.

Gene	Forward Primer (5' \rightarrow 3')	Reverse Primer (5' \rightarrow 3')
β-Actin	TCATGAAGTGTGACGTGGACATCCGC	CCTAGAAGCATTTGCGGTGCACGATG
BDNF	ATGGGACTCTGGAGAGCCTGAA	CGCCAGCCAATTCTCTTTTTGC
Iba-1	GTCCTTGAAGCGAATGCTGG	CATTCTCAAGATGGCAGATC
iNOS	CGAAACGCTTCACTTCCAA	TGAGCCTATATTGCTGTGGCT
NOX2	AACTGTATGCTGATCCTGCTGC	GTTCTCATTGTCACCGATGTCAG
NOX4	TGAGGAGTCACTGAACTATGAAGTTAATC	TGACTGAGGTACAGCTGGATGTTCACA
TLR4	GGAAGTTCACATAGCTGAATGAC	CAAGGCATGTCCAGAAATGAGA
TNFα	CCCCTCAGCAAACCACCAAGT	CTTGGGCAGATTGACCTCAGC



Figure 1



254x190mm (96 x 96 DPI)



Figure 2



190x254mm (96 x 96 DPI)



Figure 3

Figure 3

190x254mm (96 x 96 DPI)



Figure 4

Figure 4

190x254mm (96 x 96 DPI)









(-)-Epicatechin improves memory in high fat diet-induced obese mice in association with prevention of endotoxemia and mitigation of neuroinflammation.

254x190mm (96 x 96 DPI)