



Loading natural emulsions with nutraceuticals using the pHdriven method: Formation & stability of curcumin-loaded soybean oils bodies

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28 Abstract

29 Previous studies have shown that the pH-driven method can be used to load curcumin into a 30 variety of colloidal particles, including micelles, liposomes, lipid droplets, and oil bodies. This 31 method is based on the increase in hydrophobicity and a corresponding decrease in water-32 solubility of curcumin when the pH changes from highly alkaline to acidic. In this study, we 33 examined the physical and chemical stability of curcumin-loaded soybean oil bodies prepared 34 using the pH-driven method. First, the impact of pH (from 6.5 to 8) on the stability of curcumin-35 loaded soymilk during storage was investigated at 4 °C for 36 days. At this low storage 36 temperature, more than 85% of the alkaline-sensitive curcumin was retained at all three pH 37 values, without any evidence of color fading. The impact of holding temperature (4, 20, 37, and 55 °C) on the physicochemical stability of the curcumin-loaded soymilks was then measured 38 39 during storage at pH 7 for 14 days. At 4 and 20 °C, the emulsions remained physically stable, 40 most of the curcumin (> 90%) was retained, and there was no evidence of color fading. At the 41 higher temperatures, however, the rate of curcumin degradation increased. For instance, around 42 30% and 70% of curcumin was lost when the soymilks were stored at 37 and 55 °C, respectively. 43 On the other hand, the soymilks remained physically stable throughout this period. This study provides valuable information about the loading of curcumin into pre-existing plant-based milks 44 45 and creamers, which may be useful for developing a new category of functional foods and 46 beverages. 47 48 *Keywords*: pH shift; soymilk; plant-based foods; curcumin; nutraceuticals

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51 Introduction

52 Recently, there has been increasing interest in replacing animal-based and artificial 53 ingredients in foods and beverages with plant-based alternatives ¹. This movement has largely 54 been driven by the perceived benefits of plant-based foods on the health of both humans and the environment²⁻⁴. For this reason, the food industry is reformulating many of its existing products, 55 56 as well as developing innovative new products, using plant-based components. One category of 57 product that has been particularly successful is plant-based milks and creams, such as those 58 based on soybean, cashew, coconut, almond, hemp, and oat ⁵. These products are often designed 59 to have a similar appearance, texture, and flavor as their dairy-based counterparts. 60 Many plant-based milks and creams are formed by breaking down plant structures to release 61 oil storage bodies, such as soybeans, almonds or cashews ⁵. These oil bodies are a natural form 62 of colloidal particle that consists of a triglyceride core surrounded by a layer of phospholipids 63 and proteins ^{6,7}. These oil bodies contribute many of the desirable physicochemical attributes to 64 plant-based milks, including their opacity, mouthfeel, and flavor profile. Like other types of 65 colloidal particles, the aggregation stability of oil bodies is determined by the nature of the attractive and repulsive interactions acting between them, which depends on their surface 66 67 chemistry and the prevailing environmental conditions, such as pH, ionic strength, and 68 temperature ^{8,9}. The formulation of stable plant-based milks and creams, therefore, depends on 69 understanding the nature of the colloidal interactions acting between the oil bodies. In principle, 70 the hydrophobic core of oil bodies can be used to solubilize and transport non-polar bioactive 71 agents, such as oil-soluble vitamins and nutraceuticals, which could be used to fortify plant-72 based milks and creams with health promoting-components. The challenge, however, is to load 73 the hydrophobic bioactives into pre-existing oil bodies.

Page 4 of 46

74 Previous researchers have loaded curcumin into artificial seed oil bodies formed by 75 homogenization of an oil and aqueous phase together in the presence of seed proteins and phospholipids ¹⁰. In the present study, however, we focused on loading curcumin into the natural 76 77 oil bodies present in sovmilk. Our method, therefore, has the advantage that it leaves the oil 78 bodies in natural plant-based sources intact. Curcumin is the main bioactive component found in 79 turmeric, which is used as a coloring and flavoring agent in foods, as well as a nutraceutical ¹¹⁻¹³. 80 Previous studies have shown that curcumin can be loaded into pre-existing colloidal particles, 81 such as lipid droplets, using a variety of strategies. Crystalline curcumin in powdered form has 82 been mixed with an oil-in-water emulsion and then the resulting mixture has been heated, which 83 causes the curcumin to dissolve and move into the hydrophobic interior of the lipid droplets ^{14, 15}. 84 However, holding the emulsions at elevated temperatures can promote droplet aggregation and 85 curcumin degradation. Alternatively, curcumin can be dissolved within an organic solvent (such 86 as ethanol) and then mixed with pre-existing colloidal particles, which should also cause the 87 curcumin to move into the particles. But the utilization of organic solvents in the fabrication 88 process is often undesirable because of the additional costs associated with removing them, as 89 well as their potential to damage the environment ^{16, 17}. 90 Recently, a simple, inexpensive, organic solvent-free method has been developed to load

92 nanoemulsions, and protein nanoparticles ¹⁸⁻²². This pH-driven method is based on changes in the 93 hydrophobicity, and therefore water-solubility, of curcumin when the pH is changed. At 94 relatively low pH values (< pH 8), curcumin is a neutral non-polar molecule with a high oil-95 water partition coefficient and low water-solubility ²³. As the pH is raised above this value, a 96 number of the hydroxyl groups on curcumin become progressively deprotonated, resulting in an

curcumin into various types of colloidal delivery system, including micelles, liposomes,

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97 increase in negative charge, increase in hydrophilicity, decrease in oil-water partition coefficient, 98 and rise in water-solubility. This phenomenon can be used to load pre-existing colloidal 99 particles using a two-step process: (i) curcumin crystals are dissolved in a strongly alkaline 100 solution; (ii) this solution is mixed with an acidified colloidal suspension. The final pH of the 101 mixed system is around neutral or less, which causes the curcumin in the aqueous phase to 102 become non-polar and move into the hydrophobic interior of the colloidal particles. 103 The objective of the current study was, therefore, to establish whether the pH-driven method 104 could be used to successfully load curcumin into the soybean oil bodies within a commercial 105 soymilk product. Moreover, we examined the impact of environmental stresses, such as storage 106 pH and temperature, on the physical and chemical study of the curcumin-loaded soymilks. 107 Furthermore, the gastrointestinal fate of the soymilk before and after storage was also 108 investigated using an *in-vitro* digestion model. The results of this study should aid the design 109 and formulation of more efficacious nutraceutical-enriched functional foods and beverages.

110 Materials & Methods

111 Materials

112 A commercial soy creamer, which contained 10% w/v fat, was purchased from a local 113 supermarket (Silk, Whitewave Foods, Broomfield, Colorado). Curcumin powder (C2302, purity 114 95%) was produced by TCI Chemicals (Portland, OR). Sodium Hydroxide (SS266), hydrochloric 115 acid (83.3 mM) and dimethyl sulfoxide (BP231) were obtained from Fisher Chemicals (Fair 116 Lawn, NJ). Nile Red (N3013), fluorescein isothiocyanate isomer I (FITC, F1250), and sodium 117 azide (S2002) were purchased from the Sigma-Aldrich Chemical Company (St. Louis, MO). 118 The Nutrition Facts label on the soymilk used in this study reported that the product had the 119 following attributes: serving size (15 mL); calories per serving, 20 kCal; total fat, 1.5 g; total

120 carbohydrate, 2 g (1g sugar); and, protein, 0 g. The ingredients reported on the product label
121 were: soymilk (filtered water and soybean), cane sugar, palm oil maltodextrin, contains 2% or
122 less of: soy lecithin, natural flavor, tapioca starch, locust bean gum, and dipotassium phosphate.
123 It should be noted that soybeans do contain some protein, which was presumably below the
124 threshold required to appear on the nutrition facts label. This was important because it meant
125 that their location could be determined using confocal fluorescence microscopy (see later).

126 **Preparation protocol**

127 Blank commercial soymilk

Initially, the effect of pH on the physiochemical properties of curcumin-free soymilks was examined by adjusting the pH from 2 to 10 using acid and base solutions. The particle characteristics of the soymilks were then measured using the methods described later.

131 Curcumin-loaded soymilk

132 The effect of particle characteristics on the properties of curcumin-loaded soymilks prepared 133 using the pH-driven method was then examined. A stock curcumin solution (10 mg/g) was 134 prepared by dissolving a weighed amount of curcumin powder into a sodium hydroxide solution 135 (0.2 N NaOH). The alkaline curcumin stock solution was then added to a commercial soymilk 136 (1:10 w/w) and the final system was rapidly adjusted to pH 6.5, 7.0 or 8.0 using a hydrochloric 137 acid solution. The curcumin-loaded soymilks were then diluted with double distilled water to 138 produce a final system containing 5 % oil, then stirred for 10 min at room temperature to ensure 139 homogeneity. Finally, sodium azide (0.02%), which is a non-food grade preservative, was added 140 to the system to inhibit any microbial growth. It should be noted that the pH-shift method would 141 lead to the formation of some NaCl in the final samples due to the use of NaOH to create an 142 alkaline solution and then addition of HCl to neutralize it. Based on the initial NaOH

143	concentration (0.2 M) and the dilution factor (1:10), we would expect the NaCl level in the final
144	samples to be around 20 mM.
145	Storage study
146	The effect of pH on the chemical stability of curcumin within the soymilk was investigated
147	when it was stored at 4 °C for 36 days. Curcumin-loaded soymilks with three different pH values
148	were prepared (pH 6.5, 7, and 8), poured into a series of glass test tubes (10 mL), and then sealed
149	with a cap and parafilm to avoid evaporation and contamination. All samples were then
150	incubated in the dark at 4 °C to avoid photodegradation. Sealed samples were then taken and
151	analyzed for each measurement throughout the storage period.
152	The impact of storage temperature (4, 20, 37 and 55 °C) on the stability of the curcumin-
153	loaded soymilk was then investigated at pH 7. A known volume of curcumin-loaded soymilk
154	(10 mL) was placed within the glass test tubes and then stored in the dark. Again, samples were
155	selected periodically for analysis to determine the impact of storage temperature on product

156 stability.

157 **Optical properties**

The appearance and optical properties of the soymilks were determined using a digital camera and instrumental colorimeter (ColorFlex EZ 45/0-LAV, Hunter Associates Laboratory Inc., Virginia, USA), respectively. The colorimeter represented the optical properties of the samples using tristimulus color coordinates: *L**, *a** and *b** values. Here, *L** represents lightness (0) to darkness (100); *a** represents red (+) to green (-); and, *b** represents yellow (+) to blue (-). Samples (10 mL) were poured into a Petri dish and then illuminated using D65-artificial daylight using a 10° standard angle and a black background.

165 **Particle characterization**

166 The particle size distribution (PSD) and mean particle diameter (D_{32}) of each sample was 167 measured using a laser diffraction particle size analyzer (Mastersizer 2000; Malvern Instruments, 168 Worcestershire, UK). The electrical characteristics (ζ -potential) of the particles in each sample 169 were determined by measuring their electrophoretic mobility using a light scattering device 170 (Nano-ZS, Malvern Instruments, Worcestershire, UK). Each sample was diluted with double 171 distilled water with the same pH as their aqueous phase prior to measurement. 172

Microstructure analysis

173 A confocal scanning fluorescence microscopy (Nikon D-Eclipse C1 80i, Nikon, Melville, 174 NY) was used to investigate the microstructure of the samples. Each sample was stained with 175 Nile red (1 mg/mL ethanol) and FITC (1 mg/mL DMSO) to highlight the lipid (stained red) and 176 protein (stained green) regions, respectively. All images were acquired using 200× magnification 177 $(20 \times \text{ objective lens and } 10 \times \text{ eyepiece lens}).$

178 *In-vitro* study

179 A simulated gastrointestinal tract (GIT) was used to measure the bioaccessibility of the curcumin in the soymilks (pH 7) both before and after storage. This GIT model is based on one 180 181 previously developed in our laboratory, which consists of simulated mouth, stomach and small 182 intestinal conditions ²⁴. The curcumin-loaded soymilk was passed through the full GIT model 183 and then the amount of curcumin solubilized within the mixed micelle phase was measured. 184 To simulate the mouth phase, a fixed volume of pre-warmed (37 °C) curcumin-loaded 185 soymilk (15 mL) was transferred into a pre-warmed (37 °C) simulated artificial saliva fluid (15 186 mL), which was prepared by adding mucin (3 mg/mL) to artificial saliva solution. This mixture was then adjusted to pH 6.8 and placed within a temperature-controlled shaking incubator 187

Food & Function

188	operating at 100 rpm and 37 °C for 30 seconds (Innova Incubator Shaker, Model 4080,
189	New Brunswick Scientific, New Jersey, USA). The resulting sample was then incubated
190	under simulated gastric conditions. Pre-heated (37 °C) simulated gastric fluid (30 mL),
191	which contained 3.2 mg/ mL pepsin, was added to the same volume of the sample from
192	the mouth phase (30 mL). The resulting mixture was then adjusted to pH 2.5 and
193	incubated at 37 °C for 2 hours with 100 rpm shaking. After that, the sample (60 mL) arising
194	from the stomach phase was transferred into the small intestinal phase. Pre-heated simulated
195	intestinal fluid (3 mL) and bile salt solution (7 mL) was added to the mixture and the pH
196	was adjusted to neutral. Then, a freshly prepared pancreatic lipase solution (5 mL) was
197	added to the mixture and the pH was adjusted back to neutral. The bile salt solution
198	was prepared by dissolving porcine bile extract (53.57 mg/mL) into phosphate buffer (5
199	mM, pH 7.0), and the pancreatic lipase solution was prepared by adding pancreatic
200	lipase (0.16 mg/mL) into phosphate buffer (5 mM, pH 7.0). Throughout the 2-hour small
201	intestinal phase, an automatic titration unit (Metrohm, USA Inc.) was used to monitor and
202	maintain the sample at pH 7.0.

203 **Curcumin concertation determination**

The concentration of the curcumin within the soymilk was determined using a UV/visible 204 205 spectrophotometry method. First, the curcumin was extracted from the samples using acidified 206 dimethyl sulfoxide (0.1% v/v 6N HCl) solution. Acidification was used to enhance the chemical 207 stability of the curcumin. The absorbance of the curcumin solutions was measured using a UV-208 visible-spectrometer (Genesys 150, Thermos Fisher Scientific, Madison, WI) at a wavelength of

209 420 nm. The curcumin concentrations were then calculated from the measured absorbance values 210 using a standard curve prepared using a series of samples of known curcumin level. 211 *Encapsulation Efficiency* 212 The encapsulation efficiency of the curcumin-loaded soymilks was calculated using the following equation: 213 214 Encapsulation Efficiency = $100 \times C_{encapsulated} / C_{initial}$ (1)Here, C_{initial} is the total concentration of curcumin initially added to the soymilk and C_{encapsulated} is 215 216 the concertation of curcumin present within the curcumin-loaded soymilk after preparation using 217 the pH-driven method. 218 Stability and Bioaccessibility 219 After passing through the full GIT model, the resulting fluid was collected and divided into 220 two fractions. One fraction, which was used without any further treatment, was taken to be the 221 total digested phase. The other fraction, which was the supernatant collected after centrifugation, 222 was taken to be the mixed micelle phase. The mixed micelle phase was prepared by 223 centrifugation of the total digest at 18,000 rpm at 25 °C for 50 min (Thermo Scientific, Waltham, 224 MA) and then collecting the clear supernatant from the samples. The curcumin concentrations within the total digested phase (C_{digest}) and with the mixed micelle phase ($C_{micelle}$) were then 225 226 determined using UV/visible spectrophotometry as described earlier. 227 The *bioaccessibility and stability* of the curcumin-loaded soymilk were then calculated using 228 the C_{micelle}, C_{digest} and C_{initial} values using the following expressions: *Bioaccessibility* (%) = 100 × $\frac{C_{micelle}}{C_{diaest}}$ 229 (2)Stability(%) = $100 \times \frac{C_{digest}}{C_{initial}}$ 230 (3)

231	Here, the $C_{micelle}$ is the concentration of curcumin within the mixed micelle phase; the C_{digest} is
232	the concentration of curcumin within the digest phase; the C _{initial} is the concertation of curcumin
233	within the soymilk system before digestion. The Bioaccessibility represents the fraction of
234	curcumin solubilized in the mixed micelle phase, which is potentially available for absorption.
235	The Stability represents the total amount of curcumin that survived after the soymilks were
236	passed through the simulated GIT.
237	Kinetic study
238	The kinetics of curcumin degradation within the soymilk samples during storage was
239	calculated using the Arrhenius equation:
240	$k = A \ e^{-Ea/RT} \tag{4}$
241	Here, k is the rate coefficient, A is the Arrhenius constant; E_a is the activation energy, R is the
242	universal gas constant (8.314 × 10 ⁻³ kJ mol ⁻¹ K ⁻¹); and T is the temperature in Kelvin.
243	Statistical analysis
244	All the data from this experimental study are displayed as the mean \pm the standard deviation
245	determined from measurements made on three freshly prepared samples. Statistical comparisons
246	were carried out using dedicated mathematical software (SPSS version 6.0) and a significant
247	difference was considered to occur when the <i>p</i> -value was lower than 0.05.
248	Results and discussions
249	Soymilk characteristics
250	The commercial soymilk used in this study consists of soybean oil bodies dispersed in an
251	aqueous solution that contains various other ingredients, such as cane sugar, maltodextrin, soy
252	lecithin, starch, locust bean gum, and dipotassium phosphate. Visually, the soymilk had a

253 homogenous appearance with a bright milky color (Fig. 1a). Measurement of the pH of the

254 soymilk showed that it was slightly basic (pH 7.5). The mean particle diameter (D_{32}) of the 255 soymilk determined by laser diffraction analysis was 367 ± 2 nm. Particle size distribution 256 analysis showed that the majority of particles had diameters between about 0.1 and 4 μ m, but 257 that there was a small population of particles with larger dimensions (Fig 1b). The original soymilk had a relatively high negative surface potential ($\zeta = -39.8 \pm 2.6$ mV), which can be 258 259 attributed to the presence of anionic phospholipids and proteins at the oil body surfaces ⁷. The 260 magnitude of the negative charge on the oil bodies should be high enough to generate a strong 261 electrostatic repulsion that prevents them from aggregating with each other ^{25, 26}.

262 Influence of pH on particle characteristics in soymilk

263 The impact of pH on the physicochemical properties and stability of the curcumin-free 264 (blank) soymilk was characterized to establish whether it would remain stable during the pH-265 driven method and to determine the range of pH conditions where it would remain stable in 266 commercial applications. The particle characteristics of these soymilks were measured after 267 their aqueous phases had been adjusted to pH values ranging from 2 to 10 and then stored for 268 several hours (Fig.1a). From pH 6 to 10, the soymilks remained visibly stable, with no evidence 269 of phase separation. From pH 2 to 5, however, there was clear evidence of phase separation, 270 with a curd-like whitish upper phase and a clear watery lower phase. These results show that the 271 soymilks were highly unstable to aggregation and creaming under moderately acidic conditions. 272 The impact of pH on the mean particle diameter and ζ-potential of the particles in the 273 soymilks were investigated using laser diffraction and electrophoresis analysis, respectively 274 (Figs. 1c & 1d). From pH 10 to 7, the soybean oil bodies had a relatively high and constant 275 negative surface potential ($\zeta \approx -42 \text{ mV}$) and had relatively small and constant particle dimensions $(D_{32} < 380 \text{ nm})$. When the pH was reduced to 6, the surface potential became slightly less 276

277 negative ($\zeta \approx -35$ mV) and the particle dimensions increased somewhat ($D_{32} \approx 578$ nm), which 278 suggested that a limited amount of oil body aggregation had occurred. When the pH was further 279 reduced into the range from 5 to 2, there was a pronounced increase in the mean particle 280 diameter ($D_{32} > 12 \mu m$), which indicated that extensive oil body aggregation occurred under 281 these conditions. The laser diffraction measurements were therefore consistent with the visual 282 observations of phase separation in the samples over this pH range (Fig. 1a). The origin of this 283 effect can be attributed to the decrease in the magnitude of the surface potential on the oil bodies 284 at lower pH values (Fig. 1c), which would have reduced the electrostatic repulsion between 285 them. Indeed, the ζ -potential went from negative at high pH values to positive at low pH values, 286 with a net charge of zero around pH 3.6. This effect can be attributed to progressive protonation of the carboxyl groups (-COO⁻ \rightarrow -COOH) and amino groups (-NH₂ \rightarrow -NH₃⁺) on the adsorbed 287 288 proteins and phospholipids as the pH was reduced. It should be noted, however, that the 289 commercial soymilk contained other components that could also have attributed to its electrical 290 characteristics, such as soy lecithin. Presumably, this ingredient was added to improve the 291 aggregation stability of the oil bodies, probably by adsorbing to any hydrophobic patches on 292 their surfaces.

293 Influence of pH-driven method on soymilk properties

The experiments described previously indicated that the soymilk remains physically stable at relatively high pH values. In commercial products, the pH may vary somewhat depending on the ingredients used to formulate them, as well as any chemical changes that occur during storage. For this reason, we carried out the following experiments using soymilk samples with three different final pH values: pH 6.5, 7.0 and 8.0. Soymilk samples above pH 8 were not evaluated because food and beverage products rarely have such highly alkaline conditions. 300 Appearance

301 Digital photography images and instrumental colorimetry measurements were used to 302 characterize the appearance of the curcumin-loaded soymilks at the three different pH values 303 (Figs. 3a and 4). The photography images showed that the soymilk samples had a homogenous 304 cloudy yellow appearance at pH 6.5 and 7, but were slightly more orange-colored at pH 8 (Fig 305 3a). The tristimulus coordinates of the soymilks also indicated that there were appreciable 306 differences in their color depending on pH (Table 1). At pH 6.5 and 7, the soymilks had fairly similar color characteristics indicative of a creamy yellow appearance: $L^* (\approx 82)$, $a^* (\approx -8)$, and 307 $b^* (\approx 81)$. But, at pH 8, the soymilk had significantly lower $L^* (\approx 77)$ and $b^* (\approx 75)$ values and 308 309 higher $a^* \approx 0.6$ values (Table 1). This suggests that the soymilk at the highest pH was less 310 light, less green, and less yellow than the ones at the lower pH values. This effect can be 311 attributed to the pH-dependent color of the curcumin molecule. When the pH is increased to 312 around the first pK_a value of curcumin (around pH 7.5 to 8.5), one of its hydroxyl groups 313 becomes deprotonated, which leads to a change in color from yellow to orange ²⁷⁻²⁹. This 314 phenomenon may be important when formulating curcumin-loaded soymilk products with 315 specific visual characteristics.

316 Curcumin concentration

The impact of the pH-driven method on the encapsulation efficiency of the curcumin within the soymilks was determined. A fixed amount of curcumin (250 μ g/mL) was utilized to prepare all the nutraceutical-loaded soymilks using the pH-driven method. After loading, the encapsulation efficiencies of the curcumin in the soymilks were determined. At all three pH values, a relatively high and fairly similar encapsulation efficiency was obtained, *i.e.*, 91-94%

322 (Table 1). These results indicate that the pH-driven method was a successful approach for323 incorporating curcumin into pre-existing oil bodies.

324 *Particle characteristics*

325 The impact of using the pH-driven method for loading curcumin into the soybean oil bodies 326 on the structural properties of the soymilk was also investigated. The loading of the curcumin 327 into the oil bodies had no significant impact on their surface potential (Fig. 2a) or mean particle 328 diameter (Fig. 2b) at any of the pH values used. There was little change in the surface potential 329 and only a slight decrease in the mean particle diameter when the pH was increased from 6.5 to 330 8, which is consistent with the earlier experiments (Figs. 1a, c & d). Immediately after 331 preparation, all the curcumin-loaded soymilks had a homogenous creamy yellow appearance 332 (Fig. 3a), which suggests that they initially had good stability to aggregation and creaming. This 333 was confirmed by measuring the microstructures of the soymilk using confocal microscopy (Fig. 334 3b), which showed that at all three pH values the soymilks contained relatively small oil bodies 335 that were distributed evenly throughout the system. Fluorescent staining indicated that the oil 336 bodies (stained red) were dispersed in an aqueous medium that contained proteins (stained 337 green).

338 Effect of pH on storage stability of curcumin-loaded soymilk

For commercial applications, it is important that plant-based milks have a sufficiently long shelf life. For this reason, we measured the physiochemical stability of curcumin-loaded soymilks (pH 6.5, 7, and 8) during storage at 4 °C in the dark. This temperature was selected because the milks would be expected to be stored in the refrigerator prior to utilization. 343 Appearance

344 After 36 days storage, all of the soymilks still had a creamy yellow/orange color but some 345 phase separation was observed, with a watery serum layer being visible at the bottom of the test 346 tubes (Fig 3a). This effect was attributed to the upward movement of the oil bodies due to 347 gravity. The thickness of the serum layer was greatest for the soymilk at pH 6.5, which was 348 probably because it contained the largest particles (Fig. 2b), presumably because some of the oil 349 bodies had aggregated. Conversely, the soymilk at pH 8 was the most stable to gravitational 350 separation, maintaining a uniform creamy yellow/orange appearance after storage. There did, 351 however, appear to be a slight reduction in the intensity of the color in this sample after storage, 352 as well as the formation of thin orange sediment at the bottom of the test tube (Fig. 3a). This 353 orange sediment was assumed to be due to the formation and sedimentation of curcumin crystals 354 within the soy milks. The decrease in the color-intensity of the soymilk at pH 8 may, therefore, 355 have been because some of the curcumin had moved to the bottom of the samples and therefore 356 did not contribute to their overall appearance. In addition, some of the curcumin may have 357 chemically degraded during storage (see later).

The instrumental color coordinates of the curcumin-loaded soymilk were measured before and after storage (Fig. 5a, Table 1). The kinetics of color fading during storage is highlighted by plotting the normalized yellowness *versus* time because this was the dominant color coordinate: b^*/b_0^* , where b_0^* is the color coordinate at zero time. This value changed by less than 2% during storage, which suggests that there was little alteration in yellow color. There was also little change in the *a**-value of the soymilks (Table 1). Indeed, *a** increased from -8 to -6 for the soymilks at pH 6.5 and 7, which indicates a slight decrease in their greenish hue. Conversely,

365 the a^* of the soymilk at pH 8 decreased slightly from 0 to -1, which indicates that it became 366 slightly more greenish.

367 *Curcumin concentration*

The concertation of curcumin remaining in the soymilk was also measured during storage to investigate the impact of pH on its chemical stability (Fig. 5b). After 36 days storage at 4 °C, the majority of curcumin was still present, with the precise amount depending on solution conditions (Table 1): pH 6.5 (91%), pH 7 (90%), and pH 8 (87%). Overall, these results suggest that curcumin was relatively stable to degradation under these storage conditions, but that the rate of degradation increased as the pH was raised, which is consistent with previous results ³⁰.

374 *Particle characteristics*

375 Changes in the characteristics of the particles in the curcumin-loaded soymilks stored at 376 different pH values were also determined (Fig. 4). The mean particle diameter of all the soymilks 377 remained relatively constant during storage (Fig. 4a), suggesting that the oil bodies were stable to 378 aggregation. The ζ-potentials of the particles in all the soymilks became slightly less negative 379 (from around -40 to -35 mV) during storage (Fig. 4b), which suggests that there were some 380 changes in the interfacial composition of the oil bodies during storage. Even so, the negative 381 surface potential should still have been large enough to generate a strong electrostatic repulsion 382 that inhibited the aggregation of the oil bodies. As mentioned earlier, we did see some phase 383 separation (creaming) of the oil bodies after 36 days storage, particularly at the lower pH values. 384 This result suggests that there may have been some weak flocs formed in the soymilk samples 385 that promoted creaming due to the increase in particle size, but which were disrupted when the 386 samples were diluted for the particle size measurements.

Effect temperature on the soymilk containing curcumin

Many commercial food and beverage products experience changes in their temperature during their manufacture, storage, and utilization. Consequently, it is important to establish the impact of temperature on the physicochemical properties and stability of the curcumin-loaded soymilk samples. For this reason, changes in the properties of the soymilk were measured when they were stored at 4, 20, 37, and 55 °C for 14 days. The soymilk at pH 7 was selected for these studies because it was more stable to creaming than the pH 6.5 sample and more stable to curcumin crystallization than the pH 8 sample.

395 Appearance

396 The storage temperature had a pronounced impact on the overall appearance of the 397 curcumin-loaded soymilks (Fig. 6a). The extent of color fading increased as the storage 398 temperature increased. At 4 and 20 °C, the curcumin was relatively stable to fading, with the 399 soymilks maintaining a strong yellowish color, but at 37 and 55 °C there was clear evidence of 400 color fading. In addition, the soymilks exhibited a greater degree of gravitational separation as 401 the storage temperature was raised. The samples stored at 4 and 20 °C were relatively stable to 402 creaming during storage, but those stored at 37 and 55 °C had a thick cream layer at their 403 surfaces after storage, which was attributed to the upward movement of the oil bodies due to the 404 gravitational forces acting upon them.

Instrumental colorimetry analysis of the curcumin-loaded soymilk during storage also showed that the rate of color fading increased with storage temperature. The color stability of the soymilk samples was represented by plotting the relative change in their yellowness (b^*/b_0^*) over time (Fig. 7a). The *L**, *a** and *b** values of the soymilks before and after storage is shown in Table 2. Overall, color fading increased in the following order: 4 °C \approx 20 °C < 37 °C < 55 °C

Food & Function

410	(Fig. 7a). The lightness (L^*) of all the soymilks remained greater than 90 % even after 15-days
411	storage. The greenness (a^*) of the soymilks decreased by about 20% at 4 and 20 °C, 30% at 37
412	°C, and 50% at 55 °C after 15-days storage. The yellowness (b^*) of the soymilks did not change
413	appreciably (< 2%) at 4 and 20 °C, but decreased by about 10% at 37 °C, and 30% at 55 °C.
414	These results suggest that the curcumin should be stored at a relatively low temperature in order
415	to minimize color fading.
416	Curcumin concentration
417	The fading of the curcumin-loaded soymilks was most likely due to the chemical instability
418	of the curcumin molecule at elevated temperatures. We therefore measured the impact of
419	temperature on the change in curcumin concentration during storage (Fig. 7b). The rate of
420	curcumin degradation clearly increased with increasing temperature. After 15 days storage, less
421	than 10% of the curcumin degraded at 4 and 20 °C, around 35% at 37 °C, and around 75 % at 55
422	°C. The chemical transformation of the curcumin during storage would therefore account for
423	the faster color fading observed at the higher temperatures.
424	A more detailed analysis of the reaction kinetics of curcumin degradation in the soymilks
425	was obtained by applying the Arrhenius equation (Equation 4). This equation can be rearranged
426	to give:

427
$$\ln(k) = \ln(A) - \frac{E_A}{RT}$$
 (5)

Thus, the activation energy can be determined by plotting the logarithm of the reaction rate (k)428 versus the reciprocal of the absolute temperature (1/T) (Fig. 8). The reaction rate was 429 determined at each temperature from plots of curcumin concentration versus time, assuming a 430 first order reaction, *i.e.*, $C/C_0 = A \exp(-kt)$. This analysis indicated that the activation energy for 431 curcumin degradation was around 48.4 kJ/mol. 432

433 Particle characteristics

434 The size and electrical characteristics of the particles in the soymilk samples were also 435 measured during storage at the four different temperatures (Figs. 6b & 6c). Overall, the mean 436 particle diameter remained relatively small (< 400 nm) and the ζ -potential remained highly 437 negative (≈ -40 mV) throughout storage. These results suggest that the soymilk samples were 438 relatively stable to coalescence or strong flocculation at all temperatures. Conversely, the fact 439 that we did observe appreciable creaming at the higher storage temperatures suggests that some 440 weak flocculation of the oil bodies may have occurred. However, the confocal microscopy 441 images of the samples did not indicate that extensive oil body aggregation had occurred (Fig. 442 6d). An alternative explanation is that more rapid oil body creaming occurred at the higher 443 storage temperatures because of the reduction in aqueous phase viscosity. The dynamic shear 444 viscosity of water has been reported to be 1.6, 1.0, 0.69, and 0.50 mPa s at 4, 20, 37, and 55 °C, 445 respectively (www.vaxasoftware.com). Thus, there is more than a 3-fold decrease in the 446 aqueous phase viscosity from the lowest to highest storage temperatures used. According to 447 Stoke's law, the creaming velocity of a spherical particle due to gravity is inversely related to the 448 viscosity of the surrounding fluid, so a decrease in aqueous phase viscosity should promote faster 449 creaming ³¹. Overall, these results suggest that the physical stability of the soymilks is also 450 improved by storing them at relatively low temperatures.

451 **Bioaccessibility and stability**

Finally, we examined the impact of encapsulation of curcumin within the soymilks on two of the main factors affecting nutraceutical bioavailability after ingestion: bioaccessibility and stability in the gastrointestinal tract (GIT) ^{32, 33}. The curcumin-loaded soymilk stored at pH 7 and 455 4 °C was used for these experiments because it had the best physical and chemical stability. The

456 potential bioavailability of the curcumin was determined using a simulated GIT before and after 457 storage of the soymilks (Table 3). As expected, the total fraction of curcumin within both the 458 total digest and the mixed micelle phases were slightly (but significantly) higher before storage 459 than after storage, which can be attributed to some curcumin degradation. The gastrointestinal 460 stability and bioaccessibility of the curcumin were fairly similar before and after storage. About 461 82-85% of the curcumin survived passage through the simulated mouth, stomach, and small 462 intestine phases, but only about 55-59% of the curcumin was solubilized within the mixed 463 micelle phase and therefore available for absorption. It is possible that the remainder of the 464 curcumin either formed crystals that precipitated or bound to insoluble protein complexes and 465 was therefore not present in the mixed micelle phase. Nevertheless, these measurements show 466 that a substantial fraction of the ingested curcumin should still be in a from that would be 467 bioavailable. Having said this, it is important to note that the human gut contains many types of metabolic enzymes that can transform curcumin into different forms ^{34, 35}, which was not 468 469 considered in the current study. In future studies, it would therefore be useful to test the 470 curcumin-loaded soymilks using animal or human feeding trials.

471 **Conclusions**

In summary, this study has shown that the pH-driven method can be successfully used to load curcumin into commercial soymilks and that the storage stability of the resulting systems depends on pH and temperature. The soymilks had good chemical stability when stored at refrigerator temperatures at pH 6.5, 7 and 8 for 36 days, but there was some change in the color of the curcumin-loaded soymilks at the highest pH. The soymilks were susceptible to phase separation due to creaming when stored at pH 6.5, which was attributed to oil body aggregation. They also showed evidence of phase separation due to the formation and sedimentation of

- 479 curcumin crystals when stored at pH 8. The physical and chemical stability of the curcumin-
- 480 loaded soymilks (pH 7) was relatively high at 4 and 20 °C, but decreased at higher temperatures
- 481 of 37 and 55 °C. Overall, our results suggest that curcumin-loaded soymilks prepared using the
- 482 pH driven method should be stored at neutral pH at relatively low temperatures. We also
- 483 showed that these samples had relatively good bioavailability in a simulated GIT model.
- 484 Nevertheless, further studies are needed to test their bioavailability using *in vivo* animal or
- 485 human feed studies, as well as to test their stability under the conditions found in real food
- 486 products.

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- 490

491 **References**

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<i>J / T</i>		

	pH 6.5	рН 7	рН 8
Initial curcumin concentration (µg/mL)	231.6 ± 8.8 °	228.3 ± 7.4 ^a	235.4 ± 3.7 °
Loading capacity (%)	92.6 ± 3.2 ª	91.3 ± 2.7 ª	94.2 ± 1.4 °
L*	82.2 ± 0.2 ^b	82.4± 0.1 ^b	77.3 ± 0.5 ^a
<i>a*</i>	-8.44 ± 0.15 ^a	-8.01 ± 0.09 ^a	$0.64\pm0.68~^{b}$
<i>b*</i>	82.2 ± 0.5 °	80.3 ± 0.4 ^b	75.2 ± 0.7 ^a
D ₃₂ (μm)	0.47 ± 0.02 ^b	$0.39\pm0.01~^{a}$	0.38 ± 0.01 ^a
ζ- potential (mV)	-40.8 ± 1.3 ^b	-42.1 ± 2.0 ª	-42.8 ± 0.9 ^a

Table 1. Influence of pH on curcumin-loaded soymilk: initial curcumin concentration, loading capacity, tristimulus color value (L^* , a^* , and b^*), mean particle diameter (D_{32}) and electrical characteristics (ζ - potential). Different letters represent significant differences (Duncan, p< 0.05).

		4 °C	20 °C	37 °C	55 °C
Before Storage	L*	82.41±0.1 ^a	82.41±0.1 ^a	82.41±0.1 ^a	82.41±0.1ª
	<i>a*</i>	$\textbf{-8.01}\pm0.09~^{a}$	$\textbf{-8.01}\pm0.09~^{a}$	$\textbf{-8.01} \pm 0.09 \text{ a}$	$\textbf{-8.01}\pm0.09~^a$
	<i>b</i> *	$80.32\pm0.40^{\text{ a}}$	80.32 ± 0.40 ^a	80.32 ± 0.40 ^a	$80.32\pm0.40^{\text{ a}}$
After Storage	L*	$80.86\pm0.08^{\rm c}$	80.82 ± 0.64 °	78.70 ± 0.12	76.30 ± 1.00
	<i>a*</i>	-6.58 ± 0.03 °	-6.53 ± 0.04 °	-5.40 ± 0.16 b	$\textbf{-3.74}\pm0.30~^{a}$
	<i>b</i> *	80.10 ± 0.27 ^d	78.94 ± 0.53 °	72.02 ± 0.26 b	51.66 ± 1.86^{a}

Table 2. Influence of temperature on the tristimulus color coordinates $(L^*, a^*, \text{ and } b^*)$ of samples before and after 15-day incubation. Different letters represent significant differences (Duncan, p< 0.05).

Table 3. Impact of storage at pH 7 on the gastrointestinal stability and bioaccessibility of curcumin in soymilks determined using a simulated gastrointestinal tract

	C _{Digest} (ug/mL)	C _{Micelle} (ug/mL)	Stability (%)	Bioaccessibility (%)
Before Storage	186.80 ± 2.56	109.63 ± 18.13	81.87± 1.82	58.60 ± 9.08
After Storage	177.67 ± 1.43	97.23 ± 10.06	85.39 ± 3.14	54.76 ± 6.04

Fig. 1a





1c



1d



Fig. 1. a) Impact of pH on appearance of blank soymilk; b) particle size distribution of blank soymilk (pH 7.5); c) Impact of pH on electrical characteristics (ζ -potential) of blank soymilk; and d) Impact of pH on mean particle diameter (D₃₂) of blank soymilk.

Fig. 2 a







Fig. 2. The a) electrical characteristics (ζ -potential) and b) mean particle diameter (D₃₂) of the blank and curcumin-loaded soymilks at various pH values. Different lowercase letters indicate significant differences (Duncan, p< 0.05) within the same type of soymilk; different capital letters indicate significant differences between two types of sample (Duncan, p< 0.05).

pH8

Fig. 3 a

Day 0

Day 36

pH 6.5

pH7









Fig 3. a) The appearances and b) microstructures of curcumin-loaded soymilks before and after storage at various pH values at 4 $^{\circ}$ C for 36 days. The microstructure images were obtained using confocal fluorescence microscopy and the scale bars are 100 μ m.



4a







Fig. 4. Influence of pH on the a) mean particle diameters (D_{32}) and b) electrical characteristics (ζ - potential) of curcumin-loaded soymilk during 4 °C storage for 36 days.

Fig. 5

5 a



Fig. 5 Influence of pH on a) the yellow color (b^*) and b) curcumin concentration within the soymilks during 4 °C storage for 36 days.











Fig. 6 Influence of temperatures on the a) appearances of curcumin-loaded soymilk before and after 15-days storage. The impact of temperature on b) mean particle diameters (D_{32}) and c) electrical characteristics (ζ - potential) of curcumin-loaded soymilks during 15-days storage. d) the microstructure of curcumin-loaded soymilks before and after incubated under four different temperatures and a length of 100 um scale bars were applied.

Fig 7a



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Fig. 7b
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Fig. 7 Influence of temperature on a) yellow color (b^*) and b) curcumin concentration within soymilks during 15-days storage.





Fig. 8 Arrhenius equation graph of curcumin within soymilks, which represents the temperature dependence of the chemical reaction rate.

