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1 **Significantly enhanced dewatering performance of drinking water sludge**  
2 **from coagulation process using a novel chitosan-aluminum chloride**  
3 **composite coagulant in treatment of cyanobacteria-laden source water**

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30 **Abstract:**

31 The enhanced dewatering performance and the fate of cyanobacterial cells in the filtration of  
32 cyanobacteria-laden sludge, generated by a coagulation process using a novel composite  
33 chitosan-aluminum chloride (CTSAC) coagulant, were systemically studied. Two other  
34 cyanobacteria-laden sludge, aluminum chloride (AC) sludge and chitosan (CTS) sludge, were  
35 also studied to compare dewater performance with CTSAC sludge. Results showed that the  
36 dewatering process did not cause cell lysis and microcystins (MCs) release. The level of MCs  
37 and extracellular organic matters (EOM) in the filtrate were decreased by adsorption and  
38 sieving onto the cake layer formed on the membrane, but dewatering at high vacuum pressure  
39 reduced the rejection efficiency. The sludge from coagulation process using CTSAC  
40 composite displayed better sludge dewaterability and obtained higher quality of filtrate  
41 (fewer MCs and EOM) than that from AC and CTS coagulation processes independently.  
42 Three-dimensional excitation–emission matrix (EEM) fluorescence measurement indicated  
43 that protein-like substances in soluble extracellular polymeric substances (EPS) played a  
44 negative role on cyanobacteria-laden sludge dewatering. In addition, CTSAC sludge showed  
45 more compact structure and large floc sizes than AC sludge and CTS sludge for a strong  
46 improvement in the charge neutralization and bridge ability of AC by combining CTS in the  
47 composite coagulant. It was further observed that floc size played a more significant role on  
48 sludge dewaterability than degree of compactness. Overall, the preferable dewater  
49 performance of CTSAC sludge demonstrated the CTSAC composite coagulant has great  
50 potential for the treatment of cyanobacteria-laden source water.

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52 **Key Words:** Cyanobacteria; Drinking water sludge; Dewatering; Chitosan-aluminum  
53 chloride (CTSAC) composite coagulant; Extracellular polymeric substances (EPS);  
54 Excitation–emission matrix (EEM).

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## 60 1. Introduction:

61 The frequent episodes of cyanobacterial blooms have become a worldwide problem for  
62 drinking water treatment. *M. aeruginosa*, one of the typical cyanobacteria found in fresh  
63 water, releases the most prevalent toxins called microcystins (MCs) that can cause illness or  
64 do harm to human health.<sup>1,2</sup> Furthermore, the algal organic matters (AOM) secreted by *M.*  
65 *aeruginosa* serve as precursors to form disinfection by-products (DBPs) during chlorination  
66 that also carry a health risk.<sup>1</sup> The majority of AOM including MCs contained within *M.*  
67 *aeruginosa* cells are intracellular organic matters (IOM), and the metabolites secreted into the  
68 environment are extracellular organic matters (EOM)<sup>1</sup>. The IOM can be released into the  
69 water due to cell ageing and/or induced cell membrane damage.<sup>2</sup> Unlike IOM, dissolved  
70 EOM are ineffectively removed by conventional clarification methods.<sup>2</sup> Currently, most  
71 researches have focused on effective removal of cyanobacteria from the water phase during  
72 drinking water treatments, such as coagulation, flocculation and sedimentation. However, the  
73 potential danger of cyanobacterial cells transferred into the solid phase, especially the  
74 drinking water sludge has been neglected.

75 There are large amounts of drinking water sludge produced from conventional coagulation  
76 processes: up to 7 % of the total net volume of produced water.<sup>3</sup> These characteristics of  
77 drinking water sludge will bring about serious pollution to resource waste if the sludge is  
78 discharged without disposal.<sup>3</sup> Thus the treatment of drinking water sludge is attracting more  
79 and more attention.<sup>3-5</sup> Sludge dewatering is the key process in the treatment of the sludge as it  
80 reduces the quantity of the final waste product and, thus, the cost of transporting sludge to the  
81 final disposal site.<sup>4,6</sup> The most common way for sludge dewatering is mechanical dewatering,  
82 while filtration has become an attractive technology to reach desired removal efficiency.<sup>4</sup>  
83 Previous literature focusing on sludge treatment suggested that the dewatering and filtration  
84 process are closely associated with the sludge properties, which are also associated with the  
85 quality of raw water and the nature of the coagulant used in the process.

86 The composite coagulant CTSAC is an organic/inorganic polymer composite coagulant with  
87 high efficiency in cyanobacteria removal. Our previous research found that at low dosage,  
88 CTSAC coagulant could not only remove *M. aeruginosa* without cell lysis, but also adsorb a  
89 significant amount of EOM, especially extracellular MCs, while the individual CTS and AC

90 coagulants were not as effective as the combined one.<sup>2,7,8</sup> However, until now, there is still a  
91 lack of information about the properties of cyanobacteria-laden sludge from the enhanced  
92 coagulation process using composite coagulant, such as zeta potential of flocs and dewatering  
93 ability of sludge. Furthermore, although the *M. aeruginosa* cells can be removed without  
94 causing cell lysis from composite coagulation process, the existed coagulants and various  
95 mechanical actions of dewatering process both could cause external stress on cyanobacterial  
96 cells, thus cell lysis can still occur in the sludge dewatering process, and release a large  
97 amount of EOM especially MCs metabolites into the sludge supernatant.<sup>8</sup> Therefore, it is  
98 necessary to elucidate the effects of the dewatering process on cyanobacterial cells and EOM  
99 in cyanobacteria-laden sludge and try to reduce the MCs and EOM release.

100 In this study, we provide a comprehensive insight into the dewaterability and the fate of  
101 cyanobacteria cells of cyanobacteria-laden CTSAC sludge during the dewatering process. AC  
102 and CTS coagulation generated cyanobacteria-laden sludge acted as control groups. Different  
103 vacuum pressures were introduced to investigate the mechanical effect on  
104 cyanobacteria-laden sludge dewatering. In addition, sludge size and compaction level, and  
105 three-dimensional excitation–emission matrix (EEM) fluorescence spectra of extracellular  
106 polymeric substances (EPS) were analyzed to get mechanism understanding of the sludge  
107 dewatering.

## 108 **2. Experimental procedures**

### 109 **2.1. Materials**

#### 110 *2.1.1. Algal culturing*

111 *M. aeruginosa* FACHB-905 was purchased from the Institute of Hydrobiology, Chinese  
112 Academy of Sciences. The strain was cultivated in BG11 media at 25 °C under 2800 lux  
113 illumination with a 14/10 h light/dark cycle in an incubator. Algae were harvested during the  
114 late exponential growth phase (number  $2.01 \times 10^{11}$  cells/L, pH=8.1).

#### 115 *2.1.2. Natural water*

116 Natural water was collected from the Queshan Reservoir (a drinking water source, Jinan,  
117 Shandong province), and was filtered through a 0.45 µm glass fiber membrane. The main  
118 characteristics of the raw water quality were as follows: Temperature 18.5 °C, pH 8.4,  
119 turbidity 4.7 NTU, DO 9.13 mg/L. The filtered natural water was spiked with *M. aeruginosa*

120 culture to obtain a final cell density of about  $2 \times 10^6$  cells/mL to simulate cyanobacterial  
121 blooms in the high algae laden period.

### 122 *2.1.3. Coagulants*

123 Aluminum chloride (AC) stock solution (3.75 g/L) was obtained by dissolving AC (AR  
124 grade) in ultrapure water. Stock solution of CTS was made by dissolving 130 mg of CTS  
125 (Mw=50000, D.D=95%) in 100 mL of 1.0 % acetic acid solution and stirred overnight. The  
126 CTSAC composite coagulant was prepared by adding an amount of AC into CTS stock  
127 solution with continuous stirring for 24 h to obtain a CTSAC mixed solution with composite  
128 concentration of 1.3 mg/mL CTS plus 3.75 mg/mL AC.

### 129 *2.2. Coagulation experiment*

130 Coagulation experiments were performed in a program-controlled jar test apparatus (ZR4-6,  
131 Zhongrun Water Industry Technology Development Co. Ltd., China) at  $25 \pm 2^\circ\text{C}$ . A  
132 resuspended *M. aeruginosa* water sample of 1000 mL was used for each coagulation  
133 experiment. The pH of the samples was adjusted to about 8.4 by adding 0.1 M NaOH or HCl  
134 to keep consistent with our previous study.<sup>8</sup> For the AC coagulation experiment, 15 mg/L AC  
135 was added when the rapid mixing (250 r/min) started. After 1 min rapid mixing, the stirring  
136 was slowed to 20 rpm for 20 min.<sup>2</sup> As for the CTS coagulation experiment, the coagulation  
137 process was simulated first by rapid mixing at 215 rpm for 1 min after addition of 7.5 mg/L  
138 CTS, followed by slow stirring at 16 rpm for 9 min.<sup>7</sup> The CTSAC composite coagulation was  
139 started by addition of CTSAC (2.6 mg/L CTS plus 7.5 mg/L AC) into the water sample; then  
140 coagulation was conducted by rapid mixing at 250 rpm for 2 min followed by 20 rpm for 20  
141 min.<sup>8</sup> The coagulation dosages and mechanical actions in AC, CTS and CTSAC coagulation  
142 processes were optimal respectively, which were confirmed by previous studies.<sup>2, 7, 8</sup> After  
143 coagulation, all water samples were left to stand for 30 min to separate the supernatant and  
144 sludge. The unfiltered supernatant was used for zeta potential and chlorophyll *a*  
145 auto-fluorescence measurement, and the samples were filtered through glass fiber membranes  
146 (0.45  $\mu\text{m}$ ) for analysis of extracellular MCs,  $\text{K}^+$  release,  $\text{UV}_{254}$ , protein, and polysaccharide.

### 147 *2.3. Vacuum filtration experiment on the cyanobacteria-laden sludge*

148 The dewatering process of cyanobacteria-laden drinking water sludge is using a vacuum  
149 gauge. Cyanobacteria-laden sludge (50 mL) produced by the different coagulations remained

150 after settling and removing the supernatant. The sludge was mixed briefly and 2 mL was  
151 abstracted into a syringe. In the vacuum filtration process, a solid phase extraction device  
152 with a vacuum gauge (Tianjin Automatic Science Instrument Co., Ltd., China) was fitted  
153 with a filter. A 0.45  $\mu\text{m}$  aqueous cellulose acetate (CA) membrane (Membrane Solutions,  
154 USA) with a surface area of 13 mm was employed in the experiments. The filtrate was  
155 removed from the bottom of the collection tube for MCs and EOM analysis of samples for  
156 each of the different vacuum pressures.

#### 157 **2.4. EPS extraction**

158 Centrifugation procedures for EPS fractionation of sludge samples and algae solution are  
159 detailed elsewhere.<sup>9, 10</sup> Briefly, the sludge samples were firstly centrifuged at 4,000 g for 15  
160 min at 4 °C. Then, the supernatant was filtered through a 0.45  $\mu\text{m}$  glass fiber membrane to  
161 obtain the Soluble-EPS solution (Taoyuan, China). The sludge pellet from the centrifuge tube  
162 and sludge collected on the surface of the 0.45  $\mu\text{m}$  glass fiber membrane were collected and  
163 re-suspended with 0.6 % NaCl solution to prevent cell damage.<sup>1</sup> The re-suspended solution  
164 was centrifuged at 10,000 g for 15 min at 4°C, and subsequently filtered through a 0.45  $\mu\text{m}$   
165 glass fiber membrane to obtain the Bound-EPS solution. A high-speed refrigerated centrifuge  
166 was utilized to centrifuge the sludge (GL-21B, Anting, China).

#### 167 **2.5. Analytical methods**

##### 168 *2.5.1 The measurement of sludge properties*

169 Capillary suction time (CST) has been widely applied for the evaluation of sludge  
170 dewaterability and a high CST generally implies a poor dewaterability and filterability. CST  
171 was evaluated with a CST instrument (model 319, Triton, UK) equipped with an 18-mm  
172 diameter funnel and Whatman no. 17 chromatography-grade paper.

##### 173 *2.5.2 Characterization of Soluble-EPS and Bound-EPS*

174 Fluorescence excitation-emission matrix (EEM) spectroscopy has been widely used to  
175 characterize the components of EPS from various origins.<sup>10</sup> EEM fluorescence spectra were  
176 measured with a Fluorescence Spectrophotometer-4600 (HITACHI, Japan). Emission (Em)  
177 spectra were collected as scanning emission spectra in the range from 250 to 550 nm at 1 nm  
178 increment by varying the excitation (Ex) wavelengths from 220 to 450 nm at 5 nm  
179 increments. Ex and Em slits were maintained at 5 nm and the scan rate was set at 2400

180 nm/min. All analyses were made in triplicate. Under the same conditions, the fluorescence  
181 spectra of pure water were subtracted from each sample EEM to remove background noise.

### 182 *2.5.3. Chlorophyll a auto-fluorescence analysis*

183 Cell viability of samples before and after filtration of different vacuum pressures (-0.5 Bar  
184 and -0.9 Bar) were assessed by chlorophyll *a* auto-fluorescence. All the samples were  
185 dark-adapted for 10 min before measurement by a microscope (NIKON TE2000, Japan) fitted  
186 with filters including dichroic mirror DM575, exciter filter EX510–560 and barrier filter  
187 BA590 for chlorophyll *a* auto-fluorescence observation. The red emission spectra were  
188 captured by a CCD camera.

### 189 *2.5.4 Other Analysis methods*

190 The *M. aeruginosa* cell density was determined at the wavelength of 680 nm by a UV  
191 spectrophotometer (U-3010, Hitachi Co., Japan). A Beacon Microcystin ELISA kit (Beacon  
192 Analytical Systems Inc, Maine, USA) was utilized to measure the level of extracellular MCs  
193 in accordance with previous studies. And surface charge of flocs was analyzed using a  
194 Zetasizer 3000 (Malvern Instruments). K<sup>+</sup> release analysis was carried out by an inductively  
195 coupled plasma optical emission spectrometer (180-80, HITACHI, Japan) and calculated by  
196 the method described in our previous study.<sup>7</sup>

197 A Malvern Mastersizer 2000 laser diffraction instrument (Malvern, UK) was used to measure  
198 the particle size distribution before and after coagulation. UV<sub>254</sub> absorbance was measured  
199 using a UV spectrophotometer (U-3010, Hitachi Co., Japan) according to standard methods  
200 of analysis.<sup>11</sup> The bicinchoninic acid (BCA) reagent (Shanghai Sangon Biological  
201 Engineering Technology & Services Co., Ltd) was used to measure the protein content  
202 following a modified Lowry method.<sup>12</sup> The polysaccharide concentration was determined by  
203 the phenol-sulphuric acid method.<sup>13</sup> All analyses were conducted in triplicate with standard  
204 errors less than 5%.

## 205 **3. Results and discussion**

### 206 *3.1. The comparison of sludge dewaterability*

207 The filtration rates of cyanobacteria-laden sludge treated with different coagulants are shown  
208 in Fig. 1. Compared with raw *M. aeruginosa* culture, AC sludge, and CTS sludge, the  
209 composite coagulant sludge gave a markedly faster filtration rate at the same vacuum

210 pressure. It could be found the filtration rate of each sample increased as the increase of  
211 vacuum pressure, and the filtration rate at -0.9 Bar was larger than those at -0.5 Bar, -0.6 Bar,  
212 -0.7 Bar and -0.8 Bar for each of the sludge samples. The increased filtration rate of  
213 composite coagulant sludge from -0.5 Bar to -0.9 Bar was higher than other samples.

214 With increasing vacuum, a higher filtration rate is expected due to the pressure difference  
215 between the two sides of the membrane. For the raw *M. aeruginosa* suspension without  
216 pretreatment, the lower filtration rate was predominantly due to pore blocking caused by  
217 relatively small particles in the water.<sup>14</sup> It has been widely reported the fine colloids and  
218 EOM adsorbed and plugged into the cake layer pore structures to form cake layer on the  
219 membrane surface would determine the membrane resistance and flux decrease.<sup>14, 15</sup> In this  
220 case, the increased vacuum led to more increase of filtration rate in filtration of CTSAC  
221 composite coagulation, in comparison with CTS and AC. It indicates that the CTSAC sludge  
222 had less resistance during the dewatering process.

223 The CST of raw *M. aeruginosa* suspension and different coagulation-generated sludge are  
224 listed in Table 1. The CST of raw *M. aeruginosa* was similar to that of AC sludge, but longer  
225 than that of CTS coagulation sludge. And the CST of the composite coagulation sample was  
226 the lowest followed by AC and CTS coagulation sludge. This finding suggests that the  
227 dewaterability of composite sludge was much better than the other samples.

228 The zeta potential is an important parameter in influencing sludge dewaterability.<sup>9</sup> With  
229 decreasing surface charge associated with elevating zeta potential close to zero, the sludge  
230 can aggregate and settle quickly, so that it is dewatered more easily. This theory is well  
231 supported by our findings, in which the composite coagulation sludge showed the best  
232 dewaterability with a zeta potential of  $1.25 \pm 0.1$  mV, which is closer to zero than the other  
233 samples (Table 1). Zhen et al. also confirmed that the dewaterability of waste activated sludge  
234 was greatly enhanced when the zeta potential increased from -18 mV at the initial stage to  
235 close to -0.4 mV after Fe(II)-activated persulfate oxidation.<sup>9</sup>

### 236 **3.2. Effect of the dewatering process on cell integrity**

237 Cyanobacterial cell integrity during sludge filtration is crucially important because the shear  
238 stresses developed at the membrane surface or from vacuum pumping may cause cell damage,  
239 with subsequent release of intracellular MCs and IOM into the permeate. It has been shown

240 that the release of  $K^+$  can indicate the damage of the *M. aeruginosa* cell membrane because  
241  $K^+$  is absorbed into the vacuole of *M. aeruginosa* cell and stored as enzyme activator.<sup>16</sup>  
242 Furthermore, the CA membrane used in this study cannot retain the dissolved  $K^+$  ions (data  
243 not shown). It can be observed in Table 2 that the concentration of  $K^+$  in the coagulated  
244 solution before and after filtration both at -0.5 Bar and at -0.9 Bar vacuum was similar, and  
245 that no apparent release of  $K^+$  was observed. Chlorophyll *a*, as a single form of intracellular  
246 chlorophyll in *M. aeruginosa* cells, showed red fluorescence which indicated that the cells  
247 were in normal cell viability as shown in Fig. S1. The variation of red fluorescence is  
248 associated with the presence of chlorophyll *a* in *M. aeruginosa* cells, and the decrease in  
249 chlorophyll *a* would lead to the decline of the red fluorescence of cells.<sup>17</sup> As shown in Fig. S1,  
250 the red fluorescence of *M. aeruginosa* cells was strong and uniform for all the coagulated  
251 samples before and after filtration both at -0.5 Bar and at -0.9 Bar vacuum. These indicated  
252 that *M. aeruginosa* cells were alive and no obvious cell damage occurred during  
253 cyanobacteria-laden AC sludge, CTS sludge and CTSAC composite sludge dewatering.  
254 This is related to the fact that the critical pressure of cyanobacteria is up to 6 Bar<sup>18</sup> and the  
255 pressure of vacuum filtration (<-0.9 Bar) is lower than this critical value and therefore has no  
256 damaging effects on the cyanobacterial cells.

### 257 **3.3. Impact of the dewatering process on extracellular MCs**

258 After vacuum filtration, the concentration of raw MCs decreased from 20.20  $\mu\text{g/L}$  to 17.25  
259  $\mu\text{g/L}$  at -0.5 Bar and 19.01  $\mu\text{g/L}$  at -0.9 Bar (Fig. 2 (a)). The MCs adsorption capacity of AC  
260 was quite small, while the CTS and CTSAC composite had effective MCs adsorption ability,  
261 which is consistent with our previous studies.<sup>2, 7, 19</sup> Result showed that for AC sludge, the  
262 MCs level of the filtrate was higher than that in treated water (coagulation supernatant). For  
263 CTS sludge, the MCs concentration slightly declined in permeate. And for the CTSAC  
264 composite sludge, the MCs concentration of the filtrate was reduced to 7.05  $\mu\text{g/L}$  at -0.5 Bar  
265 and 8.23  $\mu\text{g/L}$  at -0.9 Bar, respectively. These results indicated the filtration dewatering of  
266 CTSAC composite sludge could result in effective MCs removal.

267 The solutes rejection mechanisms during membrane filtration of sludge are widely  
268 recognized.<sup>20</sup> Firstly, the solutes could be efficiently rejected by membrane when the solutes  
269 are larger than the membrane pores size, i.e. a sieving mechanism. Adsorption of solutes into

270 the membrane pores and surfaces is considered as the second mechanism for solute rejection.  
271 Thirdly, after the sludge flocs are collected onto the membrane, the EPS, soluble organics,  
272 and colloidal particles are sieved or adsorbed onto the cake layer formed over the membrane  
273 surface. Considering that the MCs are relatively hydrophobic compounds with a molecular  
274 weight of about 985-1024 Da, which is much below the cut-off of the CA hydrophilic  
275 membrane,<sup>21</sup> the contribution of the membrane sieving for the MCs rejection is limited. On  
276 the other hand, it has been shown that the hydrophilic CA membrane presented low  
277 adsorption ability to MCs.<sup>22, 23</sup> Consequently, the MCs rejection discrepancy of different  
278 sludge mainly depends on how much the MCs are adsorbed and/or sieved onto the cakes  
279 layer formed during the different coagulation sludge filtration processes. According to our  
280 previous study, the retained coagulation cyanobacteria-laden sludge in this study was enough  
281 to form a stable sludge layer to separate the sludge and filtrate during the sludge dewatering  
282 process.<sup>20</sup> Marshall et al. noted the cake layer formed on the membrane surface could trap  
283 some low MW molecules and improve the removal of organic matters during the filtration  
284 process. As no obvious cell damage occurred during the filtration process, the increase of  
285 MCs in the filtrate of the AC sludge can be attributed to the density of cyanobacterial cells in  
286 sludge being much greater than that in raw water and the MCs rejection effect of cake layer  
287 formed in the dewatering process of AC sludge was not sufficient to remove the MCs. It can  
288 be inferred that the cake layer formed during the filtration of CTSAC composite sludge was  
289 more suitable for MCs rejection, thus resulting in the higher reduction of MCs.

#### 290 ***3.4. Influence of dewatering process on EOM level***

291 EOM content in the feed and permeate of vacuum filtration obtained at -0.5 Bar and -0.9 Bar  
292 are shown in Fig. 2 (b). If raw water was filtered, the concentration of polysaccharide in feed  
293 water of 32.35 mg/L dropped to 23.95 mg/L and 27.93 mg/L at -0.5 Bar and -0.9 Bar vacuum  
294 filtration, respectively. The polysaccharide concentration in supernatant water after composite  
295 coagulation decreased markedly to 16.78 mg/L, less than that of using AC coagulation (23.98  
296 mg/L) and CTS coagulation (17.87 mg/L). The polysaccharide concentrations in permeate of  
297 composite sludge were lowered to 8.91 mg/L and 10.9 mg/L at -0.5 Bar and -0.9 Bar vacuum,  
298 respectively. At same vacuum pressure, the dewatering of composite sludge removed more  
299 polysaccharide than that of AC sludge and CTS sludge. For example at -0.5 Bar vacuum, the

300 filtration of composite sludge removed 7.87 mg/L polysaccharide while filtration of AC  
301 sludge and CTS sludge removed 4.92 mg/L and 5.90 mg/L polysaccharide, respectively. As  
302 shown in Fig. 2 (c), the trend for protein was consistent with the data for polysaccharide, with  
303 enhanced removal of protein in the CTS and composite coagulation process. Furthermore, the  
304 rejection effect of vacuum filtration on protein was enhanced compared to that of  
305 polysaccharide. As shown, the filtration of composite sludge removed 8.47 mg/L protein at  
306 -0.5 Bar vacuum while filtration of AC sludge and CTS sludge at -0.5 Bar vacuum removed  
307 6.18 mg/L and 8.05 mg/L protein, respectively.

308 It is known that the EOM of *M. aeruginosa* with size bigger than 0.45  $\mu\text{m}$  might be low,<sup>24</sup>  
309 thus the rejection of polysaccharide and protein by membrane sieving played a negligible part  
310 in sludge filtration process. According to the studies of Qu et al.<sup>14</sup> and Henderson et al.,<sup>25</sup>  
311 most of the polysaccharides in EOM are located in the hydrophilic fraction. Conversely,  
312 proteins are characterized by their hydrophobicity with a hydrophobic fraction more than  
313 60%.<sup>14</sup> For CA membrane that is more hydrophilic, the adsorption coefficient of CA  
314 membrane to hydrophilic substances was superior compared with hydrophobic substances  
315 and the organic matter adsorption ability of CA membrane was relatively small.<sup>26</sup>  
316 Consequently, the difference in polysaccharides and protein rejection efficiency was mainly  
317 due to the degree of adsorption and/or sieving onto the cakes layer deposited on the  
318 membrane.

319  $\text{UV}_{254}$  data reflects organic compounds that have intense absorbance at 254 nm including  
320 humic substances and aromatic organic compounds.<sup>27</sup> Furthermore,  $\text{UV}_{254}$  has been widely  
321 applied to indicate the *M. aeruginosa* produced humic substances and aromatic organic  
322 compounds during water treatment processes.<sup>27-29</sup> As shown (Fig. 2 (d)), CTS coagulation  
323 resulted in a lower  $\text{UV}_{254}$  content reduction compared to AC coagulation. Composite  
324 coagulant was more efficient than CTS in removing  $\text{UV}_{254}$  absorbing compounds. For raw  
325  $\text{UV}_{254}$ , the values in the filtrate were lowered to 0.053  $\text{cm}^{-1}$  and 0.058  $\text{cm}^{-1}$  at -0.5 Bar and  
326 -0.9 Bar, respectively. However, the composite coagulation reduced  $\text{UV}_{254}$  values in feed  
327 water by 0.035  $\text{cm}^{-1}$ , and the contents in the filtrate were lowered to 0.032  $\text{cm}^{-1}$  and 0.034  
328  $\text{cm}^{-1}$  at -0.5 Bar and -0.9 Bar, respectively. Comparing the fate of the polysaccharide, protein  
329 and humic-like substances, more of the polysaccharide and protein substances were removed

330 by the sludge filtration process, whereas the more humic-like substances diffused across the  
331 membranes.

332 This is ascribed to the fact that polysaccharide and protein organic substances in EOM were  
333 mainly distributed in the high MW fraction while humic-like substances were distributed in a  
334 much lower MW fraction. Thus the reject effect of the cake layer to humic-like substances  
335 was lower than for polysaccharide and protein substances. As shown in Fig. 2, higher vacuum  
336 (-0.9 Bar) could improve the filtration rate, but it also decreased the rejection effect of MCs  
337 and EOM. This is due to the high pressure in the filtration procedure that could contribute to  
338 either the deflocculation of coagulant absorbed EOM or the exfiltration of intracellular  
339 EOM.<sup>20</sup>

340 It could be summarized that the application of CTSAC composite coagulant in the  
341 coagulation of cyanobacterial-laden water is the optimum choice to improve the removal of  
342 secondary pollution in the filtration of cyanobacterial-laden sludge at low vacuum operating  
343 pressure.

### 344 **3.5. EEM fluorescence analysis**

345 Typical EEM fluorescence spectra of soluble and bound extracellular polymeric substances  
346 (EPS) and the corresponding fractions extracted from the raw *M. aeruginosa* suspension and  
347 coagulation generated sludge are depicted in Fig. 3 and Fig. 4. Four major peaks could be  
348 identified from fluorescence spectra of EPS as in other studies.<sup>9, 14</sup> As illustrated in Fig. 3, the  
349 first peak (peak Flu 1) observed at Ex/Em of 270–280/305–310 nm in EEM spectra belonged  
350 to protein-like substances.<sup>30, 31</sup> The second peak located at Ex/Em of 345/435–445 nm (peak  
351 Flu 2) and the third peak (Flu 3) found at the Ex/Em of around 275/435–445 nm were  
352 ascribed to humic- and fulvic-like substances, respectively (Fig. 3).<sup>9, 30</sup> The fourth peak (peak  
353 Flu 4) occurred at Ex/Em of around 280/350–360 nm, representing dissolved microbial  
354 metabolites (Fig. 4).<sup>30</sup> The results showed that protein-like substances (represented as peak  
355 Flu1) and humic and fulvic-like substances (represented as peak Flu2 and Flu3) were three  
356 major substances in the Soluble-EPS of *M. aeruginosa* suspension. For the Soluble-EPS of  
357 AC sludge, all the three major substances existed but the intensity slightly decreased. For  
358 CTS and CTSAC sludge, peak Flu 1 disappeared in the Soluble-EPS. And the fluorescence  
359 intensity of peak Flu 2 increased in Soluble-EPS of CTS sludge compared to raw *M.*

360 *aeruginosa* suspension. Compared to Soluble-EPS, the locations of peaks were quite  
361 consistent in Bound-EPS of *M. aeruginosa* suspension and sludge flocs, apart from a small  
362 difference in that Peak Flu 2 and Peak Flu 3 just appeared in Bound-EPS of CTSAC sludge.  
363 Decreased CST and increased filtration rate (Table 1) accompanied by reduced fluorescence  
364 intensity of protein-like substances in Soluble-EPS (Fig. 3) was observed, revealing that the  
365 decrease of Soluble-EPS favors the enhancement of sludge dewaterability. Liu et al. reported  
366 a close relationship between protein-like substances of EPS and sludge compression and  
367 dewatering of membrane in MBRs, while Wang et al. also observed that the specific cake  
368 resistance increased as protein-like substances rose.<sup>32, 33</sup> Li et al. noted the existence of  
369 Soluble-EPS showed a clearly negative influence on dewaterability, while no correlation was  
370 found between Bound-EPS and dewaterability.<sup>34</sup>

371 A large amount of EPS usually contributes to lower sludge dewaterability, and that this may  
372 be due to the steric force produced by EPS, which hinders the contact between flocs  
373 particles.<sup>35</sup> The strong affinity of composite coagulant to the EPS could implement charge  
374 neutralization and compress the Soluble-EPS, and thus lead to formation of tightly  
375 aggregated flocs and improved dewaterability. In addition, sludge dewaterability was largely  
376 affected by dissolved macromolecular compounds (proteins and polysaccharides), which can  
377 block the filter pores, and increase the resistance in filtration during dewatering of sludge.<sup>15,</sup>  
378 <sup>36</sup> The removal of high molecular weight EPS by the composite coagulation process also  
379 contributed to the improvement of composite sludge dewaterability.

### 380 **3.6. Floc size and structure analyses**

381 The *M. aeruginosa* cells are nearly globose and 1-10  $\mu\text{m}$  in diameter as depicted in Fig. 5.  
382 The metabolism of cyanobacterial cells could release some glue that will make the algal cells  
383 stick together. Therefore, the cell aggregation between 10~300  $\mu\text{m}$  also exists in *M.*  
384 *aeruginosa* culture. It is notable that the particle size distributions of the sludge were affected  
385 remarkably by different types of coagulants. Fig. 5 (a) showed the AC sludge had the largest  
386 portion of small particles which led to a smallest mean floc size, and the flocs size  
387 distribution curve was shifted to the larger size range in CTSAC sludge. According to Fig. 5  
388 (b), for AC sludge the medium diameter was only 181.9  $\mu\text{m}$ , which was much smaller than  
389 that of CTS sludge (463.0  $\mu\text{m}$ ). It can be seen the CTSAC sludge had the largest median floc

390 size which was higher than 549.5  $\mu\text{m}$ . The combined use of AC and CTS can coagulate the  
391 majority of the small particles and make the flocs larger. It was observed that the decreased  
392 CST (Table 1) correlated well with the increase of sludge size (Fig. 5), which reveals that  
393 flocs size is a significant principal factor with regards to sludge dewatering. It also can be  
394 noted that the filtration rate of the sludge was closely associated with floc size: the larger the  
395 flocs, the higher the filtration rate. The Carman Kozeny equation illustrates that particle size  
396 is inversely proportional to specific cake resistance which means that the cake on membrane  
397 surface was much more compressible with larger aggregation.<sup>37</sup>

398 The degree of compactness of the aggregates is also an important parameter affecting the  
399 filtration behaviors.<sup>15,37</sup> According to Fig. 6, the sludge flocs compact in the following order:  
400 CTS sludge<AC sludge<CTSAC sludge. It is noted in the literature that loosely structured  
401 flocs generate less resistance for membrane filtration whereas compact flocs result in a  
402 cohesively structured cake layer with poor porosity and permeability, and thus have a  
403 negative effect on membrane permeability.<sup>10</sup> However, it can be inferred from our results that  
404 floc size had a more significant effect on sludge dewaterability than their degree of  
405 compactness.

406 The mechanism of AC coagulation was a combination of entrapment and charge  
407 neutralization, but there is a lack of powerful bonds linking flocs together.<sup>38</sup> CTS, which is an  
408 economical and nontoxic biomaterial, played a role in charge neutralization and strong  
409 adsorption in the formation of flocs.<sup>6,7</sup> Furthermore, linking and bridging occurred when the  
410 CTS long-chain polymer extended from the formed flocs to attach more colloids.<sup>7</sup> Thus larger  
411 flocs formed in CTS coagulation than in AC coagulation, which was consistent with Hu's  
412 study.<sup>38</sup> However, the bridging mechanism also resulted in a relaxed conformation and thus  
413 CTS formed looser flocs than AC. With enhanced charge neutralization, polymer bridging  
414 and adsorption ability, the composite coagulation removes more EOM during the coagulation  
415 process, and leads to more compact and large flocs which are beneficial to sludge filtration  
416 and the dewatering process. It has been identified that a high proportion of large particles  
417 would lead to shorter sludge layer formation process and the compact flocs deposited over the  
418 membrane could form tighter cake layers.<sup>20,39</sup> Therefore, the amounts of EOM sieved and/or  
419 adsorbed onto the cakes formed during the filtration of CTSAC composite sludge were

420 greater than that of the other sludge.

#### 421 **4. Conclusion**

422 The dewatering performance of cyanobacteria-laden sludge from an enhanced coagulation  
423 process using CTSAC composite was systematically studied in this work. The impacts of  
424 mechanical actions and chemical effects on the filtration efficiency and filtrate quality during  
425 the cyanobacteria-laden sludge volume reduction process were also determined. The  
426 following conclusions can be drawn.

427 (1) The vacuum filtration had rejection effects on extracellular MCs and EOM  
428 (polysaccharide, protein and humic acid substances) without causing cyanobacteria cell  
429 lysis during cyanobacteria-laden sludge dewatering process.

430 (2) The sludge from the enhanced CTSAC composite coagulation process was of high  
431 dewatering ability, sequentially followed by CTS and AC sludge. For CTSAC has good  
432 EOM coagulation performance and the cake layer formed by CTSAC sludge was more  
433 effective in the EOM rejection, the dewatering of CTSAC cyanobacteria-laden sludge  
434 obtained the filtrate with lowest EOM.

435 (3) High vacuum can improve the filtration rate but also decreases the rejection effect of MCs  
436 and EOM because high pressure causes deflocculation of adsorbed MCs and EOM.  
437 Overall, for improving filtration efficiency and filtrate quality, and saving energy cost, it  
438 is better to choose low vacuum degree pressure in the vacuum filtration process of  
439 cyanobacteria-laden sludge.

440 (4) The floc size played a more significant effect on sludge dewaterability than their degree  
441 of compaction degree. The protein-like substances in Soluble-EPS was negatively  
442 correlated with the dewaterability of cyanobacteria-laden sludge while no clear  
443 correlation was observed between Bound-EPS and dewaterability.

444 (5) The reasons for the large particle size, compact structure, low extracellular organic  
445 matters and high dewaterability of the sludge from the enhanced CTSAC composite  
446 coagulation process were the strong improvement in the charge neutralization and bridge  
447 ability of inorganic AC by combining organic CTS coagulant.

#### 448 **5. Acknowledgements**

449 This work is supported by the Program for New Century Excellent Talents in University of

450 the Ministry of Education of China (Grant No. NCET-12-0341), the International  
451 Cooperation Research of Shandong Province (2011176), Science and Technology  
452 Development Project of Shandong Province (2012GHZ30020), the International Science &  
453 Technology Cooperation Program of China (2010DFA91150) and National Science Fund for  
454 Excellent Young Scholars (51322811). The authors thank Dr. James W. Golden of University  
455 of California, San Diego of Division of Biological Sciences for revising the English in the  
456 manuscript.

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533 **Table and Figure Captions:**

534 **Table 1** Characteristics of the different sludge samples.  $\pm$  indicates the standard errors of  
535 triplicate measurements.

536 **Table 2** Concentrations of potassium ions in the different samples.  $\pm$  indicates the standard  
537 errors of triplicate measurements.

538 **Fig. 1.** The filtration rate response to raw *Microcystis aeruginosa* (M.A) suspension and  
539 cyanobacteria-laden AC sludge, CTS sludge and CTSAC sludge samples at different vacuum  
540 filtration conditions. (Filter media: 0.45  $\mu\text{m}$  CA)

541 **Fig. 2.** The (a) MCs concentrations, (b) polysaccharide, (c) protein and (d) humic substances  
542 levels in the feed and permeate of raw *Microcystis aeruginosa* (M.A) suspension and  
543 cyanobacteria-laden AC sludge, CTS sludge and CTSAC sludge samples at -0.5 Bar and -0.9  
544 Bar vacuum filtration conditions. (Filter media: 0.45  $\mu\text{m}$  CA)

545 **Fig. 3.** EEM fluorescence spectra of the soluble EPS fractions from the raw *Microcystis*  
546 *aeruginosa* (M.A) suspension (a), and cyanobacteria-laden AC sludge (b), CTS sludge (c),  
547 and CTSAC sludge (d).

548 **Fig. 4.** EEM fluorescence spectra of the bound EPS fractions from the raw *Microcystis*  
549 *aeruginosa* (M.A) suspension (a), and cyanobacteria-laden AC sludge (b), CTS sludge (c),  
550 and CTSAC sludge (d).

551 **Fig. 5.** Flocs size distributions (a) and cumulative volume distribution (b) of raw *Microcystis*  
552 *aeruginosa* (M.A) suspension and cyanobacteria-laden AC sludge, CTS sludge and CTSAC  
553 sludge.

554 **Fig. 6.** The photomicrographs of raw *Microcystis aeruginosa* (M.A) suspension (a) and  
555 cyanobacteria-laden AC sludge (b), CTS sludge (c) and CTSAC sludge (d) samples.

556

557 **Tables and Figures**

558

559 **Table 1** Characteristics of the different sludge samples.  $\pm$  indicates the standard errors of

560 triplicate measurements.

| Sludge sources | Characteristics of the sludge samples |                     |         |
|----------------|---------------------------------------|---------------------|---------|
|                | pH                                    | Zeta potential (mv) | CST (s) |
| M.A            | 8.42                                  | -33.5 $\pm$ 0.7     | 7.23    |
| AC sludge      | 8.01                                  | -17.9 $\pm$ 0.4     | 5.83    |
| CTS sludge     | 6.79                                  | 20.2 $\pm$ 1.0      | 2.33    |
| CTSAC sludge   | 7.13                                  | 1.25 $\pm$ 0.1      | 0.70    |

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562 M.A: *Microcystis aeruginosa* suspension

563 AC: Aluminum Chloride

564 CTS: Chitosan

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580 **Table 2** Concentrations of potassium ions in the different samples.  $\pm$  indicates the standard  
 581 errors of triplicate measurements.

| Sample       | K <sup>+</sup> (mg/L) |                        |                        |
|--------------|-----------------------|------------------------|------------------------|
|              | Before Filtration     | Filtration at -0.5 Bar | Filtration at -0.9 Bar |
| M.A          | 4.52 $\pm$ 0.43       | 4.61 $\pm$ 0.25        | 4.48 $\pm$ 0.25        |
| AC sludge    | 4.49 $\pm$ 0.71       | 4.55 $\pm$ 0.31        | 4.64 $\pm$ 0.29        |
| CTS sludge   | 4.58 $\pm$ 0.42       | 4.65 $\pm$ 0.45        | 4.51 $\pm$ 0.59        |
| CTSAC sludge | 4.6 $\pm$ 0.25        | 4.35 $\pm$ 0.25        | 4.29 $\pm$ 0.25        |

582 M.A: *Microcystis aeruginosa* suspension

583 AC: Aluminum Chloride

584 CTS: Chitosan

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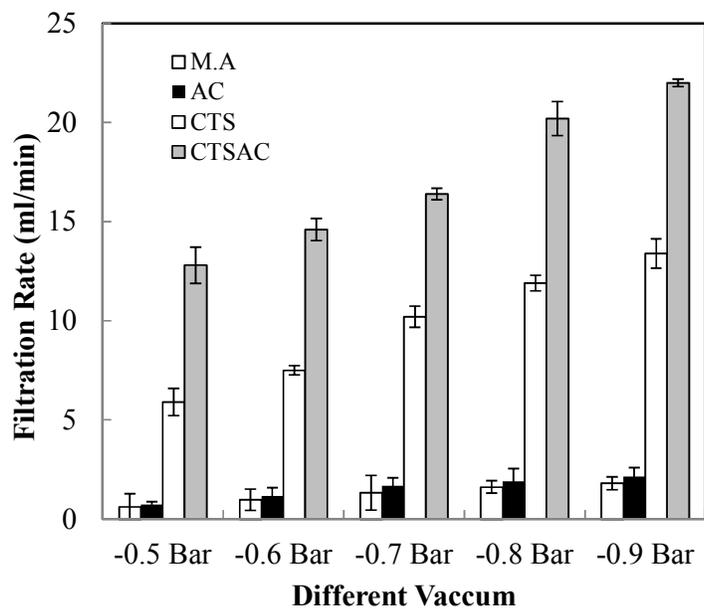
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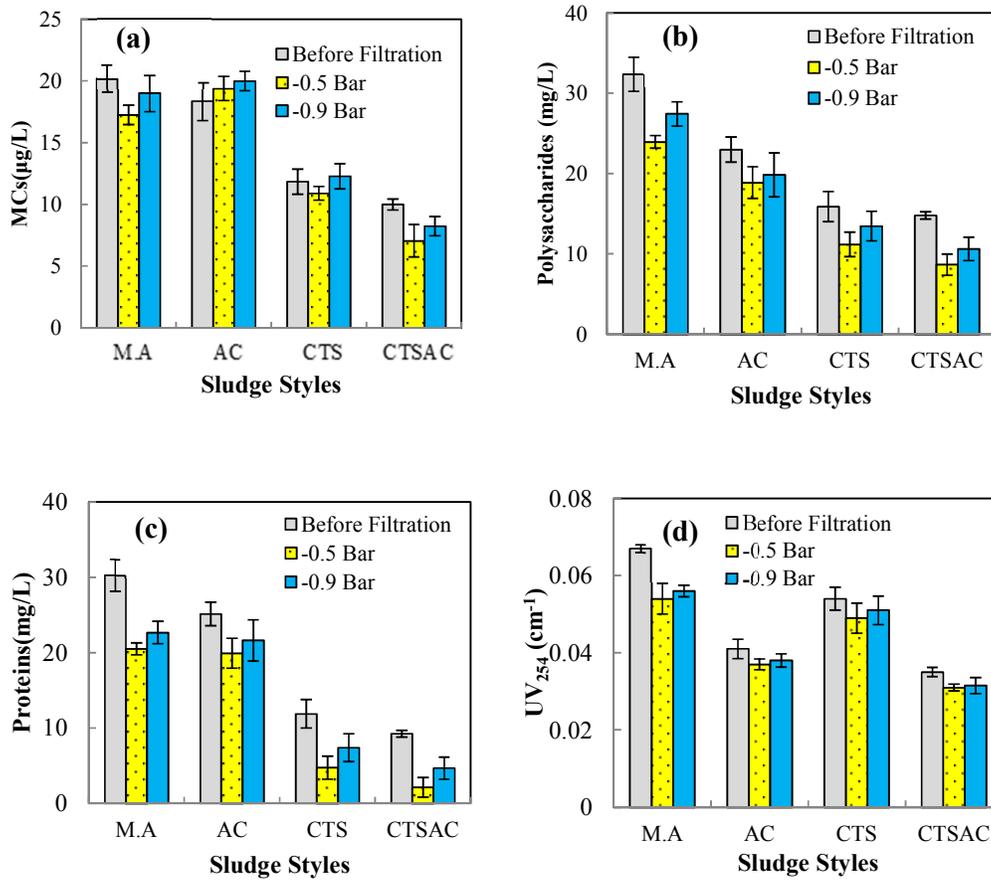


Fig.2

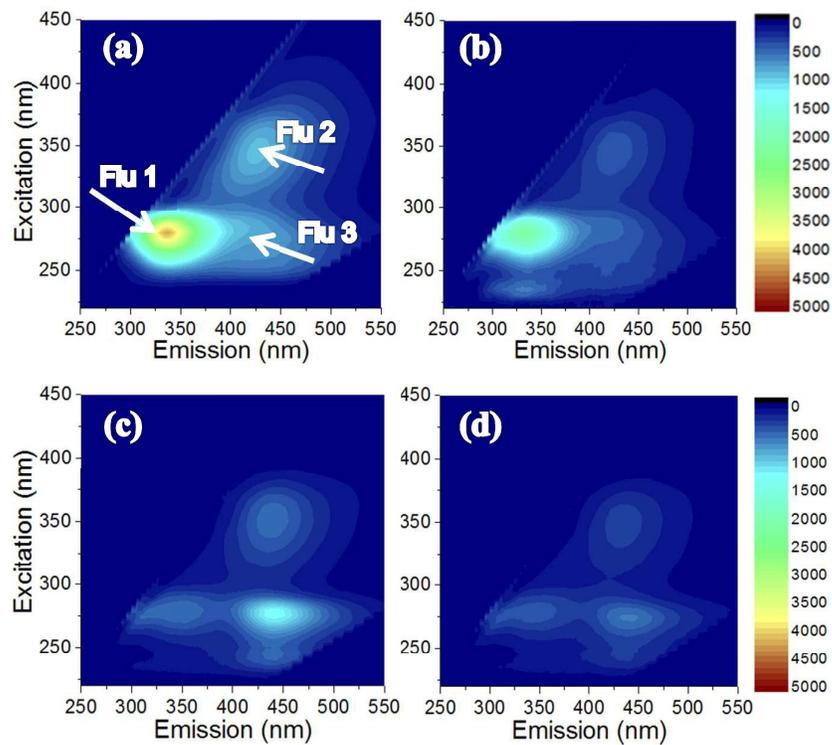


Fig.3

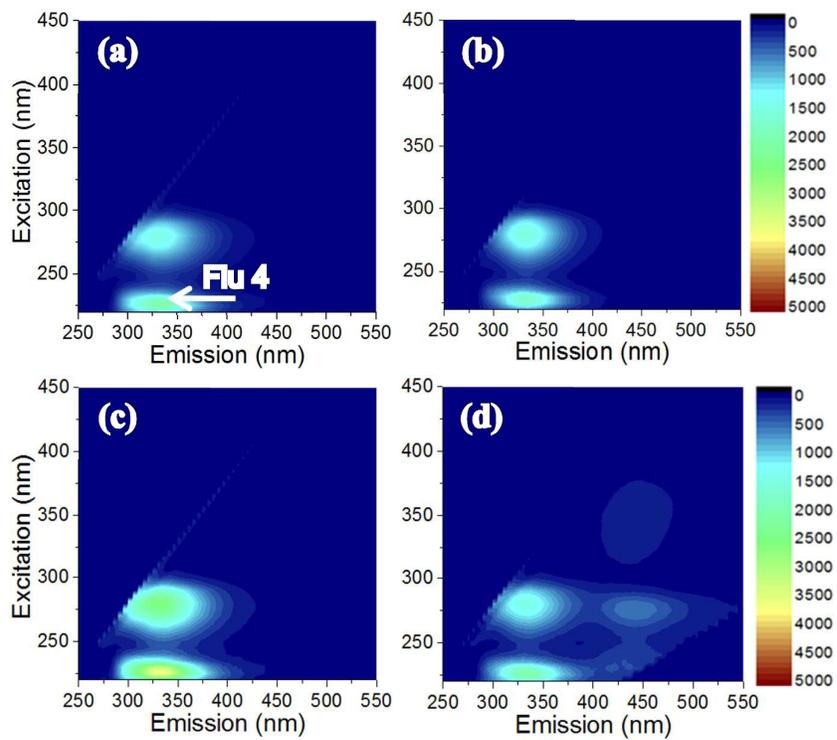
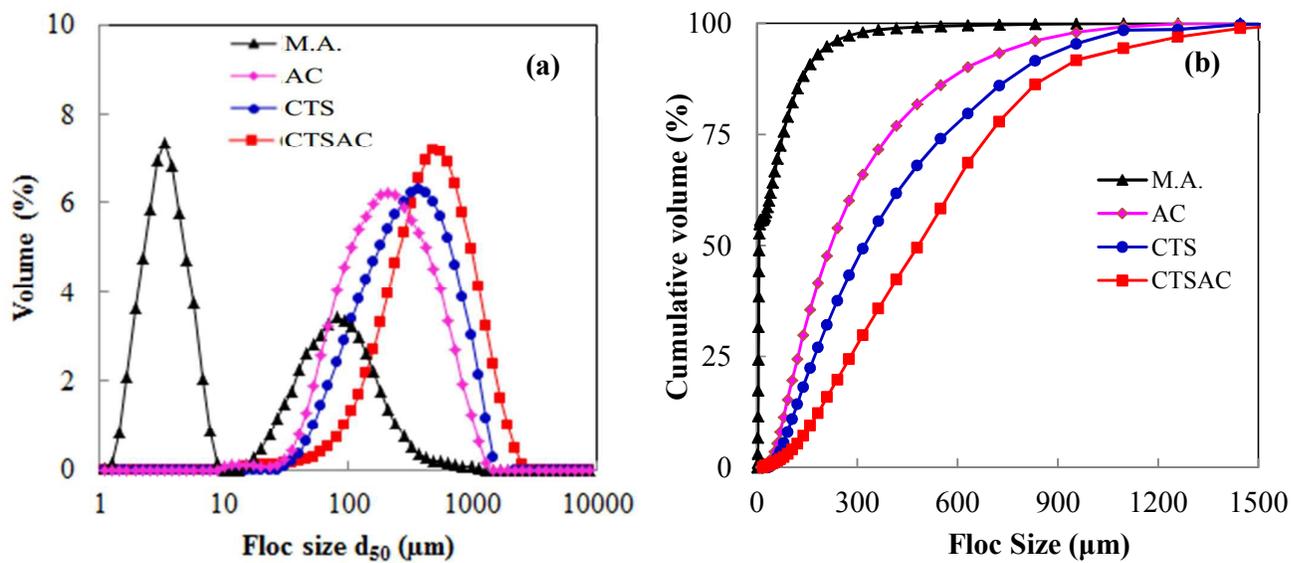


Fig.4

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681 Fig.5

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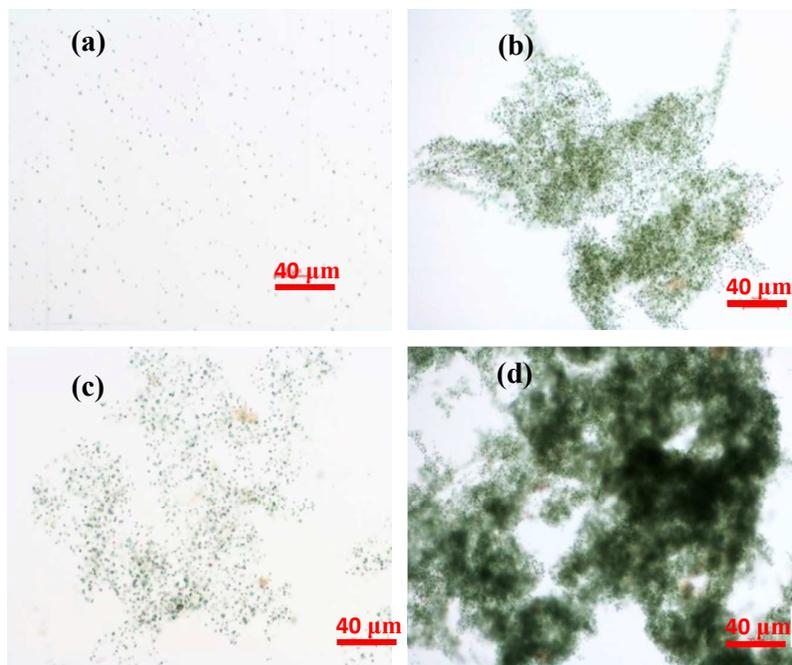
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694 Fig.6

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