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1	Laminarin favorably modulates gut microbiota in mice fed a high-fat diet
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19	Running title: Anti-obesity effects of laminarin
20	

21 Abstract

We investigated the anti-obesity effects of the potential prebiotic, laminarin, on mice fed a high-fat diet. Metagenomics approach was applied to characterize the ecological and functional differences of gut microbiota among mice fed a normal diet (CTL), high-fat diet (HFD), and laminarin-supplemented high-fat diet (HFL).

HFL mice showed slower weight gain than HFD mice during the laminarin-feeding 26 period, but the rate of weight gain increased after the termination of laminarin supplementation. 27 Gut microbial community analysis showed clear differences between CTL and HFD mice, 28 whereas HFL mice were between the two. A higher abundance of carbohydrate active enzymes 29 were observed in HFL mice compared to HFD mice, with especially notable increases in 30 glycoside hydrolase and polysaccharide lyases. A significant decrease in Firmicutes and increase 31 32 in Bacteroidetes phyla, especially the genus *Bacteroides*, were observed during laminarin ingestion. Laminarin ingestion altered gut microbiota at the species level, which was re-shifted 33 after termination of laminarin ingestion. 34 Therefore, supplementing laminarin could reduce the adverse effects of a high-fat diet by

Therefore, supplementing laminarin could reduce the adverse effects of a high-fat diet by shifting gut microbiota towards higher energy metabolism. Thus, laminarin could be used to develop anti-obesity functional foods. Our results also suggest that laminarin would need to be consumed regularly in order to prevent or manage obesity.

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40 Keywords: laminarin; gut microbiota; obesity; prebiotics; metagenomics

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43 Introduction

Obesity has become an important health concern. Since the development of sequencing 44 technologies, a number of studies have reported on the importance of studying the gut microbiota 45 for better understanding obesity mechanisms. The impact of gut microbiota on obesity was 46 demonstrated in a study in which fecal bacteria from obese mice were transferred to germ-free 47 mice and subsequently increased weight gain 1 . The authors further described that fecal 48 microbiota in obese mice are associated with an increase in Firmicutes and decrease in 49 Bacteroidetes, which likely increases the abundance of bacteria that code genes related to lipid 50 and carbohydrate metabolism². Moreover, Firmicutes have also been suggested to play a role in 51 transporting sugars into cells through the phosphotransferase system³. In contrast, other 52 investigators have reported no association between the Firmicutes/Bacteroidetes ratio and obesity 53 ⁴⁻⁶. suggesting that the implications of obese-type gut microbiota are not yet fully understood. 54 Nevertheless, there is increasing evidence that gut microbiota play a key role in controlling host 55 metabolic functions. 56

A prebiotic is defined as "a selectively fermented ingredient that allows specific changes, 57 both in the composition and/or activity in the gastrointestinal microflora that confers benefits on 58 host wellbeing and health"⁷. This improvement includes protection against obesity. It has been 59 reported that prebiotics can reduce gut permeability, thus providing protection against metabolic 60 diseases⁸. In addition, the administration of prebiotics may reduce adiposity by reducing G 61 protein-coupled receptors ⁹ and promoting changes in the gut microbiota associated with weight 62 loss ¹⁰. Therefore, treatment with prebiotics has become an effective therapy that offers 63 protection against obesity. 64

65	Seaweeds are potential prebiotics that are rich in polysaccharides. Extracts from
66	seaweeds have demonstrated antibacterial ¹¹ and potential antioxidant activities ¹² . There are
67	three major polysaccharides in seaweeds: fucoidan, alginate, and laminarin. Fucoidan has been
68	reported to inhibit lipid accumulation in adipocytes ¹³⁻¹⁵ . Alginate is also known to reduce the
69	activity of digestive enzymes, such as pepsin and pancreatic lipase in vitro ¹⁶ . However, few anti-
70	obesity effects have been reported for to laminarin. Previous studies suggest that dietary
71	supplementation with laminarin may increase immunity against Escherichia coli
72	lipopolysaccharides ¹⁷ , induce TNF-alpha production from human peripheral blood monocytes ¹⁸
73	and increase production of short chain fatty acids, especially butyrate, in the gut, ¹⁹ , which could
74	provide an intestinal barrier protective against metabolic diseases ²⁰ . Although these effects may
75	not be directly linked to obesity prevention, laminarin may improve gut health and provide anti-
76	obesity qualities.
77	In this study, we investigated the anti-obesity effects of laminarin on mice fed high-fat
78	diet. We adopted a metagenomics approach to characterize the ecological and functional
79	differences in gut microbiota of mice fed high-fat diets with or without laminarin. The study

presented here provides a foundation for the future development of functional foods withlaminarin.

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83 Materials and Methods

84 Animals and feeding trial experiment

The animal protocols used in this study were reviewed and approved based on the ethical procedures and scientific care for animals set by the Jeju National University Institutional Animal Care and Use Committee (JNU-IACUC; Approval Number 2015-0019) in accordance

with Korean Ministry of Food and Drug Safety guideline (Laboratory Animal Act-11987). In 88 total, 18 4 week-old female BALB/c mice were housed in stainless steel wire cages in a room 89 maintained at 22–24°C with $55 \pm 5\%$ relative humidity and controlled lighting (12 h light/dark 90 91 cycle). Animals were randomly assigned to three dietary groups (3 mice per cage, 2 cages per group) fed: i) a normal diet (CTL), ii) a high-fat diet (HFD) (45% kcal from fat, D12451 92 Research Diets, New Brunswick, NJ), or iii) a high-fat diet and 1% laminarin-supplemented 93 water (HFL). Laminarin (Sigma) supplementation was terminated at the 4th week, followed by 94 continuation HFD for an additional 2 weeks. This allowed us investigate the post-effects of 95 laminarin supplementation. Both solid diet and water were consumed ad libitum. Mouse body 96 weight was measured every two weeks, and feces were collected from each cage weekly. 97

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99 *16S rRNA gene and metagenome sequencing*

Total DNA was extracted from 100 mg of feces collected from each cage using the 100 MOBIO Power Fecal DNA isolation kit (MO BIO Laboratories Inc., Carlsbad, CA, USA). The 101 102 V4 region of the 16S ribosomal RNA gene was amplified by polymerase chain reaction (PCR) using duplexed barcode fusion primers as previously described (Kozich et al. 2013). Briefly, 103 PCR was performed using a Maxime PCR PreMix Kit (iNtRON Biotechnology Inc., Korea) as 104 follows: 95°C for 2 min, 30 cycles of 95°C for 20 s, 55°C for 15 s, and 72°C for 1 min, and 72°C 105 for 5 min. The obtained PCR products were further purified using an AccuPrep PCR Purification 106 Kit (Bionner Co., Korea). Equimolar PCR products were pooled and stored at -20°C until 107 sequencing. The amplicons then were sequenced in both directions (250 bp x 2) with MiSeq 108 (Illumina, San Diego, CA, USA) according to the manufacturer's instructions. 109

HFL groups and an additional 6-week time point from the HFL group (labeled CTL4, HFD4,

Replicates of DNA samples were pooled for 4-week time points from of CTL, HFD, and

HFL4, and HFL6, respectively). The pooled samples were separately subjected to metagenome 112 shotgun sequencing using HiSeq 2000 (100bp x 2) according to the manufacturer's instructions. 113 All sequencing was done at Macrogen Inc. (Republic of Korea). 114 115 Microbial community analysis 116 MiSeq raw reads were deposited to short read archives (SRA) with registration number 117 SRP075347. Erroneous sequences were removed through paired-end read assembly with PEAR 118 version 0.9.5²¹, alignment to SILVA SSU database (release 123)²², and chimera removal using 119 UCHIME ²³. MOTHUR ²⁴ version 1.34.4 was used to conduct alpha and beta diversity analyses. 120 Taxonomic classification was done using the database from the Ribosomal Database Project 121 (release 11)²⁵. Non-archaeal/bacterial lineages were removed from downstream analyses. Prior 122 to cluster analysis, the numbers of sequences per sample were normalized based on minimum 123 124 number of sequences per sample. MOTHUR average neighbor clustering was used to assign operational taxonomic units (OTUs) based on sequence identity ($\geq 97\%$). The numbers of raw 125 and screened reads and OTUs are summarized in Table S1. 126 Based on number of OTUs, species richness (ACE) and diversity indices (Shannon) were 127 calculated using MOTHUR. Community comparison analyses were performed using non-metric 128

129 multidimensional scaling (NMDS) using the MOTHUR NMDS command. Correlation between

130 NMDS plots and abundance of taxonomic composition was analyzed using the MOTHUR

131 corr.axes command with metadata option. Any significant correlations (P < 0.05) were overlaid

132 on NMDS plots using R Arrows function. Shared OTUs were analyzed based on the common

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133	OTUs among the replicates and applied for network analysis using Cytoscape ²⁶ . The organic
134	yFiles layout was applied to estimate alternation of OTUs according to time and food source.
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136	Metagenome sequences analysis
137	Sequence data obtained from HiSeq paired-end sequencing were deposited at SRA with
138	registration number SRP075339. Trimming was performed with PEARF software (option: -q 28
139	-f 0.25 -t 0.05 -l 30) 27 to trim low quality reads. Trimmed reads were mapped to the
140	carbohydrate active enzymes (CAZyme) database containing families of glycoside hydrolases
141	(GH), glycosyl transferases (GT), polysaccharide lyases (PL), carbohydrate esterases (CE), and
142	auxiliary activities (AA) ²⁸ . USEARCH ²⁹ was used to map trimmed reads with e-value 1e-9 and
143	top-hit-only options. Mappings were considered successful if both paired end reads were mapped
144	to the same enzyme.
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146	Statistical analysis
147	Significant differences between phyla and genera were examined with Tukey's HSD test. A
148	differential abundance test was done using Metastats 30 and OTUs with P < 0.05 defined as
149	differentially abundant OTUs. Pearson correlation analysis was applied to find taxa that
150	correlated to the NMDS results. Analysis of molecular variance (AMOVA) was also applied to
151	examine significant differences in NMDS plots.
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153	Results
154	Effect of laminarin on mice weight gain

All mice weighed between 17 and 19 g at the start of the experiment (day 0), and gained 3 to 5 g by the end of the experiments (Fig. S1). Relative weight gain increase is shown in Figure 1. Feeding an HFD significantly increased relative weight gain compared to CTL (P < 0.05). HFD and HFL mice showed higher weight gain during the first two weeks compared to CTL

mice. Then, the rate of weight gain in HFL mice dramatically decreased during the second two
weeks (week 2–4). After termination of laminarin supplementation, the rate of weight gain in
HFL mice has become similar to that of HFD mice.

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163 *Sequencing results*

The V4 region of 16S rRNA gene PCR amplicons was sequenced using MiSeq. A total of 2,035,026 sequences were obtained from 42 fecal samples in this study (Table S1). The number of reads per sample ranged from 8,894 to 157,219. After removal of erroneous sequences, nearly 72–85% of reads remained. The number of sequences per sample was normalized based on the minimum number of reads per sample (7,144) and used for the downstream analyses. The number of operational taxonomic units (OTUs) obtained from this study ranged from 91 to 302 (Table S1).

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172 *Comparison of ecological indices*

Ecological indices (ACE and Shannon) were calculated and are shown in Figure 2. Significant differences in species richness (ACE) were observed between CTL and HFD-HFL mice (P < 0.05) during the entire feeding trial (Fig. 2A). In addition, species richness in HFL mice was even lower than in HFD mice during laminarin ingestion, but no difference was observed after termination of laminarin ingestion (Fig. 2B). This suggests that laminarin 178

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ingestion lowered species richness. On the other hand, no significant difference was observed in species diversity (Shannon) between CTL and HFD mice, or between HFD and HFL mice during the entire feeding trial (Fig. 2C, D). However, HFL mice showed significantly lower species diversity compared to CTL mice during the laminarin ingestion period, suggesting that laminarin lowered species diversity as well. *Comparison of taxonomic composition* Taxonomic compositional differences are shown in Figure 3. Feeding a high-fat diet

increased Actinobacteria and decreased Tenericutes during the entire feeding trial. On the other 186 hand, significant differences between HFD and HFL mice were found in abundances of 187 Firmicutes, Bacteroidetes, and Proteobacteria phyla. The abundance of Proteobacteria was 188 significantly higher in HFL during the entire feeding trial, whereas the abundance of 189 Bacteroidetes was increased and Firmicutes was decreased only during the laminarin 190 supplementation period. This suggests that the abundances of the two phyla are sensitive to 191 192 ingestion of laminarin. During laminarin supplementation, the abundance of Parabacteroides and *Bacteroides* significantly increased more in HFL mice than in HFD mice (P < 0.05). On the 193 other hand, feeding an HFD significantly decreased the number of *Clostridium XIVa* in HFD 194 mice (P < 0.05), but not in HFL mice during laminarin supplementation. In contrast, an HFD 195 significantly increased abundance of *Clostridium XIVb* and *Clostridium XI* (P < 0.05), but 196 decreased their abundance in HFL mice (P < 0.05). None of these shifts in specific genera was 197 observed after termination of laminarin supplementation (Fig. S2). 198

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200 Distribution analysis of operational taxonomic units

The correlation analysis between the distribution of OTUs of each sample and abundance 201 of each phylum is shown in Figure 4. Significant separations between dietary groups (P < 0.001) 202 were observed during laminarin ingestion (Fig. 4A). CTL and HFD groups were most distant 203 204 from each other (Bray-curtis 0.675), whereas HFL was in the middle between HFD and CTL groups. HFD samples showed a positive correlation with the abundance of Firmicutes and 205 Deferribacteres bacteria (P < 0.05). In contrast, abundance of Bacteroidetes was negatively 206 correlated to that of Firmicutes. Both high-fat diet groups (HFD and HFL) exhibited negative 207 correlations with abundance of Tenericutes (P < 0.05). After the termination of laminarin 208 supplementation, the microbial compositions in HFL samples became similar to those from HFD 209 samples, with higher abundance of Firmicutes and lower abundance of Bacteroidetes (Fig. 4B). 210

Shared and unshared OTUs were analyzed based on network analysis (Fig. 5). During laminarin ingestion, network analysis positioned all CTL samples and Day 0 of both HFD and HFL samples together, whereas week 1–4 HFD and HFL samples were separately positioned (Fig. 5A), suggesting that alternation of OTUs had dynamically occurred after only one week into the feeding trial, and was maintained during the entire feeding period. However, the difference between HFL and HFD samples was shortened when 5–6 week samples were included (Fig. 5B).

During laminarin ingestion, differential abundance tests found 180 abundant OTUs (38,616 reads) in HFD samples and 81 abundant OTUs (43,756 reads) in HFL samples (Table S2). Among the differentially abundant OTUs in HFD mice, genus *Clostridium* XI (1 OTU, 5.8 %) presented as the most abundant, whereas *Bacteroides* (4 OTUs, 51.6%) followed by *Clostridium* XVIII (1 OUT, 5.3%) were the most abundant in HFL mice. These results suggest that *Bacteroides* were selectively increased by the laminarin ingestion. In contrast, far fewer

OTUs were found differentially abundant across HFD and HFL mice after termination of laminarin supplementation: 15 OTUs (4,360 reads) and 13 OTUs (1,880 reads) in HFD and HFL samples, respectively. This indicates that the influence of laminarin on abundance of specific species was lost after termination of laminarin supplementation (Table S3).

- 228
- 229 *Carbohydrate active enzyme distribution analysis*

Metagenome sequences were obtained from week 4 samples for all experimental groups 230 (CTL4, HFD4, and HFL4) and from the week 6 sample for the HFL group (HFL6). More than 26 231 million metagenomic reads were obtained from each sample. Metagenomic reads were 232 normalized based on the minimum number of reads (23,026,795). Mapping results are 233 summarized in Table 1. The highest numbers of mapped sequences were observed for HFL4, 234 followed by HFL6. The HFD4 sample had the lowest numbers in each family of CAZymes, 235 whereas nearly 2-3 times more mapped sequences were detected from HFL4. Among the 236 CAZyme families found, Glycoside Hydrolases (GHs) were the most abundant, followed by 237 238 Glycosyl Transferases (GTs). Almost the same amounts of Carbohydrate Esters (CEs) and Polysaccharide Lyases (PLs) were found, and Auxiliary Activities (AAs) were found by far the 239 least. The specific families that were most increased during laminarin ingestion were GH2, GT2, 240 GT4, PL1, PL10, CE8, and CE12 (Fig. S3). 241

242

243 **Discussion**

An HFD has been used many times to induce obesity in mice. HFDs significantly lower

species richness, which further causes adverse effects to host physiological functions 31 .

246 Although ingestion of laminarin did not increase species richness, it did shift gut microbial

communities. Studies have shown that consumption of an HFD increases Firmicutes species and 247 decreased Bacteroidetes^{1,2}. Since energy harvesting capacity is mostly controlled by CAZymes 248 produced by many of Bacteroidetes species ³², the reduced number of Bacteroidetes associated 249 with HFD subsequently causes a decrease in energy harvesting capacity within the gut. Moreover, 250 correlation analyses in previous studies indicate that certain bacteria are strongly associated with 251 gut health. For example, although most *Clostridium* species are commensal, some are pathogenic, 252 such as *Clostridium difficile* that belongs to cluster XI³³ and those in *Clostridium* cluster XIVb, 253 which is known to be associated with metabolic syndrome ³⁴. Nevertheless, certain *Clostridium* 254 species are considered beneficial, such as those in cluster XIVa, which can colonize mucins and 255 produce butvrate ³⁵. Other beneficial microbes include *Bacteroides*, whose genomes encode 256 many CAZymes³⁶, and *Parabacteroides* that modulate immunity³⁷. In this study, we observed 257 that supplementation with laminarin decreased the abundance of potentially pathogenic bacteria 258 (*Clostridium* cluster XIVb and XI), potentially reversing the adverse effects caused by HFD-259 induced structural changes in gut microbiota. Likewise, ingestion of laminarin was correlated 260 261 with increases in beneficial bacteria (Clostridium cluster XIVa, Parabacteroides and *Bacteroides*). Most notably, we observed a dramatic increase in *Bacteroides*, subsequently 262 leading to an increase in CAZymes, as well. A total of 12 OTUs were classified to the genus 263 Bacteroides, but differential abundance analysis only detected 4 OTUs of Bacteroides that were 264 significantly increased in HFL, thus suggesting that laminarin selectively increases only certain 265 species of Bacteroides. 266

Among the increased CAZymes associated with HFL, we observed that the numbers of GH2, GT2, GT4, PL1, PL10, CE8, and CE12 were especially increased compared to other families. GH2 is involved in hemicellulose degradation ³⁸ and degradation of the pectin side

270	chain ³⁹ , thus playing a key role in digestion of dietary polysaccharides in the gut. GT is far less
271	diverse compared to GH 40 , and GT2 and GT4 are prototypes of GT 41 ; thus many reads were
272	mapped to these two families. It has been reported that beta cell-specific GT is effective in
273	reducing the chance of developing diabetes ⁴² . GT2 is involved in chitin synthesis ⁴³ , which is
274	known to reduce body mass index ⁴⁴ . Therefore, increased amounts of GT may prevent obesity.
275	Like GH, PL functions in degrading polysaccharides. PL1 and PL10 are pectate lyases, in which
276	different folds of the proteins carry identically poised catalytic machinery that perform the same
277	reaction on the same substrate ⁴⁵ . PL1 is abundant in green roughage fed animals ⁴⁶ , suggesting
278	that the enzyme plays a key role in digesting prebiotics and increasing energy harvesting
279	capacity. CE hydrolyzes ferulic acid and O-acetyl esters, which aid in hemicellulose degradation
280	and contribute to complete hydrolysis of polysaccharides ⁴⁷ . Pectin methyl esterase (CE8) and
281	acetyl esterase (CE12) are highly expressed in the human gut symbiont, Bacteroides
282	<i>xylanisolvens</i> ⁴⁸ , suggesting that these enzymes may be highly expressed in response to
283	consumption of dietary fiber. In addition, it has been reported that polysaccharides in green tea
284	extracts are responsible for its anti-obesity effects on diet-induced obese rats ⁴⁹ . Moreover
285	polysaccharides also show lipid lowering effects in high cholesterol-fed mice ⁵⁰ .
286	Recent studies have shown that consuming seaweed-based diets can enrich PL 51 and
287	GH ⁵² in the human gut microbiome. In addition, it has been reported that microbial glycan
288	degradation plays a key role in diet-driven adaptation of gut microbiota ⁵³⁻⁵⁵ . Thus, a laminarin-
289	supplemented diet could shift gut microbiota by increasing bacteria that digest dietary
290	polysaccharides and decreased potentially pathogenic bacteria. In our study, weight loss in HDL
291	mice started after two weeks of laminarin ingestion. Dynamic species (OTUs) alteration was
292	observed a week later. It has been reported that dietary changes shift the gut microbiota within 3

293	days and can shift back after returning to the original diet ⁵⁶ . Therefore, supplementation of				
294	laminarin would need to be continued in order to maintain its anti-obesity effects. Here, we				
295	report that laminarin has a high potential to be defined as a prebiotic. The presented study will be				
296	informative for the development of functional foods with laminarin.				
297					
298	Acknowledgement				
299	We are grateful to Sustainable Agriculture Research Institute (SARI) in Jeju National				
300	University for providing the experimental facilities. This research was partially supported by a				
301	grant from Marine Biotechnology Program (PJT200672) funded by Ministry of Oceans and				
302	Fisheries, Korea and Basic Science Research Program through the National Research Foundation				
303	of Korea (NRF) funded by the Ministry of Education, Korea (2016R1A6A1A03012862).				
304					
305	Conflict of Interest				

There is no conflict of interest to declare. 306

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481 Table 1. Number of metagenome reads per million that have high similarity to carbohydrate

482 active enzymes. HFD4, HFL4, HFL6, and CTL4 denote each dietary group and week of samples:

483 HFD, high-fat diet; HFL, high-fat diet with laminarin; and CTL, control diet.

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Families of carbohydrate active enzymes	HFD4	HFL4	HFL6	CTL4
AA	3	16	3	0
CE	239	557	393	319
GH	5,037	10,569	7,772	6,395
GT	1,285	2,299	1,786	1,341
PL	162	432	320	249
Total	6,726	13,873	10,274	8,304

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Figure 1: Rate of weight gain (%) of mice fed a control diet (CTL), high-fat diet (HFD), and

high-fat diet supplemented with laminarin (HFL).

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490 Figure 2: Comparison of species richness (A, C) and diversity (B, D) in gut microbiota from mice fed different diets before (A, B) and after the termination of laminarin feeding (C, D): CTL, 491 normal diet; HFD, high-fat diet; and HFL high-fat diet supplemented with laminarin. 492 493 Superscripts 'a', 'b', and 'c' indicate significant difference (P < 0.05). 494 Figure 3: Log scale abundance analysis at the phylum (A) and genus levels (B) during the 495 laminarin feeding period. 'A' and 'B' differentiate cages. 496 497 Figure 4: Non-metric multidimensional scaling analysis and correlation of taxonomic abundance 498 499 at the phylum level during the laminarin feeding period (A) and after termination of laminarin supplementation (B). CTL, HFD, and HFL denote groups of mice fed control diet, high-fat diet, 500 and high-fat diet supplemented with laminarin, respectively. Ellipses were drawn to denote 95th 501 percentiles. 502 503 Figure 5: Network analysis of operational taxonomic units during laminarin ingestion (A) and 504 entire feeding period (B). Colors indicate sampling time and shapes indicate dietary treatments: 505 diamond, control diet; square, high-fat diet; and triangle, high-fat diet supplemented with 506 laminarin. 507 508 509



Figure 1: Rate of weight gain (%) of mice fed a control diet (CTL), high-fat diet (HFD), and high-fat diet supplemented with laminarin (HFL).

68x73mm (150 x 150 DPI)



Figure 2: Comparison of species richness (A) and diversity (II) in gut microbiota from mice fed different diets: CTL, normal diet; HFD, high-fat diet; and HFL high-fat diet supplemented with laminarin. Superscripts 'a', 'b', and 'c' indicate significant difference (P < 0.05).

165x132mm (150 x 150 DPI)



Figure 3: Log scale abundance analysis at the phylum (A) and genus levels (B) during the laminarin feeding period. 'A' and 'B' differentiate cages.

201x86mm (150 x 150 DPI)



Figure 4: Non-metric multidimensional scaling analysis and correlation of taxonomic abundance at the phylum level during the laminarin feeding period (A) and after termination of laminarin supplementation (B). CTL, HFD, and HFL denote groups of mice fed control diet, high-fat diet, and high-fat diet supplemented with laminarin, respectively. Ellipses were drawn to denote 95th percentiles.

327x130mm (96 x 96 DPI)



Figure 5: Network analysis of operational taxonomic units during laminarin ingestion (A) and entire feeding period (B). Colors indicate sampling time and shapes indicate dietary treatments: diamond, control diet; square, high-fat diet; and triangle, high-fat diet supplemented with laminarin.

182x132mm (150 x 150 DPI)



199x189mm (96 x 96 DPI)