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1 **Co-delivery of camptothecin and curcumin by cationic polymeric nanoparticles for**
2 **synergistic colon cancer combination chemotherapy†**

3

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6

7 **Abstract**

8 Nanoparticle (NP)-based combination chemotherapy has been proposed as a potent strategy
9 for enhancing intracellular drug concentrations and achieving synergistic effects in colon
10 cancer therapy. Here, we fabricated a series of chitosan-functionalized camptothecin
11 (CPT)/curcumin (CUR)-loaded polymeric NPs with various weight ratios of CPT to CUR.
12 The resultant cationic spherical CPT/CUR-NPs had a desirable particle size (193–224 nm),
13 relatively narrow size distribution, and slightly positive zeta-potential. These NPs exhibited a
14 simultaneous sustained release profile for both drugs throughout the study period with a
15 slight, initial burst release. Subsequent cellular uptake experiments demonstrated that the
16 introduction of chitosan to the NP surface markedly increased cellular-uptake efficiency
17 compared with other drug formulations, and thus increased the intracellular drug
18 concentrations. Importantly, the combined delivery of CPT and CUR in a single NP
19 enhanced synergistic effects of the two drugs. Among the five cationic CPT/CUR-NPs tested,
20 NPs with a CPT/CUR weight ratio of 4:1 showed the highest anticancer activity, resulting in
21 a combination index of approximately 0.46. In summary, our study represents the first report
22 of combinational application of CPT and CUR with a one-step-fabricated co-delivery system
23 for effective colon cancer combination chemotherapy.

24

1 1. Introduction

2 Colon cancer, the third-most common malignant tumor, is associated with high mortality,
3 accounting for more than 1.4 million new cases and over half a million deaths worldwide
4 annually [1, 2]. Current therapeutic approaches for colon cancer treatment include surgery,
5 chemotherapy, and radiotherapy. Of these modalities, chemotherapy is the most effective
6 method [3]. However, application of a single chemotherapeutic agent often fails to achieve
7 complete cancer remission owing to the heterogeneity of cancer cells, development of drug
8 resistance, and adverse effects caused by high and/or repeated drug dosing [4-6]. To
9 overcome these issues, clinicians have adopted combination chemotherapy based on multiple
10 chemotherapeutic drugs as a primary cancer treatment regimen [7]. It has been reported that
11 the use of multiple drugs targeting different cellular pathways can raise the genetic barriers
12 for cancer cell mutations, and thus delay the cancer adaptation process [8-10]. Moreover,
13 simultaneous administration of multiple drugs provides synergistic antitumor efficacy [11].
14 Camptothecin (CPT), a hydrophobic plant alkaloid extracted from *Camptotheca acuminata*,
15 exhibits a broad spectrum of antitumor activity against various cancers, including colon
16 cancer, small cell lung carcinoma, and breast cancer [12]. Mechanistically, CPT converts the
17 DNA unwinding/winding enzyme topoisomerase I into a cellular poison by inhibiting the
18 religation step through stabilization of the DNA/topoisomerase I complex and formation of a
19 cleavable DNA/enzyme/CPT ternary complex. Following collision of the replication fork
20 with this cleaved strand of DNA, the cell cycle arrests in the G2 phase, thus inducing cell
21 death [13, 14]. Although CPT has been proven to possess impressive preclinical antitumor
22 activities, its clinical application has been seriously restricted by limited efficacy and dose-
23 limiting toxicity. Therefore, CPT is often utilized in combination with other drugs [10, 15,
24 16]. Curcumin (CUR), a hydrophobic polyphenol derived from natural herbal sources, has a

1 variety of therapeutic properties, including antioxidant, anti-inflammatory, and antitumor
2 activities [17, 18]. Recently, CUR has received increasing attention for cancer therapy due to
3 its unique beneficial features, including (1) relative safety for humans, even when given at a
4 high doses (12 g/d) for 3 months; (2) inhibition of various cellular pathways associated with
5 tumor survival and progression; and (3) suppression of chemoresistance through sensitization
6 of cancer cells to conventional chemotherapeutic agents [19-21]. Accordingly, synergistic
7 effects of CUR and various anticancer agents have been previously explored. Scarano *et al.*
8 reported that CUR potentiates the effects of platinum, with a combination index (CI)
9 reflective of synergy ranging from 0.4 to 0.8 [17]. Also, Ganta *et al.* demonstrated that the
10 combination of CUR and paclitaxel was very effective in enhancing cytotoxicity towards
11 cancer cells by promoting an apoptotic response [22]. Hence, considering the different
12 antitumor mechanisms of CPT and CUR, the combination of these two drugs might offer a
13 viable therapeutic option for cancer treatment.

14 As reported previously, the clinical outcome of combination chemotherapy is highly
15 dependent on the ratio of administrated drugs, which in turn determines the level of
16 synergism or antagonism [8]. Another challenge for combination chemotherapy is unifying
17 the pharmacokinetics and cellular uptake of various drugs [15, 23, 24]. Notably,
18 combinational nanoparticles (NPs) provide an excellent platform for overcoming these
19 challenges owing to their simultaneous delivery of the correct ratio of drugs to individual
20 cells, synergistic therapeutic effects, and suppression of drug resistance [25-27]. The choice
21 of carrier material is highly important since it significantly affects the pharmacokinetics and
22 pharmacodynamics of drugs. To date, a wide range of polymers, including lipids, poly(lactic
23 acid/glycolic acid) (PLGA) and dendrimers, have been employed as drug carriers [28-30].
24 Among these, PLGA is a FDA-approved biodegradable copolymer that can encapsulate

1 hydrophobic drugs to form NPs with high efficiency. Accordingly, it has been widely used in
2 drug delivery [31, 32]. Unfortunately, the negative surface charge of PLGA NPs tends to
3 impair their interaction with the cell surface, leading to low cellular internalization [33].
4 Generally, the physicochemical characteristics of NPs, such as particle size, surface charge,
5 composition and surface hydrophobicity, affect their cellular uptake [34]. Of these
6 characteristics, surface charge is the factor that exerts the greatest influence on drug-delivery
7 function [35]. It has been reported that cationic NPs can easily bind to the negatively charged
8 cell membrane, facilitating cellular uptake and intracellular drug release [33]. Chitosan, a
9 cationic biodegradable polymer, provides a strong electrical interaction with the negative-
10 charged NPs surface, switching the surface to a positive charge [36-38]. In our previous
11 report, we optimized the parameters for preparing chitosan-functionalized NPs, and also
12 confirmed that chitosan functionalization increases the cellular uptake and tumor
13 accumulation of NPs [30].

14 In the present study, using chitosan as a material for surface functionalization of NPs, we
15 describe the first attempt to fabricate cationic CPT/CUR-loaded PLGA polymeric NPs, as
16 depicted in Figure 1a. Subsequently, we characterized their physicochemical, including
17 hydrodynamic particle size, zeta-potential, drug loading and encapsulation efficiency, and
18 further evaluated their cellular uptake efficiency. We also assessed the antitumor synergistic
19 effects of cationic CPT/CUR-loaded PLGA polymeric NPs *in vitro*.

20

1 **2. Materials and Methods**

2 *2.1. Materials*

3 PLGA (Mw = 38–54 kg/mol), poly(vinyl alcohol) (PVA, 86-89% hydrolyzed, low molecular
4 weight), chitosan, sodium nitrite, CPT, CUR, accutase and Triton X-100 were purchased
5 from Sigma-Aldrich (St. Louis, MO, USA). Paraformaldehyde stock solution (16%) was
6 from Electron Microscopy Science (Hatfield, PA, USA). Vybrant[®] MTT cell proliferation
7 assay kit was supplied from Invitrogen (Eugene, OR, USA). All commercial products were
8 used without further purification.

9 *2.2. Depolymerization of chitosan and intrinsic viscosity measurement*

10 Molecular weight of chitosan was tailored by depolymerization using sodium nitrite
11 following a reported method [39]. Viscosity-average molecular weight of the resulting
12 chitosan was determined as 1.8×10^4 using a 0.5 M CH₃COOH/0.2 M CH₃COONa by
13 viscometric method [40]. The depolymerized chitosan was used in the NPs fabrication
14 process.

15 *2.3. Fabrication of NPs*

16 NPs were prepared by a modified oil-in-water (O/W) emulsion-solvent evaporation technique.
17 Briefly, 100 mg of PLGA and, optionally, various amounts of CPT and CUR (total 6 mg)
18 were co-dissolved in 2 mL of dichloromethane (DCM)-methanol co-solvent (8:2). The
19 resulting organic solution was added drop-wise to 4 mL PVA solutions (5%) with or without
20 depolymerized chitosan (0.5%). Subsequently, the mixture was sonicated six times (10 s each
21 time) at 50% amplitude in an ice bath using a Sonifier 450 (Branson Sonic Power, Danbury,
22 CT, USA). This emulsion was immediately poured into 100 mL of aqueous solution
23 containing 0.3% PVA with or without 0.03% depolymerized chitosan. After that, the organic
24 solvent was evaporated under low vacuum conditions (Rotary evaporator, Yamato RE200,

1 Santa Clara, CA, USA). The NPs formed by this method were collected by centrifugation at
2 12,000 g for 20 min, washed three times with deionized water, dried in a lyophilizer, and
3 stored at $-20\text{ }^{\circ}\text{C}$ in airtight container. The resultant chitosan-functionalized CPT/CUR-loaded
4 NPs were named as cationic CPT/CUR-NPs (i) ($i = 1:4, 1:2, 1:1, 2:1$ and $4:1$), and the feed
5 weight ratio of CPT/CUR were denoted by the numbers in bracketed text.

6 *2.4 Characterization of NPs*

7 Particle sizes (nm), size distribution and zeta-potential (mV) of NPs were measured by
8 dynamic light scattering (DLS) using 90 Plus/BI-MAS (Multi-angle particle sizing) or DLS
9 after applying an electric field using a ZetaPlus (Zeta potential analyzer, Brookhaven
10 Instruments Corporation, Holtsville, NY, USA). The average and standard deviations of the
11 diameters (nm) or zeta-potential (mV) were calculated using 3 runs. Each run is an average
12 of 10 measurements.

13 The morphology of NPs was observed with a transmission electron microscope (TEM, LEO
14 906E, Zeiss, Germany). A drop of dilute NPs suspension was mounted onto 400-mesh
15 carbon-coated copper grids and dried before analysis.

16 UV-vis absorption spectra of CPT and CUR were obtained on a Shimadzu UV-1700 UV/Vis
17 spectrophotometer. The absorption intensity of CUR was measured at 435 nm. Fluorescence
18 spectra of CPT and CUR were recorded on a Shimadzu RF-5301 PC spectrofluorometer. The
19 fluorescence intensity of CPT was measured at 435 nm emission wavelength (360 nm
20 excitation wavelength). Quartz cuvettes were used in all UV and fluorescent studies. The
21 amount of CPT and CUR encapsulated in NPs was determined by measuring the intrinsic
22 fluorescence of CPT and adsorption of CUR, respectively. In a typical example, NPs (2 mg)
23 were dissolved in 1 mL of dimethyl sulfoxide (DMSO). Then the supernatant was diluted and
24 transferred to quartz cuvette for measurement.

1 XRD spectra were examined using a Cu Ka-ray with tube conditions of 40 kV and 30 mA
2 ranging from 10° to 50° (XRD-7000, Shimadzu, Japan).

3 *2.5 Release profiles of CPT and CUR from NPs*

4 The release behaviour of CPT and CUR from NPs was conducted by the dialysis method as
5 described in our group before [30]. Briefly, NPs were dispersed in PBS to form a suspension
6 (equal to 200 µg of drug). The suspension was transferred into a regenerated Cellulose
7 Dialysis tube (molecular weight cut-off = 10,000 Da) and the sample-filled tube was closed
8 tightly at both ends to keep each tube surface area equivalent. The closed bag was
9 subsequently put into a centrifuge tube, and immersed in 20 mL PBS release medium
10 containing 0.5% Tween-80. Tween-80 was employed in PBS to maintain the solubility of
11 drugs in aqueous phase. The tube was put in a water bath shaking at 100 rpm at 37 °C for 72
12 h. At appropriate time points, outer solution was taken for measurement and fresh release
13 medium was added. The amount of CPT and CUR in the outer solution was measured
14 according to the method described in Section 2.4. All of the operations were carried out in
15 triplicate.

16 *2.6 Intracellular NPs uptake visualization*

17 Colon-26 cells were seeded in eight-chamber tissue culture glass slide (BD Falcon, Bedford,
18 MA, USA) at a density of 5.0×10^4 cells/well and incubated overnight. The culture medium
19 was exchanged to serum-free medium containing cationic CPT/CUR-NPs (1:1). The total
20 drug concentration in the medium is set as 100 µM. After 3 h of co-culture, the cells were
21 thoroughly rinsed with cold PBS for 3 times to eliminate excess of NPs, and then fixed in 4%
22 paraformaldehyde for 15 min. Images were acquired using an Olympus equipped with a
23 Hamamatsu Digital Camera ORCA-03G.

24 *2.7 Quantification of cellular uptake using flow cytometry (FCM)*

1 Colon-26 cells were seeded in 6-well plates at a density of 3×10^5 cells/well and incubated
2 overnight. The medium was exchanged to serum-free medium containing free CPT or
3 various NPs (equal to 50 μM drug). Cells without treatment were used as negative controls.
4 After 3 h of co-incubation, the cells were thoroughly rinsed with cold PBS to eliminate
5 excess of NPs, which were not taken up by cells. Subsequently, the treated cells were
6 harvested using accutase, transferred to centrifuge tubes, and centrifuged at 1,500 rpm for 5
7 min. Upon removal of the supernatant, the cells were re-suspended in 0.5 mL of FCM buffer,
8 transferred to round-bottom polystyrene test tubes (BD Falcon, 12×75 mm), and kept at 4°C
9 until analysis. Analytical FCM was performed using the DAPI channel and FITC channel on
10 the FCM CantoTM (BD Biosciences, San Jose, CA, USA). A total of 5,000 ungated cells were
11 analyzed.

12 *2.8 MTT assay and synergy analysis*

13 For 3-(4,5-Dimethylthiazol-2-yl)-2,5-Diphenyl Tetrazolium Bromide assay (MTT) test,
14 Colon-26 cells were seeded at a density of 2×10^4 cells/well in 96-well plates and incubated
15 overnight. The cells were incubated in the RPMI 1640 medium containing various amounts
16 of drug (0 – 64 μM) for 24 h and 48 h, respectively. Free drug was dissolved in 9:1 (v/v)
17 medium/DMSO, and NPs were suspended in medium. At the end of the incubation period,
18 the cells were thoroughly rinsed three times with PBS. Cells were then incubated with MTT
19 (0.5 mg/mL) at 37°C for 4 h. Thereafter, the media were discarded and 50 μL DMSO was
20 added to each well prior to spectrophotometric measurements at 570 nm. Untreated cells
21 were used as negative references, whereas cells were treated with 0.5% Triton X-100 as
22 positive controls.

23 CalcuSyn software (Biosoft, Cambridge, UK) was used to calculate the CI value. The CI
24 values were determined at the half maximal (50%) toxicity concentration (IC_{50}). The

1 interaction between treatment modalities was calculated by using the median-effect equation
2 and CI analysis. CI values of 0.9–1.1 indicate additive activity, values less than 0.9 indicate
3 drug synergy, and values more than 1.1 indicate antagonism. CI analysis was performed by
4 CalcuSyn software 1.0.

5 *2.9 Quantitative reverse-transcription PCR (qRT-PCR)*

6 Colon-26 cells were seeded in 6-well plates at a density of 5×10^5 cells/well and incubated
7 overnight. Cationic CPT-NPs (CPT, 25 μM), cationic CUR-NPs (CUR, 25 μM) or cationic
8 CPT/CUR-NPs (total drugs, 25 μM) were added to the medium. After 8 h of co-incubation,
9 total RNA was extracted using the RNeasy Plus Mini Kit (Qiagen, Valencia, CA, USA). The
10 complementary DNA (cDNA) was generated from the total RNAs isolated above using the
11 Maxima First Strand cDNA Synthesis Kit (Fermentas, Hanover, MD, USA) according to the
12 manufacturer's instructions. Levels of Bcl-2 RNA expression were quantified by reverse-
13 transcription polymerase chain reaction using Maxima SYBR Green/ROX qPCR Master Mix
14 (Fermentas, Hanover, MD, USA). The data were normalized to the internal control: 36B4.
15 Relative gene expression levels were calculated using the $2^{-\Delta\Delta\text{Ct}}$ method. Sequences of all the
16 primers used for reverse-transcription polymerase chain reaction are given in Table 3.

17 *2.10 Electrical impedance sensing (ECIS) technology*

18 Cell-attachment assays were performed to investigate the real-time cytotoxicity of NPs using
19 electrical impedance sensing (ECIS) technology (Applied BioPhysics, Troy, NY, USA). The
20 ECIS model 1600R was used for these experiments. The measurement system consists of an
21 8-well culture dish (ECIS 8W1E plate), the surface of which is seeded with Caco2-BBE cells
22 at a density of 1×10^6 /well. Once cells reached confluence, various NPs were added to the
23 wells and the drug concentration in the medium is set as 25 μM . Untreated cells were used as
24 negative references, whereas cells were treated with 0.5% Triton X-100 as positive controls.

1 Basal resistance measurements were performed using the ideal frequency for Caco2-BBE
2 cells, 500 Hz, and a voltage of 1 V.

3 *2.11 Statistical analysis*

4 Statistical analysis was performed using Student's *t*-test. Data were expressed as mean \pm
5 standard error of mean (S.E.M.). Statistical significance was represented by * $P < 0.05$ and
6 ** $P < 0.01$.

7

1 **3 Results and discussion**

2 *3.1. Fabrication of NPs*

3 We prepared various NPs using the emulsion-solvent evaporation technique, a common and
4 well-established method for fabricating active-substance-loaded NPs [41]. Theoretically,
5 rapid addition of the organic phase (PLGA; optionally CPT/CUR) to the aqueous phase
6 together with an emulsifier (PVA; optionally chitosan), with sonication, leads to the
7 immediate formation of an oil/water emulsion based on the Gibbs-Marangoni effect
8 (mechanical mechanism) and a capillary break-up mechanism [42]. Upon evaporation of
9 DCM/methanol co-solvents under reduced pressure, CPT/CUR molecules are transferred to
10 the PLGA hydrophobic core through hydrophobic interactions (the “like dissolves like”
11 principle) and further solidified to form compacted NPs [43].

12 Emulsifiers presented at the interface serve to separate oil and water phases, and are
13 necessary to prevent aggregation of NPs [44]. PVA, a copolymer of hydrophobic poly(vinyl
14 acetate) and hydrophilic poly(vinyl alcohol), is extensively utilized as an emulsifier for the
15 fabrication of polyester NPs [45]. During the NP-formation process, the hydrophobic
16 segments of PVA penetrate into the organic phase and remain entrapped in the polymeric
17 matrix of the NPs; their hydrophilic segments surround NPs and stabilize them through steric
18 hindrance. Chitosan is a natural, linear, cationic polymer that has long been used for surface
19 modification of polyester NPs to prolong pharmacological effects [46, 47]. This action of
20 chitosan coating could be attributable to the effects of chain entanglement with PVA.
21 Alternatively, it may reflect adsorption of positively charged chitosan to the negative-charged
22 NP surface.

23 *3.2. Physicochemical characterization of NPs*

1 Particle size and zeta-potential are critical parameters because they directly impact the
2 stability, cellular uptake, and biodistribution of NPs [42]. As summarized in Table 1, DLS
3 measurements showed that the average hydrodynamic diameter of NPs was in the range of
4 193 to 248 nm. The introduction of chitosan to the CPT-NPs surface produced no noticeable
5 change in NP particle size. Interestingly, the particle size of all dual-drug-loaded NPs was
6 much smaller than that of single-drug-loaded NPs, which might be contributed to its lower
7 drug loading.

8 Generally, the charge on the NPs surface acts as an important factor that influences the
9 stability of NP suspensions and the interaction between NPs and cell membrane. Table 1 also
10 shows that NPs produced using PVA as an emulsifier were electronegative, whereas all
11 chitosan-functionalized NPs had a positive zeta-potential (approximately +20 mV), reflecting
12 the positive charge arising from the amino groups in chitosan units. Zeta-potential
13 measurements suggested that the NPs as prepared were relatively stable and might also be
14 favorable for delivering encapsulated drugs to cells owing to potential interactions between
15 the positively charged NP surface and negatively charged cell membrane.

16 UV absorption intensity at 435 nm and fluorescence emission at 435 nm (excitation: 360 nm)
17 of CPT and CUR do not overlap (ESI Fig. 1); thus, these parameters are suitable for use in
18 quantifying CPT and CUR. Among prepared NPs, drug loading ranged from 2.1% to 5.8%,
19 with the corresponding encapsulation efficiency depending on the drug type and the number
20 of different drugs used in the fabrication process, as indicated in Table 1. For example,
21 single-drug-loaded NPs exhibited high encapsulation efficiency, whereas the encapsulation
22 efficiency of dual-drug-loaded NPs was markedly decreased.

23 As shown in Figure 1b, the size of cationic CPT/CUR-NPs (4:1) exhibited an approximate
24 Gaussian distribution with a very narrow particle size distribution (polydispersity index,

1 0.18); the average size of NPs was ~209 nm. A representative transmission electron
2 microscopy (TEM) image (Figure 1c) showed that these NPs are spherical with a mean
3 diameter of approximately 138.5 nm. The modest deviation in diameter measured by DLS
4 and TEM is attributable to differences in the surface states of the samples under the test
5 conditions used, as reported in our previous studies [48]. Specifically, NPs were in a fully
6 hydrated (swollen) state when tested by DLS, whereas they must be strictly dehydrated for
7 TEM characterization. It has been proposed that most cells preferentially internalize slightly
8 positively charged NPs with a size less than 400 nm [49-51]. On the other hand, to ensure
9 efficient endocytosis, NPs should be large enough to prevent their rapid leakage into
10 capillary blood vessels and capture by macrophages lodged in the reticuloendothelial system.
11 The size of NPs was thus regulated in such a way that the mean size of NPs was larger than
12 100 nm, but smaller than 400 nm. Therefore, the properties of the cationic NPs described
13 above would be considered favorable for internalization into cells.

14 To further elucidate the interactions between drugs and PLGA polymer, we investigated their
15 X-ray diffraction (XRD) patterns. As shown in Figure 2, the representative XRD
16 diffractograms of CPT and CUR showed numerous sharp and intense peaks at various
17 scattering angles (2θ), reflecting their highly crystalline nature. In contrast, the XRD of
18 cationic CPT/CUR-NPs (4:1) exhibited a complete absence of such characteristic peaks,
19 possibly suggesting the formation of an amorphous complex upon intermolecular interaction
20 between CPT/CUR and PLGA polymers. Thus, our results clearly indicate that the drugs
21 were molecularly dispersed within the polymers, an observation in good agreement with a
22 published report [8]. This is important because an amorphous drug prevents the Ostwald
23 ripening phenomenon, which has a destabilizing effect.

24 3.3. *In vitro* drug-release profile

1 Controlled release of CPT and CUR from NPs is an important prerequisite for colon cancer
2 therapy. *In vitro* release profiles of drugs loaded in cationic CPT/CUR-NPs (1:1) as a
3 function of time are presented in Figure 3, which shows that approximately 15.5% and 47.6%
4 of the initial dose of CPT was released from the polymeric matrix of the NPs during the first
5 4 and 24 h, respectively. The release rate of CUR within the first 4 h was faster than that of
6 CPT, but slowly leveled off to lower values after 24 h. The differences in the release rates of
7 CPT and CUR are likely attributable to differences in their hydrophobicity: because CPT is
8 more water-soluble and readily diffuses into solution from the polymer matrix, it exhibits a
9 faster release rate. We also found that CPT and CUR could be released simultaneously with a
10 slight initial rapid release followed by a relatively slower release phase. As previously
11 reported, drug release from PLGA NPs reflects the combined effects of swelling, pore
12 diffusion, erosion, and degradation processes [52]. Accordingly, the initial burst release
13 might be due to the diffusion of drugs present at the surface of NPs. Subsequently, drugs
14 migrate from the core to the surface of the polymeric matrix, and show a moderate and
15 sustained release behavior.

16 *3.4. Intracellular uptake*

17 Efficient cellular uptake is a major requirement for the therapeutic efficacy of NPs. Here,
18 taking advantage of the intrinsic fluorescence of CPT and CUR, we investigated their
19 intracellular delivery into cancer cells. Colon-26 cells were treated with cationic CPT/CUR-
20 NPs (1:1) for 3 h. As shown in Figure 4, CPT and CUR fluorescence were clearly colocalized
21 in Colon-26 cells, demonstrating that treatment with NPs resulted in drug accumulation in
22 these cells.

23 To quantitatively assess the effect of NP encapsulation on cellular uptake of drug, we treated
24 Colon-26 cells with free CPT (50 μM) or various NPs loaded with the same concentration of

1 CPT, and investigated their cellular uptake profiles after 3 h of co-incubation. The
2 fluorescence emission intensities of Colon-26 cells treated with free CPT or various CPT-
3 loaded NPs are presented in Figure 5a and 5b. Impressively, the fluorescence intensity of
4 Colon-26 cells incubated with cationic CPT-loaded NPs was 2.1- and 2.8-fold higher than
5 that of cells incubated with free CPT and CPT-loaded NPs, respectively. This indicates that
6 surface modification with chitosan endows NPs with a greater ability to enhance cellular
7 uptake efficiency, an observation in good agreement with our previous report [30]. We also
8 incubated Colon-26 cells with empty NPs or cationic CPT/CUR-NPs (1:1). As can be seen in
9 Figure 5c, CPT and CUR signals were simultaneously present in NP-treated cells clearly.
10 This result confirms the colocalization of both drugs in the same cells, consistent with the
11 results shown in Figure 4.

12 3.5. *In vitro* cytotoxicity and synergistic effect

13 To confirm the synergistic effect of CPT and CUR in drug-loaded NPs, we evaluated the *in*
14 *vitro* cytotoxicity of the free drugs and various NPs using MTT assays. First, empty NPs
15 were tested and shown to exert no obvious cytotoxicity on Colon-26 cells, even at a
16 concentration up to 250 $\mu\text{g/mL}$ (Figure 6a). Therefore, NPs without drugs were well tolerated
17 by Colon-26 cells at this experimental concentration. To determine whether PLGA-
18 encapsulated CPT/CUR maintain their anticancer activity, we investigated their effects on
19 Colon-26 cells at different time points (24 and 48 h). As shown in Figure 6 (b and c) and
20 Table 2, the anticancer activities of free drugs, cationic CPT-NPs and cationic CUR-NPs
21 were dependent on their concentrations. Notably, whereas cationic CPT-NPs exerted much
22 higher cytotoxicity toward Colon-26 cells than free CPT after 24 and 48 h of treatment,
23 cationic CUR-NPs exhibited much lower cytotoxicity than free CUR. Four factors appear to
24 account for these results: (1) the presence of DMSO in the free-drug solution assisted the

1 penetration of free drugs into cells; (2) DMSO itself exerted a toxic effect that was
2 superimposed on that of free drugs; (3) CPT has much stronger cytotoxicity against Colon-26
3 cells than CUR; (4) cationic NPs deliver more drugs to cells than free drug formulation
4 dissolved in DMSO. Furthermore, among the different treatments, cationic CPT-NPs exerted
5 the strongest anticancer effects after 24 and 48 h of co-incubation. Using IC_{50} values of the
6 individual drugs (Table 2), we investigated the synergistic effects of CPT and CUR at a fixed
7 combined concentration of 8 μ M. As indicated in Figure 6d, the synergistic efficacy of dual-
8 drug combinations depends largely on the drug ratio and time interval. Figure 7 clearly
9 indicates that a specific ratiometric combination was more powerful than a random
10 combination of the two drugs. Strong synergism, reflected by CI values < 0.62 , was evident
11 at CPT/CUR ratios of 1:1, 2:1, and 4:1 after 24 h of incubation. A similar trend of ratio-
12 dependent synergy was observed after 48 h of incubation, and strong synergism (CI < 0.46)
13 was observed at a CPT/CUR ratio of 4:1. On the basis of these results, we selected cationic
14 CPT/CUR-loaded NPs (4:1) for further study.

15 Bcl-2 regulates the mitochondria-mediated apoptosis pathway, and it has a dominant role in
16 the survival of multiple malignancies [53]. Reducing its expression level is expected to
17 promote apoptosis and therefore suppress the tumor cell growth. As shown in Figure 8a,
18 Colon-26 cells had varied responses in Bcl-2 expression with the treatment of different NPs.
19 Bcl-2 mRNA reduction following treatment with cationic CPT/CUR-NPs (4:1) was 58.8%
20 compared to 43.7% following treatment with cationic CPT-NPs and 3.7% with cationic
21 CUR-NPs. These results indicated that cationic CPT/CUR-NPs (4:1) exhibited pronounced
22 effect on cell apoptosis, which was consistent with MTT data.

23 As indicated in a previous report, MTT assays are not suitable for real-time analysis of
24 cellular transformation [54]. As an alternative, we employed ECIS, an automated, real-time

1 analytical tool for measuring cellular proliferation, cytotoxicity, and apoptosis [55]. As
2 shown in Figure 8b, Caco2-BBE cells attached to the electrode surface to form a confluent
3 monolayer with a resistance of approximately 32,000 Ohms. These cells were then incubated
4 with various NPs containing the same drug concentration. Untreated Caco2-BBE monolayers
5 exhibited a continuous increase in resistance, indicative of proliferation, whereas those
6 treated with Triton X-100, used as a positive control (100% cell death), exhibited a sharp
7 decrease in resistance. Interestingly, cationic NPs slightly increased resistance initially,
8 followed by a subsequent decrease. This bimodal effect might indicate that the efficient
9 controlled drug release behavior of NPs restricted the initial burst effect. Notably, cationic
10 CPT/CUR-NPs (4:1) showed the lowest resistance, indicating that this NP formulation
11 yielded the strongest anticancer activity among these three types of NPs.

12 **3. Conclusions**

13 In the present study, cationic PLGA NPs were employed as carriers to co-deliver CPT and
14 CUR for colon cancer combination chemotherapy. The resultant NPs had desirable diameters
15 and size distribution, and a slightly positive zeta-potential. Studies on drug release and
16 cellular uptake of this co-delivery system showed that both drugs were effectively taken up
17 by cells and released simultaneously. Cationic CPT/CUR-NPs exhibited clear synergistic
18 effects against Colon-26 cells. Furthermore, these synergistic effects depended on drug
19 ratios, with NPs with a CPT/CUR ratio of 4:1 showing the highest anticancer activity toward
20 Colon-26 cells. These studies unambiguously demonstrate that dual-drug-loaded NPs act in a
21 synergistic manner to effectively reduce the dose of drug required. In future, we envision
22 expanding the utility of these NPs, particularly through conjugation of active targeting
23 ligands, further improving their therapeutic efficacy.

24

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10 Notes and references

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18 † Electronic supplementary information (ESI) available: UV-vis absorption and
19 fluorescence spectra of free CPT and free CUR dissolved in DMSO. See
20 DOI: 10.1039/b000000x/

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- 20

1 **Figure captions**

2 **Figure 1.** Preparation of cationic CPT/CUR-NPs using a one-step fabrication process. (a)
3 Schematic illustration of the preparation of cationic CPT/CUR-NPs. Representative size
4 distribution (b) and TEM image (c) of cationic CPT/CUR-NPs (4:1). Inset: TEM of an
5 individual NP.

6 **Figure 2.** XRD patterns of free CPT (a), free CUR (b), and cationic CPT/CUR-NPs (4:1) (c).

7 **Figure 3.** *In vitro* cumulative release of CPT and CUR from cationic CPT/CUR-NPs (1:1) in
8 PBS containing 0.5% Tween-80 at 37 °C. Data are presented as means \pm S.E.M. (n = 3).

9 **Figure 4.** Cellular colocalization of cationic CPT/CUR-NPs (1:1) in Colon-26 cells after
10 treatment with a drug concentration of 100 μ M for 3 h. Fluorescence images show the
11 intracellular colocalization of CPT and CUR in Colon-26 cells. Scale bar = 10 μ m.

12 **Figure 5.** Quantification of cellular uptake of various NPs by Colon-26 cells. (a)
13 Representative flow cytometry histograms of fluorescence intensity for cells treated with free
14 CPT or various NPs (CPT, 50 μ M) for 3 h. (b) Percentage of CPT-containing Colon-26 cells
15 after treatment with free CPT or NPs (CPT, 50 μ M) for 3 h. (c) Representative flow
16 cytometry plots of cells incubated with or without cationic CPT/CUR-NPs (1:1) for 3 h. Each
17 point represents the mean \pm S.E.M. (n = 3; * P < 0.05 and ** P < 0.01, Student's t -test).

18 **Figure 6.** *In vitro* cytotoxicity of free drugs and various NPs against Colon-26 cells,
19 determined by MTT assays. (a) Cytotoxicity of different concentrations of empty cationic
20 NPs towards Colon-26 cells after incubation for 24 and 48 h. Cytotoxicity of free drugs and
21 various NPs at different concentrations towards Colon-26 cells after incubation for 24 h (b)
22 and 48 h (c). (d) Cytotoxicity of various cationic CPT/CUR-NPs with different weight ratios
23 after incubation for 24 and 48 h. Triton X-100 (0.1%) was used as a positive control to
24 produce a maximum cell death rate (100%); cell culture medium was used as a negative

1 control (death rate defined as 0%). Cytotoxicity is given as the percentage of viable cells
2 remaining after treatment. Each point represents the mean \pm S.E.M. (n = 5).

3 **Figure 7.** CI versus F_a plot. Colon-26 cells were incubated with various cationic CPT/CUR
4 NPs for 24 h (a) and 48 h (b).

5 **Figure 8.** *In vitro* inhibition of the expression of Bcl-2 gene in Colon-26 cells (a). Cells were
6 treated with different NPs (total drugs: 25 μ M) for 8 h. Each point represents the mean \pm
7 S.E.M. (n = 3; * P < 0.05 and ** P < 0.01, Student's *t*-test). The real-time cytotoxicity of
8 various NPs against Caco2-BBE cells (b), determined using ECIS technology. ECIS was
9 used to determine cell viability in real time during an extended exposure to an NP suspension
10 with a drug concentration of 25 μ M. As controls, ECIS was also performed on untreated cells
11 and cells treated with Triton X-100 (0.5%) in DMEM.

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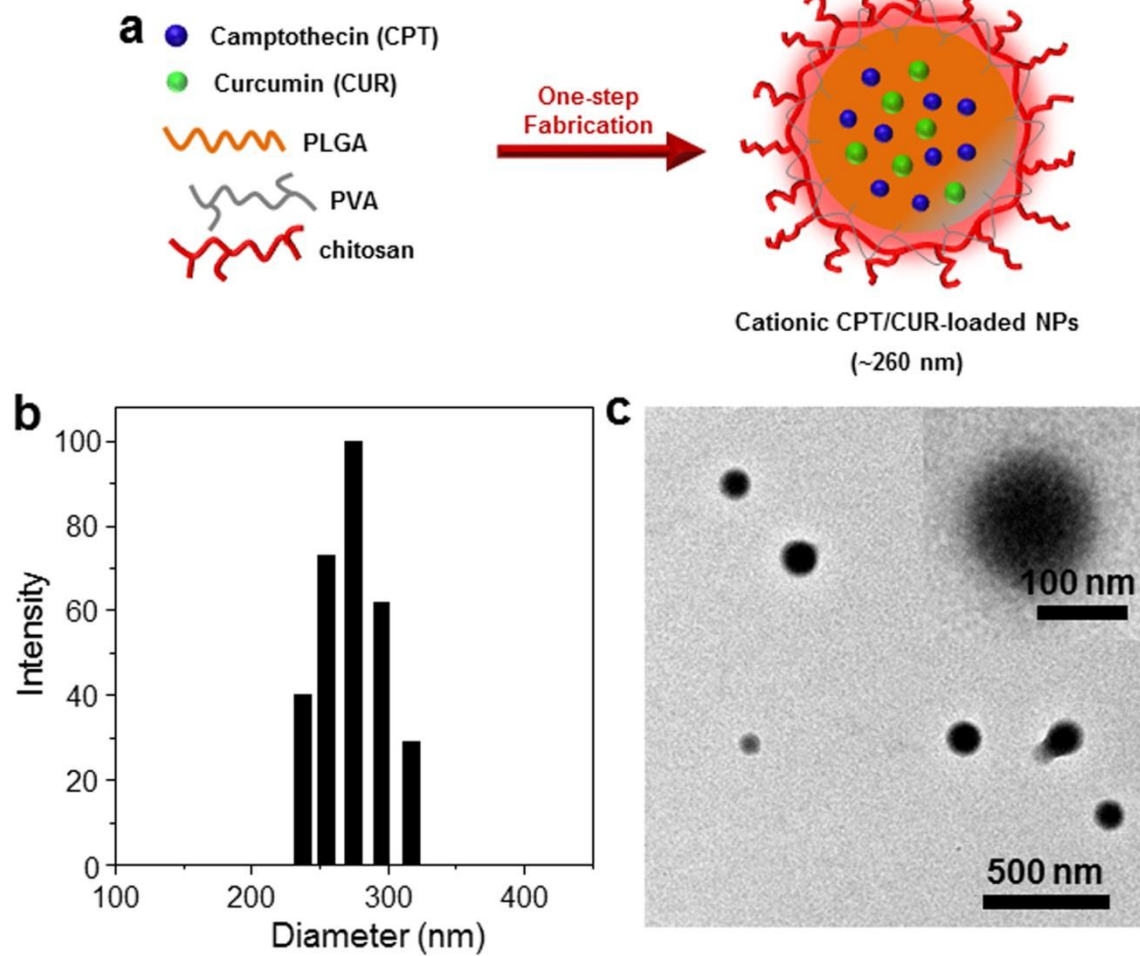
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1 Fig. 1

Figure 1.

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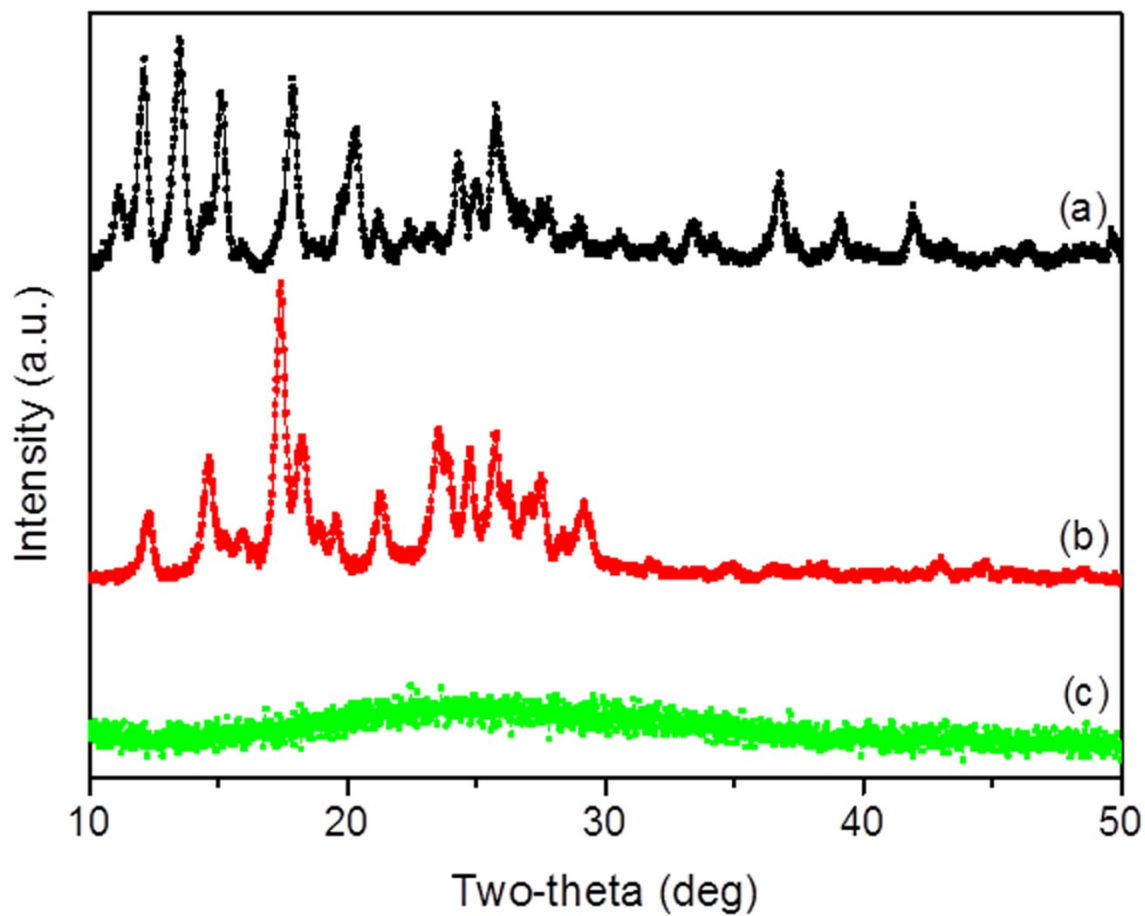


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1 Fig. 2

Figure 2.

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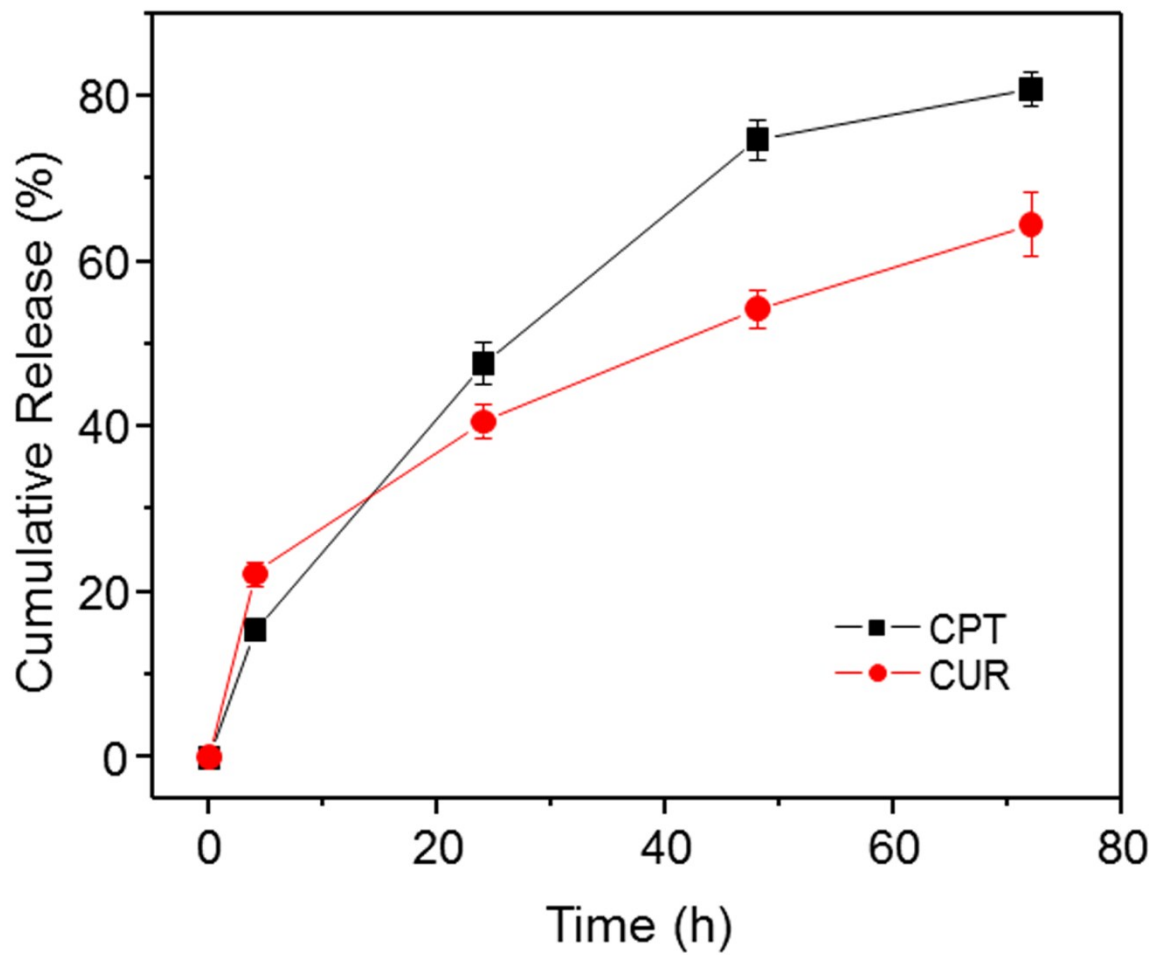


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1 Fig. 3

Figure 3.

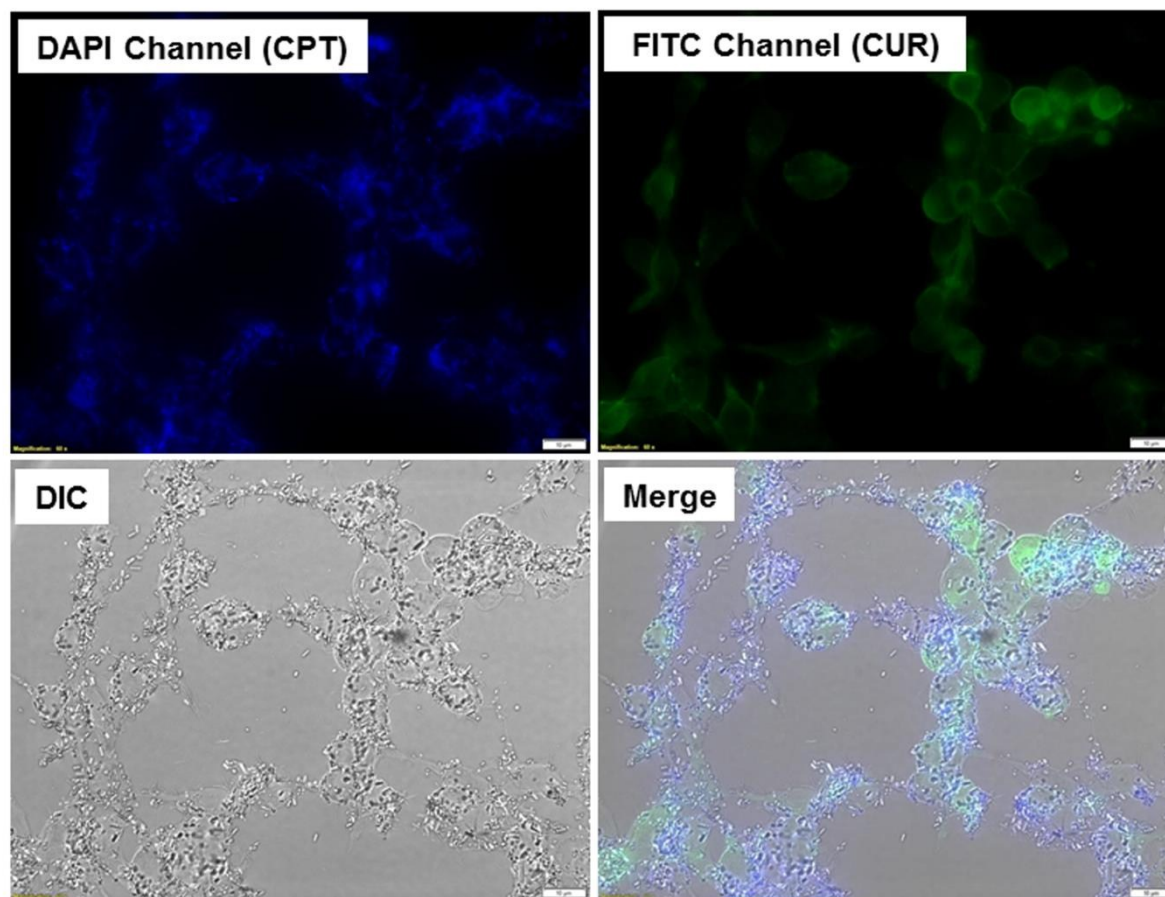
Xiao B. et al.



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1 **Fig. 4****Figure 4.**

Xiao B. et al.

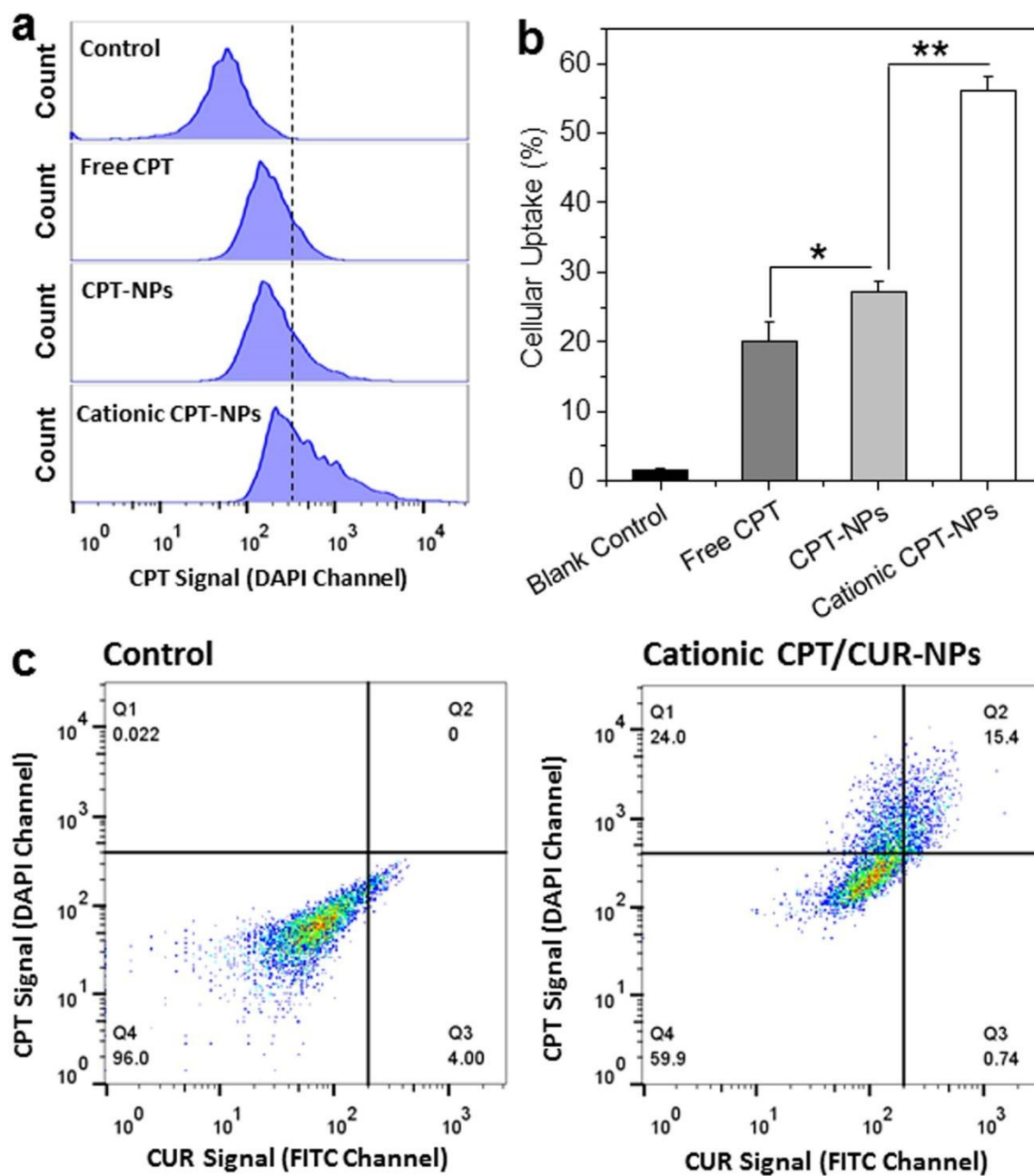


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1 Fig. 5

Figure 5.

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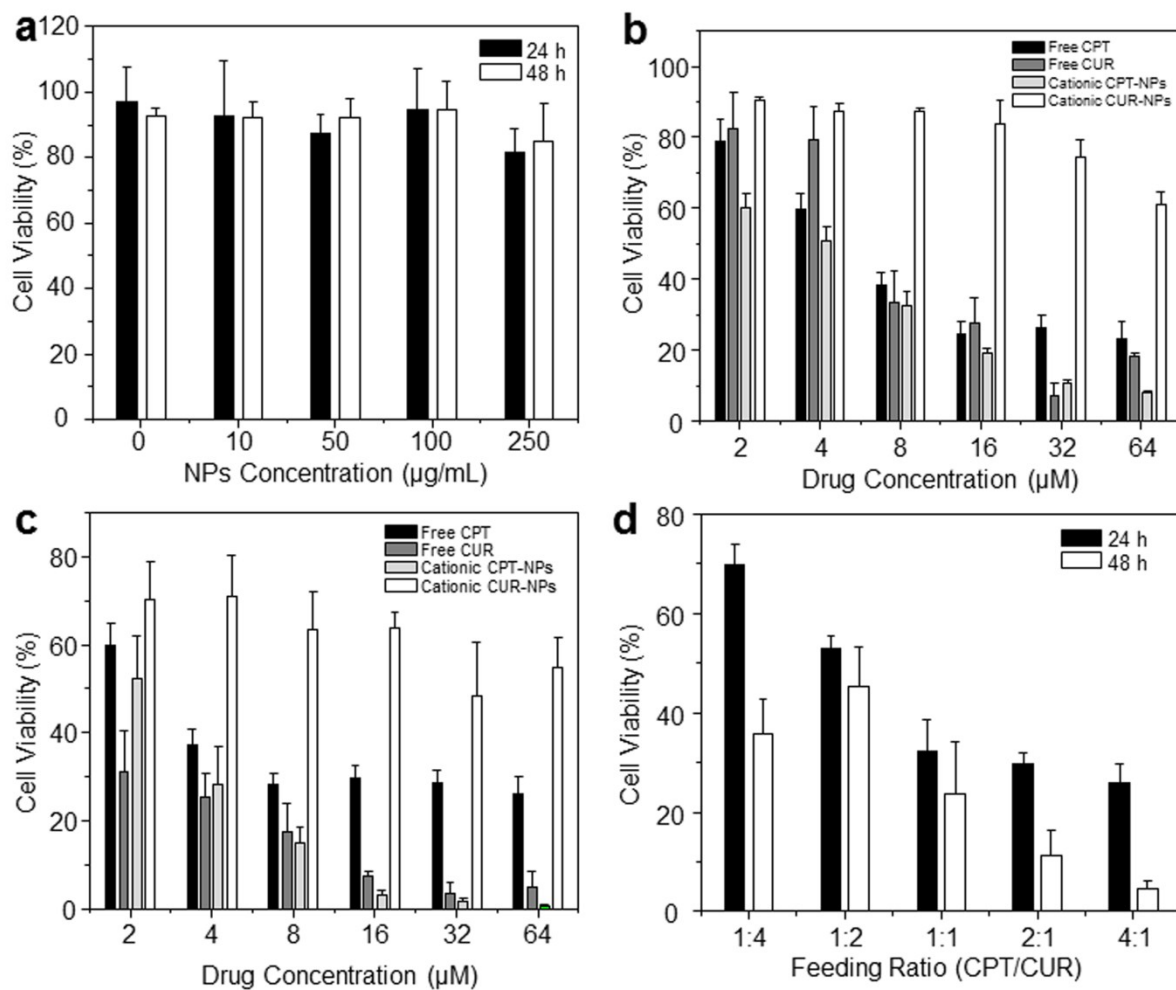


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1 **Fig. 6****Figure 6.**

Xiao B. et al.



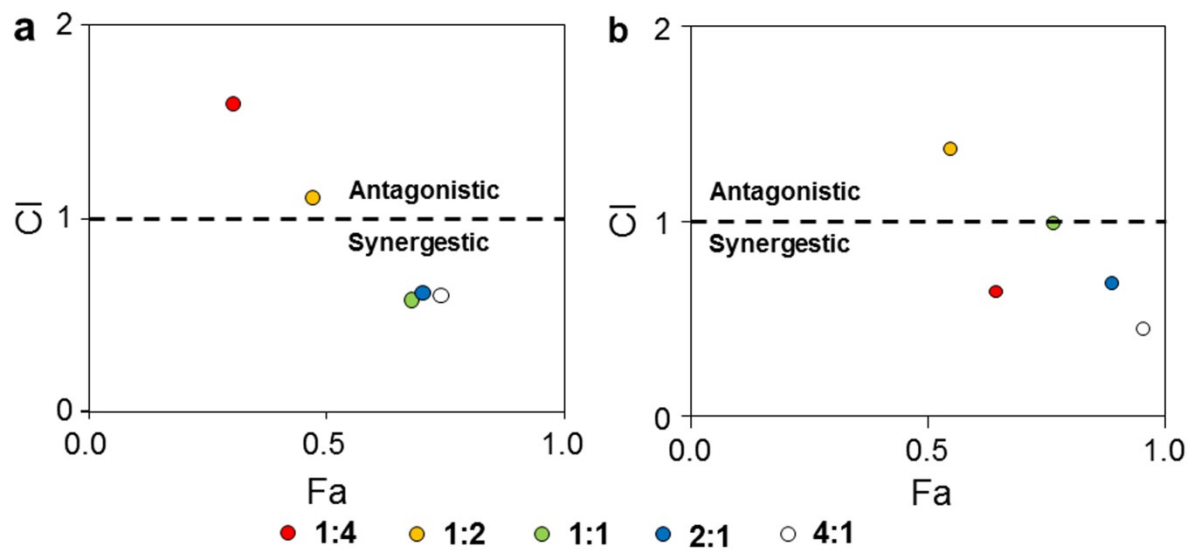
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1 Fig. 7

Figure 7.

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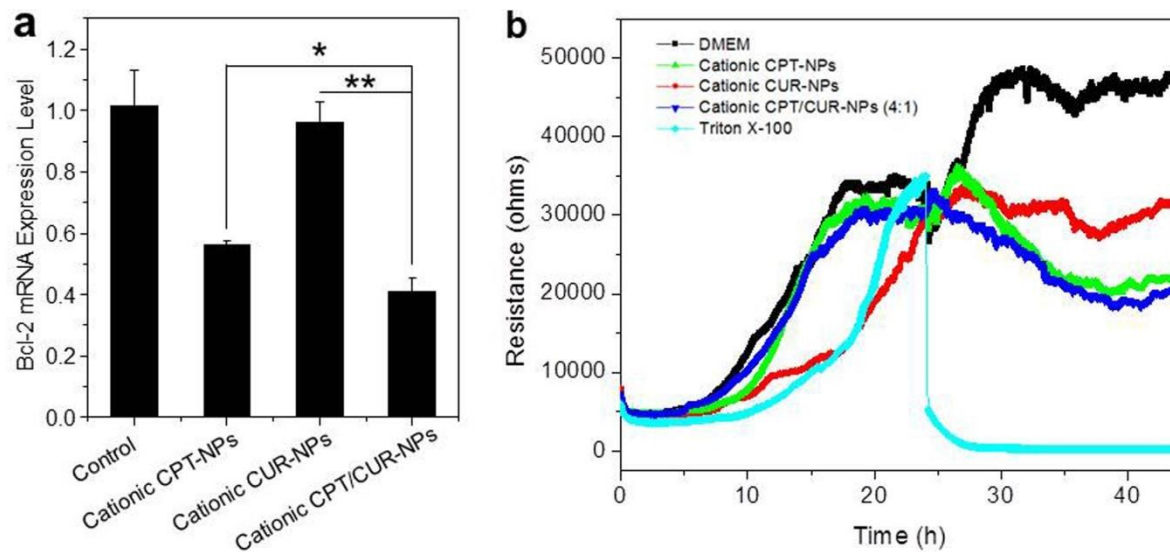


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1 **Fig.8****Figure 8.**

Xiao B. et al.



2

3

1 **Table 1**2 Characteristics of the various nanoparticles (mean \pm S.E.M.; n=3).

Nanoparticles	Particle Size (nm)	Zeta-potential (mV)	Drug Loading (%)		Encapsulation Efficiency (%)	
			CPT	CUR	CPT	CUR
Cationic Blank NPs	248.9 \pm 7.4	+12.0 \pm 2.9	–	–	–	–
CPT-NPs	240.8 \pm 13.9	-12.6 \pm 2.9	5.8 \pm 0.4	–	96.8 \pm 4.2	–
Cationic CPT-NPs	233.4 \pm 7.9	+26.3 \pm 2.5	5.1 \pm 0.3	–	86.0 \pm 3.2	–
Cationic CUR-NPs	243.1 \pm 12.2	+19.3 \pm 2.6	–	2.9 \pm 0.2	–	48.8 \pm 2.0
Cationic CPT/CUR-NPs (1:4)	204.9 \pm 8.4	+19.2 \pm 2.6	0.6 \pm 0.2	1.7 \pm 0.1	39.8 \pm 2.8	35.4 \pm 1.7
Cationic CPT/CUR-NPs (1:2)	193.0 \pm 5.0	+28.1 \pm 2.2	1.1 \pm 0.3	1.6 \pm 0.2	45.1 \pm 3.4	39.3 \pm 2.2
Cationic CPT/CUR-NPs (1:1)	204.3 \pm 11.0	+14.6 \pm 2.1	1.2 \pm 0.2	0.9 \pm 0.1	38.0 \pm 4.1	35.7 \pm 1.1
Cationic CPT/CUR-NPs (2:1)	224.6 \pm 10.6	+ 20.4 \pm 2.1	1.8 \pm 0.2	0.8 \pm 0.2	48.7 \pm 3.2	44.8 \pm 4.5
Cationic CPT/CUR-NPs (4:1)	209.2 \pm 15.9	+12.2 \pm 4.7	2.8 \pm 0.3	0.5 \pm 0.1	51.3 \pm 4.8	39.5 \pm 3.8

3

4

1 Table 2

2 IC₅₀ (μM) of free drug and drug-loaded nanoparticles against Colon-26 cells line.

Incubation time	Free CPT	Free CUR	Cationic CPT-NPs	Cationic CUR-NPs
24 h	6.9	7.5	3.5	270.6
48 h	1.7	0.8	2.2	81.1

3

4

1 **Table 3**

2 Primers used in this study.

Primer name	Sequence	Description
Bcl-2-F	5'-GTACCTGAACCGGCATCTG-3'	Bcl-2 gene RT-PCR forward primer
Bcl-2-R	5'-GGGGCCATATAGTTCCACAA-3'	Bcl-2 gene RT-PCR reverse primer
36B4-F	5'-TCCAGGCTTTGGGCATCA-3'	36B4 gene RT-PCR forward primer
36B4-R	5'-CTTTATCAGCTGCACATCACTCAGA-3'	36B4 gene RT-PCR reverse primer

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5

Graphical Abstract:

Camptothecin (CPT) and curcumin (CUR) have been co-loaded into cationic polymeric nanoparticles and exhibited strong synergistic effects against colon cancer cells.

