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1 **Deformable fibrous carbon supported ultrafine nano-SnO₂ as a high**
2 **volumetric capacity and cyclic durable anode for Li storage**

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18 **Keywords:** lithium ion battery, deformable fibrous carbon, tin dioxide, stress release, *in-situ*
19 Raman spectrum

20
21 **Abstract:**

22 Multidimensional fibrous carbon scaffolds, derived from carbonized filter papers (CFP), was
23 used to support SnO₂ nanocrystals (NC, with size of 4~5nm) to form a free-standing
24 SnO₂NC@CFP hybrid anode for Li-ion batteries. The SnO₂NC particles are well accreted on
25 the surfaces of 1D carbon fibers and 2D ultrathin carbon sheets while maintaining 3D
26 interconnected pores of the carbon matrices for fast ionic transport. The SnO₂NC@CFP
27 hybrid electrode exhibits long-term higher energy density than the commercial graphite anode,
28 and excellent rate capability, due mainly to good dispersion of SnO₂ in the multidimensional
29 conductive carbon. In particular, the reversible deformation of the flexible fibrous carbon
30 matrices, as inferred from *in situ* Raman spectroscopy and SEM image analysis, facilitates
31 stress release from the active SnO₂NC during discharge-charge cycling while maintaining
32 structure integrity of the self-supported SnO₂NC@CFP anode. These demonstrate that rational
33 combination of multidimensional architecture of deformable carbon with nanoscale active
34 materials is ideally suited for high-performance Li-ion batteries.

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1 1. Introduction

2 To meet the power demands of many emerging applications such as electric vehicles and
3 smart grids, it is necessary to significantly increase the capacity/energy densities and prolong
4 the lifespan of the existing lithium ion batteries (LIBs); this is a great challenge to the battery
5 industries and the scientific communities^[1]. To overcome this challenge, various intriguing
6 designs and strategies have been proposed for dramatically enhancing the performance of the
7 electrodes^[2]. As one of the most promising alternative anode materials, tin dioxide (SnO₂)
8 has attracted much attention due to its high theoretical capacities and moderate operating
9 potential for Li storage in comparison to graphite and other transition metal oxides^[3-10]. It is
10 well known that SnO₂ stores Li⁺ by a two-step process, a conversion reaction
11 (SnO₂+Li⁺←→Sn+Li₂O) followed by an alloying reaction (Sn +xLi⁺←→Li_xSn),
12 corresponding to a theoretical capacity of 711 and 783 mAhg⁻¹, respectively^[5, 11]. Thus, the
13 theoretical capacity (1,494 mAhg⁻¹) is about four times of that of graphite (372 mAhg⁻¹).
14 However, severe capacity fading during cycling is still the greatest challenge for SnO₂ anode
15 to be commercially viable, due mainly to disintegration of the electrode structure resulted
16 from the huge volume change and serious aggregation of Sn particles during the alloying
17 reaction^[5, 12], as well as poor electron/ion conductivity of the oxides. Further, SnO₂ based
18 anodes have large initial capacity loss, due primarily to the irreversibility of Li₂O in the
19 conversion reaction, in addition to other Li-consuming irreversible reactions on new surfaces
20 of electrodes^[6, 13, 14]. Accordingly, nanoparticles of SnO₂ have been integrated with different
21 forms of carbonaceous (C) matrices^[15-24] to enhance the structural stability and reaction
22 reversibility of SnO₂ anodes.

23 The function of the carbonaceous additives in a SnO₂-C hybrid electrode is twofold; it
24 may act as a matrix to buffer the volume change of SnO₂ and to enhance the conductivity of
25 the electrode. However, the specific morphology and architecture of the carbon supports
26 dramatically affect the performance of the hybrid electrode^[25-28]. 1D carbon structures (e.g.,

1 nanowire, nanotube, and electrospun microfiber) could achieve fast Li^+ transport kinetics in
2 one direction, but offer low loading of SnO_2 and thus low electrode capacity due to small
3 surface areas ^[20, 29-31]. For 2D carbon matrices with higher surface areas (such as graphene,
4 ultrathin carbon sheets, and carbon coating), more SnO_2 particles could be loaded with good
5 electrical contact, resulting in higher capacity and enhanced cycleability ^[28, 32-34]. However,
6 large initial irreversible capacity loss was inevitable due to the high surface areas of the
7 hybrids ^[35]. In contrast, 3D interconnected carbon structures (e.g., porous carbon, fiber
8 networks, and fiber papers) with continuous transport pathways allow fast Li^+/e^- transport in
9 any dimensions while maintaining a superior structural integrity for the SnO_2 ^[36-38].
10 Nevertheless, the volumetric capacity of the 3D porous hybrids is relatively low due to the
11 large-sized pores of the carbon skeletons. Furthermore, it was found that Li_2O may be partly
12 decomposed, yielding higher reversible capacity if ultrafine SnO_2 particles were well
13 combined with nanosized carbon ^[28, 39]. Accordingly, new electrode architectures are required
14 to mitigate the problems associated with the existing hybrid electrodes. An effective approach
15 could be a rational combination of multidimensional (1D, 2D, 3D) carbon with well-dispersed
16 nano-sized SnO_2 phases.

17 It is found in a previous study that the deformability (or superelasticity) of a supporting
18 matrix is vital to effectively accommodating the volume change of Sn-based active materials
19 during Li^+ insertion and extraction ^[40]. Accordingly, a deformable carbon frame may help to
20 suppress the volume expansion effect of lithiated SnO_2 . Here we demonstrate the rational
21 design of a multidimensional SnO_2 -C hybrid electrode by simply using carbonized filter paper
22 (CFP), which has a 3D porous structure consisting of 1D micro/nanosized carbon fibers and
23 2D ultrathin carbon sheets. The electrode was prepared by electrodepositing SnO_2
24 nanocrystals (NC) on CFP, which was different strategy to those previously reported work
25 that usually using filter papers as templates to form SnO_2 -C nanofibers ^[41, 42]. This unique
26 $\text{SnO}_2\text{NC@CFP}$ hybrid electrode was used as a self-supporting anode excluded the additional

1 binder and current collectors, in which the carbon scaffolds were deformable and flexible to
2 release the stress induced by lithiation of SnO₂, displaying high gravimetric and volumetric
3 capacity, excellent rate capability and long cycling life.

4 **2. Experimental Sections**

5 *Fabrication of porous carbonized filter papers (CFP)*: The commercial filter paper sheets
6 (Hang Zhou Filter paper Co. Ltd) were placed in an alumina boat in a horizontal tube furnace,
7 and carbonized at 450 °C for 4 hour as a H₂/Ar gas mixture (92%Ar+8%H₂) was flowed
8 through the tube to form black CFP sheets.

9 *Electrodeposition of SnO₂ nanocrystals on CFP sheets (SnO₂NC@CFP)*: The solution
10 (250mL) for electro-deposition was composed of 20 mM tin dichloride (SnCl₄, 99.8%), 100
11 mM sodium nitrate (NaNO₃, ~99%), 75 mM nitric acid (HNO₃), and distilled water. A
12 rectangle piece of CFP (1×1.5cm) was used as the working electrode, which was soaked in the
13 above solution for 2h before electrodeposition. A conventional three-electrode cell was used
14 for the electro-deposition in which a platinum (Pt) metal plate was used as the counter
15 electrode with a separation from the working electrode by 2 cm. A saturated calomel electrode
16 (SCE) was used as the reference electrode. SnO₂ were deposited using a potentiostat
17 (CHI600E, China) with working potential of -0.2V (vs. SCE). The SnO₂ loading in CFP
18 matrix was dependant on the depositing time and the concentration of Sn-based solution.
19 However, It was also found that the morphology in different samples were dramatically
20 different. Duration of 30 min for electrodeposition had been chosen after optimization. The
21 deposits were washed with water and then immersed in deionized water to leach the
22 remaining chloride impurity. The specimens were dried at 200 °C for 4h in a drum wind
23 drying oven, and finally the brown self-supporting SnO₂NC@CFP hybrids were obtained.
24 Pure SnO₂NC powders (with average crystal size 4-5nm, see Figure S5, Supporting
25 Information) formed during the deposition, in the solution near under the working electrodes,
26 were also collected and dried at 200 °C for 4h in a drum wind drying oven.

1 *Synthesis of a physical mixture of pure SnO₂NC powders and CFP (SnO₂NC+CFP mixture):*
2 20mg SnO₂NC powders and 30mg CFP powders were put together in an agate mortar, and
3 then grinded by hand for 30 min to form a mixture of SnO₂NC and CFP.

4 *Materials characterization:* The morphologies of the samples were characterized using a Carl
5 Zeiss Supra 40 field emission scanning electron microscope (SEM) and a JEOL JEM-2100
6 transmission electron microscope (TEM) operating at 200kV. The phase variations of the
7 samples were determined using a Philips X-ray diffractometer (XRD) with Cu-K α radiation
8 and a Raman spectrometer (Raman, Horiba) with a 632.81nm excitation laser. The electronic
9 states of O in the electrodes were determined by an AXIS Ultra DLD X-ray photoelectron
10 spectrometer (XPS) using Al-K α radiation. Raman spectra were obtained using a Renishaw
11 RM 1000 spectromicroscopy system with an air-cooled Ar laser emitted 446nm.
12 Thermogravimetric (TG) analysis was performed under O₂ on NETZSCH STA409 with a heat
13 rate of 10°C/min.

14 *Electrochemical measurements:* The discharge–charge cycling performance of the samples
15 was investigated using a cell test system (LAND-CT2001A) with CR2016 coin-type cells,
16 while the specific capacities of all reported composites were calculated from their total mass.
17 The binder-free CFP (1cm \times 1cm, ~ 4 mg), SnO₂NC@CFP sheets (1cm \times 1cm, ~6.5mg), and
18 commercial graphite anode coated on Cu foil (MTI Cooperation) were directly used as the
19 working electrodes. For the SnO₂NC electrode slurry-coated on Cu foil substrates, it was
20 consisted of 80 wt% of the active material, 10 wt% conductivity additives (Super-P), and 10
21 wt% binder polyvinylidene fluoride. Lithium foil was used both as counter electrode and as
22 reference electrode in the half cells. The electrolyte was LiPF₆ (1 mol/L) in a mixture of
23 ethylene carbonate (EC)/diethyl carbonate (DEC)/ethyl methyl carbonate (EMC) with a
24 volume ratio of EC/DEC/EMC=1:1:1 (Shanshan Tech Co., Ltd.). The cells were tested at
25 various current rates between 0.01 and 2.0 V at room temperature. For *in-situ* Raman
26 observation, the SnO₂NC@CFP and pristine CFP sheets were acted as the working electrodes

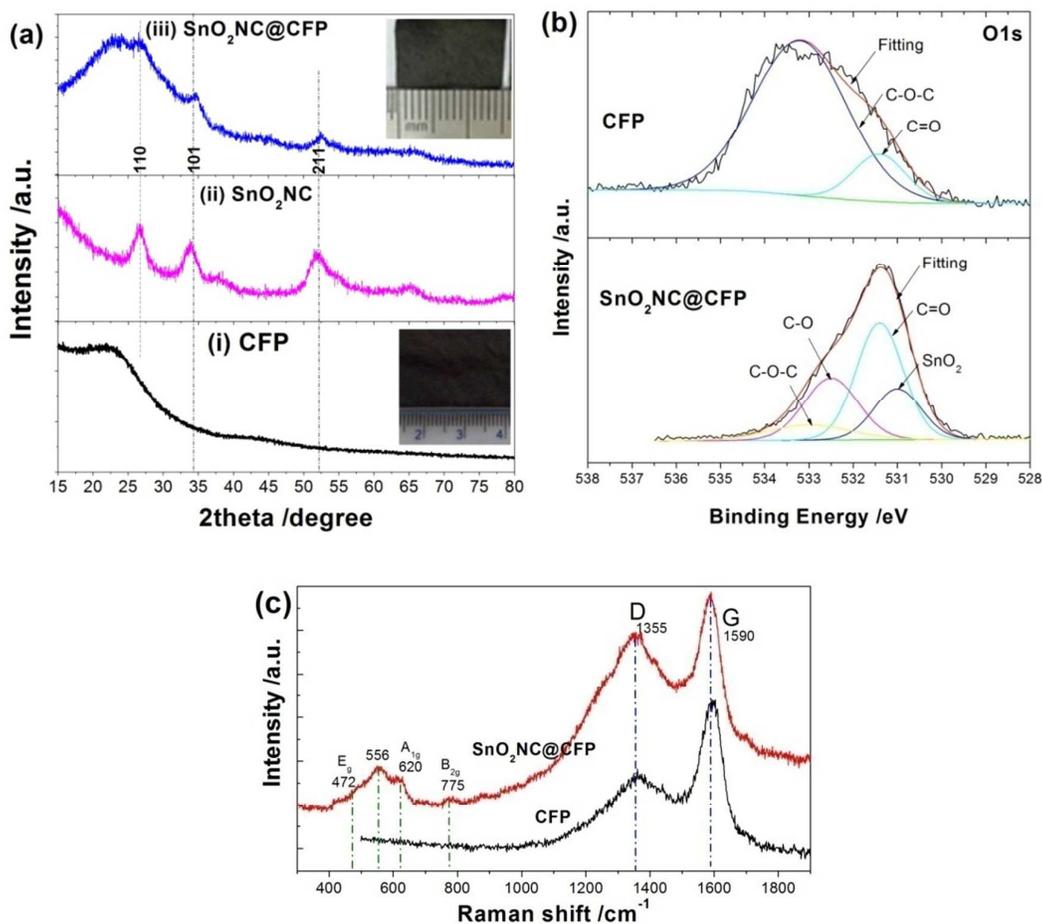
1 in a spectroelectrochemical cell (MTI Cooperation). A small hole was made in the lithium foil
2 and separator in order to observe the electrode surface. The electrolyte mixture of 1M LiBOB
3 in PC was used in the *in-situ* Raman cells due to the serious fluorescence effects of LiPF₆
4 weaken the Raman signal of carbon and SnO₂. The *in-situ* Raman cell was galvanostatically
5 tested using a Solatron Analytical 1470E potentiostat. At the same time, an *in-situ* time-
6 resolved study was conducted by placing the laser spot on the same location, with the laser
7 slightly out of focus to cover a larger area of about 5μm in diameter.

8 **3. Results and Discussion**

9 It is well known that the filter papers (FP, actually the cellulose) possess porous network
10 structure with complicated hierarchical morphology. The carbonization of FP in N₂ had been
11 previously reported^[43], but the final carbon paper was dense and without fibrous morphology,
12 owing to the coalescence effect of the carbon species formed during the carbonization process
13 of cellulose. In this study, we carbonized commercial FP sheets differently, in a rapid flow of
14 a H₂/Ar mixture rather than in N₂. The surface hydroxyl groups could be quickly removed
15 from cellulose to avoid coalescence of carbon under a reducing atmosphere H₂. Thus, the
16 rapid H₂/Ar flow helped to maintain 3D porous structure in CFP. Furthermore, the rapid H₂/Ar
17 flow could also help to thin the fibers and create pores in the carbon scaffolds (see detailed
18 microstructure observation below and **Figure S1, Supporting Information**). In the
19 preparation of hybrid anode, the CFP sheets were first immersed in an acidic electrolyte
20 solution (with SnCl₄, NaNO₃ and HNO₃) for 2h to allow the solution to fully fill inside the
21 pore space of the CFP due to the capillary force. During 30 minutes electrodepositing,
22 Sn(OH)₄ formed and precipitated inside the pores and on surfaces of the CFP because of its
23 low solubility. Finally, the Sn(OH)₄ was dehydrated at 200°C to form SnO₂ nanocrystals in
24 the CFP to yield a SnO₂NC@CFP hybrid. The hybrid contained ca. 39wt% of SnO₂ as
25 determined from thermogravimetric (TG) analysis (**Figure S2, Supporting Information**).

26 The resultant SnO₂NC@CFP hybrid sheets possessed morphological characteristics of

1 the original CFP except for a little change in shape due to stress induced by SnO₂ deposition.
 2 As shown in the right top inset of **Figure 1a**, the SnO₂NC@CFP hybrid sheets were self-
 3 supporting with a thickness of ~110μm (see in Figure 6 later). Analysis of the XRD patterns
 4 of CFP, SnO₂NC powder, and SnO₂NC@CFP hybrid, as seen in Figure 1a, suggests that
 5 carbon in the CFP was amorphous before and after electrodeposition. The weak and broad
 6 peaks at 26.5°, 33.7° and 51.6° are an indication of the nanocrystalline nature of SnO₂ in both
 7 the pure SnO₂NC and hybrid sheet. XPS analysis also revealed the existence of Sn (14.5at %)
 8 in hybrid and increase of oxygen from 13.9at % for CFP to 39.1at % for the hybrid (Figure S3
 9 and Table S1, Supporting Information).



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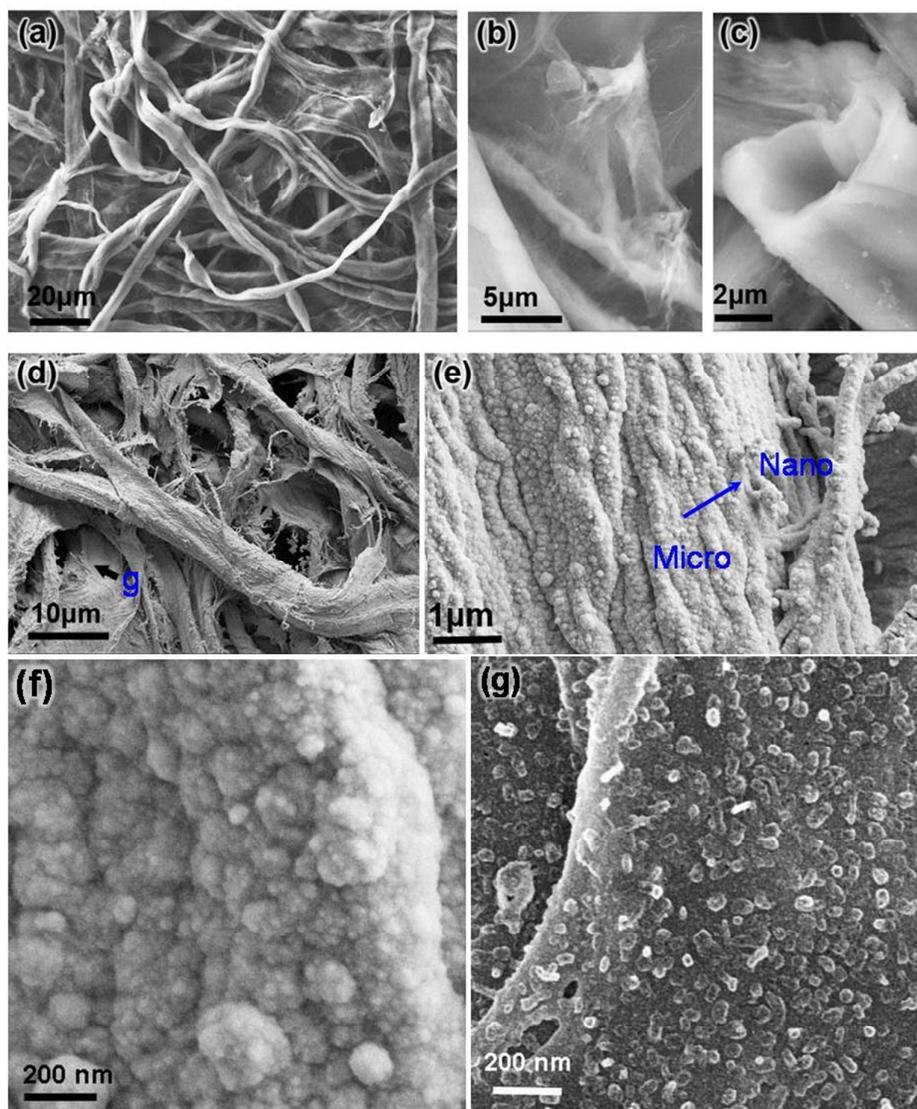
12 **Figure 1.** (a) XRD patterns of (i) CFP bulk material, (ii) pure SnO₂ nanocrystals collected
 13 from precipitation near the working electrodes, and (iii) binder-free SnO₂NC@CFP; the
 14 insets are the images for CFP (lower right) and SnO₂NC@CFP sheets (top right), respectively;
 15 (b) O 1s XPS spectra of CFP and SnO₂NC@CFP sheets. (c) Raman shifts for the self-
 16 supporting CFP before and after depositing of SnO₂NC.

1

2 Additionally, as shown in **Figure 1b**, the O1s spectra suggested a dramatic increase of C-
3 O and C=O bonding in the SnO₂NC@CFP hybrid in comparison with the CFP, which should
4 be generated from the interface between SnO₂ and the carbon matrix. These interfaces were
5 expected to enhance the bonding between SnO₂ and carbon in CFP. The C-O bonding should
6 be generated from the interface between SnO₂ and the carbon matrix, which were expected to
7 enhance the bonding between SnO₂ and carbon in CFP. However, the increase in C=O
8 bonding should be attributed to the residual cellulose inside the fibers, which was exposed
9 through the cracks formed in fibers during SnO₂ deposition and the subsequent heat-treatment
10 in Air. It could be seen from the change in Raman features shown in **Figure 1c** that, after the
11 deposition of SnO₂ on CFP, the intensity ratio of the D band to G band of carbon (I_D / I_G)
12 increased to 0.81 from 0.53 for the pristine CFP, implying a change in the structure of the
13 carbon^[44]. Thus, the deposition of SnO₂ may lead to deformation and /or surface defects in the
14 multidimensional carbon scaffolds. The C-O bonding at the interface between SnO₂ and
15 carbon may also contribute to the increased I_D / I_G ratio. In addition, the E_g , A_{1g} , and B_{2g} band
16 for crystalline SnO₂ are clearly seen at 472, 620 and 775cm⁻¹, respectively, in the Raman
17 spectrum of the hybrid electrode while an obvious peak at around 556 cm⁻¹ is attributed to
18 amorphous SnO₂,^[45] suggesting that a mixture of nano-crystalline and amorphous SnO₂ was
19 formed on the CFP.

20 **Figure 2a** displays a typical SEM image for the CFP bulk material (inset in low right
21 corner in **Figure.1a**). It clearly revealed the interconnected 3D porous structure (large amount
22 of microsized holes and free-spaces) of the CFP, which consisted of random arrangement of
23 the microsized fibrous carbon. As seen in the SEM images shown in **Figure 2b** and **c**, there
24 were many 2D ultrathin carbon sheets (**Figure 2b** and **Figure S1**, Supporting Information)
25 covered on the fibers, while micro-fibers were rolled up to form hollow tubes /grooves
26 (**Figure 2c**). The 1D micro/nanofibers and the interconnected porous structure of the interlayer

1 of the CFP, as well as their amorphous nature were also identified by TEM observations
2 (Figure S1, Supporting Information). These confirmed that the hierarchical structure of the
3 initial FP was maintained, in addition to the multidimensional architecture after treating under
4 a reducing Ar/H₂ atmosphere. It should be noted that this unique structure of CFP is quite
5 different from the previously reported carbonized filter paper treated in nitrogen ^[43]. However,
6 more controlled experiments need to be carried out to further study their formation processes.
7 **Figure 2d** reveals the SEM image of the resultant SnO₂NC@CFP hybrid bulk sheets. It is
8 clearly shown that the SnO₂ deposits were dispersed on the CFP while the 3D porous network
9 and fibrous structure were maintained. Also, the higher magnification SEM images indicated
10 that all the surfaces of the micro/nano-fibers (**Figure 2e** and **f**) as well as the ultrathin carbon
11 sheets (**Figure 2g**) were completely accreted with ultrafine and uniform SnO₂ particles.
12 Furthermore, careful examination of the hybrid suggests that the microfiber bundles were
13 divided to nano-fibers (**Figure 2e**, and **Figure S4, Supporting Information**), resulted likely
14 from the release of large stresses induced by SnO₂ growth on the surface of CFP.



1

2 **Figure 2** (a) SEM images of 3D porous CFP yielded by calcinations of commercial filter
3 paper under a Ar/H₂ atmosphere; (b) and (c) large magnification SEM images reveal the
4 existence of 2D ultrathin carbon sheets and hollow tubes;(d) typical SEM image of the as-
5 prepared SnO₂NC@CFP sheet; (e) and (f) higher magnification SEM images showing the
6 surface morphology of micro-size fibers after depositing of SnO₂NC; (g) Enlarged SEM
7 image for zone arrowed in (d), showing the coverage of ultrafine SnO₂ particles on the 2D
8 ultrathin carbon sheets.

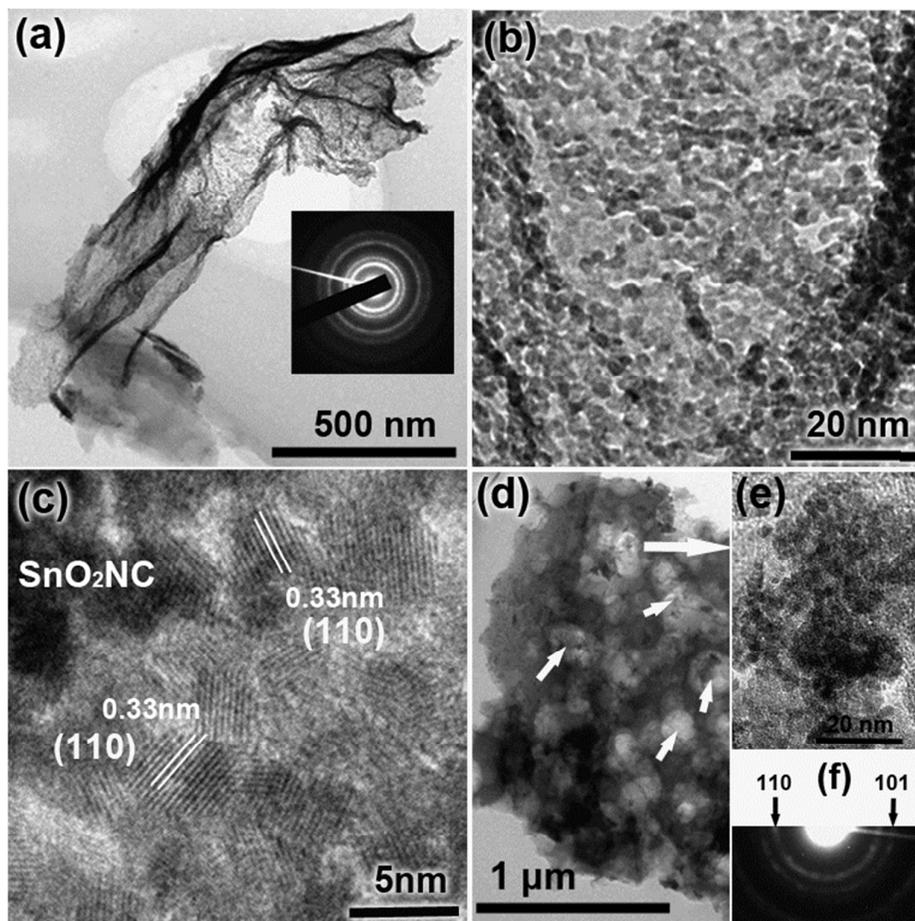
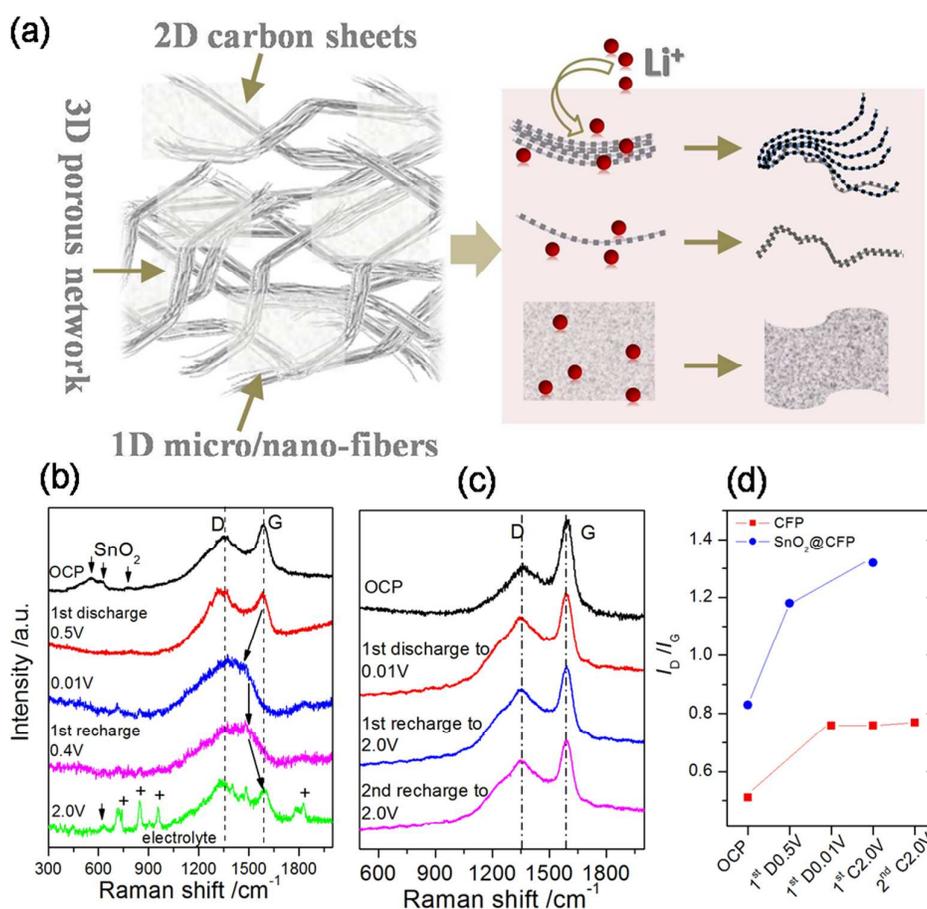


Figure 3 (a) TEM images for the 2D ultrathin carbon sheets in the SnO₂NC@CFP hybrid, insert: the corresponding SAED pattern; (b) enlarge image, and (c) HRTEM image for the zone arrowed in (b); (d) TEM image for the inner porous layer of the hybrid, arrows indicated the deposits in pores; (e) and (f) enlarge TEM image and its SAED pattern for zone arrowed in (d).

The TEM image of the SnO₂NC@CFP hybrid (**Figure 3a**) clearly shows the variation of the 2D ultrathin carbon sheets in the CFP after SnO₂ loading and the heat treatment. The continuous rings in the selected-area electron diffraction (SAED) pattern (**inset of Figure 3a**) further confirmed the presence of polycrystalline SnO₂. Enlarged TEM and HRTEM images (**Figure 3b** and **c**) revealed that uniform SnO₂ nanocrystals (4-5nm) were homogeneously distributed in the ultrathin carbon sheets. High dispersion state of ultrafine SnO₂ on micro/nano-fibers in the SnO₂NC@CFP hybrid was also observed, in comparison with the pure SnO₂NC (**Figure S4** and **S5**, Supporting Information). TEM image in **Figure 3d**

1 indicated that the inner layer of the CFP remained the porous structure in the electrodeposited
 2 hybrid, whereas most of the micro-pores were also filled with deposits (indicated by arrows).
 3 However, it was found that most of the deposits were aggregated in the pores rather than
 4 dispersed on their inner surfaces (**Figure S4, Supporting Information**). Enlarged image in
 5 **Figure 3e** showed that the aggregations were also ultrafine SnO₂ nanocrystals, with
 6 distinguishable (110) and (101) diffraction rings as indicated in **Figure 3f**, while the pores had
 7 free-space to accommodate the volume variation of these nanosized SnO₂ aggregates.



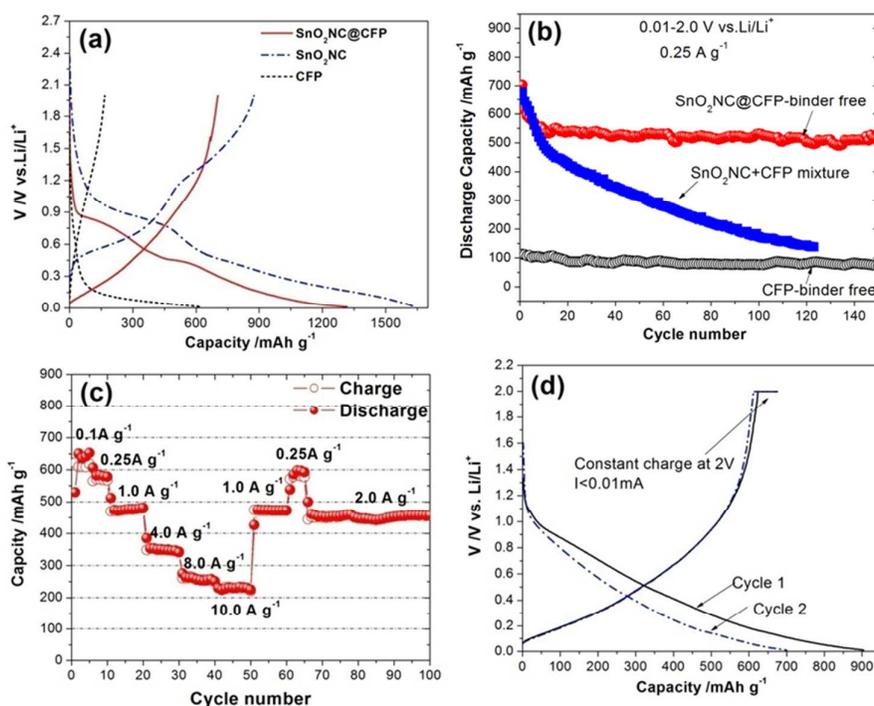
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9 **Figure 4** (a) Illustration for the flexibility (shape variation) of the multidimensional fibrous
 10 carbon, induced by the stress resulted from volume expansion of SnO₂ during reacting with Li.
 11 The 1D nanofibers and 2D carbon sheets could be bendable, while the microfibrers were
 12 expected to split to be flexible nanofibers, to maintain the integration of SnO₂ layers. (b) *In*-
 13 situ Raman spectrum of the SnO₂@CFP electrode collected at different lithiation state for the
 14 1st cycle. (c) *In*-situ Raman spectrum of the CFP electrode collected at different lithiation state
 15 for the first two cycles. (d) Comparison the I_D/I_G of the CFP and SnO₂@CFP at different
 16 potential states.

17

1 Based on the above observations, our designed concept, i.e., the multidimensional
2 configuration and interconnected porous structure of the SnO₂NC@CFP self-supporting
3 anode, has been successfully achieved, in which SnO₂ nanocrystals homogeneously bonded on
4 the surfaces of 1D micro/nanosized fibers and 2D ultrathin carbon sheets, and stored inside
5 the 3D interconnected pores. Thus, the 1D and 2D fibrous carbon matrixes were expected to
6 be deformable under the stress resulted from volume change of SnO₂ during charge/discharge
7 cycling. There, as illustrated in **Figure 4a**, the 1D nanofibers and 2D carbon sheets could be
8 bendable to buffer the volume change of SnO₂, while the microfibrils could split to flexible
9 nanofibers (see SEM images in **Figure 7** and **Figure S8**) to release the internal stress of SnO₂
10 layer, avoiding its serious disintegration. We have also examined the fibrous carbon in a
11 hybrid electrode during SnO₂ lithiation using *in-situ* Raman spectroscopy performed on a cell
12 with a quartz window. **Figure 4b** shows a series of Raman spectra of SnO₂@CFP electrode
13 collected at different potentials during the first cycle. Clearly, the SnO₂ bands disappeared
14 when it was discharged to 0.5V, accompanied by an obvious increase in I_D/I_G from 0.81 to
15 1.18 (**Figure 4d**). As mentioned earlier, a higher value of D/G band intensity ratio reflects
16 more microstructure defects in the carbon. Thus, an increase in I_D/I_G may be attributed to
17 more edge distortions and new surface formation in the carbon matrix due mainly to local
18 deformation of fibrous carbon in the hybrid electrode^[44]. Most notably, as seen in **Figure 4b**,
19 the G band of carbon disappeared (and dramatically red-shifted) when the hybrid electrode
20 was discharged to 0.01V, leaving only one broader band centered around 1400 cm⁻¹. The
21 essential spectral features remained the same even after the hybrid electrode was recharged to
22 0.4V. However, as more Li⁺ ions were extracted from the electrode so that the potential
23 recharged 2.0V, the G band was nearly restored and the I_D/I_G ratio changed to 1.30 (**Figure**
24 **4d**), suggesting that there is a large, partially-reversible structure deformation in the fibrous
25 carbon of the hybrid electrode during Li⁺ insertion and extraction. The structural deformation
26 is induced by the large volume change associated with the conversion reaction (between SnO₂

1 and Li_2O) and the Li-Sn alloying/de-alloying reaction in the $\text{SnO}_2\text{@CFP}$ hybrid electrode.
 2 The structural deformation is not induced by lithium insertion into in the fibrous carbon in the
 3 CFP frame, as corroborated by the in situ Raman study of a CFP sample under the identical
 4 conditions (**Figure 4c** and **d**). In contrast, the variations in the I_D/I_G ratio at different lithiation
 5 states are relatively small, as seen from the Raman spectra shown in **Figure 4c**; this is
 6 consistent with studies of other pure carbon anode materials[44, 46]. These results confirmed
 7 that lithiation of SnO_2 actually led to sever deformation in the multidimensional carbon
 8 scaffolds, which could in turn effectively release the stress and prevent the pulverization of
 9 SnO_2 layers. Thus, the hybrid anode supported by multidimensional carbon scaffolds showed
 10 excellent performance.



11

12 **Figure 5** (a) The first-cycle charge–discharge curves for the slurry-coating pure SnO_2NC ,
 13 binder-free CFP and $\text{SnO}_2\text{NC@CFP}$ electrodes; (b) discharge capacity vs. cycle number of
 14 CFP, the slurry-coating $\text{SnO}_2\text{NC+CFP}$ mixture, $\text{SnO}_2\text{NC@CFP}$ electrodes cycling between
 15 0.01 and 2V at current rate of 0.25A g^{-1} ; (c) rate capability of $\text{SnO}_2\text{NC@CFP}$ hybrid after
 16 cycling 150times shown in (b); (d) the first and second discharge-charge curves of the
 17 $\text{SnO}_2\text{NC@CFP}$ after pre-lithiation treatment and then cycling with constant-potential
 18 charging (at 2V, until $I < 0.02\text{mA}$).
 19

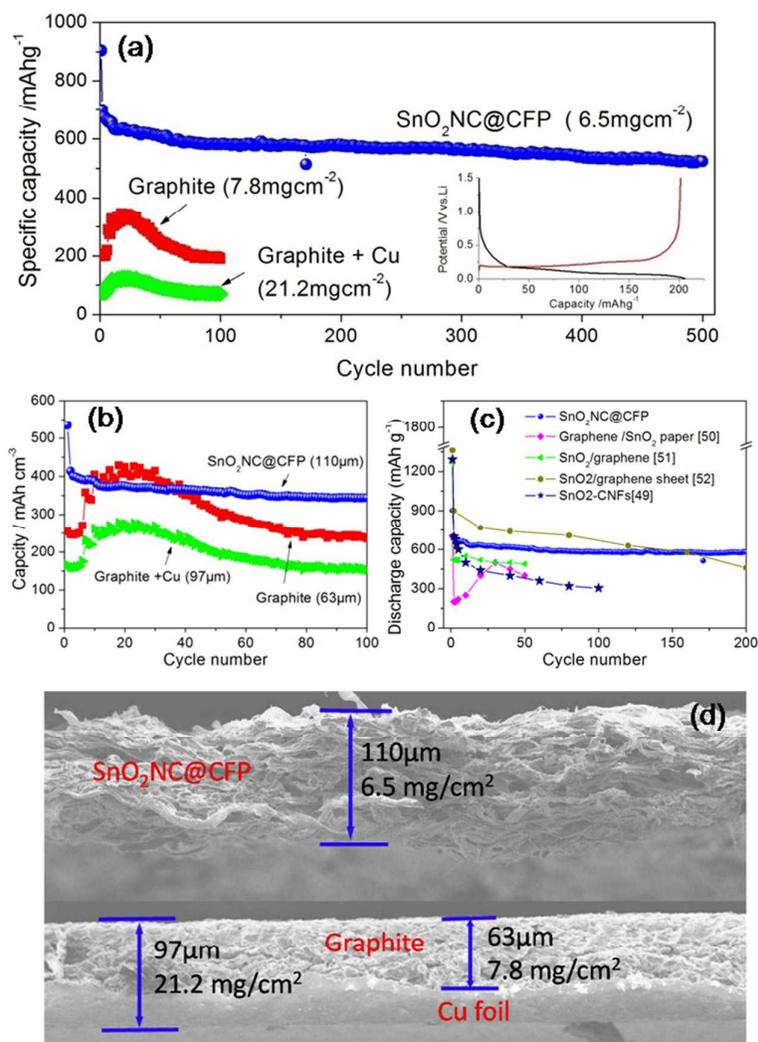
1 **Figure 5a** shows the first charge–discharge cycle curves for the binder-free
2 SnO₂NC@CFP anodes at current rate of 0.25Ag⁻¹ between 0.01 and 2V vs. Li/Li⁺, together
3 with those of the pure SnO₂NC and CFP electrodes for comparison. It could be observed that
4 there were similar plateau regions for lithiation of SnO₂ in the discharge profiles of the
5 SnO₂NC and SnO₂NC@CFP. The SnO₂NC@CFP sheet (1cm²) delivered an initial discharge
6 capacity of 1,316 mAhg⁻¹ with initial coulombic efficiency (CE) of 53.4%, which was near to
7 that (53.3%) of the slurry-coating SnO₂NC electrode. The initial irreversible capacity of
8 SnO₂NC@CFP was ~613 mAhg⁻¹, while most of them should be attributed to the solid
9 electrolyte interphase (SEI) formation and especially the irreversible reactions on CFP
10 because there was also a large initial irreversible capacity of 452 mAhg⁻¹ in the pure CFP
11 sheet. Nevertheless, the discharge-charge curves of the subsequent cycles (e.g., 2nd-5th, 50th,
12 and 100th) were almost overlapped for SnO₂NC@CFP anode (Figure S6, Supporting
13 Information), suggesting high reversibility of Li⁺ insertion/extraction reactions in the hybrid.
14 As recorded in **Figure 5b**, after the initial 10 cycles, the SnO₂NC@CFP electrode retained
15 excellent capacity retention. Stable capacities of 510 mAhg⁻¹ still remained after 150 cycles.
16 Another SnO₂NC@CFP hybrid, with a lower SnO₂ content of 23wt%, could also deliver
17 stable reversible capacities around 400 mAhg⁻¹ (**Figure S7**, Supporting Information). They
18 were much higher than those of the SnO₂NC+CFP mixture electrode with which only 160
19 mAhg⁻¹ was retained after 120 cycles, while the CFP sheet yielded stable but very low
20 reversible capacities (about 100mAh g⁻¹). These results suggest that the combination of SnO₂
21 nanocrystals with multidimensional fibrous carbon could lead to high capacity and superior
22 cycleability.

23 Moreover, the SnO₂NC@CFP hybrid displayed high rate capability. The electrode which
24 had finished 150 cycles at a constant rate of 0.25Ag⁻¹ (**Figure 5b**) was further cycled at varied
25 current rates. As shown in **Figure 5c**, a reversible capacity of 652 mAh g⁻¹ was obtained at
26 the end of five charge/discharge cycles at 0.1Ag⁻¹. The capacity at 1.0Ag⁻¹ was 480 mAh g⁻¹,

1 and decreased to 230 mAh g^{-1} at a rate of 10 Ag^{-1} . Surprisingly, a higher capacity
2 (580 mAh g^{-1}) was restored when the current returned to 0.25 Ag^{-1} , and a very stable capacity
3 of 460 mAh/g was yielded at a rate of 2.0 Ag^{-1} after 100 cycles. The long-term cycling of the
4 $\text{SnO}_2\text{NC@CFP}$ anodes were repeated at high current rate of 2.0 A g^{-1} (**Figure S6**, Supporting
5 Information), also showing good cycle performance with 350 mAh^{-1} after 1000 cycles with
6 upper limit potential at 2.0 V . Here, it needs to be pointed out that, although many $\text{SnO}_2\text{-C}$
7 nanocomposites could present much higher and even general increasing capacities among
8 wide potential range of $0\text{-}3 \text{ V}$ ^[14, 18, 28], quite a large amount of capacities should be generated
9 at the expense of electrolyte decomposition at high potential (from 2.0 to 3.0 V). Furthermore,
10 the capacities among potential range of $2.0\text{-}3.0 \text{ V}$ would be less meaningful for batteries using
11 now commercial cathodes^[47].

12 Beyond those, more attention was brought to the CE of the self-supporting
13 $\text{SnO}_2\text{NC@CFP}$ anode. As shown in **Figure 5d**, the initial irreversible capacity loss could be
14 further suppressed by a prelithiation treatment^[20, 48] for the hybrid and cycling with constant-
15 potential charging (at 2 V , until $I < 0.02 \text{ mA}$), which leads to a higher initial CE of 74.7% and
16 lower initial capacity loss of 229 mAhg^{-1} . This suggested that the initial irreversible capacity
17 in the $\text{SnO}_2\text{NC@CFP}$ anode was mainly attributed to the large amount of Li^+ consumed
18 irreversibly by the defects of the carbon matrix. During prelithiation, these defects would
19 store enough Li by diffusion and/or chemical reaction as Li foil directly contacting with the
20 hybrid, and they would not further accept Li^+ and generate irreversible capacity loss in the
21 electrochemical cycling afterwards. Furthermore, some Li may also react with the SnO_2NC as
22 Li foil was in contact with hybrid, leading to less SnO_2 lithiation at the initial discharge. This
23 is the main reason that the initial discharge-charge curves of the as-prepared electrode have
24 different shapes from those of the electrode after pre-lithiation treatment (**Figure 5a** and **d**).
25 The differential discharge curves also revealed that less SnO_2 reacted with Li during initial
26 discharge in the prelithiation electrode (**Figure S8**, Supporting Information). Nevertheless,

1 as implied by the cyclic voltammograms, stable reactions of SnO_2 and Li were found in the
 2 $\text{SnO}_2\text{NC@CFP}$ anode at the second and the subsequent cycles (**Figure S8**). Some SnO_2
 3 restored after recharging to 2.0V could be also observed by *in-situ* Raman spectrum
 4 (**Figure.4b**). Thus, the reversibility of the SnO_2NC had been dramatically enhanced by
 5 loading on multidimensional fibrous carbon matrices in this hybrid.

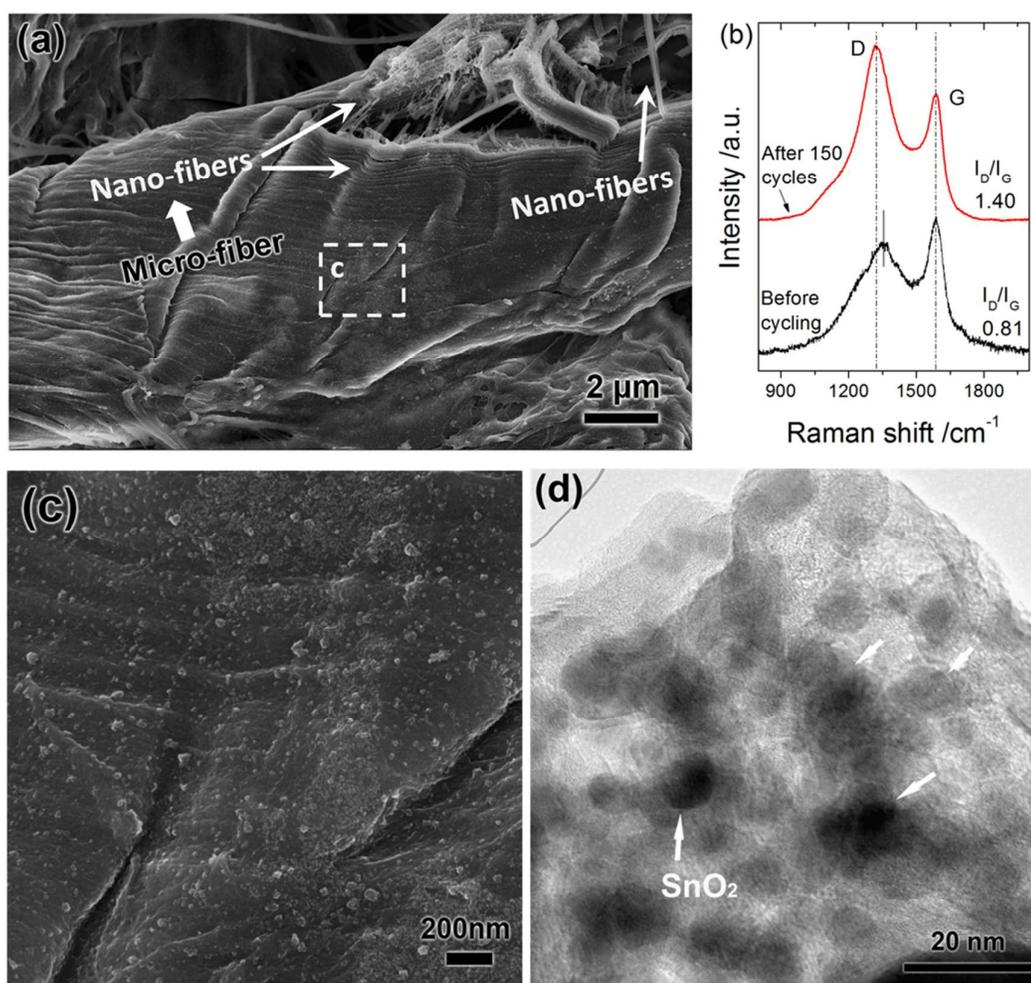


6

7 Fig.6 (a) Long-term cycle performance (specific capacity vs. cycle number) of the self-
 8 supporting $\text{SnO}_2\text{NC@CFP}$ electrode in comparison with a commercial graphite anode
 9 coating on Cu foil. Red line is evaluated based on the mass of the graphite layer, while the
 10 green line is based on the mass of the electrode including the Cu foil. (b) Comparison of the
 11 energy density of the self-supporting $\text{SnO}_2\text{NC@CFP}$ anode with graphite anode based on
 12 the thickness of electrodes. (c) Comparison of cycle performance for the self-supporting
 13 $\text{SnO}_2\text{NC@CFP}$ and other reported free-standing SnO_2 -carbon fiber and SnO_2 -graphene
 14 hybrid anodes. (d) SEM images for cross-sections of the self-supporting $\text{SnO}_2\text{NC@CFP}$
 15 electrode and commercial graphite anode.

1 **Figure 6** compares long-term capacity density of a prelithiated SnO₂NC@CFP anode and
2 a commercial graphite anode coated on Cu foil. The cross-sectional SEM images in **Figure 6d**
3 reveal that the commercial anode consisted of a 63 μm thick graphite layer and a 34 μm
4 thick Cu foil. The corresponding mass is 7.8 mg cm⁻² for the graphite layer and 21.2mg cm⁻²
5 for the whole electrode (graphite and Cu foil). In contrast, the self-supporting SnO₂NC@CFP
6 anode (with a thickness of 110 μm) has a mass of 6.5 mg cm⁻². As shown in Figure 6a, the
7 treated SnO₂NC@CFP anode yielded a bit higher capacities than the anode without
8 prelithiation (**Figure 5b**). It delivered a specific capacity of 903 mAh g⁻¹ in the first cycle,
9 which was declined to 630 mAhg⁻¹ after the first 15 cycles. Afterward, the cycleability was
10 excellent and the capacity slowly decreased to 526 mAhg⁻¹ at the 500th cycle, corresponding
11 to a very small decay rate (*ca.* 0.03% per cycle), which is superior to that of the graphite
12 anode. As compared in **Figure 6a**, the graphite anode has much lower specific capacities, just
13 around 300 mAh g⁻¹ (when the Cu foil is neglected) and 100 mAh g⁻¹ (when the Cu foil is
14 included). Regarding the volumetric capacity, as shown in **Figure 6c**, the self-supporting
15 SnO₂NC@CFP anode demonstrated a stable capacity of ~400 mAh cm⁻³, which is close to
16 that of the graphite layer alone (when the Cu foil is neglected) but much higher than that of
17 the entire electrode, ~200mAh cm⁻³(when the Cu foil is included). These results indicate that
18 the self-supporting SnO₂NC@CFP hybrid anodes have much higher capacity (both
19 gravimetric and volumetric) than the commercial graphite anodes. It needs to be pointed out
20 that the increasing capacities of the graphite anode during the initial cycles should be resulted
21 from the gradually soaking of electrolyte in the coating electrode. The electrolyte could not
22 diffuse to the inner layer of the electrode due to the absence of effective transport paths, and
23 thus not all the graphite could react with lithium during the first several cycles. In contrast, the
24 SnO₂@CFP hybrid could deliver stable high capacities throughout the cycling, which should
25 be attributed to the fast transport of electrolyte and lithium ion diffusion in the 3D
26 interconnected porous carbon matrix. Furthermore, as shown in **Figure 6d**, we also compared

1 the cycling performance of this SnO₂NC@CFP hybrid anode with many other free-standing
 2 SnO₂-C electrodes supported by 1D carbon nanofibers (CNFs)^[49] or 2D graphene
 3 nanosheets^[50-52]. Among them, the SnO₂NC@CFP anode demonstrated the best cycling
 4 stability, attributed mainly to the combination of 1D, 2D, and 3D configuration of the fibrous
 5 carbon in the CFP. In particular, the deformable carbon scaffolds and the strong bonding of
 6 ultrafine nano-size SnO₂ with carbon are the main attributes that help to effectively maintain
 7 the structure integrity of the SnO₂ during cycling.



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Figure 7 (a) SEM image for the binder-free SnO₂NC@CFP hybrid after 150 cycles; (b) Raman shifts for the carbon in the hybrid before and after 150 cycles; (c) Enlarge SEM image for the zone circled in (a); (d) TEM image for the 2D ultrathin carbon in the cycled hybrid.

14 The detailed microstructure variation of a SnO₂NC@CFP electrode after 150 cycles was

1 examined, while here the electrode was taken out from the cell and cleaned before testing. It
2 was found that the interconnected porous structure was maintained in the hybrid sheets
3 (**Figure S9**, Supporting Information). However, as shown in **Figure 7a**, there was obvious
4 deformation inside the 1D microfiber scaffold, where part of the carbon had been split to form
5 many nanofibers. Actually the split zones were very common in the micro-sized fibers and the
6 ultrathin carbon sheets (**Figure S9**), which should be driven by the severe stress due to
7 volume change of the SnO₂ layers during cycling. The structure variation of the fibrous
8 carbon was also reflected by their Raman shifts shown in **Figure 7b**. A noticeable increase in
9 the I_D / I_G of fibrous carbon (from 0.83 to 1.40) was also observed for hybrid after cycling 150
10 times. **Figure 7c** shows the enlarged SEM image for the square area in **Figure 7a**, where the
11 active SnO₂ particles still homogeneously dispersed and tightly covered on the microfibers.
12 TEM and HRTEM analysis, as shown in **Figure 7d** and **Figure S9** (Supporting Information),
13 further revealed that the homogeneous distribution of SnO₂ /Sn nanocrystals in 2D ultrathin
14 carbon sheet was maintained, without obvious increase of particle size. This suggested that
15 the fibrous carbon effectively suppressed the aggregation of SnO₂/Sn nanoparticles during
16 cycling, which could be responsible for the long-term cycleability of this self-supporting
17 hybrid anode.

18

19 **4. Conclusions**

20 In summary, SnO₂ nanocrystals were deposited on carbonized filter papers to form a
21 deformable self-supporting SnO₂NC@CFP hybrid anode. The SnO₂NC particles were
22 homogeneously accreted on the surfaces of micro/nanosized carbon fibers and ultrathin carbon
23 sheets as well as inside the interconnected pores of the multidimensional matrices. The
24 SnO₂NC@CFP hybrid electrode exhibited long cycling life and excellent rate capability, and
25 superior capacity density than the commercial graphite anode, which were attributed mainly
26 to the good dispersion of SnO₂ nanocrystals in 1D and 2D conductive carbon and the fast Li⁺

1 transport in the 3D porous structure. In particular, the deformable fibrous carbon matrices,
2 which facilitate stress release from the active SnO₂ during discharge-charge cycling, helped
3 greatly to maintain good structure integrity of the SnO₂NC@CFP binder-free anode. This
4 demonstrated that multidimensional architecture of deformable carbon matrices combining
5 with nanoscale active materials would be a desirable approach to realize good cycle
6 performance in high capacity Li storage materials. Furthermore, binder-free anode should also
7 be an alternative way to achieve high energy density in batteries.

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9
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15

16 **Supporting Information**

17 Supporting Information is available online or from the author.

18

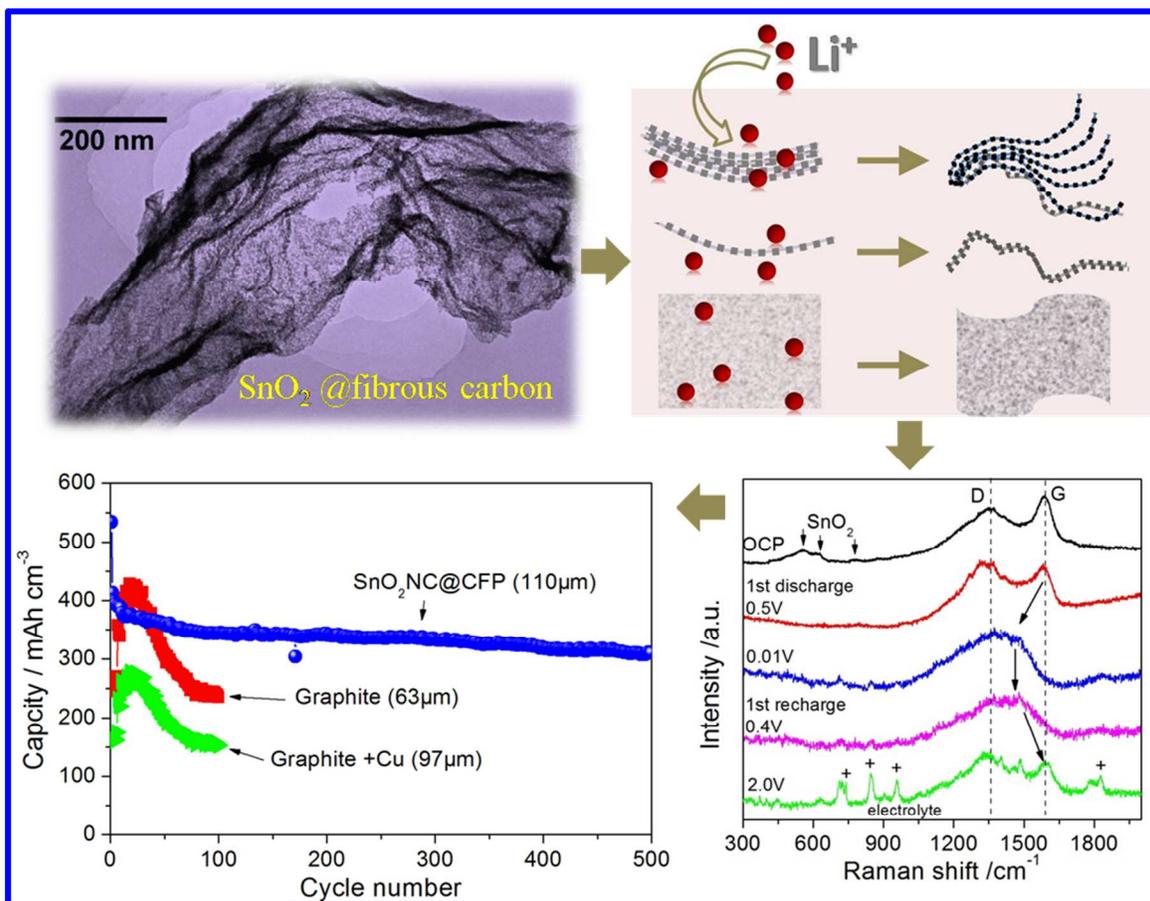
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16

Graphical Abstract



A free-standing hybrid anode for Li-ion battery was fabricated by filling ultrafine SnO₂ nanocrystals (NC) into multidimensional fibrous carbon derived from carbonized filter paper (CFP). The SnO₂NC@CFP hybrid anode demonstrated excellent rate capability and long cycling life, which are attributed, respectively, to the high dispersion state of SnO₂ NC and the deformable fibrous carbon scaffolds flexible to release the stress from the SnO₂ during cycling.