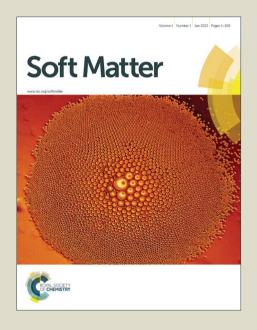
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Molecular Assembly of Highly Symmetric Molecules under Hydrogen Bond Framework Controlled by Alkyl Building Blocks: A Simple Approach to Fine-tune Nanoscale Structures

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Up to the present, molecular assemblies under the contribution of hydrogen bond in combination with weak interactions and their consequent morphologies have been variously reported; however, how the systematic variation of the structure can fine-tune the morphologies has not yet been answered. The present work finds the answer through highly symmetric molecules, i.e. diamine-based benzoxazine dimers. This type of molecule develops unique molecular assemblies with their networks formed by the hydrogen bond at the terminal, while, at the same time, the hydrogen bonded frameworks are further controlled by the hydrophobic segment at the center of the molecule. When this happens, slight differences in hydrophobic alkyl chain lengths (C2, C4, C6 and C8) bring a significant change to the molecular assemblies, resulting in tunable morphologies, i.e. spheres, needles and dendrites. The superimposition between the crystal lattice obtained from X-ray single crystal analysis and the electron diffraction pattern obtained from the transmission electron microscope allows us to identify the molecular alignment from single molecules to self-assembly until morphologies developed. The present work, for the first time, shows the case of symmetric molecules that the hydrophobic building block controls in hydrogen bond patterns, leading to the variation of molecular assemblies with tunable morphologies.

1 Introduction

2 The understanding of supramolecular architectures of self-3 assemblies is an essential prerequisite to develop nanoscale 4 materials with specific properties. $^{1.4}$ The self-assemblies organized 5 through the noncovalent interactions, so-called weak interactions, 6 i.e. hydrogen bond 5 , π - π stacking 6 , and hydrophobic van der Waals 7 are reported to be one of the key factors. Under those weak 8 interactions, the self-assemblies develop the well-defined 9 supramolecular structures and direct the nano- or microscopic 10 morphologies 7 to be, for example, micelles 8 , vesicles $^{9-11}$, tubes 12 , 11 rods 13 , wires $^{14-16}$, helices 17,18 , etc. $^{19-21}$

Up to the present, self-assemblies with specific morphologies 13 under the role of hydrogen bonds have been variously reported. 14 Great attention is now being paid to hydrogen bonds in 15 cooperation with other weak interactions such as π - π stacking and 16 hydrophobic van der Waals since these systems can mimic the 17 natural supramolecular structures to show definite

Thus, the question arises as to whether the hydrogen bond can 26 be systematically controlled by changing cooperative weak 27 interactions among the molecules in order to direct the 28 organization of self-assemblies and fine-tune the morphologies or 29 not. This study considers the symmetric molecules with two 30 hydroxyl groups at each terminal and the alkyl chains in between. 31 The systematic variation of the alkyl chains might lead to easily 32 visualized changes in self-assembly patterns and the consequent 33 tunable morphologies.

Based on the above mentioned approach, mono-phenol based benzoxazine, which can be obtained from mono-phenol, formaldehyde, and amines, is a good molecule to apply since its ring opening always leads to *N,N'*-bis(2-hydroxybenzyl) alkylamines, namely benzoxazine dimers. ^{27, 28} Previously, it was demonstrated that strong inter- and intramolecular hydrogen bonds (N-H···O-H) of the dimers develop self-assemblies. By simply changing monoamine to diamines as shown in Scheme 1, a series of satisfied symmetrical molecules can be obtained with the phenol units for hydrogen bonds at both terminals and the variable alkyl chains in between for tuning the hydrophobicity.

¹⁸ nanostructures. ²²⁻²⁵ For example, Sun *et al.* showed the self-19 assembly of perylene- and lysine-containing molecules that create 20 various morphologies based on the nanostructures under hydrogen 21 bonds with π - π stacking. ¹⁷ Moyer *et al.* demonstrated the self-22 assembly of peptide amphiphile in twisting morphology due to the 23 combination of hydrogen bonds of the peptide segment and the 24 hydrophobic-hydrophobic tail in the molecule. ²⁶

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1 Experimental Section

2 Materials

3 2,4-dimethylphenol, ethylenediamine, butamethylenediamine, 4 hexamethylenediamine, octamethylenediamine, deuterated 5 dimethylsulfoxide (DMSO-*d*₆), and deuterated chloroform 6 (CDCl₃) were purchased from Sigma-Aldrich. Formaldehyde 7 solution (37%), 1,4-dioxane, 2-propanol, diethylether, and 8 chloroform were obtained from Wako Pure Chemical Industries. 9 Sodium hydroxide and sodium sulfate anhydrous were received 10 from Fluka. All chemicals were used without further purification.

11 Synthesis of Compound C2, C4, C6, and C8.

All compounds were synthesized using the same synthetic 13 procedure which modified from the literature. In brief, 2,4-14 dimethylphenol (2 equiv) was reacted with paraformaldehyde (4.1 to equiv) and the corresponding diamine (1 equiv) in chloroform at 16 70°C until a white solid was obtained. Then, the ring-opening reaction was carried out in the presence of chloroform 30% w/w 18 by adding 2,4-dimethylphenol (2 equiv) and allowed stirring at 19 120°C until a yellow viscous was obtained. The crude product was 20 further purified by crystallization in a mixed solvent of chloroform 21 and methanol (1:1, v/v). The white crystals were dried to yield the 22 product.

Characterization Data for 6,6',6",6"'-(ethane-1,2-diylbis 23 24 (azanetriyl))tetrakis(methylene)tetrakis(2,4-dimethylphenol)(C2). 25 Yield: 82%; ¹H NMR (500 MHz, DMSO): δ 2.09 (12H, s), 2.14 26 (12H, s), 2.33 (4H, t), 3.60 (8H, s), 6.71 (4H, s), 6.77 (4H, s), 9.47 27 (4H, br). ESI-MS: m/z 596.8. Characterization Data for 6,6',6",6"'-(butane-1,4-diylbis 29 (azanetriyl))tetrakis(methylene) tetrakis (2,4-dimethylphenol) 30 (C4). Yield: 87%; ¹H NMR (500 MHz, DMSO): δ 1.41 (4H, m), 31 2.09 (12H, s), 2.14 (12H, s), 2.32 (4H, t), 3.56 (8H, s), 6.70 (4H, 32 s), 6.78 (4H, s), 9.47 (4H, br). ESI-MS: m/z 624.85. Characterization Data for 6,6',6",6"'-(hexane-1,6-diylbis 34 (azanetriyl))tetrakis(methylene) tetrakis (2,4-dimethylphenol) 35 (C6). Yield: 92%; ¹H NMR (500 MHz, DMSO): δ 1.04 (4H, m) 36 1.43 (4H, m), 2.08 (12H, s), 2.13 (12H, s), 2.33 (4H, t), 3.57 (8H, 37 s), 6.70 (4H, s), 6.77 (4H, s), 9.49 (4H, br). ESI-MS: m/z 652.9. Characterization Data for 6, 6', 6'', 6'''-(octane-1, 8-diylbis 39 (azanetriyl))tetrakis(methylene) tetrakis(2,4-dimethylphenol) (C8). 40 Yield: 90%; ¹H NMR (500 MHz, DMSO): δ 1.06 (8H, m) 1.46 41 (4H, *m*), 2.09 (12H, *s*), 2.14 (12H, *s*), 2.36 (4H, *t*), 3.59 (8H, *s*), 42 6.71 (4H, s), 6.78 (4H, s), 9.46 (4H, br). ESI-MS: m/z 680.9.

43 Characterizations.

44 ¹H NMR spectra were recorded on a Bruker Ultrashield Plus NMR 45 spectrometer operating at Larmor frequencies of 500.13 MHz. For 46 spin-lattice relaxation time (T_I) measurements, T_I value was 47 evaluated from inversion recovery (π – τ – π /2) measurements at a 48 controlled temperature. Mass spectroscopy was analyzed by a 49 Bruker micrOTOF II electrospray ionization mass spectrometer 50 (ESI-MS). Single crystal structure analysis was carried out by a 51 Rigaku R-axis Varimax X-ray diffractometer with graphite 52 monochromated MoKα radiation at 296 K. The structures were 53 determined by the direct method (SIR92) and refined by full-

54 matrix least-squares on F^2 with a RAPID AUTO program. All 55 non-hydrogen atoms were refined with anisotropic displacement 56 parameters as well as the fractional coordinates. The single 57 crystals were obtained from recrystallization in DMSO. The 58 compounds were dissolved in DMSO as defined concentration, a 59 drop of which was dispersed on an amorphous carbon film 60 supported by a Cu grid for transmission electron microscopy. A 61 Hitachi H-7650 transmission electron microscope (TEM) 62 operating at an accelerating voltage of 100 kV and equipped with a 63 double tilt holder was used for imaging and electron diffraction.

64 Results and Discussion

A series of diaminotetraphenol derivatives, hereinafter, 66 diamine-based benzoxazine dimers, with methylene 67 segmental length $-(CH_2)_m$ — with m=2, 4, 6, and 8 were 68 synthesized as shown in Scheme 1.

 ${\bf 71}$ ${\bf Scheme}$ ${\bf 1.}$ Diamine-based benzoxazine dimers with different alkyl chain ${\bf 72}$ lengths.

The molecular assemblies of C2, C4, C6, and C8 via 74 hydrogen bond in the solution state were examined by 75 evaluating spin-lattice relaxation (T_1 relaxation) using ${}^{1}H$ 76 NMR in DMSO- d_6 under different concentrations and 77 temperatures. T_I relaxation is a parameter representing the 78 energy exchange between individual nuclear spins and the 79 surrounding liquid or solid lattice. 30, 31 Basically, when the 80 molecules form an interaction with each other, the interaction 81 obstructs the degree of freedom of the molecules resulting in 82 the short T_1 relaxation time. Therefore, the differences in T_1 83 reflect the molecular self-assembly under the specific 84 interaction, especially the hydrogen bond. To investigate this 85 in detail, the hydroxyl protons at about 9.57 ppm were 86 focused (Figure 1 (a)), and it was found that the T_1 value at 87 this position significantly changes with the concentration. For 88 example, in the case of C2, the value gradually decreased as 89 the concentration increased, as it did for those of C4 and C6, 90 but more rapidly. This suggests that C2, C4 and C6 might be 91 in a tight environment where hydroxyl group play the 92 important role in forming intermolecular hydrogen network 93 resulting in the self-assembly. It should be noted that 94 hydrogen bond network of C2 might be initiated in the 95 different way with C4 and C6, which shown in slightly 96 decrease of T_1 value comparing to C4 and C6. In the case of 97 C8, the T_I value did not show any significant change as the 98 concentration increased. It might be due to C8 has no any 99 intermolecular hydrogen bonding to create the self-assembly.

1

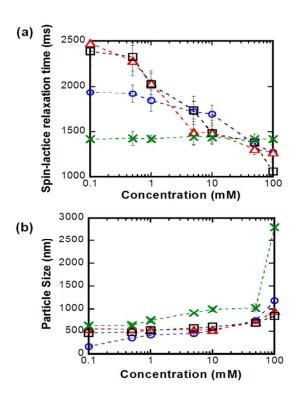


Fig. 1 (a) Spin-lattice relaxation time (T_1) and (b) self-assembly particle size of C2 (0), C4 (Δ) , C6 (\Box) , and C8 (x) under varying concentrations.

The size of self-assembly in solution was measured by 7 dynamic light scattering (DLS) by using DMSO as solvent. 8 Figure 1(b) shows that the size of C2 is around 165 nm at the 9 low concentration (1 mM) and gradually increases to 500 nm 10 and to 1 µm when the concentrations reached 10 mM and 100 11 mM, respectively. Whereas C4 and C6 show the similar sizes 12 of about 500 nm at the low concentration and become as large 13 as 900 nm when the concentration is as high as 100 mM. In 14 the case of C8, the particle size increases from 600 nm at 0.1 15 mM to 1 µm at 50 mM. Notably, when the concentration was 16 up to 100 mM, the size rapidly increased to as high as 2.8 μm. 17 This extremely increased in particle size of C8 indicates that 18 **C8** may not form the supramolecular assembly. 19

20 In fact, the T_1 values obtained from NMR and the particle sizes 21 obtained from DLS, reflected the self-assembly phenomenon in 22 solution state. This leads to the question that how the self-23 assembly forms in the solid-state. Thus, all derivatives were 24 dissolved in DMSO with various concentrations before drying to 25 observe the developed morphologies by TEM. In fact, chloroform 26 and DMSO were good solvent to dissolve all compounds as high 27 as 100 mM. However, in order to study the morphologies in the 28 same condition as single crystal, DMSO was applied.

As seen in Figure 2, the morphologies are found to be 30 significantly fine-tuned by the concentrations. For example, C2 31 changes from the donut-like at 0.001 mM to the round shape at 1.0 32 and 100 mM. Both C4 and C6 express the fiber morphology but 33 with different branching. It is clear that C8 shows irregular 34 particles, especially in the low concentration (Figure 2 (i)).

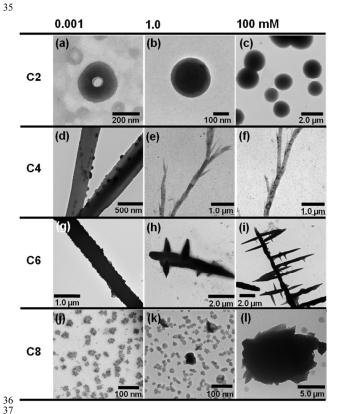


Fig. 2 TEM micrographs of C2, C4, C6, and C8 obtained from DMSO solutions with concentration of 0.001~mM for (a), (d), (g), and (f), concentration of 1.0~mM for (b), (e), (h), and (k), and concentration of 100~mM for (c), (f), (i), and (l).

As C2, C4, C6, and C8 exhibit different morphologies; 43 44 this implies how the alkyl chains - in other words, the 45 methylene bridges - play a key role in the hydrogen bond 46 formation. At this point, it could be pointed out that the 47 molecular assembly formations were governed by the factors 48 of the hydrogen bond pattern and alkyl bridge length, or 49 hydrophobicity. To clarify this point, the crystal lattices were 50 investigated using X-ray single crystal structure analyses. 51 Here, the single crystals were grown slowly from the DMSO 52 solutions, since chloroform didn't give the good crystals for 53 us. As shown in Figure 3A (a), the C2 crystal is in the 54 triclinic system $(P\overline{1})$ with not only the intramolecular 55 hydrogen bonds between N1 and O1 atoms, but also the 56 intermolecular hydrogen bonds between O1 and O2 atoms 57 with the distance of 2.84 Å. The molecules are in the 58 extending, or stretching, structure. Figure 3B (a) illustrates 59 the packing structures of C2 by emphasizing the hydrogen 60 bonds and tilting of the molecules. The C4 is monoclinic 61 $(P2_1/c)$ with DMSO molecules entrapped in the unit cell 62 (Figure 3A (b)). In this case, only the intramolecular 63 hydrogen bond is observed, implying that the C4 assembly is 64 stabilized by the hydrogen bonds with the solvent molecules 65 rather than by the intermolecular hydrogen bonds. The 66 molecules are bridged together by the solvent molecules. For 67 C6, the crystal structure is monoclinic (C2/c) with the 68 intermolecular hydrogen bonds between O1 and O2 atoms 69 along the c-axial direction (Figure 3A (c)). It is important to 70 note that the crystal structure of C6 in the connected 71 molecular packing implies the possibility of a supramolecular 72 polymer. For C8, the crystal structure is tetragonal $(P4_12_12)$, 73 where no intermolecular hydrogen bond exists in the crystal 1 lattice. Only the intramolecular hydrogen bond is detected 2 between N1 and O2 with the distance of 2.68 Å and between 3 O1 and O2 atoms with 2.83 Å distance (Figure 3A (d)). In 4 other words, the molecules are in dimer-rings. From this 5 analysis, it is clear that the systematic variation of the alkyl 6 chain length affects the differences of hydrogen bond patterns 7 and the packing mode for the unique morphologies formation.

8 Furthermore, in order to clarify the development of the 9 molecular arrangement and hydrogen bond patterns in the 10 assembly, the electron diffraction patterns obtained from the 11 TEM measurements were compared with the X-ray 12 diffraction patterns.

(a) C2
(b) C4
(b) C4
(c) C6
(c) C6
(d) C8
(d) C8
(d) C8
(d) C8
(d) C8
(e) C6
(e) C6
(f) C7
(f

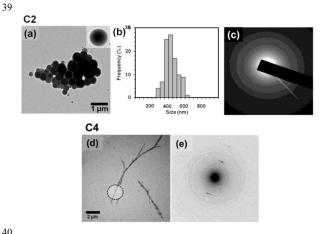
7 Fig. 3 (A) Crystal structures and (B) schematic illustrations of the intra- and intermolecular hydrogen bonds and their types of packing structures: (a) CZ, by (the extended packing), (b) C4 (the solvent bridged packing), (c) C6 (the connected molecular packing), and (d) C8 (the dimer ring packing).

16

21

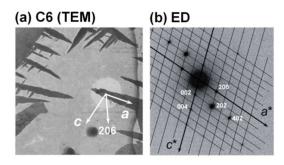
The TEM micrograph of C2 shows the spherical morphology (Figure 4 (a)) with an average diameter of 250-24 500 nm (Figure 4 (b)). In the case of C4, the morphology shows the bunch of fibers with branches (Figure 4 (d)). The electron diffraction pattern shows the crystalline unit cells in the oriented fibers (Figure 4 (d)). The fibers are as long as tens of micrometers with the diameter ~200 nm. The morphology of C8 is quite different from those of the others as it shows a random particulate shape. It is important to note

31 that no clear spots were detected in the electron diffraction 32 patterns for C2 (Figure 4 (c)) and C8, implying that the 33 molecular assemblies of both cases might not be in a highly 34 ordered structure. Considering other results, especially from 35 the X-ray single crystal analysis (Figures 2 (j), (k), (l) and 36 Figure 3(d)), it is clear that the packing structure might be 37 satisfied with only intramolecular hydrogen bonds until no 38 molecular assembly can be formed.



41 Fig. 4 TEM micrographs of C2: (a) morphology, (b) size distribution, and (c) 42 electron diffraction pattern, and of C4: (d) morphology, and (e) electron 43 diffraction pattern.

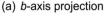
45 As C6 shows the dendritic needles (Figure 5 (a)), with a 46 clear electron diffraction pattern (Figure 5 (b)), the detailed 47 analysis was carried out. It should be pointed out that the 48 diffraction pattern is relevant to the crystal structure identified 49 by X-ray single crystal analysis; therefore, it is expected that 50 the superimposition between two images (figure 5 (a) and (b)) 51 might allow identification of the axis direction and growth of 52 molecular assemblies. On the basis of the crystal structure 53 information obtained from the X-ray structure analysis 54 (Figure 3(c)), the electron diffraction pattern of C6 with the 55 orientation of crystallographic axes can be determined (Figure 56 5 (b)). The growing direction of the long needle is found to 57 be parallel to the a-axis. The branches are stretching from the 58 main needle in the [206] direction to grow along the a-axial 59 direction individually (Figure 5 (a)).

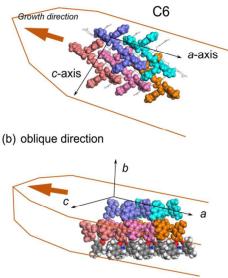


62 Fig. 5 (a) TEM micrograph of C6 with the crystal axes. The side branches are 63 created along the [206] direction of the main needle, and (b) electron 64 diffraction (ED) diagram taken from the main needle (the bright circle shown 65 in (a)) and the indexing.

19

Although several cases of supramolecular structures and 2 their unique morphologies were reported, the molecular 3 alignment from single molecules to self-assembly until the 4 definite morphologies developed is still the point to be 5 clarified. Here, an attempt to illustrate the morphologies from 6 the molecular level was carried out. Figure 6 is the scheme 7 showing the growth of the needle crystallites of C6 along the 8 a-axial direction. At that time, the C6 molecules are stacked 9 in the bc plane using intermolecular hydrogen bonds, and 10 these stacked layers are attached onto the end of the needle 11 crystallite in the growing process. It is expected that a similar 12 situation might occur in the case of C4 crystals.



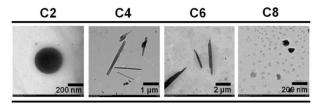


4 Fig. 6 Schematic representation of needle growth of **C6** based on the superimposition of the needle axis and crystallographic axis: (a) *b*-axis projection and (b) the view from the oblique direction. The stacked molecular layers are attached step by step on the surface of the *bc* plane or along the *a*-axis.

20 Taking the effect of concentration as shown in Figure 2 21 back to our consideration, it is speculated that C2-C6 might 22 form the nanostructures based on the hydrogen bond networks 23 which were under the effect of alkyl chains. In other words, 24 the hydrophobic alkyl chains played an important role to 25 control the packing structure on top of the intermolecular 26 hydrogen bonds. Therefore, when the concentration 27 increased, the packing structure might be developed 28 differently as a consequence of hydrophobic interaction. In 29 this way, for example C2 either in the low concentration or in 30 the high concentration, it shares the common mechanism as 31 mentioned above, the sphere at high concentration might be a 32 consequence of tightly packed assemblies under the two 33 methylene unit of alkyl chain. The common mechanism, but 34 depending on the alkyl chain length, can also be seen in the 35 cases of C4, and C6 (Figure 2) which the branching and 36 dendritic were developed at the high concentration.

It is known that self-assembly and its definite morphology 38 can be tuned depending on the environments such as 39 concentration^{22, 32}, temperature³³, pH³⁴, drying methods¹², 40 and types of solvent³⁵. As chloroform is also a good solvent 41 of these derivatives, their morphologies were observed to see 42 how the environment affects molecular assemblies. Figure 7 43 shows the morphologies of all derivatives obtained by 1.0 44 mM concentration. It is clear that C2 performs its spherical

45 shape, whereas **C4** and **C6** show the fine needle shape. The 46 results are similar to those obtained from DMSO.



47

 $48\,$ Fig. 7 TEM micrographs of C2, C4, C6, and C8 molecular assemblies obtained $49\,$ from the CHCl3 solutions at the concentration of 1.0 mM.

50 Conclusions

51 Diamine-based benzoxazine dimers represents a good example of 52 the molecular assembly that can be fine-tuned by simply varying 53 the alkyl building blocks of the molecules. The single crystal 54 structure analysis as well as the superimposition between X-ray 55 single crystal structure and electron diffraction pattern insisted that 56 the molecular assemblies were basically formed by the hydrogen 57 bonds, while they were further governed by the hydrophobic 58 segment to tilt the packing structures. Therefore, the variation of 59 the alkyl chain units and the concentration brought a significant on change of the nano-scale structures to be donuts, spheres, needles, 61 and dendrites. In addition, the solvent, such as DMSO, might also 62 contribute to the supramolecular network. The present work, for 63 the first time, shows the molecular assemblies under the hydrogen 64 bond networks which were, in fact, primarily controlled by the 65 hydrophobicity of the molecules.

66 Acknowledgements

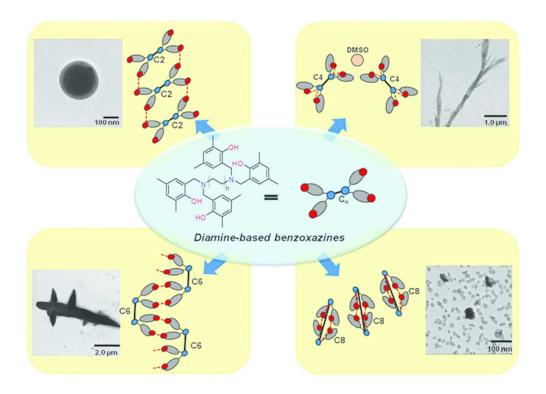
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