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## Chemical engineering students' ideas of entropy

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### Abstract

Thermodynamics, and in particular entropy, has been found to be challenging for students, not least due to its abstract character. Comparisons with more familiar and concrete domains, by means of analogy and metaphor are commonly used in thermodynamics teaching, in particular the metaphor 'entropy is disorder'. However, this particular metaphor has met major criticism. In the present study, students (N = 73) answered a questionnaire before and after a course on chemical thermodynamics. They were asked to: (1) explain what entropy is; (2) list other scientific concepts that they relate to entropy; (3) after the course, describe how it had influenced their understanding. The disorder metaphor dominated students' responses, although in a more reflective manner after the course. The view of entropy as the freedom for particles to move became more frequent. Most students used particle interaction approaches to entropy, which indicates an association to the chemistry tradition. The chemistry identification was further illustrated by enthalpy and Gibbs free energy being the concepts most often mentioned as connected to entropy. The use of these two terms was particularly pronounced among students at the Chemical Engineering programme. Intriguingly, no correlation was found between the qualitative ideas of entropy and the results of the written exam, primarily focusing on quantitative problem solving. As an educational implication, we recommend that students are introduced to a range of different ways to interpret the complex concept entropy, rather than the use of a single metaphor.

### Introduction

The field of thermodynamics in general and the concept of entropy in particular are central to our understanding of nature, and how to come to terms with challenges that we are confronted with as a society, such the global warming. The centrality and personal interest vested in entropy is epitomised by Ludwig Boltzmann, whose gravestone has engraved:  $S = k \log W$ , in commemoration of his ground-breaking formula, which relates entropy to the number of microstates of a system.

In the early 1980s, science educators came to realise that doing well on paper-and-pencil problem-solving exams is no guarantee for a deep conceptual understanding of the topic at hand. Early focus was on investigating students' understanding of Newtonian mechanics (Clement, 1982; McCloskey, 1983), but has later expanded to other fields, including thermodynamics (e.g. Yeo & Zadnik, 2001). In this tradition, science education research has shown that thermodynamics is challenging to grasp – see Bain, Moon, Mack, and Towns (2014) and Dreyfus, Geller, Meltzer, and Sawtelle (2015) for recent reviews of teaching and learning in the field. Consequently, in an international questionnaire study, Ugursal and Cruickshank (2014) concluded that engineering students found thermodynamics to be more difficult than most other engineering subjects, and – unfortunately – not very interesting to learn. This challenge in learning is particularly pronounced for the concept of entropy (e.g.

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3 Sözbilir, 2003; Sözbilir & Bennett, 2007), not least due to its abstract nature. We  
4 cannot readily probe the entropy of a system with our senses, and there is no  
5 'entropymeter'; rather we derive its value from measurements of a set of other  
6 quantities.  
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9 In the present study, we set out to explore how engineering students interpret and  
10 understand the entropy concept and its role in thermodynamics, before and after a  
11 course in chemical thermodynamics. In particular, we attended to what metaphors  
12 students use, what other concepts they associate with entropy, and how this relates to  
13 their exam results on the course. In what follows, we first review the literature of  
14 students' understanding of entropy, and teaching approaches to come to terms with  
15 students' challenges in relation to entropy.  
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### 18 **Students' conceptions of entropy**

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20 In a study of upper secondary students' responses to a test in chemical  
21 thermodynamics, Johnstone, MacDonald and Webb (1977) found that students  
22 generally interpreted entropy vaguely as a measure of disorder, but were left with the  
23 impression that they "have little or no conception of entropy" (p. 249). The students  
24 also had a tendency to confuse entropy with kinetic energy.  
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27 Carson and Watson (2002) interviewed students in order to probe their understanding  
28 of qualitative understanding of entropy and Gibbs free energy before and after a first-  
29 year course in chemical thermodynamics. The students were presented with three  
30 chemical reactions, asked to explain what happened and why, and to describe how  
31 they understood the concepts entropy and Gibbs free energy. Few of the students had  
32 been introduced to entropy in their prior secondary chemistry education, but many  
33 ideas had formed after the course. The majority of students had grasped that the  
34 entropy of a system and its surroundings cannot decrease, along with ways in which  
35 the entropy of a system can increase, e.g. through change of state from solid to liquid  
36 to gas. Other aspects of entropy were found to be more challenging, including its  
37 relation to the number of microstates or energy distribution across energy levels, or  
38 differentiating between the system and its surroundings. Some explanations involved  
39 entropy as a 'form of energy', which was hard to disambiguate from enthalpy or  
40 Gibbs free energy, or the term was vaguely identified with disorder or randomness.  
41 Overall, Carson and Watson argue that the course focused on teaching quantitative  
42 problem solving through symbol manipulation, as reflected in the problem solving  
43 exercises and the nature of the exam. In this way, the students were given little  
44 opportunity to develop conceptual understanding of involved concepts, such as  
45 entropy and Gibbs free energy.  
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51 In a similar vein, Sözbilir and Bennett (2007) studied third-year chemistry  
52 undergraduates' understanding and misconceptions of entropy before and after  
53 courses in physical chemistry. They gathered data from pre- and post-tests with  
54 conceptual questions – some of which involved entropy – and interviews before and  
55 after the course. The results reveal that students have many challenges with regards to  
56 entropy, due to, e.g.: identification of entropy with disorder, interpreted as movement,  
57 collisions and 'mixed-upness', and; disambiguation of the system and its  
58 surroundings. Some identified misunderstandings were actually more prevalent after  
59 the course than before, and the rather discouraging conclusion is that after the course  
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3 most of the students were still unable to explain the change in entropy of a system by  
4 use of thermodynamic principles.  
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7 Turning to studies of physics students' understanding of entropy, Brosseau and Viard  
8 (1992) interviewed physics PhD students regarding what happens to the entropy of a  
9 thermally isolated gas during reversible expansion. Although the majority of the  
10 students mentioned that the relation  $dS = dQ/T$  applies to the situation, only one of  
11 them concluded that the entropy remains unchanged. Instead, the dominating line of  
12 reasoning built on the idea of entropy as disorder: as the volume increases, the  
13 disorder increases; hence the entropy increases. In their interpretation, seeing entropy  
14 as disorder made the students focus exclusively on spatial configuration, but ignore  
15 the decrease in internal energy. Similarly, physics teacher students concluded, in  
16 small-group exercises, that the entropy of an ideal gas should increase during  
17 reversible adiabatic expansion (Haglund & Jeppsson, 2014), while a pair of physical  
18 chemistry students arrived at constant entropy, but found it to be counterintuitive  
19 (Jeppsson, Haglund, Amin, & Strömdahl, 2013). Furthermore, physics undergraduate  
20 students have been found to have difficulties applying the second law of  
21 thermodynamics in assessing the feasibility of given thermal processes (Cochran &  
22 Heron, 2006), and believe that the entropy of a system and its surroundings typically  
23 remains unchanged throughout thermal processes (W. M. Christensen, Meltzer, &  
24 Ogilvie, 2009).  
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29 As part of a course in engineering thermodynamics, Gustavsson, Weiszflog, and  
30 Andersson (2013) studied students' conceptions of entropy. The students were given a  
31 questionnaire where they were asked to rate how strongly, on a 0-5 scale, they related  
32 entropy to a list of notions: probability, temperature, work, disorder, heat, and energy.  
33 The result was that the students associated entropy most strongly with disorder, and  
34 least strongly with work. There was further a strong correlation between relating  
35 entropy to heat and relating it to temperature (indicating an awareness of the formula  
36  $dS \geq dQ/T$ ), but also between relating it to disorder and to probability. The students  
37 were further asked to read four short texts involving entropy and summarise them in  
38 groups of 4-5 students. The texts represented different aspects of the topic, including a  
39 microscopic approach, macroscopic cyclic processes, and relating entropy to human,  
40 social matters. Out of these, the students' summaries of the microscopic, statistical  
41 accounts, some of which involved disorder, reflected a more complex understanding  
42 of entropy.  
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### 46 **Teaching approaches to thermodynamics and entropy**

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48 Many different teaching approaches have been suggested in order to come to terms  
49 with students' challenges with thermodynamics in general, and entropy in particular.  
50 In introductory physics and physical chemistry teaching, respectively, Reif (1999) and  
51 Kozliak (2004) argue for a microscopic approach to the concept, engaging  
52 Boltzmann's interpretation of entropy in relation to the number of microstates. In  
53 contrast, due to students' difficulties in interpreting such microscopic models,  
54 Loverude, Kautz and Heron (2002) prefer the introduction of thermal concepts by  
55 relating them to macroscopic phenomena with which the students are familiar, such as  
56 the increasing temperature of a bicycle pump. From another point of view, Geller et  
57 al. (2014) have experienced that when introducing entropy to life science students,  
58 who are more familiar with Gibbs free energy than of entropy, it may be productive to  
59 start with pointing out how the entropy contributes to Gibbs free energy. Other  
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3 suggested approaches rely on connecting the second law of thermodynamics to our  
4 intuition that energy tends to degrade and dissipate (Daane, Vokos, & Scherr, 2014;  
5 Duit, 1984; Ross, 1988).  
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8 Eventually, however, students would need both a foundational, microscopic  
9 understanding of entropy, and practical skills in applying it in calculations of thermal  
10 processes and chemical reactions. There remains a particular challenge for students to  
11 see how such diverse aspects of entropy relate to one single physical quantity  
12 (Baierlein, 1994; Kozliak, 2004). For instance, Baierlein (1994) sees a risk that  
13 students do not get an in-depth understanding of what entropy is with macroscopic  
14 approaches to the topic. In the light of Johnstone's (1991) triangle model of levels of  
15 thought in chemistry and chemistry education, at the macroscopic level, we find heat  
16 engine and chemical reaction applications, while notions such as microstates and  
17 probabilities which may be interpreted in terms of disorder are introduced at the  
18 submicroscopic level. However, this divide across the macro and submicro levels is  
19 also inherited at the symbolic level, where it is hard for students to see how  
20 macroscopic quantities such as heat, temperature, enthalpy or Gibbs free energy,  
21 relate to microstates and probabilities from the world of statistical mechanics.  
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## 25 **Theoretical framework**

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27 Our data analysis and discussion relies on a theoretical framework, comprising three  
28 aspects: the interpretation of entropy in different disciplinary traditions, with an  
29 emphasis on chemistry; the use of metaphors and analogies in understanding entropy,  
30 and; the use of teleological reasoning in seeing how entropy relates to the second law  
31 of thermodynamics.  
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### 33 **Views of thermodynamics and entropy in different disciplinary traditions**

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35 Using thermodynamics as a case, F. V. Christensen and Rump (2008) bring forth the  
36 idea that students' challenges in learning may derive partly from differences in the  
37 epistemological frameworks they encounter in different disciplinary traditions. In  
38 their comparison of how thermodynamics is approached in courses in physics,  
39 physical chemistry and engineering thermodynamics, respectively, Christensen and  
40 Rump acknowledge that they cover partly different content. For instance, chemical  
41 potential or enthalpy changes are central in chemistry, but less so in the other  
42 disciplines. More interestingly, however, there are also differences in how central,  
43 shared concepts are interpreted. For instance, whereas physicists and chemists  
44 typically study systems in equilibrium with constant mass or in open vessels,  
45 mechanical engineers often model scenarios with a flow of matter through a 'control  
46 volume', which yields completely different mathematical formulations, even of  
47 central relations, such as the first law of thermodynamics. Furthermore, while a  
48 physicist may approach thermodynamics macroscopically without considering the  
49 microscopic nature of matter (even though statistical mechanics does provide such  
50 microscopic explanations), a chemist will always take into account molecular  
51 interaction. The importance of considering molecular interaction in chemistry found  
52 support in a study of students' argumentation in small-group work in physical  
53 chemistry study by Becker, et al. (2013), where the students' justification of claims in  
54 relation to particle-level structures and processes was identified as a prevalent  
55 sociochemical norm across topics and context. In particular, Haglund, Jeppsson and  
56 Strömdahl (2010) show that the term 'entropy' is assigned different interpretations in  
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3 different contexts, such as macroscopic thermodynamics, statistical mechanics, or  
4 information theory.  
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### 6 **Qualitative interpretations of and metaphors for entropy**

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8 One potential approach to come to terms with the abstract nature of entropy is to  
9 introduce the concept by comparison to something more concrete and familiar, by  
10 means of *metaphor* or *analogy*. However, the use of metaphor and analogy in science  
11 education has been identified as a ‘double-edged sword’ (Glynn, 1989). Students may  
12 indeed interpret metaphors and analogies in ways that lead towards an understanding  
13 in line with the current understanding in science, but poorly chosen or interpreted  
14 metaphors and analogies can completely lead students astray. Jeppsson, Haglund and  
15 Strömdahl (2011) provide an overview and analysis of metaphors for entropy that  
16 have been suggested for science teaching, but also criticised for failing to convey  
17 crucial aspects of the concept or for their potential for misinterpretation.  
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21 The metaphor that has dominated teaching is *entropy is disorder*, often exemplified  
22 by the analogy to an untidy room, where scattered toys and clothes are assigned high  
23 entropy, in contrast to the tidy room’s low entropy. The analogy is meant to illustrate  
24 Boltzmann’s microscopic approach to entropy, in that there are more disordered  
25 configurations of the child’s belongings – corresponding to a system’s microstates –  
26 than there are ordered ones; hence higher entropy. The use of the disorder metaphor  
27 has, however, met with considerable criticism (e.g. Lambert, 2002; Styer, 2000).  
28 Styer (2000) points out a range of weaknesses of the disorder metaphor, including that  
29 disorder is vague and emotionally charged. Most importantly, the messy room  
30 analogy focuses on a snapshot view of a system’s spatial configuration, and fails to  
31 recognise the importance of the energy involved. As we have seen above, empirical  
32 findings that students have difficulties in problem solving involving entropy have  
33 often been explained by overreliance on disorder. Wei, Reed, Hu, and Xu (2014, p.  
34 330) even see the use of the disorder metaphor and the confusion it has induced as the  
35 main cause of the marginalised position of the second law of thermodynamics in K-12  
36 teaching:  
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41 *Ultimately, however, it is the pervasive yet inappropriate use of the disorder metaphor*  
42 *for entropy that has prevented more widespread incorporation of the second law into*  
43 *student thinking. /.../ Because of the metaphor of entropy as disorder has been so*  
44 *pervasive, most of students’ misconceptions – that have documented regarding entropy,*  
45 *the second law and spontaneous processes – are directly or indirectly related to this*  
46 *metaphor.*  
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48 The personal engagement vested in this issue may be illustrated by Lambert’s (2014)  
49 list of textbooks (36, and counting!), from which the disorder metaphor has been  
50 removed.  
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53 Realising the need for conceptualising entropy beyond mathematical formalism, but  
54 finding the disorder metaphor “entirely mysterious” in relation to Clausius’  
55 macroscopic interpretation, Leff (1996, p. 1260) instead proposes the introduction of  
56 entropy in terms of *spreading of energy*. He argues that “entropy is a function that  
57 represents a measure of spatial spreading of energy and a temporal spreading over  
58 energy states” (Leff, 2007, p. 1760) and concludes that “it is appropriate to view  
59 entropy’s symbol  $S$  as shorthand for *spreading*” (p. 1744). Consequently, Wei et al.  
60 (2014) propose that we replace the disorder metaphor with the idea of entropy as

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3 energy dispersal also in K-12 teaching of energy. In contrast, Jeppsson et al. (2011)  
4 argue that, like all metaphors, the spreading metaphor is not unproblematic. For  
5 instance, 'spreading' as a verb in the gerund form may lead students to think that it is  
6 a process variable, parallel to for instance 'heating'. Although more neutral than  
7 disorder, spreading is also likely to be given primarily spatial interpretations.  
8 Furthermore, it would not be unreasonable to interpret the 'spreadoutness' of energy  
9 mathematically in terms of a derivative of the internal energy with respect to the  
10 volume:  $dU/dV$ , which relates closer to an 'energy density' or the pressure of a system  
11 than its entropy. Such merits and shortcomings have to be pointed out explicitly to  
12 students for every metaphor that is engaged in teaching.  
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16 Similar to Leff (1996), Styer (2000) argues that we need qualitative, metaphorical  
17 ways to introduce entropy. However, where Leff, and Wei et al. (2014), suggest  
18 wholesale replacement of the disorder metaphor with entropy as spreading, Styer  
19 recognises that every metaphor – including, but not only, entropy as disorder – has  
20 shortcomings and concludes that in teaching we have to keep in mind both their  
21 strengths and their weaknesses. Consequently, he thinks that the disorder metaphor  
22 may be retained, as long as we acknowledge its drawbacks. Furthermore, as a way to  
23 counteract the idiosyncrasies of the disorder metaphor, Styer suggests that we  
24 complement it with another: *entropy is freedom*, which admittedly is just as vague as  
25 disorder, but positively charged emotionally, and better at capturing the range of  
26 microstates a system can be in. The merit of the freedom metaphor in going beyond  
27 the typical snapshot view of the disorder metaphor is emphasised also by Amin,  
28 Jeppsson, Haglund and Strömdahl (2012). The approach of deliberately combining  
29 the freedom metaphor with other metaphors in the teaching of entropy is adopted also  
30 by Brissaud (2005), even though he settles for *entropy is information*, relating to its  
31 use in information theory.  
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36 A quite different qualitative interpretation, *entropy as heat*, has been suggested within  
37 macroscopic approaches to thermal physics (Fuchs, 1987; Herrmann, 2000) and in  
38 engineering thermodynamics (Gaggoli, 2010). Here, entropy is seen as a substance-  
39 like (although not conserved) entity that may be contained in and flow between  
40 objects, i.e. corresponding to how we typically use 'heat' in everyday language. Note,  
41 however, that this approach may entail problems for students who later encounter  
42 more traditional interpretations of heat as a process variable in thermodynamics  
43 (Strnad, 2000).  
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### 46 **Teleological and anthropomorphic reasoning in relation to the second law of** 47 **thermodynamics** 48

49 There has been a debate in science education regarding the use and potential merits or  
50 shortcomings in teaching of *teleological reasoning*, i.e. explaining phenomena by  
51 pointing to their purposes, or effects, rather than causes, and *anthropomorphic*  
52 *reasoning*, where non-human entities are ascribed human characteristics, such as  
53 having emotions or a free will. On one hand, such lines of reasoning may be  
54 productive in making use of our everyday experience as humans when we want to  
55 understand the nature. On the other hand, teleological or anthropomorphic reasoning  
56 may also lead our thoughts to inappropriate conclusions, such as the tempting  
57 Lamarckian view on evolution (Rudolph & Stewart, 1998): giraffes have stretched  
58 their necks in order to get taller; therefore giraffes are taller now than their previous  
59 generations.  
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Taber and Watts (1996) investigated students' use of anthropomorphic language in relation to chemical bonding, and identified two different classes of anthropomorphism. In the case of 'weak' anthropomorphism, a student realises that an atom does not actually 'want' or 'feel' anything, but uses such language metaphorically *as if* the atom were a sentient being. Weak anthropomorphism might be a powerful communicative device, in breaking the rules of the otherwise impersonal, detached language in science class (Lemke, 1990). However, in the case of 'strong' anthropomorphism, typically expressed as teleological reasoning, such desires are *actually* ascribed to inanimate entities. In chemistry, this sometimes results in misunderstandings, for example in the context of the 'full outer shell' heuristic, i.e. that atoms 'try' to achieve stable noble-gas electronic configurations. As part of their further investigation of students' ideas of chemical bonds, Taber and Watts (2000) have developed a framework of students' explanations. They bring forward teleology and anthropomorphism as examples of pseudoexplanations, i.e. statements that look like explanations on the surface, but do not logically fit the studied phenomenon. Relating to the anthropomorphism example of a student who says that an atom is *trying* to become stable, they argue that: "Such language has explanatory currency only when the implied actor is actually animate and capable of 'trying'" (Taber & Watts, 2000, pp. 347-348).

Talanquer (2007) identified and analysed teleological explanations in chemistry textbooks. He found that teleological lines of reasoning are used to explain rules and laws, which have been found empirically to have high generality. According to these rules and laws, chemical changes occur in order to optimise some characteristic or quantity. As an example, Talanquer also refers to the full outer shell heuristic, in the form of the octet rule, according to which bonds form in order to achieve increased stability. Novice students are often not aware that the octet rule is a quite rough rule-of-thumb, and tend to overgeneralise it in situations where it does not apply. Other examples relate to the second law of thermodynamics, where students are told that changes occur in order to maximise the entropy of the universe, or minimise the Gibbs free energy of the studied system; teleological explanations of causes, in reference to their effects. Here, in contrast to the case of the octet rule, the teleological reasoning gains force and educational legitimacy from the generality and consistency of the second law of thermodynamics. The confidence in the second law of thermodynamics has been expressed eloquently by Eddington (1928, p. 74):

The law that entropy always increases, holds, I think, the supreme position among the laws of Nature. If someone points out to you that your pet theory of the universe is in disagreement with Maxwell's equations – then so much the worse for Maxwell's equations. If it is found to be contradicted by observation – well, these experimentalists do bungle things sometimes. But if your theory is found to be against the second law of thermodynamics I can give you no hope; there is nothing for it but to collapse in deepest humiliation.

Once we are convinced that the second law of thermodynamics holds universally, we may see the increase of entropy as a 'driving force', a 'tendency', or giving directions for change along 'time's arrow'. From this perspective, anthropomorphic reasoning represents another step in understanding the second law of thermodynamics, as expressed, for example, in "nature abhors a gradient" (Schneider & Sagan, 2005). Indeed, such anthropomorphic language was also adopted by Clausius (1865, p. 400),



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3 as he ended the seminal paper in which he coined ‘entropy’ by stating the laws of  
4 thermodynamics in terms of:  
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- 7 1) Die Energie der Welt ist Constant.
- 8 2) Die Entropie der Welt strebt einem Maximum zu.<sup>1</sup>
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10 Then again, we seek for deeper explanations, and would prefer to understand the  
11 underlying mechanical causation. *Why* does the entropy tend to increase?  
12 Explanations of the underlying mechanisms are provided probabilistically in  
13 statistical mechanics. But this does not automatically discredit the educational  
14 legitimacy of teleological explanations at earlier stages in the educational system. The  
15 question is whether teleological explanations are valuable for helping students to  
16 understand aspects of the second law of thermodynamics, without introducing  
17 obstacles for grasping more fundamental explanations, should they decide to  
18 specialise in the subject.  
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22 As recognised by Amin et al. (2012) and Wei et al. (2014), this search for teaching  
23 approaches that fit a particular age group and prepare for further studies also applies  
24 to the issue of the relative merits and shortcomings of different metaphors for entropy  
25 – in particular the still widely used, yet contended disorder metaphor. So far,  
26 however, this pedagogical debate has been largely limited to theoretical arguments,  
27 and we believe that empirical study of how students respond to different teaching  
28 approaches is required to cast further light on these matters.  
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### 31 **Purpose of the study**

32 The purpose of the present study was to investigate engineering students’  
33 interpretation of the entropy concept and how it is related to other scientific concepts,  
34 in relation to a course in chemical thermodynamics. Another ambition was to be able  
35 to assess the usefulness of students’ ideas of entropy, and the metaphors they employ,  
36 in relation to learning thermodynamics.  
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39 In particular, the study served to respond to the following research questions:

- 40 • What scientific concepts do engineering students relate to entropy, prior to and  
41 after a chemical thermodynamics course, and how are these concepts related  
42 to one another?
- 43 • How do engineering students explain what entropy is, prior to and after a  
44 chemical thermodynamics course?
- 45 • How do engineering students’ explanations of and associations to entropy  
46 relate to their exam results on a chemical thermodynamics course?
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### 50 **Methodology**

#### 51 **Context of the study**

52 The study was conducted in the spring term 2014 in relation to the course *Chemical*  
53 *Thermodynamics* given to second-year engineering students, specialising in study  
54 programs such as chemical engineering, environmental and water engineering, and  
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60 <sup>1</sup> This translates literally into: “1) The energy of the world is constant. 2) The entropy of the world  
strives towards a maximum”, although the formulation “The entropy of the universe tends to a  
maximum” is more widely spread.

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molecular biotechnology engineering at Uppsala University. There is an admission requirement for students to have passed at least one course in chemistry.

*Atkins' physical chemistry, 9<sup>th</sup> ed.* (Atkins & De Paula, 2010) was used as course literature, and the course had a traditional structure with lectures, problem-solving sessions, and laboratory exercises. The lecturer told – in a subsequent interview – that emphasis was placed on students' development of conceptual understanding, for instance by use of qualitative problems to be discussed in small-group settings, as well as quantitative problem-solving skills. Furthermore, adhering to the chemistry disciplinary tradition (F. V. Christensen & Rump, 2008), students' development of microscopic explanations was given priority, rather than the relation to macroscopic examples, such as heat engine cycles. In particular, Boltzmann's approach to entropy, involving the number of microstates, was adopted more commonly than Clausius' macroscopic approach. When teaching about entropy, the lecturer explained that there are limitations to the disorder metaphor that students had encountered in previous courses. For example, crystals may form into layers where molecules have high freedom to move in the layer (high 'order' going together with high entropy).

After the course, the students took a paper-and-pencil problem-solving exam in Swedish, where some of the items involved entropy. Most items were of a predominately quantitative character, but some involved qualitative reasoning, such as whether the entropy in a given reaction is likely to increase, decrease, or remain the same. The seven items were graded from 1 to 10, based on the correctness and justifications given, and an overall score of 35 out of a maximum 70 was required to pass the exam. English translations of the exam items that relate explicitly to entropy are provided in Appendix A.

### Data collection

Paper-and-pen questionnaires in Swedish were given to students in conjunction with the first (N = 130) and last lectures (N = 96) of the course. The students were asked to specify their secondary school science courses taken, study program, and names. The names were used to match questionnaires before and after the course (N = 73), and with examination results for students that answered both questionnaires (N = 64).

For ethical reasons, students were introduced orally to the purpose of the study and how data would be used and reported, informed that participation was voluntary, and that the teachers of the course would not have access to individual students' responses. By signing their questionnaires, students provided written consent to participation.

In both questionnaires, the students were asked two open-answer questions:

- What is entropy? Give a brief explanation that reflects your understanding.
- Which are the most important other scientific concepts that relate to entropy, according to you?

In the questionnaire after the course, the following item was added:

- How has the course influenced your understanding of the entropy concept? Please give concrete examples.

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Research on students' conceptual understanding of a broad range of science topics, including thermodynamics and entropy, has typically been performed by use of clinical interviews or multiple choice questions. Interviews have the benefit that an in-depth understanding can be gained of the interviewed student's line of reasoning, but involves an asymmetrical power relationship between the interviewer and the interviewee. In addition, since conducting interviews and analysing the outcome is time consuming, there are practical constraints on how many interviews can be conducted. With multiple choice questions, in turn, many more students can be included, but the students' qualitative understanding can only be inferred indirectly. By asking the students to write down their explanations of what entropy is, we strived to approach all students of the course in order to be able to say something about how prevalent different ideas were among them, and to gain some insight into their qualitative understanding of entropy. This exploratory approach was adopted in the light of the scarcity of empirical studies on the metaphors students use in relation to entropy. We also considered that the approach should be reasonable for practicing teachers to adopt in getting an overview of their students' understanding of a particular topic or concept, based on positive experience from asking students in a similar population to provide free-text explanations (Gustavsson et al., 2013).

The second item was used against the background of previous research on the connection between students' achievement and their responses to word association tests in physics. High school students who are currently taking a physics course have been found to generate more concepts and more strongly interrelated concepts, when asked to associate freely to a word when given a list of 18 terms from the topic of mechanics, in comparison to students who took the course a year ago, or have not taken the course (Johnson, 1964). Similarly, Shavelson (1972) found that high school students' achievement increase from a pretest to a posttest after instruction in mechanics came together with increased interrelation between key concepts at word association tests. As brought up above, Gustavsson et al. (2013) have used word association tasks for engineering thermodynamics students, although with a given set of concepts to choose from.

### Data analysis

Answers from the questionnaires were entered into a spreadsheet. Individual students' answers before and after were matched, and we focused our analysis on the 73 students who answered both.

The students' answers to what entropy is (before and after) and how the course had changed their ideas (after) were first categorised by one of the researchers, deductively as the categories were formed against the background of previous research described in the Theoretical framework, but also inductively as new patterns of reasoning were identified (e.g. coming to problematise one's previous understanding). These ideas vary in the degree to which they align with the current view in science. Next, two of the researchers refined the categories, gave them descriptions in English, and coded the students' responses. The resulting classification scheme, with relative frequencies and examples of students' answers, which have been translated into English, are detailed in Table 1. These categories are not mutually exclusive, and many student responses were classified as involving several of them. Suitable grain sizes of the categories were also discussed. For instance, we chose to form one category of teleological and anthropomorphic reasoning since many

expressions involved both, such as systems ‘striving’ for disorder. Lastly, the categories were grouped into overarching themes.

In order to describe how the classification was done, we provide an example of the coding of a student’s explanation of what entropy is:

Order, high entropy → high disorder, i.e. the molecules are spread out in a room, vessel, etc. The universe strives for, like, high entropy...

This statement was coded as involving *microscopic* explanations and *spatial configuration*, since it relates to the relative locations of molecules. It further makes use of the *disorder* metaphor, which is used to express *the second law of thermodynamics*. Since the word ‘strives’ was used, the expression was also coded as making use of *teleology/anthropomorphism*.

Following Johnson (1964) and Shavelson (1972), we took an interest in the interrelations that the students attributed to scientific concepts. The concepts associated to entropy and our categorisation of the student explanations of entropy were uploaded into SPSS, and descriptive statistics (absolute frequencies) were generated. An overview of correlations between the categories was provided by means of Spearman’s rho, and individual pairs singled out for one-tailed Fisher’s exact tests, for which the significances are given in the results. The correlations were calculated in order to identify clusters of ideas that tended to come together. Some of the responses were further subjected to qualitative analysis, with a particular focus on how individual students had developed their understanding of entropy between the pre- and post-tests.

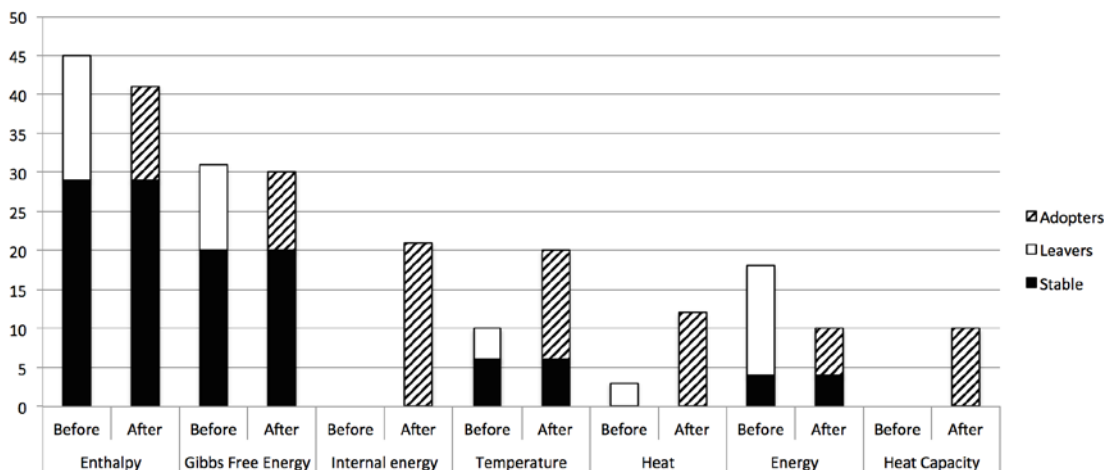
In a second round of analysis, the students’ responses to the questionnaires were matched with their overall exam results. Correlations were calculated between the exam results on one hand, and the concepts students relate to entropy and categories of students’ explanations on the other, in order to identify patterns of qualitative understanding of entropy that are useful or detrimental to problem solving.

After the data analysis, preliminary results were shared with the lecturer of the course, in order to check whether our interpretations were reasonable, and might be useful for the teaching practice.

## Results

### Analysis of scientific concepts related to entropy

Figure 1 depicts the number of students who relate entropy to certain scientific concepts. The students are characterised as ‘stable’ if they state a concept both before and after the course, ‘leavers’ if they state a concept before, but not after the course, and ‘adopters’ if they state a concept after, but not before the course. The first thing to notice is the large number of students who mention enthalpy and Gibbs free energy, both before and after the course. In our view, this identifies the students as largely belonging to a chemistry disciplinary tradition (F. V. Christensen & Rump, 2008), where they are used to calculating the changes of entropy, enthalpy and Gibbs free energy involved in chemical reactions. We imagine that the responses would be quite different among students who have encountered entropy primarily in a physics or information theory context.



**Figure 1** Number of students that relate entropy to certain concepts, before or after the course, or both.

This connection to enthalpy and Gibbs free energy is further reinforced by the correlation analysis. Students who relate entropy to Gibbs free energy prior to the course tend to relate it also to enthalpy prior to the course ( $p = 0.0004$ , significance of one-tailed Fisher's exact test), and to Gibbs free energy after the course ( $p = 0.0007$ ). Relating entropy to enthalpy and Gibbs free energy was found to be particularly common among students on the Chemical Engineering programme, which has the most extensive chemistry content prior to the course.

Whereas 18 of the students relate entropy to energy before the course, their responses are more differentiated after the course, distributed between internal energy, temperature, heat, and heat capacity. Out of these, relating entropy to energy before the course is significantly positively correlated to relating entropy to heat after the course ( $p = 0.005$ ). Similarly, relating entropy to energy (undifferentiated) and Gibbs free energy after the course is significantly negatively correlated ( $p = 0.003$ ).

A remarkable finding was that none of the students (before or after) bring up disorder as a scientific concept that is related to entropy. This is interesting for many reasons. First, there is the international research pointing to dominance of the disorder metaphor in teaching (e.g. Lambert, 2002). Second, there is a stark contrast with the results of Gustavsson et al. (2013), where engineering thermodynamics students connected entropy most strongly with disorder, when given a list of notions to choose from. Third, as we will see, the majority of the students in the present study mention disorder in their explanations of what entropy is to them. In our view, this unwillingness to bring up disorder as a *scientific concept* connected to entropy suggests that the students were aware that the notion should be interpreted figuratively rather than literally.

### Analysis of explanations of entropy

We now turn to the students' responses to the questionnaire item where they were asked to explain what entropy means to them. Table 1 provides an overview of the categories that emerged from the analysis, grouped in their overarching themes. Illustrative examples are given from student responses, which are expanded upon in



what follows. On average, the students' responses were coded as involving 1.90 of the categories in their explanations before the course, compared to 2.44 after the course, which illustrates an overall trend towards richer responses.

**Table 1** Percentages of categories within six overarching themes before and after the course (out of a total of 73 students), with example responses to the item: "What is entropy? Give a brief explanation that reflects your understanding."

Category	Percentage of students (%) (before/after)	Description	Example student explanations
<i>Microscopic interpretation of entropy (38/68)</i>			
Microscopic	34/59	Relating to the state of a system's particles, atoms, molecules, etc.	How many ways the particles can distribute across different energy levels... (after). Probabilities for molecules to move in a particular way (after).
Movement	10/19	Connection to the movement of particles	The disorder of molecules, how much they move (before). Entropy is the molecules' possibility to move freely. E.g. gas has high entropy. Solids have lower (after).
Freedom	3/30	The freedom or possibility for a system or its particles to change states, move, etc.	Entropy is the molecules' possibility to move freely. For instance, gas has high entropy, solids have lower (after).
Probability	7/7	The probability for a system or its particles to be in a particular state	$\Delta S$ , it deals with how probable it is that a certain substance will be in a certain state (before).
<i>The disorder metaphor for entropy (67/77)</i>			
Disorder	67/77	Relating entropy to disorder	Entropy describes the disorder in a system (after). Entropy is a measure of disorder. How free the molecules are to move (after).
Problematising	0/19	Reflection on or questioning own understanding of entropy prior to the course (in responses after the course)	Last time I answered that I thought it was order. Apparently, that was a dumb way to see things. How atoms distribute (after). In the beginning of the course I saw entropy as 'disorder'. Now I have a more nuanced view on entropy [in terms of the possibility for molecules to move and spread out] (after).
<i>The spreading metaphor for entropy (7/30)</i>			
Spatial configuration	7/25	The location, mixing or spreading of particles	Order, high entropy -> high disorder, i.e. the molecules are spread out in a room, a beaker, etc. (before). Entropy is disorder, how "messy" or mixed up it is in a gas/liquid (before).
Spreading of energy	0/5	The spreading or distribution of energy in space or across particles	A measure of how energy is distributed among molecules in a system (after).
<i>Problematic connection between entropy and energy (26/7)</i>			
Energy	26/7	Identification of	Entropy is the heat in a system that does not

		entropy with energy, or a part or form of it	transform into work (before).
<i>Teleological understanding of the second law of thermodynamics (26/20)</i>			
Second law of thermodynamics	25/18	Entropy as an increasing quantity	Everything strives for high entropy (before).
Teleology/anthropomorphism	23/11	Attribution of purposefulness, sentience or volition to physical phenomena	Entropy – disorder. All systems strive for disorder in all processes. The entropy is thereby the driving force for reactions to happen (before). Atoms/molecules strive to reach disorder, since they want most to be as spread out from each other as possible (before).
<i>Other categories</i>			
Literal	15/19	Statements that entropy is a state function, use of denotations (e.g. $S$ , $\Delta S$ ) or formulae (e.g. $dS = dQ_{rev}/T$ )	A physical state function. Denoted with $S$ (before). You can calculate it like this: $S = U/T + k \ln Q$ (after). $dS = dQ_{rev}/T$ . $S$ increases for every spontaneous process in an isolated system (after).
Concrete examples	14/22	Specific physical processes, or the third law of thermodynamics	A measure of order/disorder, e.g. a gas has higher entropy than a solid, as the molecules in the gas are free (before). A substance at 0 K and perfect crystalline structure cannot be ordered in different ways and the entropy is then 0 (after).

#### *Microscopic explanations are influenced by the chemistry disciplinary tradition*

Many of the students (34 % before, and 59 % after the course) describe *microscopic* aspects of entropy. This involves bringing up, for instance, the configuration or movement of atoms and molecules, or the multiplicity of microstates. Even though the course does not provide an in-depth statistical mechanics account, it fits within the chemistry disciplinary tradition of focusing on the molecular level of description (F. V. Christensen & Rump, 2008), aiming for explanations involving particle interaction (Becker et al., 2013).

Another identified category within the microscopic theme, which is more common in the answers after the course than before, is the connection between entropy and *movement* of particles. Prior to the course, this connection is typically quite direct, for instance: “The disorder of molecules, how much they move”. As we have seen, such an interpretation of disorder as microscopic movement in relation to entropy has been reported previously (Sözbilir & Bennett, 2007), and may indicate confusion between entropy and kinetic energy (Johnstone et al., 1977). After the course, however, entropy is not typically connected to movement as such, but to the potential or *freedom* for particles to move about: “Entropy is the molecules’ possibility to move freely. E.g. gas has high entropy. Solids have lower”, or “The ability for atoms/molecules to move/change places/how locked they are”. Indeed, in the responses after the course, there is a significant positive correlation between the movement and freedom categories ( $p = 0.00004$ ). Overall, responses involving freedom are much more prevalent after the course than before (from 2 to 22). Styer (2000) suggests using entropy as freedom in conjunction with the disorder metaphor. In our data, however, even though many of the descriptions involve both freedom and

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3 disorder, there is a significant negative correlation between these categories after the  
4 course ( $p = 0.005$ ).  
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7 Overall, several of the qualitative, figurative descriptions of entropy we have come  
8 across in the literature are mentioned by one or more of the students, including  
9 disorder, freedom to move about, spreading of energy, teleological striving, etc. The  
10 chemistry profile of these engineering students is once again emphasised by the fact  
11 that only one of them indirectly alludes to entropy as information (“how ‘well’ we can  
12 know where molecules are located”), and none sees entropy as a substance-like entity  
13 that flows into and out of a system, which could have been expected in other scientific  
14 disciplines (F. V. Christensen & Rump, 2008).  
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### 17 *Students come to problematise the disorder metaphor*

18 An overwhelming impression from the analysis of the students’ responses is the high  
19 proportion of them (67 % before the course, and 77 % afterwards) that, in some way  
20 or another, relate to the *disorder* metaphor. The strength in the conceived connection  
21 between entropy and disorder aligns with students that were studied by Gustavsson et  
22 al. (2013), but, as we have seen, contrasts with the students’ own sets of scientific  
23 concepts related to entropy. The responses in relation to disorder are rather diverse.  
24 Some of them involve unspecified identification, e.g.: “The entropy describes the  
25 disorder in a system”, or “entropy means disorder”, but most of them use disorder in  
26 conjunction with other categories. For instance, some exemplify entropy as disorder  
27 by use of *concrete examples*, such as phase changes: “A measure of order/disorder,  
28 e.g. a gas has higher entropy than a solid, as the molecules in the gas are free”. It  
29 should be noted that entropy as disorder typically – although not infallibly (Styer,  
30 2000) – gives adequate predictions of entropy changes in phase changes. As expected,  
31 some responses focus on spatial configuration, e.g.: “Disorder, high entropy -> high  
32 disorder, i.e. the molecules are spread out in a room, a beaker, etc. ...”. Furthermore,  
33 although Leff (1996, p. 1262) points out that the disorder metaphor is “entirely  
34 mysterious” from a macroscopic point of view, we found no correlation between  
35 students’ use of microscopic explanations and the disorder metaphor. We will come  
36 back to how the other categories connect to entropy as disorder as they are brought up  
37 below.  
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43 We can further follow the change that some of the students undergo in relation to the  
44 disorder metaphor from before to after the course, in terms of *problematizing* their  
45 previous understanding. In their responses, some of the students relate explicitly to  
46 the lecturer’s given limitations of the disorder metaphor. In the light of examples  
47 where visual order goes along with high entropy, some of these students draw the  
48 same conclusion as Lambert (2002): the disorder metaphor is no good. For instance,  
49 Lisa, who wrote that entropy is disorder prior to the course, relates to her previous  
50 response after the course: “Last time I answered that I thought it was order.  
51 Apparently, that was a dumb way to see things. How atoms distribute.” Still, her  
52 feeling that she has not quite grasped the concept remains: “Now, I know how to do  
53 calculations with it, but I still feel that it’s not very clear to me.” Similarly Anna has  
54 come to abandon the disorder metaphor, where the course has “clarified, taken away  
55 ‘disorder’ explanations”.  
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61 However, more students express that they have now a more nuanced view on the  
62 disorder metaphor. There are cases when it does not work very well: “I have realised

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3 that entropy cannot always be described as the degree of disorder in a system”, or  
4 “That entropy cannot be described only as a measure of disorder”. In particular,  
5 Karolina adopted the idea of entropy as the possibility for molecules to move and  
6 spread out. She reflects: “In the beginning of the course I saw entropy as ‘disorder’.  
7 Now I have a more nuanced view on entropy, according to [her description].” In all  
8 these cases, the disorder metaphor is problematised, and the students have developed  
9 an awareness that it does not always apply. There are good reasons to provide other,  
10 complementary explanations, but in line with Styer (2000), that does not necessarily  
11 mean that the disorder metaphor has to be avoided and completely abandoned.  
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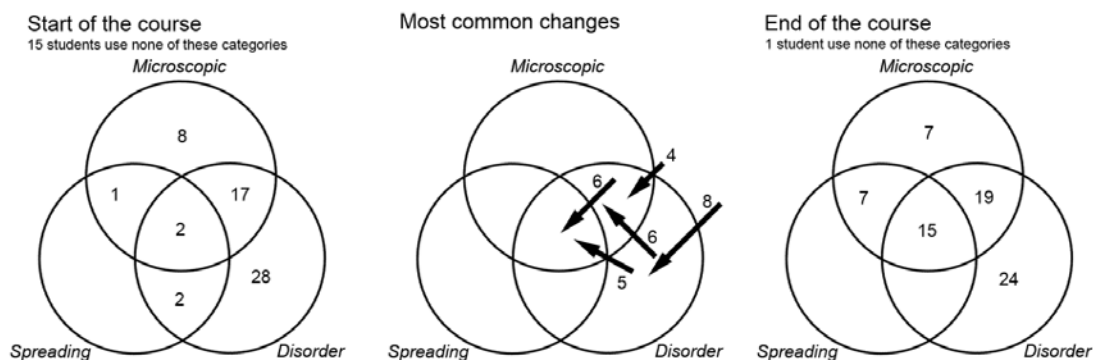
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15 *Students relate spreading to particles, not to energy*

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17 The category *spatial configuration* involves the relative location of the constituent  
18 particles of a system, e.g. the volume in which they reside, being near each other or  
19 spread out, or mixed up. The number of students who relate entropy to spatial  
20 configuration increased in the responses after the course compared to before the  
21 course (7 % before the course, and 25 % afterwards). As mentioned, one drawback of  
22 the disorder metaphor pointed out in the literature (Brosseau & Viard, 1992; Styer,  
23 2000) is that it may give an exclusive focus on spatial configuration. In our data,  
24 however, we see no such strong connection. Involving disorder in the descriptions  
25 before or after the course has no significant correlation with involving spatial  
26 configuration either before or after it.  
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30 As we have seen, Leff (1996, 2007) has proposed the introduction of entropy in terms  
31 of spreading of energy, which is adopted in the course literature (Atkins & De Paula,  
32 2010). However, only four of the students make use of descriptions relating to entropy  
33 as *spreading of energy* in terms of the distribution or dispersal of energy across  
34 energy levels after the course. In fact, all cases where students use expressions  
35 involving “spread” or “spreading” relate to the spreading of particles rather than  
36 energy, supporting the view that it is tempting to give the metaphor a spatial  
37 interpretation (Jeppsson et al., 2011); these instances were thus coded in the spatial  
38 configuration category. It may be the case that the interpretation of entropy as  
39 spreading of particles is particularly tempting within chemistry, with its focus in  
40 particle interactions (Becker et al., 2013).  
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44 *Students’ progress towards microscopic explanations has a systematic pattern*

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46 As we have seen, many students involve more of the identified categories in their  
47 explanations of entropy after the course. This increased richness primarily relates to  
48 increased use of the categories within the three themes covered so far: microscopic  
49 reasoning, disorder and spreading. An analysis of these themes was carried out  
50 utilising the broader categorical groupings from Table 1. Figure 2 shows Venn  
51 diagrams for how the uses of the categories within these themes relate and overlap  
52 before and after the course. Only one student does not relate to any of these categories  
53 after the course. Changes in themes related to in the explanations were observed for  
54 54 of the 73 students. The five most common change patterns observed are presented  
55 in the middle diagram. We see a systematic pattern of students going from an  
56 exclusive focus on disorder towards incorporating features of microscopic  
57 explanations and spreading. In addition, other students come to adopt disorder-based  
58 explanations they did not use before the course. In this regard, the disorder metaphor  
59 may be seen as a stepping stone towards a more complex understanding of entropy.  
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**Figure 2** Venn diagrams showing student use of the categories within the microscopic reasoning, disorder and spreading themes in their explanations before and after the course. The middle diagram shows the five most common changes in category usage. All figures indicate number of students.

### *Students relate entropy to energy in problematic ways*

As was identified in the analysis of the concepts that the students relate to entropy, its connection to energy was more specified after the course than before. This pattern is also reflected in the students' answers to what entropy means to them. Overall, however, the nature of the connection between entropy and energy was found to be problematic among the students. Prior to the course, similar to what was noticed by Carson and Watson (2002), quite a few of the students identify entropy vaguely as a part or form of *energy*: "It is part of the energy there is in a system", some of whom mention heat and work: "Entropy is the heat in a system that does not transform into work." Fewer examples are found among the responses after the course, but some remain, e.g.: "A measure of the energy that cannot become work, but disappears as heat." In our view, such descriptions indicate underlying confusion of entropy and energy, or in other words misconceptions of what entropy is.

In the analysis of the concepts the students relate to entropy, we also found many of them associating entropy with enthalpy. A closer look at the descriptions of what entropy means to them reveals a variety of the nature of the connection to enthalpy prior to the course. Some of the students admit to mixing them up: "I mix it up with enthalpy, but I think it is disorder", and one of them sees the concepts as contrary: "A measure of disorder, the opposite of enthalpy". In these examples, the fact that the students merely do not remember which label comes with which concept does not preclude that they may have an adequate understanding of the concepts themselves. In contrast, none of the descriptions of entropy after the course involve enthalpy.

### *Teleological reasoning help students acknowledge the second law of thermodynamics*

*Teleological* or *anthropomorphic* explanations were much more frequent before the course than after. In line with Talanquer (2007), following in the footsteps of Clausius (1865), these examples are dominated by expressions of the *second law of thermodynamics*, involving systems, nature, or the universe "striving" for maximum entropy or disorder, e.g.: "Entropy – disorder. All systems strive for disorder in all processes. Entropy is thereby the driving force for reactions to happen." Other examples of teleological or anthropomorphic expressions are: "It is more favourable



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3 to have a disordered structure”, and “...all systems always want higher disorder, i.e.  
4 high entropy”.  
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7 If teleological and anthropomorphic language were seen as an indication of a more  
8 primitive understanding or of limited explanatory value, its decreasing prevalence  
9 would be welcome. In this case, we would rather side with Talanquer (2007), in his  
10 argumentation that the idea of teleological striving may be useful in grasping the  
11 second law of thermodynamics. Our data shows that the teleological/anthropomorphic  
12 category is significantly positively correlated with relating to the second law of  
13 thermodynamics, both before ( $p < 0.00001$ ) and after the course ( $p = 0.0002$ ). In fact,  
14 18 of the 19 students who relate to the second law of thermodynamics before the  
15 course also involve teleological or anthropomorphic reasoning in their responses. In  
16 other words, without recourse to teleological expressions, students are less likely to  
17 connect entropy to the second law of thermodynamics, which we think is a central  
18 aspect of the concept. Furthermore, before the course, both mentioning of the second  
19 law of thermodynamics ( $p = 0.0002$ ) and the use of teleological/anthropomorphic  
20 reasoning ( $p = 0.0004$ ) are positively correlated to the use of the disorder metaphor,  
21 forming a cluster of three categories, as captured in statements like: “Entropy –  
22 disorder. All systems strive for disorder in all processes.” These significant  
23 correlations to disorder are not retained in the responses after the course ( $p = 0.32$  and  
24  $p = 0.47$ , respectively). In addition, there was no significant correlation between the  
25 teleology/anthropomorphic category and the microscopic category.  
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### 30 *Other explanations and reflections*

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32 Some of the students’ responses involve quite *literal* descriptions of entropy and its  
33 characteristics. For instance, it is identified as: “A physical state function. Denoted  
34 with  $S$ ” (before course), “You can calculate it like this:  $S = U/T + k \ln Q$ ”, in reference  
35 to the partition function formalism presented in the course, or “ $dS = dQ_{rev}/T$ .  $S$   
36 increases for every spontaneous process in an isolated system” (after course).  
37 However, surprisingly few answers relate to Clausius’s (or Boltzmann’s) formalism  
38 explicitly in this way.  
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41 Finally, many of the students admitted, before and after the course that they found  
42 entropy to be a difficult and abstract concept – particularly in the responses to the  
43 item “How has the course influenced your understanding of the entropy concept?  
44 Please give concrete examples.” –. For instance: “Now, I know how to do calculations  
45 with it, but I still feel it’s not entirely clear to me.” This view of thermodynamics, and  
46 particularly entropy, as abstract and restricted to mathematical formalism is  
47 recognised from previous research (e.g. Carson & Watson, 2002).  
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### 50 **Relationship to examination results**

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52 64 of the 73 students sat the exam, and there was a wide distribution of scores.  
53 Intriguingly, the combined exam results were not significantly correlated with *any* of  
54 the categories that emanated from the analysis of the pre- or post-questionnaires.  
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### 56 **Discussion**

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58 We first reflect on to what degree the purpose of the study has been fulfilled, and then  
59 draw conclusions from the study by revisiting the research questions. Finally we turn  
60 to discussing educational implications of the study, in particular in relation to the use  
of metaphors for entropy in science teaching.

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As for the overall purpose of the study, the students provided evidence of a broad range of explanations of what entropy is, and other scientific concepts they associate with entropy. We also see clear evidence of the involved students adopting a chemistry-specific perspective on thermodynamics. This is expressed in the phenomena and scientific concepts they bring up, but most characteristically, however, with a focus on microscopic, particle-based explanations (Becker et al., 2013; F. V. Christensen & Rump, 2008). When it comes to our ambition to evaluate the usefulness of different ideas of and metaphors for entropy, our results are less clear cut and require some elaboration.

## Conclusions

*What scientific concepts do engineering students relate to entropy, prior to and after a chemical thermodynamics course, and how are these concepts related to one another?*

Many of the participating second year engineering students relate entropy to Gibbs free energy and enthalpy before and after the course, which, as mentioned, clearly shows their adoption of a chemistry perspective on thermodynamics (F. V. Christensen & Rump, 2008). This association to Gibbs free energy and enthalpy was particularly pronounced among students at the Chemical Engineering programme, where they had taken many chemistry courses before the present course.

When comparing the responses from the questionnaires before and after the course, there is a trend towards abandoning a connection between entropy and an undifferentiated energy, and instead mentioning its connection to heat, heat capacity, internal energy and temperature.

It should further be noted that no students related entropy to disorder as a *scientific* concept, which points to their awareness of the metaphorical interpretation of the idea of entropy as disorder. This stands in stark contrast to the students' own interpretations of what entropy is and prior research where students were found to associate entropy strongly with disorder, when it was given in a list with concepts related to entropy found in the literature (Gustavsson et al., 2013).

*How do engineering students explain what entropy is prior to and after a chemical thermodynamics course?*

The explanations of entropy among the students in our study are dominated by the disorder metaphor, both before and after the chemical thermodynamics course. This is in spite of the fact that the idea of entropy as disorder has been removed from the textbook used (Atkins & De Paula, 2010), in response to critique of the metaphor in the chemistry education research community (e.g. Lambert, 2002), and even though the teacher of the course explicitly pointed out some of its limitations. Some of the students, however, express a more nuanced view of the disorder metaphor, by acknowledging that it has limitations or should be complemented by other ways to conceptualise entropy.

As the course was framed in chemistry education (F. V. Christensen & Rump, 2008), it comes as no surprise that a large and increasing proportion of the students make use of microscopic, molecular interpretations of entropy. In particular, several of the

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3 participants come to adopt the view of entropy as particles' freedom to move about,  
4 relying on but also elaborating on the metaphor of entropy as freedom (Brissaud,  
5 2005; Styer, 2000).  
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7

8 The proportion of students employing teleological reasoning (e.g. the universe strives  
9 for maximum entropy or disorder) decreases from the pre- to the post-questionnaire.  
10 However, the fact that reduced teleological reasoning comes along with fewer  
11 students pointing out the relevance of entropy in relation to the second law of  
12 thermodynamics – which we regard as a central aspect of entropy – confirms its  
13 usefulness for this particular topic (Talanquer, 2007).  
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16 Only a few students adopt the idea of entropy as in some way related to spreading  
17 after the course, and those who do relate it to spreading of particles, rather than  
18 spreading of energy, as suggested by Leff (1996). In other words, the spreading  
19 metaphor – just like the disorder metaphor – easily invites a focus on the spatial  
20 configuration of the constituent particles of a system, rather than constraining the  
21 focus to energy distributions.  
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24 *How do engineering students' explanations of and associations to entropy relate to*  
25 *their exam results on a chemical thermodynamics course?*  
26

27 Surprisingly, and possibly somewhat discouragingly, no correlations were found  
28 between the students' total exam scores and any of the categories of the students'  
29 conceptual understanding of entropy and related concepts.  
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32 One possible interpretation aligns with the findings of Carson and Watson (2002) that  
33 thermodynamics courses tend to focus on algebraic problem-solving, and that the  
34 connection between problem-solving skills and conceptual understanding in science  
35 courses is weak (Clement, 1982); in fact, in the current study problem-solving and  
36 conceptual understanding come across as completely orthogonal dimensions. An  
37 alternative, possibly more provocative interpretation, also consistent with our findings  
38 is that the different conceptions or metaphors of entropy are potentially equally useful  
39 or likely to be misinterpreted. For each metaphor, students need to become aware of  
40 its shortcomings as well as its merits. What is perhaps most puzzling with our  
41 findings is that problematisation of an earlier understanding of entropy has no  
42 correlation with exam results.  
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46 Then again, given the nature of the exam and the method of data collection, we should  
47 be cautious in reading too much into the lack of correlations. As seen in Appendix A,  
48 most exam items did not involve entropy explicitly. It may well be that a more fine-  
49 grained analysis of the responses to the individual test items would show correlational  
50 patterns, but they do not emerge at the aggregate score level.  
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### 53 **Accuracy and usefulness of the students' explanations**

54 In their framework for assessing whether a student's utterance is a scientific  
55 explanation, Taber and Watts (2000) use three criteria: it should have the formal  
56 structure of an explanation (e.g. using 'because', or 'therefore'); it should be logically  
57 consistent and fit the concerned phenomenon; it should match the norms of science,  
58 i.e. be 'right' (Taber & Watts, 2000). Admittedly, in the case of our study, it is  
59 difficult to assess the adequacy of the students' written responses and underlying  
60 ideas, due to their brevity and vagueness. Many of them are descriptions, in the form

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3 of “entropy is...”, rather than explanations. However, the responses still make  
4 possible reflections on the relative usefulness of different perspectives on entropy.  
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7 First of all, we would like to emphasise that metaphor is a ubiquitous feature of  
8 language (Lakoff & Johnson, 1980). We use metaphors frequently – and often  
9 unconsciously – in many different contexts, including everyday language, the science  
10 classroom, and scientific texts. Metaphor is not merely an ornamental rhetorical  
11 device, but something that we cannot do without in science learning and  
12 communication. The questions are whether a particular metaphor is apt, and whether  
13 our students have understood it in the way that it was intended by an author or  
14 teacher.  
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17  
18 As we have seen above, Taber and Watts (2000) argue that students’ language  
19 involving entities ‘trying’, ‘wanting’, or ‘liking’ has explanatory power only so long  
20 as these entities literally are animate; such use of anthropomorphic or teleological  
21 language lines of reasoning can only provide pseudoexplanations. From another  
22 perspective, Boyer (1996) points to research that has found that infants are able to  
23 distinguish between animate and inanimate objects and that pre-schoolers are able to  
24 make reasonable inferences based on such categories. Since even young children do  
25 not tend to confuse animate and inanimate entities, Boyer sees the prevalence of  
26 animistic and anthropomorphic reasoning across cultures as counter-intuitive and  
27 puzzling at first glance. However, he argues that it is precisely this counter-intuitive  
28 character that makes anthropomorphic reasoning attractive and attention grabbing. In  
29 this regard, he resonates with Duit’s (1991, p. 650) take on the use of metaphors in  
30 science education: “Metaphors always have an aspect of surprise; they provoke  
31 anomaly”, and Lemke’s (1990) view that metaphorical language in the science  
32 classroom is attractive just because of its rule-breaking character.  
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37 In our view, when one of the student writes: “The universe strives for, like, high  
38 entropy...”, we think that he is aware of the figurative nature of the expression, in  
39 relation to an inanimate quality of nature, i.e. a case of ‘weak’ anthropomorphism  
40 (Taber & Watts, 1996). In fact, this may help to remind students that entropy is not a  
41 conserved quantity, a mistake many students have been found to make (W. M.  
42 Christensen et al., 2009). In this regard, we argue that anthropomorphic and  
43 teleological reasoning may be useful for students’ understanding of science, in  
44 particular in relation to so theoretically and empirically well-grounded ideas as the  
45 second law of thermodynamics (Talanquer, 2007).  
46  
47

48  
49 On the other hand, in line with Taber and Watts (1996), we acknowledge that the  
50 enticement of anthropomorphic and teleological reasoning makes novices vulnerable  
51 to overgeneralisation. For instance, from our human experience at the macroscopic  
52 scale, it is tempting to infer – erroneously – that heat is generated and accumulated  
53 when atoms collide with each other, or that energy is needed as an ingredient, rather  
54 than released, when a chemical bond is formed. In this regard, we find some  
55 problematic student statements in our data, such as: “Atoms/molecules strive to reach  
56 disorder, since they want most to be as spread out from each other as possible.” From  
57 this sentence, we suspect that the student has not fully grasped the randomness of the  
58 spatial distribution of the particles, implying that the particles are repelled from each  
59 other, as if they were equally charged particles. Students also have to be made aware  
60 of the difference between ‘weak’ anthropomorphism used as a pedagogical tool, and

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‘strong’ anthropomorphism, which might be relevant for instance in a biological context. More fundamentally, from the point of view of the nature of science, students need to understand the relation between cause and effect, and thereby the difference between teleological and properly causal explanations. Teleological explanation may serve as a useful intermediate stepping stone in education, but students should be encouraged to eventually grasp the underlying causal mechanisms.

In contrast to these ambiguous cases of metaphorical language, some of the students’ explanations involving the relation between entropy and aspects of energy are more unequivocally inaccurate. For instance, the statement: “Entropy is the heat in a system that does not transform into work”, provided before the course, reveals a poor understanding of entropy, but also of heat and work as process variables. Confusion of entropy and energy may be an underlying reason for believing that entropy is a conserved quantity (W. M. Christensen et al., 2009). In this regard, we were happy to see that the prevalence of such statements decreased considerably in the responses after the course.

### **Implication for the chemistry education research and practice**

As a reflection on the methods of data collection and data analysis assumed in the present study, we strived for gathering relatively rich, qualitative data, involving the majority of the students taking a course. This can be seen as an exploratory approach to collecting initial empirical data to shed light on a matter which hitherto has been debated from a theoretical perspective. In addition, although the study is framed as educational research, parts of the approach might be suitable for course development purposes or as diagnostic tests, in order to probe the range of students’ ideas within the regular teaching practice.

As we have seen, the disorder metaphor has received considerable criticism in science education research (Lambert, 2002; Leff, 1996; Wei et al., 2014), and there is a deliberate effort to convince textbook authors and teachers not to use it (Lambert, 2014). Although acknowledging many of the drawbacks of identifying entropy as disorder, we would like to call for some moderation. Data from the present study show that students’ use of the disorder metaphor or not in coming to understand entropy has no correlation with problem-solving ability in a chemical thermodynamics course. This does not support the view that the metaphor is detrimental for thermodynamics teaching (Lambert, 2002; Wei et al., 2014), but it is not very useful in its own right either.

In our view, the disorder metaphor serves well in early introductions of entropy. In particular, it may be used to explain the possibility of spontaneous endothermic reactions, or that a substance typically increases in entropy as it changes from solid, through liquid, to gas phase, although as emphasised by Styer (2000) and others not without exceptions. In addition, we found that students’ connection of entropy to the second law of thermodynamics before the course was positively correlated to seeing entropy in terms of disorder, and the use of teleological reasoning; a valuable contribution of the otherwise criticised ways of approaching the concept. In fact, in the interview with the lecturer where we presented the main outcomes of the study, he found it valuable to get to know that fewer students connected entropy to the second law of thermodynamics after the course than before it. He explained this as a consequence of the microscopic focus of the course, and thought, in line with



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3 Baierlein (1994), that more effort might be spent towards the end of the course to  
4 connect the microscopic interpretations to the macroscopic level.  
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7 Admittedly, as pointed out by Leff (1996), the disorder metaphor may seem  
8 mysterious from the point of view of macroscopic thermodynamics, in relation to  
9 Clausius's formalism:  $dS = dQ_{rev}/T$ . However, the metaphor is probably less cryptic  
10 within a chemistry tradition, with its inherent focus on molecular interactions (F. V.  
11 Christensen & Rump, 2008). Furthermore, in order to encourage a more nuanced  
12 interpretation of the disorder metaphor, Pflug (1983) proposes distinguishing between  
13 a static, configurational 'desk type disorder' and a more dynamic 'disco type  
14 disorder'. With the disco type disorder in mind, there is a better opportunity to  
15 illustrate the influence of a system's energy on its entropy.  
16  
17

18 Some of the students express that they have come to problematise the disorder  
19 metaphor as they engaged with the course, in response to the lecturer pointing out its  
20 limitations. This is encouraging. As pointed out by Glynn (1989), analogies and  
21 metaphors are double-edged swords, and every metaphor breaks down at some point.  
22 As some of these students realise, however, awareness of a metaphor's limitations  
23 does not mean that it has to be abandoned altogether. It is also interesting to note that  
24 the students bring up limitations only of the disorder metaphor. Ideally, all metaphors  
25 or models that are introduced in teaching should undergo the same type of scrutiny  
26 with regards to the scope of their applicability.  
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30 As a final word, previous recommendations for teaching about entropy have  
31 sometimes focused on finding *the* best metaphor, be it freedom, spreading or  
32 something else. The present study, in contrast, shows that students are able to  
33 coordinate several metaphors simultaneously, including entropy as disorder, freedom  
34 and movement. Each of these metaphors has the potential to illustrate different  
35 aspects of the topic at hand. In this way, we would encourage the introduction of  
36 several ways to conceive of the highly complex concept of entropy, rather than using  
37 one approach only.  
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#### 40 **Acknowledgement**

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44 Uppsala University.  
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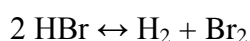
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3 **Appendix A – English translations of the exam items that explicitly involve**  
4 **entropy**  
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7 1. (a) Specify for the following reaction, with justification, whether  $\Delta H^\theta$  and  $\Delta S^\theta$ ,  
8 respectively, should be negative or positive.  
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13 3. (a) 0.750 moles of hexane evaporates at its *normal* boiling point (69 °C). The  
14 enthalpy of vaporization is 331.8 J g<sup>-1</sup> and the vapour phase is assumed to be  
15 ideal. Calculate  $\Delta H$ ,  $\Delta S$ , and  $\Delta G$ .  
16

- 17  
18 7. For the gas phase reaction



21  
22 the equilibrium constant has been measured at different temperatures, which  
23 has yielded the following expression (where  $T$  is the temperature in Kelvin)  
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$$\ln K = -6.375 + 0.6415 \ln T - \frac{11790}{T}$$

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29 Use this expression to calculate  $\Delta H^\theta$ ,  $\Delta S^\theta$ ,  $\Delta G^\theta$ , and  $\Delta C_p^\theta$  for the reaction at 80  
30 °C.  
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