

**Progresses in Reactor Engineering of Controlled Radical
Polymerization: A Comprehensive Review**

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Progresses in Reactor Engineering of Controlled Radical Polymerization: A Comprehensive Review

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Abstract

Controlled radical polymerization (CRP) represents an important advancement in polymer chemistry. It allows synthesis of polymers with well-controlled chain microstructures. Reactor engineering is essential in bringing lab-scale chemistry to industrial realization. This paper reviews the research progress in reactor engineering of CRP, namely, atom transfer radical polymerization (ATRP), reversible addition-fragmentation chain transfer radical polymerization (RAFT), and nitroxide-mediated stable free radical polymerization (NMP or SFRP). Research activities in semi-batch reactor, tubular reactor, and continuous stirred-tank reactor (CSTR) of both homogeneous (bulk and solution) and heterogeneous (emulsion, mini-emulsion, heterogeneous catalyst, etc.) CRP systems are summarized. Typical examples are selected and discussed in detail. Perspectives on the current status and future development are also provided.

Keywords: controlled radical polymerization, CRP, reactor engineering, tubular reactor, continuous stirred tank reactor, CSTR, semi-batch reactor

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1 **Abbreviations**

2

3	ATRP	Atom transfer radical polymerization
4	AGET ATRP	Activator generated by electron transfer ATRP
5	ARGET ATRP	Activator regenerated by electron transfer ATRP
6	ICAR ATRP	Initiator for continuous activator regeneration ATRP
7	SARA ATRP	Supplemental activator and reducing agent ATRP
8	SET-LRP	Single-electron transfer living radical polymerization
9	CCD	Copolymer composition distribution
10	CF	Constant feeding
11	ICF	Increasing constant feeding
12	CRP	Controlled radical polymerization
13	CRcoP	Controlled radical copolymerization
14	CSTR	Continuous-stirred tank reactor
15	FRP	Conventional free radical polymerization
16	MMFP	Model-based monomer feeding policy
17	NMP	Nitroxide-mediated polymerization
18	PF	Programmed feeding
19	PFTR	Plug flow tubular reactor
20	RAFT	Reversible addition-fragmentation chain transfer
21	RT	Residence time
22	RTD	Residence time distribution
23	MWD	Molecular weight distribution
24	MW	Molecular weight
25	U	Uniform
26	LG	Linear gradient
27	SG	“S” shape gradient
28	DB-1	Di-block
29	DB-2	Di-block with a gradient block

1	TB-1	Tri-block
2	TB-2	Tri-block with one middle gradient block
3	TB-3	Tri-block with two terminal gradient blocks
4	PDI	Polydispersity index (also referred to as dispersity, \bar{D})
5		
6	Chemical abbreviations	
7	2-MPA	2-Methoxypropyl acetate
8	AA	Acrylic acid
9	AcGalEA	2-(2',3',4',6'-Tetra-o-acetyl- d-galactosyloxy)ethyl acrylate
10	AM	Acrylamide
11	AN	Acrylonitrile
12	AS	4-Acetoxystyrene
13	BA	n-Butyl acrylate
14	BIEM	2-(2-Bromoisobutyryloxy) -ethyl methacrylate
15	BisAM	N,N'-Methylenebis(acrylamide)
16	BMA	n-Butyl methacrylate
17	Bu	Butadiene
18	BzMA	Benzyl methacrylate
19	DFMA	Dodecafluoroheptyl methacrylate
20	DMA	N,N-Dimethylacrylamide
21	DMAEMA	2-(Dimethylamino)ethyl methacrylate
22	DMF	N,N-Dimethylformamide
23	DMSO	Dimethyl sulfoxide
24	EHA	2-Ethylhexyl acrylate
25	EO	Ethylene oxide
26	EtOAc	Ethyl acetate
27	HEMA	2-Hydroxyethyl methacrylate
28	HEMA-TMS	2-(Trimethylsilyl)ethyl methacrylate
29	HFBMA	2,2,3,3,4,4,4-Heptafluorobutyl methacrylate

1	HPMA	2-Hydroxypropylmethacrylate
2	IBA	Isobornyl acrylate
3	LMA	Lauryl methacrylate
4	MA	Methyl acrylate
5	MMA	Methyl methacrylate
6	MS	4-Methylstyrene
7	NIPAM	N-Isopropyl acrylamide
8	NM2P	N-Methyl-2-pyrrolidone
9	ODA	Octadecyl acrylate
10	St	Styrene
11	tBA	tert-Butyl acrylate
12	tBMA	tert-Butyl methacrylate
13	TFEMA	2,2,2-Trifluoroethyl methacrylate
14	VAc	Vinyl acetate
15		
16		

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8

9 **1. Introduction**

10

11 Free radical polymerization is one of the most commonly employed processes for large-scale
12 production of polymers, owing to its versatility in polymerizing a wide range of monomer types
13 under facile conditions. However, in the conventional free radical polymerization (FRP) system, a
14 polymer chain grows to completion in a matter of seconds. The almost-instantaneous growth makes
15 it difficult to impose control over the polymer architectures. In addition, each polymer chain
16 experiences different growing environment, depending on the time it is initiated during the
17 polymerization period. This different experience coupled with the presence of various side
18 reactions, such as termination and transfer, result in polymers possessing a broad molecular weight
19 distribution (MWD).^{1,2} Meanwhile, there is a growing market demand for polymers with well-
20 controlled architectures and narrow MWD to obtain highly tailored properties required in specific
21 applications.

22

23 Living polymerization was discovered by Szwarc in 1956.³ The advent of living polymerization,
24 including anionic⁴⁻⁷ and cationic,⁸⁻¹⁰ has made it possible to synthesize polymer materials with well-
25 controlled and complex architectures. In an ideal living polymerization system, all polymer chains
26 are initiated at the beginning of polymerization and continue to propagate throughout the whole
27 polymerization period, providing same experience for all chains. The side reactions, such as chain
28 transfer and termination, are absent in this system when conducted under an appropriate condition.
29 As a result, the polymers synthesized by living polymerization possess narrow MWD. However,
30 there are two main disadvantages of living polymerization: (1) only a limited variety of monomers

1 can be polymerized through this mechanism (due to incompatibility between the active center of
2 propagating chains with functionalized monomers); (2) the required experimental conditions are
3 stringent to avoid undesirable side reactions (water, oxygen, and other impurities must not be
4 present). These two disadvantages severely limit the use of living polymerization in the industry.

5

6 Researchers have been trying to combine the advantages of FRP and living polymerization.¹¹⁻¹⁴ As a
7 result, controlled radical polymerization (CRP) was discovered in the 1980's. CRP, also referred to
8 as reversible deactivation radical polymerization (RDRP),¹⁵ provides middle ground between these
9 two extremes of chain-growth polymerization mechanisms. In CRP, polymerization proceeds in a
10 controlled manner, with active chains growing throughout the polymerization, along with
11 suppression of side reactions. The improved livingness and control in CRP, when compared to FRP,
12 produces narrowly distributed polymer chains, which is expected to have MWD between those
13 produced by living polymerization and FRP.^{16,17} This method also allows polymer chains to be
14 extended to form block copolymers more efficiently. Furthermore, because the lifetime of
15 propagating radical chains is extended from a matter of seconds to hours, this allows design and
16 control of detailed chain microstructural properties from end to end along backbone, by various
17 engineering means. It also provides sufficient time for polymer chains to come into contact with
18 each other, which is especially useful for branching and cross-linking polymerization. Therefore,
19 CRP has opened a path to control the polymer architectures. Polymer materials with controlled MW
20 and narrow MWD, along with well-defined architectures, have been extensively synthesized by
21 CRP in homogeneous and heterogeneous systems.^{2,18-21}

22

23 What's surprising when conducting literature review in CRP is the big gap between the limited
24 industrial applications and the extensive research works in the lab.²² Majority of the research work
25 on CRP are conducted in small-scale batch reactors, usually in round-bottom flasks. Reactor
26 engineering of CRP can help overcome this gap and bridge the lab-scale research to industrial
27 applications.²³ The use of various reactor configurations must be evaluated for the different CRPs
28 and targeted products to ensure that appropriate choices are made. There have been some major
29 works done in utilizing reactor engineering concepts to further the development of CRP. The

1 pioneering work on investigating the use of semi-batch reactors for CRP was reported by
2 Matyjaszewski's group in 1997 under constant comonomer feeding rate operation.²⁴⁻²⁶ Their work
3 demonstrated that semi-batch reactor allows better control over copolymer composition distribution
4 (CCD) than batch reactor. However, constant feeding rate operation still lacks in versatility to
5 control CCD and to tailor the synthesis of copolymers with more sophisticated CCD. Zhu's group
6 first introduced programmed feeding rate operation for CRP in semi-batch reactor, whereby the
7 comonomer feeding rate was controlled according to mathematical model.²⁷⁻³³ By using model-
8 based design to vary the feeding rate, the CCD could be designed at will and tailored as required to
9 a higher degree of precision. The development of CRP into continuous processes was pioneered by
10 Zhu's group.³⁴⁻³⁶ This first work for continuous CRP utilized packed column reactors in order to
11 overcome the drawback of batch reactor and to eliminate the need for costly catalyst separation.

12

13 In this section, the basic reaction mechanisms of various types of CRP will be briefly discussed,
14 followed by introduction of the reactor types commonly employed in the polymer industry. The last
15 part of this section provides an outline of this review.

16

17 **1.1. Controlled radical polymerization (CRP)**

18

19 CRP can be divided into three main types based on the reaction mechanism^{22,37,38}: (1) reversible
20 deactivation by atom transfer^{37,39-41}; (2) reversible deactivation by degenerative transfer⁴²⁻⁴⁵; (3)
21 reversible deactivation by coupling⁴⁶⁻⁴⁸. The three most studied types of CRP following each of
22 these mechanisms are atom transfer radical polymerization (ATRP),^{37,39-41} reversible addition-
23 fragmentation chain transfer (RAFT) polymerization,^{42,49-51} and nitroxide-mediated polymerization
24 (NMP).^{46,52,53} For brevity sake, this review only covers these three types of CRP.

25

26 **1.1.1. ATRP**

27

28 ATRP, first reported in 1995³⁹ has the generally accepted mechanism shown in Scheme 1.^{37,54,55}

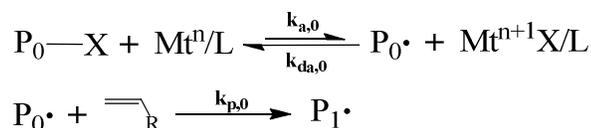
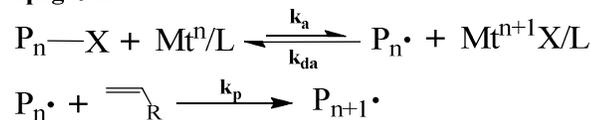
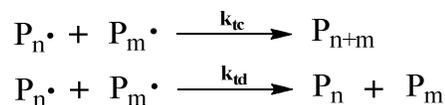
29 There are two steps involved in the initiation process of ATRP. The first is the generation of radical

1 (P_0^\bullet) by the homolytic cleavage of the alkyl halogen bond of the ATRP initiator, *i.e.*, the alkyl
 2 halide (P_0-X), through oxidation of the transition metal complex (Mt^n/L) with an initial activation
 3 rate constant $k_{a,0}$.⁵⁵ The second step involves propagation of the initial radical with a vinyl monomer
 4 (propagation reaction rate constant $k_{p,0}$) to form a propagating radical chain with one monomer unit
 5 (P_1^\bullet). Propagating chains (P_n^\bullet) can undergo further propagation (k_p) and grow longer as polymer
 6 chains. Alternatively, these chains can also undergo reversible deactivation with a deactivation rate
 7 constant k_{da} , to form a halide-capped dormant chain (P_nX).^{54,55}

8

9 During the activation-deactivation of dormant-radical pairs, the metal complex, Mt^n/L , forms a
 10 higher oxidation state complex ($Mt^{n+1}X/L$). The metal complexes, Mt^n/L and $Mt^{n+1}X/L$, consist of
 11 transition metal (Mt) and ligand (L), which is commonly nitrogen-based. Transition metal that is
 12 commonly used in ATRP is copper (Cu), however other metals (*e.g.*, Fe , Ru , Pd) have also been
 13 used.^{55,56} These metal complexes act as a catalyst and a deactivator in ATRP system.

14

Initiation:**Propagation:****Termination:**

15

16

Scheme 1. A general mechanism of ATRP^{37,54,55}

17

18 As a result of the activation-deactivation reactions, a dynamic equilibrium between P_n^\bullet and P_nX is
 19 established. In this equilibrium, the deactivation reaction is much more favored than the activation
 20 reaction ($k_{da} \gg k_a$), resulting in a much higher concentration of dormant chains than that of the
 21 propagating radical chains. In addition to these reactions, the propagating radical chains can also be

1 terminated by either combination (k_{tc}) or disproportionation (k_{td}) to form dead chains.

2

3 ATRP has played a very important role in the development of polymer chemistry to produce highly
4 tailored polymer architectures. However, the high catalyst loading and residual transition metal
5 present in the final polymer products limit the commercial exploitation of ATRP, due to the high
6 post-polymerization separation cost needed. Therefore, one of the main questions in ATRP
7 commercialization is on how to reduce the amount of copper, while maintaining a moderate
8 polymerization rate and an acceptable level of control. In recent years, researchers have developed a
9 series of modified ATRPs requiring less catalyst to overcome this problem. The new ATRP are: (1)
10 simultaneous reverse and normal initiation (SR&NI)⁵⁷; (2) activator generated by electron transfer
11 (AGET)^{58,59}; (3) initiators for continuous activator regeneration (ICAR)⁶⁰⁻⁶²; (4) activators
12 regenerated by electron transfer (ARGET)⁶³⁻⁶⁶; (5) single-electron transfer-living radical
13 polymerization (SET-LRP)⁶⁷⁻⁷¹; (6) electrochemically mediated ATRP (eATRP)⁷² and
14 photochemically mediated ATRP (photoATRP)⁷³. Albeit being referred to as SET-LRP in some
15 works, Matyjaszewski's group used both experimental and simulation approaches to show that the
16 polymerization occurs based on the mechanism of supplemental activator and reducing agent
17 (SARA) ATRP, not SET-LRP mechanism.⁷⁴ However, the term SET-LRP will be used in this review
18 to maintain consistency with the original articles. Among these new variants of ATRP, normal
19 ATRP, ARGET ATRP, and SET-LRP are the most commonly used in semi-batch and continuous
20 reactors. Several universities and companies have participated in the commercialization effort of
21 ATRP.^{22,75}

22

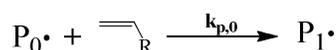
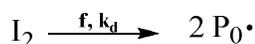
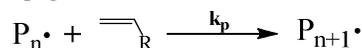
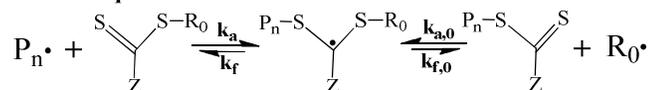
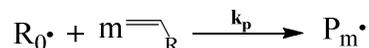
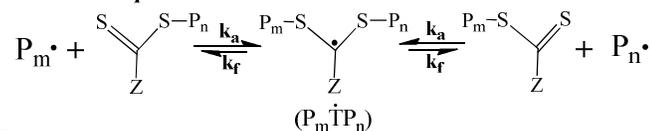
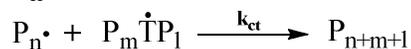
23 **1.1.2. RAFT**

24

25 RAFT polymerization was discovered by CSIRO group in Australia in the late 1990's.^{42,76,77} During
26 the same period, a technology called Macromolecular Design by Interchange of Xanthate (MADIX)
27 was developed in France.⁷⁸ The MADIX mechanism is a special case of RAFT process with the use
28 of xanthate as chain transfer agent. Scheme 2 gives the general mechanism of RAFT.^{42,79} The
29 initiation stage of RAFT involves the generation of initial radicals by conventional initiators, which

1 is followed by propagation with monomers to form propagating radical chains (P_n^\bullet). Aside from
 2 adding monomers, the initial radical can also react with a RAFT agent to form a primary
 3 intermediate radical chain with initial addition rate constant $k_{a,0}$.⁴² This primary intermediate radical
 4 chain can reversibly fragment to release the initial radical (P_0^\bullet) from the RAFT agent (with rate
 5 constant $k_{f,0}$), which can also initiate polymerization.⁴² There is a dynamic equilibrium between
 6 propagating radical chains, intermediate radical chains, and dormant chains through reversible
 7 addition and fragmentation reactions.

8

Initiation:**Propagation:****Chain Pre-equilibrium:****Reinitiation and Propagation:****Chain Core-equilibrium:****Termination:****Scheme 2. A general mechanism of RAFT^{42,79}**

9

10

11

12 There are two theories in choosing the value of reaction rate constants in RAFT polymerization,
 13 namely slow fragmentation and intermediate termination theories.⁸⁰⁻⁸³ As the name suggests, slow
 14 fragmentation theory proposes that the fragmentation reaction of intermediate radical occurs at a
 15 slow rate. Moreover, this theory assumes intermediate radical does not undergo any other reaction
 16 but fragmentation. This results in a long lifetime of the intermediate radicals (*i.e.*, $k_{ct} = 0$ and low

1 k_f).⁸⁰ On the other hand, the intermediate termination theory proposes that the intermediate radical
2 chains cannot propagate with monomers, but undergo fast fragmentation and can also cross
3 terminate with propagating radical chains (*i.e.*, $k_{ct} \neq 0$ and high k_f).^{81,83} Therefore, dead chains are
4 generated by self-termination of propagating radical chains (k_t) and cross-termination between
5 propagating radical chains and intermediate radical chains (k_{ct}).⁷⁹

6

7 Compared to ATRP system, conducting polymerization by RAFT is simpler. A controlled
8 polymerization can usually be obtained by a simple addition of RAFT agents into conventional free
9 radical polymerization system. Furthermore, some RAFT agents have already been made
10 commercially available.²² Similar to ATRP, industrial development of RAFT technologies has also
11 been reported.⁸⁴⁻⁸⁶

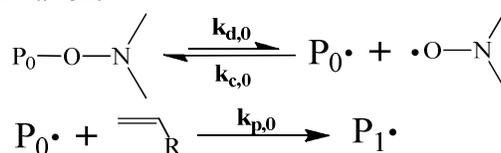
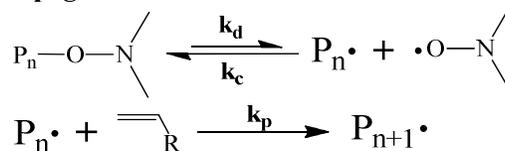
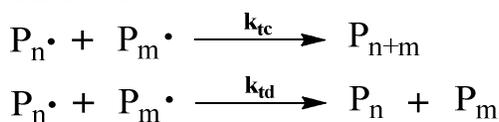
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13 1.1.3. NMP

14

15 NMP was developed by Dupont⁸⁷ and Xerox⁴⁶ by using 2,2,6,6-tetramethyl-1-piperidinyloxy
16 (TEMPO) as the control agent. Scheme 3 shows a general mechanism of NMP.⁸⁸ Initial free radical
17 (P_0^\bullet) and stable nitroxide radical are generated by homolytic dissociation of alkoxyamine-based
18 initiator with an initial dissociation rate constant of $k_{d,0}$. This initial radical can then propagate with
19 monomers (with rate constant $k_{p,0}$) to form propagating radical chains (P_n^\bullet), or it can reversibly
20 recombine with stable nitroxide radical ($k_{c,0}$).⁸⁸ The stable nitroxide radical acts as a control agent
21 that cannot react with monomers or participate in any other reaction other than the reversible
22 combination reaction with active radicals (P_0^\bullet and P_n^\bullet).⁸⁸ Other than reacting with monomer (k_p)
23 and reversibly combining with stable nitroxide radical (k_c), the propagating radical chains P_n^\bullet can
24 also irreversibly terminate (k_t) to form dead chain.⁸⁹ Progresses on the industrial development of
25 NMP technologies have been reported in the literature.^{22,90}

26

Initiation:**Propagation:****Termination:****Scheme 3. A general mechanism of NMP⁸⁸**

1

2

3

4 By comparing the mechanisms of ATRP, RAFT and NMP, it is clear that the core of CRP lies in the
 5 equilibrium or reversible transfer between dormant and propagating radical chains. Owing to this
 6 reversible transfer, propagating chains have longer lifetime, *i.e.*, continuous growth throughout the
 7 course of polymerization. Moreover, termination is suppressed and all the polymer chains grow at
 8 the same time, thus are exposed to the same growing environment. This results in a linear growth of
 9 molecular weight (MW) with respect to conversion and a narrow molecular weight distribution
 10 (MWD).

11

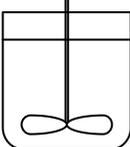
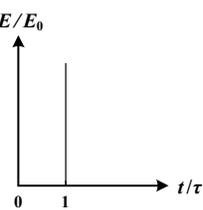
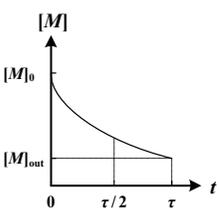
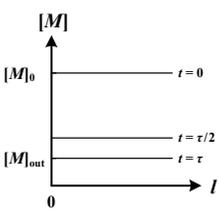
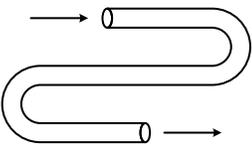
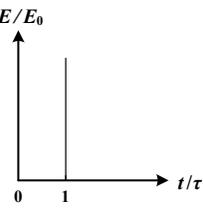
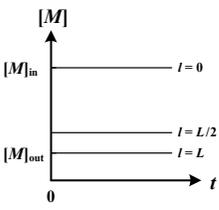
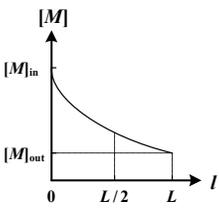
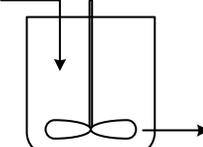
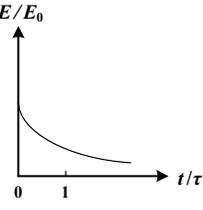
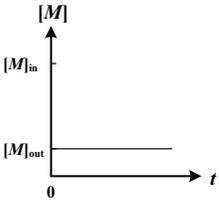
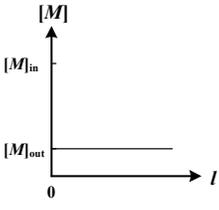
1.2. Reactor type

13

14 The type of reactors used (continuous, semi-batch, and batch reactors) has a significant impact on
 15 the polymerization behavior and the resulting polymer properties, such as MWD and copolymer
 16 composition distribution (CCD). This is because different reactors have different residence time
 17 distribution and concentration profiles, as shown in Scheme 4. Therefore, one must carefully
 18 consider the reactor type (or the use of multiple reactors in various configurations) in order to
 19 efficiently and precisely synthesize various polymers. For example, semi-batch reactor is widely
 20 used in the production of copolymers to avoid composition drifting. Semi-batch reactor is not

1 included in Scheme 4 because of the endless possible curves that can be obtained by varying the
 2 flow rate.

3

Reactor types	Residence time distribution	Concentration profile	
		with time	with location
Batch reactor 			
Tubular reactor 			
CSTR 			

4

5 **Scheme 4. Residence time distribution (RTD) and concentration profiles of ideal reactors. The**
 6 **symbols t , τ , l , and L represent the reaction time, residence time, location inside the reactor,**
 7 **and total length of tubular reactor, respectively. E/E_0 and $[M]$ represent fraction of reaction**
 8 **mixture having residence time between t and $(t + dt)$ and the concentration of a reactant,**
 9 **respectively**

10

11 Ideal continuous reactors that are usually considered in the polymerization industry are the tubular
 12 reactor (also referred to as plug-flow tubular reactor, PFTR) and the continuous stirred tank reactor
 13 (CSTR). Residence time distribution (RTD) is an important parameter for continuous reactors,
 14 because it greatly influences the resulting MWD. The effect of RTD depends on the residence time
 15 (RT) of polymer chain relative to the time needed to form a complete chain, *i.e.*, it depends on the
 16 polymerization mechanism. For FRP, an individual chain completes in seconds, which means the

1 RT of polymer chain is much longer than the time needed for that chain to fully grow. Therefore,
2 the MW of an individual chain is not affected by its RT. The MWD of the instantaneous chain
3 population generated at a small time interval is not affected much by the RTD. However, for CRP,
4 the RT of polymer chain is smaller than the formation time of the chain, since polymer chains
5 continue to grow as long as they are in the reactor. Therefore, the RTD has a strong impact on the
6 resulting MWD for CRP.

7

8 The type of reactors not only affects the MWD of polymers, but also affects the resulting CCD. It is
9 well known that CCD is mainly dependent on the mole ratio of two monomers and their reactivities,
10 therefore varies with reactor types due to different concentration profiles (See Scheme 4). In a batch
11 reactor or in a tubular reactor, for a given feeding ratio of two monomers, "drifting" in CCD is
12 usually observed due to different monomer reactivity. However, in CSTR, CCD is uniform along
13 polymer chain due to the constant mole ratio of two monomers at any given instance.

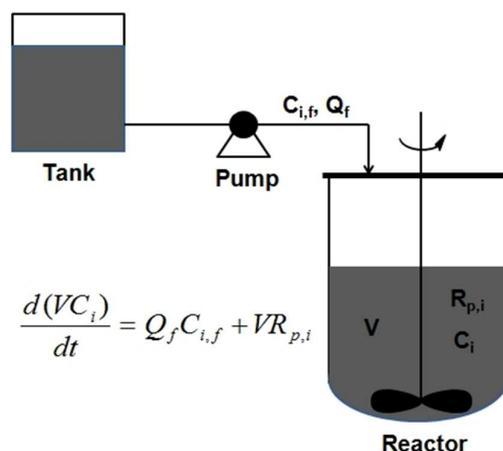
14

15 **1.2.1. Semi-batch reactor**

16

17 In a semi-batch reactor, some reactants are fed into the reactor continuously, as shown in Scheme 5,
18 or some by-products are removed from the reactor during the polymerization process. Control of the
19 reaction rate is easily accomplished in a semi-batch reactor by feeding monomers and/or initiator,
20 which is also beneficial for controlling the heat removal and product properties.

21



Scheme 5. Schematic of a semi-batch reactor

One of the main advantages of using a semi-batch reactor is the ability to control the copolymer composition distribution (CCD). In a batch reactor, CCD drifts due to different reactivities of monomers. On the other hand, in a semi-batch reactor, the problem of CCD drifting can be readily solved by feeding comonomers into the reactor to maintain a fixed concentration ratio of monomers in the reactor. Furthermore, similar to linear copolymerization, monomer feeding is also effective to control topologies in nonlinear copolymerization.

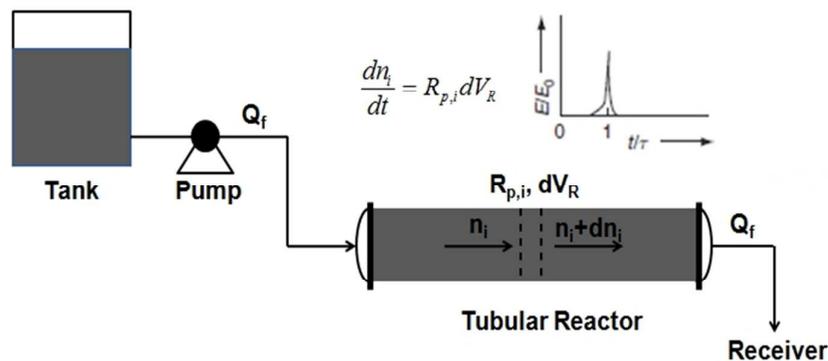
Another advantage of using a semi-batch reactor is the control over branching or cross-linking density distribution. This is achieved by feeding monomers to maintain a certain concentration ratio of monovinyl monomer and divinyl monomer. Moreover, gelation can be avoided by feeding divinyl monomers to maintain a low concentration of divinyl monomers in the reactor. Control of CCD and topologies in semi-batch reactors has been well investigated.⁹¹⁻⁹⁴ Polymer products with low molecular weight can also be produced by feeding monomer and initiator with a defined ratio.

1.2.2. Tubular reactor

Tubular reactor is a type of continuous reactors illustrated in Scheme 6. In a tubular reactor, reactants are fed continuously into the reactor, while the products and unreacted reactants are removed continuously from the reactor. When the operation is at a steady state, unique properties of

1 products (such as uniform MWD and CCD) can be obtained. The RTD of an ideal tubular reactor is
 2 a Dirac delta function, similar to that of a batch reactor (See Scheme 4). Thus, the reaction kinetics
 3 and the polymer properties synthesized using CRP in a tubular reactor are expected to be similar to
 4 those produced in a batch reactor.

5



6

7

Scheme 6. Schematic of a tubular reactor

8

9 One of the biggest selling points of using a tubular reactor is the excellent heat removal due to its
 10 large surface-to-volume ratio. Therefore, in terms of safety, tubular reactor is more advantageous
 11 than batch reactor and continuous stirred-tank reactor (CSTR). However, tubular reactor usually
 12 faces mixing problem, which may broaden the RTD away from the Dirac delta function, as shown
 13 in Scheme 6. This affects the properties of polymers produced in a tubular reactor to deviate from
 14 those produced in a batch reactor. Solutions available for the mixing problem include the design of
 15 modified tubular reactors, including loop reactors, wicker-tube reactors and pulsed-flow reactors.

16

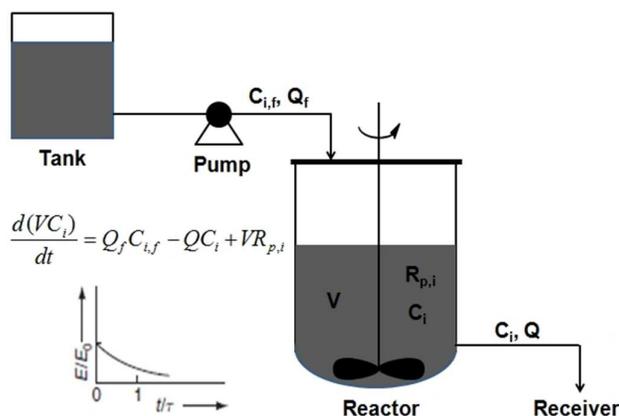
17 1.2.3. Continuous stirred tank reactor (CSTR)

18

19 CSTR (shown in Scheme 7) is another type of continuous reactors that has the advantages of
 20 uniform product property, low operating cost, and easy operation. Similar to tubular reactor, there
 21 are continuous inlet and outlet flow into and from the reactor in the CSTR system. Usually,
 22 polymerization processes are conducted in a single CSTR or in a CSTR train (multiple CSTRs
 23 configured in series). The main purpose of using CSTR train is to increase the monomer

1 conversion. CSTR is advantageous for large volume polymerization systems.

2



3

4 **Scheme 7. Schematic of a continuous stirred tank reactor (CSTR)**

5

6 The RTD of a CSTR is an exponential decay function. Due to the broader RTD in CSTR than those
 7 in batch and tubular reactors, the polymers synthesized in CSTR experienced different reaction time
 8 from one another. Therefore, these polymer chains are expected to possess a broader MWD. The
 9 RTD of a large number of CSTRs in series approaches that of a tubular reactor. Also, the RTD of a
 10 tubular reactor with large volume reflux or recycling approaches that of a CSTR.

11

12 **1.3. Review scope**

13

14 To date, there are only a few reviews discussed polymerization in various types of reactors.
 15 Steinbacher and McQuade reviewed flow microreactor technologies that produce various polymer
 16 materials including bead, microcapsules and fibers.⁹⁵ Schork and Guo summarized miniemulsion
 17 polymerization works in semi-batch and continuous reactors.⁹⁶ Microreactors for polymer synthesis
 18 by ionic and radical polymerization methods were respectively reviewed by Frey et al^{97,98} and
 19 Nagaki et al.⁹⁹ Recently, copper-mediated CRPs in continuous flow reactors were reviewed by
 20 Cunningham et al.¹⁰⁰

21

22 This work provides a comprehensive review for the progresses in the reactor engineering of CRP

1 systems including ATRP, RAFT, and NMP. Homogeneous and heterogeneous CRP processes in
2 semi-batch reactors, tubular reactors, and CSTRs are summarized and discussed in detail. The
3 differences of semi-batch reactors and continuous reactors compared to batch reactors are
4 highlighted based on the published experimental data. Perspectives on the future of reactor
5 engineering of CRP are also offered.

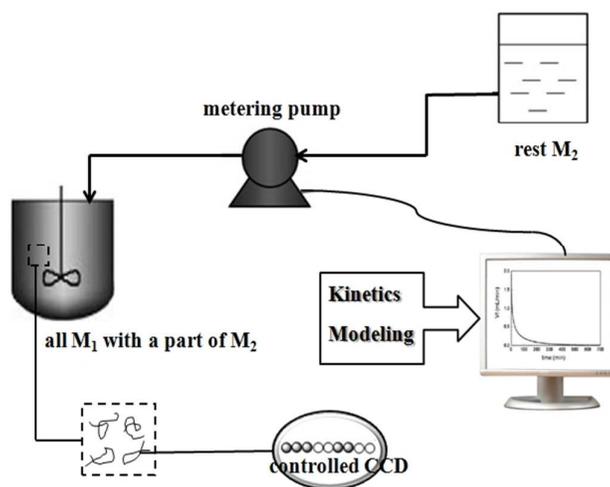
6

7 **2. Semi-batch reactor**

8

9 The feasibility of controlling copolymer composition distributions (CCDs) by semi-batch reactors
10 has been well demonstrated. Coupling the advantage of semi-batch reactor with the slow chain
11 growth of CRP has provided the opportunity to control the copolymer composition to have various
12 CCDs. Most copolymerization experiments in semi-batch reactors used constant feeding of
13 monomers (CF) to control the CCD. As the name implies, this process involves feeding monomers
14 to the reactor at a constant feeding rate. However, the degree of control of CCDs synthesized by
15 using CF is not very precise. In the recent years, a model-based monomer feeding policy (MMFP)
16 was developed to produce polymers with pre-designed CCDs, shown by Scheme 8.²⁷⁻³³ In MMFP,
17 a kinetic model for controlled radical copolymerization (CRcoP) process is first developed and then
18 correlated to the batch experimental data for parameter estimation. The model is then combined
19 with semi-batch reactor model for targeting the pre-designed CCD using the comonomer feeding
20 rate as an operating variable. The obtained comonomer feeding rate is actually controlled by a
21 computer-programmed pump, thus this process is also referred to as programmed feeding (PF) in
22 this review. Using programmed feeding, polymer products with the pre-designed CCD can be
23 produced with a high degree of precision in semi-batch CRcoP. This precise control over the
24 polymer structures for targeted properties represents an emerging trend in polymer reaction
25 engineering.

26



1

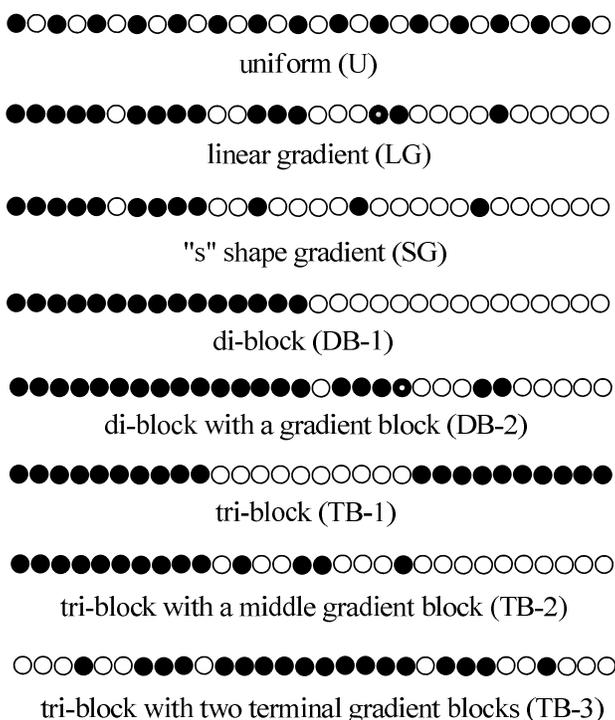
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3

Scheme 8. Model-based monomer feeding policy²⁷

4 To date, many different variations of CCDs have been obtained by utilizing semi-batch CRcoP.
5 These CCDs can be divided into eight groups as shown in Scheme 9: (1) uniform (U); (2) linear
6 gradient (LG); (3) S-shape gradient (SG) including hyperbolic, parabolic and sigmoidal; (4) di-
7 block (DB-1); (5) di-block with a gradient block (DB-2); (6) tri-block (TB-1); (7) tri-block with one
8 middle gradient block (TB-2); (8) tri-block with two terminal gradient blocks (TB-3).

9



Scheme 9. Different CCDs by semi-batch CRcoP

1

2

3

2.1. ATRP

5

2.1.1. Solution polymerization

7

8 Matyjaszewski's group first conducted CRcoP in semi-batch reactor to control the resulting
 9 CCDs.²⁴⁻²⁶ The advantages of semi-batch reactors over batch reactors in synthesizing copolymers
 10 with pre-designed CCDs were well demonstrated in their studies. In a batch reactor, only
 11 copolymers with a random composition or a spontaneous gradient were produced. LG and SG
 12 St/BA and styrene/acrylonitrile (St/AN) copolymers were successfully produced by constant
 13 feeding via semi-batch homogeneous ATRP.²⁴⁻²⁶ In a semi-batch reactor, the instantaneous gradient
 14 composition could be designed to vary from 0 to 1.0, depending on the choice of reactants to be fed
 15 and the feed rate. In St/AN system, a linear gradient (LG) profile was obtained by constant feeding
 16 of AN at 0.01 ml/min. Meanwhile, copolymers with S-shape gradients (SG) were obtained by
 17 constantly feeding AN at a slightly faster rate of 0.02 and at 0.08 ml/min.²⁶

18

1 Similar syntheses were reported by the same group for 2-(dimethylamino)ethyl methacrylate/n-
2 butyl methacrylate (DMAEMA/BMA) and BA/isobornyl acrylate (BA/IBA) copolymers. The
3 copolymers with LG profile were synthesized by constant feeding in semi-batch reactors, while the
4 random and block copolymer counterparts were synthesized in batch reactors (reactions **S-ATRP-1**
5 and **S-ATRP-2** in Table 1).^{101,102} Luo et al has also used constant feeding in semi-batch solution
6 ATRP to synthesize LG tert-butyl acrylate/2,2,3,3,4,4,4-heptafluorobutyl methacrylate
7 (tBA/HFBMA) copolymers by using HFBMA as the feeding monomer in the solution ATRP of tBA
8 (reaction **S-ATRP-3**).¹⁰³

9

10 Albeit useful to produce LG copolymers, constant feeding cannot control the CCD at will and to a
11 high precision. Programmed feeding (PF) was developed by Zhu's group to produce copolymers
12 with more sophisticated CCDs and better precision.²⁷⁻³³ Zhao et al. used PF to produce a series of
13 tert-butyl methacrylate/MMA (tBMA/MMA) copolymers with uniform (U), LG, S-shape gradient
14 (SG), tri-block with a middle gradient block (TB-2) and di-block (DB-1) composition profiles in
15 semi-batch solution ATRP (reactions **S-ATRP-4** to **S-ATRP-8**).^{32,33} Good agreements between the
16 experimental CCDs and the theoretically targeted CCDs were obtained, clearly showing the power
17 of PF for the precise copolymer production. Similar successes were reported by Gallow et al. They
18 synthesized a series of 2-hydroxyethyl methacrylate/DMAEMA (HEMA/DMAEMA) copolymers
19 with LG and SG composition profiles by PF via semi-batch solution ATRP (reactions **S-ATRP-9**
20 and **S-ATRP-10**).^{104,105}

21

22 Copolymers with different composition profiles can be further used as the backbone for synthesis of
23 molecular brushes. The properties of these brushes depend on the profile of the copolymer
24 backbones. Novel molecular brushes with LG backbone composition profile were synthesized by
25 Matyjaszewski's group¹⁰⁶ and Luo's group.¹⁰⁷ The methyl methacrylate/HEMA-TMS
26 (MMA/HEMA-TMS) copolymer backbone with LG profile was synthesized by constant feeding of
27 HEMA-TMS during the solution ATRP of MMA (reactions **S-ATRP-11** and **S-ATRP-12**).^{106,108}
28 Inverse CCD was obtained when MMA was chosen as the feeding monomer during ATRP of
29 HEMA-TMS (**S-ATRP-13**).¹⁰⁸ The MMA/HEMA-TMS copolymer backbone with random and

1 block profiles were also synthesized in batch and sequential batch reactors by Luo et al. The same
2 group also compared the solution properties of molecular brushes with LG, random, and block
3 backbone profiles.¹⁰⁷

4

5 Other than controlling CCDs, semi-batch reactors also have been employed to synthesize polymers
6 with low MW, which are used as coating resins. Fu et al. first used a semi-batch reactor to produce
7 low-MW polystyrenes (PSt) with $M_n = 6.0\text{-}11.5$ kg/mol.¹⁰⁹ Both polymerization rate and initiator
8 efficiency in the semi-batch reactor were found to be lower than those in a counterpart batch
9 reactor. For example, in the semi-batch reactor, a conversion of about 90% was obtained after 600
10 min of reaction with an initiator efficiency of 0.30. As comparison, the same conversion was
11 achieved after only 360 min with an initiator efficiency of 0.75 (reaction **S-ATRP-14** in Table 1) in
12 the batch reactor.¹⁰⁹ They attributed the decrease to the higher initiator concentration at the
13 beginning of polymerization in the semi-batch reactor. The initiator efficiency can be improved by
14 initially charging a small amount St to the reactor or by decreasing the final monomer content, at
15 the cost of slowing down the polymerization.¹⁰⁹ The same group also synthesized low-MW
16 poly(butyl acrylate) (PBA) in a similar system (reaction **S-ATRP-15**).¹¹⁰ For controlling CCDs in
17 semi-batch reactors, Fu et al. also synthesized a series of low-MW St/BA copolymers with U, LG,
18 and DB-2 composition profiles.¹¹⁰

19

20 Numerous investigations have been conducted on establishing the relationships between the
21 properties of copolymers with their composition profiles. Comparison of the thermal properties of
22 LG copolymers with those of random and block copolymers was conducted by Matyjaszewski et al
23 for DMAEMA/BMA and BA/IBA copolymers systems.^{101,102} Luo et al also studied the effect of
24 CCD on the glass transition temperature (T_g) of MMA/HEMA-TMS copolymers.¹⁰⁸ Both groups
25 found that the LG copolymers exhibit broad T_g , while the random and block copolymers possess
26 narrow T_g and two distinct T_g 's, respectively. The effect of copolymer composition profiles on the
27 pH responsivity and micelle formation of MAA/MMA copolymers (formed by hydrolyzing
28 tBMA/MMA under acidic condition) was reported and found to be significant.³² Moreover, the
29 cloud points of HEMA/DMAEMA copolymers in solution were shown to greatly depend on their

1 composition profiles.^{104,105}

2

3 The works summarized above clearly demonstrate the high potential in exploiting novel properties
4 of polymer products by designing and controlling CCDs via constant feeding and programmed
5 feeding in semi-batch reactors. Moreover, these works allow investigation of the structure-property
6 relationships. With the relationships, it is possible to further design the CCD to produce polymer
7 products with tailor-made properties.

8

9

Table 1. Selected examples of homogeneous ATRP in semi-batch reactors

Reaction No.	Monomers M ₁ /M ₂	Homogeneous system	Reaction condition	F _{1t}	Copolymer profile	Solvent	T	t	X	M _n	PDI	Feeding policy	Ref
S-ATRP-1	DMAEMA/BMA	Solution	200:1:1:2	0.74 [0.80]	LG [random]	Water/2-propanol	25	N/A [210]	N/A [87.2]	20.96 [30.87]	1.30 [1.27]	CF Batch	¹⁰¹ ¹⁰¹
S-ATRP-2	BA/IBA	Solution	533:1.4.38:3.6	0.59	LG	Anisole/ diphenyl ether	50	378	82.3	74.80	1.13	CF	¹⁰²
S-ATRP-3	tBA/HFBMA	Solution	100:1:1:2	0.50	LG	Toluene	80	480	72.0	10.66	1.45	CF	¹⁰³
S-ATRP-4	tBMA/MMA	Solution	200:1:1:2	0.50	LG [random]	p-Xylene	100	590 [570]	94.0 [96.0]	26.00 [25.00]	1.12 [1.14]	PF Batch	31
S-ATRP-5	tBMA/MMA	Solution	200:1:1:2	0.50	SG	p-Xylene	100	670	94.0	24.00	1.14	PF	31
S-ATRP-6	MMA/tBMA	Solution	200:1:1:2	0.50	U	p-Xylene	100	N/A	93.9	21.70	1.17	PF	32
S-ATRP-7	tBMA/MMA	Solution	200:1:1:2	0.50	DB-1	p-Xylene	100	N/A	93.0	21.00	1.24	PF	32
S-ATRP-8	tBMA/MMA	Solution	200:1:1:2	0.50	TB-2	p-Xylene	100	N/A	99.0	25.00	1.18	PF	32
S-ATRP-9	HEMA/DMAEMA	Solution	300:1:1.4:1.4	0.40-0.67	LG	DMSO	50	600	N/A	38.0-48.0	1.04-1.07	PF	¹⁰⁴
S-ATRP-10	HEMA/DMAEMA	Solution	300:1:1.4:1.4	0.31-0.68 [0.64]	SG [random]	DMSO	50	600	N/A	36.0- [34.00]	1.06-1.08 [1.05]	PF Batch	¹⁰⁴
S-ATRP-11	MMA/HEMA-TMS	Solution	525:1:1.65:3.31	0.48	LG	Xylene /anisole	90	420	47.7	56.70	1.22	CF	¹⁰⁶
S-ATRP-12	MMA/HEMA-TMS	Solution	450:1:1.37:2.62	0.56	LG	Toluene	90	420	47.1	43.00	1.12	CF	¹⁰⁸
S-ATRP-13	HEMA-TMS/MMA	Solution	450:1:1.37:2.62	0.44	LG	Toluene	90	420	53.6	50.00	1.05	CF	¹⁰⁸
S-ATRP-14	St	Solution	50:1:1-0.1:1 [50:1:1:1]	1.00	Homo	Toluene	110	720-900 [360]	80.0-96.0 [93.0]	6.00-11.5 [6.70]	1.05-1.16 [1.07]	CF Batch	¹⁰⁹ ¹⁰⁹
S-ATRP-15	BA	Solution	40:1:1:1	1.00	Homo	Toluene	90 [90]	420 [240]	97.0 [99.0]	7.60 [4.80]	1.62 [1.18]	CF Batch	¹¹⁰ ¹¹⁰

Reaction condition = total mole ratios of M: RX:C:L; M = monomer; RX = ATRP initiator; C = catalyst; L = ligand; F_{1t} = targeted mole fraction of M₁ (M₂ is feeding monomer); T = polymerization temperature (°C); t = polymerization time (min); X = total monomer conversion (%); M_n = number-average molecular weight (kg/mol); PDI = polydispersity index; N/A = not available in literature; [] = results in a batch reactor, compared with results in a semi-batch reactor at similar experimental conditions; DMSO = dimethyl sulfoxide

1 2.1.2. Miniemulsion polymerization and heterogeneous catalyst

2

3 The semi-batch reactor approaches were also employed in heterogeneous ATRP and its variants for
4 controlling CCDs.^{111,112} Min et al. from Matyjaszewski's group synthesized a series of SG
5 copolymers via semi-batch miniemulsion AGET ATRP (reactions **S-ATRP-16** to **S-ATRP-18**).¹¹¹

6 The initial mole ratio of comonomers, their reactivities, feeding rates, and hydrophobicities all
7 played important roles in the resulting composition profiles. The miniemulsion particles were stable
8 in the whole polymerization process. The number-average MW increased linearly with the total
9 monomer conversion and it was very close to the theoretical MW, suggesting a high initiation
10 efficiency in this miniemulsion system.¹¹¹

11

12 The recently developed SET-LRP, having the advantages of low catalyst loading, fast
13 polymerization rate, and low polymerization temperature, has attracted some attention.⁶⁷⁻⁷⁰ Zhou
14 and Luo synthesized a series of LG MMA/tBA copolymer by constant feeding via semi-batch SET-
15 LRP with Cu(0) and conventional ATRP ligands as the catalyst system at 25°C (**S-ATRP-19**).¹¹²

16 Programmed feeding for precise production in heterogeneous ATRP systems has not been reported
17 to date.

18

19

Table 2. Selected examples of heterogeneous ATRP in semi-batch reactors

Reaction No.	Monomer M ₁ /M ₂	Heterogeneous system	Reaction condition	F _{1t}	Copolymer profile	solvent	T	t	X	M _n	PDI	Feeding policy	Ref
S-ATRP-16	BA/tBA	Miniemulsion	200:1:0.2:0.2	0.50	SG [random]	-	80	N/A	50.0 [55.0]	12.0 [12.5]	1.22 [1.18]	CF Batch	¹¹¹
S-ATRP-17	BMA/MMA	Miniemulsion	300:1:0.2:0.2	0.67	SG	-	75	N/A	85.0	N/A	1.20-1.26	CF	¹¹¹
S-ATRP-18	BA/St	Miniemulsion	200:1:0.2:0.2	0.50	SG	-	80	N/A	100	22.00	1.22	CF	¹¹¹
S-ATRP-19	tBA/MMA	Heterogeneous catalyst	200:1:0.1:0.1, 200:1:0.25:0.25, 200:1:0.5:0.5	0.50	LG	DMF	25	330	56.8-68.9	13.77-16.02	1.38-1.45	CF	¹¹²

Reaction condition = total mole ratios of M: RX:C:L; M = monomer; RX = ATRP initiator; C = catalyst; L = ligand; F_{1t} = targeted mole fraction of M₁ (M₂ is feeding monomer); T = polymerization temperature (°C); t = polymerization time (min); X = total monomer conversion (%); M_n = number-average molecular weight (kg/mol); PDI = polydispersity index; N/A = not available in literature; [] = results in a batch reactor, compared with results in a semi-batch reactor at similar experimental conditions; Homo = homopolymer; DMF = N,N-dimethylformamide.

1 2.2. RAFT

2

3 2.2.1. Solution polymerization

4

5 Several research works about the control of CCDs by constant feeding in homogeneous (solution
6 and bulk) RAFT systems have been reported.^{113,114} The effects of synthesis routes on the copolymer
7 composition profiles were investigated in-depth by Billon et al.¹¹³ Copolymers of St/2-(2',3',4',6'-
8 tetra-o-acetyl-d-galactosyloxy)ethyl acrylate (St/AcGalEA) with DB-2 composition profile were
9 synthesized by running styrene polymerization for a period of time, followed by constant feeding of
10 AcGalEA to the reaction media (reaction **S-RAFT-1** in Table 3).¹¹³ Chen et al. synthesized LG
11 acrylic acid/2,2,2-trifluoroethyl methacrylate (AA/TFEMA) copolymers by constant feeding of
12 TFEMA via semi-batch solution RAFT polymerization (reaction **S-RAFT-2**).¹¹⁴

13

14 Programmed feeding for precise production in homogeneous RAFT systems was first developed by
15 Sun et al.^{29,30} A series of St/BA copolymers with various pre-designed CCDs were successfully
16 produced using PF in semi-batch RAFT (reactions **S-RAFT-3 to S-RAFT-6**).^{29,30} The effects of
17 composition profiles on the thermal properties of these products were also carefully investigated by
18 DSC analysis.³⁰ Their results clearly indicated that programmed feeding was feasible for design and
19 precise control over CCDs to produce polymer products with tailor-made properties.

20

21 Semi-batch reactor technologies are most employed in linear CRP systems. In CRP, individual
22 chains grow slowly, providing ample time for chains to come into contact with each other, which
23 could also facilitate inter-chain reactions for nonlinear polymers. Wang et al first employed semi-
24 batch technologies in vinyl/divinyl CRP to control gelation and to synthesize hyperbranched
25 polymer products.¹¹⁵⁻¹¹⁷ A large amount of RAFT agents (mole ratio of RAFT to divinyl monomers
26 greater than 0.5) is usually required in batch RAFT, to synthesize branched polymers without
27 gelation.¹¹⁸⁻¹²¹ In Wang's work, a series of hyperbranched polyacrylamides (PAMs) were
28 synthesized, free of gels, by constantly feeding divinyl monomer N,N'-methylenebis(acrylamide)
29 (BisAM) in a semi-batch solution RAFT copolymerization (reactions **S-RAFT-7** and **S-RAFT-**

1 **8).**¹¹⁵⁻¹¹⁷ Using this strategy, hyperbranched polymers were successfully produced with low mole
2 ratio of RAFT agents to divinyl monomers (no more than 0.1).¹¹⁵⁻¹¹⁷ Furthermore, a more uniform
3 branching density distribution was obtained in the semi-batch reactor than in a counterpart batch
4 reactor.¹¹⁶

5

Table 3. Selected examples of homogeneous RAFT polymerization in semi-batch reactors

Reaction No.	Monomer M ₁ /M ₂	Homogeneous system	Reaction condition	F _{1t}	Copolymer profile	solvent	T	t	X	M _n	PDI	Feeding policy	Ref
S-RAFT-1	St/AcGalEA	Solution	232:1:0.12 [217:1:0.13]	0.81 [0.80]	DB-2 [random]	DMAc	90	450 [1440]	49.8 [55.0]	10.27 [13.3]	1.18 [1.26]	CF Batch	¹¹³ ¹¹³
S-RAFT-2	AA/TFEMA	Solution	200:1:0.25	0.50	N/A	1,4-dioxane	60-80	480	54.2-78.7	N/A	N/A	CF	¹¹⁴
S-RAFT-3	BA/St	Solution	200:1:0.40	0.25	U	Toluene	70	2160	80.0	15.00	1.35	PF	29
S-RAFT-4	BA/St	Solution	200:1:0.25	0.25	LG	Toluene	70	2160	70.0	15.75	1.24	PF	29
S-RAFT-5	BA/St	Solution	333:1:0.22	0.50	SG	Toluene	88	N/A	N/A	29.00	1.30	PF	30
S-RAFT-6	BA/St	Solution	333:1:0.22	0.50	TB-2	Toluene	88	N/A	N/A	31.25	1.30	PF	30
S-RAFT-7	AM/BisAM	Solution	630:1:0.50	0.95	Branched	*	60	120-300 [110]	95.5-99.5 [68.0]	180.0-245.0 [145.0]	6.85-8.15 [4.50]	CF Batch	¹¹⁶ ¹¹⁶
S-RAFT-8	AM/BisAM	Solution	610-630:1:0.50	0.95-0.98	Branched	*	60	210	95.0-98.0	80.00-180.0	2.00-8.00	CF	¹¹⁶

Reaction condition = total mole ratios of M: RAFT:I; M = monomer; RAFT = RAFT agent; I = conventional initiator; F_{1t} = targeted mole fraction of M₁ (M₂ is feeding monomer); T = polymerization temperature; t = polymerization time; X = total monomer conversion; M_n = number-average molecular weight; PDI = polydispersity index; N/A = not available in literature; [] = results in a batch reactor, compared with results in a semi-batch reactor at similar experimental conditions; * sodium acetate/acid acetate buffer solution with pH = 5.

1 2.2.2. Emulsion and miniemulsion polymerization

2

3 Charmot et al. were the first to use semi-batch reactors for heterogeneous RAFT systems.⁴³
4 Homopolymers were synthesized by constant feeding of monomers in RAFT emulsion systems
5 (reactions **S-RAFT-9** and **S-RAFT-10** in Table 4).⁴³ According to their work, higher initiation
6 efficiencies of RAFT agents were achieved in semi-batch reactors than in batch reactors. Moreover,
7 polymers with better defined MW could be produced in the semi-batch reactors.⁴³

8

9 Several research works have investigated the control of CCDs in RAFT (mini)emulsion systems.
10 Luo and Liu synthesized a series of DB-2 MMA/St copolymers by constant feeding of St at 8 ml/h
11 after complete copolymerization of MMA and St (reaction **S-RAFT-11**).¹²² Similar to Luo and Liu's
12 work, Wang et al. synthesized DB-2 St/butadiene (St/Bu) copolymers by constant feeding of Bu at
13 80 ml/h after 1 hour of RAFT miniemulsion polymerization of St (reaction **S-RAFT-12**).¹²³ Zhang
14 et al. synthesized DB-1 butyl methacrylate/dodecafluoroheptyl methacrylate (BMA/DFMA)
15 copolymers by constant feeding of DFMA at 2 ml/h after complete RAFT polymerization of BMA
16 (reaction **S-RAFT-13**).¹²⁴ In the work of Zhu et al, a series of TB-1 St/BA copolymers with various
17 MW were synthesized by first feeding BA at a constant rate of 20 g/h until completion of St,
18 followed by constant feeding of St at the same rate until completion of BA (reaction **S-RAFT-**
19 **14**).¹²⁵ Their data also showed that thermoplastic elastomer products can be produced by semi-batch
20 RAFT emulsion block polymerization.¹²⁵ Recently, Chen et al. synthesized SG acrylic acid/2,2,2-
21 trifluoroethyl methacrylate (AA/TFEMA) copolymers by constant feeding of TFEMA in an
22 emulsifier-free RAFT emulsion polymerization (reaction **S-RAFT-15**).¹²⁶

23

24 The use of PF for precise production has well been demonstrated in homogeneous CRP systems.²⁹⁻³²
25 However, high product separation costs and poor heat transfer limit their commercial exploitation.
26 These problems could be countered by employing heterogeneous systems (such as emulsion and
27 miniemulsion). Li et al was the first to develop programmed feeding in heterogeneous RAFT
28 systems. A series of U and LG St/BA copolymers products were successfully produced by
29 programmed feeding in semi-batch RAFT miniemulsion polymerization (reactions **S-RAFT-16** and

1 **S-RAFT-17**).³³ Also, Li et al extended this PF strategy for the control of topology in heterogeneous
2 systems. A series of hyperbranched polystyrenes having uniform branching density distributions
3 were produced via semi-batch RAFT miniemulsion polymerization (reaction **S-RAFT-18**).¹²⁷

4

5

Table 4. Selected examples of heterogeneous RAFT polymerization in semi-batch reactors

Reaction No.	Monomer M ₁ /M ₂	Heterogeneous system	Reaction condition	F ₁₁	Copolymer profile	solvent	T	t	X	M _n	PDI	Feeding policy	Ref
S-RAFT-9	St	Emulsion	N/A	1.00	Homo	-	85	N/A	N/A	16.60-90.60	2.10-3.30	CF	43
S-RAFT-10	BA	Emulsion	N/A	1.00	Homo	-	85	N/A	70.0-100	20.6-81.00	1.40-2.30	CF	43
S-RAFT-11	MMA/St	Miniemulsion	260-300:1:0.30	0.25-0.90	DB-2	-	60	N/A	95.0-100	22.67-24.32	1.27-1.44	CF	¹²²
S-RAFT-12	St/Bu	Miniemulsion	N/A	0.25	DB-2	-	70	660	92.0	40.70	1.41	CF	¹²³
S-RAFT-13	BMA/DFMA	Miniemulsion	81:1:0.3	0.78	DB-1	-	75	720	90.9	10.25	1.22	CF	¹²⁴
S-RAFT-14	BA/St*	Emulsion	N/A	N/A	TB-1	-	70	190-240	90.0-97.0	76.80-338.1	1.41-3.19	CF	¹²⁵
S-RAFT-15	AA/TFEMA	Emulsion	233:1:0.5	0.57	SG	5% acetone	70	300	93.5	200.0	1.71	CF	¹²⁶
						aqueous							
S-RAFT-16	BA/St	Miniemulsion	333:1:0.33	0.50, 0.75 [0.50]	U [Random]	-	70	420, 540 [300]	82.0, 88.0 [80.0]	36.00, 40.00 [37.00]	1.04, 1.13 [1.15]	PF Batch	33
S-RAFT-17	St/BA	Miniemulsion	333:1:0.33	0.50, 0.75	LG	-	70	420, 540	73.3, 85.8	30.88, 40.00	1.07, 1.08	PF	33
S-RAFT-18	TEGDMA/St	Miniemulsion	100:1:0.1	0.005	-	-	70	600	75.0	13.24	2.87	PF	¹²⁷

Reaction condition = total mole ratios of M: RAFT:I; M = monomer; RAFT = RAFT agent; I = conventional initiator; F₁₁ = targeted mole fraction of M₁ (M₂ is feeding monomer); T = polymerization temperature; t = polymerization time; X = total monomer conversion; M_n = number-average molecular weight; PDI = polydispersity index; N/A = not available in literature; [] = results in a batch reactor, compared with results in a semi-batch reactor at similar experimental conditions; Homo = homopolymer; * BA and St are both feeding monomers

1 2.3. NMP

2

3 2.3.1. Bulk and solution polymerization

4

5 Cunningham et al first developed semi-batch technologies to produce low MW products for
6 coating.^{128,129} PSt products with $M_n = 7000$ g/mol and PDI = 1.5 were produced by constant feeding
7 in a semi-batch NMP of St (reaction **S-NMP-1** in Table 5).¹²⁹ Control of CCDs by constant feeding
8 in homogeneous NMP systems was extensively investigated by Billon et al and Torkelson et al.
9 Karaky et al. synthesized LG and SG N,N-dimethylacrylamide/BA (DMA/BA) copolymers by
10 constant feeding of DMA at various feeding rates (reaction **S-NMP-2**).^{130,131} LG DMA/BA
11 copolymers were synthesized by slow feeding (feeding rates were 1.4 and 0.8 ml/h), while SG
12 DMA/BA copolymers were synthesized by fast feeding (feeding rates was 2.8 ml/h).^{130,131} By using
13 the same feeding policy, LG and SG St/BA, octadecyl acrylate/methyl acrylate (ODA/MA), and
14 BA/MMA copolymers were also synthesized by Billon et al (reactions **S-NMP-3** to **S-NMP-5**).¹³²⁻
15 ¹³⁴ Borisova et al reported the synthesis of DB-2 AA/St copolymers by constant feeding of St to
16 solution NMP of AA after it ran for 4 hours (reaction **S-NMP-6**).¹³⁵ Similar to this feeding policy,
17 TB-3 AA/St copolymers were also synthesized by feeding St at constant rate 14 ml/h after 4 hours
18 of AA NMP with difunctional initiators (reaction **S-NMP-7**).¹³⁶ The pH-controlled self-assembly
19 behaviors of these DB-2 and TB-3 copolymers were also thoroughly investigated.^{135,136}

20

21 Torkelson et al contributed significantly to the control of CCDs in homogeneous NMP systems. A
22 series of LG St/4-methylstyrene (St/MS) (reaction **S-NMP-8**),¹³⁷ St/MMA (reaction **S-NMP-9**),¹³⁸
23 St/tBA (reaction **S-NMP-10**),¹³⁹⁻¹⁴¹ St/4-acetoxystyrene (St/AS) (reaction **S-NMP-11**),^{140,142-147}
24 St/BA (reaction **S-NMP-12**),^{140,148} St/BMA (reaction **S-NMP-13**),¹⁴⁰ and St/4-vinylpyridine
25 (St/4VP)^{140,147} copolymers were synthesized by constant feeding methods.¹³⁷⁻¹⁴⁸ A series of DB-2
26 St/AS copolymers were also synthesized by constant feeding of AS at the beginning of bulk NMP
27 of St with PSt used as macroinitiator or by constant feeding of AS after 2 hours of bulk NMP of St
28 (reaction **S-NMP-14**).^{142,149,150}

29

1 In semi-batch reactors, constant feeding is often used to produce LG copolymer products.
2 Torkelson's group first developed a new feeding policy, referred to as increasing constant feeding
3 (ICF) in this review, to produce SG (such as sigmoidal gradient) copolymer products. For example,
4 SG St/MMA copolymers can be produced by constant feeding of MMA at 10 ml/h for the first 3
5 hours, 15 ml/h for the next 3 hours, and 20 ml/h for the final 3 hours during NMP of St (reaction **S-**
6 **NMP-15**).^{151,152} By using similar feeding policy, a series of St/BA (reaction **S-NMP-**
7 **16**)^{140,144,145,147,148,153} copolymers were also synthesized by Torkelson et al. The synthesis of St/AS
8 copolymers with DB-2 composition profile by ICF of AS at 0.03 ml/min for the first 2 hours and
9 0.08 ml/min for the final 4 hours with PSt macroinitiators was also reported (reaction **S-NMP-**
10 **17**).¹⁵⁴ The properties of these copolymer products with different composition profiles were also
11 thoroughly investigated. The use of programmed feeding for precise control over CCD has not been
12 reported for the homogeneous NMP systems.

13

14

Table 5. Selected examples of homogeneous NMP in semi-batch reactors

Reaction No.	Monomer M ₁ /M ₂	Homogeneous system	Reaction condition	F _{1t}	Copolymer profile	solvent	T	t	X	M _n	PDI	Feeding policy	Ref
S-NMP-1	St	Solution	N/A	1.00	Homo	Xylene	138	400-1300	40.0-98.0	2.000-10.50	1.20-1.50	CF	129
S-NMP-2	BA/DMA	Solution	500:1	0.51	LG, SG	Toluene	112	240-800	55.1-83.7	24.00-35.00	1.24-1.48	CF	130
S-NMP-3	BA/St	Bulk	480:1	0.42	LG, SG	-	120	430, 240	77.8, 73.7	51.80, 40.70	1.21, 1.16	CF	132
S-NMP-4	ODA/M A	Solution	500:1	0.27	SG	Toluene	112	215	44.5	21.35	1.21	CF	133
S-NMP-5	BA/MM A	Bulk	500:1	0.50	SG [random]	-	115	720 [390]	91.0 [70.0]	61.90 [48.60]	1.32 [1.24]	CF Batch	134 134
S-NMP-6	AA/St	Solution	230:1	N/A	DB-2	1,4-dioxane	120	N/A	N/A	14.00-15.30	N/A	CF	135
S-NMP-7	AA/St	Solution	230:1 400:1	N/A	TB-3	1,4-dioxane	120	N/A	63.0 70.0	12.55 17.00	1.25 1.33	CF	136
S-NMP-8	St/MS	Solution	N/A	0.42	LG	cyclohexane	90	840	100	84.60	1.33	CF	137
S-NMP-9	St/MMA	Bulk	N/A	0.51	LG	-	93	480	100	55.20	1.44	CF	138
S-NMP-10	St/tBA	Bulk	N/A	0.55-0.72	LG	-	115	480	95.0-100	38.60-91.80	1.32-1.48	CF	141
S-NMP-11	St/AS	Bulk	N/A	0.56	LG	-	115	300	100	93.80	1.40	CF	143
S-NMP-12	St/BA	Bulk	N/A	0.60	LG	-	100	480	N/A	72.00	N/A	CF	148
S-NMP-13	St/BMA	Bulk	N/A	0.49-0.71	LG	-	115	130-180	N/A	57.80-83.00	1.37-1.39	CF	140
S-NMP-14	St/AS	Bulk	N/A	0.25-0.76	DB-2	-	90	N/A	N/A	48.00-67.00	N/A	CF	142
S-NMP-15	St/MMA	Bulk	N/A	0.55	SG	-	93	540	N/A	102.0	1.58	ICF	151,152
S-NMP-16	St/BA	Bulk	N/A	0.60	SG	-	100	540	100	95.00	1.37	ICF	153
S-NMP-17	St/AS	Bulk	N/A	0.58	DB-2	-	90	360	100	53.80	1.11	ICF	154

Reaction condition = total mole ratios of M: PT; M = monomer; PT = NMP initiator; F_{1t} = targeted mole fraction of M₁ (M₂ is feeding monomer); T = polymerization temperature; t = polymerization time; X = total monomer conversion; M_n = number-average molecular weight; PDI = polydispersity index; N/A = not available in literature; [] = results in a batch reactor, compared with results in a semi-batch reactor at similar experimental conditions; Homo = homopolymer.

1 2.3.2. Emulsion and microemulsion polymerization

2

3 Reports on NMP heterogeneous systems in semi-batch reactors are scarce. In the work of Charleux
4 et al, stable NMP emulsion system was achieved by a simple two-stage process in batch
5 reactors.^{155,156} Compared to batch reactors, conducting emulsion polymerization in semi-batch
6 reactors allows easier control over the latex properties by feeding of monomers, initiators and/or
7 surfactants.¹⁵⁷ Nicolas et al. first developed semi-batch technologies for NMP emulsion systems.¹⁵⁸
8 Stable PBA latexes with average particle diameters ranging from 285 to 555 nm were prepared by
9 constant feeding of BA after a short time of NMP emulsion polymerization (reaction **S-NMP-18** in
10 Table 6).¹⁵⁸ Thomson et al reported the synthesis of stable PBA latexes with high solid content (45
11 wt%) by two-stage NMP emulsion polymerization, the monomer BA was fed during the second
12 stage after the formation of PBA latexes in the first stage (**S-NMP-19**).¹⁵⁹ Using the same method,
13 Li et al synthesized stable PBA latexes with particle sizes ranged from 20-100 nm by NMP
14 microemulsion polymerization of BA (**S-NMP-20**).¹⁶⁰

15

16 From an industrial point of view, surfactant-free NMP emulsion systems are attractive. However,
17 the problems of multi-step polymerization, broad particle size distribution (PSD) and/or bimodal
18 PSD have limited their commercial potential.^{161,162} Recently, Thomson et al reported a one-step
19 surfactant-free NMP emulsion copolymerization of BMA with a small amount of St. By constant
20 feeding of BMA and St mixture, stable surfactant-free emulsion systems with monomodal PSDs
21 were successfully prepared (reactions **S-NMP-21** and **S-NMP-22**).¹⁶³

22

23

Table 6. Selected examples of heterogeneous NMP in semi-batch reactors

Reaction No.	Monomer M_1/M_2	Heterogeneous system	Reaction condition	F_{1t}	Copolymer profile	solvent	T	t	X	M_n	PDI	Feeding policy	Ref
S-NMP-18	BA	Emulsion	270:1	1.00	Homo	-	112	390-600	70.0-97.0	27.00-42.50	1.20-1.55	CF	¹⁵⁸
S-NMP-19	BA	Emulsion	N/A	1.00	Homo	-	120	450	94.0-100	43.28-81.85	2.06-4.46	CF	¹⁵⁹
S-NMP-20	BA	Microemulsion	155-618:1	1.00	Homo	-	120	360	78.0-100	16.60-53.30	1.38-3.26	CF	¹⁶⁰
S-NMP-21	BMA/St*	Emulsion	147:1	0.86	N/A	-	90	1320	30.0	11.00	1.55	CF	¹⁶³
S-NMP-22	BMA/St/MA**	Emulsion	266-279:1	0.87-0.89	N/A	-	90	1320-1386	36.4-67.5	16.18-38.40	1.48-1.92	CF	¹⁶³

Reaction condition = total mole ratios of M:PT; M = monomer; PT = NMP initiator; F_{1t} = targeted mole fraction of M_1 (M_2 is feeding monomer); T = polymerization temperature; t = polymerization time; X = total monomer conversion; M_n = number-average molecular weight; PDI = polydispersity index; N/A = not available in literature; Homo = homopolymer; * both BMA and St are feeding monomers; ** both BMA and St are feeding monomers, and MA are added to improve initiator efficiency.¹⁶³

1 2.4. Summary

2

3 Semi-batch reactors have been most frequently employed to control CCDs by feeding comonomers.
4 Table 7 summarized different kinds of polymer products with different CCDs, which were made by
5 semi-batch CRP. It is well known that copolymers produced by one-step polymerization in batch
6 reactors only possess random profiles. The synthesis of di-block copolymers usually requires two-
7 step polymerization in batch reactors with macroinitiators synthesized in the first step. However, the
8 purification of the macroinitiator from the first step is both time consuming and costly. Synthesis of
9 di-block copolymers in semi-batch reactors does not require any purification for the macroinitiators.
10 In semi-batch reactors, di-block copolymers (poly[M₁-b-M₂], DB-1) can be produced by feeding M₂
11 upon completion of M₁. Tri-block copolymers (poly[M₁-b-M₂-b-M₃], TB-1) can also be produced
12 by the same feeding policy in semi-batch reactors. Therefore, semi-batch reactors are preferred to
13 batch reactors in synthesizing copolymers with pre-specified composition profiles.

14

15 The methods for producing copolymers with the other types of CCDs in semi-batch reactors are
16 summarized according the literatures as follow: uniform copolymers (poly[M₁-u-M₂], U) are
17 produced by feeding both M₁ and M₂, or by feeding the fast comonomers. Di-block copolymers
18 with a gradient block (poly[M₁-b-(M₁-grad-M₂)], DB-2) are produced by feeding M₂ after M₁ is
19 polymerized for some time. Linear gradient copolymers (poly[M₁-lg-M₂], LG) and 's' shape
20 gradient copolymers (poly[M₁-sg-M₂], SG) are both produced by feeding M₂ from the beginning of
21 M₁ polymerization. Tri-block copolymers with a gradient middle block (poly[M₁-b-(M₁-grad-M₂)-
22 b-M₂], TB-2) are produced by feeding M₂ after polymerizing M₁ for some time, while tri-block
23 copolymers with two gradient terminal blocks (poly[(M₁-grad-M₂)-b-M₁-(M₁-grad-M₂)], TB-3) are
24 produced by feeding M₂ after M₁ is homopolymerized for some time using difunctional initiators.
25 As shown in Table 7, semi-batch reactors are most employed to produce LG and SG copolymers,
26 while reports on tri-block copolymers are very rare.

27

28 Feeding policies for control of CCDs can be divided into three kinds: constant feeding (CF),
29 increasing constant feeding (ICF), and programmed feeding (PF). CF is the most commonly used

1 feeding policy to control CCDs. ICF was developed to produce SG (such as sigmoidal gradient)
2 copolymers. However, CF and ICF cannot control CCDs at will to a high degree of precision. Zhu's
3 group first developed PF to produce polymer products with pre-designed CCDs, allowing precise
4 control of CCDs. The influence of CCD on copolymer properties is significant and it has been
5 demonstrated with various monomers combinations. It is clear that control over CCD is invaluable
6 in producing polymers having tailored properties. Therefore, further research should focus on
7 targeting product properties, *i.e.*, to produce polymer products with tailor-made properties, based on
8 structure-property relationships.

9

Table 7. Summary of different compositions made by CRP in semi-batch reactors

System	Homo	U	DB-1	DB-2	LG			SG	TB-1	TB-2	TB-3
Homogeneous ATRP	S-ATRP-14	S-ATRP-6	S-ATRP-7		S-ATRP-1	S-ATRP-2	S-ATRP-3	S-ATRP-5	S-ATRP-10		S-ATRP-8
	S-ATRP-15				S-ATRP-4	S-ATRP-9	S-ATRP-11				
Heterogeneous ATRP					S-ATRP-19			S-ATRP-16	S-ATRP-17		
								S-ATRP-18			
Homogeneous RAFT		S-RAFT-3		S-RAFT-1	S-RAFT-4			S-RAFT-5		S-RAFT-6	
Heterogeneous RAFT	S-RAFT-9	S-RAFT-16	S-RAFT-13	S-RAFT-11	S-RAFT-17			S-RAFT-15	S-RAFT-14		
	S-RAFT-10			S-RAFT-12							
Homogeneous NMP	S-NMP-1			S-NMP-6	S-NMP-2	S-NMP-3	S-NMP-8	S-NMP-2	S-NMP-3		
				S-NMP-14	S-NMP-9	S-NMP-10	S-NMP-11	S-NMP-4	S-NMP-5		S-NMP-7
				S-NMP-17	S-NMP-12	S-NMP-13		S-NMP-15	S-NMP-16		
Heterogeneous NMP	S-NMP-18										
	S-NMP-19										
	S-NMP-20										

Homo = homopolymer; U = uniform; DB-1 = di-block; DB-2 = di-block with a gradient block; LG = linear gradient; SG = 's' shape gradient; TB-1 = tri-block; TB-2 = tri-block with a middle gradient block; TB-3 = tri-block with two terminal gradient blocks.

1 **3. Tubular Reactor**

2

3 Owing to the narrow residence time distribution (RTD), the polymerization kinetics in a tubular
4 reactor is similar to that in a batch reactor. Tubular reactors are popular in industry due to their
5 excellent capacity of heat removal. In this chapter, the progresses of ATRP, RAFT, and NMP in
6 tubular reactors are reviewed. Moreover, comparisons of polymers produced from tubular reactors
7 with those from batch reactors are also discussed wherever applicable.

8

9 **3.1. ATRP**

10

11 **3.1.1. Solution polymerization**

12

13 The feasibility of solution ATRP in tubular reactor was well demonstrated by Haddleton's¹⁶⁴ and
14 Cunningham's¹⁶⁵ groups. Noda et al. investigated the feeding rate, targeted chain length, and
15 polymerization temperature on the kinetics of ATRP of MMA in tubular reactor (reactions **T-**
16 **ATRP-1** to **T-ATRP-3** in Table 8).¹⁶⁴ The number-average molecular weight (M_n) grew linearly
17 with monomer conversion, which was controlled by the feed flowrate. The PDI remained around
18 1.10. A living and well-controlled polymerization was achieved in the tubular reactor. Under the
19 same experimental conditions, the polymerization rates in batch and tubular reactors were similar,
20 but the PDI obtained in tubular reactor was found to be lower.¹⁶⁶ Increasing polymerization
21 temperature led to an increase in the polymerization rate, but it had a negative effect on the targeted
22 chain length (reactions **T-ATRP-2** and **T-ATRP-3**).¹⁶⁴

23

24 The living characteristics of ATRP of St and BA in tubular reactors were also investigated by
25 Cunningham et al (reactions **T-ATRP-4** and **T-ATRP-5**).¹⁶⁵ The initial polymerization rates in
26 tubular reactors were higher than in batch reactors due to the operation mode, while M_n and PDI
27 were similar. Moreover, they showed that the tubular reactor gave a narrow RTD, indicating a
28 nearly ideal plug flow condition. PDI after 71% conversion was 1.09 for BA system, while PDI at
29 50% conversion was 1.14 for St system.¹⁶⁵ The same group employed modified ATRP technology

1 (ARGET ATRP) in tubular reactor with tin(II) 2-ethylhexanoate ($\text{Sn}(\text{EH})_2$) as the reducing
2 agent.^{167,168} Low amount Cu catalysts (ppm level), stoichiometric ratio of ligand to Cu, and
3 unpurified monomers and solvents were used in their experiments.¹⁶⁷ A much slower
4 polymerization rate was observed in a tubular reactor than in a batch reactor when reducing agent
5 used was 10% of the initiator (83% vs. 19% conversion in batch and tubular reactors, respectively).
6 However, increasing the ratio to 40% resulted in comparable polymerization rates, with 97% vs.
7 96% conversion in batch and tubular reactors, respectively (reaction **T-ATRP-6**).¹⁶⁷ This work
8 demonstrated industrial feasibility of ATRP.

9

10 Wu et al reported controlled ATRP of 2-hydroxypropylmethacrylate (HPMA) in a continuous
11 microfluidic reactor (reaction **T-ATRP-7**).¹⁶⁹ Chastek et al. reported solution ATRP of MMA in a
12 continuous microfluidic reactor and in a batch reactor for comparison (reaction **T-ATRP-8**).¹⁷⁰
13 Other studies by the same group extended this concept to synthesize di-block¹⁷¹ and brush^{172,173}
14 polymers. Additionally, Wenn et al performed UV-induced SET-LRP of methyl acrylate (MA) in a
15 tubular UV-reactor, the polymerization gave good control over polymer molecular weight (reaction
16 **T-ATRP-9**).¹⁷⁴

17

18 In tubular reactors, DB-1 copolymers are usually produced by employing macroinitiators or by
19 using two tubular reactors. Wu et al synthesized a series of ethylene oxide/2-hydroxypropyl
20 methacrylate (EO/HPMA) DB-1 copolymers with PEO as macroinitiators in a microchannel reactor
21 (reaction **T-ATRP-10**).¹⁷¹ Compared to batch reactor, the polymerization rate was somewhat lower
22 at the beginning in the microchannel reactor, but it became slightly higher as the polymerization
23 progressed. The PDI's observed from both reactors were very similar.¹⁷¹ The same method was used
24 by Chastek et al. to synthesize DB-1 MMA/lauryl methacrylate (MMA/LMA) copolymers by using
25 PMMA macroinitiators in a microfluidic reactor (reaction **T-ATRP-11**).¹⁷⁰ On the other hand,
26 Haddleton et al reported the use of two tubular reactors in series to synthesize DB-2 MMA/BMA
27 and MMA/benzyl methacrylate (MMA/BzMA) (reactions **T-ATRP-12** and **T-ATRP-13**).¹⁶⁴

28

29 Many studies have been conducted on non-linear polymerization in tubular reactors. Bally et al first

1 reported branching polymerization by CRP in tubular reactor. A series of branched polymers was
2 synthesized by self-condensing vinyl copolymerization (SCVCP) through solution ATRP of MMA
3 and BIEM in a tubular microreactor.¹⁷⁵ The polymerization rate and branching efficiency were both
4 higher in the tubular microreactor than those in batch reactor under the same experimental
5 conditions.¹⁷⁵ For example, after 120 minutes of polymerization, the conversion and branching
6 efficiency of MMA was 64% and 44% in tubular microreactor but only 58% and 28% in batch
7 reactor, respectively (reaction **T-ATRP-14**).¹⁷⁵ Their study demonstrated that tubular microreactors
8 can be used for better control of the branching process in CRP systems than batch reactors.
9 Moreover, tubular microreactors offer excellent heat transfer and fast mixing, resulting in improved
10 polymer products.¹⁷⁶⁻¹⁷⁸ Furthermore, Parida et al also used coil flow inverter microreactor to
11 synthesize branched poly(2-(dimethylamino)ethyl methacrylate) (PDMAEMA) by ATRP, and it
12 was found that the branching efficiency was in the order of coil flow inverter microreactor > normal
13 coiled tube microreactor > batch reactor (reaction **T-ATRP-15**).¹⁷⁹

14

Table 8. Selected examples of homogeneous ATRP in tubular reactors

Reaction No.	Monomer M ₁ /M ₂	Homogeneous system	Reaction condition	F _{1t}	Copolymer profile	solvent	RT	T	t	X	M _n	PDI	Ref
T-ATRP-1	MMA	Solution	100:1:1:2.2	1.00	Homo	Toluene	N/A	90	27-300	13.7-89.9	5.85-13.80	1.05-1.17	164
							Batch		[240]	[80.5]	[9.820]	[1.24]	166
T-ATRP-2	MMA	Solution	50-200:1:1:2.2	1.00	Homo	Toluene	N/A	90	27-300	13.7-89.9	4.26-13.80	1.05-1.36	164
T-ATRP-3	MMA	Solution	100:1:1:2.2	1.00	Homo	Toluene	N/A	60-100	120-165	16.1-83.7	3.27-12.60	1.04-1.27	164
T-ATRP-4	BA	Solution	39.6:1:0.15:0.49	1.00	Homo	Acetonitrile	170.7	80	320	71.0	4.160	1.09	165
							Batch		[360]	[82.0]	[4.797]	[1.09]	165
T-ATRP-5	St	Solution	50.2:1:0.50:1.0	1.00	Homo	Toluene	170.7	110	240	50.0	2.807	1.14	165
									[380]	[75.0]	[4.426]	[1.13]	
T-ATRP-6	BMA	Solution	100:1:0.005:0.005	1.00	Homo	Anisole	300	90	540-600	19.0-96.0	2.80-13.80	1.28-1.34	167
							Batch		[360]	[97.0]	[13.90]	[1.31]	167
T-ATRP-7	HPMA	Solution	N/A	1.00	Homo	Water/methanol	N/A	N/A	12-120	17.0-92.0	2.77-6.24	1.19-1.32	169
T-ATRP-8	MMA	Solution	300:1:0.5:1	1.00	Homo	Anisole	280	50	N/A	44.0	14.0	1.28	170
							Batch		[300]	[65.0]	[21.80]	[1.38]	170
T-ATRP-9	MA	Solution	47:1:0.02:0.12	1.00	Homo	DMSO	20	15	N/A	80.0	2.500	1.16	174
T-ATRP-10	EO/HPMA	Solution	100:1:1:2	N/A	DB-1	Water/methanol	N/A	N/A	16-188	27.0-69.0	5.60-10.20	1.17-1.24	171
							Batch		[210]	[63.0]	[8.80]	[1.25]	171
T-ATRP-11	MMA/LMA	Solution	255:1:0.5:1	N/A	DB-1	Anisole	90	50	N/A	33.0	42.90	1.46	170
T-ATRP-12	MMA/BMA	Solution	N/A	N/A	DB-2	Toluene	N/A	90	305-365	N/A	15.50-16.30	1.09-1.14	164
T-ATRP-13	MMA/BzMA	Solution	N/A	N/A	DB-2	Toluene	N/A	90	305-365	N/A	15.30-15.70	1.22-1.32	164
T-ATRP-14	MMA/BIEM	Solution	300:1:3.0:1.1	0.95	Branched	DMF	60	60	120	64.0	5.30	2.15	175
							Batch			[58.0]	[3.10]	[2.01]	175
T-ATRP-15	DMAEMA/BIEM	Solution	N/A	0.90	Branched	DMF	120	75	120	77.0	2.218	2.50	179
				0.95						74.5	3.618	2.20	

Reaction condition = total mole ratios of M: RX:C:L; M = monomer; RX = ATRP initiator; C = catalyst; L = ligand; F_{1t} = targeted mole fraction of M₁; RT = residence time (min); T = polymerization temperature (°C); t = polymerization time (min); X = total monomer conversion (%); M_n = number-average molecular weight (kg/mol); PDI = polydispersity index; Homo = homopolymer; N/A = not available in literature; [] = results in a batch reactor, compared with results in a semi-batch reactor at similar experimental conditions; DMSO = dimethyl sulfoxide; DMF = dimethylformamide.

3.1.2. Heterogeneous catalyst systems

As previously mentioned, one of the major challenges in ATRP commercialization is the large amount of residual catalysts in the final products.¹⁸⁰ In batch reactors, catalysts are usually removed from the final products by passing reaction mixture through silica-gel or aluminum oxide column for post-polymerization purification. Research work on catalyst separation in ATRP systems has been thoroughly reviewed by Shen et al.¹⁸¹ The separation of catalyst from the polymer product is both time-consuming and costly. In general, there are two approaches that can be used to solve this problem. One is to use modified ATRP to reduce the catalyst content.⁵⁷⁻⁷⁰ The other is to use supported catalyst system to improve the catalyst efficiency.¹⁸²⁻¹⁸⁶ Especially, the use of silica-gel-supported catalysts during ATRP process is an effective way to improve catalytic efficiency in batch reactors.¹⁸⁷⁻¹⁹⁴ Zhu and co-workers have demonstrated that silica-gel-supported copper bromide-hexamethyltriethylenetetramine (CuBr-HMTETA) catalyst could provide good control over solution ATRP of MMA in a batch reactor.¹⁸⁸ Furthermore, the recycled catalysts showed an even better control over ATRP of MMA. In order to overcome the drawback of batch reactor, Shen et al first used ATRP in a packed column reactor with silica-gel-supported CuBr-HMTETA catalysts.³⁴⁻³⁶ Their work pioneered the development of CRP in continuous processes. They were the first who employed tubular reactors for continuous ATRP and demonstrated an easy control of polymer molecular weight by regulating flow rate of the tubular reactors. They also connected two tubular reactors in series for facile synthesis of block copolymers.

Well-controlled MMA polymerization by catalyst-supported ATRP in a column reactor was demonstrated by Zhu's group (reaction **T-ATRP-16** in Table 9).³⁴ A linear relationship between M_n and monomer conversion at different RT was observed, indicating a well-controlled polymerization. The PDI was about 1.8 at 90% conversion, which was slightly higher than the value observed in batch reactor.¹⁸⁸ The higher PDI in tubular reactor may be due to back-mixing in this column reactor or due to some trapped polymers inside the silica-gel pores, thus broadening the RTD.³⁴ A high stability of column packed with silica gel and a high retention of catalyst reactivity were also reported in this work. Steady-state operation was maintained at monomer conversion of 80% for

1 more than 120 h with feeding rate of 1.2 ml/h. In addition, the effects of polymerization temperature
2 and targeted chain length were both investigated in the column reactor (reactions **T-ATRP-17** and
3 **T-ATRP-18**).³⁶ The polymerization was faster when conducted at a higher polymerization
4 temperature or when conducted with lower targeted chain length. The same conclusions were also
5 reported by Haddleton et al.¹⁶⁴

6

7 The synthesis of DB-2 MMA/BMA copolymers using two column reactors in series was
8 demonstrated by Zhu et al. DB-2 MMA/BMA copolymers with $M_n = 11$ kg/mol and PDI = 1.70
9 were produced by feeding BMA at 1.2 ml/h. When the feeding rate of BMA was increased to 1.8
10 ml/h, the concentration of BMA in the second column reactor increased, resulting in faster
11 polymerization. Moreover, the DB-2 MMA/BMA copolymers produced in system with faster
12 feeding rate possess $M_n = 18$ kg/mol and PDI = 1.84 (reaction **T-ATRP-19**).³⁶

13

14 There are numerous studies in the literature on SET-LRP conducted in batch reactors at an ambient
15 temperature. Chan et al first employed SET-LRP in continuous reactor.¹⁹⁵ SET-LRP of MA was
16 carried out in a copper tubular reactor, with the copper tubing acted as a catalyst source. A high
17 surface area of the copper tubular reactor resulted in a high catalytic efficiency. A monomer
18 conversion of up to 67% was obtained with only 16 min residence time (RT) (reaction **T-ATRP-**
19 **20**).¹⁹⁵ When the ligand concentration was decreased by five times, the conversion was only
20 reduced from 67 to 47% at the same 16 min RT (reaction **T-ATRP-21**).¹⁹⁵ This is important for cost
21 reduction in commercial applications. The chain extension experiments showed that the polymers
22 prepared via SET-LRP in the copper tubular reactor possessed higher livingness than in a batch
23 reactor.¹⁹⁵ However, using copper tubular reactor as a catalyst source is associated with possible
24 damage to the reactor, with copper continuously dissolved from the reactor wall into the reaction
25 mixture. To overcome this problem, Chan et al combined a short copper tubular reactor with a long
26 stainless steel tubular reactor.¹⁹⁶ The short copper tubular reactor was used to initiate the
27 polymerization by providing soluble copper species. Majority of the polymerization occurred in the
28 long stainless steel tubular reactor. In systems with copper tubular reactor alone, the conversion
29 could go as high as 53% with RT of only 16 min.¹⁹⁵ On the other hand, in the combined reactor, a

1 conversion of 55% could only be achieved when the RT was increased to 62 min.¹⁹⁶ In order to
2 enhance the polymerization rate, ascorbic acid (AA) was added to the stainless steel tubular reactor
3 (reactions **T-ATRP-22** and **T-ATRP-23**).¹⁹⁶ Similar to the work of Cunningham's, Chen et al. used
4 SET-LRP of acrylonitrile (AN) in an iron tubular reactor by using iron tube as the catalyst source
5 without the use of ligand (reaction **T-ATRP-24**).¹⁹⁷ Additionally, Burns et al performed SET-LRP
6 of MA in a PTFE tubular reactor with a copper wire threaded through the tubing (reaction **T-ATRP-**
7 **25**).¹⁹⁸

8

Table 9. Selected examples of heterogeneous ATRP in tubular reactors

Reaction No.	Monomer M_1/M_2	Heterogeneous system	Reaction condition	F_{1t}	Copolymer profile	solvent	RT	T	t	X	M_n	PDI	Ref
T-ATRP-16	MMA	Heterogeneous catalyst	*	1.00	Homo	Toluene	38-300	90	N/A	20.0-90.0	3.500-11.00	1.50-1.80	34
T-ATRP-17	MMA	Heterogeneous catalyst	[M]:[RX] = 100:1	1.00	Homo	Toluene	60-560	70,80	N/A	8.00-80.0	3.050-12.00	1.30-1.75	36
T-ATRP-18	MMA	Heterogeneous catalyst	[M]:[RX] = 100:1, 200:1	1.00	Homo	Toluene	120-460	80	N/A	8.00-80.0	3.800-19.40	1.38-1.63	36
T-ATRP-19	MMA/BMA	Heterogeneous catalyst	N/A	N/A	DB-2	Toluene	N/A	80	27000-34500	N/A	11.00-18.00	1.70-1.84	36
T-ATRP-20	MA	Heterogeneous catalyst	[M]:[RX]:[L] = 100:1:0.05	1.00	Homo	DMSO	4-16	23-25	50-176	43.0-67.0	4.930-6.660	1.22-1.44	195
T-ATRP-21	MA	Heterogeneous catalyst	[M]:[RX]:[L] = 100:1:0.05, 100:1:0.01	1.00	Homo	DMSO	16	23-25	136, 144	67.0, 47.0	6.660, 4.390	1.44, 1.30	195
T-ATRP-22	MA	Heterogeneous catalyst	[M]:[RX]:[L]:[AA] = 200:1:0.01:0.02	1.00	Homo	DMSO	8-62	23-25	210-350	27.0-78.0	4.670-13.84	1.27-1.47	196
T-ATRP-23	MA	Heterogeneous catalyst	[M]:[RX]:[L]:[AA] = 200:1:0.01:0.01-0.04	1.00	Homo	DMSO	35	23-25	227	65.0-67.0	11.80-12.23	1.42-1.47	196
T-ATRP-24	AN	Heterogeneous catalyst	[M]:[RX]:[L] = 200:1:0	1.00	Homo	NM2P	N/A	25	80	41.2	6.430	1.28	197
T-ATRP-25	MA	Heterogeneous catalyst	[M]:[RX] = 50:1	1.00	Homo	DMSO	13-80	25	N/A	69.0-90.0	3.200-4.200	1.14-1.17	198

Reaction condition = total mole ratios of M: RX:C:L; M = monomer; RX = ATRP initiator; C = catalyst; L = ligand; F_{1t} = targeted mole fraction of M_1 ; RT = residence time (min); T = polymerization temperature (°C); t = polymerization time (min); X = total monomer conversion (%); M_n = number-average molecular weight (kg/mol); PDI = polydispersity index; Homo = homopolymer; N/A = not available in literature; [] = results in a batch reactor, compared with results in a semi-batch reactor at similar experimental conditions; * [M]:[RX] = 100:1 and [C]:[L] = 1:1; DMSO = dimethyl sulfoxide; NM2P = N-methyl-2-pyrrolidone, AA = ascorbic acid.

1 3.2. RAFT

2

3 3.2.1. Solution polymerization

4

5 A large variety of monomers have been successfully polymerized through RAFT polymerization.
6 Diehl et al. first used tubular microreactor system to conduct homogeneous RAFT polymerization
7 of N-isopropylacrylamide (NIPAM).¹⁹⁹ Monomer conversion of 62% was obtained in 4 min without
8 losing control over polymer molecular weight. Faster polymerization rate was obtained in the
9 tubular reactor than in a batch reactor due to a higher homogeneity, which was a result of the better
10 mixing and heat transfer in the tubular reactor. For example, after 60 min of reactions,
11 polymerization in the tubular reactor reached 88% conversion, in contrast to the 40% conversion
12 obtained in the batch reactor (reaction **T-RAFT-1** in Table 10).¹⁹⁹ The effect of temperature on
13 RAFT polymerization of NIPAM in a continuous tubular microreactor was studied by Hornung et
14 al. (reaction **T-RAFT-2**).²⁰⁰ Additionally, the kinetics of RAFT polymerization in some other
15 monomer systems (N,N-dimethylacrylamide (DMA), BA, and vinyl acetate (VAc)) were also
16 investigated (reactions **T-RAFT-3** to **T-RAFT-5**).²⁰⁰ Comparable kinetics were observed between
17 these polymerization systems with those conducted in a batch reactor.²⁰⁰ Hornung et al. also
18 synthesized poly(acrylamide) by RAFT polymerization at 70-80°C, yielding low PDI (1.14-1.23)
19 even at high conversion in tubular reactor.²⁰¹

20

21 RAFT agents are often added to conventional free radical polymerization to provide a better control
22 at the cost of polymerization rate. However, increasing reaction temperature and pressure represents
23 a possible solution to counter this problem, *i.e.*, increasing the polymerization rate without losing
24 control over polymer molecular weight.^{202,203} Koch and Busch first developed RAFT
25 polymerization into tubular reactors at elevated temperature and pressure.²⁰⁴ A series of RAFT
26 polymerization runs of St at 120°C and 50 bar with different residence time were carried out
27 (reaction **T-RAFT-6**).²⁰⁴ PDIs of PSt were found to be between 1.20 to 1.24 at the elevated
28 temperature and pressure, showing well-controlled polymerization.

29

1 RAFT block copolymerization of homogeneous systems was also reported using tubular
2 reactors.²⁰⁴⁻²⁰⁶ Koch and Busch synthesized DB-1 St/MA and St/MMA copolymers by RAFT
3 polymerization using PSt as macro-RAFT agents at elevated temperature and pressure in tubular
4 reactors.²⁰⁴ Hornung et al. synthesized various DB-1 copolymers by combining two tubular reactors
5 in series.²⁰⁵ Most work reporting block copolymerization using CRP limited the synthesis of block
6 copolymers with no more than three blocks, regardless if it was conducted in batch, semi-batch, or
7 continuous reactors. This is because of an accumulation of dead chains in each block addition,
8 which broadens the MWD. The synthesis of block copolymers with more than three blocks yet
9 possessing narrow MWD is still challenging. Vandenberg et al first reported the synthesis of
10 multiblock copolymers by RAFT polymerization in continuous tubular microreactor.²⁰⁶ The
11 polymerization for each block was all kept at 100°C within 5 to 20 min. The first step was RAFT
12 polymerization of BA to produce PBA as macroRAFT agent (reaction **T-RAFT-7**).²⁰⁶ This
13 macroRAFT agent was used in sequential block polymerization (reactions **T-RAFT-8** to **T-RAFT-**
14 **11**),²⁰⁶ with the final polymer products having five blocks (poly[BA-b-tBA-b-EHA-b-BA-tBA])
15 with cumulative $M_n = 31.20$ kg/mol and $PDI = 1.46$ (reaction **T-RAFT-11**).²⁰⁶ Similar
16 polymerization steps were conducted in batch reactor under the same reaction condition as in the
17 continuous microreactor. However, only tri-block copolymers (poly[BA-b-tBA-b-EHA]) with $M_n =$
18 9.30 kg/mol and $PDI = 1.93$ could be produced. Their work clearly demonstrated how continuous
19 tubular microreactor could benefit consecutive polymerization while maintaining good control and
20 high livingness.

21

22 In ATRP systems, polymers are produced with deep color due to a large residual amount of copper
23 catalyst. Similar to ATRP systems, RAFT-derived polymers also display deep color because of
24 thiocarbonylthio end groups. Removal and modification of the end groups are often required.²⁰⁷
25 Hornung et al. reported a radical-induced RAFT end group removal at 100°C in tubular reactor.²⁰⁸
26 RAFT polymerizations of AM, MA and St were first conducted in batch reactor to produce RAFT-
27 derived polymers at 70-100°C. RAFT end group removal was then conducted in a continuous
28 tubular reactor in either organic solvents or water at 100°C. Recently, Hornung et al. also reported
29 the RAFT polymerization and end-group removal both in flow tubular reactor by sequential two-

1 step process.²⁰⁹ Vandenberg and Junkers reported end group modification of RAFT-derived
2 polymers in a continuous microreactor.²¹⁰ RAFT-derived PBA polymers were modified by
3 aminolysis/thiol-ene reactions and it took only 20 min. Moreover, the reactor could be easily scaled
4 up from the production of hundred grams to kilograms per day. Furthermore, Vandenberg et al
5 reported the modification of ATRP-derived and RAFT-derived polymers and produced DB-1
6 copolymers via click chemistry in tubular microreactors.²¹¹

7

Table 10. Selected examples of homogeneous RAFT polymerization in tubular reactors.

Reaction No.	Monomer M ₁ /M ₂	Homogeneous system	Reaction condition	F _{It}	Copolymer profile	solvent	RT	T	t	X	M _n	PDI	Ref
T-RAFT-1	NIPAM	Solution	200:1:0.1	1.00	Homo	1,4-dioxane	60 Batch	90	60	88.0 [40.0]	21.50 [N/A]	1.15 [N/A]	¹⁹⁹
T-RAFT-2	NIPAM	Solution	200:1:0.6	1.00	Homo	EtOAc	60 Batch	70-100	120	79.0-94.0 [83.0-99.0]	20.50-23.60 [19.50-22.20]	1.17-1.32 [1.13-1.24]	²⁰⁰
T-RAFT-3	DMA	Solution	200:1:0.6	1.00	Homo	dioxane	60 Batch	80	120	98.0 [99.0]	15.90 [16.60]	1.16 [1.12]	²⁰⁰
T-RAFT-4	BA	Solution	200:1:0.6	1.00	Homo	EtOAc	60 Batch	80	120	85.0 [87.0]	24.80 [24.90]	1.27 [1.25]	²⁰⁰
T-RAFT-5	VAc	Solution	62.5:1:0.25	1.00	Homo	EtOAc	60 Batch	100	120	81.0 [87.0]	4.570 [4.620]	1.28 [1.26]	²⁰⁰
T-RAFT-6	St	Solution	[RAFT]:[I] = 4:3	1.00	Homo	Toluene	10-40	120	N/A	N/A	12.00-15.00	1.20-1.24	²⁰⁴
T-RAFT-7	BA	Solution	10:1:0.05	1.00	Homo	Butyl acetate	N/A Batch	100	5	54.0 [10]	1.100 [1.400]	1.20 [1.14]	²⁰⁶
T-RAFT-8	BA/tBA	Solution	80:1:0.05	N/A	DB-1	Butyl acetate	N/A Batch	100	5	N/A [100]	8.300 [8.100]	1.14 [1.36]	²⁰⁶
T-RAFT-9	BA/tBA/EHA	Solution	80:1:0.05	N/A	Multiblock (= 3)	Butyl acetate	N/A Batch	100	5	N/A [10]	10.70 [9.300]	1.28 [1.93]	²⁰⁶
T-RAFT-10	BA/tBA /EHA/BA	Solution	120:1:0.05	N/A	Multiblock (= 4)	Butyl acetate	N/A	100	10	N/A	16.50	1.32	²⁰⁶
T-RAFT-11	BA/tBA /EHA/BA/tBA	Solution	130:1:0.05	N/A	Multiblock (= 5)	Butyl acetate	N/A	100	10	N/A	31.20	1.46	²⁰⁶

Reaction condition = total mole ratios of M: RAFT:I; M = monomer; RAFT = RAFT agent; I = conventional initiator; F_{It} = targeted mole fraction of M₁; RT = residence time (min); T = polymerization temperature (°C); t = polymerization time (min); X = total monomer conversion (%); M_n = number-average molecular weight (kg/mol); PDI = polydispersity index; Homo = homopolymer; N/A = not available in literature; [] = results in a batch reactor, compared with results in a semi-batch reactor at similar experimental conditions; EtOAc = ethyl acetate; EHA = 2-ethylhexyl acrylate.

3.2.2. Emulsion and miniemulsion polymerization

Only several studies investigated heterogeneous RAFT polymerization in continuous processes, which were first reported by Russum et al.²¹²⁻²¹⁴ RAFT miniemulsion polymerization of St was conducted in a multi-tubular reactor²¹² with the initial emulsion mixture continuously fed into a sonication vessel from a feed tank. After miniemulsion was formed, it was fed to the tubular reactor. Their system consisted of five tubes connected together to form a multi-tube reactor system, with RT ranged from 79-424 min. Similar polymerization kinetics were found in tubular reactor compared to batch reactor with the same experimental recipe (reaction **T-RAFT-12** in Table 11).²¹² Polymerization conducted in the tubular reactor was slightly faster and gave slightly higher PDI than that conducted in the batch reactor. The higher PDI may be due to back mixing or axial dispersion. The polymers produced in the tubular reactor possessed high living characteristics.

A detailed kinetic comparison was reported for a similar reactor system (reaction **T-RAFT-13**).²¹³ In the work, a chain extension experiment was conducted to demonstrate the livingness of polymers produced from tubular reactor. Subsequent polymerization in a batch reactor was conducted to produce DB-1 copolymers without additional initiators. Russum et al extended the work on miniemulsion RAFT polymerization of St to a single tubular reactor.²¹⁴ The kinetics and flow characteristics of the RAFT miniemulsion polymerization were thoroughly investigated in the tubular reactor (reaction **T-RAFT-14**).²¹⁴ Their experimental results indicated that the flow regime and RTD play important roles in resulting PDI. Polymerization conducted in a near-ideal flow regime produced polymers having similar PDI as the ones from a batch reactor.

However, in miniemulsion, ultrasonication is usually used to prepare monomer droplets, and large amount of surfactants is added to stabilize the droplets, which limits commercial application of the system in continuous process. Recently, Li et al. reported a surfactant-free RAFT emulsion polymerization of MMA with 4-cyano-4-(thiobenzoylthio)pentanoic acid (CTBCOOH) playing dual roles as RAFT agent and as emulsion stabilizer in a tubular reactor.²¹⁵ The effects of residence time, feeding rate and targeted molecular weight were all investigated, and the chain extension

- 1 experiment was also carried out, which showed a controlled and living polymerization (reactions T-
- 2 **RAFT-15 to T-RAFT-17**).²¹⁵
- 3

Table 11. Selected examples of heterogeneous RAFT polymerization in tubular reactors.

Reaction No.	Monomer M ₁ /M ₂	Heterogeneous system	Reaction condition	F _{It}	Copolymer profile	solvent	RT	T	t	X	M _n	PDI	Ref
T-RAFT-12	St	Miniemulsion	300:1:0.1	1.00	Homo	-	79-424	70	420	65.0	23.00	1.50	²¹²
							Batch		[480]	[62.0]	[17.50]	[1.40]	²¹²
T-RAFT-13	St	Miniemulsion	300:1:0.1	1.00	Homo	-	55-226	70	240	52.0	18.00	1.60	²¹³
							Batch		[420]	[68.0]	[N/A]	[1.20]	²¹³
T-RAFT-14	St	Miniemulsion	500:1:1	1.00	Homo	-	70-140	70	N/A	22.0-92.0	11.85-46.40	1.26-1.66	²¹⁴
							Batch			[24.0-93.0]	[10.39-48.44]	[1.21-1.65]	²¹⁴
T-RAFT-15	MMA	Emulsion	200:1:0.5	1.00	Homo	Water/DMF	28.9-50.5	90	N/A	7.90-89.8	8.500-23.50	1.05-1.07	²¹⁵
T-RAFT-16	MMA	Emulsion	200:1:0.5	1.00	Homo	Water/DMF	43.3	90	N/A	39.1-75.3	23.20-27.50	1.05-1.31	²¹⁵
T-RAFT-17	MMA	Emulsion	300-600:1:0.5	1.00	Homo	Water/DMF	48.1	90	N/A	63.4-79.5	32.50-61.00	1.14-1.31	²¹⁵

Reaction condition = total mole ratios of M: RAFT:I; M = monomer; RAFT = RAFT agent; I = conventional initiator; F_{It} = targeted mole fraction of M₁; RT = residence time (min); T = polymerization temperature (°C); t = polymerization time (min); X = total monomer conversion (%); M_n = number-average molecular weight (kg/mol); PDI = polydispersity index; Homo = homopolymer; N/A = not available in literature; [] = results in a batch reactor, compared with results in a semi-batch reactor at similar experimental conditions; DMF = dimethylformamide.

1 3.3. NMP

2

3 3.3.1. Solution polymerization

4

5 Most NMP reactions are generally conducted at high temperature ($> 100^{\circ}\text{C}$). However, batch
6 reactors often have poor heat transfer ability, particularly for highly exothermic polymerization
7 systems. On the other hand, tubular microreactors can handle heat transfer relatively better due to
8 their higher surface to volume ratios. The heat transfer ability of tubular microreactors has been
9 well investigated by Rosenfeld et al.²¹⁶ Solution NMP of St and BA were both conducted in tubular
10 microreactor and batch reactor at 140°C , respectively. The exothermicity of BA was much higher
11 than that of St, *i.e.*, more heat was released during BA polymerization. Similar kinetics of NMP of
12 St were found in tubular microreactor and batch reactor (reaction **T-NMP-1** in Table 12).²¹⁶
13 However, NMP of BA in the batch reactor could reach near completion (almost 100% conversion)
14 with high resulting PDI (close to 3), clearly showing loss of control. In the tubular microreactor
15 system, the NMP of BA gave lower conversion but showed much better control, as indicated by the
16 lower PDIs ranged from 1.2 to 1.3 (reaction **T-NMP-2**).²¹⁶ The addition of a small amount of acetic
17 anhydride (ACA) to the tubular reactor could increase the conversion by at least 20% without loss
18 of the control.²¹⁶

19

20 Fukuyama et al. also reported NMP of St (reactions **T-NMP-3** and **T-NMP-4**),²¹⁷ BA (reaction **T-**
21 **NMP-5**),²¹⁷ and MMA (reactions **T-NMP-6**)²¹⁷ in tubular microreactors. They reported better
22 control in the tubular microreactor than in a batch reactor. For example, with the residence time of
23 300 min, PSt with $M_n = 8.8$ kg/mol and PDI = 1.09 was prepared at 48% conversion in the tubular
24 microreactor. Meanwhile, the batch reactor resulted in a conversion of only 39% after 300 minutes
25 of reaction, with $M_n = 7.5$ kg/mol and PDI = 1.16 under the same experiment conditions (reaction
26 **T-NMP-3**).²¹⁷ Enright et al also investigated the polymerization kinetics of bulk NMP of St in a
27 tubular reactor and in a batch reactor (reaction **T-NMP-7**).²¹⁸

28

29 There are several reports on the synthesis of DB-1 copolymers by homogeneous NMP in tubular

1 reactors.^{217,219,220} Fukuyama et al. synthesized DB-2 BA/St copolymers via solution NMP by
2 combining two tubular reactors in series (reaction **T-NMP-8**).²¹⁷ Rosenfeld et al studied the effects
3 of micromixer type (reaction **T-NMP-9**)²¹⁹ and geometry (reactions **T-NMP-10** to **T-NMP-12**)²²⁰
4 on the properties of DB-1 copolymers, synthesized in two tubular reactors in series.
5 Multilamination and bilamination micromixers were the two types compared in the study. A higher
6 polymerization rate of the comonomer (St in Rosenfeld's work) and a lower PDI were found with
7 the multilamination micromixer. Moreover, combining the two micromixer types in the tubular
8 reactors resulted in better control than a batch reactor.²¹⁹ The work also showed that the micromixer
9 geometry, varied by the number of microchannels and characteristic lengths, played an important
10 role in the resulting properties of DB-1 copolymers, which could be optimized to obtain a more
11 efficient mixing.²²⁰

12

13

Table 12. Selected examples of homogeneous NMP in tubular reactors.

Reaction No.	Monomer M ₁ /M ₂	Homogeneous system	Reaction condition	F _{1t}	Copolymer profile	solvent	RT	t	T	X	M _n	PDI	Ref
T-NMP-1	St	Solution	288:1:0.05	1.00	Homo	Toluene	100-380	100-380	140	45.0-72.0	15.00-23.00	1.20-1.38	216
							Batch			[52.0-72.0]	[15.00-22.00]	[1.18-1.38]	216
T-NMP-2	BA	Solution	288:1:0.05	1.00	Homo	Toluene	65-380	65-380	140	7.00-28.0	2.500-8.800	1.20-1.30	216
							Batch			[12.0-98.0]	[5.000-30.00]	[1.25-2.80]	216
T-NMP-3	St	Solution	200:1:0	1.00	Homo	2-MPA	30-300	30-300	105	7.00-48.0	2.000-8.800	1.09-1.37	217
							Batch	[300]		[39.0]	[7.500]	[1.16]	217
T-NMP-4	St	Solution	100:1:0	1.00	Homo	2-MPA	30-300	30-300	140	26.0-87.0	6.200-18.50	1.12-1.19	217
							Batch	[300]		[78.0]	[16.70]	[1.26]	217
T-NMP-5	BA	Solution	100:1:0	1.00	Homo	2-MPA	60, 120	N/A	120	77.0, 89.0	10.10, 11.30	1.41, 1.35	217
T-NMP-6	MMA	Solution	100:1:0	1.00	Homo	2-MPA	30-300	30-300	90	38.0-62.0	6.100-11.60	1.53-1.94	217
T-NMP-7	St	Solution	N/A	1.00	Homo	-	75	75-345	135	7.00-9.00	1.490-2.285	1.17-1.18	218
							Batch	[75]		[17.0-17.8]	[3.126-3.186]	[1.14-1.17]	218
T-NMP-8	BA/St	Solution	200:1:0	0.50	DB-2	2-MPA	240	N/A	120-140	76.0	16.30	1.26	217
T-NMP-9	BA/St	Solution	N/A	N/A	DB-1	Toluene	380	N/A	125	96.0-99.0*	26.60-36.60	1.40-1.73	219
							Batch			[99*]	[33.60]	[1.74]	219
T-NMP-10	BA/St	Solution	N/A	N/A	DB-1	Toluene	380	N/A	125-140	92.0-98.0*	28.40-36.60	1.28-1.52	220
T-NMP-11	BA/St	Solution	N/A	N/A	DB-1	Toluene	340	N/A	125-140	97.0-98.0*	39.70-45.70	1.40-1.47	220
T-NMP-12	BA/St	Solution	N/A	N/A	DB-1	Toluene	290	N/A	125-140	98.0-99.0*	44.50-49.60	1.42-1.46	220

Reaction condition = total mole ratios of M: PT: NR; M = monomer; PT = NMP initiator; NR = nitroxide radical; F_{1t} = targeted mole fraction of M₁; RT = residence time (min); T = polymerization temperature (°C); t = polymerization time (min); X = total monomer conversion (%); M_n = number-average molecular weight (kg/mol); PDI = polydispersity index; Homo = homopolymer; N/A = not available in literature; [] = results in a batch reactor, compared with results in a tubular reactor at similar experimental conditions; 2-MPA = 2-methoxypropyl acetate; * BA conversion.

3.3.2. Miniemulsion polymerization

The heterogeneous NMP in tubular reactor was investigated by Cunningham et al.²²¹ Enright et al reported NMP miniemulsion polymerization of St in a tubular reactor at 135°C (reaction **T-NMP-13** in Table 13).²²¹ The polymerization was under good control, as evident from the linear growth of M_n with monomer conversion and from the low PDI (less than 1.5). Furthermore, chain extension experiments showed that a majority of the chains were still living. Both tubular and batch reactors exhibited stable particle latexes and similar kinetics. Conversions at the later stage of polymerization were slightly lower in tubular reactor than in batch reactor, which might be due to axial mixing in the tubular reactor. The volume-average diameters of final particles were 170 nm and 164 nm in tubular and batch reactors, respectively. In addition, they synthesized PSt oligomers from a low conversion (20%) bulk NMP using a batch reactor and used the oligomers as co-stabilizer in the miniemulsion polymerization. The polymerization was then conducted in a tubular reactor. Particles were actually formed before the miniemulsion polymerization, because the monomer/polymer mixtures were used as the organic phase, thus eliminating complex nucleation in the tubular reactor. This work was not a fully continuous process, since the bulk NMP of St was first conducted in a batch reactor prior to the miniemulsion in the continuous tubular reactor. In a subsequent work, the same group developed the whole tubular reactor process.²¹⁸ The kinetics of NMP miniemulsion polymerization in the tubular reactor were shown in reaction **T-NMP-14**.²¹⁸ The volume-average diameter of final particles ranged from 148 to 188 nm. The PDI obtained ranged from 1.19 to 1.34, demonstrating feasibility of producing narrow MWDs of PSt by NMP miniemulsion polymerization in tubular reactors.

The synthesis of DB-1 and TB-1 St/BA copolymers was also reported in a complete continuous tubular reactor by using macroinitiators.²¹⁸ DB-1 St/BA copolymers having $M_n = 20.5\text{-}39.3$ kg/mol and $\text{PDI} = 1.25\text{-}2.02$ were produced with PSt as macroinitiator (reaction **T-NMP-15**).²¹⁸ The volume-average diameter of the final particles ranged from 160 to 200 nm. TB-1 St/BA/St copolymers having $M_n = 58.60$ kg/mol and $\text{PDI} = 2.95$ were produced with DB-1 St/BA copolymer as macroinitiator (reaction **T-NMP-16**)²¹⁸ and the volume-average diameter of the final particles

1 was 213 nm. Both PDI and particle size increased with increasing number of blocks.

2

3

Table 13. Selected examples of heterogeneous NMP in tubular reactors.

Reaction No.	Monomer M ₁ /M ₂	Heterogeneous system	Reaction condition	F _{1t}	Copolymer profile	Solvent	RT	T	t	X	M _n	PDI	Ref
T-NMP-13	St	Miniemulsion	N/A	1.00	Homo	-	N/A	135	200	87.0	24.00	1.30	²²¹
							Batch		[210]	[94.0]	[27.00]	[1.32]	²²¹
T-NMP-14	St	Miniemulsion	N/A	1.00	Homo	-	180	135	N/A	82.6-99.1	15.50-25.23	1.19-1.34	²¹⁸
T-NMP-15	St/BA	Miniemulsion	N/A	N/A	DB-1	-	180	135	N/A	85.6-99.9*	20.50-39.30	1.25-2.02	²¹⁸
T-NMP-16	St/BA	Miniemulsion	N/A	N/A	TB-1	-	120	135	N/A	91.5*	58.60	2.95	²¹⁸

4 Reaction condition = total mole ratios of M: PT: NR; M = monomer; PT = NMP initiator; NR = nitroxide radical; F_{1t} =
 5 targeted mole fraction of M₁; RT = residence time (min); T = polymerization temperature (°C); t = polymerization time
 6 (min); X = total monomer conversion (%); M_n = number-average molecular weight (kg/mol); PDI = polydispersity
 7 index; Homo = homopolymer; N/A = not available in literature; [] = results in a batch reactor, compared with results
 8 in a tubular reactor at similar experimental conditions; * St conversion.

9

10 3.4. Summary

11

12 Numerous literature reported the investigation of homogeneous and heterogeneous CRP systems
 13 using tubular reactors, with pioneering work done by Zhu's group for ATRP systems. The kinetics
 14 observed in tubular reactors were in general similar to those in batch reactors. Moreover, the
 15 characteristics of living polymerization in batch reactors were present in tubular reactors. These
 16 studies also showed that the mean residence time (RT) and residence time distribution (RTD) play
 17 an important role in the polymerization kinetics.

18

19 Tubular reactors are efficient for conducting continuous CRP. For example, the column reactor
 20 packed with silica-gel supported catalysts and the copper tubular reactor used as catalyst source
 21 both improved the catalyst efficiency significantly in the ATRP systems. The removal or
 22 modification of RAFT end group takes only minutes to complete in tubular reactors. The large
 23 surface-to-volume ratio of tubular reactors offers excellent heat transfer ability, allowing better
 24 control over highly exothermic reactions than in a batch reactor.

25

1 Table 14 summarizes the polymers having various composition profiles produced from CRP using
 2 tubular reactors, with a majority of the studies conducted for homopolymers, followed by di-block
 3 copolymers, and then multi-block copolymers having three or more blocks.

4

5 Tubular reactors are advantageous for production of block copolymers. For example, in batch
 6 reactors, the synthesis of di-block copolymers usually involves two steps. However, di-block
 7 copolymers can be prepared by using two tubular reactors in series. Moreover, the di-block
 8 copolymer properties can be improved by optimizing the geometry of micromixer. Conducting CRP
 9 in tubular reactors also allows production of block copolymers having five blocks with PDI less
 10 than 1.5. In comparison, the block copolymers having only three blocks from batch reactors give
 11 PDI of 1.9. It should be noted that Table 14 only summarizes linear polymerization in tubular
 12 reactors. There was also one paper from Serra et al that reported branching polymerization by
 13 ATRP. The polymerization rate and branching efficiency were improved in tubular reactors in
 14 comparison to those in batch reactors.

15

16 It is evident from Table 14 that tubular reactors are employed mostly in ATRP, much less in NMP.
 17 This may be due to the high temperature used in NMP. It is also clear that homogeneous systems
 18 are mostly studied in tubular reactors, because they are less complicated than heterogeneous
 19 systems. Among the heterogeneous systems, miniemulsion polymerization was mostly studied, with
 20 little reported work on emulsion polymerization to date.

21

22

Table 14. Summary of different polymers made by CRP in tubular reactors

System	Homopolymer	di-block	Multi-block (≥ 3)
ATRP (Homogeneous)	T-ATRP-1 T-ATRP-2 T-ATRP-3	T-ATRP-10 T-ATRP-11 T-ATRP-12 T-ATRP-13	
	T-ATRP-4 T-ATRP-5 T-ATRP-6		
	T-ATRP-7 T-ATRP-8 T-ATRP-9		
ATRP (Heterogeneous)	T-ATRP-16 T-ATRP-17 T-ATRP-18	T-ATRP-19	
	T-ATRP-20 T-ATRP-21 T-ATRP-22		
	T-ATRP-23 T-ATRP-24 T-ATRP-25		
RAFT (Homogeneous)	T-RAFT-1 T-RAFT-2 T-RAFT-3	T-RAFT-8	T-RAFT-9
	T-RAFT-4 T-RAFT-5 T-RAFT-6		T-RAFT-10
	T-RAFT-7		T-RAFT-11

RAFT (Heterogeneous)	T-RAFT-12 T-RAFT-13 T-RAFT-14 T-RAFT-15 T-RAFT-16 T-RAFT-17		
NMP (Homogeneous)	T-NMP-1 T-NMP-2 T-NMP-3 T-NMP-4 T-NMP-5 T-NMP-6 T-NMP-7	T-NMP-8 T-NMP-9 T-NMP-10 T-NMP-11 T-NMP-12	
NMP (Heterogeneous)	T-NMP-13 T-NMP-14	T-NMP-15	T-NMP-16

1

2 **4. CSTR**

3

4 Reports on CRP systems using CSTRs were scattered, with only a few examples with ATRP and
5 RAFT polymerization. Selective CSTR works on ATRP and RAFT are summarized in Table 15 and
6 Table 16, respectively.

7

8 **4.1. ATRP**

9

10 Chan et al employed a CSTR system for copper-mediated CRP.²²² In the work, SET-LRP of MA
11 with copper wire was conducted in CSTR at 30°C. The effects of residence time (RT), copper
12 surface area, and ligand concentration on the kinetics of SET-LRP of MA were thoroughly
13 investigated. The increase of RT led to the increase of conversion and M_n , while it had a minor
14 effect on PDI at the steady state. For example, when the RT was increased from 30 to 90 min, the
15 conversion increased from 40.9 to 65.8% and M_n increased from 4.67 to 6.73 kg/mol, while PDI
16 remained unchanged at about 1.78 to 1.79 (reaction **C-ATRP-1**).²²²

17

18 A higher copper surface area resulted in a higher rate of polymerization. When the copper surface
19 area was increased from 5.88 to 23.52 cm², the steady-state conversion increased from 52.3 to
20 61.7% with M_n ranged from 6.19 to 6.67 kg/mol and PDI remained around 1.72 to 1.81 (reaction **C-**
21 **ATRP-2**).²²² Reducing the ligand concentration led to a slight drop in the polymerization rate, but
22 only had a little effect on the control of molecular weight. For example, a reduction of the ligand
23 concentration by five-fold with RT = 60 min and copper surface area of 5.88 cm² resulted in a
24 decrease of the steady-state conversion from 56.2 to 52.3%, while M_n and PDI were in the range of

1 5.87 to 6.33 kg/mol and 1.72 to 1.78, respectively (reaction **C-ATRP-3**).²²²

2

3 Furthermore, SET-LRP of MA was also conducted in a train of two CSTRs in series. From the first
4 CSTR to the second CSTR, the conversion increased from 50.0-55.0% to 69.0-73.0%, M_n increased
5 from 6.090 to 7.434 kg/mol, with PDI decreased from 1.70 to 1.55 (reaction **C-ATRP-4**).²²² Chain
6 extension experiments in a batch reactor revealed that polymer chains in the final product were still
7 living.

8

9 Recently, Chan et al conducted ARGET ATRP in CSTR.²²³ Solution ARGET ATRP of BA and
10 MMA were both conducted in CSTRs with RT = 60, 90, and 120 min at 90°C. Steady states were
11 reached within four mean residence times. When RT increased from 60 to 120 min, BA conversion
12 increased from 38.7 to 56.1%, while M_n increased from 5.85 to 8.50 kg/mol and PDI increased from
13 1.82 to 1.92. The same increase in RT led to an increase of MMA conversion from 33.7 to 53.5%
14 and M_n from 10.09 to 12.12 kg/mol, but a decrease of PDI from 1.96 to 1.89 (reactions **C-ATRP-5**
15 **and C-ATRP-6**).²²³ Compared with the batch reactor counterparts, faster rates of polymerization
16 were observed in CSTRs. This is due to a higher steady-state concentration of reducing agent in
17 CSTR, in contrast to a gradual depletion of the reducing agent in batch reactor. Chain extension
18 experiments indicated good livingness despite the broad MWD from CSTR systems.

19

20

Table 15. Selected examples of ATRP in CSTRs

Reaction No.	Monomer M ₁ /M ₂	Polymerization system	Reaction condition	F _{1t}	Copolymer profile	solvent	RT	T	t	X	M _n	PDI	Ref
C-ATRP-1	MA	Heterogeneous catalyst	[M]:[RX]:[L] = 100:1:0.05	1.00	Homo	DMSO	30-90	30	210-630	40.9-65.2	4.67-6.73	1.78-1.79	²²²
C-ATRP-2	MA	Heterogeneous catalyst	[M]:[RX]:[L] = 100:1:0.01	1.00	Homo	DMSO	60	30	420	52.3-61.7	6.33-6.67	1.72-1.81	²²²
C-ATRP-3	MA	Heterogeneous catalyst	[M]:[RX]:[L] = 100:1:0.01, 100:1:0.05	1.00	Homo	DMSO	60	30	420	52.3, 56.2	6.33, 5.87	1.72, 1.78	²²²
C-ATRP-4*	MA	Heterogeneous catalyst	[M]:[RX]:[L] = 100:1:0.05	1.00	Homo	DMSO	60	30	840	50.0-55.0, 69.0-73.0	6.090, 7.343	1.70, 1.55	²²²
C-ATRP-5	BA	Solution	100:1:0.005:0.005	1.00	Homo	DMF	60-120	90	420-840	38.7-56.1	5.85-8.50	1.82-1.92	²²³
							Batch		[360]	[95.0]	[11.46]	[1.36]	²²³
C-ATRP-6	MMA	Solution	100:1:0.005:0.005	1.00	Homo	Anisole/DMF	60-120	90	420-840	33.7-53.5	10.09-12.12	1.89-1.96	²²³
							Batch		[360]	[91.0]	[12.30]	[1.46]	²²³

Reaction condition = total mole ratios of M: RX: C: L; M = monomer; RX = ATRP initiator; C = catalyst; L = ligand; F_{1t} = targeted mole fraction of M₁; RT = residence time (min); T = polymerization temperature (°C); t = polymerization time (min); X = total monomer conversion (%); M_n = number-average molecular weight (kg/mol); PDI = polydispersity index; Homo = homopolymer; DMSO = dimethyl sulfoxide; DMF = dimethylformamide; * two CSTRs in series.

4.2. RAFT

Koch and Busch reported the homogeneous RAFT of St in CSTR at elevated temperature and pressure.²⁰⁴ The effect of RT on polymerization kinetics was investigated. At 120°C and 120 bar, when RT was increased from 10 to 40 min, PSt yield increased about 13 to 20%, M_n increased from 10 to 18.5 kg/mol, while PDI decreased from 1.92 to 1.70 (reaction **C-RAFT-1**).²⁰⁴

The only study reported in literature on the heterogeneous RAFT using CSTR was by Schork's group.²²⁴⁻²²⁶ Smulders et al investigated the kinetics of RAFT miniemulsion polymerization of styrene in a train of three CSTRs at 70°C (reaction **C-RAFT-2**).²²⁴ Increasing the number of CSTRs increased the conversion and M_n , and decreased the PDI. However, steady state could not be achieved in this study, as evidenced from the increase of conversion over time. They attributed the unsteady state to the possible continuous formation of oligomeric RAFT agents, which promoted polymerization rate. Compared to batch reactor, particle nucleation efficiencies were lower in CSTRs, because there existed a larger difference in the polymer contents among particles, that could promote coalescences of monomer droplets and particles.

In a subsequent study, Qi et al further investigated the unsteady state observed in continuous RAFT miniemulsion in CSTRs. They considered two different factors that might cause the unsteady state, namely the reaction mechanism itself and the equipment design. By modifying the equipment design and conducting RAFT under similar experimental condition as in the previous work, a steady-state behavior was achieved (reaction **C-RAFT-3**).²²⁵ Therefore, the previously unsteady state was found to be caused by equipment design and operation, not the reaction mechanism itself.

Furthermore, Smulders et al also reported the synthesis of block copolymers by CRP in CSTR. A series of St/BA block copolymers were successfully produced by RAFT miniemulsion polymerization in a train of four CSTRs (reaction **C-RAFT-4**).²²⁶ Their results not only demonstrated the feasibility of block copolymer production using a CSTR train, but also showed that copolymer composition and block chain length could be easily regulated by the monomer

1 feeding rate, the injection point of comonomer, and/or the polymerization temperature.²²⁶

2

3

Table 16. Selected examples of RAFT polymerization in CSTRs.

Reaction No.	Monomer M ₁ /M ₂	Polymerization system	Reaction condition	F _{1t}	Copolymer profile	solvent	RT	T	t	X	M _n	PDI	Ref
C-RAFT-1	St	Solution	[RAFT]:[I] = 4:3	1.00	Homo	Toluene	10-40	120	N/A	N/A	10.00-18.50	1.70-1.92	204
C-RAFT-2*	St	Miniemulsion	300:1:1	1.00	Homo	-	106	70	2620	25.0	10.00	2.60	224
							106	70	2620	45.0	22.00	1.88	
							106	70	2620	60.0	30.00	1.63	
C-RAFT-3*	St	Miniemulsion	300:1:1	1.00	Homo	-	120	70	2340	17.0	4.500	1.77	225
							120	70	2340	30.0	7.400	1.75	
							120	70	2340	41.0	9.700	1.65	
C-RAFT-4**	St/BA	Miniemulsion	480:1:1	0.635	Block	-	133	74	3600	25.0	25.00	2.60	226
							133	74	3600	42.0	31.00	2.13	
							133	71	3600	71.0	46.00	2.70	
							133	71	3600	86.0	53.00	2.65	

4 Reaction condition = total mole ratios of M: RAFT:I; M = monomer; RAFT = RAFT agent; I = conventional initiator;
 5 F_{1t} = targeted mole fraction of M₁; RT = residence time (min); T = polymerization temperature (°C); t = polymerization
 6 time (min); X = total monomer conversion (%); M_n = number-average molecular weight (kg/mol); PDI = polydispersity
 7 index; Homo = homopolymer; N/A = not available in literature; [] = results in a batch reactor, compared with results
 8 in a semi-batch reactor at similar experimental conditions; * three CSTRs in series; ** four CSTRs in series.

9

10 4.3. Summary

11

12 There are only a few reported studies on CRP conducted in CSTRs. However, the kinetic
 13 characteristics of CRP in CSTR can be drawn from these studies. Similar to tubular reactors, both
 14 RTD and RT play important roles in the polymerization processes. The steady state can usually be
 15 achieved after several RTs. Living characteristics can be retained when CRP is conducted in CSTRs.
 16 However, broader MWDs should be expected compared to the polymers produced in batch and
 17 tubular reactors, due to the broader RTD in CSTR systems. Both polymerization rate and molecular
 18 weight increased with the increasing number of CSTRs in a CSTR train, while an opposite trend
 19 was observed for PDI. Block copolymers can also be produced by using CSTRs in series.
 20 Furthermore, copolymer composition and block chain length can be controlled by monomer feeding
 21 rate and injection point of the comonomer.

22

1 Homogenous and heterogeneous ATRP in CSTRs have only been reported by Cunningham's group.
2 The literature of RAFT miniemulsion polymerization in CSTRs is mainly contributed from Schork's
3 group. To date, little work on NMP in CSTR has been reported. It is not easy to develop CRP from
4 batch reactors to CSTRs. Despite the limited references, the feasibility of conducting CRP in
5 CSTRs is clearly demonstrated.

6

7 **5. Progress in reaction engineering of CRP**

8

9 Since CRP was first developed into semi-batch reactors in 1997 by Matyjaszewski's group, and the
10 development into continuous reactors first reported in 2000 by Zhu's group, there have been
11 significant progress made in the reactor engineering of CRP. Numerous fundamental research
12 works on CRP with various reactor configurations have been reported. Semi-batch reactors are
13 mainly used to control copolymer composition distribution (CCD), as summarized in Table 7. More
14 importantly, programmed feeding policies have been developed to precisely synthesize copolymers
15 with pre-defined CCDs at will. Many researchers have also investigated the effects of CCDs on
16 copolymer properties. The development of the relationships between polymer chain structures and
17 polymer material properties becomes essential and has been making good progress. On the other
18 hand, low molecular weight polymers (such as coatings) have also been produced by CRP using
19 semi-batch reactors. The kinetics of CRP in tubular reactors and CSTRs under different operation
20 conditions have also been thoroughly investigated, which provides fundamental insight and
21 practical guidance to optimize polymerization processes and/or to improve polymer properties in
22 continuous reactors. The feasibility of CRP in continuous reactors has been well demonstrated.

23

24 Table 17 summarizes the reported residence time (RT) of continuous reactors (tubular reactor and
25 CSTR). Four RT intervals are defined, (1) $RT \leq 40$ min, (2) $40 < RT \leq 100$ min, (3) $100 < RT <$
26 $= 200$ min and (4) $RT > 200$ min. The number of literatures on tubular reactors conducted in each
27 RT range is comparable. However, for CSTRs, most studies are conducted in the ranges of 40-100
28 min and 100-200 min. Moreover, CRP with RT longer than 200 min in CSTR is rare.

29

1 The data of conversion, number-average molecular weight and polydispersity index discussed in
2 Table 1-6, Table 8-13, Table 15, and Table 16 are summarized in Table 18, Table 19, and Table 20,
3 respectively. Generally speaking, the conversions obtained in semi-batch reactors are higher than
4 those obtained in continuous reactors, as shown in Table 18. In semi-batch reactors, most CRP can
5 reach high conversions, ranged from 80 to 100%, with only a few reported conversions lower than
6 40%. In tubular reactors and CSTRs, the conversions are mostly in the range of 40-60% and 60-
7 80%.

8

9 The number-average molecular weight of polymers produced by CRP using various reactors mostly
10 fall in the range of 10-50 kg/mol. Semi-batch reactors can produce higher molecular weight ($M_n >$
11 100 kg/mol). Such high molecular weight products have not been reported in tubular reactors and
12 CSTRs. However, the number of studies reporting on synthesis of low molecular weight polymers
13 ($M_n \leq 10$ kg/mol) using tubular reactors and CSTRs, is higher than that of semi-batch reactors. A
14 majority of the CRP systems conducted in various reactor types discussed in this review showed
15 good control in the polymerization with the reported PDI from 1.1 to 1.5. However, polymers
16 produced in CSTRs generally possessed higher PDI ($PDI > 1.5$) than those from semi-batch and
17 tubular reactors.

18

19

Table 17. Summary of residence time (RT) of continuous reactors

Reactor type	Residence time (minutes)			
	< = 40	40 – 100	100 - 200	> 200
tubular	T-ATRP-9 T-ATRP-16 T-ATRP-20 T-ATRP-21 T-ATRP-22 T-ATRP-23 T-ATRP-25	T-ATRP-11 T-ATRP-14 T-ATRP-16 T-ATRP-17 T-ATRP-22 T-ATRP-25	T-ATRP-4 T-ATRP-5 T-ATRP-15 T-ATRP-16 T-ATRP-17 T-ATRP-18	T-ATRP-6 T-ATRP-8 T-ATRP-16 T-ATRP-17 T-ATRP-18
	T-RAFT-6 T-RAFT-15	T-RAFT-1 T-RAFT-2 T-RAFT-3 T-RAFT-4 T-RAFT-5 T-RAFT-12 T-RAFT-13 T-RAFT-14 T-RAFT-15 T-RAFT-16 T-RAFT-17	T-RAFT-12 T-RAFT-13 T-RAFT-14	T-RAFT-12 T-RAFT-13
	T-NMP-3 T-NMP-4 T-NMP-6	T-NMP-1 T-NMP-2 T-NMP-3 T-NMP-4 T-NMP-5 T-NMP-6 T-NMP-7	T-NMP-1 T-NMP-2 T-NMP-3 T-NMP-4 T-NMP-5 T-NMP-6 T-NMP-14 T-NMP-15 T-NMP-16	T-NMP-1 T-NMP-2 T-NMP-3 T-NMP-4 T-NMP-6 T-NMP-8 T-NMP-9 T-NMP-10 T-NMP-11 T-NMP-12
	C-ATRP-1	C-ATRP-1 C-ATRP-2 C-ATRP-3 C-ATRP-4 C-ATRP-5 C-ATRP-6	C-ATRP-5 C-ATRP-6	
CSTR	C-RAFT-1		C-RAFT-2 C-RAFT-3 C-RAFT-4	

Table 18. Summary of conversions made by CRP in reactors

Reactor type	Conversion (%)			
	< = 40	40 – 60	60 - 80	80 - 100
Semi-batch		S-ATRP-11 S-ATRP-12 S-ATRP-13 S-ATRP-16 S-ATRP-19	S-ATRP-3 S-ATRP-14 S-ATRP-19	S-ATRP-2 S-ATRP-4 S-ATRP-5 S-ATRP-6 S-ATRP-7 S-ATRP-8 S-ATRP-14 S-ATRP-15 S-ATRP-17 S-ATRP-18
		S-RAFT-1 S-RAFT-2	S-RAFT-2 S-RAFT-3 S-RAFT-4 S-RAFT-10 S-RAFT-17 S-RAFT-18	S-RAFT-7 S-RAFT-8 S-RAFT-10 S-RAFT-11 S-RAFT-12 S-RAFT-13 S-RAFT-14 S-RAFT-15 S-RAFT-16 S-RAFT-17
	S-NMP-1 S-NMP-21 S-NMP-22	S-NMP-1 S-NMP-2 S-NMP-4 S-NMP-22	S-NMP-1 S-NMP-2 S-NMP-3 S-NMP-7 S-NMP-18 S-NMP-20 S-NMP-22	S-NMP-1 S-NMP-2 S-NMP-5 S-NMP-8 S-NMP-9 S-NMP-10 S-NMP-11 S-NMP-16 S-NMP-17 S-NMP-18 S-NMP-19 S-NMP-20
tubular	T-ATRP-1 T-ATRP-2 T-ATRP-3 T-ATRP-6 T-ATRP-7 T-ATRP-8 T-ATRP-9 T-ATRP-10 T-ATRP-11 T-ATRP-16 T-ATRP-17 T-ATRP-18 T-ATRP-22	T-ATRP-1 T-ATRP-2 T-ATRP-3 T-ATRP-5 T-ATRP-6 T-ATRP-7 T-ATRP-8 T-ATRP-10 T-ATRP-16 T-ATRP-17 T-ATRP-18 T-ATRP-20 T-ATRP-21 T-ATRP-22 T-ATRP-24	T-ATRP-1 T-ATRP-2 T-ATRP-3 T-ATRP-4 T-ATRP-6 T-ATRP-7 T-ATRP-9 T-ATRP-10 T-ATRP-14 T-ATRP-15 T-ATRP-16 T-ATRP-17 T-ATRP-18 T-ATRP-20 T-ATRP-21 T-ATRP-22 T-ATRP-23 T-ATRP-25	T-ATRP-1 T-ATRP-2 T-ATRP-3 T-ATRP-6 T-ATRP-7 T-ATRP-16 T-ATRP-25
	T-RAFT-14 T-RAFT-15 T-RAFT-16	T-RAFT-7 T-RAFT-13 T-RAFT-14 T-RAFT-15 T-RAFT-16	T-RAFT-2 T-RAFT-12 T-RAFT-14 T-RAFT-15 T-RAFT-16 T-RAFT-17	T-RAFT-1 T-RAFT-2 T-RAFT-3 T-RAFT-4 T-RAFT-5 T-RAFT-14 T-RAFT-15
	T-NMP-2 T-NMP-3 T-NMP-4 T-NMP-6 T-NMP-7	T-NMP-1 T-NMP-3 T-NMP-4 T-NMP-6	T-NMP-1 T-NMP-4 T-NMP-5 T-NMP-6 T-NMP-8	T-NMP-4 T-NMP-5 T-NMP-9 T-NMP-10 T-NMP-11 T-NMP-12 T-NMP-13 T-NMP-14 T-NMP-15 T-NMP-16
CSTR	C-ATRP-5 C-ATRP-6	C-ATRP-1 C-ATRP-2 C-ATRP-3 C-ATRP-4 C-ATRP-5 C-ATRP-6	C-ATRP-1 C-ATRP-2 C-ATRP-4	
	C-RAFT-2 C-RAFT-3 C-RAFT-4	C-RAFT-2 C-RAFT-3 C-RAFT-4	C-RAFT-4	C-RAFT-4

Table 19. Summary of number-average molecular weight (M_n) made by CRP in reactors

Reactor type	M_n (kg/mol)			
	≤ 10	10 – 50	50 - 100	> 100
Semi-batch	S-ATRP-14 S-ATRP-15	S-ATRP-1 S-ATRP-3 S-ATRP-4 S-ATRP-5 S-ATRP-6 S-ATRP-7 S-ATRP-8 S-ATRP-9 S-ATRP-10 S-ATRP-12 S-ATRP-13 S-ATRP-14 S-ATRP-16 S-ATRP-18 S-ATRP-19	S-ATRP-2 S-ATRP-10 S-ATRP-11	S-ATRP-10
		S-RAFT-1 S-RAFT-3 S-RAFT-4 S-RAFT-5 S-RAFT-6 S-RAFT-9 S-RAFT-10 S-RAFT-11 S-RAFT-12 S-RAFT-13 S-RAFT-16 S-RAFT-17 S-RAFT-18	S-RAFT-8 S-RAFT-9 S-RAFT-10 S-RAFT-14	S-RAFT-7 S-RAFT-8 S-RAFT-14 S-RAFT-15
	S-NMP-1	S-NMP-1 S-NMP-2 S-NMP-3 S-NMP-4 S-NMP-6 S-NMP-7 S-NMP-10 S-NMP-14 S-NMP-18 S-NMP-19 S-NMP-20 S-NMP-21 S-NMP-22	S-NMP-3 S-NMP-5 S-NMP-8 S-NMP-9 S-NMP-10 S-NMP-11 S-NMP-12 S-NMP-13 S-NMP-14 S-NMP-16 S-NMP-17 S-NMP-19 S-NMP-20	S-NMP-15 S-NMP-16
tubular	T-ATRP-1 T-ATRP-2 T-ATRP-3 T-ATRP-4 T-ATRP-5 T-ATRP-6 T-ATRP-7 T-ATRP-9 T-ATRP-10 T-ATRP-14 T-ATRP-15 T-ATRP-16 T-ATRP-17 T-ATRP-18 T-ATRP-20 T-ATRP-21 T-ATRP-22 T-ATRP-24 T-ATRP-25	T-ATRP-1 T-ATRP-2 T-ATRP-3 T-ATRP-6 T-ATRP-8 T-ATRP-10 T-ATRP-11 T-ATRP-12 T-ATRP-13 T-ATRP-16 T-ATRP-17 T-ATRP-18 T-ATRP-19 T-ATRP-22 T-ATRP-23		
	T-RAFT-5 T-RAFT-7 T-RAFT-8 T-RAFT-15	T-RAFT-1 T-RAFT-2 T-RAFT-3 T-RAFT-4 T-RAFT-6 T-RAFT-9 T-RAFT-10 T-RAFT-11 T-RAFT-12 T-RAFT-13 T-RAFT-14 T-RAFT-15 T-RAFT-16 T-RAFT-17	T-RAFT-17	

	T-NMP-2 T-NMP-3 T-NMP-4 T-NMP-6 T-NMP-7	T-NMP-1 T-NMP-4 T-NMP-5 T-NMP-6 T-NMP-8 T-NMP-9 T-NMP-10 T-NMP-11 T-NMP-12 T-NMP-13 T-NMP-14 T-NMP-15	T-NMP-16
CSTR	C-ATRP-1 C-ATRP-2 C-ATRP-3 C-ATRP-4 C-ATRP-5	C-ATRP-6	
	C-RAFT-3	C-RAFT-1 C-RAFT-2 C-RAFT-4	C-RAFT-4

Table 20. Summary of PDI made by CRP in reactors

Reactor type	PDI			
	≤ 1.1	1.1 - 1.5	1.5 - 2.0	> 2.0
Semi-batch	S-ATRP-9 S-ATRP-10 S-ATRP-13 S-ATRP-14	S-ATRP-1 S-ATRP-2 S-ATRP-3 S-ATRP-4 S-ATRP-5 S-ATRP-6 S-ATRP-7 S-ATRP-8 S-ATRP-11 S-ATRP-12 S-ATRP-14 S-ATRP-16 S-ATRP-17 S-ATRP-18 S-ATRP-19	S-ATRP-15	
	S-RAFT-16 S-RAFT-17	S-RAFT-1 S-RAFT-3 S-RAFT-4 S-RAFT-5 S-RAFT-6 S-RAFT-10 S-RAFT-11 S-RAFT-12 S-RAFT-13 S-RAFT-14 S-RAFT-16	S-RAFT-8 S-RAFT-10 S-RAFT-14 S-RAFT-15	S-RAFT-7 S-RAFT-8 S-RAFT-9 S-RAFT-10 S-RAFT-14 S-RAFT-18
		S-NMP-1 S-NMP-2 S-NMP-3 S-NMP-4 S-NMP-5 S-NMP-7 S-NMP-8 S-NMP-9 S-NMP-10 S-NMP-11 S-NMP-13 S-NMP-16 S-NMP-17 S-NMP-18 S-NMP-20 S-NMP-22	S-NMP-15 S-NMP-16 S-NMP-18 S-NMP-20 S-NMP-21 S-NMP-22	S-NMP-19 S-NMP-20

	T-ATRP-1 T-ATRP-2 T-ATRP-3 T-ATRP-4 T-ATRP-12	T-ATRP-1 T-ATRP-2 T-ATRP-3 T-ATRP-5 T-ATRP-6 T-ATRP-7 T-ATRP-8 T-ATRP-9 T-ATRP-10 T-ATRP-11 T-ATRP-12 T-ATRP-13 T-ATRP-17 T-ATRP-18 T-ATRP-20 T-ATRP-21 T-ATRP-22 T-ATRP-23 T-ATRP-24 T-ATRP-25	T-ATRP-16 T-ATRP-17 T-ATRP-18 T-ATRP-19	T-ATRP-14 T-ATRP-15
tubular	T-RAFT-15 T-RAFT-16	T-RAFT-1 T-RAFT-2 T-RAFT-3 T-RAFT-4 T-RAFT-5 T-RAFT-6 T-RAFT-7 T-RAFT-8 T-RAFT-9 T-RAFT-10 T-RAFT-11 T-RAFT-12 T-RAFT-14 T-RAFT-16 T-RAFT-17	T-RAFT-13 T-RAFT-14	
	T-NMP-3	T-NMP-1 T-NMP-2 T-NMP-3 T-NMP-4 T-NMP-5 T-NMP-7 T-NMP-8 T-NMP-9 T-NMP-10 T-NMP-11 T-NMP-12 T-NMP-13 T-NMP-14 T-NMP-15	T-NMP-6 T-NMP-9 T-NMP-10 T-NMP-15	T-NMP-15 T-NMP-16
CSTR			C-ATRP-1 C-ATRP-2 C-ATRP-3 C-ATRP-4 C-ATRP-5 C-ATRP-6	
			C-RAFT-1 C-RAFT-2 C-RAFT-3	C-RAFT-2 C-RAFT-4

1 **6. Outlook and recommendations**

2

3 It becomes evident that ATRP is one of the most studied CRP types in each reactor category.
4 Approximately half of the reports on CRP are based on ATRP. It is interesting to note that ATRP
5 was also the first CRP system conducted in semi-batch and continuous reactors. The chemicals
6 involved in ATRP are readily available from commercial sources. Moreover, metal complexes used
7 to mediate the ATRP process can now be reduced to a ppm level. The number of reports on RAFT is
8 much lower than ATRP but is comparable to NMP. However, little work has been done in NMP
9 using CSTRs to date.

10

11 There are more studies on CRP using semi-batch reactors than tubular or CSTR reactors. In terms of
12 the ease of operation, semi-batch reactor is easier than continuous reactor. Furthermore, it is more
13 feasible to control CCDs using semi-batch reactors by varying the monomer feeding. A narrow
14 MWD of polymer products is a key point for CRP systems, but continuous reactors can result in
15 broader MWD than semi-batch reactors due to the broader RTD in continuous reactors. However,
16 polymer products with more consistent chain properties can be produced from continuous reactors
17 than from semi-batch reactors.

18

19 Continuous reactors are preferred in industrial settings for a large-scale production. Continuous
20 CRP processes using various types of reactors must be further developed, particularly for CSTR.
21 The challenges related to the continuous systems need to be tackled. For example, how do we
22 control CCD in continuous reactors? It is well known that polymer products with uniform CCD (U)
23 and block (DB-1) copolymers can be produced in continuous reactors, but how to produce polymer
24 products with novel CCDs (such as LG and SG)? It would represent a significant progress if
25 copolymers with pre-defined CCDs can be precisely synthesized through continuous processes.
26 Fortunately, kinetic modeling works on different kinds of CRPs in continuous reactors have
27 provided a great foundation for precise control of CCDs in continuous processes.²²⁷⁻²³¹

28

29 More than half of the reported CRP works involve homogeneous systems. However, the

1 heterogeneous systems, such as emulsion polymerization, are favored in industrial applications,
2 because of low energy consumption, low cost of product separation, and green environment with
3 water usually used as solvent. It should be pointed out that heterogeneous CRP systems using
4 different reactors are mainly conducted as miniemulsion. Compared to emulsion, miniemulsion
5 requires extra energy in ultrasonication. Therefore, the emphasis in further research on the
6 heterogeneous CRP with different reactors should be on continuous emulsion polymerization.

7

8 Precise control over CCD through programmed feeding policies has been well developed. The goal
9 for the programmed feeding policy has been on targeted CCDs. In applications, tailored made
10 material properties of polymer products, not chain structures, are most desirable. Further
11 development in this area should target on the desired material properties directly, through design
12 and control of chain structures based on the relationships between chain structure and polymer
13 property. On the other hand, there are only a few reports on the control of chain topologies. Reports
14 on nonlinear CRP (such as branching and cross-linking) using different types of reactors are rare.
15 Nonlinear CRP in continuous reactors represents an important research area, yet to be explored.

16

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18

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22

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