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Palladium Nanoparticles in the Catalysis of Coupling Reactions

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Palladium catalyzed coupling reaction have emerged as a versatile, convenient, selective and mild protocol that can usually be adapted in any synthetic scheme for important target molecules with various degrees of structural complexity. While anchored catalysts offer recycling advantages, palladium nanoparticles display an 10 impressive ability to catalyse coupling reactions. Along with their successful applications in organic synthesis, a controversy has also arisen concerning the exact nature – heterogeneous or homogeneous – of the reaction catalyzed by palladium nanoparticles.

1. Introduction

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Traditional heterogeneous catalysts are finely dispersed metal powders – supported on an oxide or similar non-participating matrix, or uncomplexed – that have the obvious advantages of separation from reactant-product mixtures and therefore potential recycling. The crystallinity of the metal determines the number of ²⁰catalytically active atoms per unit area of the surface, which, in turn, governs its catalytic activity.¹ Often these active centres are also sites of undesirable reactions

- (with acids or oxidants) detrimental for catalytic efficiency. Nanoparticles offer a miniaturized version of metal particles.² Since the ratio of surface area to volume is large for nano-sized atomic clusters, these have been extensively investigated for $25 \text{ improved catalytic function}$.³ Decades of research has shown that many such
- nanoparticles are indeed excellent catalysts for useful organic transformations. However, the actual nature of their involvement in catalysis has not been unambiguously established, although a large body of literature exists and several review articles have been published.⁴ The present article attempts to take a holistic
- ³⁰view of the different thoughts and experiments reported so far, and to clarify conflicting notions. Attention is restricted to use of palladium nanoparticles (PdNps) in catalysis of Suzuki-Miyaura and Heck reactions, a representative area where a large body of datais available. Reactions in ionic liquids⁵ are deliberately omitted as this reaction medium is clearly different from organic solvents and a direct 35 comparison of reactivity or mechanism can be misleading.

2. Palladium nanoparticles

Palladium nanoparticles are easy to prepare and can be obtained in different sizes.⁶ ⁴⁰The more common range of nanoparticle diameter used in organic synthesis is 6- 12^{6b-c} nm while there are several reports of the use of particles with smaller sizes, e.g. 1-4 nm.^{6d-g} Convenience, catalytic efficiency and recycling ability of palladium

nanoparticles are well-established beneficial features, evident from the widely reported experimental data; yet, whether catalysis occurs on the nanoparticle surface

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3. Possible scenarios

The various possibilities that have been considered in trying to understand the ¹⁵process of palladium nanoparticle catalysis of coupling are summarized in the following sections :

(i) Catalysis on a nanoparticle surface (heterogeneous catalysis)

- ²⁰Catalytic transformations of molecules occur on the nanoparticle surface, probably on defect sites.⁷ This thought, coupled with the expectation of high catalytic efficiency of nanoparticles with high surface/volume ratios, gained currency, particularly in the early literature. Two papers published in 1996 reported significant initial observations. Beller *et al.*⁸ obtained 97% conversion in a Heck reaction of 4-
- 25 bromoacetophenone and butyl acrylate at 140 \degree C in the presence of 0.05 mol% of Pd-colloids in 5 min (Scheme 1). Addition of triphenylphosphine seriously retarded the rate of reaction (93% conversion after 5 h).

Scheme 1

Reetz reported⁹ a similar observation with preformed palladium nanoparticles (PdNps) stabilized by quaternary ammonium salt [pioneered by Jeffrey].¹⁰ Both research groups observed that chlorobenzene was not an ideal reaction partner. It ³⁵was inferred that "catalysis is likely to occur at defect sites, steps and kinks on the surface of the colloidal metal particles, a process that is more closely related to heterogeneous catalysis."9c In another paper of 2000, use of PdNps stabilized by *N*vinyl-2-pyrrolidone (PVP) as catalyst (0.3 mol% of Pd) for Suzuki-Miyaura reactions in water was reported.¹¹ The authors found "that the initial rate of Suzuki ⁴⁰coupling reactions depends linearly on the concentration of the Pd catalyst, thus giving strong evidence that the catalysis occurs on the surface of the Pd nanoparticles." This is echoed in a later work:"The product yield determined after 1 h decreased with decreasing catalyst concentration, from 96% for 1 mol% of palladium to 64% for 0.125 mol% of palladium".¹²

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Consistently high turnover for a large number of recycling experiments has been regarded as a reliable indication for catalysis *via* a heterogeneous pathway, that is, reactions occurring on the surface. This has also been reported for some supported catalysts.¹³ If atoms are steadily etched away by an initiation step in the catalytic ⁵cycle (oxidative addition, for example), it is conceivable that the surface morphology would change rapidly which could lead to a steady decline of catalytic efficiency. Such is the case with numerous *in situ* generated and moderately stabilized nanoparticles for which changes in size and contour are readily observed by TEM (Transmission Electron Microscopy). Catalysts performing with 10 undiminished activity beyond fifteen or twenty recycles are rather scarce.¹³ Their robustness seems to underscore their similarity with truly heterogeneous catalysts. The smaller the size of the nanoparticles (1-3 nm), the greater is their catalytic (and recycling) efficiency.¹⁴ "In principle, the catalytic activity in nanocatalysis is determined by the size of nanoparticles. The smaller the nanoparticle is, the more

15 effective the catalytic activity." It is pertinent to recall that catalytically active PdNp of diameter 1.3-1.6 nm was reported by Reetz earlier.^{9c} For nanoparticles of larger average diameter, leaching of smaller clusters or atoms might become important in promoting an alternative, homogeneous pathway.

When a bromoarene was part of a polystyrene backbone and the catalyst was PdNp ²⁰(9-12 nm) supported on polyoxometalate, Suzuki-Miyaura productswere not obtained.¹⁵ This 'three-phase test' rules out catalysis by leached palladium in this system. A similar three-phase test was reported independently for PdNp supported on extended pore MCM-41 $¹⁶$ to conclude dominance of a heterogeneous pathway.</sup>

- ²⁵An overwhelming majority of reports would testify that unactivated chloroarenes are poor substrates for coupling reactions catalyzed by PdNps. This observation has been recorded also by groups that strongly favour catalysis by leached out palladium. Therefore, it is not unreasonable to assume that participation of chloroarenes in coupling reactions, a relatively rare event, would indicate an
- ³⁰operative mechanism different from many. Significantly, the polyoxometalate supported PdNp catalyst described above¹⁵ is effective with chloroarenes having electron-donating substituents. It has been reported that PdNps supported on polyaniline, which acts both as host as well as reductant for palladium, catalyze Suzuki-Miyaura or Ullmann-type coupling reactions with chloroarenes including
- 35 those with an ortho-substituent¹⁷ with high yield and efficiency. Following Buchwald's precedence, conversion of a chloro to an hydroxyl group was also accomplished using the same catalyst. The yield of Suzuki-Miyaura coupling after ten recycles was an impressive 89%. Another report where chloroarenes were successful substrates, catalytically active polyethylene glycol (PEG)400-stabilized
- ⁴⁰PdNps were of smaller average size (1.3 nm) and were apparently activated (even stabilized) by oxygen.¹⁴ Stannoxane scaffolds featuring phosphines are reported to stabilize PdNps, which catalyze the coupling of iodo-, bromo- and chloroarenes; the last ones are probably made to participate by the smaller sized PdNps $(3-4 \text{ nm})$.¹⁸ We shall return to this aspect in the context of supported nanoparticles as catalyst ⁴⁵(*vide infra*).

In a much later paper by Fairlamb, Lee and others, 19 strong evidence was put forward in supporting this notion of catalysis occurring on the surface of PdNps through defect sites based on an operando XAS (X-ray Absorption Spectroscopy)

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study, in tandem with time-dependent EXAFS (Extended X-ray Absorption Fine Structure) spectra. A drop in TOF (turnover frequency) corresponding to an increase in the size of the palladium nanoparticles is consistent with surface catalysis. The mercury poisoning test is also positive. Although the authors noted that the reaction s rate did not pick up by spiking with $Pd(OAc)_2$ (Palladium(II) acetate) after mercury arrested the reaction, it has been argued^{3a} that mercury could also poison molecular palladium species, especially when unprotected by ligands. There are several examples in the literature, however, attest to the fact that mercury does not generally inhibit homogeneously catalyzed reactions.²⁰ Trapping nanoparticles in the core ¹⁰channels of Quadrasil MP (QuadraSil Mercaptopropyl) or flash silica retards the reaction considerably, supporting the idea of catalysis by nanoparticles rather than leached molecular palladium species. In a later *in situ* quick-scanning EXAFS study,²¹ palladium nanoclusters of diameter \sim 2 nm were observed in the liquid phase during the entire "active phase" of catalysis, while homogeneous Pd(II) complexes 15 were identified only towards the end of the reaction when the substrate molecules had been consumed. Monitoring the surface revealed an initial reduction step where all $Pd(II)$ species were converted into $Pd(0)$ prior to onset of the reaction. Palladium nanoparticles were detected in the liquid phase after the temperature had reached 150 °C. These experimental results are said to be consistent with a surface-catalyzed

20 reaction when the nanoparticle size is rather small.

Ligands not only stabilize palladium atoms in a homogeneously catalyzed reaction, but they also modify the reactivity of metal centres. Hence, a particular ligand-metal complex displays well-defined reactivity profile and product selectivity. Palladium ²⁵nanoparticles have their own reactivity-selectivity that is only slightly modified by

additives. A recent article²² describes divergent product selectivity for homogeneous metal-ligand complex, nanoparticle and ligated nanoparticle (Scheme 2).

Scheme 2

The unique selectivity of a ligand-nanoparticle combination strongly supports surface catalysis where reactivity of the surface-bound palladium centre is altered by the ligand. An earlier report states that when optically pure diphosphines were used with PdNps at ambient or sub-ambient temperature, the enantiomeric excess of the 35 product was found to be dependent on the modifying ligand used. With BINAP (2,2'bis(diphenylphosphino)-1,1'-binaphthyl), the best yields and *ee*s (*enantiomeric*

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excess) were obtained and these were even better than its homogeneous version.²³ This also provides a strong evidence in favour of catalysis occurring on the ligandmodified surface.²⁴

⁵**Scheme 3**

Such results should be contrasted with the observation of racemic products in a reaction where an optically pure palladacycle was used as the catalyst precursor²⁵; ¹⁰clearly, the optically active palladacycle generated PdNps that were no longer optically active.

(ii) Leached palladium from PdNp as active catalyst

- ¹⁵An overwhelming data set suggests that palladium species leached out from nanoparticles into the reaction mixture are indeed the actual catalytic species rather than PdNps that are originally used and often recovered at the end of reaction and recycled. It is not always clearly stated whether palladium atoms or very small palladium clusters (<3 nm, at ppm level) are the catalyst. It is widely believed that ²⁰oxidative addition on a suitable palladium atom on the PdNp is followed by detachment of that newly formed $Pd(II)$ species from the cluster²⁶, which can trigger
- a homogeneous catalytic cycle that converts substrate molecules to products in solution. It is also presumed that Pd(0) could be leached out of a nanocluster (more likely from a silica or carbon support) prompted by base and/or solvent; 27 oxidative ²⁵addition would then occur in the solution phase as in homogeneous catalysis (see
- Figure 1 below). It is not obvious why Pd(0) should leach out more easily than $Pd(II)$ from PdNps as one report suggests.²⁷

Fig.1 Proposed mechanism for the Pd catalyzed cross-coupling reaction involveing homogeneous pathway

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Collman's "three-phase test"²⁸ is often conducted to settle such issues. While haloarenes tethered on a PEG backbone did not provide any Heck product with PdNps, addition of a small amount of free haloarene was found to reverse the situation – polymer-bound substrates were all converted to product, indicating s catalysis by leached out soluble metal particles.²⁹ The leached out species should be Pd(II) as the reaction did not proceed in the absence of added haloarene and the quantity of leached metal must be limited by the quantity of this additive. This would imply that a leaching mechanism must be available and operative so that catalysis by a homogeneous pathway becomes feasible.

Catalysis of Heck reaction by smaller palladium clusters in preference to larger nanoparticles was demonstrated by a unique experiment^{26c} that has been cited in several articles.^{4a, 5, 6, 30} A special reactor has two compartments separated by a membrane that

- ¹⁵allows particles smaller than 5 nm in diameter to pass from one compartment to the other (see schematic diagram below – **Fig. 3**). It was shown that the reaction took place gradually over a long period of time in a compartment that originally did not contain the PdNps; only after particles of a smaller size migrated into this compartment did the reaction commence. A solid base, sodium carbonate was used and added only to the
- ²⁰compartment that did not contain the PdNps initially. Therefore, the reaction could not simultaneously take place in the other compartment containing large PdNps. Diffusion of smaller particles from one compartment to the other to catalyze the reaction required an unusually long reaction time (120 h). No experiment was performed with the orginal nanoparticles in a single pot for comparison of reaction kinetics. In a large number of
- 25 reactions, even with homogeneous precursors, the nanoparticle size is $8-12$ nm, $6b-c,31$ they are used in 1 mol% range and the reactions are over in $6-12$ h. In contrast, the reaction described with the two-compartment reactor used an infinitesimally small amount of nanoparticles of smaller dimension which accumulated over a long period of time and the rate of catalytic reaction is significantly low. Despite the innovative design of the
- ³⁰experiment, it only establishes that particles capable of diffusing through a 5 nm membrane catalyze the Heck coupling reaction. It clarifies neither the role of larger nanoparticles nor the involvement of atomic palladium in catalysis.

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Fig. 3 Two-chamber reactor separated by a membrane

When the substrate is consumed, the 'ligand-less' palladium returns to the PdNp scaffold ["release and capture"].^{27, 29,32} Palladium nanoparticles supported on graphene afford ⁵coupling products from a polymer-bound iodoarene, indicating catalysis by leached palladium that returns to its support at the end of reaction $.^33$ Returning to the scaffold is claimed to be faster at higher temperature^{27, 32d} and palladium is deposited on the Pdcluster rather than an empty space on the support. In such a study, the soluble catalyst is allowed to perform in the presence of empty support and then the accumulation of 10 palladium on the support is monitored at the end. Therefore, we are dealing with adsorption phenomena in tandem with catalysis and we do not know when or whether nanoparticles are involved (no TEM data given).

(iii) "Homeopathic" concentration of catalyst more effective

Reetz reported³⁴ that palladium at high dilution can catalyze Heck reactions in the 15 presence of N,N-dimethylglycine (DMG); use of as little as 0.0009 mol% was effective. Later, de Vries along with Reetz highlighted the fact that high dilution of the catalyst solution (and popularized the term "homeopathic" to describe it) improves catalytic turnover and probably also suppresses atomic aggregation to form nanoparticles.³⁵This concept has been adapted for production of a drug intermediate on an industrial scale.³⁶

- ²⁰If "ligand-free" palladium atomsare indeed the catalyst in the reactions described by de Vries, the observation of an effective concentration range of palladium weighs in favour of molecular or homogeneous catalysis. A homeopathic concentration is expected to suppress aggregation, it is stated, but it does not reveal whether $Pd(OAc)_2$ upon reduction forms nanoparticles promptly at that dilution and in the presence of a relatively large
- ²⁵quantity of substrate. Homeopathic dilution also prevents smaller particles aggregating to form larger ones, so no precipitation of Pd black is observed. No stabilizer was used for the nanoparticles. It has always been assumed that nanoparticles exist in equilibrium with 'molecular' Pd (very high substrate/catalyst ratio leads to favourable kinetics), but no mention of the presence of PdNps in relation to the experiments of de Vries' group has
- 30 been made^{36, 37} It can also be argued that this particular example essentially represents a 'homogeneous' catalysis for the most part. The nanoparticles are formed only when the substrate has been nearly consumed. A significant feature is the existence of a welldefined range of catalyst concentrations that assures an acceptable level of product formation in a reasonable period of time. The same has been claimed to be true for a ³⁵good number of instances of catalysis by "leached" palladium because the amount of

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palladium detected in solution is typically in the ppm range. A related study³⁸ determined that the rate of reaction catalyzed by $Pd(OAc)_2$ was independent of catalyst concentration $(0.05 \text{ to } 5 \text{ mol} \%)$, citing this as evidence of heterogeneous rather than homogeneous catalysis.

⁵*(iv) Simultaneous catalysis by Np surface and leached atom with rate difference*

In principle, we could consider a situation where both the nanoparticle surface and leached palladium species are catalytically active. This is a possibility that is rarely considered, 1^c probably because the possibility is fraught with myriad complexities. Since there are several reports where leached out palladium is beyond the level of detection by

- ¹⁰ICP MS (Inductively Coupled Plasma Mass Spectrometry) or similar techniques but the product is formed, it may be assumed that the catalysis is occurring predominantly on the surface of the nanoparticles. When leached palladium is detected, variation of its concentration with time (progress of reaction) has been monitored, contours of the originally dispersed nanoparticles have been probed by analytical techniques, but only
- ¹⁵very limited studies exist where their catalytic role has been confirmed without ambiguity. Mere presence is not indicative of catalytic activity. If the surface catalysis rate is considerably higher than leached particle/atom catalysis, or *viceversa*, how accurately can we segregate the individual contributions? Literature reports seem to suggest that smaller nanoparticles (1-3 nm) may have very active surface atoms that can 20 perform catalysis at a higher rate.

(v) Palladium nanoparticles supported on solid matrix as catalyst

There are numerous reports of palladium nanoparticles being deposited, encapsulated or embedded on a solid matrix³⁹ ranging from well-structured metal oxides,⁴⁰ clay⁴¹or zeolites⁴² to softer matrices such as dendrimers⁴³ or polymers.⁴⁴ Carbon nanotubes⁴⁵ as 25 well as graphene⁴⁶ have also been used as supports. Additional stability, ease of

separation and greater recyclability inspired these studies.⁴⁷

Often a palladium salt was reduced to Pd(0) in the presence of the solid support. In such cases, it is possible that a great deal of atomic palladium is physically adsorbed on the matrix. This could explain the ready leaching from such a support and re-adsorption. For

- ³⁰oxides, clays or zeolites, a calcinations step often follows adsorption of the nascent metal. Nanoparticles are believed to form during this calcination step and their dimensions can be measured by TEM. Sometimes, the nanoparticles are first produced and then allowed to nest in porous supports. Irrespective of the mode of preparation or nature of support, and independent of the individual (atomic) or collective (nano-cluster)
- ³⁵existence of palladium, catalytic reactions with these supported palladium species are popular though they frequently invoke the issue of leaching and leached material being considered the 'actual' catalyst.^{29.48}

It is only in the case of a few supported palladium catalysts that coupling reactions of chloroarenes, even with electron-donating substituents, have been reported.^{14, 17, 30} In

⁴⁰such examples, anionic oxygen-donor ligands appear to render the catalytically active Pd centre substantially more electron-rich so that chloroarenes undergo oxidative addition at reasonable rates. This should be true, then, largely for palladium atoms, but not necessarily for clusters.⁴⁹ An example is provided by 'layered double hydroxide

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supported nanopalladium catalyst' for coupling reactions.⁵⁰ TEM studies determined the size of the Pd clusters as 4-6 nm (diameter), which showed no change over several catalytic cycles; further, minimal leaching of Pd was identified during the course of reaction (**Fig 4**).

Fig 4: Plausible Mechanism for the Layered Double Hydroxide (LDH-Pd⁰) Catalyzed Heck Olefination of Chloroarene

- 10 Triggering of several coupling reactions by this catalyst points to a common reaction mechanism. This may not be considered as catalysis by clusters; it probably follows a mechanism as proposed by Amatore and Jutand⁵¹ involving palladium as anionic dimers. The palladium centre is activated through participation of neighbouring oxide anions. PEG has no such ionic oxides despite high oxygen donor concentration on its surface,
- μ ₁₅ and it alone cannot impart such reactivity to palladium.⁵² On the other hand, anchored ligands with donor groups can activate a specific palladium centre in a cluster to enable involvement of choroarenes in coupling reaction.⁵³ These should more appropriately be considered as 'immobilized' homogeneous catalysts. Leaching is not a significant issue for such catalysts as leached PdNps do not interact with chloroarenes at any useful rate.
- ²⁰When Pd(0) is allowed to be deposited on a surface, leaching is a common phenomenon. Ligand-less palladium is dispersed in a solution phase after release from the support and can commences a catalytic cycle. When the substrate is consumed, the catalytically active palladium reassembles onto the matrix. Even for dendrimeric scaffolds, leaching has been shown to be the most viable pathway for catalysis, which can operate at a very $_{25}$ high dilution ('homeopathic' concentration, ppm level).⁵⁴However, when PdNps were
- encapsulated in a PEG matrix grafted on silica, the reaction continued unabated even in the presence of thiolated silica gel, suggesting insignificant contribution to catalysis by leached entities.⁵⁵

Catalysis of coupling reactions using Pd/C as catalyst has been explored extensively 30 because of its ready availability and generally good activity for a range of substrates.⁵⁶ It

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is easy to recover and because charcoal is an excellent adsorbent, the availability of free palladium species in solution at the end of reaction is minimized. Since PdNps are seldom mentioned in the context of catalysis by Pd/C, we prefer to keep it outside the purview of the present discussion.

 55 Examples of polymer-encapsulated PdNps described in the review by Molnár 47 reveals that smaller-sized nanoparticles (1-3 nm) efficiently catalyze coupling reactions with minimal leaching and practically no change in nanoparticle shape or size. They can be recycled several times (7-10 times are most common) without loss of yield. These observations are consistent with heterogeneous catalysis on a nanoparticle surface.

¹⁰**4. Conclusion**

The large body of literature offers a few general agreements: (a) smaller nanoparticles (<5 nm) are better as catalysts and with such smaller nanoparticles, catalysis probably occurs on the nanoparticle surface; (b) palladium nanoparticles

¹⁵generally do not catalyse coupling reactions of chloroarenes; (c) high dilution or 'homeopathic' concentration of ligand-less palladium display useful levels of catalysis, especially at higher temperatures; and, (d) palladium nanoparticles immobilized on a solid matrix are prone to leaching unless stabilized by coordinating functional groups.

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Catalysis by leached palladium only, even when a heterogeneous platform is used, is still a contentious issue. Some groups failed to detect significant levels of palladium during a reaction. Proponents of 'catalysis by leached palladium' theory would explain such observation as a 'rapid release and capture of leached palladium' by the

- ²⁵matrix. Some groups, on the other hand, profiled the growth of palladium concentration with progress of reaction and claimed it as evidence for catalysis solely by leached palladium. It is indeed possible that there is no one universal mechanism. Variations of nanoparticle size and their varied origins, the nature of the diverse supports and scaffolds that house them, reaction parameters and substrate
- ³⁰functionality all contribute towards determining the course of catalysis. What stands out beyond dispute is the efficiency and advantage of such nanoparticle catalysis that led to their wide acceptability in organic synthesis.

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