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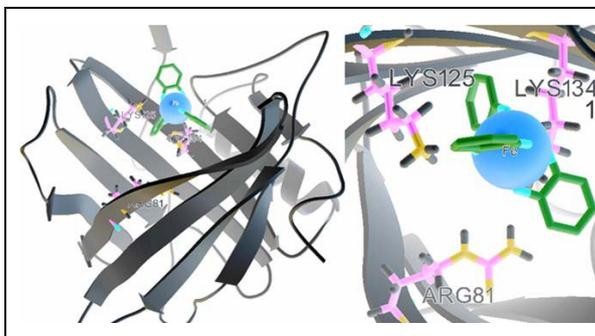
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Graphical Abstract

Ligands of Ngal: hydrophobic, bacterial siderophores together with their modified structures, mammalian siderophores and consequently related functions were summarized.



1 **The Ligands of Neutrophil Gelatinase-Associated Lipocalin**

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23 **Abstract:** Neutrophil gelatinase associated lipocalin (NGAL), was originally
24 identified in neutrophil granules as a heterodimer complex with gelatinase B (matrix
25 metalloproteinase 9, MMP9), but more recently has been found to be secreted by
26 damaged epithelial cells. Ngal is a member of lipocalin family and subsequently
27 named as lipocalin 2 on the basis of structural similarity with other members of
28 lipocalin family and its potential association with hydrophobic retinol and cholesterol
29 oleate more strongly than their hydrophilic counterparts. In 2002, a landmark paper
30 suggested that Ngal is a bacteriostatic agent which blocks iron acquisition by
31 interacting with a number of bacterial siderophores, especially enterobactin. Since
32 then, more siderophore-carrying functions have been reported than the possibility of
33 hydrophobic ligand transport. In this setting, Ngal was renamed Siderocalin.
34 Functions of siderocalin include not only bacteriostatic activity but potentially as a
35 mediator of cell growth and differentiation; some of these functions appear to be
36 referable to the holo siderocalin:siderophore:iron complex and recent work suggests
37 that metabolic products may act as mammalian siderophores bound by Ngal. While
38 still speculative, it may be that the mammalian siderophores can establish the missing
39 link between Ngal and a number of its functions in vivo. This review provides an
40 overview of the discoveries of the different ligands of Ngal and consequently related
41 functions. Hydrophobic ligands, bacterial siderophores as well as their modified
42 structures (synthetic siderophores), and mammalian siderophores are summarized.

43

44 **Keywords:** Ngal, bacteriostatic, lipocalin-2, siderocalin, siderophore, ligand,
45 mammalian

46

- 47 Abbreviations:
48 Ngal: Neutrophil gelatinase associated lipocalin
49 MMP9: matrix metalloproteinase 9
50 AKI: acute kidney injury
51 Ent: Enterobactin, Enterochelin
52 NMR: Nuclear Magnetic Resonance
53 PMNL: polymorphonuclear leukocytes
54 DHBA: dihydroxybenzoic acid
55 DHBS: dihydroxybenzoyl-serine
56 EGCG: epigallocatechin gallate
57 CD: collection duct
58 ERBP: epididymal retinoic acid-binding protein
59 β LG: β -lactoglobulin
60 NP: nitrophorin-type lipocalins

61

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1. Introduction

Neutrophil gelatinase-associated lipocalin (NGAL, human NGAL also known as LCN2, SCN denoted by upper case, its mouse homolog Ngal, lipocalin 2, Lcn-2, Scn, 24p3, uterocalin denoted by lower case) is expressed in neutrophil granules and was first purified from the neutrophil as a 25-kDa glycoprotein covalently complexed to the 92-kDa gelatinase B (matrix metalloproteinase 9, MMP9).¹⁻⁴ More recently, Ngal was identified as a major product of epithelial cells synthesized in response to bacterial infection [1] or ischemic and toxic damage.⁴ Ngal was later found to be a bacteriostatic agent through sequestering the siderophore-iron complex which bacteria use for acquiring iron from environmental sources or from the host^{1,5} in iron limiting condition. Additional functions were also associated including activation of differentiation and promotion of renal cell epithelialization from mesenchymal cells of the kidney^{6,7} and repair of damaged epithelial cells⁸. Most importantly, Ngal is highly expressed even 100-1000 fold and appears early in both the urine and the serum at the onset of acute injury to kidney tubular cells (AKI). As a result, NGAL is now used as an early biomarker for AKI⁹⁻¹¹. Additional functions of Ngal were reviewed in detail by several papers¹²⁻²⁰.

Structurally, Ngal is a member of lipocalin family, featured with the characteristic cup-shape calyx formed by eight anti-parallel β sheets which are hydrogen bonded with one another²¹. The hydrophobic amino acid residues at the low region of the calyx provide binding sites for lipophilic small molecules through hydrophobic interaction²². Since lipocalins were classically named following the ligands they bound, Ngal was thus named as Lipocalin 2. However, Ngal can also bind macromolecules and hydrophilic molecules due to the much larger and shallower mouth of the calyx which is uncharacteristically lined with polar and positively charged residues. The latter is distinct feature of Ngal and differs from other lipocalins, which can only bind hydrophobic molecules such as lipocalins: epididymal retinoic acid-binding protein (ERBP), β -lactoglobulin (β LG), or nitrophorin-type lipocalins (NP)²¹. A very important hydrophilic small molecule, enterobactin (enterochelin, Ent), was found to be associated with Ngal and with iron⁵. Ent is a key siderophore of many Gram negative bacteria (especially *Escherichia coli*) which they use to sequester iron from the host. When bound to Ngal, the Ngal:siderophore:iron complex can inhibit iron acquisition by bacteria and in turn inhibit their growth. Because many different ligands of Ngal have been reported, from the original macromolecule MMP9 and small lipophilic molecules to more and more hydrophilic siderophores such as Ent, catechols in scattered literature, a review is needed to summarize the different ligands. In addition, new data and new interpretations have contributed to a better understanding of the functions of this protein. This review seeks to present a comprehensive overview of the ligands of Ngal and different functions of Ngal are also addressed.

2. The ligand binding sites of NGAL

Using liquid NMR spectroscopy, Coles established the secondary, tertiary and

120 quaternary structures of solution NGAL²³. The side chains cluster of the conserved
121 residues W31, T113 and R140 together with the 3_{10} -helix, closed the smaller end of
122 the barrel at the bottom of the calyx (**Fig. 1A**). While the bottom end is sealed off by
123 the short 3_{10} -helix, the top end of the calyx is open, and can be accessed by other
124 molecules.

125 The free SH group of residue C87, which was found to be associated with
126 MMP-9, lies in an inter-strand loop at the closed end of the calyx (**Fig. 1B**).

127 The putative binding sites for lipophilic ligands are hydrophobic aromatic and
128 aliphatic residues including F27 (from the 3_{10} -helix), W31 and V33 (at the first β
129 strand, β A), V66 (β C), F83 (β D), F92 and L94 (β E), V108 and V110 (β F), and V121
130 and F123 (β G) at the base of the barrel (**Fig. 1C**).

131 Positively charged side-chains of two lysine residues (K125 and K134) and R81
132 are projected into the top open end of the barrel (**Fig. 1D**), where are the binding sites
133 for hydrophilic ligands are located and which have been studied in great depth
134 bringing an epochal change in the field of NGAL research and differentiating NGAL
135 from other lipocalins, even though many aspects of the rest of the calyx are similar.

136

137 **3. The ligands of NGAL**

138

139 **3.1 Neutrophil gelatinase-associated lipocalin (Ngal) complexed to MMP9**

140

141 Ngal was originally reported to be associated with the enzyme MMP9 as a
142 covalently linked, disulfide-bridged heterodimer 125-kDa form isolated from human
143 polymorphonuclear leukocytes (PMNL) [2]. The 25-kDa protein was prepared under
144 reducing condition (together with the 95-kDa protein MMP9) from the progelatinase
145 complex, and was further found to have homology to α_2 -microglobulin-related
146 protein²⁴. The α_2 -microglobulin-related protein was assigned to the lipocalin family
147 according to the similarity in tertiary structure albeit the absence of similarity in
148 whole amino acid sequence, as is typical of the comparison of one lipocalin to another.
149 The 25-kDa protein was first named as α_2 -microglobulin-related protein in the paper
150 published in 1992². Almost at the same time, another paper (1993) described the same
151 form of the protein associated with human neutrophil gelatinase and presented the
152 whole amino acid sequence and gave the protein the name Neutrophil
153 gelatinase-associated lipocalin (NGAL) also termed p25 according to its molecular
154 weight³. The primary structure of the glycoprotein NGAL was determined as a 178
155 residues protein. In addition, the paper pointed out that human NGAL mainly existed
156 as uncomplexed form either as a monomer or a homodimeric and only a part of
157 neutrophil gelatinase was covalently linked to NGAL. Thus, both of the proteins
158 (MMP9 and NGAL) existed mainly in forms unrelated to each other and NGAL did
159 not affect the function of MMP9. More recently, Ngal has been found to stabilize
160 MMP9 and prevent its decomposition²⁵, preserving its function.

161 Since the tertiary structure of NGAL defines it as a member of lipocalin family,
162 its potential, albeit debated function of binding hydrophobic ligands is presented
163 below.

3.2 Ngal may bind hydrophobic molecules as do other members of the lipocalin family

Lipocalins are a group of small (about 20-kDa) secreted proteins acting as carriers of lipophilic compounds. As a member of the lipocalin family, NGAL was assumed to be a carrier for small hydrophobic molecules in analogy to retinol-binding protein which binds and transports vitamin A²⁶, the lipocalin α_1 -microglobulin which scavenges heme²⁷, and the NP carry heme groups complexed with nitric oxide²⁸. In this light, several studies were conducted on the hydrophobic ligands of Ngal. Chu et al. reported that 24p3 (another name for mouse Ngal) can bind cholesteryl oleate (**1**), oleic acid (**2**), retinol (**3**), retinoic acid (**4**), α -aminocaprylic acid (**5**), undecanoic acid (**6**) by CD spectrum and fluorescence quenching methods (**Fig. 2**). These authors pointed out that hydrophobic molecules bound more tightly than their hydrophilic counterparts, for example, retinol > retinoic acid. The binding activity of all the above molecules was in the following order: α -aminocaprylic acid < retinoic acid < undecanoic acid < oleic acid < retinol < cholesteryl oleate.²² Chu further identified their binding site at residue W31 in the β strand A (**Fig. 1A**), which provided the hydrophobic interaction between lipophilic ligands and the hydrophobic aromatic amino acid residues inside the β sheet core. Through thorough analysis of CD spectra, Chu described the secondary structure of the protein which contained one short 3_{10} helix, one α helix and nine β strands (designated as β A-I) and predicted that these structural elements formed an eight β stranded barrel. According to above structural analysis, they suggested that the possible function of the protein was cellular regulation through transport of lipophilic molecules.²²

In 1999, Bratt identified binding activities between human NGAL and natural lipophilic ligands, platelet activating factor (PAF), leukotriene B4 (**7**) (LTB4) and the bacterial hydrophobic peptide N-formyl-Met-Leu-Phe (**8**) (fMLP) by weak affinity chromatography (**Fig. 2**). This paper pointed out that NGAL binding to small lipophilic ligands was too weak to be detected by fluorescence quenching, gel filtration, and/or immunoprecipitation, which suggested that NGAL might not bind lipophilic ligands such as the above mentioned compounds **1-6**.²⁹

In fact, according to the crystal structure of NGAL, none of the proposed ligands such as N-formylated tripeptides, fatty acids, etc. were likely to be the preferred ligand of this protein except for decanoic acid (**9**) or *n*-capric acid (NCA) (**Fig. 2**), leaving the physiologic function of NGAL in question. However, two recent papers concerning lipophilic ligands and related complex functions may cause a renewed interest in trying to define lipophilic ligands of NGAL. Song et al. showed that Ngal could bind several fatty acids (**10-20**) especially linoleic acid (**20**) (**Fig. 2**), which they suggest may increase the circulating levels and the arterial accumulation of deaminated NGAL, resulting in vascular inflammation and endothelial dysfunction and hypertension in mice.³⁰ NGAL was also found to modulate fertility acquisition in sperm perhaps by binding membrane phosphatidylethanolamines (**21-22**) (**Fig. 2**).^{31,32}

While awaiting additional crystal structures and despite these interesting leads, an

208 earlier paper showed that the presence of NGAL in neutrophils and in tissues liable to
209 encounter microorganisms indicated that NGAL might have a role in the immune
210 system.³³ The unveiling of the ligand of NGAL marked the beginning of a new era in
211 the field of NGAL, which will be introduced below.⁵

212

213 3.3 Siderocalin binds siderophores and its related functions (Fig. 3)

214 After elucidating the structure of NGAL by the NMR²³ and X-ray²¹ techniques,
215 the structure of the ligand of NGAL was urgently needed to determine the functions
216 of NGAL in a wide range of pathologies including acute kidney injury⁴, nephropathy²,
217 inflammation, apoptosis and important immunomodulatory functions²¹, which were
218 all based on phenomenology at that time. In 2002, Goetz reported their breakthrough
219 study on the copurified ligand of NGAL and established the structure as a known
220 bacterial siderophore Ent (**23**) (Fig. 3). This study identified the ligand based on
221 crystallographic analyses and also identified one important function of the mysterious
222 protein was bacteriostasis in line with iron chelation activities in the innate immune
223 system, previously noted by lactoferrin. The Goetz paper has influenced all related
224 studies till now⁵. NGAL was found to bind ferric Ent (FeEnt) and related siderophores
225 by interaction with the three positively charged residues (R81, K125 and K134, Fig.
226 **1D**). Based on their prominent structural studies, another paper from one of the
227 collaborating groups in the same issue of *Molecular Cell*⁶ demonstrated that Ngal had
228 a growth and inductive activity in embryonic mesenchymal cells. The two papers
229 reported that the function of NGAL was related to form a ternary complex with ferric
230 iron through a ligand. The Goetz research established the important functions against
231 bacterial growth through competition of iron. NGAL participated in the iron-depletion
232 strategy of the innate immune system along with other antibacterial proteins and
233 reactive oxygen species released from neutrophil recruited to infected or injured
234 tissues. Generally, lipocalins derived the names from the bound ligands, Siderocalin
235 was thus proposed as the new name of NGAL in recognition of its chelation of
236 bacterial siderophores.

237 Since the identification of Ent was published, related microbial siderophores were
238 screened for NGAL-Siderocalin binding. Parabactin (**24**) (*Paracoccus denitrificans*)
239 and cepabactin (**25**) (*Pseudomonas cepacia*), were reported together with the basic
240 catecholate unit, including 2,3-dihydroxybenzoic acid (**26**) (DHBA) and
241 2,3-dihydroxybenzoyl-serine (**27**) (DHBS)⁵. Cepabactin (**25**) is a similar catecholate
242 siderophore like Ent with the same skeleton but a hydroxypyridinone (HOPO) group
243 replacing one catecholate group. Parabactin is also a catecholate siderophore with
244 different skeleton and an oxazoline group replacing one phenolate group.

245 Agrobactin (**28**) (*Agrobacterium tumefaciens*), brucebactin (**29**) (*Brucella*
246 *abortus*), bacillibactin (**30**, reported as corynebactin from *Bacillus subtilis*),³⁴⁻³⁶
247 fluvibactin (**31**) (*Vibrio fluvialis*), vibriobactin (**32**) (*Vibrio cholerae*), and vulnibactin
248 (**33**) (*Vibrio vulnificus*) were reported as possible NGAL siderophores according to
249 their structural similarity to Ent.¹⁴ The structure of brucebactin was not established at
250 that time. The structure of corynebactin was actually the same as that of bacillibactin³⁷
251 and corynebactin³⁸ may have been a misnomer because in the species

252 *Corynebacterium glutamicum* there is no synthetic gene for corynebactin according to
253 comparative studies on siderophore-dependent iron uptake in *B. subtilis* and *C.*
254 *glutamicum*.^{37,39-41} Rather authentic corynebactin (**34**) has been found structurally
255 related to staphyloferrin A from *Staphylococcus aureus* and rhizoferrin from *Rhizopus*
256 *microsporus*⁴² a set of siderophores that are not relevant to NGAL. Fluvibactin (**31**),
257 vibriobactin (**32**), vulnibactin (**33**) are all similar to parabactin (**24**) with the same
258 skeleton (**Fig. 3**).

259 Although siderocalin with the open end of the calyx, lined with positive residues
260 seems optimized for binding three aromatic rings in the three binding pockets, the
261 protein can also accommodate siderophores and iron-siderophore complexes of
262 varying structures, so long as steric and electrostatic/cation- π requirements are met.⁴³
263 Some mycobactins were first considered to be NGAL binding siderophores in 2004¹.
264 The structures of NGAL binding carboxymycobactins (**35-40**) (**Fig. 3**) with different
265 methylene length ($n = 3-8$) were published in next year.^{19,44,45} NGAL's ability to bind
266 the carboxymycobactins suggested that NGAL could recognize different types of
267 siderophores in addition to the catecholate ones and that it could be used as potential
268 therapeutic agent against *M. tuberculosis*.

269

270 **3.4 Stealthy siderophores evading siderocalin binding**

271 Siderocalin was found to inhibit bacterial growth in some species and unable to
272 function as bacteriostatic agent in other circumstances, which has drawn interest from
273 both microbiologists and biochemists. It was found that bacteria have evolved to
274 introduce structural modifications into siderophores including new skeletal elements
275 in order to sequester iron from environment but evade binding by
276 NGAL-Siderocalin.⁴⁶⁻⁴⁹ These siderophores are called stealthy siderophores. Most of
277 the modifications introduce steric hindrance such as that *Salmonella typhimurium* LT2
278 and *E. coli* CFT073 could produce glycosylated Ent analogues, salmochelin S4 (**41**) to
279 preclude binding to Ngal. Alternatively, the introduction of a methyl group
280 SERMECAM (**42**) (**Fig. 3**) could also completely inhibit the NGAL binding.⁵⁰⁻⁵²

281

282 **3.5 Synthetic siderocalin binding siderophores**

283 Many synthetic siderophores have been synthesized based on the known
284 siderophores. These include Tren(CAM)₃ (**43**)⁵², MeCAM (**44**), TrenCAM-3,2-HOPO
285 (**45**)⁴⁴, Tren(CAM)₂(MECAM) (**46**), Tren(CAM)(MECAM)₂ (**47**)⁴⁶,
286 Tren(CAM)₂(1,2-HOPO) (**48**), Tren(CAM)(1,2-HOPO)₂ (**49**), Tren(1,2-HOPO)₃
287 (**50**)⁵² (**Fig. 3**).

288 Through synthetic approaches, the effects of steric hinderance and electrostatic
289 interactions on ligand binding could be thoroughly studied. Synthesis of siderophore
290 derivatives helped to more thoroughly understand the interactions between the protein
291 and its ligands. Iron chelators for possible use in iron overload diseases could be
292 developed through the synthesis of different siderophores. Iron chelation therapy has
293 been seen as an effective treatment in a number of serious clinical conditions.

294 **3.6 Mammalian siderocalin binding siderophores**

295 Besides for blocking bacterial growth through sequestering FeEnt, NGAL is also

296 highly expressed in noninfectious condition, implying that it binds ligands other than
297 Ent and serves additional functions such as growth activity for example induce the
298 renal cell differentiation through transporting iron in a transferrin-independent
299 pathway^{6,7,53,54}. NGAL is also reported to regulate diverse process such as cell
300 apoptosis⁵⁵, and to rescue ischemic^{53,56} and immunological injury⁸. All the functions
301 have been shown to be related to a siderophore-like ligand because NGAL does not
302 bind iron directly in the absence of a siderophore. It was supposed that an endogenous
303 siderophore could work as an iron carrier through forming a ternary Scn:ligand:iron
304 (III) complex. Therefore, attempts to find the mammalian NGAL binding siderophore
305 have become the most urgent task in the area especially after structure of the bacterial
306 ligand Ent was elucidated.

307 Efforts to find mammalian equivalents of bacterial siderophores have languished
308 for decades since the hypothesis was firstly posited⁵⁷. A 1500 Da mammalian
309 siderophore was first isolated from horse liver in 1980 together with its capability to
310 bind iron and to stimulate bacterial growth by promoting iron uptake by the bacteria⁵⁸.
311 Several groups have launched related explorations. Three papers were published in
312 three different influential journals in 2010.^{54,59,60}

313 The catechols were first mentioned at two different academic conferences^{61,62} by
314 Barasch's group. Detailed reviews and comments on the catechols could be found in
315 different journals^{17,64-67}. In the study, simple catechols such as catechol (**51**),
316 3-methylcatechol (**52**), 4-methylcatechol (**53**), and pyrogallol (**54**) (**Fig. 4**) were found
317 to bind NGAL and could form the classic ternary NGAL:catechols:iron (III) complex
318 by ⁵⁵Fe ultrafiltration assay. Large scale screening with filtration and chromatography
319 assays could be satisfactorily explained by the effect of steric hindrance or the effects
320 of electron donating or electron withdrawal from the ring. However, only two crystal
321 structures of the ternary complex (catechol, 4-methylcatechol) were successfully
322 identified by R. Strong. The overall structure of NGAL was not significantly affected
323 by these two catechols. At acidic pH (which blocks the binding of the catechols to
324 NGAL), a single catechol or 4-methylcatechol occupied pocket 1 interacted with the
325 positively charged residues K125 and K134.

326 The binding of the catecholFe (CatFe) complex to NGAL ligand binding pocket
327 occurred in a two-step process: the first step occurred at physiological pH (7.4),
328 during which two catechols were complex to one iron molecule and the complex was
329 recruited to the calyx. The third catechol moiety was then added to the two
330 catechol-iron complexes to form a tris-catecholate complex. This configuration led to
331 the iron molecule being stabilized in a hexadentate co-ordination complex owing to
332 the formation of stable cationic- π interactions and coulombic (electrostatic)
333 interactions between the ferric iron and the catechols. Through forming the ternary
334 complex with these simple catechols, NGAL could traffic and clear iron. Free
335 catechol was directly purified from pooled human urine with multiple columns and
336 definitely identified by HPLC, HR-ESI MS, and NMR spectroscopic methods. This
337 report directly confirmed free catechol was present in the urine as well as its capacity
338 to serve as an iron trap within the NGAL-Siderocalin calyx.⁶⁶ Comparison of the
339 binding strengths of different catechols demonstrated that the vicinal-dihydroxyl

340 groups were the key functional groups and that steric compatibilities of the catechol
341 ring had the strongest effect on the binding. In addition to catechol (**51**),
342 3-methylcatechol (**52**), 4-methylcatechol (**53**), and pyrogallol (**54**), more simple
343 catechols were reported: 3-methoxycatechol (**55**), pyrogallol-4-sulfate (**56**), gallic acid
344 (**57**) (**Fig. 4**). These studies, combining chemical screening⁵⁴ and natural product
345 approaches proved the putative ligands as naturally occurring iron “buffers” in the
346 human urine⁶⁶. Using a LC-MS based metabolomic analysis, catechols have been
347 further confirmed existing in human urine and controlling urinary NGAL
348 antimicrobial activity recently⁶⁷. The combination of the three studies support the
349 notion that simple catechols should be considered naturally occurring binding partners
350 of NGAL. The mammalian catecholate siderophores can be used by NGAL to shuttle
351 iron or act as a growth factor to participate in a wide range of cellular processes.

352 Two ligands (**58**, **59**) reported in additional papers^{59,60} almost at the same time are
353 perhaps not as suitable as the catechols to occupy the calyx of NGAL based on the
354 following: the ligand binding domain or calyx, is shallow, broad and lined with polar
355 and positively charged residues (R81, K125, K134); it is also quite rigid, with three
356 binding pockets inside the calyx that imposed a steric limitation on which ligands are
357 NGAL-Siderocalin-compatible; furthermore, Siderocalin resists any conformational
358 change when it is exposed to changes in pH, ionic strength or upon ligand binding. In
359 addition, bidentate chelators generally are not superior to transferrin in binding iron.
360 Our filtration assay showed that neither the catecholamine L-norepinephrine (**58**)⁵⁹
361 nor 2,5-dihydroxybenzoic acid (**59**) (2,5-DHBA)⁶⁰ (**Fig. 4**) could form a ternary
362 complex with NGAL. Furthermore, our studies showed that the para-substituted (such
363 as **58** and **59**) catecholate metabolites had strong steric effects inhibiting binding to
364 NGAL-Siderocalin⁶⁶. 2,5-DHBA was also not confirmed by four related papers,
365 including two reviews and two verification research papers.^{18,43,68,69}
366 Siderocalin:Fe:2,5-DHBA mediated apoptosis experiments, have shown that
367 2,5-DHBA-based siderophores do not chelate iron strongly enough to generate an
368 apoptotic response in hematopoietic cell lines. However, several papers on new
369 functions of 2,5-DHBA were also successively published⁷⁰⁻⁷², but it is not clear if
370 their function is due to a siderophore-like activity.

371

372 **3.7 Plant-origin Ligands of NGAL (Fig. 4)**

373 In 2005, a paper suggested that piperine (**60**), an alkaloid isolated from pepper,
374 could bind NGAL by CD spectrum, which was the first plant originated natural
375 product reported to bind NGAL.⁷³

376 Bao's recent studies showed that epigallocatechin gallate (EGCG) (**61**), a major
377 catechin (flavan-3-ol) in green tea, could also bind NGAL and form a ternary complex
378 with ferric iron.⁷⁴ EGCG could enter the same binding sites K125 and K134. However,
379 the pH dependent experiment showed two binding peaks at pH 6.5 and 5.5,
380 respectively, which was different from both Ent (whose complex was stable even at
381 pH 4) and catechol (descending all the way below the peak at pH 7), which was very
382 interesting since it might release iron in two steps in the late endosome and lysosome
383 which had pH of ≤ 6.0 and ≤ 5.5 , respectively, among the cellular organelle. EGCG

384 and iron (III) were both unstable in physiological condition and could easily enhance
385 oxidative stress once released. However, the ternary NGAL:EGCG:iron complex
386 could inhibit the reactivity of both chemicals and transport them to tissues for their
387 utilization especially the protective effect of EGCG on kidney.⁷⁴ It is important to
388 note however, that any new ligand including EGCG must be eventually supported by
389 structural studies.

390 In summary, there are five different functional groups of siderophores for
391 chelating iron: the catecholate group, oxazolines, hydroxamates, α -hydroxycarboxylic
392 acids, and imidazole groups can provide iron chelating activity (**Fig. 5**).¹⁴ All these
393 siderophores can interact with NGAL if they can provide negative charge
394 complementarities and aromatic alcohol cation-interactions.

395

396 **4. Conclusion and outlook**

397 Cell differentiation, embryogenesis, inflammation, cancer, and other diseases
398 have been associated with the expression of NGAL. The role of NGAL in
399 above-mentioned processes is still unknown, but the elucidation of its ligands
400 provides the first molecular insights of its function. In fact, research on NGAL has
401 progressed with the discovery of its ligands.

402 NGAL was deduced to bind hydrophobic ligands in parallel with other lipocalins
403 and was thus called as lipocalin-2.²² The putative binding sites for lipophilic ligands
404 were those hydrophobic aromatic and aliphatic residues including F27 (from the
405 3_{10} -helix), W31 and V33 (β A), V66 (β C), F83 (β D), F92 and L94 (β E), V108 and
406 V110 (β F), and V121 and F123 (β G) at the base of the barrel (**Fig. 1A and C**). An
407 epochal paper on its bacteriostatic effects based on binding with the bacterial
408 siderophore Ent gave it the new name Siderocalin⁵ and disclosed its novel function as
409 a bacteriostatic agent. The binding sites of siderophores were those positively charged
410 side-chains of two lysine residues (K125 and K134) and that of R81, which were
411 projected into the top open end of the barrel (**Fig. 1D**). After binding through the
412 ternary complex, the NGAL:ligand:iron (III) could be excreted into urine like a
413 therapeutic iron chelating medication, such as that the siderophore drug
414 desferrioxamine. The catechols were confirmed to be NGAL binding mammalian
415 siderophores by two recent papers^{66,67} and might participate in creating a urinary
416 buffer to handle iron in the kidney lumen as part of the iron reclamation process.

417 Since NGAL is a small secreted protein, it can also easily be modified.
418 Modification of the ligand binding pocket of NGAL to enhance its iron deletion
419 ability to treat iron overload syndromes or to suppress bacterial infection may be
420 future uses of NGAL technology⁷⁵⁻⁷⁷. Additionally, more speculative uses might
421 include extraction and purification of rare earth metal ions through binding rare earth
422 related metal ions as chelating complexes with [(R)-2-amino-3-(4-aminophenyl)
423 propyl]-trans-(S,S)-cyclohexane-1,2-diaminepenta acetic acid (p-NH₂-Bn-CHX-A"-
424 DTPA) (**62**) (**Fig. 4**) and rare earth DTPA- complexed Y³⁺, Tb³⁺, Gd³⁺, and
425 Lu³⁺+Y(III)- DTPA.⁷⁸

426 NGAL was also associated with cell growth as a result of the association with its
427 siderophores⁵³ and potentially as a result of iron delivery. Whether these activities are

428 ultimately due to iron chelation at their target site or due to iron delivery to their target
429 site, for example to mitochondria, remains to be seen. Many speculative ideas are now
430 being tested. For example, an area of inquiry is whether apo-NGAL has an
431 independent effect or simply the converse effect to the siderophore:iron loaded
432 molecule. Some support for independent effects comes from the fact that apo- and
433 holo-NGAL have different effects on stimulating epithelia to express IL-8⁸. In the
434 case of cell growth one could imagine that if NGAL bound siderophore, it could
435 induce and enhance growth while conversely it could induce iron deficiency by
436 chelating siderophore:iron.^{16,79-82} These ideas are speculative but maybe related to
437 some endogenous catechol derivative.

438 Although exponential papers on NGAL have been published year by year, its
439 source, regulation of expression, and its functions remain to be discovered and placed
440 in cellular contexts. Barasch's group has recently reported that α -intercalated cells, not
441 β -intercalated or principal cells within the collection duct (CD) of kidney secreted
442 NGAL to control the urinary tract infection through iron sequestration. The pathway
443 begins with the activation of TLR-4 in the CDs and results in cell specific responses.⁸³
444 Hence the site of expression, and its relationship to iron binding has demonstrated a
445 new site of innate immune defense.

446 NGAL was also reported to be associated with obesity related disease.^{30,84-89}
447 Because NGAL was causally involved in obesity-related vascular dysfunctions, it
448 might represent a promising target for discovery of agents against obesity-associated
449 cardiac vascular disease (CVD).³⁰ Most critical in this work, is to identify new
450 receptors that bind NGAL and transmit signaling to adipose cells or other cells
451 controlling food intake and metabolism. The ligands binding to NGAL might also be
452 an approach for designing novel inhibitors⁸⁸ but in both cancer research and
453 obesity/metabolic research it is not at this time clear whether the reported activities
454 are siderophore dependent.

455

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457

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464

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705 **Figure Captions:**

706

707 **Fig. 1 (A)** The side chains cluster of the conserved residues W31, T113 and R140
708 (Yellow) together with the 3_{10} -helix closed the smaller end of the barrel at the bottom
709 of the calyx. **(B)** The free SH group of residue C87 (Yellow) which associated with
710 MMP-9 lied in an inter-strand loop at the closed end of the calyx. **(C)** The putative
711 binding sites for lipophilic ligands were those hydrophobic aromatic and aliphatic
712 residues including F27 (from the 3_{10} -helix), W31 and V33 (β 1), V66 (β 3), F83 (β 4),
713 F92 and L94 (β 5), V108 and V110 (β 6), and V121 and F123 (β 7) (Yellow) at the base
714 of the barrel. **(D)** Positively charged side-chains of two lysine residues (K125 and
715 K134) and that of R81 were projected into the top open end of the barrel, which bind
716 hydrophilic siderophores such as catecholates.

717

718 **Fig. 2** The structure of lipophilic ligands (1-22) of Ngal

719

720 **Fig. 3** The structure of siderocalin binding siderophores (23-40), stealthy siderophores
721 (41-42), and synthetic siderocalin binding siderophores (43-50)

722

723 **Fig. 4** Mammalian siderocalin binding siderophores (51-59), plant-origin Ligands of
724 NGAL (60-61), and NGAL mutant binding ligand that complexed to DTPA which
725 can bind rare earth elements (62)

726

727 **Fig. 5** The functional groups of siderophores for chelating iron.

728

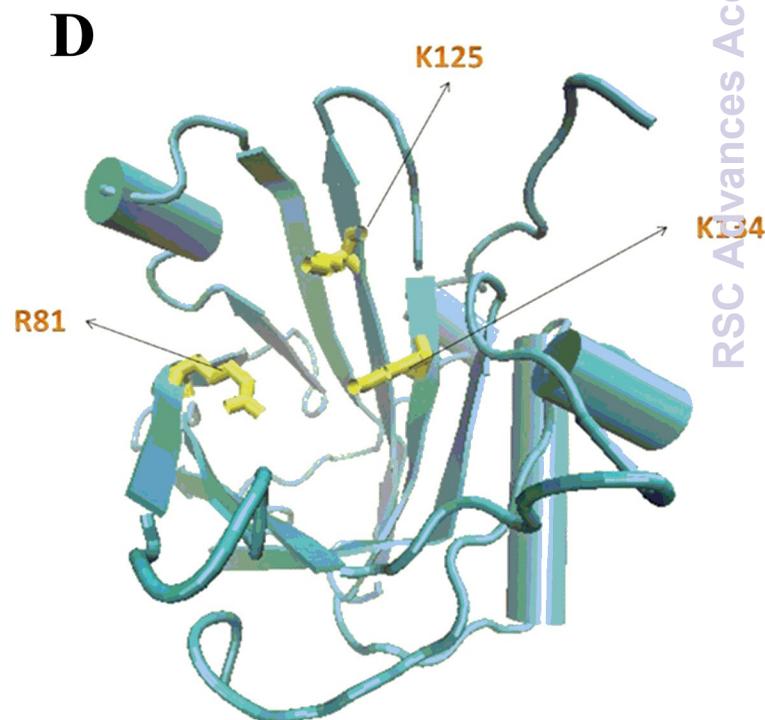
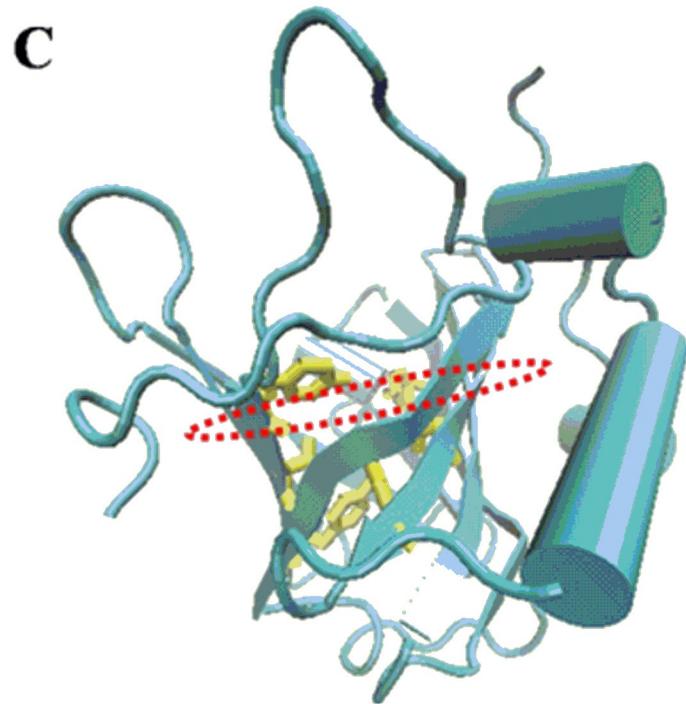
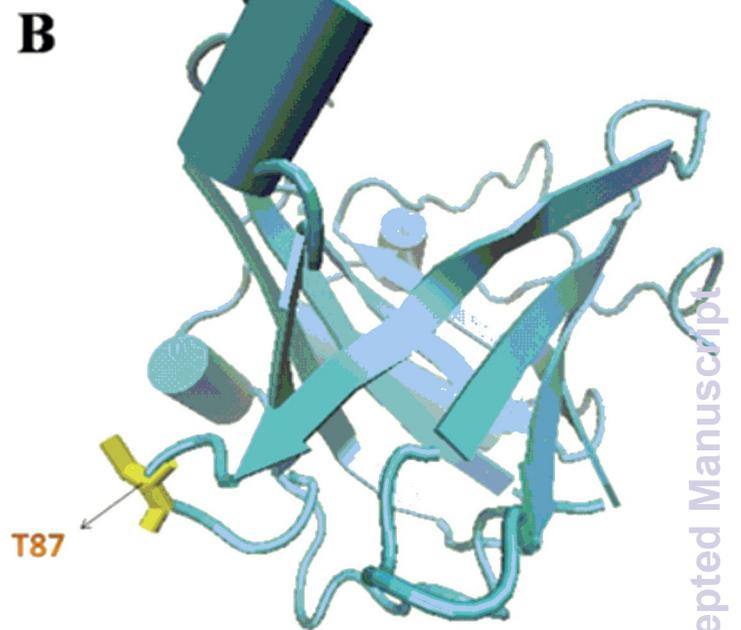
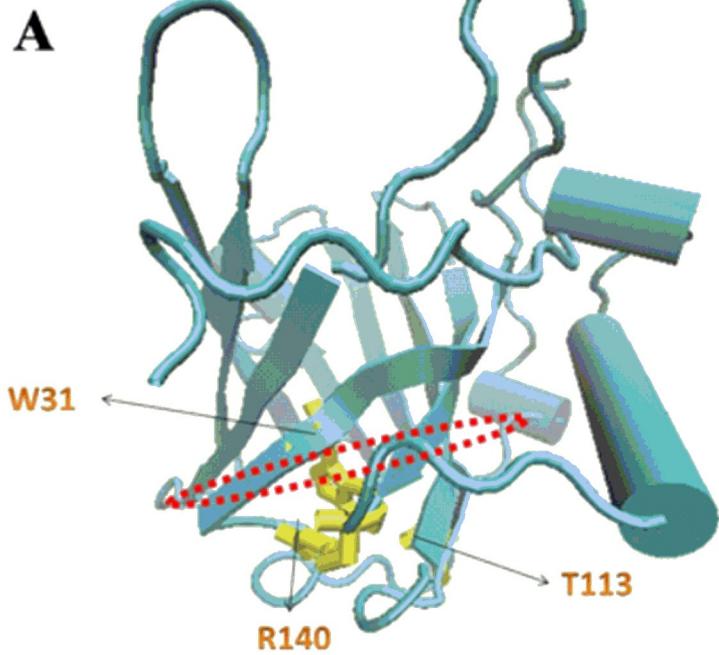
729 The protein images were made with VMD software support. VMD is developed with
730 NIH support by the Theoretical and Computational Biophysics group at the Beckman
731 Institute, University of Illinois at Urbana-Champaign

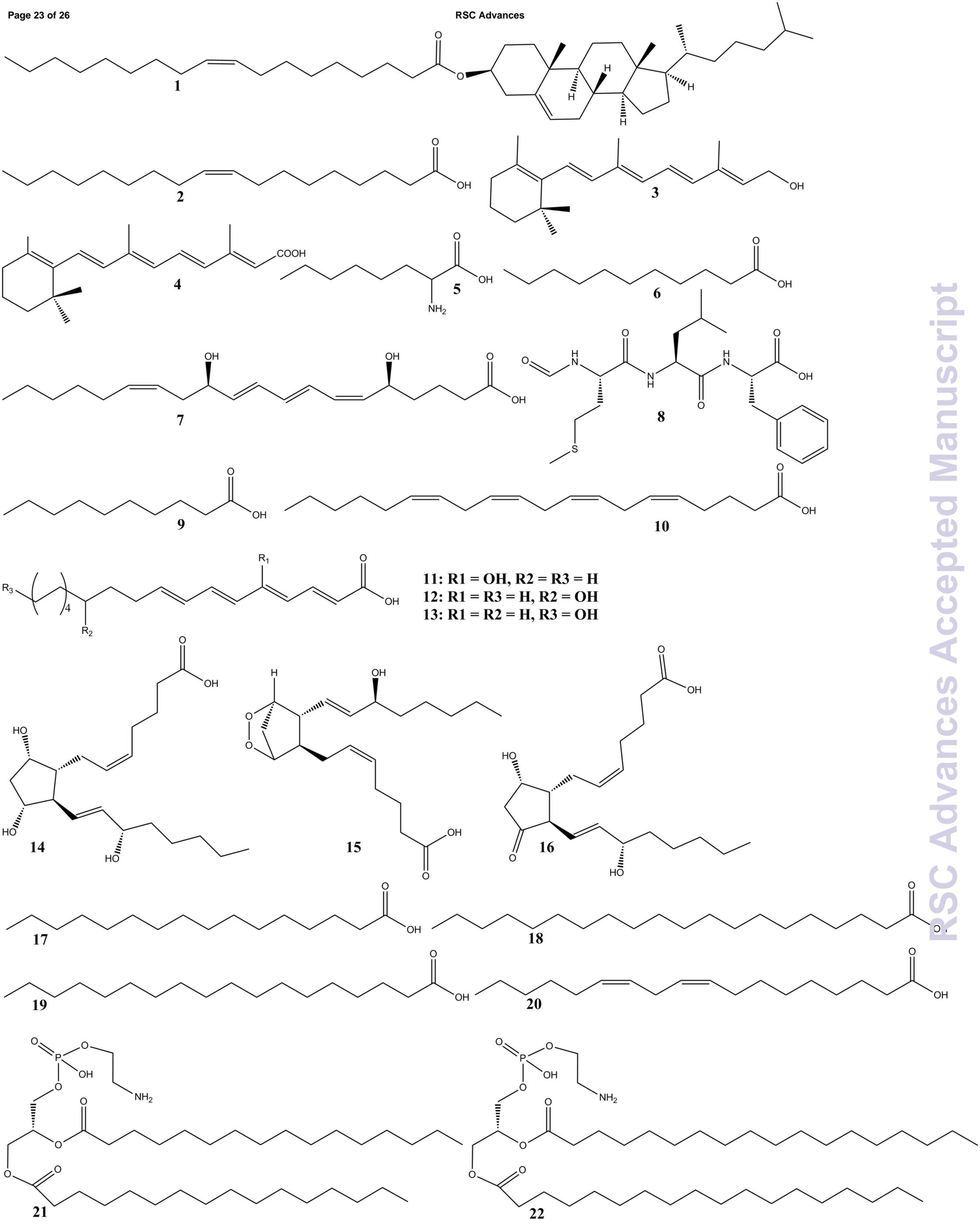
732 <http://www.ks.uiuc.edu/Overview/acknowledge.html>.

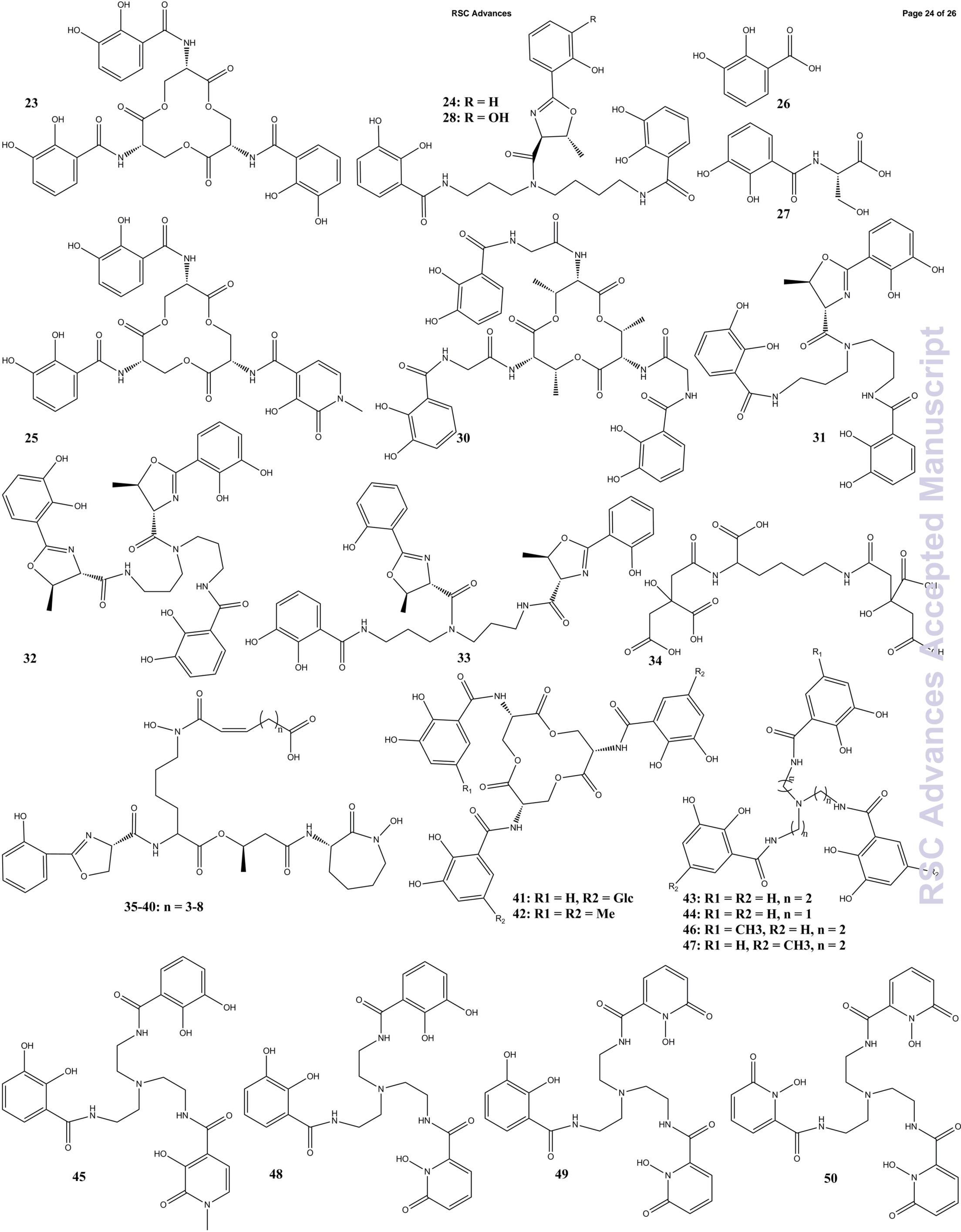
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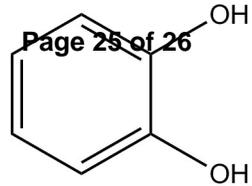
734 The structure of ligands (1-62) were drawn by chembiDraw ultra 12.0

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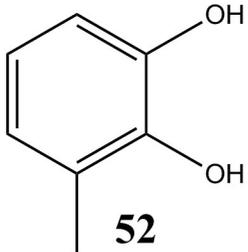




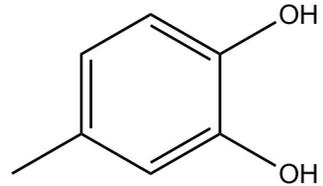




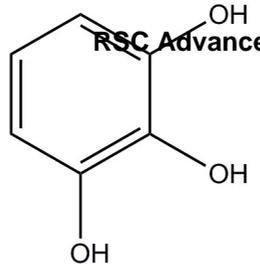
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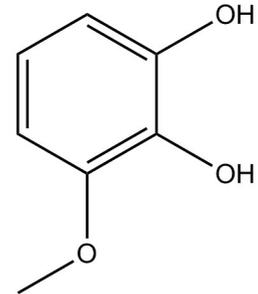
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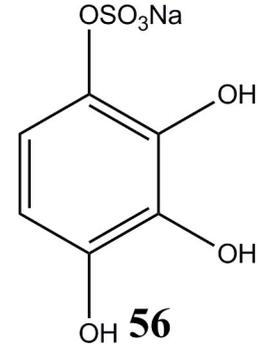
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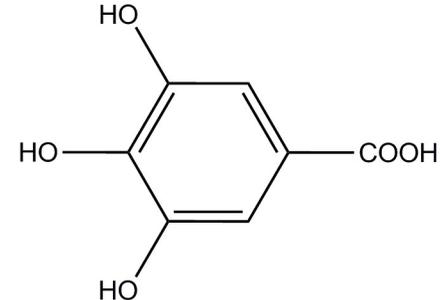
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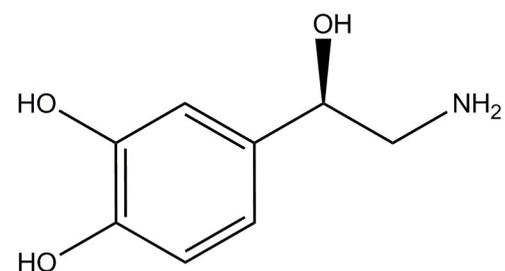
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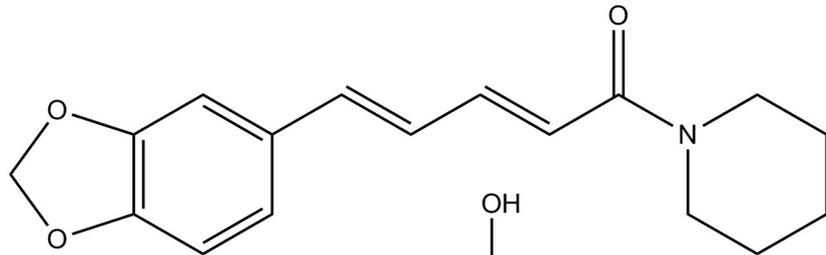
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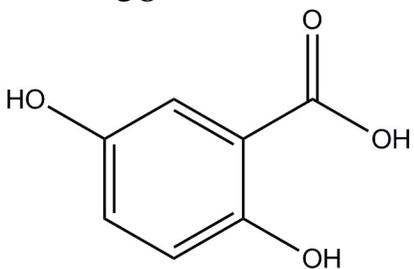
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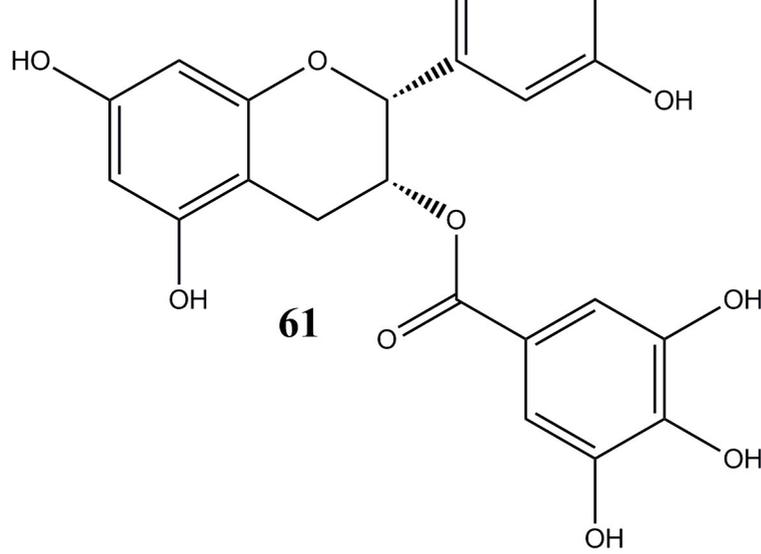
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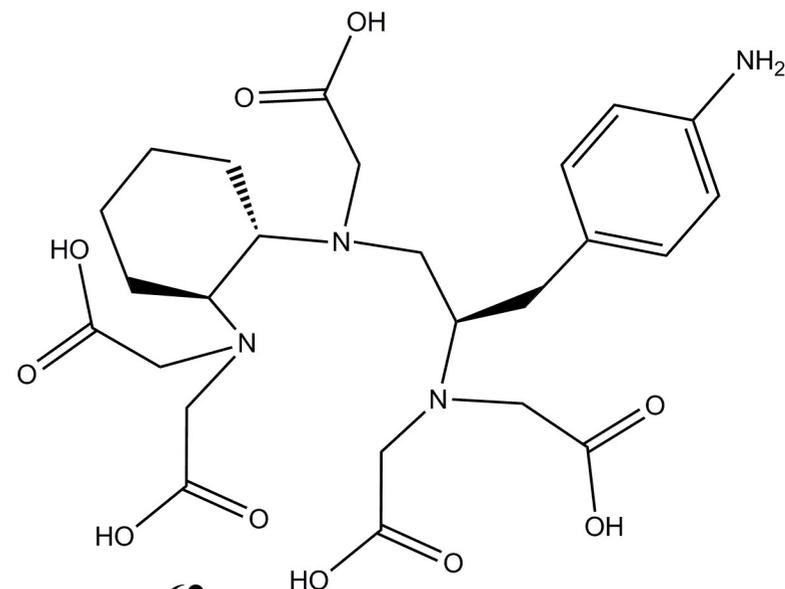
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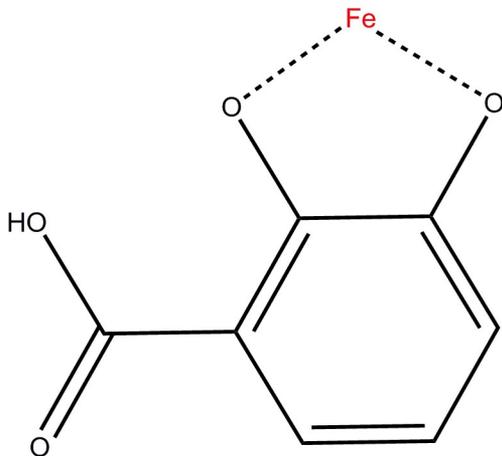
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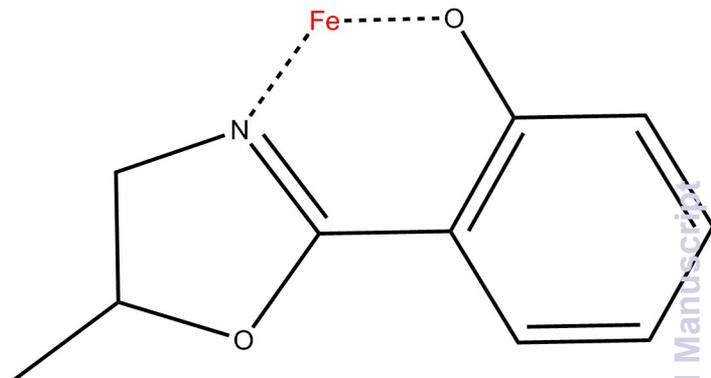
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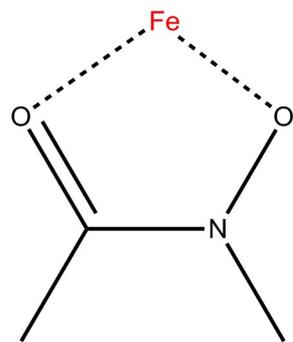
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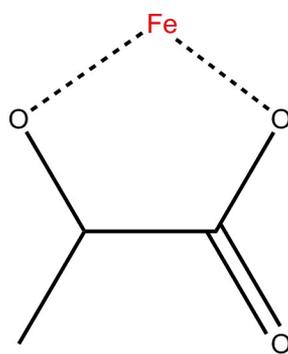
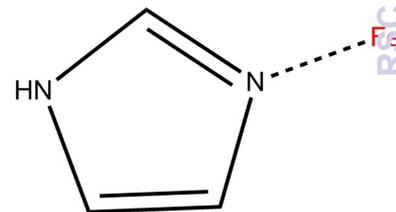
Catecholate



Oxazoline (Thiazoline)



Hydroxamate

 α -Hydroxycarboxylic acid

Imidazole