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1 Maltol, a Maillard reaction product, exerts anti-tumor efficacy in H22
2 tumor-bearing mice via improving immune function and inducing
3 apoptosis

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7 **Abbreviations:**

HCC Hepatocellular carcinoma IFN- γ interferon- γ

IL-2 interleukin-2 TNF- α Tumor necrosis factor- α

IL-6 interleukin-6 VEGF Vascular endothelial growth factor

8 **Running title: antitumor effect of maltol on H22 tumor-bearing mice**

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17

18 **Abstract**

19 The purpose of this study was to investigate the anti-hepatoma activity of maltol, a Maillard
20 reaction product, in H22 tumor-bearing mice. The results demonstrate that maltol not only
21 significantly inhibited the growth of hepatoma H22 transplanted in mice, but also prolonged
22 the survival time of H22-bearing mice. Furthermore, the levels of serum cytokines in H22
23 tumor-bearing mice, such as interferon gamma (IFN- γ), tumor necrosis factor- α (TNF- α),
24 interleukin-6 (IL-6), and interleukin-2 (IL-2), were enhanced by maltol treatment. Importantly,
25 immunohistochemical and western blotting analysis clearly show that maltol treatment
26 increased Bax and decreased Bcl-2 protein expression levels of H22 tumor tissues in a
27 dose-dependent manner. Collectively, our findings in the present study clearly demonstrate
28 that the maltol markedly suppressed the tumor growth of H22 transplanted tumor *in vivo* at
29 least partly via improving the immune functions, inducing apoptosis, and inhibiting
30 angiogenesis.

31 **Key words:** Maltol; Anti-hepatoma activity; H22 tumor-bearing mice; Bax; Bcl-2; VEGF

32

33 Introduction

34 Hepatocellular carcinoma (HCC) is the fifth most prevalent tumor type and the third leading
35 cause of cancer-related mortality worldwide ¹. Although surgical managements can cure the
36 early stage HCC, advanced stage HCC is easy to relapse and becomes fatal ². Chemotherapy
37 is one of the important methods for the treatment of tumor, but many lines of evidences
38 showed that the antitumor activities of many chemotherapeutic agents resulted in severe side
39 effects ³. Recently, natural medicines with better effectiveness and lower toxicity have
40 received more and more attentions as a potential origin of new therapeutic anti-tumor drugs
41 for HCC patients ^{4,5}.

42 Maltol (3-hydroxy-2-methyl-4-pyrone, C₆H₆O₃), a naturally occurring aroma compound, is
43 widely found in soybean, coffee, chicory, bread crusts, and caramelized foods. It is generated
44 through maillard reaction maltose and amino acid during the heat-treatment of food (Fig. 1).
45 Maltol is well known as the safe and reliable flavor enhancer, food preservative and natural
46 antioxidant in the world. In addition to food field, as a metal ions chelator, maltol exhibits
47 many practical applications in the field of catalysis, cosmetic, and pharmaceutical
48 formulations ^{6,7}. In the previous studies, it has been reported that maltol showed a strong free
49 radical scavenging and anti-oxidative activities ⁸⁻¹⁰. Maltol can effectively protect neuronal
50 cells against oxidative stress-induced injury through activating NF-κB signaling pathway ¹¹
51 and prevent STZ-induced diabetic kidney damage ^{12,13}. Also, the results from our previous
52 study indicated that maltol could ameliorate alcohol-induced liver injury in mice via
53 inhibiting the oxidative stress and inflammatory response ¹⁴. In addition, a study by Yang et
54 al., reported that maltol could prevent the H₂O₂-induced apoptosis in human neuroblastoma

55 cells¹⁵.

56 **Insert Figure 1**

57 Interestingly, maltol-derived organometallic complexes have potential cytotoxicity on
58 several human cancer cell lines^{16,17}. However, up to now, the information about anti-tumor
59 efficacy of maltol itself is quite limited. Given the potential cytotoxicity and anti-apoptosis
60 effect of maltol on tumor cell lines *in vitro*, the present study was designed to investigate the
61 anti-tumor efficacy of maltol in a H22 tumor-bearing mice model. To the best of our
62 knowledge, this study is the first to demonstrate anti-tumor activity of maltol in H22 ascitic
63 hepatocyte carcinoma transplant solid tumor model and its possible molecular mechanism.

64 **2. Materials and Methods**

65 **2.1 Chemicals and reagents**

66 Maltol (Purity > 99%) was bought from Reagent Factory of Shanghai Ziyi (CAS: 118-71-8,
67 No.: ZY130419). Cyclophosphamide (CTX) was provided by Jiangsu Hengrui
68 Pharmaceutical Co., Ltd. Hematoxylin and Eosin (H&E) dye kits were acquired from Nanjing
69 Jiancheng Bioengineering Research Institute (Nanjing, China). Hoechst 33258 dye kit was
70 obtained from Shanghai Beyotime Co., Ltd. (Shanghai, China). Two-site sandwich
71 enzyme-linked immunosorbent assays (ELISA) for mouse tumor necrosis factor- α (TNF- α),
72 interferon- γ (IFN- γ), interleukin (IL)-2, IL-6, and vascular endothelial growth factor (VEGF)
73 were purchased from R&D systems (Minneapolis, MN, USA). Rabbit monoclonal anti-Bax,
74 anti-Bcl-2, and anti-VEGF antibodies were purchased from Cell Signaling Technology
75 (Danvers, MA, USA). Other chemicals were all of analytical grade from Beijing Chemical
76 Factory.

77 ***2.2 Animals and tumor cells***

78 Mouse hepatoma 22 ascitic tumor (H22) was obtained from Institute of Biochemistry and Cell
79 Biology, SIBS, CAS, Shanghai, China. Murine H22 cells were maintained in the ascitic form
80 by sequential passages into the peritoneal cavities of male ICR mice as previously described
81 ¹⁸.

82 Male ICR mice, weighting 22 ~ 25 g, were obtained from the Experimental Animal Holding
83 of Jilin University with Certificate of Quality No. of SCXK (JI) 2011-0004 (Jilin, China). The
84 mice were kept in standard laboratory conditions with free access to diet and tap water, and
85 acclimated to a temperature-controlled room at $23 \pm 2^\circ\text{C}$ with a 12-h light/dark cycle for one
86 week prior to use. All animals handling procedures were performed in strict accordance with
87 the Guide for the Care and Use of Laboratory Animals (Ministry of Science and Technology
88 of China, 2006). All experimental procedures were approved by the Ethical Committee for
89 Laboratory Animals of Jilin Agricultural University.

90 ***2.3 Animal treatment and experimental design***

91 After an acclimatization period of one week, murine solid tumors H22 transplanted model
92 was established as previously described ¹⁸. Briefly, ascites tumor cells (1×10^7 cells in 0.2 mL
93 saline) were subcutaneously injected into the right axillary region of the mice in all groups.
94 Twenty-four hours after inoculation, the animals were randomly divided into four groups ($n =$
95 10 per group).

96 Drug administration began 24 h later and treated by intragastrically injection for 15 days.
97 The normal group and the model group animals were administrated 0.9 % normal saline
98 intragastrically. The positive control group was treated with CTX (25.0 mg/kg/day) by

99 intraperitoneal injection. The groups for maltol administration intragastrically received
100 different dosages (25 and 50 mg·kg⁻¹). The experimental design was shown in Fig. 2A.

101 The mice weights were recorded before and after each drug administration. 24 h after the
102 last administration of tested drug on the 15th day of the experiment, blood samples were
103 collected by the retrobulbar vessels and allowed to clot for 45 min at room temperature. After
104 standing for 1 h, the serum was separated by centrifugation (1500 rpm, 10 min, and 4 °C) and
105 stored at -20 °C for biochemical analysis. Then, all the mice were sacrificed and the whole
106 bodies, the segregated tumor, thymus, and spleen of the mice were weighed immediately. A
107 small piece of tissue was cut off from the tumor in each mouse and fixed in 10% buffered
108 formalin solution (m/v) for histopathological analysis.

109 The tumor inhibitory rate was calculated by the following formula: tumor inhibitory rate%
110 = (tumor weight of control group - tumor weight of tested group) / tumor weight of control
111 group × 100%. The volume of the solid tumor was measured with a digital caliper every other
112 day. The values obtained were calculated according to the equation: $V \text{ (mm}^3\text{)} = A \times B^2/2$,
113 where A represent the largest diameter, B represent the smallest diameter.

114 **2.4 Survival assay**

115 To measure the effect of maltol on survival time, thirty male ICR mice were inoculated with
116 H22 tumor cells prepared by intraperitoneal inoculation so as to observe the mice for longer
117 time period. The treatment was performed for 40 days and the survival time of animals was
118 monitored and recorded daily. The test continued for 40 days and those that lived more than
119 40 days were defaulted as 40 days. The percent survival (%) was calculated using the
120 following equations: percent survival (%) = [(10 - numbers of mice died in each group) /10]

121 × 100.

122 ***2.5 Assay of cytokines***

123 A specific two-sided ELISA assay was performed to quantify serum levels of tumor necrosis
124 factor- α (TNF- α), interferon- γ (IFN- γ), interleukin (IL)-2, IL-6, and vascular endothelial
125 growth factor (VEGF) according to the manufacturer's protocols. The absorbance was
126 measured at 450 nm in an ELISA reader (Bio-Rad, California, USA)

127 ***2.6 Hoechst 33258 staining***

128 Hoechst 33258 staining was performed as previously described with some modifications ¹⁹.
129 Briefly, at the end of the experiments, the mice were euthanized and the transplanted tumor
130 were dissected out and fixed in 10% neutral buffered formalin solution. We randomly chose
131 three ones out of them from each group. Then, these samples were cut into 5 μ m sections and
132 stained by Hoechst 33258 (10 μ g/mL). After washed by PBS for three times, stained nuclei
133 were visualized under UV excitation and photographed under a fluorescent microscope
134 (Olympus BX-60, Tokyo, Japan).

135 To quantify the fragmented and condensed staining which indicated apoptotic nucleus in
136 the slides, we randomly chose five regions from the pictures of each tumor section. These
137 pictures were blinded and counted by two people, and the average percentage apoptosis (%)
138 were calculated for statistical analysis. To avoid interobserver difference, a datum is valid
139 only if the discrepancy between these two observers is less than 10%.

140 ***2.7 H&E and TUNEL assay of tumor sections***

141 At the end of the experiment, H22 transplanted tumor tissues were fixed in 10% neutral
142 buffered formalin solution. The washed tumor tissues were dehydrated in descending grades

143 of ethanol and cleared in xylene, and then embedded in paraffin. Sections were cut at 5 μm
144 thickness and stained with hematoxylin and eosin (H&E), then subsequently examined using
145 a light microscope for histopathological examination.

146 For TUNEL assay, an in situ apoptosis detection kit (Roche Applied Science, Germany)
147 was employed to detect apoptotic cells in the tumor sections. Briefly, the sections were treated
148 with 20 $\mu\text{g}/\text{mL}$ of proteinase K in distilled water for 10 min at room temperature. To block
149 endogenous peroxidase, the slides were incubated in methanol containing 3% hydrogen
150 peroxide for 20 min and sections were incubated with equilibration buffer and terminal
151 deoxynucleotidyl transferase. Finally, the sections were incubated with
152 anti-digoxigenin-peroxidase conjugate. Peroxidase activity in each tissue section was shown
153 by the application of diaminobenzidine. Sections were counterstained with hematoxylin.

154 ***2.8 Immunohistochemistry***

155 Immunohistochemical analysis was performed as previously described²⁰. Briefly, the 5 μm
156 thick paraffin sections were deparaffinized and rehydrated with a series of xylene and aqueous
157 alcohol solutions, respectively. After antigen retrieval in citrate buffer solution (0.01 M, pH
158 6.0) for 20 min, the slides were washed three times with TBS (0.01 M, pH 7.4) and incubated
159 with 1% bovine serum albumin for 1 h. The blocking serum was tapped off, and the sections
160 were incubated in a humidified chamber at 4°C overnight with primary antibodies against Bax
161 (1:400), Bcl-2 (1:400) and VEGF (1:200), followed by secondary antibody for 30 min.
162 Substrate was added to the sections for 30 min followed by DAB staining and haematoxylin
163 counter-staining. The positive staining was determined mainly by a brownish-yellow color in
164 the nucleus of the cells. The immunostaining intensity was analyzed by light microscopy

165 (Olympus BX-60, Tokyo, Japan). The immunohistochemical signal was assessed by
166 estimating the area of the objects and the medium pixel intensity per object, as the optical
167 density (OD). The Bax/Bcl-2 ratio is the optical density ratio of the Bax and Bcl-2 protein.

168 **2.9. Western Blot**

169 Equal amounts of protein (50 µg/lane) were resolved by 12 % SDS-polyacrylamide gel
170 electrophoreses (SDS-PAGE) and transferred to polyvinylidene difluoride membranes
171 (Millipore, MA). The membrane was further incubated with respective specific Bax (1:1000)
172 and Bcl-2 (1:1000) antibodies. The membrane was continuously incubated with appropriate
173 secondary antibodies coupled to horseradish peroxidase and developed in the ECL western
174 detection reagents. The immunoreactive bands were visualized by an enhanced
175 chemiluminescence and then were quantified by a densitometric analysis.

176 **2.10 Statistical analysis**

177 Statistical analysis was performed using SPSS 17.0. All values were expressed as the means ±
178 standard derivation (S.D). The differences between experimental groups were compared by
179 ANOVA (analysis of variance) followed by Student's *t*-test of significance where $P < 0.05$
180 considered to be significant. Statistical graphs were produced through GraphPad Prism 6.0.4.
181 Image-Pro plus 6.0 was used to quantify immunohistochemical analysis and Hoechst 33258
182 staining.

183 **3. Results**

184 **3.1 Effect of maltol on H22 tumor growth**

185 The antitumor effect of maltol on H22 tumor-bearing mice is summarized in Table 1 and Fig.
186 2B. At the end of the experiment, the average tumor weight in the model group was $1.15 \pm$

187 0.85 g. The average tumor weights in maltol group (25 and 50 mg/kg) were decreased to 0.48
188 ± 0.36 and 0.42 ± 0.21 g, respectively. The average tumor weight in each maltol-treated group
189 was dramatically lower than that of model group ($P < 0.05$). Accordingly the tumor inhibitory
190 rates of the CTX and maltol-treated groups were 81.7, 58.2, and 63.9%, respectively.

191 As shown in Fig. 2B, the results from tumor volume growth curves clearly indicate that
192 tumor volumes of the mice in model group increased rapidly during the 14 day duration with
193 their mean volumes reaching more than 2.3 cm^3 at day 15. In contrast, the treatment of maltol
194 and CTX significantly suppressed the tumor growth ($P < 0.05$). From the 9th day, the average
195 tumor volume of the maltol-treated mice increased relatively slowly.

196 **Insert Table 1**

197 **Insert Figure 2**

198 ***3.2 Effect of maltol on organ indices in mice***

199 Spleen and thymus indices, two immune parameters, are used usually to evaluate the immune
200 function of host in H22 tumor-bearing mice. To determine whether or not maltol
201 administration caused any side effects on the immune system, the thymus and spleen indices
202 of the host animals were calculated at the end of the study. As shown in Table 1, the results
203 indicated the indices of spleen and thymus in tumor-bearing mice (model) were more than
204 those in normal mice ($P < 0.05$). Two indices in the CTX-treated mice were significantly
205 lower than the model group ($P < 0.05$), which accounted for the immunosuppressive side
206 effect by CTX during the therapy. However, there was no significant difference between the
207 model group and each maltol-treated group, suggesting that maltol treatment for 14 days did
208 not cause any side effects on the immune system.

209 ***3.3 Effect of maltol on life extension of mice***

210 Evaluation of the effect of maltol on life extension in H22 tumor-bearing mice was
211 accomplished and displayed in Fig. 2C. The results indicate that all of the mice in model
212 group died within 16 days owing to the significant fast growth of H22 transplanted tumor.
213 After treatment with CTX and maltol, half of the mice survived for more than 20 days. The
214 average survival time of ascites H22-bearing mice treated with CTX and maltol at a dose of
215 50 mg/kg was 40 and 38 days, respectively. Interestingly, the survival time of mice in
216 maltol-treated group (50 mg/kg) was almost comparable to that in CTX-treated group. The
217 findings clearly demonstrate that maltol treatment greatly prolonged the survival period of
218 H22 tumor-bearing mice.

219 ***3.4 Effect of maltol on the levels of serum cytokines and VEGF***

220 To assess the effect of maltol on the production of serum cytokines such as TNF- α , IFN- γ ,
221 IL-2 and IL-6 in H22 bearing mice, we determined their serum levels by ELISA assay. As
222 shown in Fig. 3A-D, the serum levels of TNF- α , IFN- γ , IL-2 and IL-6 in the all maltol groups
223 were higher than that of the model group. However, only mice treated with maltol at high
224 dose (50 mg/kg) showed significant differences ($P < 0.05$).

225 Angiogenesis, an essential process for tumor growth and metastasis, become an important
226 target for therapeutic intervention in many tumors. VEGF is recognized as a key contributor
227 to the process of angiogenesis²¹. The serum level of VEGF was determined by ELISA. As
228 shown in Fig. 3E, the result indicate that maltol treatment at doses of 25 and 50 mg/kg
229 markedly decreased the serum level of VEGF ($P < 0.05$, $P < 0.01$), suggesting that maltol
230 could suppress angiogenesis in the H22 transplanted tumor.

231

Insert Figure 3**232 3.5 Morphological change by treatment of maltol**

233 As shown in Fig. 4A, the H&E staining results indicate that tumor cells in model group were
234 arranged tightly, having a large nucleus and clearly apparent nucleolus. However, the tumor
235 cells in all maltol-treated groups exerted loose arrangement and a large area of necrotic region.
236 Also, different increased degrees of vacuoles and vacuoles number were clearly observed in
237 maltol-treated groups in a dose-dependent manner, which corroborates the remarkable
238 anti-tumor efficacy of maltol on H22 tumor bearing mice via inducing cell death/apoptosis.

239 To elucidate whether maltol treatment induced cell apoptosis in H22 transplanted tumors,
240 Hoechst 33258 staining was performed to observe apoptosis of tumor cells in this study. As
241 depicted in Fig. 4B, we found that tumor cells in model group were observed as round-shaped
242 nuclei with homogeneous fluorescence intensity and most cell nucleus exhibited regular
243 contours. After treatment with maltol and CTX for 14 days, significant nuclear fragmentation
244 and condensation was observed in dose-dependent manner.

245 Finally, in order to evaluate the ability of maltol treatment to induce apoptosis *in vivo*,
246 tumor sections were stained using TUNEL colorimetric assay (Fig. 4C). This assay detects
247 DNA fragmentation resulted from programmed cell death. The results showed that maltol
248 treatment with 25 and 50 mg/kg caused an increase in the number of cells undergoing
249 apoptosis compared with the model group. Similar results were obtained in tumors treated
250 with CTX.

251

Insert Figure 4**252 3.6 Effects of maltol on expression of apoptosis-related proteins**

253 In order to gain a better understanding of the mechanism for anti-tumor effect of maltol on
254 H22 tumor-bearing mice, immunohistochemical analysis were performed to determine the
255 impact of maltol on the anti-apoptotic factor Bcl-2 and the pro-apoptotic factor Bax. As
256 depicted in Fig. 5A-B, the high expression of Bcl-2 and low expression of Bax were observed
257 in the tumor tissues section of model group. By contrast, maltol treatment decreased Bcl-2
258 expression and increased Bax expression of H22 tumor tissues in a dose-dependent manner.
259 Interestingly, the ratio of Bax to Bcl-2, a rheostat of cell life or death, was increased in a
260 dose-dependent manner after maltol treatment for 14 days ($P < 0.05$, $P < 0.01$) (Fig. 4D).

261 In addition, western blotting were used to analyze the anti-apoptotic factor Bcl-2 and the
262 pro-apoptotic factor Bax. As depicted in Fig. 6, maltol treatment with 50 mg/kg increased the
263 protein expression of Bax and inhibit the protein expression of Bcl-2 in the tumor tissues
264 section ($P < 0.05$). These results are consistent with that in immunohistochemical analysis.

265 **Insert Figure 5**

266 **Insert Figure 6**

267 ***3.7 Effects of maltol on expression of VEGF***

268 VEGF is considered an important growth factor implicated in tumor angiogenesis and can
269 also be used as tumor marker ²¹. As shown Fig. 4C and 4E, maltol treatment could
270 significantly inhibit the expression of VEGF in a dose-dependent manner, coinciding with the
271 decrease of VEGF level in serum. The above observation is a hint for the possible role of
272 maltol as angiogenesis inhibitor on H22 tumor bearing mice.

273 **4. Discussion**

274 To investigate the anti-tumor efficacy of maltol *in vivo*, the transplantation tumor model

275 was established by s.c. injection of H22 HCC cells into the right axillary region of ICR mice.
276 Firstly, the *in vivo* anti-tumor efficacy of maltol was evaluated by the tumor growth inhibition
277 and mice survival life prolongation rate of H22 tumor-bearing mice. The significant reduction
278 of tumor volumes was observed in H22-bearing mice following maltol treatment at the dose
279 of 25 and 50 mg/kg in a dose-dependent manner. The immune system plays an important role
280 in anti-tumor defense. An increasing number of studies show that the antitumor activity of
281 natural compounds was also mediated through augmentation of the immune response^{22,23}. In
282 the present study, the results show that the spleen and thymus indices in all the maltol groups
283 were comparable to model group, which clearly reveal that maltol administration could not
284 result in any adverse effects on the immune system. Moreover, maltol treatment at dose of 50
285 mg/kg prolonged the survival time of tumor-bearing mice compared to model group.

286 Accumulating evidence clearly indicate that cytokines play a pivotal role in fighting against
287 the tumor growth through regulating responses to affect immune cell proliferation,
288 differentiation and functions²⁴. These cytokines includes TNF- α , IL-2, IL-6, and IFN- γ .
289 TNF- α has been proven to be an effective anticancer agent by inducing the expression of a
290 number of other immunoregulatory and inflammatory mediators. Also, TNF- α could directly
291 induce apoptotic cell death and tumor necrosis^{25,26}. IFN- γ is critical for innate and adaptive
292 immunity of bacterial and anti-tumor activities²⁷. In addition, several recent studies have
293 provided powerful evidence that IL-2 and IFN- γ played an important role in specific
294 immunological reactions to tumor cells growth, and they promoted innate and adaptive
295 immune responses²⁸. In the present study, we found that the serum levels of TNF- α , IFN- γ ,
296 IL-6 and IL-2 were significantly increased compared to model group by maltol treatment with

297 high dose. The considerable increase of these cytokines also explain the antitumorigenic
298 properties of maltol. Collectively, the above findings clearly indicate the anti-tumor effect of
299 maltol was achieved partly via increasing the immune response.

300 As we know, apoptosis has been characterized as a fundamental cellular activity to
301 maintain the physiological balance of the organism²⁹. As array of studies clearly demonstrate
302 that the molecular mechanisms underlying antitumor efficacy of some chemotherapeutic
303 agents are involved in the induction of apoptosis, which is considered to be the preferred
304 measure to treat tumors³⁰. In this study, Hoechst 33258 and TUNEL staining was performed
305 to observe the apoptotic cells in the tumor tissues from H22 tumor-bearing mice¹⁹. The tumor
306 cells in maltol treatment group showed significant cell nuclear condensation and
307 fragmentation, which further confirmed the considerable anti-tumor activity of maltol on H22
308 tumor-bearing mice. Mitochondria-dependent pathway was controlled by multiple layers of
309 regulation, the most members of the BCL-2 apoptosis-related family regulate cellular fate as a
310 response to anticancer agents^{31, 32}. There were two of the most important members
311 concerning apoptosis in Bcl-2 family including the pro-apoptotic protein Bax and the
312 anti-apoptotic protein Bcl-2, respectively³³. The increase in Bcl-2 expression caused
313 resistance to chemotherapeutic drugs and radiation therapy, while the decrease in Bcl-2
314 expression may promote apoptotic responses to anticancer drugs³⁴. Interestingly, the relative
315 ratio of Bax/Bcl-2, determine the sensitivity or resistance of cells to apoptotic stimuli³⁵. The
316 findings from immunohistochemistry and western blotting analysis of H22 tumor tissues
317 show that the protein expression of Bcl-2 was significantly reduced while the protein
318 expression of Bax was relatively increased, indicating that the maltol treatment induced

319 apoptosis by shifting the Bax/Bcl-2 ratio.

320 Numerous studies have confirmed that HCC is a highly vascular tumor and highly
321 expresses vascular endothelial growth factor (VEGF)³⁶. VEGF play a critical role in
322 regulating tumor angiogenesis²¹. The present results showed that maltol significantly reduced
323 the serum level of VEGF compared to the model group. Furthermore, immunohistochemical
324 analysis verified that maltol treatment could significantly inhibit the expression of VEGF in a
325 dose-dependent manner, coinciding with the decrease of VEGF level in serum.

326 In conclusion, the present work show that maltol dramatically inhibited tumor growth in
327 transplanted ascitic H22 hepatoma mouse model. The underlying mechanisms maybe, at least
328 in part, that maltol could improve the immune functions, induce apoptosis, and suppress
329 angiogenesis. To the best of our knowledge, this study is the first to explore anti-tumor
330 efficacy of maltol on H22 tumor and the possible molecular mechanism involved.

331 **Conflicts of Interest**

332 The authors declare no conflict of interest

333 **Acknowledgements**

334 This work was supported by the grants of Jilin Province Science and Technology
335 Development Plans (NO. 20130303096YY, 20150204050YY, and 201201102), and by the
336 grant of National Natural Science Foundation of China (NO. 31201331)

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396 **Figure legends**

397 **Figure 1.** Formation pathway of maltol in maillard reaction between maltose and amino acid.

398 **Figure 2.** Experiment design scheme (A). Effects of maltol on tumor growth (B) and life
399 extension in H22 tumor-bearing mice (C).

400 **Figure 3.** Effects of maltol on the levels of serum TNF- α (A), IFN- γ (B), IL-2 (C), IL-6 (D),
401 and VEGF (E) in H22 tumor-bearing mice. All data were expressed as mean \pm S.D, $n= 10$. * P
402 < 0.05 , ** $P < 0.01$ vs model group.

403 **Figure 4.** Histological examination of morphological changes in tumors from H22-bearing
404 mice. Tumor tissues stained with H&E (100 \times) (A) and Hoechst 33258 (100 \times) (B-C). Tumor
405 sections were analyzed by TUNEL assay to indicate cell apoptosis (D). The images were
406 analyzed by an Image-Pro plus system. The necrosis and apoptosis of tumor cells were
407 marked by arrow heads. All data were expressed as mean \pm S.D. * $P < 0.05$, ** $P < 0.01$ vs
408 model group.

409 **Figure 5.** Effects of maltol on the expression of Bax, Bcl-2, and VEGF (A-E). The protein
410 expression was examined by immunohistochemistry. The images were analyzed by an
411 Image-Pro plus system. All data were expressed as mean \pm S.D. * $P < 0.05$, ** $P < 0.01$ vs
412 model group.

413 **Figure 6.** Relative protein expression of Bax and Bcl-2 in tumor tissues. All data were
414 expressed as mean \pm S.D. * $P < 0.05$, vs model group.

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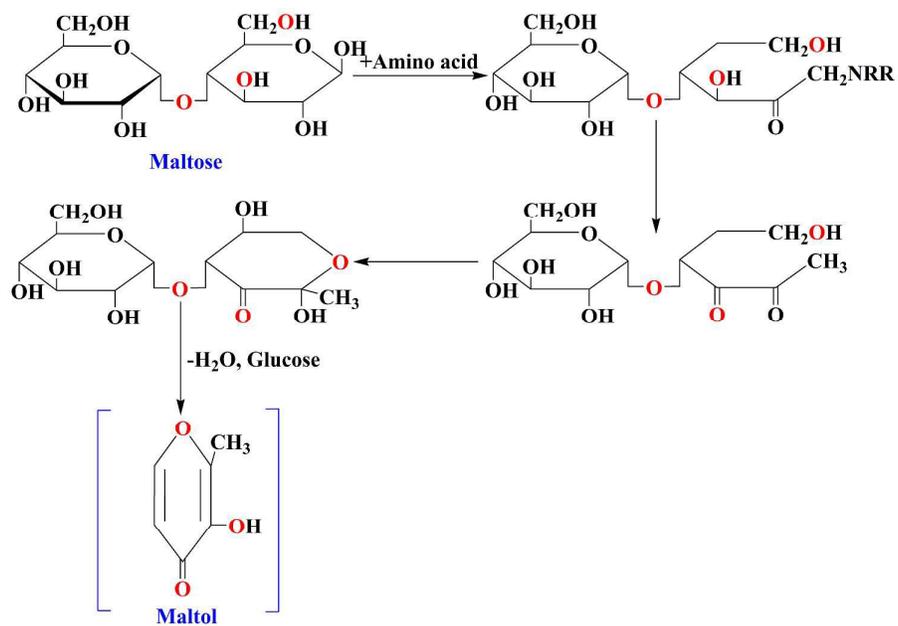
418 Table 1. Effects of maltol on tumor weights and relative organ indices in H22 tumor-bearing
419 mice.

| Groups | Dosage (mg/kg) | Organ indices (mg/g) | | Tumor weight (g) | Inhibitory rate (%) |
|--------|----------------|------------------------|------------------------|-------------------------|---------------------|
| | | Spleen | Thymus | | |
| Normal | — | 0.37±0.07 | 0.11±0.01 | — | — |
| Model | — | 0.69±0.27 [#] | 0.24±0.11 [#] | 1.15±0.85 | — |
| CTX | 25 | 0.41±0.07 [*] | 0.13±0.01 [*] | 0.21±0.12 ^{**} | 81.7% |
| Maltol | 25 | 0.62±0.16 | 0.22±0.04 | 0.48±0.36 [*] | 58.2% |
| | 50 | 0.68±0.07 | 0.23±0.03 | 0.42±0.21 [*] | 63.9% |

420 Values are expressed as the mean ± S.D, $n = 10$. [#] $P < 0.05$ vs normal group ^{*} $P < 0.05$, ^{**} $P <$
421 0.01 vs model group

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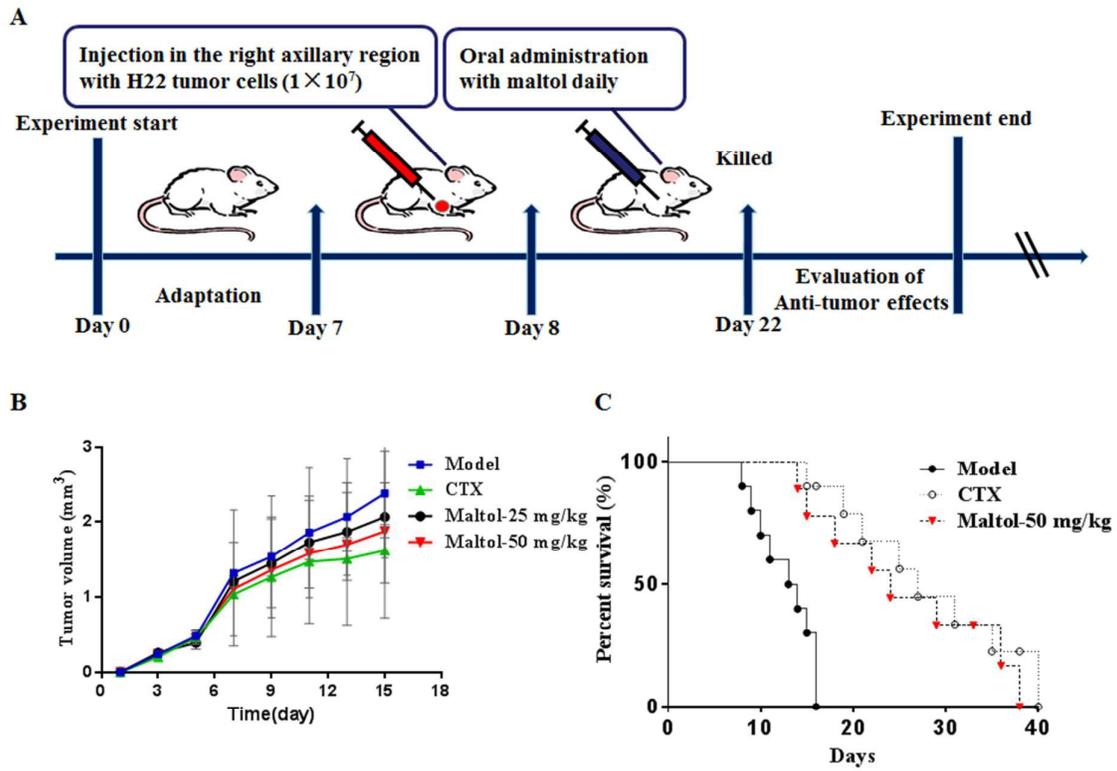


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Figure 1



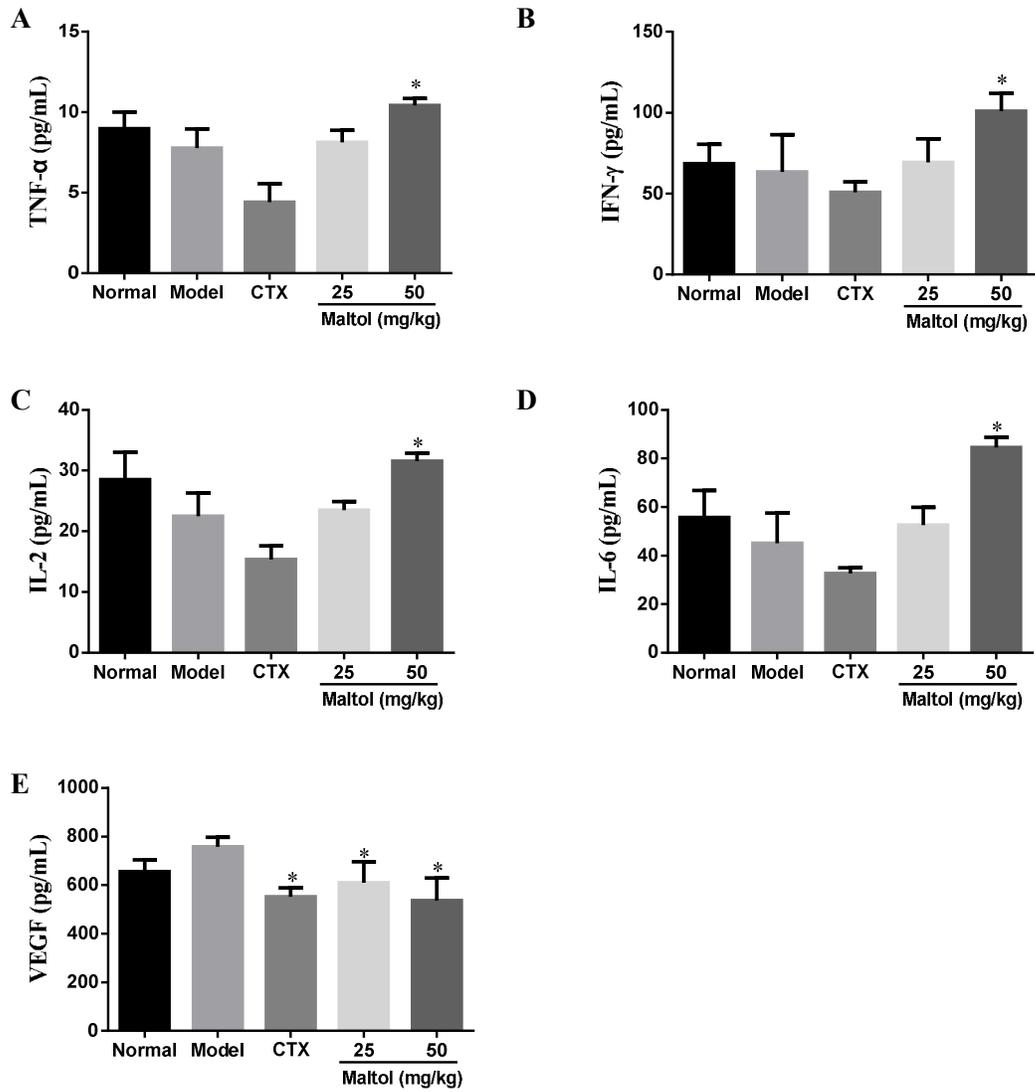
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Figure 2



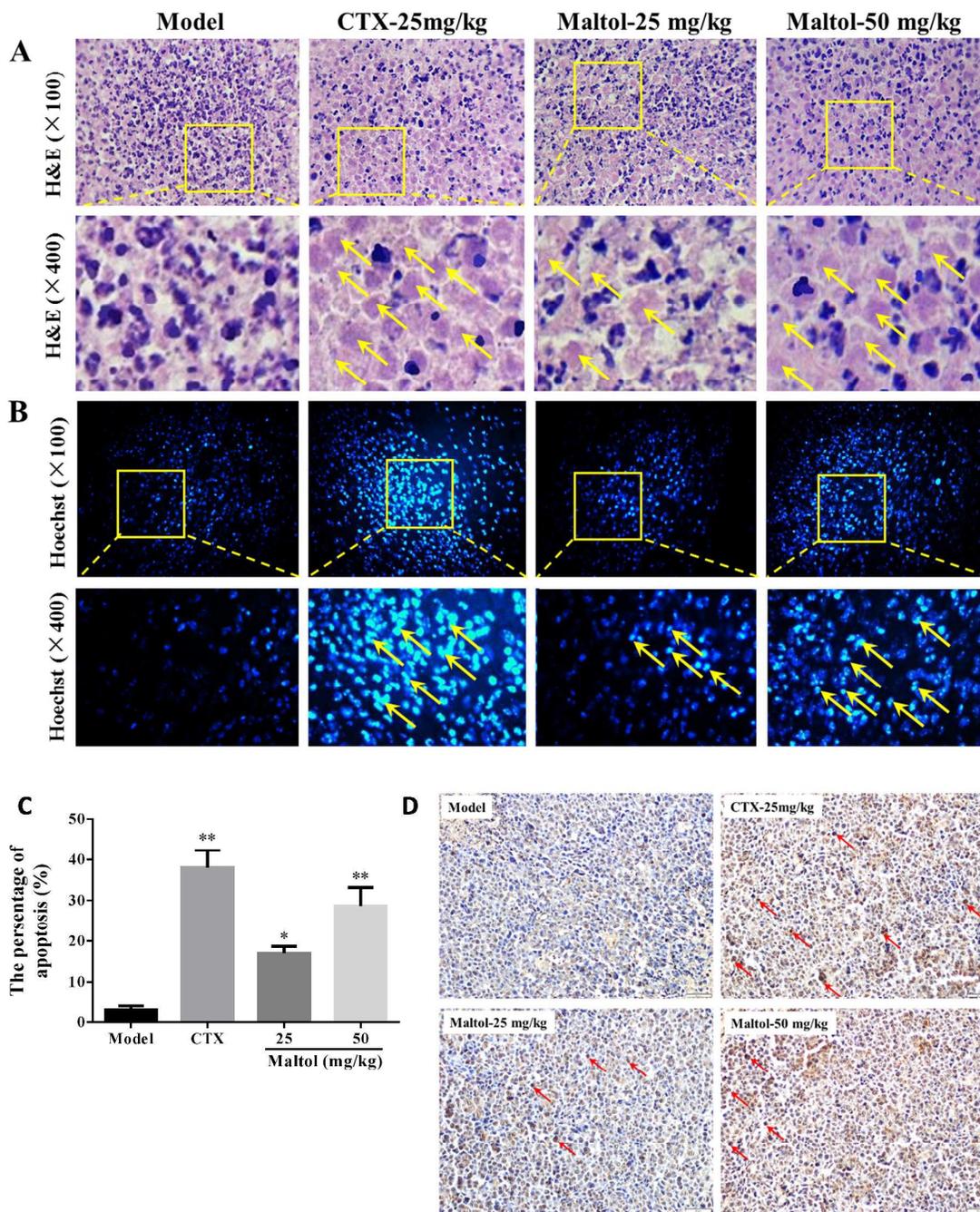
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Figure 3

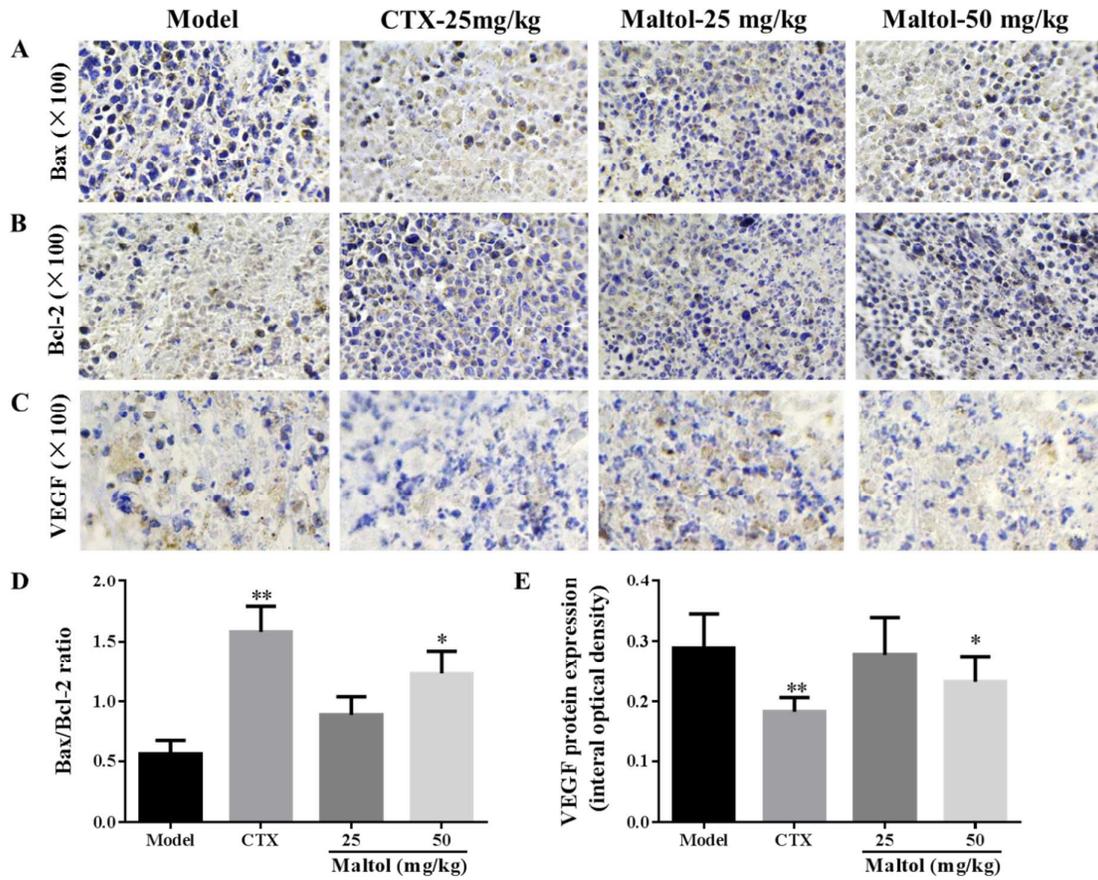


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Figure 4

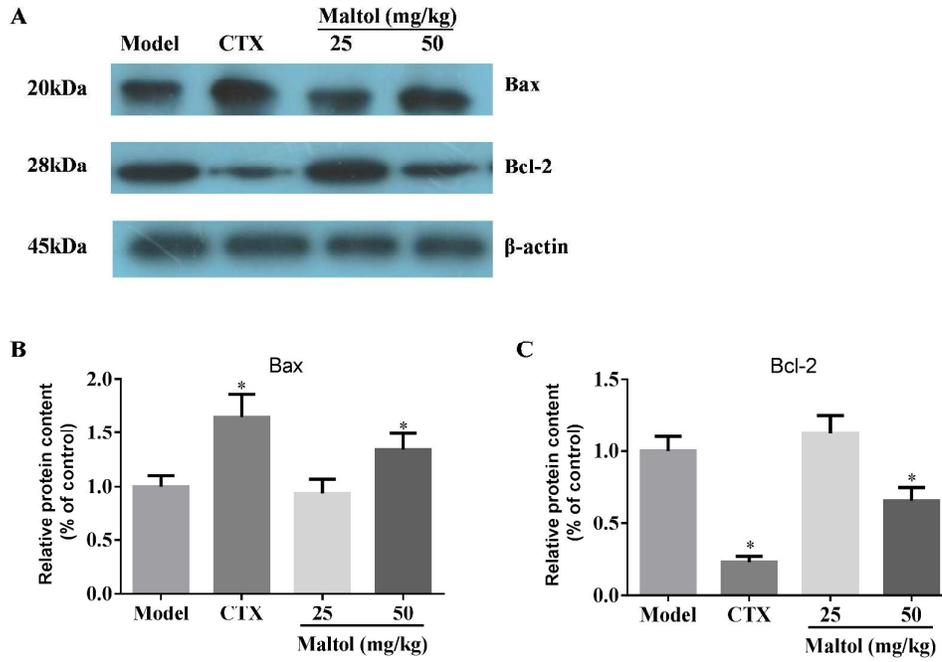


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Figure 5



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Figure 6